Measurement Precision and Spatial Resolution with Kriging DIC

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Abstract

The performance of a new global Digital Image Correlation (DIC) approach known as Kriging DIC is assessed by comparison with the classical subset-based DIC through a standard evaluation procedure. This procedure employs synthetic images with imposed planar sinusoidal displacement fields of various spatial frequencies to quantify both the displacement measurement precision and the spatial resolution of DIC algorithms. The displacement precision and spatial resolution are re-defined in terms of two measures of discrepancy that have not been used before but are considered to give a better comparative assessment than was previously possible. The results are presented in graphical form to finally produce an evaluation of the relative performance of the different DIC approaches. These show that the Kriging DIC approach is robust to the measurement noise and has superior performance to the classical subset-based DIC in terms of both displacement measurement precision and spatial resolution. Furthermore, it is found that the best results are obtained when the discrepancy is measured in the normal direction, as opposed to the Y-direction for the quantification DIC performance.

Keywords: Digital Image Correlation, Kriging Regression, Measurement Precision, Spatial Resolution

1. Introduction

Full-field measurement of displacement and strain has been made possible by modern digital camera technology and the development of algorithms in photogrammetry. The most popular approach is digital image correlation (DIC), based on maximising the correlation between reference and deformed grey-intensity images [1] obtained from a pattern of speckles applied on the surface of the test piece. DIC algorithms can be mainly classified into two categories [2]: local DIC algorithms known as subset-based DIC [3] and global DIC algorithms known as full-field DIC [4-8].

Subset DIC is generally based on square subsets of $k \times k$ pixels with shape functions applied to estimate a smooth displacement field across each subset. This generally leads to edge discontinuity, causing sensitivity to noise and can result in significant

measurement errors [9]. One way of overcoming this problem is to increase the size of the subset. In this way measurement precision may be increased, but this is necessarily accompanied by a deterioration in spatial resolution brought about by making the subset larger [10-13] – each subset has a single measurement point, generally at its centre. Full-field or global DIC is regarded as being more robust than subset DIC to image noise. However, its spatial resolution may also be degraded by the smoothing effect introduced by continuous constraints.

The literature on measurement precision and spatial resolution is extensive. For example, some algorithms [14-16] are employed to generate synthetic images that mimic real patterns of displacement in both Fourier [17] and spatial domains [18]. Artificial displacements may be applied to a piece of experimental speckle image [19] for the same purpose. It is straightforward to quantify precision by evaluating the noise level of the measured displacement in terms of standard deviation [7, 8, 11]. However, the study of spatially fluctuating displacement fields [20, 21] usually requires the evaluation of the spatial resolution of DIC algorithms, which is very difficult to implement experimentally. Bornert et al. [11] produced synthetic speckle images with superimposed planar sinusoidal displacements. Different spatial frequencies were applied to enable the study of the spatial resolution effectively in terms of statistical properties. The same approach was followed by Wittevrongel et al. [8] to help re-define the spatial resolution of local and global DIC algorithm. It allows a fair comparison of the performance between different types of DIC algorithms, i.e. the subset DIC and a global DIC approach called p-DIC [8], by considering the combination of precision and spatial resolution at the same time.

In this technology the classical definition of precision (also call displacement resolution) is the smallest change in the displacement field that can be readily measured and reflected in the measured displacement [7, 22]. The spatial resolution is said to be the smallest distance between two independent measurement points [7, 22]. The spatial resolution of subset-based DIC may be considered to be the subset size while the spatial resolution of global DIC depends on the number of measurements obtained within the region of interest (RoI). However, this classical definition is not applicable to global DIC since measurement points are correlated and the independence is not clearly defined. A practical definition of the spatial resolution was proposed by Sutton [23] as one half of the period of the highest frequency component that can be measured in the frequency band of the displacement data,

Spatial resolution is now generally understood to mean the half-period of the spatial frequency (the half wavelength) at which the measurement precision exceeds some chosen threshold. In a purely numerical test a planar unidirectional sinusoidal displacement is applied to a rectangular strip of speckles. Gaussian white noise is applied to represent measurement errors. Grey-level reference and deformed images are defined and the DIC estimate is compared statistically (usually in terms of the mean error and standard deviation) to the true sinusoid. The half-period spatial resolution is the minimum required to reconstruct the sinusoid without aliasing using

the Fast Fourier Transform (FFT). This corresponds to the sampling rate, being twice the Nyquist frequency (i.e. the frequency of the sinusoid).

Generally for both the local and global DIC algorithms, a compromise has to be made between measurement precision and spatial resolution. An ideal DIC algorithm is expected to be able to achieve an excellent precision and an excellent spatial resolution at the same time [7, 8]. Since the complexity of the sought displacement field is normally unknown before measurement, the optimal DIC algorithm for a specific application is not constant and relies on the trade-off between the detailed measurement of a displacement field and keeping the noise-induced error at a low level. In practice, a priori knowledge of the overall complexity of the displacement field can be quantified, for example, through finite element analysis [24]. In that case, the optimal DIC algorithm and parameters might be determined by performing simulated experiments [25].

Recently a new global DIC algorithm with integrated Kriging regression [26] was developed by the present authors. A Kriging regression model was integrated into the global DIC framework as a full-field shape function to formulate full-field displacement in a more accurate realisation of unknown true displacement fields. The lack of knowledge of the true displacement field was modelled by a Gaussian random process resulting in a Kriging model as a best linear unbiased prediction. A regularisation technique (in a global sense) was utilized to further improve the accuracy of Kriging model and to yield an approximation method to quantify control-point displacement errors. Furthermore, an updating strategy for the self-adaptive control grid was developed on the basis of the Mean Squared Error (MSE) determined from the Kriging model. The proposed Kriging DIC approach showed excellent performance on displacement resolution and outperformed several other full-field DIC methods when using open-access experimental data. However, as discussed above, the performance of a DIC algorithm should be comprehensively validated through an examination of measurement precision and spatial resolution.

Thus in this study, a comparison is made between Kriging DIC and the classical subset-based DIC in terms of measurement precision and spatial resolution. This is carried out by using a series of synthetic speckle images imposed with planar sinusoidal displacements with various spatial frequencies. Apart from the use of different DIC algorithms, other parameters and schemes, e.g. grey-intensity interpolation, are the same in the comparison. In the sequel, the Kriging DIC algorithm is briefly introduced, the methodology for the comparison is explained and new improved definitions of precision and spatial resolutions are given. Finally, results are produced that illustrate the good performance of the Kriging DIC algorithm.

2. Kriging DIC

Kriging DIC [26] is a new global DIC algorithm with excellent robustness to image noise, good adaptation to displacement fields, and independent of user intervention. It is effective for a wide range of applications. A further validation of the performance of the method could lead to improvements in prospective applications and should be beneficial for further research. In the following, the details of Kriging DIC approach are very briefly introduced.

It is well known that DIC is a full-field measurement technique which employs areamatch algorithms to determine underlying deformation between images [27]. The matching criterion is normally interpreted in the form of minimising the Sum of Squared Differences (SSD) [28] of grey intensities between two images. The SSD criterion may be written as,

$$C_{SSD} = \arg\min \int_{\Theta} \left(g\left(x + u(x, z), z + v\left(x, z \right) \right) - f\left(x, z \right) \right)^2 d\Theta$$
(1)

where Θ denotes the RoI in the first image. The displacement (u(x, z), v(x, z)) may be understood as the optical flow of the speckle-pattern intensity from a reference image f(x, z) to its corresponding deformed image g(x, z) with x, z coordinates in pixels. The whole RoI may be divided into a large number of small 'subsets' [7] (subset-based DIC) or be treated as a single large 'subset' (global DIC) to carry out the correlation.

In order to find a solution for the DIC correlation criterion, the displacement field of a subset or RoI may be modelled using a shape function with finite unknown parameters to be determined. For both global and local DIC approaches, the displacement field (u(x,z),v(x,z)) can be approximated as a linear combination of chosen basis functions of unknown parameters [7, 26, 29] with finite dimension n, expressed as

$$u(x,z) \approx \sum_{j=1}^{n} \mu_j(x,z) p_{u_j}$$

$$v(x,z) \approx \sum_{j=1}^{n} \mu_j(x,z) p_{v_j}$$
(2)

where $\mu_j(x, z)$; j = 1, 2, ..., n are kernel functions and p_{u_j}, p_{v_j} ; j = 1, 2, ..., n are combination coefficients. The grey intensity image g(x+u(x, z), z+v(x, z)) is an implicit function of (u(x, z), v(x, z)) and the spatial-domain Newton-Raphson iteration [30, 31] is usually applied to solve the minimisation problem.

As a global algorithm, Kriging DIC is implemented by applying a Kriging regression model as the global shape function to estimate the true displacement field w(x, z) as a Best Linear Unbiased Prediction (BLUP) [32], where $w(x, z) \in \{u(x, z), v(x, z)\}$ represents the single-direction displacement field (i.e. either u(x, z) or v(x, z)). Specifically, w(x, z) is modelled as a realisation of a random function which combines a deterministic regression model and a zero-mean stochastic field [33]. Denoting $\mathbf{w}_0 = [w_1, \dots, w_n]^T$ as displacements of a set of chosen control points $(x_j, z_j), j = 1, 2, \dots, n$, the displacement response $\hat{w}(x, z)$ at an arbitrary untried location (x, z) can be formulated by the Kriging model in terms of a linear combination of the sample values \mathbf{w}_0 [34, 26],

$$\hat{w}(x,z) = \sum_{j=1}^{n} \kappa_{j}(x,z) w_{j} = \mathbf{\kappa}^{\mathrm{T}} \mathbf{w}_{0}$$
(3)

where the Kriging weights are given by,

$$\boldsymbol{\kappa}(x,z) = \begin{pmatrix} \kappa_1 & \kappa_2 & \cdots & \kappa_n \end{pmatrix}^{\mathrm{T}} = \mathbf{R}^{-1} \begin{pmatrix} \mathbf{r}(x,z) - \mathbf{C} \begin{pmatrix} \mathbf{C}^T \mathbf{R}^{-1} \mathbf{C} \end{pmatrix}^{-1} \begin{pmatrix} \mathbf{C}^T \mathbf{R}^{-1} \mathbf{r}(x,z) - \mathbf{c}(x,z) \end{pmatrix} \end{pmatrix}$$
(4)

The matrix **C** and vector **c** contain regression-function terms corresponding to the control points and the point of interest (x, z) respectively. In the present study the exponential (or Gaussian) kernel function,

$$r_{jk} = \exp\left(-\vartheta_x \left(x_j - x_k\right) - \vartheta_z \left(z_j - z_k\right)\right)$$
(5)

is used to define the correlation between control points, in matrix **R**, and between the point of interest (x, z) and each of the control points, in vector $\mathbf{r}(x, z)$. The Kriging weights are optimised by minimising the mean square (MSE) using the parameters ϑ_x , ϑ_z and a regularisation parameter that accounts for measurement imprecision at the control points [26]. This formulation relies on an assumption that the displacement function is smooth and continuous (i.e. without irregularities or discontinuities).

Apart from the application of the Kriging shape function, Kriging DIC also has several special features which are absent from classical DIC algorithms. Based on the discussion in [26], some general remarks may be made as follows:

- Uncertainty in the Kriging DIC estimate is represented by a Gaussian random process with measurement errors incorporated into the correlation matrix.
- A global optimisation process results in the most probable estimate (rather than the best fit) it does so automatically without user intervention.

- Kriging DIC is capable of adapting to any irregular distribution of control points (as opposed to regular or uniform distributions) to better represent spatial variation in what is being measured.
- The optimal number and distribution of control points can be achieved automatically through a self-adaptive updating process.

3. Measurement precision and spatial resolution

In this section a procedure is explained which is essentially similar that described by Bornert et al. [11] and Wittevrongel et al. [8]. However, whereas in [8] the threshold on measurement precision is based only on the difference in amplitude between the imposed sinusoid and the corresponding measurement, in the present work a more complete measure of the discrepancy is used. We begin with a general measure of discrepancy, denoted by the vector $\{\varepsilon_i\}_{i=1}^n$. Without being specific it represents in some way the difference between the imposed sinusoid and measurement at the control points, i = 1, ..., n, of the Kriging model. The statistics of error may then be defined in terms of the estimated mean and standard deviation (STD) as,

$$\overline{\varepsilon}_{i} = \frac{\sum_{i=1}^{n} \varepsilon_{i}}{n}$$
(6)

and

$$\sigma_{\varepsilon} = \sqrt{\frac{n\sum_{i=1}^{n}\varepsilon_{i}^{2} - \left(\sum_{i=1}^{n}\varepsilon_{i}\right)^{2}}{n(n-1)}}$$
(7)

and the root mean squared error (RMSE) is given by,

$$RMS_{\varepsilon} = \sqrt{\frac{n-1}{n}\sigma_{\varepsilon}^{2} + \overline{\varepsilon}_{i}^{2}}$$
(8)

The unidirectional planar sinusoid imposed in on the rectangular speckle pattern defines the true displacement as,

$$u_{x} = A \sin\left(\frac{2\pi}{p}x\right)$$

$$u_{z} = 0$$
(9)

where A and p denote the amplitude and period.

Examples of the imposed sinusoid and measured displacement are shown in Figure 1 for subset-based and Kriging DIC. The sine wave has an amplitude 5 pixels, a period

of 75 pixels and zero-mean Gaussian noise is added with a standard deviation 3 grey values. The deformed speckle pattern [8, 11] is shown in Figure 2. The red dashed lines shown in Figure 1 are constructed normal to the measurement at the Kriging control points. This case illustrates one candidate measure of the discrepancy $\{\varepsilon_i\}_{i=1}^n$ given by the difference between the measurement and the intersection of the normal with the sinusoid. Another possibility would be to use the discrepancy measured only in the direction of the displacement (i.e. the Y-direction discrepancy), but in this case one would expect the discrepancy to be greater than that measured in the direction of the normal measure is considered to be superior to the Y-direction measure. Of course, since the sinusoid is by definition a nonlinear function of *x*, it is necessary to iterate to determine the intersection precisely.

The displacement measurement precision and spatial resolution were defined in general terms in the Introduction. In the results presented here the spatial resolution is understood to be one half of the lowest spatial period in pixels at which the DIC measurement is able to reproduce the true displacement to within a RMS_{ε} of 5% or 1.5% of the amplitude of the sinusoid. The precision is defined as the standard deviation σ_{ε} (corresponding to the 5% or 1.5% discrepancy on RMS_{ε}) measured in pixels in either the normal direction or the Y-direction.



(b)



Figure 1 The normal discrepancy: (a) Subset DIC size 31×31 , (b) Subset DIC size 41×41 , (c) Subset DIC with subset size 51×51 , (d) Subset DIC with subset size 61×61 , (e) 1^{st} order Kriging DIC.



Figure 2 Deformed speckle pattern with imposed sinusoidal displacement field having a period of 75 pixels.

4. Performance of Kriging DIC algorithm

In this section, performance of the Kriging-DIC algorithm, in terms of the displacement precision and spatial resolution, is assessed by means of a comparison with the classical subset-based DIC algorithm. A series of images with different sinusoidal deformation fields are used to calculate the displacement precision and spatial resolution of both the subset-based DIC and the Kriging DIC. The sinusoidal

displacement field cannot be perfectly reconstructed using polynomial shape functions and therefore provides a good test and a standard procedure for assessing the performance of DIC algorithms. In this study, the original displacement measurement is investigated, rather than strain which is a derived quantity obtained by post-processing.

The reference images are defined by an experimental speckle pattern while the parameters of the deformed images with sinusoidal displacement fields are shown in Table 1. A region of interest with uniformly distributed sample points (centres of subsets) is selected which contains several periods of the sinusoidal displacement. Both the subset-based DIC and Kriging DIC methods are applied to measure the displacements at the sample points and then calculate the Y-direction discrepancy and the normal discrepancy respectively. In both cases the displacement precision is quantified in terms of standard deviation σ_{ε} . The DIC algorithm parameters are given in Table 2 and the Normalized Sum of Squared Differences (NSSD) criterion was instead of the classical SSD for reasons of increased robustness [35].

Figure 3 and 4 and Figure 5 and 6 show the root mean squared error (RMSE) and the standard deviation of the normal discrepancy and the Y-direction discrepancy respectively. As expected, the RMSE and the standard deviation both decrease as the period of the sinusoid increases. In Figures 3 and 5 the 5% and 1.5% errors are shown and it is seen that the Kriging models with 0th, 1st and 2nd order regression polynomials are able to accurately represent sinusoidal displacements with low periods (high spatial frequency). The spatial resolution of a particular DIC code is given by half the period corresponding to the intersection of the RSME curve with the horizontal 5% or 1.5% line.

Figures 7 and 8 show the normal-direction measurement precision plotted against the spatial resolution for 5% and 1.5% errors. This result is particularly pleasing as it shows the Kriging DIC method to produce significantly better precision for the same spatial resolution as the 31×31 pixel subset DIC code. The equivalent Y-direction comparison is presented in Figures 9 and 10, where again the Kriging DIC approach produces improved results over the subset DIC code, but not such a great improvements as is apparent from Figures 7 and 8. The Y-direction measurement tends to overestimate the error, which is better estimated using the normal direction measure, and therefore the result shown in Figures 7 and 8 is considered to represent a better performance comparison than in Figures 9 and 10. It is clear also that increasing the order of regression in Kriging DIC enables a small improvement in spatial resolution to be achieved at considerable cost to measurement precision.

Parameter	value	
Amplitude	5 pixels	
Period	$25 \xrightarrow{25} 200$ pixels	
Gaussian noise (standard deviation)	3 grey values	

Table 1: Parameters of the sinusoidal deformed images

Table 2: Parameters of DIC algorithms

	Subset-based DIC	Kriging DIC
Criterion	NSSD	NSSD
Sample (control) points	31×10	31×10
Subset size	$31 \xrightarrow{10} 61$ (pixels)	
Regression order		0, 1st and 2nd
Kriging correlation function		Exponential
Shape function	2 nd -order	
Intensity interpolation	6×6 bi-cubic	6×6 bi-cubic



Figure 3. The RMSE of the normal discrepancy in terms of the percentage (5% and 1.5%) of the sinusoidal amplitude vs the period of sinusoidal deformation



Figure 4. The displacement precision (STD of normal discrepancy) vs the period of sinusoidal deformation



Figure 5. The RMSE of the Y-direction discrepancy in terms of the percentage (5% and 1.5%) of the sinusoidal amplitude vs the period of sinusoidal deformation



Figure 6. The displacement precision (STD of Y-direction discrepancy) vs the period of sinusoidal deformation



Figure 7 Displacement precision vs spatial resolution (one half of the periods) based on the normal-discrepancy RMSE under the criterion of 5% sinusoidal amplitude, for subset-based DIC using different subset sizes and Kriging DIC with different order regression functions



Figure 8 Displacement precision vs spatial resolution (one half of the periods) based on the normal-discrepancy RMSE under the criterion of 1.5% sinusoidal amplitude, for subset-based DIC using different subset sizes and Kriging DIC with different order regression functions



Figure 9 Displacement precision vs spatial resolution based on the Y-direction RMSE under the criterion of 5% sinusoidal amplitude, for subset-based DIC and Kriging



Figure 10 Displacement precision vs spatial resolution based on the Y-direction RMSE under the criterion of 1.5% sinusoidal amplitude, for subset-based DIC and Kriging DIC

5. Conclusion

The performance of the Kriging DIC algorithm in terms of measurement precision and spatial resolution is considered in this study. A comparison is made between Kriging DIC and the classical subset-based DIC on the basis of a series of speckle images with imposed sinusoidal deformation of various spatial frequencies. Two new measures of displacement discrepancy are used to redefine the measurement precision and spatial resolution for both local and global DIC. The difference between the true sinusoid and the measurement is determined most accurately when measured along the normal at the Kriging control points. This enables a better assessment to be made of the relative merits of different DIC algorithms. Results obtained using the RMSE criterion of 5% and 1.5% of the sinusoidal amplitude show Kriging DIC to be superior to classical sub-set based DIC in both displacement measurement precision and spatial resolution.

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