

1 **Title:** Metastasis-Inducing Proteins are Widely Expressed in Human Brain Metastases and
2 Associated with Intracranial Progression and Radiation Response

3 **Running title:** Metastasis-Inducing Proteins and Brain Metastases

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23 **Abstract**

24 Background: Understanding the factors that drive recurrence and radiosensitivity in brain metastases
25 would improve prediction of outcomes, treatment planning and development of therapeutics. We
26 investigated the expression of known Metastasis-Inducing Proteins in human brain metastases.

27 Methods: Immunohistochemistry on metastases removed at neurosurgery from 138 patients to
28 determine the degree and pattern of expression of the proteins S100A4, S100P, AGR2, osteopontin
29 (OPN) and the DNA repair marker FANCD2. Validation of significant findings in a separate
30 prospective series with investigation of intra-tumoral heterogeneity using image-guided sampling.
31 Assessment of S100A4 expression in brain metastatic and non-metastatic primary breast carcinomas.

32 Results: There was widespread staining for OPN, S100A4, S100P and AGR2 in human brain
33 metastases. Positive staining for S100A4 was independently associated with a shorter time to
34 intracranial progression after resection in multivariate analysis (hazard ratio for negative over positive
35 staining = 0.17, 95% CI: 0.04 – 0.74, p=0.018). S100A4 was expressed at the leading edge of brain
36 metastases in image guided sampling and overexpressed in brain-metastatic versus non-brain
37 metastatic primary breast carcinomas. Staining for OPN was associated with a significant increase in
38 survival time after postoperative whole brain radiotherapy in retrospective (OPN negative 3.43
39 months, 95% CI: 1.36 – 5.51 vs. OPN positive, 11.20 months 95% CI: 7.68 – 14.72, Log Rank test,
40 p<0.001) and validation populations.

41 Conclusions: Proteins known to be involved in cellular adhesion and migration *in vitro* and metastasis
42 *in vivo* are significantly expressed in human brain metastases and may be useful biomarkers of
43 intracranial progression and radiosensitivity.

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45

46

47 **Introduction**

48 Brain metastases (BMs) are common brain tumours in adults with a steeply rising incidence due to the
49 increased use of brain imaging in asymptomatic patients and prolonged survival from solid organ
50 cancers (Owonikoko *et al*, 2014). There are no known biological markers that are routinely used to
51 predict patient outcomes in BMs. Clinical factors are combined to generate predictions of overall
52 survival, but cannot predict intracranial progression and the various models are not individualised to
53 each patient, even if different primary cancer types are assessed separately (Sperduto *et al*, 2010).

54 We have previously identified two groups of proteins in the rat mammary model system that can
55 induce metastasis and are associated with clinical outcomes in patients with breast (de Silva Rudland
56 *et al*, 2011) and other solid organ cancers. S100A4 and S100P are small calcium-dependent regulatory
57 molecules that are suggested to work by inducing cellular migration and invasion directly (Gross *et al*,
58 2014). S100A4 is active in the brain microenvironment (Dmytriyeva *et al*, 2012), elevated levels are
59 associated with a metastatic phenotype, it cooperates with growth-inducing activated oncogenes to
60 yield growing metastases and carcinomas in S100A4 knockout mice do not metastasise to brain
61 (Bresnick *et al*, 2015). The second group – osteopontin (OPN) and anterior gradient 2 (AGR2) - work
62 primarily by inducing cellular adhesion to the extracellular matrix (ECM) that then allows migration
63 to take place (Liu *et al*, 2005; Moye *et al*, 2004). OPN binds the cell surface integrins $\alpha_v\beta_3$ / $\alpha_v\beta_5$ with
64 the latter widely expressed in human BMs and their microenvironment (Berghoff *et al*, 2014;
65 Schittenhelm *et al*, 2013). The integrin $\alpha_v\beta_3$ / $\alpha_v\beta_5$ inhibitor cilengitide induces cellular detachment
66 and apoptosis, and reduces proliferation in a panel of brain metastatic breast cancer cell lines
67 (Lautenschlaeger *et al*, 2013). AGR2 has been shown to be necessary and sufficient for migration *in*
68 *vitro* in a glioblastoma cell line (Hong *et al*, 2013). Finally, the underlying change that is believed to
69 result in selection for overexpression of these Metastasis-Inducing Proteins (MIPs) is a failure of
70 double-stranded DNA repair in a progenitor cell and in the breast this process is identified by
71 immunohistochemical loss of the Fanconi anaemia protein, complementation group D2 (FANCD2)
72 (Rudland *et al*, 2010). Notably other closely related proteins in this family (Fanconi anaemia protein,

73 complementation group A & G) have recently been shown to be overexpressed in BMs compared to
74 the primary breast carcinoma in paired human samples (Woditschka *et al*, 2014).

75 We therefore studied these MIPs in human brain metastases to investigate if they are overexpressed
76 and if their expression may be useful markers of clinical outcomes such as survival and progression.

77

78 **Materials and methods**

79 *Patients and specimens*

80 Patients with a diagnosis of brain metastasis were identified from histopathology records between
81 2005 and 2012 at a single institution and formalin-fixed, paraffin-embedded specimens were obtained
82 in 138 cases. Full clinical information was gathered and is summarised in Table 1. For validation and
83 investigation of intra-tumoral heterogeneity, 24 consecutive patients were included who underwent
84 neurosurgical resection of a solitary supratentorial metastasis in non-eloquent brain by image-guided
85 craniotomy as part of their standard care from 2014 - 2015. Clinical details are listed in
86 *Supplementary Data* (Table S1) and surgical, MRI techniques have been described previously
87 (Zakaria & Jenkinson, 2014). Ethical approval was granted for this study within the Walton Research
88 Tissue Bank for which all patients undergoing surgery are asked to give written informed consent
89 (NRES 11/WNo03/2). Further ethical approval for use of archival and primary breast carcinoma
90 specimens was granted by the UK Health Research Authority (NRES 12/NW/0778).

91

92 *Immunohistochemistry*

93 Histological sections were cut at 4 μ m on APES coated slides, dewaxed in xylene and rehydrated
94 through graded ethanol to water. Firstly, endogenous peroxidase activity in the tissue sections was
95 blocked by immersing the slides in 100% methanol containing 0.05% (v/v) H₂O₂ for 20 min at room
96 temperature. Sections were then incubated in a moisture chamber with antibodies diluted in

97 phosphate-buffered saline (PBS) containing 1% (w/v) bovine serum albumen (BSA) pH 7.4 as
98 described for each stain further in *Supplementary Data*.

99

100 *Assessment of staining*

101 Slides were analysed independently by two observers using light microscopy (RZ, NR) and
102 corroborated by a senior neuropathologist (DC). The percentage of nuclear and/or cytoplasm stained
103 tumour cells was recorded from well-separated sections of each specimen, 10 fields per section at
104 $\times 200$ magnification, at a minimum of 200 cells per field in a rigorous fashion as described previously
105 (Wang *et al*, 2006). There was agreement on positive staining (1% or above of cells positively stained
106 to any degree (de Silva Rudland *et al*, 2011)) in 94% of slides scored, with a kappa statistic of 0.884.
107 Slides were photographed using a Leica DFC310FX camera attached to a DM2000 microscope with
108 the LAS V3 software suite (Leica microsystems, 2014) with no additional filtering or post processing
109 of images.

110

111 *Statistical methods*

112 Time from surgery to death was recorded as overall survival (OS) and non-cancer deaths or those lost
113 to follow up censored at last recorded follow up. Progression free survival (PFS) was recorded as time
114 from surgery to documented intracranial progression as assessed by neuroradiologists using standard
115 (RANO) criteria (Quant & Wen, 2011). Patients who died before this point were censored at the last
116 date of follow up where there was no evidence of progression. Proportions were assessed using
117 Fisher's two-sided exact test. Time-to-event comparisons were made using Kaplan-Meier survival
118 analysis with Log Rank tests and multivariate analyses conducted using Cox's method. Data
119 processing was performed using SPSS version 22.0 (IBM, Chicago, IL) and R version 3.10 (R Core
120 Team, 2013).

121

122 **Results**

123 *Exploratory immunohistochemical staining*

124 Of 138 BMs assessed retrospectively, sixteen were negatively stained for OPN (11.6%) and 122
125 (88.4%) were positively stained in varying proportions and intensities. This staining was mainly
126 cytoplasmic with a stippled pattern, although some nuclear staining was also noted (Figure 1A). For
127 AGR2 38 (27.5%) BMs were negatively stained, whilst 100 (72.5%) showed cytoplasmic staining.
128 BMs from the posterior fossa that included cerebellar cortex showed incidental positive staining of
129 what appeared to be the granule cells, but this did not affect the tumour staining analysis (Figure 1B).
130 Assessment for S100P staining was positive (nuclear and cytoplasmic) in 102 BM (73.9%) cases,
131 negative in 36 (26.1%). In areas of white matter adjacent to tumour, occasional astrocytes were seen
132 to stain with anti-S100P antibody (Figure 1C), however, morphology and staining of serial sections
133 with GFAP clarified that these were not tumour cells, thus avoiding any false positives. Glial staining
134 for the purposes of this study was not considered further. For S100A4, 32 BMs (23.2%) were negative
135 and 106 (76.8%) stained to some degree (Figure 1D). Staining was both nuclear and cytoplasmic,
136 however smooth muscle and endothelium were also seen to stain avidly with this antibody as noted
137 previously. There was no staining of astrocytes nor peritumoral staining for S100A4 or OPN (Figure
138 1A,D). The heterogeneity of tissue staining was better appreciated in lower power micrographs
139 (*Supplementary Data*, Figure S1). There was no staining with antigen-blocked immune serum (Figure
140 1) or with non-immune serum (*Supplementary Data*, Figure S2) as negative controls. Melanoma cases
141 required a different coloured chromogen (*Supplementary Data*, Figure S3). The majority of BMs (113
142 or 81.9%) showed no immunoreactivity for FANCD2: only 25 (18.1%) showed weak cytoplasmic
143 staining and there was no nuclear staining in any cases.

144

145 *Association between MIPs and primary cancer type, clinical features*

146 Figure 2 and *Supplementary Data* (Table S2) show positive BM staining for each MIP by primary
147 cancer type. There was no significant variation in BM staining for the S100 proteins by primary

148 cancer (Fisher's Exact test for S100P $p=0.279$, S100A4 $p=0.135$). There were significantly more
149 AGR2 positive colorectal and non-small cell lung cancer BMs than expected ($p<0.001$) but fewer
150 OPN positive lung cancer BMs of all types ($p=0.033$). Importantly, none of the clinical features
151 which are traditionally used to determine prognosis in patients with BMs (Gaspar *et al*, 1997b;
152 Sperduto *et al*, 2008) were associated with positive staining for any of the MIPs (summarised in
153 *Supplementary Data*, Table S3).

154

155 *Association of MIPs with patient outcomes*

156 Median OS was 7.67 months (95% CI: 4.45 – 10.89) and only age < 60 years (HR= 0.56, 95% CI:
157 0.33 - 0.94, $p=0.028$) was found to be independently associated with prolonged OS. There was no
158 relation between positive MIP staining and OS (Figure 3A & *Supplementary Data*, Table S4).
159 Amongst patients receiving adjuvant WBRT, OS was 3.43 months (95% CI: 1.36 – 5.51) for OPN
160 negative cases but 11.20 months (95% CI: 7.68 – 14.72) for positive cases, Log Rank test, $p<0.001$.
161 There was no confounding difference in age (Student's t-test, $p=0.118$), performance status ($p=0.331$)
162 nor other clinical factors such as radioresistant tumour types (e.g. renal cancer BMs) between the
163 groups to explain this effect. Different cut-offs for positive staining were used to check if the
164 percentage of tumour cells staining positive related to response to WBRT. There was a non-
165 significant trend to prolonged median OS after WBRT with increasing percentage of positively OPN
166 stained tumour cells: 11.2 months if > 5%, 13.9 months if >25% and 15.9 months if >50% positively
167 stained.

168 Thirty solitary metastases that were completely resected showed intracranial progression at a median
169 of 18.9 months from surgery (95% CI: 6.54 – 31.26). Table 2 lists the clinical factors associated
170 significantly with prolonged PFS alongside MIP staining. As illustrated in Figure 3B, negative
171 staining for S100A4 in the resected BM was the only factor independently associated with a longer
172 PFS (HR for intracranial progression = 0.17, 95% CI: 0.04 – 0.74, $p=0.018$). Tumour heterogeneity
173 was assessed using different cut-offs for positive staining (see *Supplementary Data*, Figure S1 for

174 examples) and there was no difference in clinical factors or outcomes when assessing tumours with
175 >5%,>25%,or >50% of S100A4 positive staining cells, illustrated for PFS in Figure 3C.

176

177 *Subtypes of BMs from common primaries*

178 Forty patients with breast cancer were assessed separately and staining by subtype of breast
179 carcinoma is shown in *Supplementary Data*, Table S5. The median OS was 14.23 months (95% CI
180 9.21 – 19.26) and negative staining for S100A4 was independently associated with longer OS (HR for
181 death = 0.26, 95% CI: 0.08 - 0.80, p=0.019, Figure 4A) along with age <60 years (HR = 0.3, 95% CI:
182 0.11 - 0.81, p=0.017) and post-operative chemotherapy (HR = 0.12, 95% CI: 0.02 – 0.61, p=0.010).
183 As an additional check, when the disease specific- graded prognostic assessment (DS-GPA) factors
184 (Sperduto *et al*, 2010) for breast BM (age, subtype of carcinoma and performance status) were
185 combined in a model, the predictive value of staining for the protein persisted (HR for death in
186 S100A4 negative cases = 0.58, 95% CI: 0.35 - 0.96, p= 0.033). Intracranial progression occurred in
187 15/ 40 breast carcinoma patients and the 11 / 15 S100A4 positive cases showed significantly earlier
188 intracranial progression (median 9.77 months, 95% CI: 8.28 – 11.25) than the 4 /15 negatively stained
189 cases (median 27.03 months, 95% CI: 18.46 – 35.60, Log Rank test, p=0.023) (Figure 4B).

190 Non-small cell lung cancer patients had a median OS of 6.43 months (95% CI: 3.45 – 9.43) and 27
191 out of 38 received WBRT, this being the only factor associated with increased OS (HR of death if
192 WBRT omitted = 3.07, 95% CI: 1.08 – 8.69, p=0.035) regardless of incorporating MIP staining or the
193 DS-GPA factors. Only five of 38 patients developed intracranial progression - reflecting the burden of
194 systemic disease on survival in these cases – but notably all of those BMs stained positively for
195 S100A4.

196 There were 16 malignant melanoma cases and their median OS was 5.53 months (95% CI: 0.1 –
197 16.9). Incorporating the DS-GPA factors (number of BMs and performance status) with MIP staining
198 showed that positive staining for S100A4 in the BM (13/16 cases) was the only factor independently
199 associated with decreased OS (HR for death in negatively stained cases = 0.09, 95% CI:0.01 - 0.97,

200 p=0.047). Only 5/ 16 patients developed intracranial progression, and notably all of the S100A4
201 positive BMs progressed.

202

203 *Validation and investigation of intra-tumoral heterogeneity*

204 Unselected BM samples from 24 prospectively treated patients were analysed, taking 1% as the cut-
205 off for positive staining; 88% were S100A4 positive and 83% were OPN positive. This prospective
206 validation cohort showed no significant differences from the retrospective cases in patient age,
207 gender, size of operated metastasis, control of systemic disease, extracranial metastases or use of
208 adjuvant chemo- and radiotherapy (*Supplementary Data*, Table S1). 19 / 24 patients received adjuvant
209 WBRT and, as in the retrospective series, this conferred a survival advantage in OPN positive (6.3
210 months if irradiated vs 2.7 months if not, Log Rank test, p=0.001) but not in OPN negative cases
211 (p=0.08). In total 9 of 24 cases showed intracranial progression and all of these were S100A4 positive
212 (*Supplementary Data*, Figure S4). In the course of resection additional samples were obtained using
213 image guidance at the leading edge of the BMs and all the MIPs showed a non-significant trend to a
214 higher percentage of cells positive at the leading edge (Wilcoxon matched pairs analysis, p>0.05 for
215 each MIP). S100A4 showed the greatest difference between percentage of positively staining cells at
216 the edge and in the interior (ratio of 4.3 vs. 1.7 for OPN, 2.5 for AGR2, 3.2 for S100P) although this
217 ratio was not associated with any clinical outcome, nor was it related to primary tumour type.

218

219 *Relationship of S100A4 staining to development of brain metastases*

220 Given the relation of S100A4 overexpression to progression, the association of S100A4 with *risk* of
221 BMs in patients with known cancer was investigated. In a series of breast cancer patients with BMs,
222 22 / 27 of primary tumours (81%) were S100A4 positive compared to 18/117 (15%) in a group with
223 known non-metastatic breast cancer (Rudland *et al*, 2000) as shown in Figure 5 (Fisher's Exact test,
224 p<0.0001). The median time until development of BMs after diagnosis of breast cancer was 25.5

225 months (95% CI: 20.1 – 30.9) and was no shorter in the S100A4 positive cases (Log Rank test
226 $p=0.67$).

227

228 **Discussion**

229

230 We have shown for the first time that proteins which are (i) mechanistically proven to be involved in
231 extracellular matrix adhesion and cell migration *in vitro*, (ii) convey a metastatic phenotype -
232 including to brain - when overexpressed in animal models and (iii) are predictive of clinical outcomes
233 in a variety of solid organ cancer cohorts, are *also* highly expressed at the protein level in human BMs
234 and associate with important clinical outcomes. Previous publications have shown that the degree of
235 immunohistochemical staining of carcinoma cells for the proteins described, OPN (Rudland *et al*,
236 2002), S100A4 (Rudland *et al*, 2000), S100P (Wang *et al*, 2006), AGR2 (Barraclough *et al*, 2009) and
237 FANCD2 (Rudland *et al*, 2010) reflect the level of each particular protein in the specimens.

238

239 *Association of S100A4 with patient outcomes and possible clinical applications*

240 We found comparable outcomes to other large, multicentre series of BM patients with age and
241 performance status again shown to be strong predictors of OS (Gaspar *et al*, 1997a; Sperduto *et al*,
242 2008). Additionally, we find that S100A4 was expressed in all progressing melanoma and non-small
243 cell lung cancer BMs as well as being independently associated with time to intracranial progression
244 in breast cancer - where patients had the longest overall survival time - but not in lung cancer, where
245 patients were less likely to die from their brain disease. This result holds true even when known
246 clinical predictors for each cancer type are incorporated into multivariate models (Sperduto *et al*,
247 2008) and suggests that S100A4 has some role in spreading in the brain microenvironment; in support
248 of this suggestion the protein was seen to be expressed at the leading edge of BMs in image-guided
249 samples. It is known that S100A4 can reduce the formation of focal adhesions between cellular
250 filopodia and the extracellular matrix via myosin heavy chain IIA to cause cell migration, invasion

251 and metastasis (Gross *et al*, 2014) and thus it may represent a novel biological marker or a potential
252 drug target. There is already interest in this protein as a monoclonal antibody target in metastatic
253 melanoma and pancreatic cancer, following evidence that this family of proteins is a marker of
254 aggressive, advanced tumours (Weide *et al*, 2013) (Hernandez *et al*, 2013).

255

256 *Relationship of different proteins to BM development*

257 Although staining for three MIPs is somewhat elevated in these BMs, only that for S100A4 shows a
258 significant association with clinical outcomes in the form of time to intracranial progression in all
259 BMs (Figure 3), and OS in breast cancer (Figure 4) and melanoma BMs. Since positive staining for
260 S100A4 occurs more often in advanced rather than in early breast cancers in contrast to the other three
261 MIPs (de Silva Rudland *et al*, 2011; Winstanley *et al*, 2013), it may be that only S100A4 plays a role
262 in the subsequent progression of those patients with BMs, whilst the other three MIPs stimulate earlier
263 and different steps in the metastatic pathways. In support of this we show for the first time that
264 S100A4 is overexpressed in brain metastatic over non-metastatic breast cancers. Conversely, recent
265 analysis of protein expression in the MDA-MB-231BR breast cancer cell line metastatic to mouse
266 brains showed that S100A4 was under expressed compared to the parent MDA-MB-231 line (Dun *et al*
267 *et al*, 2015). However this report did not distinguish intra-from extracellular expression and was
268 conducted on a triple negative cell line whereas we found mostly HER2 and luminal subtypes in our
269 patient group of BM. Moreover, since most of the proteome changes in 231 BR cells were decreases
270 in individual protein levels, it is not clear whether the reduction in these proteins is important in
271 metastasis or the proteins are down-regulated because they have been selected against during the
272 multiple cycles of injection and recovery from the immunosuppressed mice (Dun *et al*, 2015). The
273 latter argument is more consistent with our earlier findings in thymectomised syngeneic rats and
274 genetically immune-suppressed mice (Rudland *et al*, 1989).

275

276 *OPN as a marker of radiosensitivity*

277 Although WBRT remains a pragmatic and readily available adjuvant treatment for BMs, there is
278 concern regarding the cognitive effects in survivors and alternative post-operative management
279 strategies are proposed. Using a simple BM marker to stratify patients as good or poor radiation
280 responders would therefore be an extremely useful clinical tool. Regarding therapeutics, cilengitide,
281 an $\alpha\beta3/\alpha\beta5$ integrin inhibitor known to have efficacy in the brain microenvironment, appears to
282 enhance radiation response in preclinical breast cancer BM models (Lautenschlaeger *et al*, 2013). It is
283 therefore plausible that overexpression of OPN, an $\alpha\beta3/\alpha\beta5$ integrin ligand, in the BM may predict
284 prolonged OS from adjuvant WBRT and this result merits further investigation.

285

286 *Limitations*

287 Although retrospective data - particularly for performance status - is undesirable, a range of common
288 cancers are represented in sufficient numbers to allow lung, breast and melanoma to be explored
289 separately and there were no missing data fields. To validate either protein as a clinical biomarker, a
290 larger prospective study would be required recording tumour and possible also serum IHC levels of
291 S100A4 and OPN alongside clinical outcomes (Dancey *et al*, 2010). MRI of asymptomatic patients at
292 regular, e.g. 2 monthly follow up, would have captured more detail on intracranial progression,
293 reducing censored data in this category and clarifying if this were at the site of surgery, distant or
294 leptomeningeal (an under-recognised phenomenon).

295

296 **Conclusions**

297 Proteins known to be involved in cellular adhesion and migration *in vitro* and metastasis *in vivo* are
298 significantly expressed in human brain metastases and may be useful biomarkers of intracranial
299 progression and radiosensitivity.

300

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305

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435

436 **Figure legends**

437

438 Figure 1: Staining for the Metastasis-Inducing Proteins in human brain metastases. A. Osteopontin in
439 the tumour cytoplasm of a lung adenocarcinoma metastasis with some staining of the neuropial
440 material in adjacent white matter. White matter and microglia, astrocytes were easily distinguished
441 morphologically from tumour cells and their staining was not counted when scoring slides. B. AGR2
442 staining was seen mainly in the cytoplasm with no uptake in surrounding white matter as shown in
443 this lung adenocarcinoma metastasis. C. S100P staining in a lung adenocarcinoma with adjacent white
444 matter shown – this protein, as in previous studies, was overexpressed in connective tissue and
445 smooth muscle. D. Nuclear and cytoplasmic staining for the protein S100A4 is shown in a brain
446 metastasis from a breast carcinoma with avid staining of the endothelium also demonstrated. Taken at
447 x100 and x400 magnification with scale bars shown (=100µm) and antigen-blocked immune serum
448 controls given alongside.

449

450 Figure 2: Binary heat map showing the immunohistochemical staining of 138 brain metastases
451 removed at neurosurgery for the Metastases-Inducing Proteins osteopontin (OPN), S100A4, S100P,
452 anterior gradient 2 (AGR2) and FANCD2. Brain metastases are grouped by the primary cancer of
453 origin with red squares showing positive staining of any degree ($\geq 1\%$ carcinoma cells stained) and
454 green squares indicating negative staining.

455

456 Figure 3: (A) Survival of patients with and (B,C) disease progression of 138 brain metastases from
457 different primary sites. A. Proportion of patients surviving is plotted against overall survival time as
458 Kaplan-Meier curves for positive (>1% carcinoma cells stained) and negative (<1% carcinoma cells
459 stained) immunohistochemically stained brain metastases for S100A4. Survival time was not
460 significantly associated with staining for S100A4 (Log rank test, $p=0.222$). B. Proportion of patients
461 surviving without intracranial progression is plotted against time as Kaplan-Meier curves for positive
462 and negative immunohistochemically stained brain metastasis for S100A4. These patients had a
463 grossly resected tumour. Median time to progression was significantly shorter in cases staining
464 positive for S100A4 (11.77 months, 95% CI: 7.07 – 16.47) versus negatively stained cases (27.03
465 months, 95% CI: 16.49 – 37.57), Log Rank test, $p=0.007$. This effect persisted in multivariate Cox
466 analysis (HR 0.166, 95% CI: 0.04 – 0.74, $p=0.018$). C. S100A4 positive cases in B above are
467 subdivided into categories by the proportion of carcinoma cells in the specimen staining to various
468 degrees for the S100A4 protein (pooled Log-Rank test (4 df) = 9.806, $p = 0.044$). Ticks indicate
469 censored data in all panels.

470

471 Figure 4: (A) Survival of patients with and (B) disease progression of 40 brain metastases from
472 primary breast cancer stained for S100A4. A. Proportion of patients surviving is plotted against
473 overall survival time as Kaplan-Meier curves for positive (>1% carcinoma cells stained) and negative
474 (<1% carcinoma cells stained) immunohistochemically stained brain metastases for S100A4. Positive
475 staining in the brain metastasis was significantly associated with shorter overall survival in
476 multivariate (Cox) analysis (HR of 0.26, 95% CI: 0.08 to 0.80, $p=0.019$) adjusted for age using the
477 average covariate method (Makuch, 1982). B. Proportion of patients surviving without intracranial
478 progression is plotted against time to intracranial progression as Kaplan-Meier curves for positive and
479 negative immunohistochemically stained brain metastases for S100A4. Fifteen out of the 40
480 developed intracranial progression and of these, 11/15 cases which were positively stained for
481 S100A4 showed significantly earlier progression (median 9.77 months, 95% CI: 8.28 – 11.25) than
482 the 4 negatively stained cases (median 27.03 months, 95% CI: 18.46 – 35.60, Log Rank test,

483 p=0.023). Ticks indicate censored data in all panels.

484

485

486 Figure 5: Comparison of staining for S100A4 in non-metastatic and brain metastatic breast cancers.

487 The proportion of primary tumours staining positively for S100A4 in a group of previously reported

488 patients (de Silva Rudland *et al*, 2011) with non-metastatic breast carcinoma surviving over 20 years

489 was found to be significantly different from that of a group of breast carcinoma cases known to be

490 brain metastatic (Fisher's Exact test, $p < 0.0001$). There was no significant increase in S100A4

491 positivity in the breast BMs themselves compared to the primary breast tumours nor in the proportion

492 of S100A4 positive staining in BMs from other primaries compared to those from primary breast

493 cancer (Fisher's Exact test, $p = 0.39$, $p = 0.27$ respectively).

494

495

496

497 **Abbreviations used**

498 AGR2 = anterior gradient 2; APES = 3 aminopropyltriethoxy; BM = brain metastasis; BSA = bovine

499 serum albumin; CI = confidence interval; DS-GPA = disease specific graded prognostic assessment;

500 ECM = extracellular matrix; FANC = Fanconi anaemia complementation group; HR = hazards ratio;

501 IHC = immunohistochemistry; MIPS = metastasis-inducing protein; MRI = magnetic resonance

502 imaging; OPN = osteopontin; OS = overall survival; PFS = progression free survival; RANO =

503 response assessment in neuro-oncology; WBRT = whole brain radiotherapy

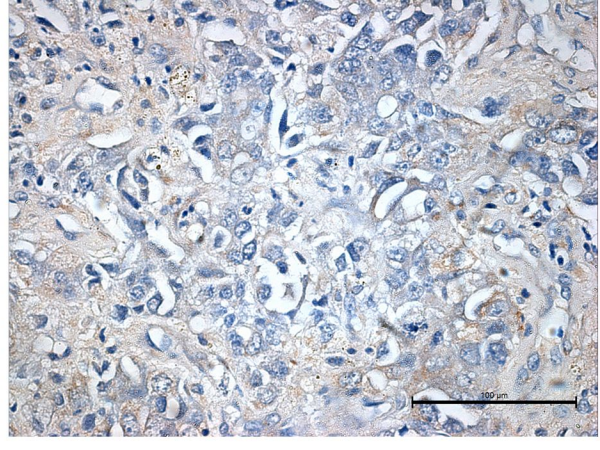
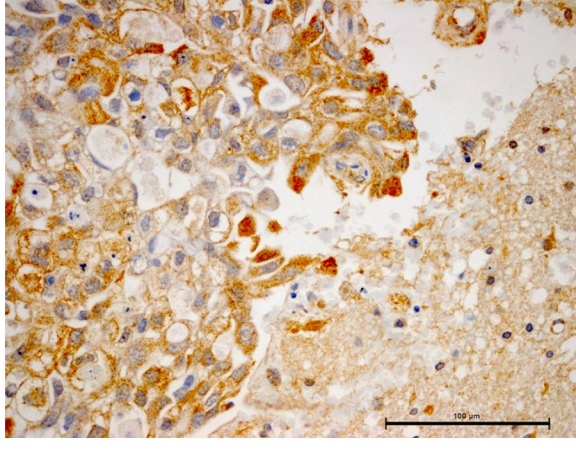
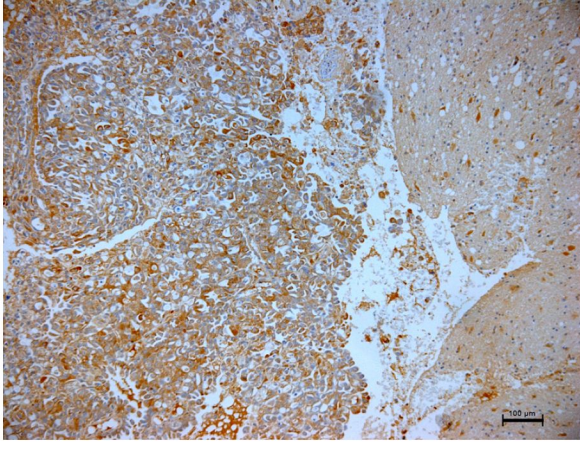
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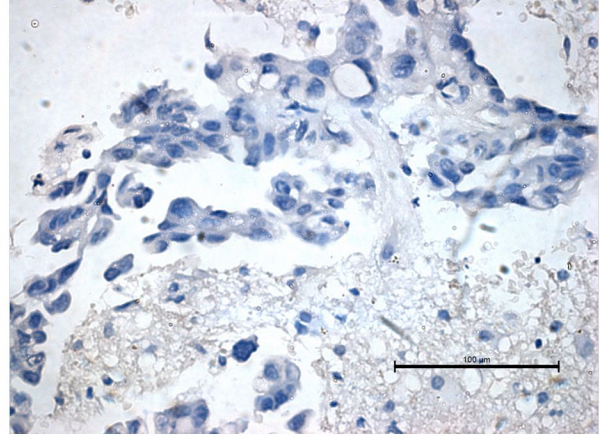
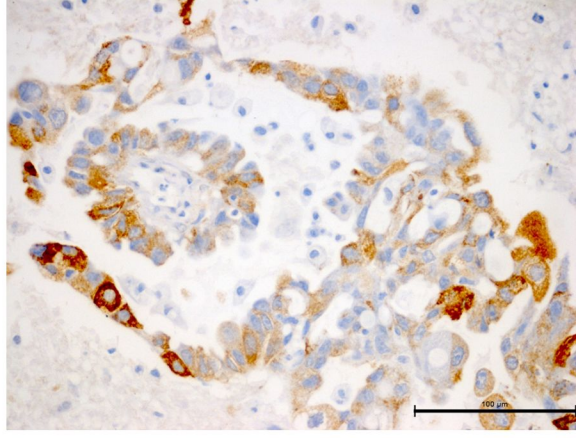
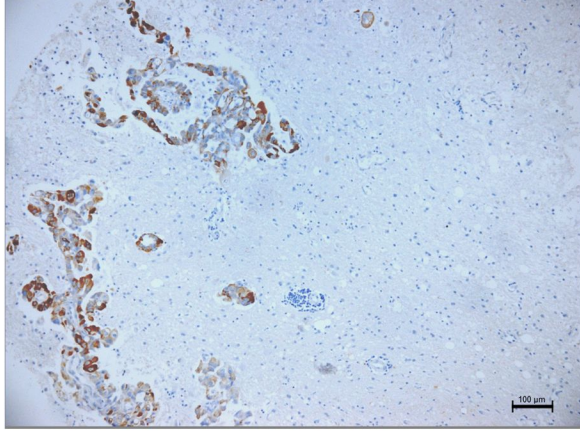
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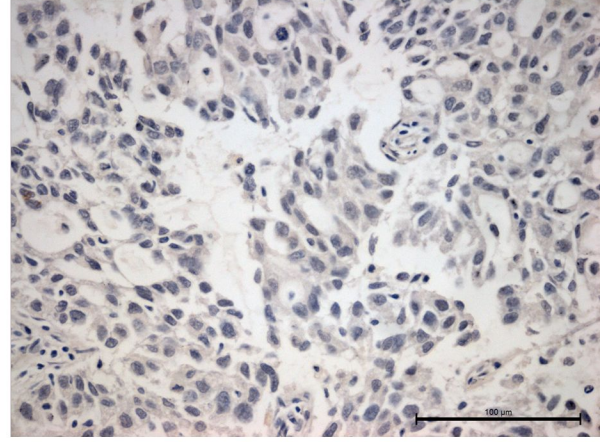
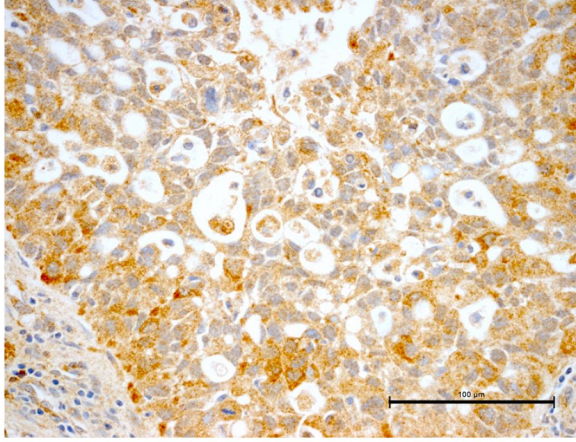
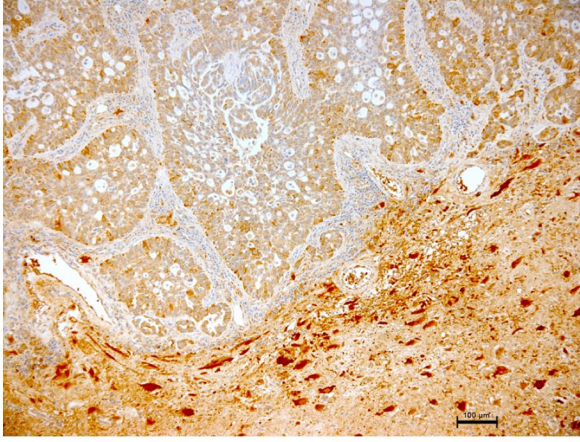
A
Osteopontin



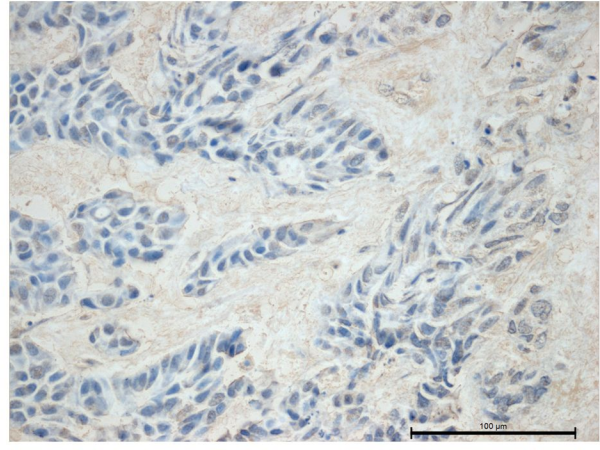
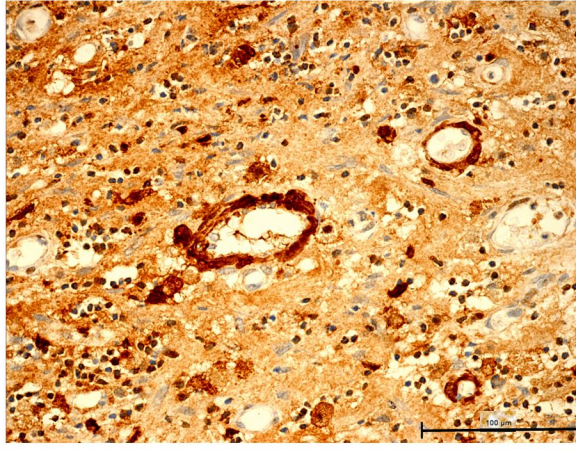
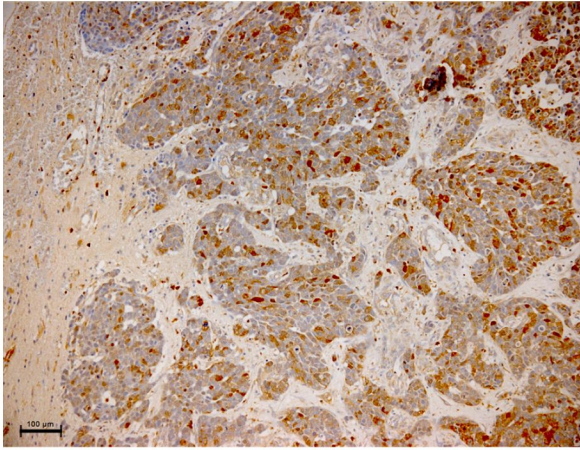
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AGR2

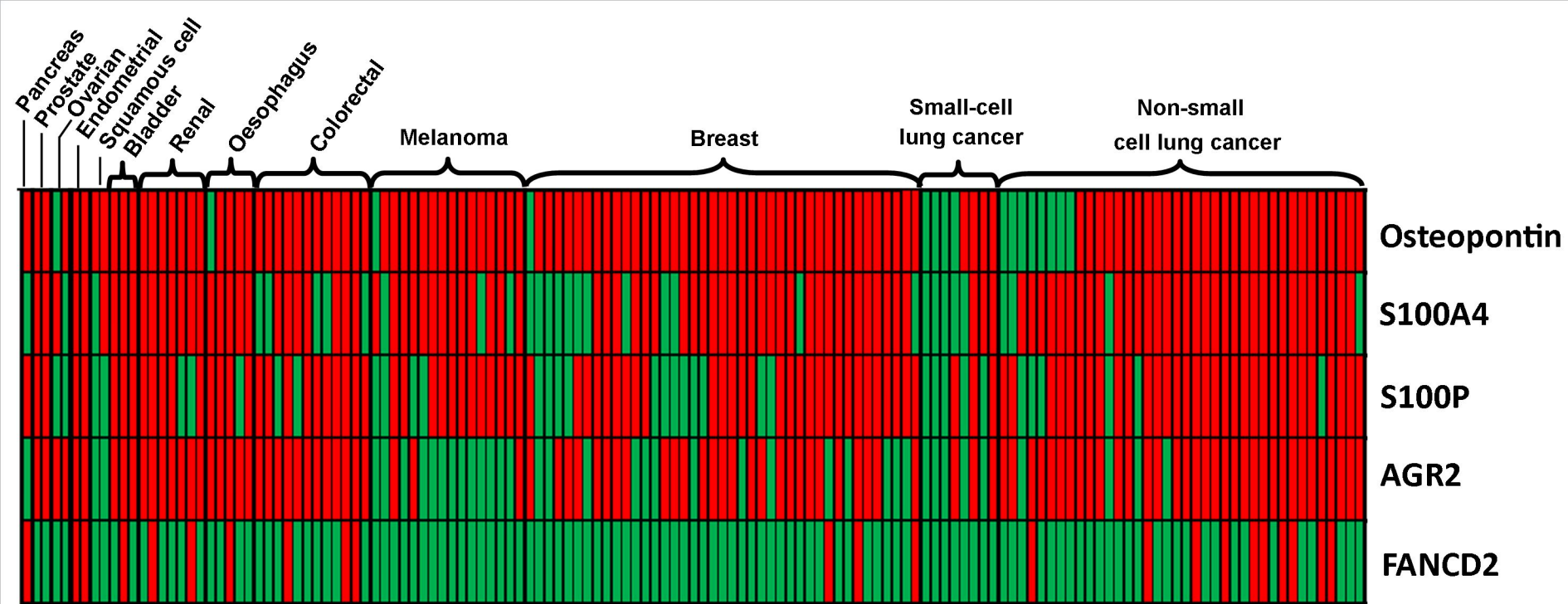


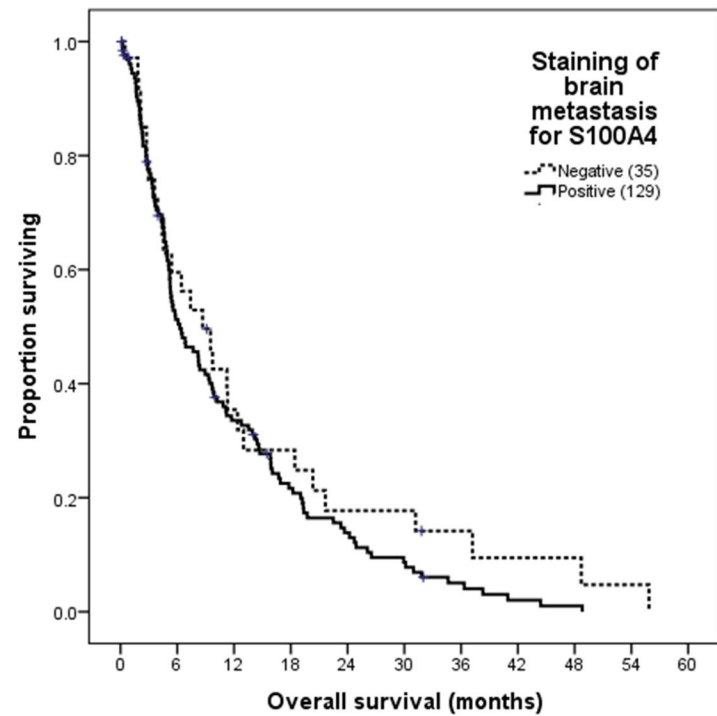
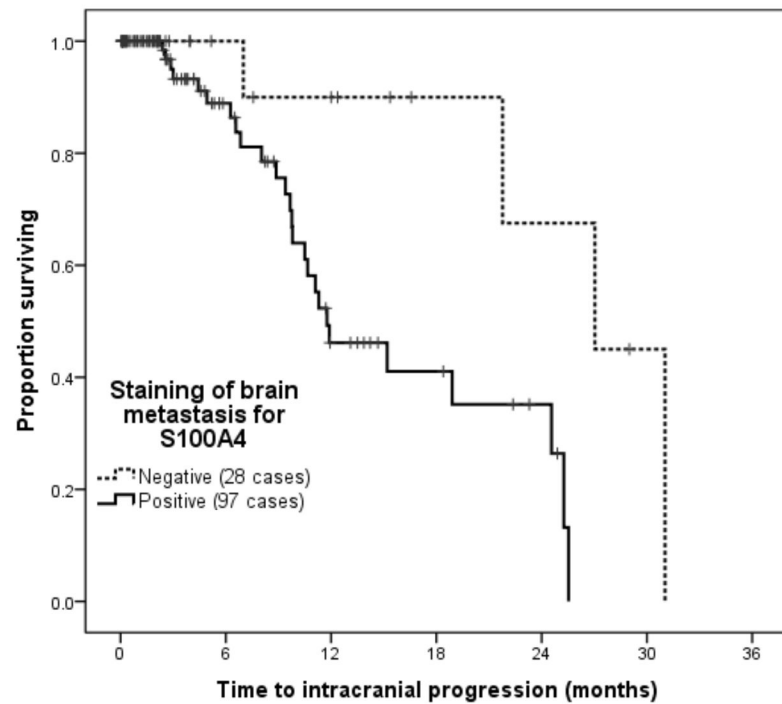
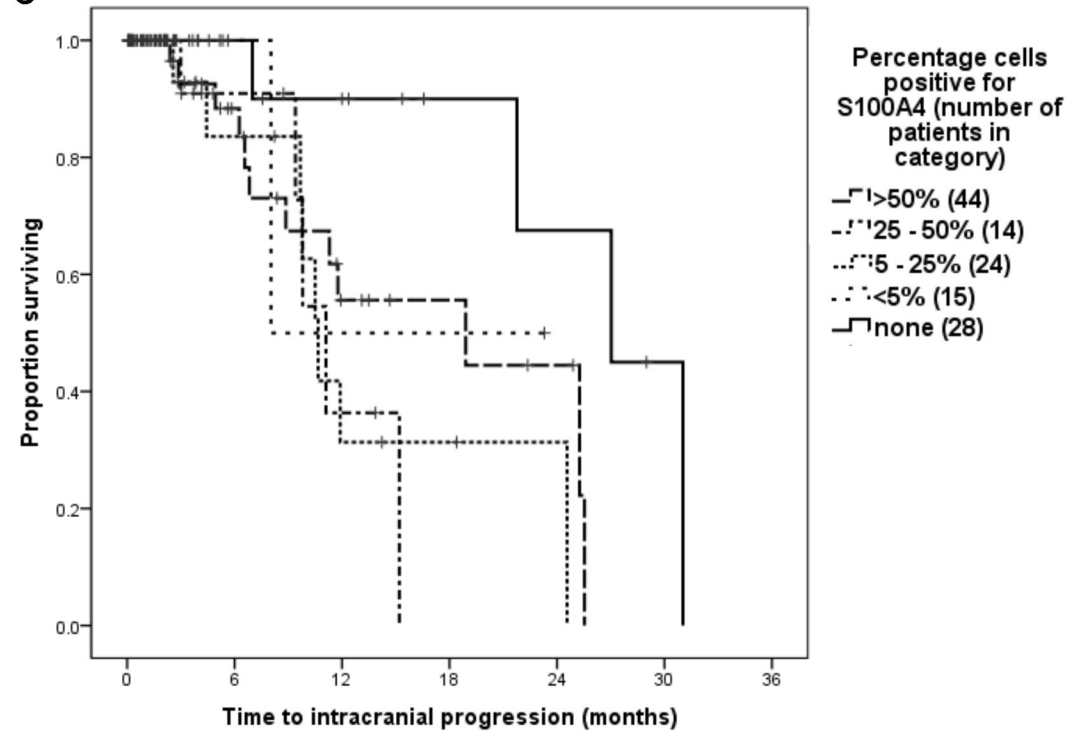
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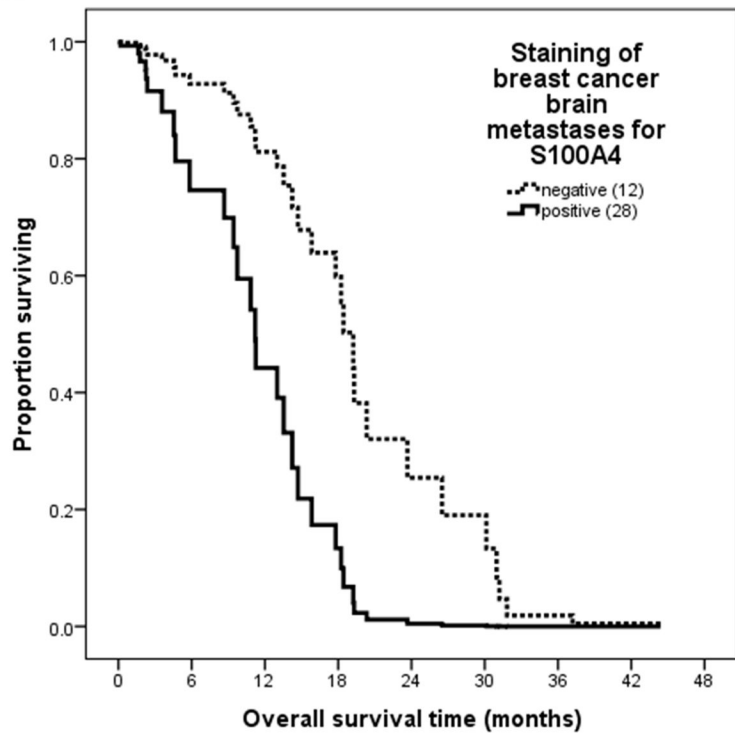
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S100A4



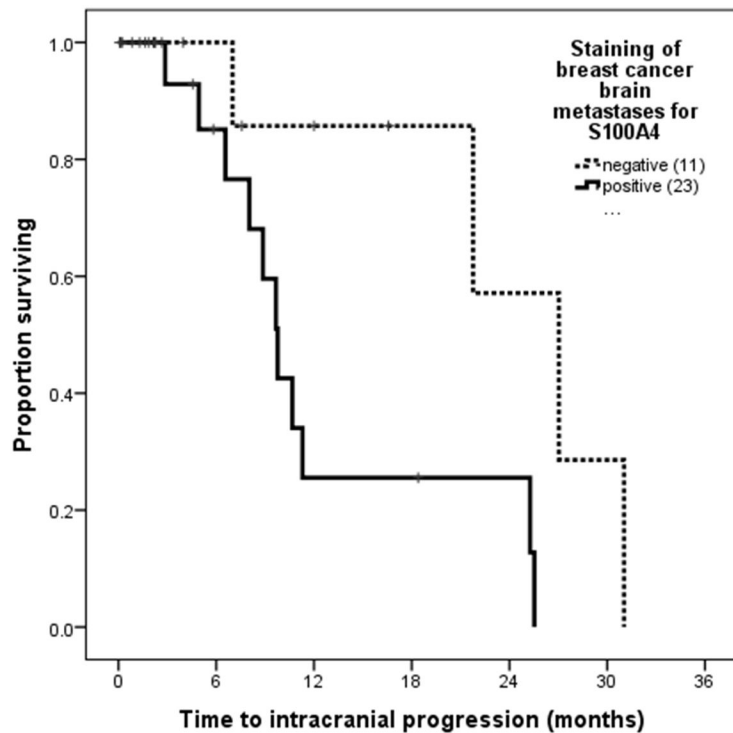


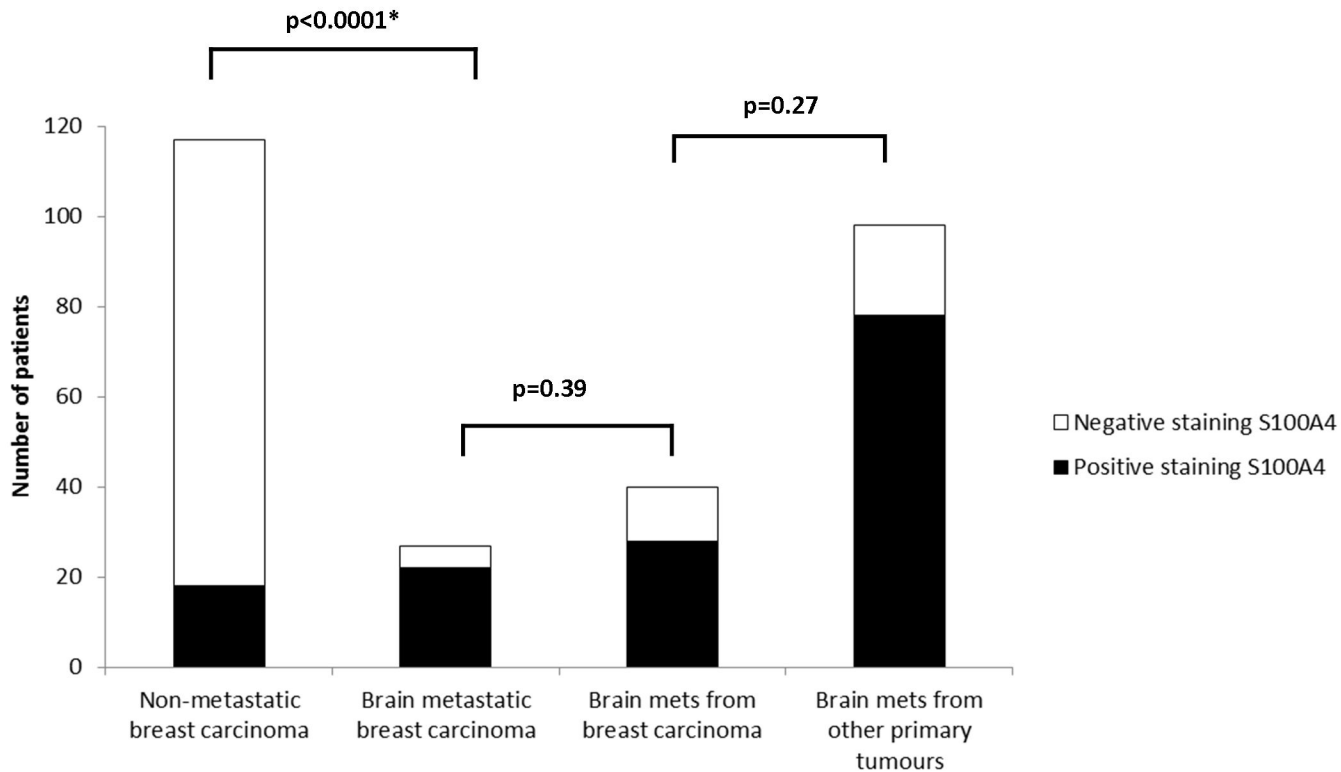
A**B****C**

A



B





Age at surgery (median, range)		59.9 years (20.3 – 82.4)	
		Number	Percentage total
Karnofsky performance status	< 70%	101	73.2%
	> 70%	37	26.8%
Location of operated metastasis	Posterior fossa	33	23.9%
	Supratentorial	105	76.1%
Number of brain metastases	Multiple	26	18.8%
	Solitary	112	81.2%
Size of operated metastasis (diameter)	< 30mm	56	40.6%
	> 30mm	82	59.4%
Primary cancer controlled?	No	29	29.9%
	Yes	68	70.1%
Extra-cranial metastases?	Absent	91	65.9%
	Present	47	34.1%
Synchronous presentation: primary and brain metastases?	No	97	70.3%
	Yes	41	29.7%
Primary cancer histology	Bladder	3	2.2%
	Breast	40	29%
	Endometrial	2	1.4%
	Colorectal	12	8.7%
	Renal	7	5.1%
	Melanoma	16	11.6%
	Non-small cell lung	38	27.5%
	Oesophagus	5	3.6%
	Ovarian	2	1.4%
	Pancreas	1	0.7%
	Prostate	2	1.4%
	Small cell lung	8	5.8%
	Squamous cell	2	1.4%
Type of operation	Biopsy	1	0.7%
	Gross total resection	127	92%
	Subtotal resection	10	7.2%
Whole brain radiotherapy after neurosurgery*	No	33	23.9%
	Yes	105	76.1%
Chemotherapy after neurosurgery	No	86	62.3%
	Yes	52	37.7%

*30Gy/5# most common

Table 2. Clinical and biological factors associated with prolonged progression free survival time (PFS) from resection to first brain progression of a metastasis. Significant relations highlighted (*).

Factor (events / total)	Median PFS / months (95% CI)	Log rank comparison & significance	HR (95% CI) & significance in Cox regression
Age			
<60 years (26 / 63)	11.3 (3.49 – 19.1)	4.813, p= 0.028*	0.97 (0.94 – 1.01), p=0.059
>60 years (4 / 62)	Not reached		
Performance status			
KPS>70% (30 / 90)	18.9 (6.54 – 31.26)	3.245, p=0.072	
KPS<70% (0 / 35)	Not reached		
S100A4 staining			
Positive (26 / 97)	11.77 (7.07 – 16.47)	7.295, p=0.007*	0.17 (0.04 – 0.74), p=0.018*
Negative (4 / 28)	27.03 (16.49 – 37.57)		
S100P staining			
Positive (24 / 95)	15.2 (6.16 – 24.25)	0.623, p=0.43	
Negative (6 / 30)	24.57 (0 – 49.5)		
AGR2 staining			
Positive (20 / 95)	21.77 (10.85 – 32.69)	1.117, p=0.291	
Negative (10 / 30)	11.10 (8.06 – 14.14)		
OPN staining			
Positive (28 / 110)	19.9 (6.25 – 31.5)	0.035, p=0.851	
Negative (2 / 15)	15.2 (NA)		
FANCD2 cytoplasmic staining			
Positive (4 / 23)	21.77 (6.88 – 36.66)	0.113, p=0.737	
Negative (26 / 102)	15.20 (4.96 – 25.44)		