

# Sub-slab mantle anisotropy beneath south-central Chile

Stephen P. Hicks\*, Stuart E.J. Nippres, Andreas Rietbrock

*School of Environmental Sciences, University of Liverpool, Liverpool, L69 3GP UK*

---

## Abstract

Knowledge of mantle flow in convergent margins is crucial to unravelling both the contemporary geodynamics and the past evolution of subduction zones. By analysing shear-wave splitting in both teleseismic and local arrivals, we can determine the relative contribution from different parts of the subduction zone to the total observed SKS splitting, providing us with a depth constraint on anisotropy. We use this methodology to determine the location, orientation and strength of seismic anisotropy in the south-central Chile subduction zone. Data come from the TIPTEQ network, deployed on the forearc during 2004-2005. We obtain 110 teleseismic SKS and 116 local good-quality shear-wave splitting measurements. SKS average delay times are 1.3 s and local S delay times are only 0.2 s. Weak shear-wave splitting from local phases is consistent with a shape preferred orientation (SPO) source in the upper crust. We infer that the bulk of shear-wave splitting is sourced either within or below the subducting Nazca slab. SKS splitting measurements exhibit an average north-easterly fast direction, with a strong degree of variation. Further investigation suggests a relationship between the measurement's fast direction and the incoming ray's back-azimuth. Finite-element geodynamic modelling is used to investigate the strain rate field and predicted LPO characteristics in the subduction zone. These models highlight a thick region of high strain rate and strong S-wave anisotropy, with plunging olivine a-axes, in the sub-slab asthenosphere. We forward model the sub-slab sourced splitting with a strongly anisotropic layer of thick asthenosphere, comprising olivine a-axes oriented parallel to the direction of subduction. The subducting lithosphere is not thick enough to cause 1.2 s of splitting, therefore our results and subsequent models show that the Nazca slab is entraining the underlying asthenosphere; its flow causes it to be strongly anisotropic. Our observation has important implications for the controlling factors on sub-slab mantle flow and the movement of asthenospheric material within the Earth.

---

\*Corresponding author. Tel.: +44 151 794 5160  
*Email address:* s.hicks@liv.ac.uk

*Keywords:* south-central Chile, subduction, shear-wave splitting, seismic anisotropy, mantle flow, asthenospheric entrainment

---

## 1. Introduction

Many geodynamic processes in the Earth’s subsurface leave behind a signature of seismic anisotropy; this is the dependence of a seismic wave’s propagation direction on its velocity. Shear-wave splitting analysis is one of the most robust and popular methods of inferring seismic anisotropy. Shear-wave splitting occurs when a single S-wave passing through an anisotropic medium splits into a fast component and a delayed slow component. A splitting measurement is characterised by two parameters: fast polarisation direction (hereafter, fast direction) and the difference in arrival times between fast and slow components (hereafter, delay time). It is analogous to the optical birefringence seen in anisotropic materials under polarised light. The fast direction indicates the geometry of anisotropy within the medium; the delay time indicates both the strength of seismic anisotropy and the anisotropic layer’s thickness. Shear-wave splitting can resolve lateral variations in seismic anisotropy; however, its depth resolution is poor, resulting in the inherent non-uniqueness of interpretations.

Due to the complex geodynamics associated with subduction zones, there have been numerous shear-wave splitting studies (e.g. Long and van der Hilst, 2006; Hammond et al., 2010) over the last two decades focused on determining the anisotropic structure of these margins. The overriding lithosphere, the supra-slab asthenosphere, the sub-slab asthenosphere and the subducting oceanic lithosphere, have all been proposed to contain sources of seismic anisotropy. With these numerous sources, along-strike variations in subduction characteristics and the poor depth resolution inherent in shear-wave splitting, there is no one model which fits all global subduction zone splitting observations. It is possible to reduce the depth uncertainty by analysing splitting using both teleseismic SKS arrivals and local S-waves emanating from the slab. Predicting the spatial variation in seismic anisotropy through numerical geodynamic modelling (e.g. Fischer et al., 2000; Kneller and Van Keken, 2007) can also help to further localise potential sources.

Both sub-slab and supra-slab asthenospheric flow are likely the biggest contributors to splitting due to the lattice preferred orientation (LPO) of olivine under shear (e.g. Mainprice et al., 2005). Anisotropy caused by supra-slab asthenospheric flow is well studied in a number of subduction zones: it appears to be affected by 3D slab geometry (e.g. Polet et al., 2000; Peyton et al., 2001; Anderson et al., 2004; Kneller and van Keken, 2008), and melt, water and olivine transitions (e.g. Karato et al., 2008), but remains poorly constrained. Sub-slab sourced anisotropy, however, is less well studied. Most subduction zones are inferred to comprise trench-parallel,

33 asthenospheric flow beneath the slab, due to slab-rollback induced barrier flow (Long and Silver,  
34 2009). There are several exceptions to this rule; one example is Cascadia, where observations  
35 of trench-perpendicular splitting are believed to be caused by a thick sub-slab decoupling zone  
36 (Long and Silver, 2009) with entrainment of the underlying asthenosphere in the direction of  
37 the slab's absolute plate motion (APM). Furthermore, Hammond et al. (2010) suggest that the  
38 oceanic mantle in the Sumatran subduction zone contains anisotropy in the direction of the  
39 plate's APM caused by development of LPO, and its subsequent preservation, during formation  
40 of lithosphere at spreading centres.

41 Seismic anisotropy also develops through shape-preferred orientation (SPO) in aligned faults,  
42 fractures and melt inclusions. Shear-wave splitting caused by SPOs in the crust are believed to  
43 result in regional fast directions parallel to the maximum horizontal compressive stress direction,  
44  $\sigma_H$  (Crampin et al., 2004), and locally, parallel to the strike of major faults (Ozalaybey and  
45 Savage, 1995). Recent work by Faccenda et al. (2008) and Healy et al. (2009) suggests that deep-  
46 seated normal faults in the subducting oceanic lithosphere could be a significant contributor to  
47 trench-parallel shear-wave splitting, although the strength of anisotropy is dependent on the  
48 volume fraction of antigorite-filled fractures and their aspect ratio (Faccenda et al., 2008).

49 The traditional first-order pattern of SKS splitting along the Andean margin is strong split-  
50 ting (delay times of 1-2 s) with trench-parallel fast directions (e.g. Russo and Silver, 1994;  
51 Rokosky et al., 2006; Long and Silver, 2008), caused by sub-slab asthenospheric barrier flow  
52 (Long and Silver, 2009). With recent shear-wave splitting observations from dense seismic net-  
53 works (e.g. Polet et al., 2000; Anderson et al., 2004), however, this picture appears to be looking  
54 more complex (Fig. 1, inset). These studies reveal the existence of several significant rotations to  
55 trench-perpendicular flow, one such example is in central Chile, where changes in slab geometry  
56 are thought to initiate complex three-dimensional flow (Anderson et al., 2004). Another region  
57 of interest is the Chile ridge triple-junction in southern Chile, where a sharp southerly transition  
58 from trench-parallel flow to trench-perpendicular flow is suggested to be caused by sub-crustal  
59 derived asthenosphere flowing into the Patagonian slab window (Russo et al., 2010). In south-  
60 central Chile, Helffrich et al. (2002) and MacDougall et al. (2010) analysed shear wave splitting  
61 data from a permanent station, PLCA, located at 40°S. Helffrich et al. (2002) observed strong  
62 teleseismic shear-wave splitting parallel to the direction of the South American plate's APM,  
63 and attributed this to mantle flow driven by both Atlantic plume buoyancy flux and Nazca slab  
64 rollback. MacDougall et al. (2010) reveal that strong teleseismic delay times continue further  
65 east, at station TRQA, located in the back-arc, although the fast polarisation directions be-



66 come more ENE oriented. They also observed relatively strong ( $< 0.9$ s) local splitting at both  
67 stations; this is evidence for supra-slab asthenospheric flow.

68 In this study, we use data from a dense temporary seismic array concentrated on the south-  
69 central Chilean forearc in order to test models of anisotropic sources both within, and beneath,  
70 the slab. The uniform slab geometry beneath the region allows us to interpret sub-slab as-  
71 thenospheric flow without the complicating factor of three-dimensional mantle flow. We present  
72 shear-wave splitting measurements and numerical models to support a new mechanism of seismic  
73 anisotropy in this region along the Andean margin, which involves sub-slab entrained astheno-  
74 spheric flow.

## 75 **2. Geotectonic setting**

76 The Andean margin of South America is characterised by convergence between the oceanic  
77 Nazca plate and the continental South American plate; this is accommodated by the slightly  
78 oblique subduction of the Nazca plate beneath the overriding South American plate, at the  
79 Chile trench (Fig. 1). In this paper, we focus on the south-central Chile forearc at around  
80  $38^\circ\text{S}$ ; here the 30 Ma Nazca plate (e.g. Tebbens and Cande, 1997; Müller et al., 2008) converges  
81 with South America at a rate of approximately  $66\text{ mm.yr}^{-1}$  (e.g. Angermann et al., 1999; Gripp  
82 and Gordon, 2002). Wadati-Benioff seismicity indicates a shallow dipping ( $30^\circ$ ) slab beneath  
83 the forearc, with the deepest seismicity at 115 km depth (Bohm et al., 2002; Haberland et al.,  
84 2006, 2009). The oceanic Moho has been imaged at both the outer-rise and beneath the forearc,  
85 suggesting an oceanic crustal thickness of 8 km (Contreras-Reyes et al., 2007; Groß and Micksch,  
86 2008). Offshore of the trench, deep-seated normal faults have been observed; these are believed  
87 to continue in the subducted crust, landward of the trench (Contreras-Reyes et al., 2007).

88 The geometry of the subducting Nazca slab interface and the overriding continental litho-  
89 spheric structure is well understood in this region following the three-dimensional velocity model  
90 of Haberland et al. (2009). The overriding continental forearc reaches a maximum depth of  
91  $\sim 50$  km beneath the Coastal Cordillera,  $\sim 200$  km from the trench. This coincides with the  
92 furthest westward extent of continental mantle and the downdip limit of the seismogenic zone.  
93 Beneath the forearc basin,  $\sim 230$  km from the trench, the continental crust is significantly thinned  
94 to 30 km. Supra-slab asthenospheric mantle is not believed to be present beneath the study re-  
95 gion. A prominent feature of the continental crust is the steeply dipping, northwest-striking  
96 Lanalhue fault zone; it has been imaged to 10 km depth (Groß and Micksch, 2008) and is related

97 to localised intra-plate seismicity (Haberland et al., 2006). It is predominately a sinistral strike-  
98 slip fault, which is associated with several secondary fault splays. Melnick et al. (2009) speculate  
99 that this fault zone could extend through the entire crust, interacting with the seismogenic zone  
100 at the subduction interface.

### 101 3. Data and method

102 The TIPTEQ (The Incoming Plate to Megathrust Earthquake Processes) project deployed  
103 a temporary seismic array between November 2004 and October 2005 in south-central Chile  
104 (Rietbrock et al., 2005; Haberland et al., 2006, 2009). The array covered the entire forearc  
105 over an area of approximately 250 km<sup>2</sup> (Fig. 1); it comprised 120 stations equipped with three-  
106 component short-period seismometers. The dense station spacing (less than 5 km in places) and  
107 numerous high quality local S-wave arrivals permits a high resolution study of the anisotropic  
108 structure beneath this region.

109 In total, we analyse 219 teleseismic events within the SKS epicentral distance range, corre-  
110 sponding to  $\sim 7400$  SKS waveforms. Most events were sourced in the Tonga-Kermadec, Indone-  
111 sian and Philippine subduction zones. For local events, we use the catalogue of Haberland et al.  
112 (2009), which contains 439 relocated local earthquakes. In total, we use 30 local events beneath  
113 the central valley and the western margin of the volcanic region to ensure that source-receiver  
114 angles fall within the shear-wave window. Most events were located at either the upper plate  
115 interface of, or within, the subducting oceanic crust. Several events were located within the  
116 overriding continental crust.

117 Prior to shear-wave splitting analysis, we bandpass filter the teleseismic and local seismic  
118 traces in the frequency ranges 0.01 - 0.30 Hz and 0.10 - 1.00 Hz, respectively. The overlap in these  
119 frequency ranges ensures that differential frequency dependent effects on splitting results are  
120 minimised to ensure a coherent interpretation (Hammond et al., 2010).

121 For the shear-wave splitting analysis, we use the method of Silver and Chan (1991), which  
122 corrects the split elliptical S-wave back to linear particle motion. The algorithm does this by  
123 performing a grid search over all possible values of fast polarisation,  $\phi$  and delay time,  $\delta t$ . For  
124 a given time window, the most stable combination of these is the one which minimises the sec-  
125 ond eigenvalue of the particle motion covariance matrix. We employ the Teanby et al. (2004)  
126 algorithm to semi-automatically perform the grid search on a number of window lengths, using  
127 cluster analysis to ensure that a splitting measurement is stable over many different windows.

128 This method automatically calculates the source polarisation direction during the splitting cal-  
129 culation.

130 A measurement is defined as “good” if the splitting measurement analysis has a signal to  
131 noise ratio,  $\text{SNR} \geq 3$  (Restivo and Helffrich, 1999), the particle motion becomes linearised and  
132 minimisation of energy on the transverse component after correction. During the cluster analysis  
133 stage, we look for a tight group of clusters to ensure that the measurement is not sensitive to  
134 window length. Furthermore, for the SKS splitting, the source polarisation direction (SPOL)  
135 should be approximately equal to the ray’s theoretical back-azimuth (BAZ) due to the radial  
136 polarisation at the core-mantle boundary (e.g. Hammond et al., 2010). Measurements which  
137 yield a SPOL - BAZ residual greater than  $\pm 30^\circ$  are rejected, good measurements also have  $1\sigma$   
138 errors less than  $\pm 10^\circ$  in fast direction ( $\phi$ ), and  $\pm 0.3$  s in delay time ( $\delta t$ ). Linear particle motion  
139 on the original rotated traces are diagnostic of a null measurement; these are not included in  
140 the final analysis. See Figs. S1 and S2 for an example of good quality SKS and local S splitting  
141 measurement, respectively.

## 142 4. Results

### 143 4.1. Teleseismic SKS splitting results

144 We obtain a total of 110 good quality SKS splitting measurements (Fig. 2a). The average fast  
145 direction is NE, although there is variability about this direction. This average fast direction does  
146 not fall into the traditional trench-parallel or trench-perpendicular fast direction classification,  
147 but rather trench-oblique. There is also clear intra-station variability in fast directions of up  
148 to  $30^\circ$ . There appears to be no spatial trend in fast direction. Delay times are also variable,  
149 ranging from 1.0 - 1.9 s, with an average of 1.3 s. Measurements taken offshore, on Isla Mocha,  
150 have the same fast direction trend, but have a smaller average delay time of 1.1 s; this is 0.2 s  
151 less than the network’s average. We find that there is no dependence of the splitting parameters  
152 on the window length used for the splitting analysis (Fig. S3).

153 We find a clear variation of fast direction with back-azimuth across the network (Fig. 2a): the  
154 two main back-azimuths, west and south, tend to correspond to ENE and NNE fast directions,  
155 respectively. For the other back-azimuths, we also observe a correlation with fast direction. By  
156 stacking the measurements by back-azimuth (Restivo and Helffrich, 1999), we obtain coherent  
157 resultant stacks, so this apparent relationship is not simply an artefact of poorly defined con-  
158 fidence limits in  $\phi - \delta t$  space. We do not, however, find such a clear correlation of delay time

159 with back-azimuth (Fig. S4). Examples of good quality splitting measurements from each of the  
160 two main back-azimuths at station N410 are illustrated in Figs. S5, S6.

161 We use TauP\_Pierce (Crotwell et al., 1999) to calculate the raypaths for each SKS splitting  
162 measurement by raytracing through the reference velocity model, AK135 (Kennett et al., 1995)  
163 (Fig. 2b). Most rays have sub-vertical incidence angles of  $\sim 15^\circ$  in the sub-slab asthenosphere.  
164 The two main back-azimuthal clusters have different raypaths at depth, but begin to converge  
165 and sample the same material at about 250 km depth.

#### 166 *4.2. Local S splitting results*

167 Local splitting measurements were analysed on S-waves generated by earthquakes at 20-  
168 95 km depth, with incidence angles within the shear-wave window. From 235 waveforms, we  
169 obtain 116 good quality splitting measurements. Fast directions are variable; their mean fast  
170 direction is close to ESE, trench-oblique (Fig. 3a). Delay times are all less than 0.4s with  
171 an average of 0.2s. There is no clear spatial variability. Most stations where we have stable  
172 shear-wave splitting measurements are located within the central valley basin.

173 Source and station stacks were calculated using the algorithm of Restivo and Helffrich (1999),  
174 an adaptation of the method proposed by Wolfe and Silver (1998). Station stacking produces  
175 the most coherent result: it gives consistent alignment of fast directions across the network and  
176 results in the best constrained stack in  $\phi - \delta t$  space. We also find that fast directions from our  
177 station stacks may align with the orientation of mapped crustal faults (Fig. 3). A number of  
178 these stations are located close to the Lanalhue fault zone and some of its subsidiary splays. We  
179 observe no relationship between the splitting parameters and either source polarisation direction  
180 or the focal depth of the earthquakes.

181 We investigate the possibility of path-length dependent delay times; a relationship would  
182 be expected if all the regions that the rays travel through had consistent fast directions. We  
183 calculate the raypaths of good local shear-wave splitting measurements using the high resolution  
184 3-D S-wave velocity model of Haberland et al. (2009). We parameterise the region into three  
185 major subduction zone domains: the continental crust, the continental mantle and the subduct-  
186 ing oceanic lithosphere (Fig. 3c). The parameterisation is based on the two-dimensional velocity  
187 model, since along-strike changes have been shown to be minimal (Haberland et al., 2009).

188 Fig. 3c shows the rays projected onto a W-E section, plotted on top of the two-dimensional  
189 parameterised structure. Some rays travelled from the slab through the continental crust, with-  
190 out interaction with the lithospheric mantle.

191 A weak positive correlation ( $R = 0.34$ ) between the path length through continental crust  
192 and delay time is observed (Fig. 3d), suggesting that most of the local shear-wave splitting is  
193 generated in the overriding South American crust, but the small correlation coefficient indicates a  
194 highly heterogeneous crust. We find no correlation between path lengths through the continental  
195 mantle or oceanic crust with delay time. This leads us to believe that these regions contribute  
196 minimally to the total observed splitting delay time.

## 197 5. Modelling

### 198 5.1. Geodynamic modelling

199 In order to determine which subduction zone domains (e.g. continental lithosphere, oceanic  
200 lithosphere, asthenosphere, etc.) are candidates to contain the main source of SKS splitting,  
201 we aim to produce numerical geodynamic models of the south-central Chilean subduction zone.  
202 In this region, the direction of subduction is almost perpendicular to the trench, so we can use  
203 a two-dimensional model oriented parallel to the direction of subduction. We use MILAMIN  
204 (Dabrowski et al., 2008), a two-dimensional thermo-viscous modelling algorithm, which uses cou-  
205 pled finite element and mechanical solvers (Fry et al., 2009) to model the temperature, velocity  
206 and strain rate fields in the subduction zone. We parameterise the model space into several  
207 subduction domains: oceanic lithosphere, continental lithosphere, a low viscosity decoupling  
208 zone, upper mantle, mantle transition zone and lower mantle (Fig. 4). A non-uniform nodal  
209 spacing is used to ensure high resolution around the subducting lithosphere. We use a minimum  
210 nodal spacing of 5 km in this high resolution area (e.g. Richardson and Coblenz, 1994) and a  
211 maximum nodal spacing of 50 km in the lower mantle. Prior to subduction, the temperature of  
212 the upper mantle is set at 1200°C. We impose the following mechanical boundary conditions:  
213 zero vertical velocity at the top (0 km depth) and at the bottom (1000 km depth) of the model,  
214 and zero horizontal movement at the top of the overriding plate, which acts as a reference frame.

215 We calculate the velocity gradient tensor from the calculated velocity field and use it as input  
216 into the D-Rex program (Kaminski et al., 2004). By applying molecular-scale physics to large-  
217 scale convection models, D-Rex allows us to track the LPO history in a flow field. The effects of  
218 intracrystalline slip and dynamic recrystallisation mechanisms are accounted for (Lassak et al.,  
219 2006). The projection method of Browaeys and Chevrot (2004) converts the output and gives  
220 the predicted magnitude of S-wave anisotropy and olivine's a-axis orientation at each node on a  
221 36 x 20 grid (grid spacing of 40 km). This is much coarser than the finite element model as we are

222 interested in the larger-scale flow field associated with the subducting slab. This grid spacing is  
223 similar to previous work on LPO modelling in subduction zones using the D-Rex method (e.g.  
224 Lassak et al., 2006).

225 First, we investigate the sensitivity of our LPO models to ranges of values in several different  
226 subduction zone parameters: model run time (5-25 Ma), oceanic lithosphere thickness (60-  
227 100 km) and slab rollback velocity (0-20 mm.yr<sup>-1</sup>). We investigate the effect of trench rollback  
228 as this is believed to exert a strong control on regional mantle flow beneath the Andean margin  
229 (Russo and Silver, 1994; Helffrich et al., 2002). Since there is no observational evidence for the  
230 thickness of the Nazca lithosphere in this region, we centre our sensitivity tests on the value  
231 of 80 km given by Kawakatsu et al. (2009) for Japan. Our tests show that the strain rate field  
232 and LPO orientation in the sub-slab asthenosphere are dependent on the oceanic lithosphere  
233 thickness and slab rollback velocity. The highest strain rate ( $\log \dot{\epsilon}_t \geq -14.2 \text{ s}^{-1}$ ), strongest S-  
234 wave anisotropy (7.7%) and coherent a-axis orientations in the sub-slab asthenosphere (plunging  
235 parallel to the dip of the slab) are generated using zero trench rollback and a thin (e.g.  $\leq 80$  km)  
236 and therefore young, oceanic lithosphere. We also find that long model run times, such as 25 Ma  
237 produce the largest area of high strain rate and most coherent a-axis orientations in the sub-slab  
238 asthenosphere.

239 We derive the best geodynamic model for the south-central Chile subduction zone by con-  
240 straining the model parameters with evidence from the literature. Since the model run time is  
241 the first-order constraint on the slab geometry at depth, we require additional constraints on  
242 this. Seismicity (e.g. Bohm et al., 2002; Haberland et al., 2006) and receiver functions (Asch  
243 et al., 2006) constrain the geometry of the downgoing Nazca slab to 115 km depth. Below this  
244 depth, however, global tomography models provide the only constraints on the slab's geometry  
245 at depth. The tomographic models of Becker and Boschi (2002) and Obayashi et al. (2009)  
246 indicate a degree of ponding of the Nazca slab in the mantle transition zone; we therefore let  
247 the model run for 15 Ma in order to allow the slab to pond in these depth ranges. Although  
248 trench rollback values can heavily depend on the reference frame used, we use a trench rollback  
249 velocity of 11 mm.yr<sup>-1</sup> (Lallemand et al., 2008) in the SB04 hotspot reference frame (Steinberger  
250 et al., 2004). We also employ an oceanic lithosphere of 45 km thickness predicted by the heat  
251 flow model of Stein and Stein (1992) for a 30 Ma old lithosphere (e.g. Tebbens and Cande, 1997;  
252 Müller et al., 2008).

253 Using the parameters above, our preferred model (Fig. 5) predicts values of strain rate and  
254 percentage of S-wave anisotropy in the supra-slab mantle wedge of a similar magnitude to those

255 given by previous geodynamic modelling studies (Billen and Hirth, 2007; Kneller et al., 2008).  
 256 Our model also shows a 150 km thick layer of high strain rate in the sub-slab asthenosphere.  
 257 The LPO model predicts this region to be strongly anisotropic (7.7% S-wave anisotropy); this  
 258 value is similar to other studies which model the magnitude of S-wave anisotropy in the upper  
 259 mantle (e.g. Becker et al., 2006; Nippres et al., 2007). We find that all SKS rays cross the  
 260 region of the sub-slab asthenosphere with olivine a-axes plunging parallel to the dip of the slab  
 261 ( $\sim 35^\circ$ ), but do not traverse the region of high strain rate and strong anisotropy in the supra-slab  
 262 asthenosphere.

### 263 5.2. Synthetic shear-wave splitting

To understand the splitting observations in more detail, it is important to forward model  
 the measurements. This was done using SynthSplit, an open-source MATLAB code; it predicts  
 shear-wave splitting parameters using the particle motion perturbation method of Fischer et al.  
 (2000) and has been tested with full synthetic waveform methods (Abt and Fischer, 2008).  
 SynthSplit requires input parameters which describe the anisotropic characteristics of each layer,  
 the elastic parameters, and the incoming ray's geometry. The method assumes single crystal  
 anisotropic strength, but in the real Earth, anisotropy is much weaker than this; to account for  
 this, we calculate a dilution factor using the following equation:

$$\% \text{ Dilution} = \frac{\% \text{ Natural S-wave anisotropy}}{\% \text{ Single crystal anisotropy}} \quad (1)$$

264 Using olivine's single crystal S-wave anisotropic strength of 18.1% (Kumazawa and Anderson,  
 265 1969) and the S-wave anisotropy magnitude strength in the sub-slab asthenosphere given by  
 266 our LPO prediction model of 7.7%, we calculate a dilution factor of 42.5%. All models use a  
 267 composition of 70%/30% olivine to orthopyroxene with orthorhombic symmetry.

268 To understand our first-order splitting pattern, we firstly attempt to model the mean NE  
 269 teleseismic fast direction and the teleseismic – local delay time residual of 1.1 s. Assuming a  
 270 one-layer case, horizontal olivine a-axes and a vertically incident ray, we find that a 140 km  
 271 thick layer with an olivine a-axis azimuth parallel to the direction of the APM of the Nazca slab  
 272 explains these residual splitting parameters.

273 We also investigate the possibility of two layers with different anisotropic characteristics  
 274 causing the observed splitting. For any arbitrary two-layered model, delay time has a  $\pi/2$   
 275 cyclicity with respect to back-azimuth (Savage and Silver, 1993). By incorporating another

276 layer into the model described above, we are not able to increase the fit to our observed splitting  
277 parameters. Forward modelling multiple layers is complex because for each layer, the number of  
278 parameters required to be input increases by five, so this is not a reasonable approach without  
279 additional constraints.

280 Finally, to explain the fast direction dependency on back-azimuth, we investigate the presence  
281 of a plunging olivine a-axis, as suggested by our geodynamic models. A model which uses a 140-  
282 km thick layer (derived from the strain rate and LPO models) with moderately-dipping olivine  
283 a-axes ( $50\text{-}60^\circ$ ), parallel to the APM of the Nazca plate ( $067^\circ$ ) fits the fast direction data  
284 reasonably well (Fig. 6). This is somewhat steeper than the inferred  $30^\circ$  dip of the Nazca slab  
285 in this region (e.g. Haberland et al., 2006). For the incoming ray geometry, we use a constant  
286 incidence angle of  $15^\circ$  for all back-azimuths, this value is our calculated incidence angle from  
287 our SKS raypaths in the sub-slab asthenosphere (Fig. 2b). We find that the model reproduces  
288 well the range of measured SKS delay times.

## 289 6. Discussion

### 290 6.1. Comparisons with the regional shear-wave splitting framework

291 The average north-easterly splitting directions in our study do not correlate with the over-  
292 all trench-parallel teleseismic splitting directions observed along the western margin of South  
293 America (e.g. Russo and Silver, 1994; Bock et al., 1998; Rokosky et al., 2006; Long and Silver,  
294 2008).

295 Regionally, trench-parallel observations by Russo et al. (2010) north of the Patagonian slab  
296 window do not match well with our results. Our fast directions derived from westerly back-  
297 azimuth events correlate with the ENE fast directions observed at station PLCA by Helffrich  
298 et al. (2002) and MacDougall et al. (2010). Similarly, our NNW fast directions from rays with  
299 a southerly back-azimuth compare better with the trench parallel fast directions further north  
300 (Anderson et al., 2004). A back-azimuthal dependence on splitting parameters is not seen at  
301 stations PLCA and TRQA, which are located further toward the back-arc (MacDougall, personal  
302 communication, 2011).

303 If the fast directions from station PLCA (Helffrich et al., 2002; MacDougall et al., 2010)  
304 are compared with those at the southern end of the CHARGE network (Anderson et al., 2004;  
305 MacDougall et al., 2010), and assuming these directions are not the subject of a back-azimuthal  
306 dependence, then somewhere in the south-central Chile forearc, a rotation in fast directions



307 must exist, from trench-oblique in the south to trench-perpendicular in the north. If, however,  
308 a back-azimuthal dependency is a common regional feature, as it has been observed at some  
309 stations in central Chile (Anderson et al., 2004), and is not accounted for, then mantle flow  
310 directions could be misinterpreted.

### 311 *6.2. Origin of the teleseismic fast direction dependency on back-azimuth*

312 Back-azimuthal dependencies have been documented in a variety of locations, e.g. Tibet  
313 (McNamara et al., 1994) and the Canadian shield (Bastow et al., 2011). For subduction zones,  
314 it appears to be a feature of shear-wave splitting in Cascadia (Hartog and Schwartz, 2000; Currie  
315 et al., 2004; Long et al., 2009; Russo, 2009; Rieger and Park, 2010), Alaska (Christensen and  
316 Abers, 2010) and central Chile (Anderson et al., 2004). This pattern tends to be observable at  
317 stations close to the subduction trench and is associated with regions where relatively young  
318 oceanic lithosphere is subducted.

319 There are three explanations for this phenomenon. The most intuitive reason is that rays  
320 with different paths do not sample the same regions, so a heterogeneous shear-wave splitting  
321 pattern is observed at the surface. This has been used to explain the fast direction variation  
322 with back-azimuth in Alaska, because rays from only one direction sampled the supra-slab  
323 asthenospheric wedge (Christensen and Abers, 2010). Our teleseismic raytracing shows that the  
324 rays do not converge until they arrive at a depth of around 250 km. The raypaths and slab  
325 geometry in this study (Fig. 2a) show that the mid-lower mantle crossed by SKS rays is unlikely  
326 to be influenced by subduction of the Nazca slab; therefore it is unlikely to develop significant  
327 seismic anisotropy due to slab-induced deformation (e.g. Nippres et al., 2004; Foley and Long,  
328 2011). Furthermore, where the rays converge, we would expect the SKS Fresnel zone radius to  
329 be around 60 km (e.g. Niu and Perez, 2004).

330 A dependence on back-azimuth may also be caused by multiple anisotropic layers. When two  
331 or more significantly strong and/or thick layers with distinct symmetry axes are present, the fast  
332 polarisation direction will have a  $\pi/2$  cyclicity (Savage and Silver, 1993). Russo (2009) used this  
333 to explain source-side shear-wave splitting beneath Cascadia, caused by two layers of sub-slab  
334 asthenosphere, with perpendicular flow directions. We do not observe any periodicity in delay  
335 time as a function of back-azimuth and our splitting measurements are not easily explained by a  
336 model comprising two layers, although if one or more of these layers contains inclined symmetry  
337 axes, a straightforward  $\pi/2$  periodicity would not be present (Brechner et al., 1998).

338 Finally, the existence of a plunging axis of symmetry (in this case, olivine's a-axis) in a layer

339 of strongly anisotropic asthenosphere beneath the subducting slab has been used by Hartog and  
340 Schwartz (2000) to explain a fast direction dependence on back-azimuth in Cascadia subduction  
341 zone. Our LPO model shows that this mechanism could explain the observed back-azimuthal  
342 dependence in fast direction.

### 343 *6.3. Anisotropic Sources and Potential Mechanisms*

344 Determining the depth to the primary source of anisotropy is complex; this is particularly  
345 true in subduction zones where there are a number of possible distinct source regions: continental  
346 crust, continental mantle, oceanic crust, oceanic lithospheric mantle and sub-slab asthenosphere.  
347 To interpret our splitting results, we consider all parts of the subduction system, the potential  
348 sources of anisotropy in each, and whether or not they have a strong signal in our splitting  
349 measurements.

#### 350 *6.3.1. Overriding continental lithosphere*

351 Cracks and microcracks are the strongest sources of anisotropy found to be in upper crustal  
352 layers (Crampin, 1994). Ozalaybey and Savage (1995) found that shear-wave splitting measure-  
353 ments at stations close to the San Andreas fault record fast directions parallel to the strike of  
354 the fault. Away from the fault, it appears that crustal fast directions align with the direction  
355 of maximum horizontal compressive stress (e.g. Crampin et al., 2004; Huang et al., 2011).

356 The magnitude of our local shear-wave splitting delay times and their weak correlation with  
357 path length through the continental crust is consistent with a heterogeneous crustal source of  
358 anisotropy. The relative coherency of station stacks with respect to source stacks suggests that  
359 most local S-wave splitting is sourced in the upper, rather than lower crust. Upper crustal  
360 sources are likely to be important along the south-central Chile forearc due to active tectonics  
361 and related crustal faults. The average E-W fast direction of the station stacks is consistent with  
362 observations of maximum horizontal compressive stress directions,  $\sigma_H$  in the region (Heidbach  
363 et al., 2008). The apparent alignment of some fast directions with mapped fault traces is  
364 consistent with a SPO source in crustal fault zones.

365 The forearc mantle wedge is likely to be subject to both viscous and thermal erosion by  
366 asthenospheric wedge flow, resulting in a cold nose (Conder et al., 2002); these conditions are  
367 non-conducive to the preservation of LPO caused by coherent mantle flow. It is possible that  
368 anisotropy can be ‘frozen-in’ to the continental mantle, recording past plate motions (e.g. Long  
369 and van der Hilst, 2006). We observe no correlation between path length through the continental

370 mantle and delay time; furthermore, our SKS rays arriving east of 72.5° W sample continental  
371 mantle, but we observe no east-west change in splitting parameters. We therefore reject the  
372 possibility of a strong source of anisotropy in the continental mantle.

373 It is clear that the overriding South American lithosphere contributes only a small amount  
374 ( $\sim 0.2$  s) to the total SKS splitting. We therefore focus on the slab, and the underlying mantle, as  
375 the main source SKS splitting must lie here. This is also emphasised by the delay times observed  
376 on Isla Mocha; here the overlying crust is no more than 15 km thick (Haberland et al., 2009); yet  
377 the SKS delay times are still in excess of 1 s. Since the overlap between the teleseismic and local  
378 frequency bands is limited, we filter 10 of our best SKS splits at the same frequency band as the  
379 local data (0.1-1.0 Hz). We find that the average SKS delay time in this frequency band is 0.88  
380 s, over four times greater than the average local S delay time, but 0.5 s smaller than the SKS  
381 splitting average at lower frequency bands. Therefore, this indicates the strength of anisotropy  
382 varies with depth - the main source of SKS splitting must be sourced from beneath the subducting  
383 oceanic crust. SKS fast directions do not conclusively show frequency dependence, possibly  
384 indicating that anisotropy in the shallower and deeper layers may have a similar orientation  
385 (Wirth and Long, 2010).

### 386 *6.3.2. Subducting oceanic lithosphere*

387 Both Faccenda et al. (2008) and Healy et al. (2009) suggest that significant trench-parallel  
388 splitting can be caused by faults in the downgoing oceanic crust. Although clear signatures of  
389 these faults and associated serpentinisation are detected offshore (Contreras-Reyes et al., 2007),  
390 we do not observe consistent trench-parallel splitting. Raytracing of local S-waves shows that  
391 path lengths through the slab are not proportional to the observed delay time; therefore, the  
392 subducting oceanic crust in this region is not strongly anisotropic. For these reasons, we reject  
393 the hypothesis that the primary splitting signal is sourced in the downgoing oceanic crust.

394 In recent shear-wave splitting studies, it has become common practice to attribute any strong  
395 teleseismic splitting unexplained by supra-slab anisotropy to fossil anisotropy in the downgoing  
396 oceanic mantle (e.g. Hammond et al., 2010; Christensen and Abers, 2010) caused by fossil  
397 anisotropy. In this case, the measured fast directions will be parallel to the oceanic lithosphere's  
398 APM. Although the interpreted teleseismic fast direction in our study is close to the Nazca  
399 plate's APM, its young age at the trench means the Nazca lithosphere should be 45 km thick  
400 based on the model of Stein and Stein (1992). By subtracting the 8 km crustal layer from the  
401 lithosphere, we predict a 37 km thick oceanic mantle. We use the synthetic shear-wave splitting

402 described above to determine whether the oceanic mantle can produce the observed delay time  
403 of more than 1 s. Assuming a vertically incident ray and a horizontal layer, we find that the  
404 oceanic mantle would need to have a natural S-wave anisotropy in excess of 20%. We believe  
405 that this magnitude of anisotropy is unreasonable, so we rule out a significant contribution to  
406 the teleseismic splitting from the oceanic mantle.

### 407 *6.3.3. Sub-slab asthenosphere*

408 The high teleseismic splitting delay times, compared to those of local splitting, and the lack  
409 of evidence for a strong source in the oceanic lithosphere suggests that most anisotropy is sourced  
410 from beneath the subducting Nazca slab.

411 Our geodynamic model predicts high strain rates in the sub-slab asthenosphere (Fig. 5);  
412 this characteristic is similar to the rheology-based model of Billen and Hirth (2007). High strain  
413 rates are conducive to dislocation creep, important for producing seismic anisotropy. Without  
414 accounting for the effect of grain size changes, Billen and Hirth (2007) find that at 250 km depth  
415 in the mantle, the transitional strain rate,  $\dot{\epsilon}_t$  between dislocation and diffusion creep is  $\log \dot{\epsilon}_t =$   
416  $-15 \text{ s}^{-1}$ ; strain rates above this value will promote dislocation creep, and, subsequently, LPO  
417 formation/preservation. Our model comprises a thick (150 km) layer with  $\log \dot{\epsilon}_t \geq -15 \text{ s}^{-1}$  and  
418 strong (7.5-8.0%) shear-wave anisotropy; this value is within the range of shear-wave anisotropy  
419 from mantle-derived xenoliths (Ismail and Mainprice, 1998). Furthermore, the younger, and  
420 therefore, warmer Nazca lithosphere is likely to result in a warmer asthenosphere in the vicinity  
421 of the slab; this, along with the elevated strain rates will be more conducive to the predominance  
422 of dislocation creep in the sub-slab asthenosphere. These factors will promote the formation of  
423 significant LPO.

424 The back-azimuthal dependence on fast direction is modelled with plunging olivine a-axes  
425 in the sub-slab asthenosphere; this is consistent with the mechanism of entrainment of the as-  
426 thenosphere by the motion of the subducting Nazca slab. Slab-entrained asthenosphere was first  
427 discussed by Savage (1999), who predicted that a subducting slab should entrain the surround-  
428 ing asthenosphere, causing a subduction-parallel, dipping symmetry axis. This idea has been  
429 neglected recently because of numerous global observations of trench-parallel fast directions, due  
430 to sub-slab barrier flow (Long and Silver, 2008). Phipps Morgan et al. (2007) recently modelled  
431 slab entrained flow; their numerical models predict that an entrained layer's thickness depends  
432 on subduction rate, and their analogue experiments predict that the layer will be thickest be-  
433 neath the forearc, with a decreasing thickness toward the back-arc. This corresponds to the

434 thickness of our forward-modelled layer from our data on the forearc and the lack of observa-  
435 tional evidence for a back-azimuthal dependency in the back-arc region (MacDougall, personal  
436 communication, 2011).

437 Long and Silver (2009) made a link between the sub-slab entrainment model and observations  
438 of strong trench-perpendicular fast directions in Cascadia. They propose that shear heating  
439 occurs at the base of warmer lithosphere. Evidence for a sharp S-wave velocity decrease at the  
440 lithosphere-asthenosphere boundary (e.g. Kawakatsu et al., 2009) is explained by the presence of  
441 shear-heating derived partial melt (Long and Silver, 2009). Our inference of an entrained layer  
442 of asthenosphere beneath the slab, with symmetry axes parallel to the direction of the Nazca  
443 plate's APM, implies that the oceanic lithosphere and underlying asthenosphere are strongly  
444 coupled beneath south-central Chile.

445 We now associate south-central Chile with those subduction zones which are inferred to  
446 comprise significant sub-slab splitting oriented in the direction of subduction. These include the  
447 Cascadia (Hartog and Schwartz, 2000; Currie et al., 2004; Russo, 2009; Rieger and Park, 2010),  
448 Rivera-Cocos (Soto et al., 2009), Alaska (Christensen and Abers, 2010) and the Sumatra (Ham-  
449 mond et al., 2010) subduction zones. One characteristic that these regions share is the young age  
450 of the subducting lithosphere. Hammond et al. (2010) find a rotation toward subduction paral-  
451 lel teleseismic shear-wave splitting as the subducting lithosphere becomes progressively younger.  
452 This observation correlates well with the proposed model's relationship between lithospheric age  
453 and degree of shear heating. Where the lithosphere is younger and thinner, the shear heating  
454 mechanism will not have reached steady state (Long and Silver, 2009), so the lithosphere and  
455 asthenosphere will be more strongly coupled, leading to entrainment of sub-slab asthenosphere  
456 in the direction of subduction. Some of these studies describe observations showing a fast di-  
457 rection - back-azimuth relationship; these are from stations located on the forearc, close to the  
458 trench. At these stations, the strongest anisotropic signal is likely derived from the sub-slab  
459 asthenosphere since the SKS rays do not sample the supra-slab asthenospheric wedge. Whilst  
460 frozen-in anisotropy in the subducting lithospheric mantle is not disputed, the APM will also  
461 be expressed in the sub-slab asthenosphere.

462 We believe the fast directions observations will never perfectly fit a synthetically modelled  
463 fast direction - back-azimuth relationship. The real measurements may be complicated by return  
464 flow in the asthenosphere (Phipps Morgan et al., 2007) and it is possible that the olivine a-axes  
465 do not plunge at a constant angle throughout the entrained layer of asthenosphere. Furthermore,  
466 our forward modelling technique uses constant incidence angles and assumes that the thickness

467 of the layer does not change laterally. For a 150 km thick layer dipping at  $30^\circ$ , we calculate  
468 that rays arriving from the down-dip direction will have a path length 50 km longer than those  
469 that arrive from the up-dip direction. The simplicity of our model means we do not account  
470 for these variable path lengths; this could partly explain the poor fit between the observed  
471 and modelled back-azimuth - splitting parameter relationships. We believe that scattered fast  
472 directions for each back-azimuth bin may be partly due to small differences between the back-  
473 azimuth and calculated initial polarisation direction (Fig. S7). These discrepancies may arise  
474 from the misalignment of station horizontal components (Evans et al., 2006). We also do not  
475 completely reject the case of a multi-layered flow: it may be possible that locally, we have  
476 entrained flow beneath the slab, and a larger scale regional trench-parallel flow beneath this,  
477 although our back-azimuthal coverage makes this a difficult hypothesis to test. We note the  
478 discrepancy between the modelled olivine a-axis dip and the actual dip of the Nazca slab; this  
479 could be a result of the simplified modelling described above. Physically, this difference could  
480 result from the fact that seismic anisotropy is an integrated effect of the strain history imposed  
481 by mantle flow, so there could be a signature of past subduction geometries in the observed  
482 shear-wave splitting.

## 483 **7. Conclusions**

484 Using data from a dense seismic network located on south-central Chilean forearc, we have  
485 used both teleseismic and local shear-wave splitting observations to improve our understanding  
486 of the region's anisotropic sources and their location, relative to the downgoing Nazca slab.  
487 Reconciling these observations with both geodynamic and synthetic forward models, we can  
488 further constrain the location and mechanism of the main anisotropic signal.

489 Small magnitude splitting measurements from local S-waves indicate comparatively weak  
490 anisotropic sources associated with an upper crustal source of anisotropy. We observe significant  
491 splitting of teleseismic SKS arrivals; their average delay time is seven times greater than that  
492 from local splitting. A significant source of anisotropy, therefore, lies either within or below the  
493 Nazca slab. Both our geodynamic models and our synthetic shear-wave splitting analysis point  
494 towards the presence of strongly anisotropic sub-slab asthenosphere comprising olivine a-axes  
495 oriented parallel to the horizontal APM, and dip angle of the subducting Nazca slab. We explain  
496 these observations with a model of sub-slab asthenosphere being entrained by the motion of the  
497 downgoing Nazca slab. This mechanism's signal in the shear-wave splitting appears to be clearest

498 when measured on the forearc, where the slab is shallowest and no supra-slab asthenospheric  
499 wedge is present.

500 We have provided evidence for a new mechanism to explain shear-wave splitting along the  
501 Andean margin of South America. We have shown that the inferred anisotropy beneath this  
502 region can be explained by a model comprising a thick layer of sub-slab entrained asthenosphere,  
503 with little contribution from the other subduction domains. This model infers strong coupling  
504 between the Nazca lithosphere and the underlying stable mantle. We have shown that this  
505 model can be applied to forearc observations of shear-wave splitting in several other young  
506 subduction zones. The model of Long and Silver (2008) compiled from global observations  
507 of shear-wave splitting requires a thin decoupling zone between the downgoing slab and the  
508 sub-slab asthenosphere because significant anisotropic signals from slab-entrained flow are not  
509 commonplace. However, we can now group the south-central Chile subduction zone with the  
510 Cascadian margin as regions which are exceptions to this global model (Long and Silver, 2008).

511 Our model could have important implications for both the nature of sub-slab asthenosphere  
512 and the lithosphere-asthenosphere boundary. If buoyant asthenosphere is upwelled beneath  
513 hotspots, asthenosphere is likely to be returned to the deeper mantle through subduction pro-  
514 cesses. Furthermore, this sub-slab asthenospheric layer with a non-Newtonian rheology will have  
515 a considerably lower effective viscosity; the presence of such a layer beneath a slab could control  
516 how slabs descend through the upper mantle. Finally, if this weak layer is present below the  
517 oceanic lithosphere prior to subduction, it could facilitate subduction initiation by decreasing  
518 the hydrodynamic stresses on the slab (Billen and Hirth, 2005).

## 519 **Acknowledgments**

520 We thank two anonymous reviewers for their constructive and insightful comments. We  
521 are grateful to Matthew Whipple for carrying out some of the preliminary data analysis, and  
522 Rachel Collings for assistance with the local raytracing. Dr David Abt made his codes for  
523 calculating shear-wave splitting freely available and provided some related assistance. We would  
524 like to thank all members of the TIPTEQ fieldwork group and the landowners of south-central  
525 Chile. Seismic instruments were provided by GIPP (GFZ). Figures were created using the GMT  
526 software package (Wessel and Smith, 1998) and seismic processing was done with SAC (Seismic  
527 Analysis Code).

## References

- Abt, D., Fischer, K., 2008. Resolving three-dimensional anisotropic structure with shear wave splitting tomography. *Geophys. J. Int.* 173 (3), 859–886.
- Anderson, M., Zandt, G., Triep, E., Fouch, M., Beck, S., 2004. Anisotropy and mantle flow in the Chile-Argentina subduction zone from shear wave splitting analysis. *Geophys. Res. Lett.* 31 (23), L23608.
- Angermann, D., Klotz, J., Reigber, C., 1999. Space-geodetic estimation of the Nazca-South America Euler vector. *Earth Planet. Sci. Lett.* 171 (3), 329–334.
- Asch, G., Schurr, B., Bohm, M., Yuan, X., Haberland, C., Heit, B., Kind, R., Woelbern, I., Bataille, K., Comte, D., et al., 2006. Seismological studies of the Central and Southern Andes. *The Andes*, 443–457.
- Bastow, I., Thompson, D., Wookey, J., Kendall, J., et al., 2011. Precambrian plate tectonics: Seismic evidence from northern Hudson Bay, Canada. *Geology* 39 (1), 91.
- Becker, T., Boschi, L., 2002. A comparison of tomographic and geodynamic mantle models. *Geochem. Geophys. Geosyst* 3, 1003.
- Becker, T., Chevrot, S., Schulte-Pelkum, V., Blackman, D., 2006. Statistical properties of seismic anisotropy predicted by upper mantle geodynamic models. *J. geophys. Res.* 111 (B10), B08309.
- Billen, M., Hirth, G., 2005. Newtonian versus non-newtonian upper mantle viscosity: Implications for subduction initiation. *Geophys. Res. Lett.* 321, 19304.
- Billen, M., Hirth, G., 2007. Rheologic controls on slab dynamics. *Geochem. Geophys. Geosyst* 8, Q08012.
- Bock, G., Kind, R., Rudloff, A., Asch, G., 1998. Shear wave anisotropy in the upper mantle beneath the Nazca plate in northern Chile. *J. Geophys. Res.* 103 (B10), 24333.
- Bohm, M., Lüth, S., Echtler, H., Asch, G., Bataille, K., Bruhn, C., Rietbrock, A., Wigger, P., 2002. The southern andes between 36 and 40 s latitude: seismicity and average seismic velocities. *Tectonophysics* 356 (4), 275–289.



554 Brechner, S., Klinge, K., Krüger, F., Plenefisch, T., 1998. Backazimuthal variations of splitting  
555 parameters of teleseismic sks phases observed at the broadband stations in germany. *Pure*  
556 *Appl. Geophys.* 151 (2), 305–332.

557 Browaeys, J., Chevrot, S., 2004. Decomposition of the elastic tensor and geophysical applications.  
558 *Geophys. J. Int.* 159 (2), 667–678.

559 Christensen, D., Abers, G., 2010. Seismic anisotropy under central Alaska from SKS splitting  
560 observations. *J. Geophys. Res.* 115 (B4), B04315.

561 Conder, J., Wiens, D., Morris, J., 2002. On the decompression melting structure at volcanic  
562 arcs and back-arc spreading centers. *Geophysical Research Letters* 29 (15), 1727.

563 Contreras-Reyes, E., Grevemeyer, I., Flueh, E., Scherwath, M., Heesemann, M., 2007. Alteration  
564 of the subducting oceanic lithosphere at the southern central Chile trench-outer rise. *Geochem.*  
565 *Geophys. Geosyst.* 8 (7), Q07003.

566 Crampin, S., 1994. The fracture criticality of crustal rocks. *Geophys. J. Int.* 118 (2), 428–438.

567 Crampin, S., Peacock, S., Gao, Y., Chastin, S., 2004. The scatter of time-delays in shear-wave  
568 splitting above small earthquakes. *Geophys. J. Int.* 156 (1), 39–44.

569 Crotwell, H., Owens, T., Ritsema, J., 1999. The TauP Toolkit: Flexible seismic travel-time and  
570 ray-path utilities. *Seismol. Res. Lett* 70, 154–160.

571 Currie, C., Cassidy, J., Hyndman, R., Bostock, M., 2004. Shear wave anisotropy beneath the  
572 Cascadia subduction zone and western North American craton. *Geophys. J. Int.* 157 (1),  
573 341–353.

574 Dabrowski, M., Krotkiewski, M., Schmid, D., 2008. MILAMIN: MATLAB-based finite element  
575 method solver for large problems. *Geochem. Geophys. Geosyst.* 9 (4), Q04030.

576 Evans, M., Kendall, J., Willemann, R., 2006. Automated sks splitting and upper-mantle  
577 anisotropy beneath canadian seismic stations. *Geophys. J. Int.* 165 (3), 931–942.

578 Faccenda, M., Burlini, L., Gerya, T., Mainprice, D., 2008. Fault-induced seismic anisotropy by  
579 hydration in subducting oceanic plates. *Nature* 455 (7216), 1097–1100.

580 Fischer, K., Parmentier, E., Stine, A., Wolf, E., 2000. Modeling anisotropy and plate-driven flow  
581 in the Tonga subduction zone back arc. *J. Geophys. Res.* 105 (B7), 16181.

- 582 Foley, B., Long, M., 2011. Upper and mid-mantle anisotropy beneath the tonga slab. *Geophys.*  
583 *Res. Lett.* 38 (2), L02303.
- 584 Fry, A., Kuszniir, N., Rietbrock, A., Dabrowski, M., Podladchikov, Y., 2009. Modelling stress  
585 accumulation and dissipation in subducting lithosphere and the origins of double and triple  
586 seismic zones. In: *AGU Fall Meeting Abstracts*. Vol. 1. p. 1917.
- 587 Gripp, A., Gordon, R., 2002. Young tracks of hotspots and current plate velocities. *Geophys. J.*  
588 *Int.* 150 (2), 321–361.
- 589 Groß, K., Micksch, U., 2008. The reflection seismic survey of project TIPTEQ - the inventory  
590 of the Chilean subduction zone at 38.2° S. *Geophys. J. Int.* 172 (2), 565–571.
- 591 Haberland, C., Rietbrock, A., Lange, D., Bataille, K., Dahm, T., 2009. Structure of the seis-  
592 mogenic zone of the southcentral Chilean margin revealed by local earthquake travelttime  
593 tomography. *J. Geophys. Res.* 114 (B1), B01317.
- 594 Haberland, C., Rietbrock, A., Lange, D., Bataille, K., Hofmann, S., 2006. Interaction between  
595 forearc and oceanic plate at the south-central Chilean margin as seen in local seismic data.  
596 *Geophys. Res. Lett.* 33 (23), L23302.
- 597 Hammond, J., Wookey, J., Kaneshima, S., Inoue, H., Yamashina, T., Harjadi, P., 2010. Sys-  
598 tematic variation in anisotropy beneath the mantle wedge in the Java-Sumatra subduction  
599 system from shear-wave splitting. *Phys. Earth Planet. In.* 178 (3-4), 189–201.
- 600 Hartog, R., Schwartz, S., 2000. Subduction-induced strain in the upper mantle east of the  
601 Mendocino triple junction, California. *J. Geophys. Res.* 105 (B4), 7909–7930.
- 602 Hayes, G., Wald, D., 2009. Developing framework to constrain the geometry of the seismic  
603 rupture plane on subduction interfaces a priori-a probabilistic approach. *Geophys. J. Int.*  
604 176 (3), 951–964.
- 605 Healy, D., Reddy, S., Timms, N., Gray, E., Brovarone, A., 2009. Trench-parallel fast axes  
606 of seismic anisotropy due to fluid-filled cracks in subducting slabs. *Earth Planet. Sci. Lett.*  
607 283 (1-4), 75–86.
- 608 Heidbach, O., Tingay, M., Barth, A., Reinecker, J., Kurfeß, D., Müller, B., 2008. The World  
609 Stress Map database release 2008 doi: 10.1594/GFZ. WSM. Rel2008.

610 Helffrich, G., Wiens, D., Vera, E., Barrientos, S., Shore, P., Robertson, S., Adaros, R., 2002. A  
611 teleseismic shear-wave splitting study to investigate mantle flow around South America and  
612 implications for plate-driving forces. *Geophys. J. Int.* 149 (1), F1–F7.

613 Huang, Z., Zhao, D., Wang, L., 2011. Shear wave anisotropy in the crust, mantle wedge, and  
614 subducting Pacific slab under northeast Japan. *Geochem. Geophys. Geosyst.* 12 (1), Q01002.

615 Ismail, W., Mainprice, D., 1998. An olivine fabric database: an overview of upper mantle fabrics  
616 and seismic anisotropy. *Tectonophysics* 296 (1-2), 145–157.

617 Kaminski, E., Ribe, N., Browaey, J., 2004. D-Rex, a program for calculation of seismic  
618 anisotropy due to crystal lattice preferred orientation in the convective upper mantle. *Geo-*  
619 *phys. J. Int.* 158 (2), 744–752.

620 Karato, S., Jung, H., Katayama, I., Skemer, P., 2008. Geodynamic significance of seismic  
621 anisotropy of the upper mantle: new insights from laboratory studies. *Annu. Rev. Earth*  
622 *Planet. Sci.* 36 (1), 59.

623 Kawakatsu, H., Kumar, P., Takei, Y., Shinohara, M., Kanazawa, T., Araki, E., Suyehiro, K.,  
624 2009. Seismic evidence for sharp lithosphere–asthenosphere boundaries of oceanic plates. *Sci-*  
625 *ence* 324 (5926), 499.

626 Kennett, B., Engdahl, E., Buland, R., 1995. Constraints on seismic velocities in the Earth from  
627 traveltimes. *Geophys. J. Int.* 122 (1), 108–124.

628 Kneller, E., Long, M., van Keken, P., 2008. Olivine fabric transitions and shear wave anisotropy  
629 in the ryukyu subduction system. *Earth and Planetary Science Letters* 268 (3), 268–282.

630 Kneller, E., Van Keken, P., 2007. *Nature* 450 (7173), 1222–1225.

631 Kneller, E., van Keken, P., 2008. Effect of three-dimensional slab geometry on deformation in  
632 the mantle wedge: Implications for shear wave anisotropy. *Geochem. Geophys. Geosyst.* 9 (1),  
633 Q01003.

634 Kumazawa, M., Anderson, O., 1969. Elastic moduli, pressure derivatives, and temperature  
635 derivatives of single-crystal olivine and single-crystal forsterite. *J. Geophys. Res.* 74 (25),  
636 5961–5972.

637 Lallemand, S., Heuret, A., Faccenna, C., Funiciello, F., 2008. Subduction dynamics as revealed  
638 by trench migration. *Tectonics* 27 (3), TC3014.

- 639 Lassak, T., Fouch, M., Hall, C., Kaminski, É., 2006. Seismic characterization of mantle flow  
640 in subduction systems: Can we resolve a hydrated mantle wedge? *Earth Planet. Sci. Lett.*  
641 243 (3-4), 632–649.
- 642 Long, M., Gao, H., Klaus, A., Wagner, L., Fouch, M., James, D., Humphreys, E., 2009. Shear  
643 wave splitting and the pattern of mantle flow beneath eastern Oregon. *Earth Planet. Sci. Lett.*  
644 288 (3-4), 359–369.
- 645 Long, M., Silver, P., 2008. The subduction zone flow field from seismic anisotropy: a global  
646 view. *Science* 319 (5861), 315.
- 647 Long, M., Silver, P., 2009. Mantle flow in subduction systems: The slab flow field and  
648 implications for mantle dynamics. *J. Geophys. Res.* 114 (B10), B10312.
- 649 Long, M., van der Hilst, R., 2006. Shear wave splitting from local events beneath the Ryukyu arc:  
650 trench-parallel anisotropy in the mantle wedge. *Phys. Earth Planet. In.* 155 (3-4), 300–312.
- 651 MacDougall, J., Fischer, K., Anderson, M., 2010. Shear-wave splitting and mantle anisotropy in  
652 the southern South American subduction zone. In: *AGU Fall Meeting Abstracts*. Vol. 1. p.  
653 2046.
- 654 Mainprice, D., Tommasi, A., Couvy, H., Cordier, P., Frost, D., 2005. Pressure sensitivity of  
655 olivine slip systems and seismic anisotropy of earth's upper mantle. *Nature* 433 (7027), 731–  
656 733.
- 657 McNamara, D., Owens, T., Silver, P., Wu, F., 1994. Shear wave anisotropy beneath the Tibetan  
658 Plateau. *J. Geophys. Res.* 99 (B7), 13655–13.
- 659 Melnick, D., Bookhagen, B., Strecker, M., Echtler, H., 2009. Segmentation of megathrust rupture  
660 zones from fore-arc deformation patterns over hundreds to millions of years, Arauco peninsula,  
661 Chile. *J. Geophys. Res.* 114 (B1), B01407.
- 662 Melnick, D., Echtler, H., 2006. Morphotectonic and geologic digital map compilations of the  
663 south-central Andes (36-42 S). *The Andes*, 565–568.
- 664 Müller, R., Sdrolias, M., Gaina, C., Roest, W., 2008. Age, spreading rates, and spreading  
665 asymmetry of the world's ocean crust. *Geochem. Geophys. Geosyst.* 9 (4), Q04006.
- 666 Nippress, S., Kuszniir, N., Kendall, J., 2004. Modeling of lower mantle seismic anisotropy beneath  
667 subduction zones. *Geophys. Res. Lett.* 31 (19), L19612.

668 Nippress, S., Kuszniir, N., Kendall, J., 2007. LPO predicted seismic anisotropy beneath a simple  
669 model of a mid-ocean ridge. *Geophys. Res. Lett.* 34 (14), L14309.

670 Niu, F., Perez, A., 2004. Seismic anisotropy in the lower mantle: a comparison of waveform  
671 splitting of sks and skks. *Geophys. Res. Lett.* 31 (24), L24612.

672 Obayashi, M., Yoshimitsu, J., Fukao, Y., 2009. Tearing of stagnant slab. *Science* 324 (5931),  
673 1173.

674 Ozalaybey, S., Savage, M., 1995. Shear-wave splitting beneath western United States in relation  
675 to plate tectonics. *J. Geophys. Res.* 100 (B9), 18135–18.

676 Peyton, V., Levin, V., Park, J., Brandon, M., Lees, J., Gordeev, E., Ozerov, A., 2001. Mantle  
677 flow at a slab edge: Seismic anisotropy in the Kamchatka Region. *Geophys. Res. Lett.* 28 (2),  
678 379–382.

679 Phipps Morgan, J., Hasenclever, J., Hort, M., Rüpke, L., Parmentier, E., 2007. On subducting  
680 slab entrainment of buoyant asthenosphere. *Terra Nova* 19 (3), 167–173.

681 Polet, J., Silver, P., Beck, S., Wallace, T., Zandt, G., Ruppert, S., Kind, R., Rudloff, A., 2000.  
682 Shear wave anisotropy beneath the Andes from the BANJO, SEDA, and PISCO experiments.  
683 *J. Geophys. Res.* 105 (B3), 6287–6304.

684 Restivo, A., Helffrich, G., 1999. Teleseismic shear wave splitting measurements in noisy environ-  
685 ments. *Geophys. J. Int.* 137, 821–830.

686 Richardson, R., Coblenz, D., 1994. Stress modeling in the andes: Constraints on the south  
687 american intraplate stress magnitudes. *J. Geophys. Res.* 99 (B11), 22015–22.

688 Rieger, D., Park, J., 2010. USArray observations of quasi-Love surface wave scattering: Orienting  
689 anisotropy in the Cascadia plate boundary. *J. Geophys. Res.* 115 (B5), B05306.

690 Rietbrock, A., Haberland, C., Bataille, K., Dahm, T., Oncken, O., 2005. Studying the seismo-  
691 genic coupling zone with a passive seismic array. *Eos Trans. AGU* 86 (32), 293.

692 Rokosky, J., Lay, T., Garnero, E., 2006. Small-scale lateral variations in azimuthally anisotropic  
693 D”structure beneath the Cocos Plate. *Earth Planet. Sci. Lett.* 248 (1-2), 411–425.

694 Russo, R., 2009. Subducted oceanic asthenosphere and upper mantle flow beneath the Juan de  
695 Fuca slab. *Lithosphere* 1 (4), 195.

696 Russo, R., Gallego, A., Comte, D., Mocanu, V., Murdie, R., VanDecar, J., 2010. Source-side  
697 shear wave splitting and upper mantle flow in the Chile Ridge subduction region. *Geology*  
698 38 (8), 707.

699 Russo, R., Silver, P., 1994. Trench-Parallel Flow Beneath the Nazca Plate from Seismic  
700 Anisotropy. *Science* 263 (5150), 1105.

701 Savage, M., 1999. Seismic anisotropy and mantle deformation: what have we learned from shear  
702 wave splitting? *Rev. Geophys.* 37 (1), 65–106.

703 Savage, M., Silver, P., 1993. Mantle deformation and tectonics: constraints from seismic  
704 anisotropy in the western United States. *Phys. Earth Planet. In.* 78 (3-4), 207–227.

705 Silver, P., Chan, W., 1991. Shear Wave Splitting and Subcontinental Mantle Deformation. *J.*  
706 *Geophys. Res.* 96 (B10), 16429.

707 Soto, G., Ni, J., Grand, S., Sandvol, E., Valenzuela, R., Speziale, M., González, J., Reyes, T.,  
708 2009. Mantle flow in the Rivera-Cocos subduction zone. *Geophys. J. Int.* 179 (2), 1004–1012.

709 Stein, C., Stein, S., 1992. A model for the global variation in oceanic depth and heat flow with  
710 lithospheric age. *Nature* 359 (6391), 123–129.

711 Steinberger, B., Sutherland, R., O’connell, R., 2004. Prediction of emperor-hawaii seamount  
712 locations from a revised model of global plate motion and mantle flow. *Nature* 430 (6996),  
713 167–173.

714 Teanby, N., Kendall, J., Van der Baan, M., 2004. Automation of shear-wave splitting measure-  
715 ments using cluster analysis. *Bull. Seismol. Soc. Am.* 94 (2), 453.

716 Tebbens, S., Cande, S., 1997. Southeast Pacific tectonic evolution from early Oligocene to  
717 present. *J. Geophys. Res.* 102 (B6), 12061–12.

718 Wessel, P., Smith, W., 1998. New, improved version of Generic Mapping Tools released. *Eos.*  
719 *Trans. AGU* 79, 579–579.

720 Wirth, E., Long, M., 2010. Frequency-dependent shear wave splitting beneath the Japan and  
721 Izu-Bonin subduction zones. *Phys. Earth Planet. In.*

722 Wolfe, C., Silver, P., 1998. Seismic anisotropy of oceanic upper mantle: Shear wave splitting  
723 methodologies and observations. *J. Geophys. Res.* 103 (B1), 749–771.

**Figure captions**

Figure 1: Map of the south-central Chile forearc region. The inset map shows the location of the study area (red box) in relation to the western margin of South America, and describes the overall pattern of teleseismic fast direction from previous studies of anisotropy in the region. The age of oceanic Nazca plate offshore of this margin is illustrated. The black arrow indicates the convergence direction of the Nazca plate relative to the South American plate. On the main map, TIPTEQ stations used in this study are denoted by the white triangles. Black lines give the depth in km to the top of the downgoing Nazca slab (Hayes and Wald, 2009). The scale bar at the bottom-right is for bathymetry/topography.

Figure 2: a) Map of all good teleseismic (SKS) splitting results, plotted at the corresponding station location. The orientation and length of the bar reflect the fast direction and delay time, respectively. The bars are coloured by the back-azimuth of the ray for each measurement. The black arrow indicates the convergence direction of the Nazca plate relative to the South American plate. Inset: bi-directional rose diagram for all these measurements, in bins of  $15^\circ$ , with the red line representing the mean fast direction. b) SKS ray geometries in the upper mantle and lithosphere. Raypaths are projected onto a west-east vertical section. Distinct subduction domains are shown. The upper slab interface is from Hayes and Wald (2009), the continental Moho from Haberland et al. (2009), the oceanic crust is 8 km thick based upon Contreras-Reyes et al. (2007). The raypaths start to sample the same material from about 250 km depth.



Figure 3: a) Individual local S splitting measurements, plotted at the corresponding station location. Inset: Bi-directional rose diagram of fast directions from the good local splitting measurements, plotted in bins of  $15^\circ$ . b) Station-stacked splitting measurements, plotted at the corresponding station location. Black lines indicate crustal faults after Melnick and Echtler (2006). c) Local S raypaths. For illustration purposes, the background colour is the 2D P-wave velocity model for this region of (Haberland et al., 2009). The black lines are the raypaths for which good splitting measurements are obtained. Black circles represent the hypocentre locations. d) Delay time as a function of distance travelled by each ray through the continental crust. The correlation coefficient,  $R$  is shown. Error bars represent  $1\sigma$  error in  $\delta t$ .

Figure 4: 2D finite element modelling set-up showing the scheme of parameterisation into the various subduction zone domains. Red points give the nodal locations in the model. The upper mantle and lithospheric regions are laterally subdivided to give a dense nodal spacing around the subducting lithosphere.

Figure 5: Characteristics of our preferred numerical geodynamic model. a) Temperature and velocity field; b) Strain rate; c) predicted LPO: the colour represents magnitude of S-wave anisotropy and the orientation of the bars indicate the orientation of the olivine a-axes. The white box shows the approximate region of the sub-slab asthenosphere through which SKS rays traverse. The black triangle represents the approximate location of the TIPTEQ seismic network.

Figure 6: Forward modelling the fast polarisation direction dependence on back-azimuth. Black circles are observed fast polarisation directions from the teleseismic shear-wave splitting measurements. The orange squares represent the stacked splitting measurements for each main back-azimuth cluster. The coloured lines represent the forward modelled back-azimuth - fast direction relationship, for different a-axis dip angles,  $\psi$ . The modelled fast polarisation directions were derived using an incidence angle of  $15^\circ$  and an a-axis azimuth of  $067^\circ$ , the absolute plate motion direction of the Nazca plate.













