

Enzymatic Strategy for the Resolution of New 1-Hydroxymethyl Tetrahydro- β -carboline Derivatives in Batch and Continuous-Flow Systems

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Many alkaloids containing a tetrahydro- β -carboline skeleton have well-known therapeutic effects, leading to increased interest in the synthesis of these natural products. Enantiomers of *N*-Boc-protected 1-hydroxymethyl-1,2,3,4-tetrahydro- β -carboline [(±)-**7**], 1-hydroxymethyl-6-methoxy-1,2,3,4-tetrahydro- β -carboline [(±)-**8**], and 1-hydroxymethyl-6-fluoro-1,2,3,4-tetrahydro- β -carboline [(±)-**9**] were prepared through enzyme-catalyzed asymmetric acylation of their primary hydroxyl group. The preliminary experiments were performed in a continuous-flow system, while the preparative-scale resolutions

were done as batch reactions. Excellent enantioselectivities ($E > 200$) were obtained with *Candida antarctica* lipase B (CAL-B) and acetic anhydride in toluene at 60 °C. The recovered alcohols and the produced esters were obtained with high enantiomeric excess values ($ee \geq 96\%$). The O-acylated enantiomers [(S)-**10**–(S)-**12**] were transformed into the corresponding amino alcohols [(S)-**7**–(S)-**9**] with methanolysis. Microwave-assisted Boc removals were also performed and resulted in the corresponding compounds (*R*)-**4**–(*R*)-**6** and (*S*)-**4**–(*S*)-**6** without a drop in the enantiomeric excess values ($ee \geq 96\%$).

Introduction

Many alkaloids containing a tetrahydro- β -carboline skeleton have been isolated from natural sources. Several of them have well-known pharmaceutical effects and are used in therapy. As examples, reserpine displays antihypertensive activity,^[1] while vincristine and vinblastine exhibit cytotoxic activity.^[2] In view of their potential pharmaceutical activity, tetrahydro- β -carboline alkaloids are currently at the forefront of research. New alkaloids have recently been isolated from *Vinca major*, including vincamajorines A and B^[3] and vinmajines A–I.^[4] Terpenoid indole alkaloids, mappiodines A–C, and mappiodosides A–G are found in the stems of *Mappianthus iodoides*.^[5] Harmicine, extracted in optically pure form from *Kopsia griffithii*, has anti-leishmanial^[6] and antinociceptive effects.^[7] The antiproliferative activity of arborescidine alkaloids and their derivatives has been evaluated in vitro in human tumor cell lines.^[8] A number of studies have reported antimalarial effects of tetrahydro- β -carbolines such as (+)-7-bromotrypargine, which was extracted

from an Australian marine sponge,^[9] and some pyridoxal β -carbolines derivatives.^[10] Trujillo and co-workers investigated tetrahydro- β -carboline-1-carboxylic acids and their analogs, such as (±)-**5**, as inhibitors of mitogen-activated protein kinase-activated protein kinase 2.^[11] Syntheses of β -carboline alkaloids, such as henrycinol A and B^[12] or Eg5, an inhibitor of hydantoin hybrids, have also been reported.^[13] Several routes for the synthesis of pharmacologically important natural products have been reviewed.^[14,15]

Continuous-flow techniques are increasingly more often used in lipase-catalyzed transformations, for example acylation reactions^[16–18] or esterifications, such as the resolution of flurbiprofen^[19] and sugar ester synthesis.^[20] Most of the lipase-catalyzed reactions involving the use of continuous-flow techniques have been reviewed, for example, the compilation by Itabaiana and co-workers.^[21]

On the basis of earlier excellent results on the enzymatic preparation of various *N*-Boc-protected tetrahydroisoquinolines, intermediates for the preparation of crispine A,^[22] homocalycotomine,^[23] or calycotomine,^[24] we set out to develop a new enzymatic method for the resolution of new tetrahydro- β -carboline derivatives: 1-hydroxymethyl-1,2,3,4-tetrahydro- β -carboline [(±)-**4**], 1-hydroxymethyl-6-methoxy-1,2,3,4-tetrahydro- β -carboline [(±)-**5**], and 1-hydroxymethyl-6-fluoro-1,2,3,4-tetrahydro- β -carboline [(±)-**6**]. We planned to carry out the enantioselective O-acylation of Boc-protected derivatives of the above-mentioned compounds [(±)-**7**, (±)-**8**, and (±)-**9**].

Results and Discussion

The starting compounds [(±)-**7**, (±)-**8**, and (±)-**9**] were synthesized through Pictet–Spengler cyclization of the corresponding

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tryptamine hydrochloride derivatives [1, 2, and 3] and glycolaldehyde, by a method from the literature.^[25] Finally, to ensure the acylation exclusively at the OH function, the nitrogen at position 2 was Boc protected (Scheme 1).

A number of preliminary experiments were performed in order to determine the optimal conditions for the enzymatic acylation of (\pm)-7 (Scheme 2). These preliminary reactions were carried out in a continuous-flow system, using an H-Cube,^[24] considering the advantages ensured by this system vs. batch reactions, such as facile automation, reproducibility, constant reaction parameters, and rapid implementation of the reactions (Figure 1).^[26] The substrate and the acyl donor were dissolved in the solvent, and the solution was pumped through a 70 mm-long heat- and pressure-resistant CatCart filled with enzyme. We investigated how the enzyme, the acyl donor, the solvent, temperature, and pressure influenced the enantioselectivity and the reaction rate.

In an earlier study on the synthesis of *N*-Boc-protected calyotomine enantiomers, the CAL-B (*Candida antarctica* lipase B)-catalyzed enantioselective acylation ($E > 200$) was performed with vinyl acetate in toluene, with a flow rate of 0.1 mL min⁻¹ in a continuous-flow system.^[24] We therefore started the acylation of model compound (\pm)-7 under similar conditions (Table 1, entry 1). Poppe and co-workers^[16] described the preparative-scale resolution of different racemic secondary alcohols by using a continuous-flow system and also at a flow rate of 0.1 mL min⁻¹. CAL-B catalyzed the reaction with excellent enantioselectivity ($E > 200$), but the conversion (conv. = 4%) was very low after one cycle. Next, several other enzymes, such as PS-IM (*Burkholderia cepacia* lipase), CAL-A (*Candida antarctica* lipase A) and AK (*Pseudomonas fluorescens* lipase), were tested under the same conditions (entries 2–4). Lipase PS-IM catalyzed the reaction with excellent E (entry 2), but with an even lower reaction rate than for CAL-B (entry 1). CAL-A displayed moderate reactivity and low E (entry 4), while lipase AK practically did not catalyze the reaction (no product was detected after one

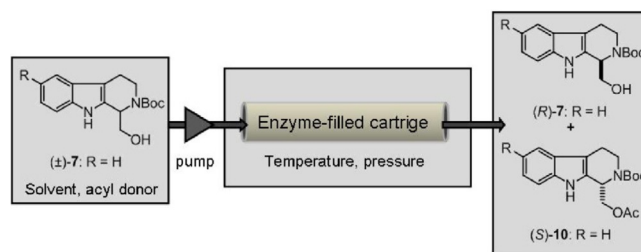


Figure 1. Enzyme-catalyzed resolution of (\pm)-7 in a continuous-flow system.

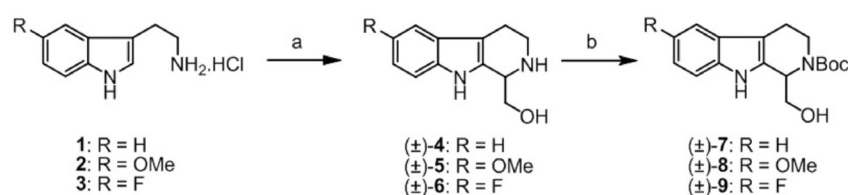
Table 1. Enzyme screening for the acylation of (\pm)-7^[a].

Entry	Enzyme	ee_s ^[b] [%]	ee_p ^[b] [%]	Conv. [%]	E
1	CAL-B	4	99	4	> 200
2	PS-IM	1.5	99	1.5	> 200
3	AK	No reaction			
4	CAL-A	3	24	11	1.6

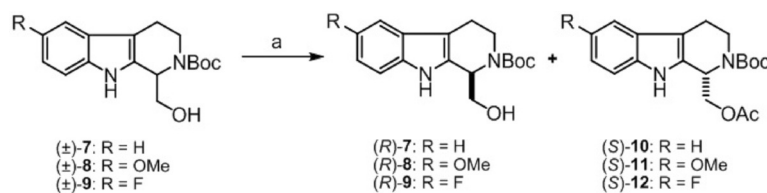
[a] Substrate (0.0125 mmol, 3.7 mg); CAL-B (230 mg), PS-IM (248 mg), AK (338 mg), CAL-A (231 mg, 70 mm cartridge); toluene (1 mL); 1.1 equiv vinyl acetate (1.2 μ L); 45 °C; 0.1 mL min⁻¹ flow rate; 1 bar; 1 cycle. [b] According to HPLC.

cycle) (entry 3). In view of these results, CAL-B was chosen for further optimization.

In an attempt to increase the reaction rate, the enzymatic acylation of (\pm)-7 was performed with other acyl donors (Table 2). Ethyl acetate and isopropenyl acetate did not react (entries 1 and 2). Although it is known that acylation with an anhydride acyl donor may lead to 'chemical esterification' besides enzymatic acylation, thereby causing a decrease in the product enantiomeric excess,^[27] two anhydride acyl donors, butyric anhydride (entry 4) and acetic anhydride,^[28,29] (entry 5), were also tested. When butyric anhydride was used, a low E and a relatively good conversion were observed (entry 4).



Scheme 1. Synthesis of starting compounds (\pm)-7, (\pm)-8, and (\pm)-9. Reagents and conditions: a) 1) water, HCl, glycolaldehyde dimer, 0 °C then 90 °C, 4 h, 2) NaOH, 87% [(\pm)-4], 51% [(\pm)-5], 91% [(\pm)-6]; b) 1,4 dioxane, water, NaOH, (Boc)₂O, 0 °C for 1 h then rt for 24 h, 81% [(\pm)-7], 87% [(\pm)-8], 73% [(\pm)-9].



Scheme 2. Enzymatic resolution of (\pm)-7, (\pm)-8, and (\pm)-9. Reagents and conditions: a) lipase CAL-B, acetic anhydride, toluene, 60 °C, 1.5 h: 47% [(*R*)-7], 46% [(*S*)-10], 2.5 h: 47% [(*R*)-8], 43% [(*S*)-11], 2 h: 47% [(*R*)-9], 45% [(*S*)-12].

Table 2. Acyl donor screening for the acylation of (\pm)-**7**^[a].

Entry	Acyl donor	$ee_s^{[b]}$ [%]	$ee_p^{[b]}$ [%]	Conv. [%]	E
1	ethyl acetate		No reaction		
2	isopropenyl acetate		No reaction		
3	vinyl acetate	4	99	4	>200
4	butyric anhydride	31	63	32	6
5	acetic anhydride	20	99	17	>200
6	2,2,2-trifluoroethyl butyrate	12	42	22	2.7

[a] Substrate (0.0125 mmol, 3.7 mg); CAL-B (230 mg, 70 mm cartridge); toluene (1 mL); 1.1 equiv acyl donor; 60 °C, 0.1 mL min⁻¹ flow rate; 1 bar; 1 cycle. [b] According to HPLC.

Under the same reaction conditions, acylation with acetic anhydride proceeded in a relatively fast reaction (conversion = 17%) with excellent enantioselectivity ($E > 200$). Consequently, acetic anhydride was chosen as acyl donor in further reactions.

We next investigated the acylation of (\pm)-**7** at different temperatures (Table 3). When the temperature was increased from 60 °C (entry 1) to 70 °C (entry 2) and then to 80 °C (entry 3), the reaction rate increased, but at the same time, E decreased.

Table 3. Effects of temperature on E and the conversion in the acylation of (\pm)-**7**^[a].

Entry	Temperature [°C]	$ee_s^{[b]}$ [%]	$ee_p^{[b]}$ [%]	Conv. [%]	E
1	60	20	99	17	>200
2	70	23	98	19	124
3	80	35	97	26	92

[a] Substrate (0.0125 mmol, 3.7 mg); CAL-B (230 mg, 70 mm cartridge); toluene (1 mL); 1.1 equiv acetic anhydride (1.2 μ L); 0.1 mL min⁻¹ flow rate; 1 bar; 1 cycle. [b] According to HPLC.

In an effort to increase the reaction rate without a loss in enantioselectivity, a set of experiments were performed in different solvents, such as toluene, methyl *tert*-butyl ether, acetonitrile, diisopropyl ether, chloroform, and 1,4-dioxane (Table 4). The results demonstrated excellent E (> 200) in methyl *tert*-butyl ether and 1,4-dioxane (entries 2 and 6), but the conversions were very low (conv. $\leq 4\%$). Excellent E (> 200) and rela-

Table 4. Solvent screening for the acylation of (\pm)-**7**^[a].

Entry	Solvent	$ee_s^{[b]}$ [%]	$ee_p^{[b]}$ [%]	Conv. [%]	E
1	toluene	20	99	17	>200
2	methyl <i>tert</i> -butyl ether	4	99	4	>200
3	acetonitrile	1	95	1	39
4	diisopropyl ether	20	99	17	>200
5	chloroform	2	73	3	7
6	1,4-dioxane	3	99	3	>200

[a] Substrate (0.0125 mmol, 3.7 mg); CAL-B (230 mg, 70 mm cartridge); solvent (1 mL); 1.1 equiv acetic anhydride (1.2 μ L); 60 °C; 0.1 mL min⁻¹ flow rate; 1 bar; 1 cycle. [b] According to HPLC.

tively good reaction rates were observed in toluene and diisopropyl ether (entries 1 and 4). Finally, diisopropyl ether was chosen for further reactions.

The pressure of the reactions performed in the continuous-flow system was also examined (Table 5). It was interesting to observe that at about 60 bar, the reaction rate reached a maximum (conversion = 32% after one cycle, entry 4), and further increase of the pressure resulted in a decrease in the conversion.

Table 5. Effects of pressure on E and the conversion in the acylation of (\pm)-**7**^[a].

Entry	Pressure [bar]	$ee_s^{[b]}$ [%]	$ee_p^{[b]}$ [%]	Conv. [%]	E
1	1	18	99	15	>200
2	20	34	99	25	>200
3	40	35	99	26	>200
4	60	48	99	32	>200
5	80	34	99	25	>200
6	100	21	99	18	>200

[a] Substrate (0.0125 mmol, 3.7 mg); CAL-B (230 mg, 70 mm cartridge); diisopropyl ether (1 mL); 1.1 equiv acetic anhydride (1.2 μ L); 60 °C; 0.1 mL min⁻¹ flow rate; 1 cycle. [b] According to HPLC.

The CAL-B-catalyzed acylation of (\pm)-**7** was next carried out in an incubator shaker, under the optimized reaction conditions for the H-Cube (CAL-B, diisopropyl ether, acetic anhydride, 60 °C). The reaction performed in batch mode reached a conversion of 46% after 3 h, but the enantioselectivity was relatively low ($E = 36$). Consecutively, the diisopropyl ether was replaced by toluene, which also ensured good results when the acylation of (\pm)-**7** was carried out in the H-Cube (Table 4, entry 1 vs. 4). The batch reaction in toluene gave excellent enantioselectivity ($E > 200$) and a conversion of 48% after 3 h. When the amount of acetic anhydride was increased from 1.1 equiv to 2 equiv, a higher reaction rate was observed ($E > 200$, conversion = 50% after 3 h).

In the small-scale acylations of (\pm)-**8** and (\pm)-**9** under the conditions optimized for (\pm)-**7** (CAL-B, 2 equiv acetic anhydride, toluene, 60 °C), lower reaction rates and enantioselectivities were observed (Table 6). The results revealed that the enzymatic acylations slowed down or even stopped after a while, and ee_p decreased considerably, as a consequence of chemical

Table 6. CAL-B-catalyzed O-acylation of (\pm)-**8** and (\pm)-**9** with acetic anhydride.^[a]

Entry	Substrate	Acetic anhydride [equiv]	Time [h]	$ee_s^{[b]}$ [%]	$ee_p^{[b]}$ [%]	Conv. [%]	E
1	(\pm)- 8	2	3	69	99	41	>200
2	(\pm)- 8	2	7	70	95	42	82
3	(\pm)- 8	8	2.5	98	98	50	>200
4	(\pm)- 9	2	3	68	97	41	134
5	(\pm)- 9	2	7	75	89	45	39
6	(\pm)- 9	6	2	97	96	50	>200

[a] Substrate (0.0125 mmol); CAL-B (30 mg); toluene (1 mL); 60 °C. [b] According to HPLC.

esterifications (entries 2 and 5). When the amount of acetic anhydride was increased from 2 equiv to 6 and then 8 equiv, the reactions became faster, and when 50% conversions were achieved, the enantioselectivities were excellent (>200) (entries 3 and 6).

On the basis of the above results, the preparative-scale enzymatic resolutions of (\pm) -7– (\pm) -9 were performed in toluene, with CAL-B, acetic anhydride [2 equiv for (\pm) -7, 8 equiv for (\pm) -8, 6 equiv for (\pm) -9], at 60 °C. The results are presented in Table 7 and the Experimental Section.

Entry	Substrate	Time [h]	Conv. [%]	Alcohol recovered [(R)-7–(R)-9]		Ester produced [(S)-10–(S)-12]			
				Yield [%]	$ee^{[b]}$ [%]	Yield [%]	$ee^{[b]}$ [%]		
1	(\pm) -7	1.5	50	47	98	+107.5 ^[c]	46	98	−102.2 ^[d]
2	(\pm) -8	2.5	50	47	98	+82 ^[e]	43	98	−92.3 ^[f]
3	(\pm) -9	2	49	47	96	+97 ^[g]	45	98	−131.8 ^[h]

[a] Toluene, with acetic anhydride, at 60 °C. [b] According to HPLC. [c] $c=0.34$ in EtOH. [d] $c=0.32$ in EtOH. [e] $c=0.23$ in EtOH. [f] $c=0.61$ in EtOH. [g] $c=0.21$ in EtOH. [h] $c=0.38$ in EtOH.

Further transformations

The O-acylated enantiomers [(S)-10–(S)-12] were transformed via methanolysis into the corresponding amino alcohols [(S)-7–(S)-9] in $K_2CO_3/MeOH$ at 60 °C without a loss in ee values (98%) (Scheme 3). When the protecting Boc in (R)-8 and (S)-11 was removed with 18% HCl at 80 °C, a considerable decrease in ee ($\leq 89\%$) was observed. Since methods of Boc deprotection, including catalyst-free water-mediated,^[30] and microwave (MW)-assisted methods^[31] are known in the literature, we performed MW-assisted Boc group removal for (R)-7–(R)-9 and (S)-10–(S)-12, in water at 100 °C.^[32] This strategy resulted in the desired products [(R)-4–(R)-6 and (S)-4–(S)-6] with high ee ($\geq 96\%$).

For determination of the absolute configuration, amino alcohol 4 was transformed to its *N*-acetyl analog (13) by a known literature method (Scheme 3).^[33]

Determination of absolute configuration

The specific rotation earlier reported for (R)-13 ($ee=98\%$) was $[\alpha]_D^{25} = +17.3$ ($c=0.2$ in EtOH),^[34] whereas the enantiomeric 13 that we prepared (see Experimental Section) gave $[\alpha]_D^{25} = +164$ ($c=0.2$ in EtOH), with the same sign, but with a higher order of magnitude, although the 1H NMR spectroscopic data for our (R)-13 were similar to those given in the literature.^{[34][35]} Taking into account our earlier observations with regard to the enantioselectivity in the CAL-B-catalyzed O-acylation of related amino alcohols,^[24] (S) selectivity was accepted in the CAL-B-catalyzed O-acylation of (\pm) -7.

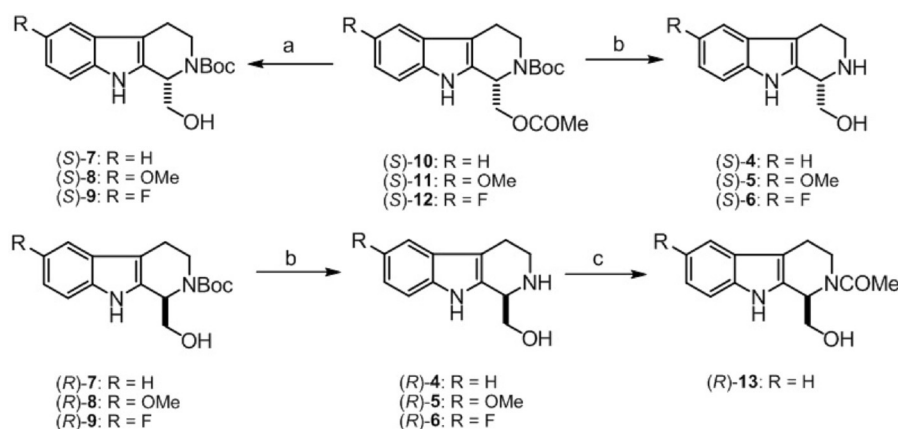
Conclusion

An effective enzymatic method was developed for the enantioselective O-acylation of the primary hydroxyl group of tetrahydro- β -carbolines (\pm) -7, (\pm) -8, and (\pm) -9. Taking advantage of the continuous-flow system, we carried out the preliminary experiments in a continuous-flow system, while the preparative-scale resolutions were performed as batch reactions (incubator shaker). Excellent E values (>200) were observed when CAL-B and acetic anhydride were used in toluene at 60 °C. Enantiomeric *N*-Boc-protected amino alcohols [(R)-7–(R)-9], and amino esters [(S)-10–(S)-12] were obtained with high ee ($\geq 96\%$) in good yields ($\geq 43\%$). The transformations of (R)-7–(R)-9 and (S)-10–(S)-12 with MW-assisted Boc deprotection resulted in the desired tetrahydro- β -carboline amino alcohols without a drop in the ee values ($\geq 96\%$).

Experimental Section

Materials and methods

CAL-B (lipase B from *Candida antarctica*, Catalog No. L4777, specification: ≥ 5000 U g^{−1}) was purchased from Sigma–Aldrich; lipase AK (*Pseudomonas fluorescens*) was from Amano Pharmaceuticals. Lipase PS-IM (*Burkholderia cepacia* immobilized on diatomaceous earth) was from Amano Enzyme Europe Ltd. Chyrazyme L-5 (lipase A from *Candida antarctica*) was from Novo Nordisk. Reactions in the continuous-flow system were carried out in the H-Cube from



Scheme 3. Further transformations. *Reagents and conditions:* a) MeOH, K_2CO_3 , 60 °C, 10 min, 90% [(S)-7], 88% [(S)-8], 75% [(S)-9]; b) MW, water, 100 °C, 1 h, 52% [(S)-4], 38% [(S)-5], 28% [(S)-6], 79% [(R)-4], 38% [(R)-5], 52% [(R)-6]; c) CH_2Cl_2 , water, acetic anhydride, NaOH, rt, 48 h, 49%.

ThalesNano Inc (Budapest, Hungary). The stainless-steel cartridges used (70 mm in length, 4 mm in internal diameter and 0.75 mL in volume), were also from ThalesNano Inc. With CAL-B (230 mg) as enzyme charge and a flow rate of 0.1 mL min⁻¹ (toluene), the experimentally determined (staining procedure) residence time within the packed bed of the reactor was 7 min and 40 s. The H-cube was used in “no H₂” mode. The ¹H NMR and ¹³C NMR spectra were recorded with a Bruker Avance DRX 400 instrument (Billerica, MA, USA). Elemental analyses were performed with a PerkinElmer CHNS-2400 Ser II Elemental Analyzer (Waltham, MA, USA). Optical rotations were measured with a PerkinElmer 341 polarimeter. Microwave (MW) reactions were performed in a CEM Discover MW reactor (Matthews, NC, USA). Melting points were determined on a Kofler apparatus.

The *ee* values of the *N*-Boc-protected amino alcohols [(*R*)-7–(*R*)-9] and (*S*)-7–(*S*)-9] and amino esters [(*S*)-10–(*S*)-12] were determined directly, while those of the deprotected enantiomers [(*R*)-4–(*R*)-6 and (*S*)-4–(*S*)-6] were determined after derivatization with Boc₂O by using high-performance liquid chromatography (HPLC) with a Chiralpak OD-H column (4.6 mm × 250 mm), eluent: *n*-hexane:isopropyl alcohol (93:7), flow rate: 0.5 mL min⁻¹, detection at 260 nm, at rt. Retention times (min): for (*R*)-7: 27.7, (*S*)-7: 16.6, (*R*)-8: 38.6, (*S*)-8: 21.9, (*R*)-9: 28.8, (*S*)-9: 15.6, (*S*)-10: 13.8, (*R*)-10: 18.8, (*S*)-11: 17.0, (*R*)-11: 25.3, (*S*)-12: 12.6, and (*R*)-12: 19.9. The *ee* value of *N*-acetyl amino alcohol (*R*)-13 was determined with a Chiralpak IA column (4.6 mm × 250 mm), eluent: *n*-hexane:isopropyl alcohol (95:5), flow rate: 0.5 mL min⁻¹, detection at 210 nm, at rt. Retention times (min): for (*R*)-13: 92.8 and (*S*)-13: 88.2.

Small-scale enzymatic resolutions

Small-scale experiments in the continuous-flow system: the racemic substrate [(±)-7, 0.0125 mmol] and the acyl donor (1.1 equiv) were dissolved in the solvent (1 mL), and the mixtures were pumped with an HPLC pump through the heated (45 °C, 60 °C, 70 °C, and 80 °C) and compressed (1 bar, 20 bar, 40 bar, 60 bar, 80 bar, and 100 bar) cartridge filled with enzyme (flow rate: 0.1 mL min⁻¹).

Small-scale experiments in batch mode: the racemic compound [(±)-7, (±)-8, or (±)-9, 0.0125 mmol] was dissolved in the solvent (1 mL), and the enzyme (30 mg mL⁻¹) and acyl donor (2, 6, or 8 equiv of acetic anhydride) were then added. The mixture was shaken at 60 °C.

Syntheses

Synthesis of racemic *N*-Boc-protected 1-hydroxymethyl-1,2,3,4-tetrahydro-β-carboline [(±)-7]

Tryptamine hydrochloride (1, 5.9 g, 0.03 mol) was dissolved in a mixture of water and 2 *N* HCl (15 mL). The solution was cooled to 0 °C, and a solution of glycolaldehyde dimer (2.3 g, 0.02 mol, dissolved in 5 mL water) was added. The reaction mixture was stirred at 90 °C for 4 h. The cooled solution was treated with activated carbon, and then extracted with diethyl ether. To the aqueous layer, 20% NaOH was added until pH 10, and the mixture was then extracted with EtOAc (3 × 30 mL). The organic layer was dried on anhydrous Na₂SO₄ and evaporated. The product (±)-4 was purified by column chromatography (5.2 g, yield: 87%, m.p. = 146–147 °C, light-yellow crystals, *R*_f = 0.27, eluent: MeOH). Alcohol (±)-4 (3.0 g, 0.015 mol) was dissolved in 80 mL 1,4-dioxane and cooled to 0 °C, and a solution of NaOH (0.62 g, 0.016 mol, in 5 mL water) and then

a solution of di-*tert*-butyl dicarbonate (3.56 g, 0.016 mol, in 10 mL 1,4-dioxane) were added. The reaction was carried out at 1 h under ice-cooling, and then at room temperature for 24 h. The reaction mixture was extracted with dichloromethane (3 × 30 mL) and the extract was dried on anhydrous Na₂SO₄ and evaporated. The resulting *N*-Boc-protected amino alcohol (±)-7 (3.6 g, yield: 81%, m.p. = 115–117 °C, light-yellow crystals from with diethyl ether.) was purified by column chromatography [*R*_f = 0.23, eluent: *n*-hexane:EtOAc (2:1)].

¹H NMR (400 MHz, CDCl₃) for (±)-4: δ = 8.12–8.26 (br s, 1 H, NH), 7.49–7.56 (d, *J* = 7.69 Hz, 1 H, Ar-H), 7.32–7.39 (d, *J* = 8.14 Hz, 1 H, Ar-H), 7.10–7.23 (m, 2 H, Ar-H), 4.18–4.26 (t, *J* = 2.30 Hz, 1 H, CH), 3.77–3.96 (m, 2 H, CH₂), 3.11–3.36 (m, 2 H, CH₂), 2.71–2.87 ppm (m, 2 H, CH₂); ¹³C NMR (400 MHz, [D₄]MeOH) for (±)-4: δ = 135.35, 131.43, 125.98, 119.67, 117.22, 116.13, 109.46, 107.18, 62.11, 53.19, 40.17, 20.35 ppm; Anal. calcd. for C₁₂H₁₄N₂O: C 71.26, H 6.98, N 13.85, found: C 71.21, H 6.93, N 13.79.

¹H NMR (400 MHz, DMSO) for (±)-7: δ = 10.66–10.87 (br s, 1 H, NH), 7.35–7.43 (d, *J* = 7.6 Hz, 1 H, Ar-H), 7.28–7.35 (d, *J* = 8.0 Hz, 1 H, Ar-H), 7.01–7.09 (t, *J* = 7.3 Hz, 1 H, Ar-H), 6.91–6.99 (t, *J* = 7.2 Hz, 1 H, Ar-H), 4.88–5.24 (m, 2 H, CH₂), 4.10–4.43 (m, 1 H, CH), 3.69–3.84 (m, 2 H, CH₂), 2.57–2.74 (m, 2 H, CH₂), 1.44 ppm (s, 9 H, C(CH₃)₃); ¹³C NMR (400 MHz, CDCl₃) for (±)-7: δ = 136.68, 132.30, 127.01, 122.24, 119.76, 118.50, 111.56, 81.10, 64.62, 53.32, 28.94, 21.91 ppm; Anal. calcd. for C₁₇H₂₂N₂O₃: C 67.53, H 7.33, N 9.26, found: C 67.43, H 7.39, N 9.22.

Synthesis of racemic *N*-Boc-protected 1-hydroxymethyl-6-methoxy-1,2,3,4-tetrahydro-β-carboline [(±)-8]

With the procedure described above [5-methoxytryptamine hydrochloride (2, 1.0 g, 4.4 mmol), water (40 mL), 2 *N* HCl (2.8 mL), glycolaldehyde dimer (0.52 g, 4.3 mmol)], the reaction resulted in (±)-5 [0.52 g, yield: 51%, m.p. = 152–153 °C, *R*_f = 0.25, eluent: MeOH] as yellow crystals. To a solution of (±)-5 (0.52 g, 2.26 mmol) in 1,4-dioxane (35 mL), NaOH (0.09 g, 2.25 mmol) in water (5 mL) and di-*tert*-butyl dicarbonate (0.54 g, 2.47 mmol) in 1,4-dioxane (5 mL) were added. The method was as described above. (±)-8 [0.65 g, yield: 87%, m.p. = 155–156 °C from *n*-hexane, *R*_f = 0.34, eluent: *n*-hexane:EtOAc (2:1)] was obtained as light-yellow crystals.

¹H NMR (400 MHz, CDCl₃) for (±)-5: δ = 8.08–8.24 (br s, 1 H, NH), 7.22–7.24 (d, 1 H, *J* = 8.80 Hz, Ar-H), 6.95 (s, 1 H, Ar-H), 6.78–6.88 (d, *J* = 8 Hz, 1 H, Ar-H), 4.13–4.26 (m, 1 H, CH), 3.75–3.97 (m, 2 H, CH₂ overlapping with s, 3 H, CH₃), 3.04–3.37 (m, 2 H, CH₂), 2.63–2.88 ppm (m, 2 H, CH₂); ¹³C NMR (400 MHz, [D₄]MeOH) for (±)-5: δ = 152.55, 132.38, 130.56, 126.30, 110.07, 109.48, 107.05, 98.70, 62.12, 53.90, 53.27, 40.23, 20.42 ppm; Anal. calcd. for C₁₃H₁₆N₂O₂: C 67.22, H 6.94, N 12.06, found: C 67.20, H 6.88, N 12.14.

¹H NMR (400 MHz, DMSO) for (±)-8: δ = 10.49–10.72 (br s, 1 H, NH), 7.16–7.31 (d, 1 H, *J* = 8.48 Hz, Ar-H), 6.88 (s, 1 H, Ar-H), 6.61–6.77 (dd, *J* = 2.2 Hz, 8.7 Hz, 1 H, Ar-H), 4.90–5.23 (m, 2 H, CH₂), 4.08–4.43 (m, 1 H, CH), 3.70–3.85 (m, 2 H, CH₂ overlapping with s, 3 H, CH₃), 2.51–2.61 (m, 2 H, CH₂), 1.44 ppm (s, 9 H, C(CH₃)₃); ¹³C NMR (400 MHz, CDCl₃) for (±)-8: δ = 154.45, 133.12, 131.80, 127.37, 112.18, 100.91, 81.05, 64.68, 56.42, 53.32, 28.90, 21.92 ppm; Anal. calcd. for C₁₈H₂₄N₂O₄: C 65.04, H 7.28, N 8.43, found: C 65.07, H 7.19, N 8.49.

Synthesis of racemic *N*-Boc-protected 1-hydroxymethyl-6-fluoro-1,2,3,4-tetrahydro- β -carboline, (\pm)-9

With the procedure described above [5-fluorotryptamine hydrochloride (**3**, 1.0 g, 4.6 mmol), water (40 mL), 2 N HCl (2.5 mL), glycolaldehyde dimer (0.55 g, 4.6 mmol)], the reaction resulted in (\pm)-**6** [0.93 g, yield: 91%, m.p.=138–141 °C, R_f =0.15, eluent: toluene:MeOH (1:1)] as yellow crystals. To a solution of (\pm)-**6** (0.83 g, 3.77 mmol) in 1,4-dioxane (30 mL), NaOH (0.15 g, 3.75 mmol) in water (5 mL) and di-*tert*-butyl dicarbonate (0.91 g, 4.17 mmol) in 1,4-dioxane (5 mL) were added. The method was as described above. The product (\pm)-**9** [0.88 g, yield: 73%, m.p.=124–125 °C from *n*-hexane, R_f =0.26, eluent: *n*-hexane:EtOAc (2:1)] was obtained as light-yellow crystals.

$^1\text{H NMR}$ (400 MHz, $[\text{D}_4]\text{MeOH}$) for (\pm)-**6**: δ =7.16–7.28 (q, J =4.44 Hz, 1H, Ar-H), 7.00–7.08 (dd, J =2.48 Hz, 9.68 Hz, 1H, Ar-H), 6.72–6.85 (dt, J =2.44 Hz, 9.16 Hz, 1H, Ar-H), 4.03–4.15 (m, 1H, CH), 3.78–3.92 (m, 1H, CH_2), 3.56–3.66 (m, 1H, CH_2), 3.25–3.32 (m, 1H, CH_2), 2.93–3.03 (m, 1H, CH_2), 2.62–2.78 ppm (m, 2H, CH_2); $^{13}\text{C NMR}$: (400 MHz, $[\text{D}_4]\text{MeOH}$) for (\pm)-**6**: δ =157.62, 155.31, 133.72, 131.84, 126.26, 110.07, 107.34, 100.86, 62.02, 47.39, 40.16, 20.28 ppm; Anal. calcd. for $\text{C}_{12}\text{H}_{13}\text{FN}_2\text{O}$: C 65.44, H 5.95, N 12.72, found: C 65.27, H 5.99, N 12.85.

$^1\text{H NMR}$ (400 MHz, DMSO) for (\pm)-**9**: δ =10.78–10.92 (br s, 1H, NH), 7.25–7.34 (q, J =8.7 Hz, 1H, Ar-H), 7.09–7.18 (dd, J =2.4 Hz, 9.8 Hz, 1H, Ar-H), 6.83–6.91 (dt, J =2.8 Hz, 9.4 Hz, 1H, Ar-H), 4.95–5.19 (m, 2H, CH_2), 4.11–4.39 (m, 1H, CH), 3.69–3.81 (m, 2H, CH_2), 2.56–2.69 (m, 2H, CH_2), 1.44 ppm (s, 9H, $\text{C}(\text{CH}_3)_3$); $^{13}\text{C NMR}$: (400 MHz, CDCl_3) for (\pm)-**9**: δ =159.37, 157.04, 134.25, 133.13, 127.32, 112.03, 110.33, 103.54, 81.22, 64.49, 53.24, 40.36, 28.89, 21.85 ppm; Anal. calcd. for $\text{C}_{17}\text{H}_{21}\text{FN}_2\text{O}_3$: C 63.74, H 6.61, N 8.74, found: C 63.70, H 6.71, N 8.68.

Enzymatic resolutions

Enzymatic resolution of (\pm)-7

To (\pm)-**7** (0.5 g, 1.66 mmol) in toluene (30 mL), lipase CAL-B (900 mg) and acetic anhydride (2 equiv, 310 μL) were added, and the reaction mixture was shaken in an incubator shaker at 60 °C for 1.5 h. The reaction was stopped at 50% conversion (ee =98%) by filtering off the enzyme, and the solvent was then evaporated off. The products were separated by column chromatography on silica [eluent: *n*-hexane:EtOAc (2:1)], resulting in the unreacted amino alcohol (*R*)-**7** as light-yellow crystals [235 mg, yield: 47%, $[\alpha]_{\text{D}}^{25}$ =+107.5 (c =0.34 in EtOH), m.p.=136–137 °C, R_f =0.24] and the product amino ester (*S*)-**10** as white crystals [263 mg, yield: 46%, $[\alpha]_{\text{D}}^{25}$ =−102.2 (c =0.32 in EtOH), m.p.=124–125 °C, R_f =0.76].

The $^1\text{H NMR}$ (400 MHz, DMSO) spectroscopic data for (*R*)-**7** were similar to those for (\pm)-**7**. $^1\text{H NMR}$ (400 MHz, CDCl_3) for (*S*)-**10**: δ =7.98–8.15 (br s, 1H, NH), 7.52–7.54 (d, 1H, J =8.0 Hz, Ar-H), 7.37–7.39 (d, 1H, J =7.6 Hz, Ar-H), 7.2–7.26 (t, J =7.5 Hz, 1H, Ar-H), 7.12–7.18 (t, J =7.2 Hz, 1H, Ar-H), 5.31–5.69 (m, 1H, CH), 4.29–4.47 (m, 1H, CH_2 overlapping with m, 2H, CH_2), 3.47–3.56 (m, 1H, CH_2), 2.71–2.96 (m, 2H, CH_2), 2.15 (s, 3H, CH_3), 1.46 ppm (s, 9H, $\text{C}(\text{CH}_3)_3$); $^{13}\text{C NMR}$: (400 MHz, CDCl_3) for (*S*)-**10**: δ =171.30, 136.70, 130.76, 127.06, 122.57, 120.00, 118.66, 111.47, 80.81, 65.16, 50.09, 39.90, 28.87, 21.90, 21.37 ppm; Anal. calcd. for $\text{C}_{19}\text{H}_{24}\text{N}_2\text{O}_4$: C 66.26, H 7.02, N 8.13, found: C 66.29, H 7.12, N 8.04.

Enzymatic resolution of (\pm)-8

With the procedure described above, the reaction of (\pm)-**8** (200 mg, 0.6 mmol), in toluene (25 mL), CAL-B (750 mg) and acetic anhydride (8 equiv, 466 μL) after 2.5 h resulted in (*R*)-**8** as white crystals [93 mg, yield: 47%, $[\alpha]_{\text{D}}^{25}$ =+82 (c =0.23 in EtOH), m.p.=196–198 °C, ee =98%, R_f =0.20, eluent: *n*-hexane:EtOAc (2:1)] and (*S*)-**11** as a yellow oil [98 mg, yield: 43%, $[\alpha]_{\text{D}}^{25}$ =−92.3 (c =0.61 in EtOH), ee =98%, R_f =0.63, eluent: *n*-hexane:EtOAc (2:1)].

The $^1\text{H NMR}$ (400 MHz, DMSO) spectroscopic data for (*R*)-**8** were similar to those for (\pm)-**8**. $^1\text{H NMR}$ (400 MHz, DMSO) for (*S*)-**11**: δ =10.72–10.88 (d, 1H, J =14.04 Hz, NH), 7.18–7.25 (d, 1H, J =8.4 Hz, Ar-H), 6.89–6.94 (d, 1H, J =2.12 Hz, Ar-H), 6.69–6.75 (dd, 1H, J =2.34 Hz, 8.84 Hz, Ar-H), 5.28–5.47 (m, 1H, CH), 4.10–4.50 (m, 2H, CH_2 overlapping with m, 2H, CH_2), 3.75 (s, 3H, CH_3), 2.55–2.73 (m, 2H, CH_2), 1.95–2.10 (m, 3H, CH_3), 1.44 ppm (s, 9H, $\text{C}(\text{CH}_3)_3$); $^{13}\text{C NMR}$: (400 MHz, CDCl_3) for (*S*)-**11**: δ =171.36, 154.56, 131.84, 127.45, 112.19, 100.96, 80.78, 65.07, 56.39, 50.17, 28.87, 21.93, 21.35 ppm; Anal. calcd. for $\text{C}_{20}\text{H}_{26}\text{N}_2\text{O}_5$: C 64.15, H 7.00, N 7.48, found: C 64.26, H 7.02, N 7.39.

Enzymatic resolution of (\pm)-9

Similarly, the preparative-scale reaction of (\pm)-**9** (500 mg, 1.56 mmol) in toluene (50 mL), CAL-B (1500 mg) and acetic anhydride (6 equiv, 885 μL) resulted after 2 h in (*R*)-**9** as light-yellow crystals [234 mg, isolated yield: 47%, $[\alpha]_{\text{D}}^{25}$ =+97 (c =0.21 in EtOH), m.p.=99–100 °C, ee =96%, R_f =0.12, eluent: *n*-hexane:EtOAc (3:1)] and (*S*)-**12** as white crystals [255 mg, isolated yield: 45%, $[\alpha]_{\text{D}}^{25}$ =−131.8 (c =0.38 in EtOH), m.p.=143–145 °C, ee =98%, R_f =0.49, eluent: *n*-hexane:EtOAc (3:1)].

The $^1\text{H NMR}$ (400 MHz, DMSO) spectroscopic data for (*R*)-**9** were similar to those for (\pm)-**9**. $^1\text{H NMR}$ (400 MHz, DMSO) for (*S*)-**12**: δ =10.99–11.21 (d, 1H, J =16 Hz, NH), 7.27–7.35 (2d, J =4.6 Hz, 1H, Ar-H), 7.15–7.21 (dd, 1H, J =2.4 Hz, 9.82 Hz, Ar-H), 6.87–6.96 (dt, J =2.5 Hz, 9.4 Hz, 1H, Ar-H), 5.31–5.53 (d, 1H, J =27.4 Hz, CH), 4.10–4.59 (m, 2H, CH_2 overlapping with m, 2H, CH_2), 2.55–2.70 (m, 2H, CH_2), 1.94–2.12 (m, 3H, CH_3), 1.44 ppm (s, 9H, $\text{C}(\text{CH}_3)_3$); $^{13}\text{C NMR}$: (400 MHz, CDCl_3) for (*S*)-**12**: δ =171.31, 159.46, 157.12, 133.17, 132.64, 127.45, 111.98, 110.79, 103.73, 80.94, 65.07, 50.13, 28.85, 21.82, 21.35 ppm; Anal. calcd. for $\text{C}_{19}\text{H}_{23}\text{N}_2\text{O}_4$: C 62.97, H 6.40, N 7.73, found: C 62.89, H 6.44, N 7.78.

Deacylation of (*S*)-10, (*S*)-11, and (*S*)-12

Enantiomeric (*S*)-**10** (30 mg, 0.09 mmol), (*S*)-**11** (40 mg, 0.11 mmol), or (*S*)-**12** (50 mg, 0.14 mmol) was dissolved in MeOH (10 mL). K_2CO_3 (50 mg, 0.36 mmol) was added, and the reaction mixture was shaken at 60 °C for 10 min. The following products were obtained as white crystals: (*S*)-**7** [24 mg, yield: 90%, $[\alpha]_{\text{D}}^{25}$ =−108.8 (c =0.33 in EtOH), m.p.=135–137 °C, ee =98%, R_f =0.48, eluent: *n*-hexane:EtOAc (1:1)], (*S*)-**8** [31 mg, yield: 88%, $[\alpha]_{\text{D}}^{25}$ =−81 (c =0.12 in EtOH), m.p.=195–197 °C, ee =98%, R_f =0.47, eluent: *n*-hexane:EtOAc (1:1)], or (*S*)-**9** [33 mg, yield: 75%, $[\alpha]_{\text{D}}^{25}$ =−105 (c =0.195 in EtOH), m.p.=98–100 °C, ee =98%, R_f =0.20, eluent: *n*-hexane:EtOAc (3:1)].

Deprotection of (*R*)-7, (*R*)-8, (*R*)-9, (*S*)-10, (*S*)-11, and (*S*)-12

(*R*)-**7** (50 mg, 0.16 mmol), (*R*)-**8** (30 mg, 0.09 mmol), (*R*)-**9** (30 mg, 0.09 mmol), (*S*)-**10** (50 mg, 0.15 mmol), (*S*)-**11** (40 mg, 0.1 mmol), or (*S*)-**12** (30 mg, 0.08 mmol) was suspended in water (5 mL) in

a tube, and stirred at 100 °C for 1 h under maximum MW irradiation of 150 W. The solvent was evaporated off, and the residue was purified by column chromatography with toluene:MeOH (1:1) as eluent. The following products were crystallized from *n*-hexane: (*R*)-**4** as yellow crystals [26 mg, yield: 79%, $[\alpha]_D^{25} = -37.8$ ($c = 0.41$ in EtOH), m.p. = 145–147 °C, $ee = 98\%$, $R_f = 0.15$], (*S*)-**4** as yellow crystals [15 mg, yield: 52%, $[\alpha]_D^{25} = +36.1$ ($c = 0.4$ in EtOH), m.p. = 146–147 °C, $ee = 98\%$, $R_f = 0.16$], (*R*)-**5** as light-yellow crystals [8 mg, yield: 38%, $[\alpha]_D^{25} = -22.0$ ($c = 0.4$ in EtOH), m.p. = 167–168 °C, $ee = 98\%$, $R_f = 0.18$], (*S*)-**5** as light-yellow crystals [9 mg, yield: 38%, $[\alpha]_D^{25} = +21.8$ ($c = 0.45$ in EtOH), m.p. = 168–170 °C, $ee = 98\%$, $R_f = 0.21$], (*R*)-**6** as light-yellow crystals [11 mg, yield: 52%, $[\alpha]_D^{25} = -29$ ($c = 0.155$ in EtOH), m.p. = 96–98 °C, $ee = 96\%$, $R_f = 0.14$], or (*S*)-**6** as light-yellow crystals [5 mg, yield: 28%, $[\alpha]_D^{25} = +29$ ($c = 0.25$ in EtOH), m.p. = 99–101 °C, $ee = 98\%$, $R_f = 0.18$].

Synthesis of racemic and enantiomeric 1-hydroxymethyl-2-acetyl-1,2,3,4-tetrahydro- β -carboline [(\pm)-**13** and (*R*)-**13**]

A mixture of (\pm)-**4** (30 mg, 0.13 mmol), 6 equiv acetic anhydride (75 μ L, 0.8 mmol) and NaOH (100 mg, 2.5 mmol) in CH₂Cl₂ (20 mL) and water (20 mL) was stirred for 48 h at rt. The reaction mixture was then extracted with CH₂Cl₂ (3 \times 15 mL). The organic phase was dried on anhydrous Na₂SO₄ and evaporated. The resulting dark-yellow oil was purified by column chromatography with CH₂Cl₂:MeOH (30:1) as eluent. The product (\pm)-**13** (18 mg, yield: 49%, m.p. = 191–192 °C, $R_f = 0.10$) was obtained as white crystals from EtOH and diisopropyl ether (1:9).

¹H NMR (400 MHz, CDCl₃) for (\pm)-**13**: $\delta = 8.58$ – 8.71 (br s, 1 H, NH), 7.44–7.49 (d, $J = 7.72$ Hz, 1 H, Ar–H), 7.31–7.35 (d, $J = 8.36$ Hz, 1 H, Ar–H), 7.13–7.20 (dt, $J = 1$ Hz, 7.02 Hz, 1 H, Ar–H), 7.06–7.13 (t, $J = 7.2$ Hz, 1 H, Ar–H), 5.74–5.82 (t, $J = 6.56$ Hz, 1 H, CH), 3.96–4.11 (m, 2 H, CH₂), 3.84–3.93 (m, 1 H, CH₂), 3.43–3.55 (m, 1 H, CH₂), 2.79–2.96 (m, 2 H, CH₂), 2.29 ppm (s, 3 H, CH₃).

With the above procedure, the reaction of (*R*)-**4** (26 mg, 0.11 mmol) resulted in the desired product (*R*)-**13** as white crystals [22 mg, yield: 70%, $[\alpha]_D^{25} = +164$ ($c = 0.2$ in EtOH), m.p. = 201–203 °C, $ee = 98\%$]. The ¹H NMR (400 MHz, CDCl₃) spectroscopic data for (*R*)-**13** were similar to those for (\pm)-**13**.

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