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Calculated properties of nitrogen-vacancy complexes in beryllium- and magnesium-doped GaN

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The properties of defect complexes consisting of a nitrogen vacancy with a substitutional beryllium or magnesium atom on neighboring lattice sites in hexagonal GaN are calculated using the AIMPRO local-density-functional theory method. Both types of defects $V_{\rm N}$ -Be_{Ga} and $V_{\rm N}$ -Mg_{Ga} are bound with respect to their isolated constituents. They do not appear to have any electronic levels in the bandgap, and are expected to be neutral defects. Important structural differences are found. In its minimum energy configuration, the Be atom in the $V_{\rm N}$ -Be_{Ga} complex lies nearly in the same plane as the three equivalent N atoms nearest to it. Thus, it has shorter Be-N bonds than the Ga-N distance in the bulk crystal, while the Mg atom in the $V_{\rm N}$ -Be_{Ga} complex cocupies a position closer the lattice site of the Ga atom it replaces. Hence, the $V_{\rm N}$ -Be_{Ga} complex has a larger open volume than the $V_{\rm N}$ -Mg_{Ga} complex. This is consistent with positron annihilation experiments [Saarinen *et al.*, J. Cryst. Growth **246**, 281 (2002); Hautakangas *et al.*, Phys. Rev. Lett. **90**, 137402 (2003)]. The frequency of the highest local vibrational mode of the $V_{\rm N}$ -Be_{Ga} center is calculated to be within 3–4 % of an infrared absorption line detected in Be-doped GaN [Clerjaud (private communication)].

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I. INTRODUCTION

Gallium nitride that has not been deliberately doped usually exhibits slightly *n*-type conducting behavior due to residual oxygen and silicon donors. The concentration of electrons can be readily increased by the addition of more oxygen or silicon. Unfortunately, it is more difficult to obtain *p*-type material. Magnesium acceptors have a relatively large activation energy, while beryllium-doped GaN is so heavily compensated that the material is only "semi-insulating." Five defects are considered in the work reported here as part of our continued investigation into the problems associated with doping GaN. These are the two vacancy-acceptor complexes $V_{\rm N}$ -Be_{Ga} and $V_{\rm N}$ -Mg_{Ga} and their constituents, the nitrogen vacancy $V_{\rm N}$, the substitutional beryllium acceptor Be_{Ga} , and the substitutional magnesium acceptor Mg_{Ga} . The results for BeGa and MgGa are carried forward from our previous work.¹ These two defects have simple structures in both their neutral and -1 charge states: the Be and Mg atoms in their minimum energy configuration are situated very close to the lattice site of the Ga atom that they replace. No other local minima were found.

A conclusion of the earlier work is that Be_{Ga} defects have relatively long and somewhat weaker bonds to their four neighboring N atoms compared with those in a material such as Be_3N_2 . This results in very nearly equal frequencies for the highest local vibrational modes (LVMs) of Be_{Ga} and Mg_{Ga} defects in GaN, rather than being more nearly proportional to $\sqrt{1/m}$, where *m* is the atomic mass. Thus, in the case of Be_{Ga} , the reduction in the frequencies of its LVMs due to having streched, weakened bonds outweighs the increase from having a smaller mass. The frequencies of the LVMs of both Be_{Ga} and Mg_{Ga} are below the A_1 (LO, 735 cm⁻¹) phonon frequency of GaN, yet are in bands where the bulk phonon density of states are low, thus allowing the modes for Mg_{Ga} defects in GaN to be observed by Raman experiments scattering.^{2–5}. It is quite likely, therefore, that the modes for Be_{Ga} defects could also be detected by the same technique. In the previous work, it was also suggested that Be_{Ga} could combine with V_N to produce V_N -Be_{Ga} complexes that would have a larger open volume than $V_{\rm N}$ alone, and a higher frequency LVM than BeGa by virtue of the Be atom in the defect having a more planar bonding arrangement with its three N atom neighbors. This could possibly explain the results of positron (e^+) annihilation experiments in which vacancies are found in Be-doped GaN that have a positron lifetime of 220 ± 10 ps, which is more than that expected for bulk GaN (160–170 ps) and isolated V_N (160–180 ps) but slightly less than that measured for V_{Ga} (235±5 ps).⁶

Moreover, there is a LVM that has been found in Bedoped GaN with a frequency 789 cm⁻¹, and that is uncorrelated in amplitude with higher modes.^{1,7} This would be consistent with a Be-N bond length that is shorter than the one that isolated Be_{Ga} defects have, as would be the case if the Be atom in a $V_{\rm N}$ -Be_{Ga} complex assumed a more planar, threefold-coordinated configuration. The positron annihilation experiments also find that in heavily Mg-doped, *p*-type GaN, there is a positron lifetime component $\approx 165 \pm 1$ ps (Ref. 6) It is not possible to say whether this is due to annihilation of e^+ in the bulk crystal or is due to an unresolved combination of annihilation in the bulk and at $V_{\rm N}$ -Mg_{Ga} complexes. In latter work, the positron lifetime for $V_{\rm N}$ -Mg_{Ga} complexes has been measured to be 180 ± 5 ps.⁸

Neutral $V_{\rm N}$ defects have a singly occupied t_2 state that is thought to be resonant with the conduction band, and a doubly occupied a_1 state that is probably resonant with the valence band.^{9,10} Thus, $V_{\rm N}$ is expected to be an autoionizing effective-mass-like donor with +1 charge. Consequently, Coulomb repulsion will render it inoperative as a trap for e^+ . It has been suggested that the $V_{\rm N}$ defect can exist in a +3 charge state that is stabilized by an outward relaxation of the neighboring Ga atoms.¹¹ However, there is good reason to believe that the +3 state of V_N is only an artifact of the supercell method where the corrections required to treat charged supercells become more problematic for high charge states. Specifically, when ionization energies are calculated purely from total energies within the supercell formalism an additional term called the Madelung correction should strictly be applied. Its effect is to push donor levels deeper. Unfortunately, its convergence with supercell size is poor, and it involves parameters that are not possible to determine accurately, thus energies are often quoted without this correction. If a "suitable" reference state is available then ionization energies relative to it can be calculated more reliably than absolute values as the Madelung terms approximately cancel. As is often the case, for the defects that we are interested in, there is no comparable state of similar electronic character that can be used as a reference. For further discussion on this subject see, for example, Ref. 12.

By analogy with V_N , we expect V_N -Be_{Ga} and V_N -Mg_{Ga} to be neutral defects, but may possibly exist in +2 charge states depending on exactly where the levels are in relation to the top of the valence band.

Thus, the aim of this work is to model in detail the properties of these defects by an accurate density-functional theory method within the formalism of the local-density approximation, to examine the validity of the ideas presented above.

II. METHOD

The total energies of supercells are calculated using a program package based on self-consistent local-densityfunctional theory, AIMPRO.^{13,14} It can provide detailed information about the structure of defects, their formation energies, electronic bandstructure, local vibrational modes, etc. The method uses a Gaussian basis set to describe the Kohn-Sham wave functions of the valence electrons, while the charge density is represented by a plane wave basis in reciprocal space, together with the Monkhorst-Pack (MP) scheme to sample the band structure.¹⁵ Core electrons are replaced by a pseudopotential based on the Troullier-Martins scheme.¹⁶ The semicore Ga 3d electrons can be included explicitly in the valence orbitals. However, for the majority of calculations, we apply instead a nonlinear core correction to include their effects approximately.¹⁷ Selected tests are then performed to ensure that the approximation is valid for the particular problem under consideration. When calculating local vibrational modes, *ab initio* second derivatives of energy are calculated for selected atoms in the immediate vicinity of a defect. We test whether the choice is adequate by extending the dynamical matrix to include "bulk" atoms by applying the Musgrave-Pople classical potential.^{18,19} The supercells used for these calculations contain 72 atoms in the ideal wurtzite-GaN crystal, where the lattice parameters a = 3.1879 Å and c/a = 1.6330 give the minimum total energy for pure GaN by our method. Other details of the method are described in our previous work.¹

III. RESULTS AND DISCUSSION

As discussed in the Introduction, the electronic structure of V_N , V_N -Be_{Ga}, and V_N -Mg_{Ga} is unusual compared with the majority of defects in that there are probably no levels in the bandgap. Purely for the record, and without applying the Madelung correction, the calculated electronic transition energies relative to one another are: $E(V_N)^{+/+++} \approx E(V_N - Be_{Ga})^{0/++} \approx E(V_N - Mg_{Ga})^{0/++} \approx [E(V_N)^{0/+} - 2.2]^{0/++}$ eV] per electron. Recall that the (0/+) level of V_N appears to be an effective-mass state resonant with the bottom of the conduction band and note the Kohn-Sham bandgap E_{KS} \approx 2.2 eV. Also note that in the supercell formalism effectivemass states cannot be properly described as the extent of their wave function is very much greater than the size of the supercell. It must be stressed that we consider the validity of these electronic transition energies for the high charge states is extremely dubious and only two reliable conclusions can really be made about them. First, even if these states are real their high positive charge would prevent them from trapping e^+ . Second, it is clear that under most circumstances, and possibly always, they are below the Fermi level μ_e . Therefore, $V_{\rm N}$ will be in the +1 state, and $V_{\rm N}$ -Be_{Ga} and $V_{\rm N}$ -Mg_{Ga} will be neutral. This will certainly be the case in semiinsulating material where μ_e is near mid gap.

In terms of energy, both the $V_{\rm N}$ -Be_{Ga} and the $V_{\rm N}$ -Mg_{Ga} complexes are bound with respect to their isolated components. The energy for the reaction $Be_{Ga}^- + V_N^+ \rightarrow V_N^- Be_{Ga}$ +GaN is estimated to be 0.86 eV. When the acceptor is Mg, the reaction $Mg_{Ga}^- + V_N^+ \rightarrow V_N - Mg_{Ga} + GaN$ yields about 0.53 eV. The orientation of the defect makes no significant difference to the binding energy. These defects might form easily during growth: it only requires that a N atom fails to occupy one of the four sites next to a Be_{Ga} or Mg_{Ga} atom at or near the surface of a growing crystal. The fact this creates an energetically favorable complex makes the process all the more likely. A second Be acceptor binds to a $V_{\rm N}$ -Be_{Ga} complex so that the reaction $Be_{Ga}^- + V_N - Be_{Ga} \rightarrow (V_N - (Be_{Ga})_2)^-$ +GaN yields a further 0.77 eV. To create this complex it requires that two Be atoms occupy neighboring Ga sites. If the Be atoms are randomly distributed, then the concentration of $(V_{\rm N}-({\rm Be}_{\rm Ga})_2)^-$ will be low. A concentration closer to the equilibrium value for this defect might be achieved in the right growth conditions if a second Be atom is able to migrate to an existing $V_{\rm N}$ -Be_{Ga} complex and displace one of the neighboring Ga atoms. However, the activation energies of such processes need to be sufficiently low for them to occur



FIG. 1. (Color online) Structure of the $V_{\rm N}\text{-}{\rm Be}_{\rm Ga}$ complex in GaN.

otherwise the system will remain far from equilibrium. The same remarks apply to the decomposition of these complexes into their isolated components. An order of magnitude estimate for the equilibrium concentration ratio $[V_{\rm N}-{\rm Be}_{\rm Ga}]/[(V_{\rm N}-({\rm Be}_{\rm Ga})_2)^{-}]$ is in the range ~1-1000 for a growth temperature $T \approx 1500$ °C and Be concentration [Be] $\sim 10^{21} - 10^{19}$ cm⁻³. These figures are based on typical values for bulk GaN crystallized from liquid Ga and N₂ gas at high pressures and temperatures. The specimens used in the infrared spectroscopy experiments and some of the positron annihilation experiments described previously were of this type. Lower T or larger [Be] gives a smaller ratio. The corresponding ratio for Mg is perhaps ~ 10 times bigger.

The structure of a $V_{\rm N}$ -Be_{Ga} complex in its minimum energy configuration optimized by the AIMPRO method is illustrated in Fig. 1. Both this defect and the $V_{\rm N}$ -Mg_{Ga} complex have a similar overall appearance, but differ significantly in the amount that the atoms are displaced from the lattice sites of Ga and N atoms in bulk GaN. The Be atom in the $V_{\rm N}$ -Be_{Ga} complex lies nearly in the same plane as the three equivalent N atoms nearest to it, and about 0.42 Å from the lattice site of the Ga atom that it replaces. The three N atoms closest to the Be atom are also shifted slightly from their normal positions towards the Be atom so that, combined with the shift of the Be atom along the c direction, it makes the Be-N distance about 13.2% less than the Ga-N distance in the bulk crystal. The V_N-Mg_{Ga} complex, however, has much smaller displacements of the atoms away from bulk lattice positions. The Mg atom is situated approximately 0.11 Å further from the vacancy than the Ga lattice site, and the bonds to the three neighboring N atoms are only about 0.6% shorter than the normal, bulk Ga-N distance. The atomic displacements are even smaller for the singly charged nitrogen vacancy $V_{\rm N}^{\scriptscriptstyle +}$, and are towards the vacancy rather than away from it. The unique Ga atom moves less than 0.01 Å, and the Ga-N distance to the three nearest N atoms is 0.2% longer than the bulk value. Table I contains details of these results together with others carried forward from our previous work.¹

The dynamical matrix used to calculate the local vibrational modes of defects is constructed in two ways. In the first case, it only contains second derivatives of energy for a

TABLE I. Structural information for all combinations of A_N - B_{Ga} with A = V, N, and B = Be, Mg, Ga in GaN calculated by the AIM-PRO method. The distance δ_c is the displacement of atom B in the c direction. See also Fig. 1, where A = V and B = Be.

A	В	Structure	A-B (Å)	B-N (Å)	δ_c (Å)	ÂBN	<u>NBN</u>
V	Be	$V_{\rm N}$ -Be _{Ga}		1.695	+0.420	96.3°	118.8°
V	Mg	$V_{\rm N}$ -Mg _{Ga}		1.940	+0.115	105.5°	113.1°
V	Ga	$V_{\rm N}^+$		1.939	-0.006	109.0°	109.9°
Ν	Be	Be _{Ga}	1.802	1.805	+0.042	109.3°	109.6°
Ν	Mg	Mg_{Ga}^{-}	1.999	1.981	+0.062	109.0°	109.9°
N	Ga	Bulk GaN	1.955	1.952	0.000	109.5°	109.5°

few atoms calculated numerically using the analytic first derivatives. For the V_N-Be_{Ga} and V_N-Mg_{Ga} complexes in GaN, these are the impurity atom, its three nearest nitrogen neighbors, and the three gallium atoms that are closest to the vacancy. The modes given by this method are then constrained to be completely localized on the defect atoms, and are not masked by bulk phonons, but it ignores dynamical interactions with other atoms. In the second case, the dynamical matrix is extended to include the contributions from other, more distant atoms by applying the Musgrave-Pople potential (MPP). The parameters for the potential are fitted to total energies for bulk GaN given by the AIMPRO method. If the choice of atoms for which ab initio second derivatives are calculated is adequate, then these contributions should have very little effect on the frequencies of local modes, and thus act only as a check on and refinement to the method. However, local modes that are resonant with bulk phonons can no longer be distinguished.

The local vibrational modes for V_N -Be_{Ga} and V_N -Mg_{Ga} complexes in GaN calculated by the AIMPRO method are given in Table II. The results given are restricted to the higher frequencies of the centers, where the vibrations are mainly confined to the impurity Be and Mg atoms, and their three nitrogen neighbors. With the exception of the highest mode of the V_N -Be_{Ga} defect, all the modes are lower than the A_1 (LO, 735 cm⁻¹) phonon frequency of GaN. However, several are in the bulk phonon bandgap between \approx 330 and 550 cm⁻¹. Some others have frequencies within a "window" in the bulk bands at \approx 620–660 cm⁻¹ where the density of states is small making it possible to observe local modes in this region by Raman scattering experiments.

TABLE II. Local vibrational modes for V_N -Be_{Ga} and V_N -Mg_{Ga} complexes in GaN calculated by the AIMPRO method both with and without the Musgrave-Pople potential (MPP). When the MPP is applied local modes that are resonant with bulk phonon bands cannot be distinguished.

Defect	MPP	Frequencies (cm ⁻¹)							
V _N -Be _{Ga}	No	422	431	568	583	608	640	671	756
$V_{\rm N}$ -Be _{Ga}	Yes	452	481	587	602	614			763
$V_{\rm N}$ -Mg _{Ga}	No		307	601	613	648	656	671	683
$V_{\rm N}$ -Mg _{Ga}	Yes		380	607	616				

The two lowest modes given in Table II of the $V_{\rm N}$ -Be_{Ga} defect, as identified by their character and symmetry, are reversed in order of frequency when the MPP is applied. This is due to a much larger upward shift of the first mode relative to the second. In other words, the mode at 422 cm^{-1} increases to 481 cm^{-1} , while the mode at 431 cm^{-1} changes by a much smaller amount to 452 cm^{-1} . The lowest mode of $V_{\rm N}$ -Mg_{Ga} also suffers a large shift. Therefore, the frequencies of the modes at $422/481 \text{ cm}^{-1}$ for V_{N} -Be_{Ga}, and $307/380 \text{ cm}^{-1}$ for V_{N} -Mg_{Ga} cannot be considered reliable. Of the other modes that are not resonant with bulk phonon bands, the shifts in frequency when the MPP is applied are small and acceptable. In earlier work, the frequencies calculated for the LVMs of the MgGa defect were found to be in very good agreement with measured values.¹ These include modes that are resonant with bulk phonon bands.

Several lines with frequencies above the bulk phonon bands, including one in particular at 789 cm⁻¹, have been observed by infrared spectroscopy in Be-doped GaN.^{1,7} We have identified the higher frequency LVMs as most probably being due to pairs of Be atoms sharing Ga-lattice sites, $(Be-Be)_{Ga}$. However, the line observed at 789 cm⁻¹ is not correlated in amplitude with the other lines. A model based on a simple harmonic oscillator with empirical parameters suggests that this line might be due to isolated, substitutional beryllium, Be_{Ga} . Our calculations show that the highest mode of the Be_{Ga} defect in fact has a relatively low frequency $\approx 663-679$ cm⁻¹ depending on the charge state.¹ It also has A_1 symmetry. In infrared spectroscopy experiments, modes of this type are normally far too weak to be detected. The highest frequency mode of the V_N-Be_{Ga} complex predicted at 763 cm⁻¹ has *E* symmetry, and hence is expected to be infrared active.

IV. SUMMARY AND CONCLUSIONS

While it is clear that the negatively charged gallium vacancy acts as an effective trap for e^+ , the existence of vacancies detected by positron annihilation experiments in semi-insulating and *p*-type material appears to be less easy to explain. Under these conditions the formation energy of the gallium-vacancy is too large for it to exist in significant concentrations, while the nitrogen vacancy is excluded on the grounds of having a positive charge, and an open volume

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that is too small. The first clue for solving the problem is that the measured positron lifetime is significantly longer in Bedoped GaN than in Mg-doped GaN. Second, Be-doped material has a characteristic infrared absorption line at 789 cm^{-1} that is not correlated in intensity with other lines at higher frequencies. We propose that these experimental results can be explained by the presence of V_N-Be_{Ga} and $V_{\rm N}$ -Mg_{Ga} complexes in GaN, and test our model using the AIMPRO local-density-functional theory method. According to this, we conclude that the difference in positron lifetimes between Be-doped and Mg-doped GaN is a consequence of the structural differences between the two types of complexes where the $V_{\rm N}$ -Be_{Ga} defect has a larger open volume than the V_N -Mg_{Ga} defect. The structures of the defects also have important consequences for their local vibrational modes. In particular, the presence of a nitrogen vacancy next to a substitutional Be atom raises the calculated frequency of the highest mode above the bulk phonon bands to a value that is within 3-4% of the infrared absorption line detected at 789 cm⁻¹. The electronic structure of $V_{\rm N}$ -Be_{Ga} and $V_{\rm N}$ -Mg_{Ga} complexes is such that the defects will exist in a neutral charge state under most conditions. Therefore, their formation energy, and hence, their concentration is independent of the Fermi level μ_e . The formation energy of these complexes is higher under N-rich conditions than Ga-rich conditions, as are the formation energies of interstitial-type defects, while the isolated acceptors Be_{Ga} and Mg_{Ga} behave in the opposite manner. Thus, N-rich stoichiometry tends to suppress the passivating defects, and favors acceptor formation.

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