



Milani, A. et al. (2017) Vaccine immune pressure influences viral population complexity of avian influenza virus during infection. *Veterinary Microbiology*, 203, pp. 88-94. (doi:[10.1016/j.vetmic.2017.02.016](https://doi.org/10.1016/j.vetmic.2017.02.016))

This is the author's final accepted version.

There may be differences between this version and the published version. You are advised to consult the publisher's version if you wish to cite from it.

<http://eprints.gla.ac.uk/138645/>

Deposited on: 22 March 2017

Enlighten – Research publications by members of the University of Glasgow  
<http://eprints.gla.ac.uk>

1 **Vaccine immune pressure influences viral population complexity of avian influenza virus**  
2 **during infection**

3  
4 Adelaide Milani<sup>a</sup>, Alice Fusaro<sup>a</sup>, Francesco Bonfante<sup>a</sup>, Gianpiero Zamperin<sup>a</sup>, Annalisa Salviato<sup>a</sup>,  
5 Marzia Mancin<sup>a</sup>, Eleonora Mastroianni<sup>a</sup>, Joseph Hughes<sup>c</sup>, Hussein A. Hussein<sup>b</sup>, Magdi Hassan<sup>c</sup>,  
6 Egbert Mundt<sup>f</sup>, Calogero Terregino<sup>a</sup>, Giovanni Cattoli<sup>a,d</sup>, Isabella Monne<sup>a</sup>

7 *<sup>a</sup>Istituto Zooprofilattico Sperimentale delle Venezie, Legnaro (Padova), Italy; <sup>b</sup>Virology*  
8 *department, Faculty of Veterinary Medicine, Cairo University, Giza, Egypt; <sup>c</sup>IFT Corporation,*  
9 *Cairo, Egypt; <sup>d</sup>Animal Production and Health Laboratory, Joint FAO/IAEA Division, International*  
10 *Atomic Energy Agency, Seibersdorf, Austria; <sup>e</sup>MRC-University of Glasgow Center for Virus*  
11 *Research, Glasgow, United Kingdom; <sup>f</sup>Boehringer Ingelheim Veterinary Research Center,*  
12 *Hannover, 30559, Germany*

13  
14 **Corresponding author:** Adelaide Milani, Division of Comparative Biomedical Sciences, Istituto  
15 Zooprofilattico Sperimentale delle Venezie (IZS<sup>Ve</sup>), Viale dell'Università 10, 35020 Legnaro (PD),  
16 Italy

17 E-mail: amilani@izsvenezie.it

18 Tel. 049-8084368

19 Fax. 049-8084360

20  
21 **Abstract**

22 Vaccines are useful tools to control influenza A virus infection in poultry, but they need to be  
23 periodically reformulated to guarantee appropriate protection from infection and to limit viral  
24 replication and circulation, which could favour the emergence of new variants. In this study, a deep  
25 sequencing approach was used to characterize and follow the evolution of the hemagglutinin of the  
26 H5N1 highly pathogenic avian influenza viral population in infected animals vaccinated with two

27 vaccines conferring different protection levels. Results from this preliminary investigation  
28 suggested that the evolution of the viral population, as well as the abundance and heterogeneity of  
29 minority variants could be influenced by the immune pressure conferred by vaccination.

30

### 31 **Keywords**

32 Influenza A virus; H5N1; vaccine; deep sequencing; evolution.

33

### 34 **Introduction**

35 Influenza A virus is a zoonotic agent with a significant impact on both public health and poultry  
36 industry. Vaccination is a useful tool used worldwide to support intervention strategies, such as  
37 stamping out and biosecurity policies, in order to keep the infection under control and prevent the  
38 transmission of avian influenza viruses in poultry (Lee and Suarez, 2005). However, as  
39 demonstrated in previous studies, the use of a vaccine strain antigenically different from the  
40 circulating viruses or application of inadequate vaccine protocols may favour the antigenic drift and  
41 cause vaccination failure (Cattoli et al., 2011b; Lee et al., 2004; Swayne, 2012). A more extensive  
42 knowledge of the mechanisms underlying intra-host evolution of avian influenza viruses circulating  
43 in vaccinated poultry populations could be of help to formulate and adopt more adequate vaccine  
44 strategies.

45 Previous studies conducted in partially immune pigs indicated that the variability in immune  
46 response may influence the overall diversity of swine influenza virus during infection (Diaz et al.,  
47 2015) and showed that the hemagglutinin gene displayed nucleotide mutations at the very beginning  
48 of viral infection (Diaz et al., 2013; Murcia et al., 2012). Still, to date there is no information on the  
49 intra-host evolution of highly pathogenic avian influenza (HPAI) viruses circulating in vaccinated  
50 poultry populations. The hemagglutinin (HA) is a surface glycoprotein, involved in the induction of  
51 a protective humoral and cell mediated immune response, and represents one of the major antigenic  
52 determinants of type A influenza viruses.

53 To provide some preliminary data on the impact of vaccination on the intra-host diversity and  
54 evolution of HPAI viruses, in this study we performed a deep sequencing analysis of swabs  
55 sampled from H5N1 HPAI experimentally infected chickens, which showed different levels of  
56 clinical and virological protection conferred by two different vaccine formulations.

57

## 58 **Material and methods**

59 A deep sequencing analysis on the HA gene segment was performed on samples collected in a  
60 previously performed vaccination/challenge study for assessing the protective efficacy of two avian  
61 influenza vaccines against a HPAI H5N1 virus. Birds were housed into HEPA filtered poultry  
62 isolators in BSL3 animal facilities and received food and water *ad libitum*. All animals were  
63 handled in strict accordance with the Decree of the Italian Ministry of Health (n. 26 of 4 March  
64 2014) on the protection of animals used for scientific purposes, implementing Directive  
65 2010/63/EU.

66 Briefly, two groups of ten Specific Pathogen Free (SPF) day-old chicks were vaccinated twice at a  
67 10-day interval by the sub-cutaneous route, using two distinct influenza inactivated experimental  
68 vaccines. The first vaccination was performed with 0.3 ml and the second vaccination was  
69 performed with 0.5 ml via the subcutaneous route in the back of the neck. Both vaccines contained  
70 each a recombinant H5-antigen which was encoded by recombinant baculoviruses. Generation of  
71 the recombinant baculoviruses and formulation of the experimental vaccines was performed as  
72 described recently (Oliveira et al, 2016) with one exception, no inactivated antigen of Newcastle  
73 disease virus was added, and 512 HA units were added as H5 antigen. One recombinant baculovirus  
74 encoded for a H5-protein which is included in the VOLVAC® B.E.S.T AI + ND KV (A) belonging  
75 to clade 2.3.2. The second recombinant baculovirus (B) encoded for a consensus sequence (Patent  
76 WO 2012/054907 A2; Sequence ID 43) which was generated based on 37 amino acid sequences of  
77 Egyptian origin viruses from 2010 belonging to either clade 2.2.1 or clade 2.2.1.1.

78 The birds were challenged with  $10^6$  50% Embryo Infectious Dose (EID<sub>50</sub>) of the HPAI H5N1  
79 A/chicken/Egypt/11VIR4453-7/VRLCU/2010 virus (clade 2.2.1) (WHO/OIE/FAO H5N1  
80 Evolution Working Group, 2012) 21 days after the booster vaccination. The virus had been isolated  
81 from pooled organs in 9- to 11-day-old SPF embryonated fowls' eggs. Antibody responses were  
82 assessed by means of hemagglutination inhibition assay (HI), 10 days from the first vaccination, 21  
83 days from the second vaccination and 2 weeks after the challenge. Tracheal swabs (TS) and cloacal  
84 swabs (CS) were collected on days 2, 4, 6, 8 and 10 post challenge (p.c.) to evaluate viral shedding  
85 by quantitative real-time RT-PCR (qRRT-PCR) targeting the M gene (Spackman et al., 2002) and  
86 calculate the EID<sub>50</sub> equivalents from the cycle threshold (Ct) values. The Egyptian HPAI H5N1  
87 virus used for the challenge, as well as 20 TS positive by qRRT-PCR, which contain a sufficient  
88 amount of RNA (six samples from group A and fourteen samples from group B), were processed as  
89 described below. Neither of the samples obtained from CS did result in a sufficient signal from the  
90 qRRT-PCR to be included in the analysis. Total RNA was isolated using Nucleospin RNA kit  
91 (Macherey-Nagel, Düren, Germany). Viral RNA encoding the HA gene segment was retro-  
92 transcribed and amplified using SuperScript III one-step reverse transcription-PCR (RT-PCR)  
93 system with PlatinumTaq High Fidelity (Invitrogen, Carlsbad, CA) using H5 specific primers (H5-  
94 for: 5'-CRAAAGCAGGGGTYCAATC-3', H5-rev: 5'-GAAACAAGGGTGTTTTTTAAC-3').  
95 Sequencing libraries were prepared using Nextera XT DNA Sample preparation kit (Illumina) and  
96 processed as described by Monne et al., 2014 on an Illumina Miseq desktop sequencer. Raw data  
97 were submitted to the NCBI Sequence Read Archive (SRA;  
98 <http://www.ncbi.nlm.nih.gov/Traces/sra/>) under accession numbers SRR4244068, SRR4244069,  
99 SRR4244070, SRR4244071, SRR4244072, SRR4244073, SRR4244074, SRR4244076,  
100 SRR4244077, SRR4244078, SRR4244079, SRR4244080, SRR4244081, SRR4244082,  
101 SRR4244083, SRR4244084, SRR4244085, SRR4244086, SRR4244087, SRR4244088,  
102 SRR4244089.

103 FASTQC software was used to inspect quality score of raw sequence files and post processing data  
104 coming from the high-throughput sequencing pipelines. Fastq files were cleaned with Trimmomatic  
105 v0.32 (Bolger et al., 2014), using a 4-base-pair sliding-window algorithm with a quality score cut-  
106 off of 20; only reads longer than 80 nucleotides were considered and mapped to the hemagglutinin  
107 H5 reference sequence A/chicken/Egypt/11VIR4453-7/VRLCU/2010 (Gisaid accession number  
108 EPI348162) using bwa v0.7.5 (Li and Durbin, 2010). The alignment was processed with LoFreq  
109 v2.1.2 (Wilm et al., 2012) for the SNP calling; according to LoFreq usage recommendations, the  
110 alignment was first processed with Picard-tools v2.1.0 (<http://broadinstitute.github.io/picard/>) and  
111 GATK v3.5 (DePristo et al., 2011; McKenna et al., 2010; Van der Auwera et al., 2013). The  
112 frequencies of variants in the final set were computed using the diversiTools program v0.1.19  
113 (<http://josephhughes.github.io/btctools/>) and confirmed by those found with LoFreq. Variants with  
114 sequence coverage less than 500X or frequency less than 1% were discarded. The numbering  
115 scheme of the amino acid residues used in this study is based on the mature sequence (signal  
116 peptide was cleaved off) of the HA of A/Vietnam/1203/2004 (H5N1) (GenBank accession number  
117 HM006759).

118 To explore the amino acid variability at the resulted polymorphic sites in the Egyptian H5N1 viral  
119 population, the full-length hemagglutinin sequences of H5N1 Egyptian viruses (from 2006 to 2016)  
120 were downloaded from the public database (GenBank) collapsing identical ones; a total of 345  
121 protein sequences was obtained. The sequences were then aligned using the on-line MAFFT  
122 program (<http://mafft.cbrc.jp/alignment/server/>) and amino acid composition at the selected  
123 positions was carefully inspected.

124 From our NGS data we then calculated the Shannon Entropy (SE). SE is a measure of diversity  
125 within a viral population at a single nucleotide position or a whole sequence level. In a specific site,  
126 the highest value of SE is reached when the four nucleotides are present at a frequency of 25%,  
127 while the lowest value (zero) is obtained when only one nucleotide is present at a frequency of  
128 100%. Low entropy may be observed in case of a significant reduction of the population size, i.e.,

129 following a bottleneck event, which at a single nucleotide level results in low or no variability. In  
130 our study Shannon Entropy was used to quantify average diversity of the HA gene of the viral  
131 populations of each sample belonging to group A and B, using the following formula:

$$132 \quad E = -\frac{1}{N} \sum_{i=1}^N (f_{iA} \ln f_{iA} + f_{iG} \ln f_{iG} + f_{iT} \ln f_{iT} + f_{iC} \ln f_{iC})$$

133 where  $f_i$  is the frequency of the nucleotide A, C, G or T at position  $i$  and  $N$  is the total length of the  
134 hemagglutinin gene.

135 The Wilcoxon Mann–Whitney rank-sum test was used to verify whether the distribution of EID<sub>50</sub>  
136 and Entropy values was the same in both vaccine groups; only six samples were collected at 4 days  
137 p.c., five from group B and one from group A, therefore they were excluded from the statistical  
138 comparison. The same test was used to assess whether the distributions of Entropy were identical  
139 between the challenge virus and each sample of groups A and B. P-value < 0.10 was considered  
140 significant. The Pearson product-moment correlation coefficient was used to verify whether the  
141 population diversity (represented by SE) and the quantity of virus (represented by EID50) were  
142 independent so as to exclude that differences of SE between group A and B were due to EID50  
143 values.

144

## 145 **Results**

146 To provide some preliminary data on the impact of vaccination on the intra-host diversity and  
147 evolution of HPAI viruses, in this study we performed a deep sequencing analysis of swabs  
148 sampled from SPF chickens immunized with two different vaccines that, upon H5N1 HPAI  
149 challenge exhibited different levels of clinical and virological protection, expressed as reduction of  
150 mortality and shedding, respectively. All of the birds that received vaccine A survived the challenge  
151 (100% protection from mortality) and only 2/10 birds showed moderate depression that in one  
152 animal was coupled with nervous signs. Vaccine B prevented mortality in 70% of the birds (Tab. 1)  
153 and all of the surviving animals showed moderate signs of inactivity and depression. Moreover, the

154 vaccines differed in terms of suppression of viral shedding, as at each time p.c. fewer birds in group  
155 A had shed viral RNA from the trachea (Tab. 1), and the amount of virus shed was significantly  
156 lower than in group B on day 2 p.c. ( $p=0.072$ ) (Fig. 1). Ten days after the first vaccination, animals  
157 in both groups showed no detectable levels of HI antibodies against the challenge virus. After the  
158 booster vaccination, birds in group A recorded a 2.6 log<sub>2</sub> HI geometric mean titre (GMT) against  
159 the challenge virus, whereas in group B all birds resulted either negative or recorded HI titres of 1  
160 log<sub>2</sub> (GMT of 0.2 log<sub>2</sub>). After the challenge, seroconversion, expressed as an HI GMT increase  
161 equal to or higher than 2 log<sub>2</sub>, was observed in all of the surviving birds in group B (GMT of 2.2  
162 log<sub>2</sub>), as opposed to 50% of the animals in group A (HI GMT of 3.0 log<sub>2</sub>).

163 A sufficient amount of amplification product ( $>0.2$  ng/ $\mu$ l) for deep sequencing analysis was  
164 recovered from TS only on days 2 and 4 p.c.. Data were obtained for a total of twenty-one positive  
165 samples, specifically: a) the challenge virus, b) six samples belonging to group A, five of which at  
166 day 2 p.c. (34A2, 35A2, 37A2, 47A2, 59A2) and one at day 4 p.c. (34A4), and c) fourteen samples  
167 belonging to group B, nine of which at day 2 p.c. (72B2, 73B2, 75B2, 79B2, 80B2, 81B2, 83B2,  
168 86B2, 88B2) and five at day 4 p.c. (73B4, 79B4, 81B4, 86B4, 88B4). Each sample was identified  
169 as follows: the first two digits refer to the animal identification code, the alphabetic character (A or  
170 B) identifies the group and the last digit indicates the number of days p.c.

171 To characterize the complexity of the viral population of the 20 swab samples from the vaccinated  
172 birds, the per-site Shannon Entropy was calculated, considering the frequencies of nucleotide  
173 substitutions across the hemagglutinin gene. The Entropy measures fluctuated considerably: the  
174 samples with the lowest values belonged to group A (0 and  $2.3 \times 10^{-4}$ ), while the ones with the  
175 highest values ( $7.2 \times 10^{-4}$  and  $7.7 \times 10^{-4}$ ) belonged to group B.

176 The non-parametric Wilcoxon Mann–Whitney test indicated that the distribution of Entropy values  
177 were different in the two vaccination groups ( $p=0.0041$ ), with group B showing the highest values  
178 (fig 1). Compared to the challenge virus, four out of five samples of group A collected at day 2 p.c.



179 showed significantly different Entropy values (p-values range from 0.0142 to 0.0587). These four  
180 samples had a lower Entropy value than the one of the challenge virus (data not shown). All  
181 samples belonging to group B recorded Entropy values without significant differences compared to  
182 the Entropy of the challenge virus. Pearson test between the within-host virus diversity and the viral  
183 shedding (EID<sub>50</sub>) showed no significant correlation between Entropy and EID<sub>50</sub> values (r=0.23 with  
184 p-value=0.4297), suggesting that the different Entropy values observed in the viruses of group A  
185 may have been due to the occurrence of bottleneck events as a consequence of the vaccine selective  
186 pressure.

187 The analysis of the nucleotide sequence diversity of the hemagglutinin gene showed several  
188 synonymous and non-synonymous polymorphisms distributed on the HA gene of almost all  
189 samples. However, a comparison between the two groups revealed a great variability in the number  
190 of polymorphisms among samples. Specifically, the six samples belonging to group A showed from  
191 zero to four minority variants per sample (tab. 2), randomly distributed across nine nucleotide  
192 positions, with a frequency ranging from 1.12% to 6.88%. None of the identified polymorphic sites  
193 were shared among samples. On the other hand, group B displayed a higher number of  
194 polymorphisms (tab. 3), from two to thirteen per sample, distributed in fifty-seven positions and  
195 showing a frequency ranging from 1.02% to 68.70%. Interestingly, three of these polymorphisms  
196 (residues 258, 470 and 1379) were independently acquired by two or more samples of group B,  
197 while none of the minority variants was shared between group A and B.

198 In addition, we found that most of the identified mutations had been newly acquired during the viral  
199 replication in host. Indeed, only three minority variants identified at the nucleotide positions 164,  
200 1032 and 1395 of the HA gene of seven group B samples were already present in the challenge  
201 strain material, while none of the polymorphisms observed in the challenge virus material were later  
202 identified among samples belonging to group A, thus suggesting an even narrower bottleneck.

203 Non-synonymous substitutions represented 67% of the total polymorphisms both in group A and B  
204 and were randomly distributed across the HA gene. Among samples belonging to group A, six

205 nucleotide positions, across the whole HA gene, showed non-synonymous minority variants with a  
206 frequency ranging from 1.12% to 6.88%. None of them were located at the globular head of the  
207 HA1 protein near or within the three secondary structural elements of the receptor binding domain  
208 (RBD) (Kováčová et al., 2002), formed by the 130-loop, 190-helix, and 220-loop, and/or in  
209 previously identified antigenic sites (Kaverin et al., 2007, 2002). On the other hand, group B  
210 showed a higher number of nucleotide positions (forty-two) displaying non-synonymous mutations  
211 with a frequency ranging from 1.02% to 38.84% (tab. 3). Interestingly, we identified two non-  
212 synonymous SNPs at positions 1018 and 1019 responsible of the mutations R325K and R325G  
213 situated at the HA cleavage site for two samples, collected respectively at 2 and 4 days p.c. In  
214 addition, ten of the polymorphisms acquired by six out of nine samples at 2 days p.c. and by one out  
215 of five samples at 4 days p.c. fell within the receptor binding cavity of the HA. In particular, C135F  
216 and S142Y/F were positioned respectively within and close to the 130 loop, whereas K218E was  
217 located within the 220 loop. Moreover, five of these minority variants fell close to or within  
218 antigenic sites previously characterized in the H5 strains: site 1 (S1) and site 2 (S2) (Kaverin et al.,  
219 2007, 2002). In particular, three non-synonymous SNPs (C135F, S142Y and S142F), found in one  
220 sample at 2 days p.c. and in one sample at 4 days p.c., flanked the site 1 (136-141), which  
221 corresponds to antigenic site A in H3 and Ca2 of H1, while the two minority variants T151I and  
222 Y157C, detected in sample 79B2 and 80B2, were respectively located within and close to site 2  
223 (residues 151-156), which corresponds to antigenic site B in H3. Considering the distinct results  
224 obtained from the two experimental groups, the percentage of amino acid identity between the two  
225 vaccines and the challenge virus was calculated. The sequence of the HA protein (except for the  
226 signal peptide) of the challenge virus showed a percentage of amino acid identity with vaccine A  
227 and B of 96.4% and 95.3%, respectively. Moreover, the identity of the sequence coding for the HA  
228 receptor binding pocket (from amino acid 130 to 225, H5 numbering) of the challenge virus with  
229 vaccine A and B corresponded to 93.6% and 85.1%, respectively. These findings may suggest that

230 the suboptimal protection conferred by vaccine B seems to have favoured the occurrence of a higher  
231 number of molecular changes in the challenge virus.

232 Two samples belonging to group B (73B2 and 79B4) displayed stop codons at amino acid positions  
233 122 in sample 73B2 and 149 and 518 in sample 79B4. This observation could suggest the formation  
234 of defective virus particles as previously shown (Hoelzer et al., 2010; Murcia et al., 2012).

235 To explore whether the amino acid polymorphisms identified in this study had emerged also in field  
236 strains circulating under vaccination pressure, we analysed all the non-redundant hemagglutinin  
237 sequences of H5N1 viruses available in GenBank that had been collected in Egypt between 2006  
238 and 2016. We found at least one sequence displaying the same mutation identified in our samples at  
239 the amino acid positions 121, 151, 312, 324, 325, 369, 373, 393 and 528. Positions 151 and 325  
240 showed the highest variability; in particular, amino acid position 151 showed 51% T and 62% I,  
241 whereas position 325 showed 52% R, 29% K and 12% G. Furthermore position 324 showed 97.3%  
242 E and 2.3% K, whereas position 373 showed 96.5% K, and 3.5% R.

243

## 244 **Discussion**

245 For many influenza subtypes, such as HPAI H5N1 virus, vaccination programmes are currently  
246 implemented in a number of countries with the goal to control this disease in poultry populations  
247 (Swayne, 2012). However, influenza A viruses evolve rapidly in response to selection pressures  
248 generated through vaccine protection (Cattoli et al., 2011a), and the emergence of virus strains, for  
249 which existing vaccines are not well matched and offer little protection, continuously challenges the  
250 effectiveness of vaccines in the field (Connie Leung et al., 2013; Kim et al., 2010). To better  
251 understand the dynamics of the emergence of antigenic drifted variants, we performed a deep  
252 sequencing analysis of 20 samples collected in the framework of a previous experimental study  
253 conducted in our institute to assess the protection efficacy of two distinct vaccines against a HPAI  
254 H5N1 virus. In particular, the two experimental challenge groups (A and B) allowed to mimic

255 different level of immunity and to explore how viruses evolve within hosts that have developed  
256 suboptimal immunity with influenza vaccines. All of the birds that had received vaccine A survived  
257 the challenge, whereas vaccine B prevented mortality in 70% of the birds. The results obtained  
258 applying a deep sequencing approach to samples collected from the groups A and B and to the  
259 challenge virus suggests that a suboptimal level of antibody protection may favour the increase of  
260 viral population heterogeneity from the early stages of infection and may promote the selection of  
261 minority variants, some of which may be involved in antigenic drift.

262 We identified a total of 9 minority variants (frequency range from 1.12% to 6.88%) in group A and  
263 64 minority variants (frequency range from 1.05% to 38.84%) and 2 fixed mutations (frequencies  
264 54.44% and 68.70%) in group B. Although no marked differences were highlighted between the  
265 two groups in the ratio of synonymous to non-synonymous substitutions (67% of SNP identified in  
266 both groups were non-synonymous), evidence of non-synonymous mutations in the RBD or in the  
267 antigenic sites (S1 and S2) were found only in group B. However, whether these non-synonymous  
268 polymorphisms had emerged by chance or as a consequence of strong selection could not be  
269 assessed. None of the samples taken at day 4 p.c. showed a fixation of non-synonymous  
270 substitutions indicating they may be transient, or else there may have been insufficient time for the  
271 minority variant to become fixed in the viral population, since mutations that alter HA antigenicity  
272 may engender a cost in the viral fitness, which requires compensatory mutations to restore viral  
273 replicative ability. Interestingly, mutation T151I located in the RBD and antigenic site 2 is present  
274 in 62% of the Egyptian H5N1 sequences available in GenBank, meaning that this mutation may be  
275 naturally selected during circulation of H5N1 viruses in the field. In addition, two samples  
276 belonging to group B displayed minority variants R325K and R325G situated within the cleavage  
277 site of the hemagglutinin protein. A previous study carried out on Egyptian HPAI H5N1 viruses  
278 showed that the amino acid glycine at position 325 had significantly reduced pathogenicity without  
279 altering the transmission efficiency (Yoon et al., 2013). Both mutations were observed in the H5N1

280 viruses collected from poultry in Egypt. Specifically, R325K was observed in the recent viruses  
281 from clade 2.2.1.2, while R325G characterized the Egyptian clade 2.2.1.1a (Arafa et al., 2016).  
282 Considering that our sample size was rather limited and that no samples from non-vaccinated  
283 control birds were suitable for sequencing, and since all of the animals had died soon after the HPAI  
284 H5N1 infection, this study should be further confirmed by making an assessment on a greater  
285 number of samples collected over a wider range of time. In addition, further studies on the whole  
286 influenza A virus genome could provide an overview on the effect of vaccine immune pressure on  
287 the evolution of the viral genome and, consequently, on viral proteins. However, the identification  
288 of some of the minority variants in field viruses circulating in a partially immune population may  
289 indicate that in natural conditions these mutations can arise and be selected, providing a fitness  
290 advantage to the viruses.

291 To our knowledge, this is the first time that we are provided with evidence showing that infection of  
292 properly vaccinated birds is dominated by narrow genetic bottlenecks, resulting in a reduction of  
293 genetic variation. On the other hand, the infection of partially immune poultry appears to allow the  
294 virus to increase its genetic heterogeneity and to accumulate mutations that may alter HA  
295 antigenicity. Furthermore, vaccine A seems to have played a bottleneck effect as four out of five  
296 animals at day 2 p.c. had showed significantly lower Entropy values compared to the challenge  
297 virus. Overall our findings are in accordance with the results obtained in infected and vaccinated  
298 pigs (Diaz et al., 2015), where the authors suggested that the viral population diversity and  
299 evolution might be influenced by the level of immune response to influenza A virus vaccine.  
300 Results from this study provide proof of concept data that pave the way for further investigations on  
301 the effect of vaccine immune pressure on the generation of genetic diversity at the subpopulation  
302 level.

303

304 **Conclusion**

305 Deep sequencing analysis proved to be a valid tool to explore and characterize differences among  
306 viral populations in vaccinated animals during infection. This technique detected the presence of  
307 minority variants that could not have been revealed by a classical sequencing method. This work  
308 highlights the potential value of the NGS approach for the assessment of vaccine efficacy and as a  
309 suitable method to understand the mechanisms that underpin how viruses escape vaccine protection.  
310 The deep sequencing analysis of samples collected from vaccine challenge studies early during the  
311 infection might serve as a predictive tool to infer virus population heterogeneity and hence  
312 effectiveness of vaccine control programmes.

313

#### 314 **Acknowledgments**

315 This work was financially supported by the European projects Epi-SEQ (research project supported  
316 under the 2nd Joint Call for Transnational Research Projects by EMIDA ERA-NET [FP7 project  
317 no. 219235]), by the NoFlu project, Fondazione Cariplo Vaccine Program (grant number 2009-  
318 3594) and by Behringer Ingelheim Vetmedica. The authors would like to acknowledge Francesca  
319 Ellero for providing help with the language. This study was conducted in the framework of the  
320 Doctoral school in Bioscience and Biotechnology at the University of Padua (Adelaide Milani).

321

#### 322 **References**

- 323 Arafa, A., El-Masry, I., Kholosy, S., Hassan, M.K., Dauphin, G., Lubroth, J., Makonnen, Y.J.,  
324 2016. Phylodynamics of avian influenza clade 2.2.1 H5N1 viruses in Egypt. *Virology* 13, 49.  
325 doi:10.1186/s12985-016-0477-7
- 326 Bolger, A.M., Lohse, M., Usadel, B., 2014. Trimmomatic: a flexible trimmer for Illumina sequence  
327 data. *Bioinformatics* 30, 2114–20. doi:10.1093/bioinformatics/btu170
- 328 Cattoli, G., Fusaro, A., Monne, I., Coven, F., Joannis, T., El-Hamid, H.S.A., Hussein, A.A.,  
329 Cornelius, C., Amarin, N.M., Mancin, M., Holmes, E.C., Capua, I., 2011a. Evidence for  
330 differing evolutionary dynamics of A/H5N1 viruses among countries applying or not applying

331 avian influenza vaccination in poultry. *Vaccine* 29, 9368–75.  
332 doi:10.1016/j.vaccine.2011.09.127

333 Cattoli, G., Milani, A., Temperton, N., Zecchin, B., Buratin, A., Molesti, E., Aly, M.M., Arafa, A.,  
334 Capua, I., 2011b. Antigenic drift in H5N1 avian influenza virus in poultry is driven by  
335 mutations in major antigenic sites of the hemagglutinin molecule analogous to those for human  
336 influenza virus. *J. Virol.* 85, 8718–24. doi:10.1128/JVI.02403-10

337 Connie Leung, Y.H., Luk, G., Sia, S.-F., Wu, Y.-O., Ho, C.-K., Chow, K.-C., Tang, S.-C., Guan,  
338 Y., Malik Peiris, J.S., 2013. Experimental challenge of chicken vaccinated with commercially  
339 available H5 vaccines reveals loss of protection to some highly pathogenic avian influenza  
340 H5N1 strains circulating in Hong Kong/China. *Vaccine* 31, 3536–42.  
341 doi:10.1016/j.vaccine.2013.05.076

342 DePristo, M.A., Banks, E., Poplin, R., Garimella, K. V, Maguire, J.R., Hartl, C., Philippakis, A.A.,  
343 del Angel, G., Rivas, M.A., Hanna, M., McKenna, A., Fennell, T.J., Kernytsky, A.M.,  
344 Sivachenko, A.Y., Cibulskis, K., Gabriel, S.B., Altshuler, D., Daly, M.J., 2011. A framework  
345 for variation discovery and genotyping using next-generation DNA sequencing data. *Nat.*  
346 *Genet.* 43, 491–8. doi:10.1038/ng.806

347 Diaz, A., Allerson, M., Culhane, M., Sreevatsan, S., Torremorell, M., 2013. Antigenic drift of  
348 H1N1 influenza A virus in pigs with and without passive immunity. *Influenza Other Respi.*  
349 *Viruses* 7 Suppl 4, 52–60. doi:10.1111/irv.12190

350 Diaz, A., Enomoto, S., Romagosa, A., Sreevatsan, S., Nelson, M., Culhane, M., Torremorell, M.,  
351 2015. Genome plasticity of triple-reassortant H1N1 influenza A virus during infection of  
352 vaccinated pigs. *J. Gen. Virol.* 96, 2982–93. doi:10.1099/jgv.0.000258

353 Hoelzer, K., Murcia, P.R., Baillie, G.J., Wood, J.L.N., Metzger, S.M., Osterrieder, N., Dubovi, E.J.,  
354 Holmes, E.C., Parrish, C.R., 2010. Intrahost evolutionary dynamics of canine influenza virus  
355 in naive and partially immune dogs. *J. Virol.* 84, 5329–35. doi:10.1128/JVI.02469-09

356 Kaverin, N. V, Rudneva, I.A., Govorkova, E.A., Timofeeva, T.A., Shilov, A.A., Kochergin-

357 Nikitsky, K.S., Krylov, P.S., Webster, R.G., 2007. Epitope mapping of the hemagglutinin  
358 molecule of a highly pathogenic H5N1 influenza virus by using monoclonal antibodies. *J.*  
359 *Virol.* 81, 12911–7. doi:10.1128/JVI.01522-07

360 Kaverin, N. V, Rudneva, I.A., Ilyushina, N.A., Varich, N.L., Lipatov, A.S., Smirnov, Y.A.,  
361 Govorkova, E.A., Gitelman, A.K., Lvov, D.K., Webster, R.G., 2002. Structure of antigenic  
362 sites on the haemagglutinin molecule of H5 avian influenza virus and phenotypic variation of  
363 escape mutants. *J. Gen. Virol.* 83, 2497–505. doi:10.1099/0022-1317-83-10-2497

364 Kim, J.-K., Kayali, G., Walker, D., Forrest, H.L., Ellebedy, A.H., Griffin, Y.S., Rubrum, A.,  
365 Bahgat, M.M., Kutkat, M.A., Ali, M.A.A., Aldridge, J.R., Negovetich, N.J., Krauss, S.,  
366 Webby, R.J., Webster, R.G., 2010. Puzzling inefficiency of H5N1 influenza vaccines in  
367 Egyptian poultry. *Proc. Natl. Acad. Sci. U. S. A.* 107, 11044–9. doi:10.1073/pnas.1006419107

368 Kováčová, A., Ruttkay-Nedecký, G., Haverlík, I.K., Janecek, S., 2002. Sequence similarities and  
369 evolutionary relationships of influenza virus A hemagglutinins. *Virus Genes* 24, 57–63.

370 Lee, C.-W., Senne, D. a, Suarez, D.L., 2004. Effect of vaccine use in the evolution of Mexican  
371 lineage H5N2 avian influenza virus. *J. Virol.* 78, 8372–8381. doi:10.1128/JVI.78.15.8372-  
372 8381.2004

373 Lee, C.-W., Suarez, D.L., 2005. Avian influenza virus: prospects for prevention and control by  
374 vaccination. *Anim. Health Res. Rev.* 6, 1–15.

375 Li, H., Durbin, R., 2010. Fast and accurate long-read alignment with Burrows-Wheeler transform.  
376 *Bioinformatics* 26, 589–95. doi:10.1093/bioinformatics/btp698

377 McKenna, A., Hanna, M., Banks, E., Sivachenko, A., Cibulskis, K., Kernytzky, A., Garimella, K.,  
378 Altshuler, D., Gabriel, S., Daly, M., DePristo, M.A., 2010. The Genome Analysis Toolkit: a  
379 MapReduce framework for analyzing next-generation DNA sequencing data. *Genome Res.* 20,  
380 1297–303. doi:10.1101/gr.107524.110

381 Monne, I., Fusaro, A., Nelson, M.I., Bonfanti, L., Mulatti, P., Hughes, J., Murcia, P.R., Schivo, A.,  
382 Valastro, V., Moreno, A., Holmes, E.C., Cattoli, G., 2014. Emergence of a highly pathogenic



383 avian influenza virus from a low-pathogenic progenitor. *J. Virol.* 88, 4375–88.  
384 doi:10.1128/JVI.03181-13

385 Murcia, P.R., Hughes, J., Battista, P., Lloyd, L., Baillie, G.J., Ramirez-Gonzalez, R.H., Ormond, D.,  
386 Oliver, K., Elton, D., Mumford, J.A., Caccamo, M., Kellam, P., Grenfell, B.T., Holmes, E.C.,  
387 Wood, J.L.N., 2012. Evolution of an Eurasian avian-like influenza virus in naïve and  
388 vaccinated pigs. *PLoS Pathog.* 8, e1002730. doi:10.1371/journal.ppat.1002730

389 Spackman, E., Senne, D.A., Myers, T.J., Bulaga, L.L., Garber, L.P., Perdue, M.L., Lohman, K.,  
390 Daum, L.T., Suarez, D.L., 2002. Development of a Real-Time Reverse Transcriptase PCR  
391 Assay for Type A Influenza Virus and the Avian H5 and H7 Hemagglutinin Subtypes. *J. Clin.*  
392 *Microbiol.* 40, 3256–3260. doi:10.1128/JCM.40.9.3256-3260.2002

393 Swayne, D.E., 2012. Impact of vaccines and vaccination on global control of avian influenza. *Avian*  
394 *Dis.* 56, 818–28. doi:10.1637/10183-041012-Review.1

395 Van der Auwera, G.A., Carneiro, M.O., Hartl, C., Poplin, R., Del Angel, G., Levy-Moonshine, A.,  
396 Jordan, T., Shakir, K., Roazen, D., Thibault, J., Banks, E., Garimella, K. V, Altshuler, D.,  
397 Gabriel, S., DePristo, M.A., 2013. From FastQ data to high confidence variant calls: the  
398 Genome Analysis Toolkit best practices pipeline. *Curr. Protoc. Bioinformatics* 43, 11.10.1-33.  
399 doi:10.1002/0471250953.bi1110s43

400 WHO/OIE/FAO H5N1 Evolution Working Group, 2012. Continued evolution of highly pathogenic  
401 avian influenza A (H5N1): updated nomenclature. *Influenza Other Respi. Viruses* 6, 1–5.  
402 doi:10.1111/j.1750-2659.2011.00298.x

403 Wilm, A., Aw, P.P.K., Bertrand, D., Yeo, G.H.T., Ong, S.H., Wong, C.H., Khor, C.C., Petric, R.,  
404 Hibberd, M.L., Nagarajan, N., 2012. LoFreq: a sequence-quality aware, ultra-sensitive variant  
405 caller for uncovering cell-population heterogeneity from high-throughput sequencing datasets.  
406 *Nucleic Acids Res.* 40, 11189–201. doi:10.1093/nar/gks918

407 Yoon, S.-W., Kayali, G., Ali, M.A., Webster, R.G., Webby, R.J., Ducatez, M.F., 2013. A single  
408 amino acid at the hemagglutinin cleavage site contributes to the pathogenicity but not the

409 transmission of Egyptian highly pathogenic H5N1 influenza virus in chickens. *J. Virol.* 87,  
410 4786–8. doi:10.1128/JVI.03551-12

411 Oliveira Cavalcanti, M., Vaughn, E., Capua, I., Cattoli, G., Terregino, C., Harder, T., Grund, C.,  
412 Vega, C., Robles, F., Franco, J., Darji, A., Arafa, A. S., Mundt E., 2017. A genetically  
413 engineered H5 protein expressed in insect cells confers protection against different clades of  
414 H5N1 highly pathogenic avian influenza viruses in chickens. *Avian Pathol.* Jan 27:1-10. doi:  
415 10.1080/03079457.2016.1250866.

416

417

418 **Figure legend**

419 **Figure 1.** Box plots of EID50 and Entropy by vaccination group. The boxplots display the distribution of the  
420 quantitative values of EID50 (left) and Entropy (right) for samples collected at 2 days p.c. of each  
421 vaccination group. The y-axis represents the EID and Entropy values. Each box shows the degree of  
422 dispersion in the data. The line inside the box is the median value; the points above the box are the outliers.

423

**Table 1.** Number of positive swabs (viral shedding) and survival rates of groups A and B.

Days p.c.	Number of positive swabs										Survival rate %
	2		4		6		8		10		
	TS <sup>b</sup>	CS <sup>c</sup>	TS	CS	TS	CS	TS	CS	TS	CS	
Vaccine A <sup>a</sup>	8/10 <sup>d</sup>	0/10	5/10	0/10	1/10	0/10	0/10	0/10	0/10	1/10	100
Vaccine B <sup>a</sup>	10/10	0/10	8/10	1/10	2/8	1/8	1/8	2/8	1/7	0/7	70
Control	NA <sup>e</sup>	NA	NA	NA	NA	NA	NA	NA	NA	NA	0

<sup>a</sup> Vaccine A (B.E.S.T AI) and B (Consensus) were used for a two-fold vaccination at day 1 and 10 post hatch

<sup>b</sup> Tracheal swab

<sup>c</sup> Cloacal swab

<sup>d</sup> Number of chickens positive in qRRT-PCR/total number of chickens

<sup>e</sup> Not applicable since all birds of the control group died on day 1 post challenge infection

**Table 2.** SNPs identified in samples belonging to group A and virus of challenge; frequency values are in percentage. SIL: silent mutation, CDS: coding sequence, POLYM: polymorphism at aa position (signal peptide is not included in the numbering).

CDS	POLYM	Chall	34A2	35A2	37A2	47A2	59A2	34A4
164	G39E	5.06						
229	L61F		1.34					
238	N64D		1.54					
489	SIL							1.13
849	SIL	1.26						
1032	SIL	1.64						
1190	V382A				1.12			
1222	F393L					6.88		
1236	SIL							2.01
1290	SIL	1.64						
1395	SIL	1.07						
1400	V452A							2.72
1615	SIL	2.32						
1625	L527P							2.35
1701	SIL			1.37				

1 **Table 3.** SNPs identified in samples belonging to group B and virus of challenge; frequency values  
2 are in percentage. S1: antigenic site S1; S2: antigenic site S2; 130L: 130 loop; 220L: 220 loop. The  
3 box represents the residues included in the Receptor Binding Domain; SIL: silent mutation, CDS:  
4 coding sequence, POLYM: polymorphism at amino acid position (signal peptide is not included in  
5 the numbering).

CDS	POLYM	Site	Chall	72B2	73B2	75B2	79B2	80B2	81B2	83B2	86B2	88B2	73B4	79B4	81B4	86B4	88B4
149	E34G												7.93				
153	SIL																2.86
160	N38D							1.52									
164	G39E		5.06							38.84							
213	SIL												1.59				
258	SIL				2.38							16.68					32.74
268	SIL																2.72
357	H103Q				2.30												
410	S121F																3.49
411	SIL				1.44												
414	W122*				1.49												
449	C135F	S1 130L			2.28												
458	SIL			1.25													
470	S142Y/F	S1			1.65												3.88
492	W149*													1.75			
497	T151I	S2					4.46										
515	Y157C	S2						3.00									
536	Y164C										5.35						
555	E170D								5.29								
585	SIL																2.89
682	I213V											1.50					
686	A214D						3.77										
697	K218E	220L															1.05
804	SIL																
828	SIL							1.39									1.74
848	E268G										3.56						
849	SIL		1.26														
880	Q279K											3.92					
931	P296S						5.16										
979	V312I				1.30												
985	A314T																1.98
1015	E324K									2.66							
1018	R325G																1.44
1019	R325K				1.33												
1032	SIL		1.64		1.58	68.70		54.44					6.74	1.25			15.16
1048	A335T				1.27												
1071	SIL									1.66							
1107	SIL																1.20
1119	N358D				1.40												
1150	E369K						10.51										
1163	K373R																1.02
1199	I385T										2.26						
1264	I407L																1.29
1290	SIL /E415D		1.64		1.42												
1327	L428F				2.13												
1349	E435G					2.92											
1364	F440S																2.71
1365	SIL																1.84
1379	V445A						5.67		1.54								
1394	D450G									3.96							
1395	SIL		1.07										6.62				
1429	E462K																1.61
1504	Y487H								1.22								
1517	Q491L						2.50										
1522	S493P			2.53													
1575	SIL																2.67
1598	S518*														1.05		
1615	SIL		2.32														
1628	A528V											1.25					

