

1 **CFD INVESTIGATIONS OF OXYFLUX DEVICE, AN INNOVATIVE WAVE PUMP TECHNOLOGY FOR**
2 **ARTIFICIAL DOWNWELLING OF SURFACE WATER**

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11 **Abstract**

12 No other environmental variable of such ecological importance to estuarine and coastal marine ecosystems
13 around the world has changed so drastically, in such a short period of time, as dissolved oxygen in coastal
14 waters. The prevalent methods for counteracting anoxic sea events are indirect measures which aim to cut-
15 down anthropic loads introduced in river and marine environments. To date, no direct approaches, like
16 artificial devices have been investigated except the WEBAP and OXYFLUX devices. The present paper
17 adopts a numerical approach to the analysis of the pumped surface water as well as the analysis of the
18 dynamic response of the OXYFLUX device. By means of a CFD-RANS code and through the application of
19 overset grid method, the 1/16 OXYFLUX model's dynamic response and pumping performance are
20 evaluated. The appropriate grid is selected after an extensive sensitivity analysis carried out on 9 different
21 grids. The CFD model is validated by comparing numerical and physical results of heave decay test, heave
22 response, and surface water discharge under the action of regular waves. The extensive comparison with
23 experimental results shows consistently accurate predictions. The main findings of the study show that
24 nonlinear effects remarkable reduce the dynamic behaviour of the OXYFLUX and generate an unexpected
25 second harmonic for pitch response intensifying the overtopping discharge also for small waves caused by
26 the summer's low intensity winds.

27

28 **1. Introduction**

29 Several areas in the world are affected by hypoxia (the condition of low concentrations of dissolved oxygen)
30 following the eutrophication, which results from nutrient problem. The primary factor driving coastal
31 eutrophication is an imbalance in the nitrogen cycle that can be directly linked to high anthropic pressure

32 mainly due to the urbanization in coastal areas or along rivers. One of the recent investigation on the
33 hypoxic worldwide zones linked them with the very densely populated coastal area that deliver large
34 quantities of nutrients, [1]. Two principal factors lead to the development of hypoxia: i) the decrease of
35 water exchange between bottom water and oxygen-rich surface water and ii) decomposition of organic
36 matter in the bottom water, which reduces oxygen levels. Both conditions are necessary for the
37 development and persistence of hypoxia, [2-3].

38 Within the past 50 years, different concepts and ideas of devices have been proposed to pump water
39 vertically in the ocean. Their aims were to pump nutrient rich water from the ocean bottom to the surface,
40 where nutrients can be used to increase the fishery production, [4–8]. More recently, a new type of device
41 aimed to pump water downward through the water column, by means of the wave energy captured
42 through the overtopping, have been analyzed. Two major purposes lead such new technologies; the first is
43 the extraction of clean energy from wave motion [9], and the second, which is also the purpose of the
44 OXYFLUX device, is to pump well oxygenated surface water to the bottom, where oxygen is required [10–
45 12].

46 To convert wave energy into useful power, a wide variety of Wave Energy Converter (WEC) designs have
47 been proposed, including oscillating water columns, bottom-hinged pitching devices, floating pitching
48 devices, overtopping devices, and point absorbers. The overtopping converters have advantages that
49 distinguish them from other devices. First of all, the fluctuations of the energy produced by these devices
50 are, in fact, relatively small, since the conversion takes place in calm conditions at the reservoir where
51 water is temporarily stored. Furthermore, the use of a ramp, which focuses the entry of water into the
52 basin, makes possible the use of such a device even in non-favorable coastal regions characterized by a low
53 density of wave energy. Finally, they can be realized as circular shaped devices without any requirements
54 on the main wave direction.

55 The proposed OXYFLUX device is the same that was previously experimentally analysed by Antonini et al.
56 2015, [10;39]. It consists of an hybrid between a point absorber and an overtopping device. Since its
57 dynamic behaviour is governed by the same principles of a point absorber while at the same time takes
58 advantage of the wave which overtops the floater surface.

59 Generally, the dynamic response of the point absorber system and its power extraction performance can
60 be obtained by solving the equation of motion in frequency, [12,13] or in time domain, [14]. In particular,
61 time domain approach has been often used in the study of optimal control and tuning strategies for point
62 absorbers [15,16]. To model the floating overtopping devices more complicated methods are needed. The
63 overtopping phenomenon is characterized by strong non-linearities requiring non-linear numerical models
64 or a physical approach, [17]. Therefore, to predict the pumping performance more accurately, a

65 comprehensive study of the OXYFLUX device that considers nonlinear interactions by using Computational
66 Fluid Dynamics (CFD) methods are needed. CFD methods have been widely used to model the complex
67 nonlinear hydrodynamics generated by wave and floating body interaction, including the analyses of
68 several types of WEC systems, [18–21]. Moreover, the overtopping phenomenon can be barely modelled
69 through empirical formulas, which in turn, does not fit all kinds of devices due to their experimental nature.
70 The hydrodynamics of the OXYFLUX is particularly complex. In fact, the problem involves the interaction
71 between waves and the whole body, including the floating part, the submerged part and the overtopping
72 ramp on the floater. In this paper, by means of CFD overset grid method, [22], the numerical analysis of the
73 OXYFLUX, is proposed. Specifically, this study aims to: *i*) validate the developed numerical model in order to
74 define a reliable tool for the optimization phase, *ii*) investigate nonlinear effects of the interaction between
75 waves and the OXYFLUX on its pumping performance and its dynamic response.

76 The paper is composed of the following: in *section 2*, OXYFLUX 1/16 Froude scale geometry is described. In
77 *section 3*, the theoretical device background is proposed while in *section 4*, a brief description of the
78 experimental facility is presented in order to let the reader get the basic concepts of the adopted
79 methodology useful to understand those physical results used in this work. In *section 5*, the computational
80 methodology is described. In *section 6*, the grid sensitivity analysis and validation study are discussed. In
81 *section 7*, the results are shown and interpreted. And finally, in *section 8*, the conclusions are drafted.

82 **2. OXYFLUX geometry and dimension**

83 In this paper the analysed device is fully based on the shape, geometry and mass distribution adopted in
84 the physical study carried out by Antonini et al. 2015, [10]. OXYFLUX is composed by three main parts: *i*)
85 truncated-conical floater, *ii*) connecting pipe and *iii*) stabilizing ring; 1/16 Froude scale model is presented
86 in Figure 1. Buoyancy of the whole structure is entrusted to a truncated-conical floater, which as well as
87 keeping the structure afloat also collects the water from overtopping. The connection with the bottom,
88 (where a stabilizing ring is mounted), is fastened by means of a rigid pipe. The final design of the scaled
89 OXYFLUX consists of a truncated conical shape with a volume of 227'191 mm³, with a weight of 0.11 N. Its
90 maximum diameter is equal to 150 mm while its height is 30 mm. The pipe connects the floater,(where the
91 water is stored), to the bottom, (where the water flows out from the device). The weight of the tube is 1.15
92 N, the internal diameter has been kept equal to 50 mm where a rigid structure aimed to support the
93 Doppler transducer (hereinafter referred to as DOP) is mounted.

OXYFLUX DEVICE

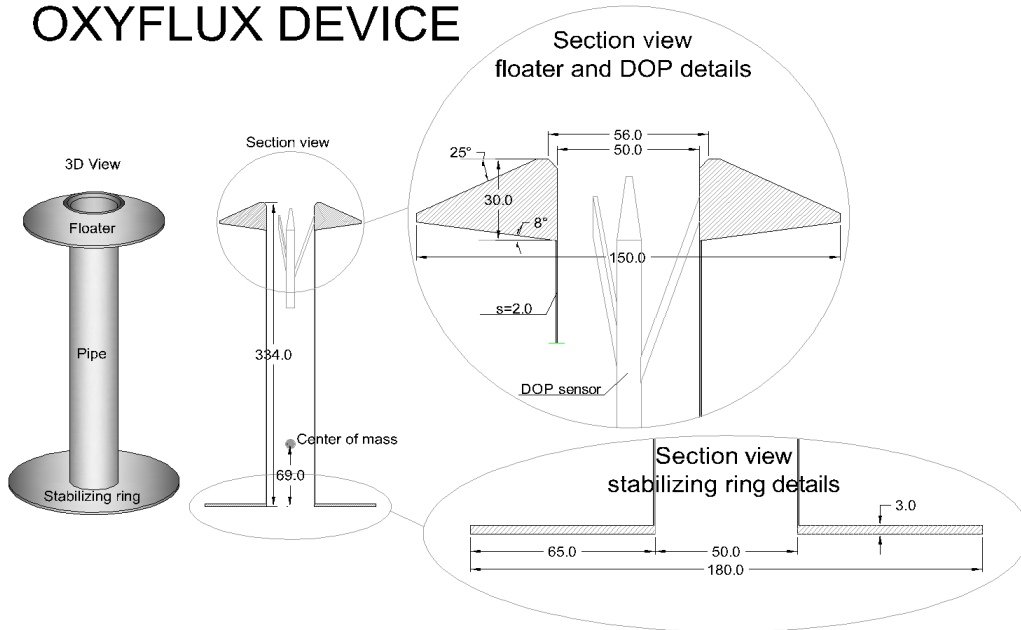
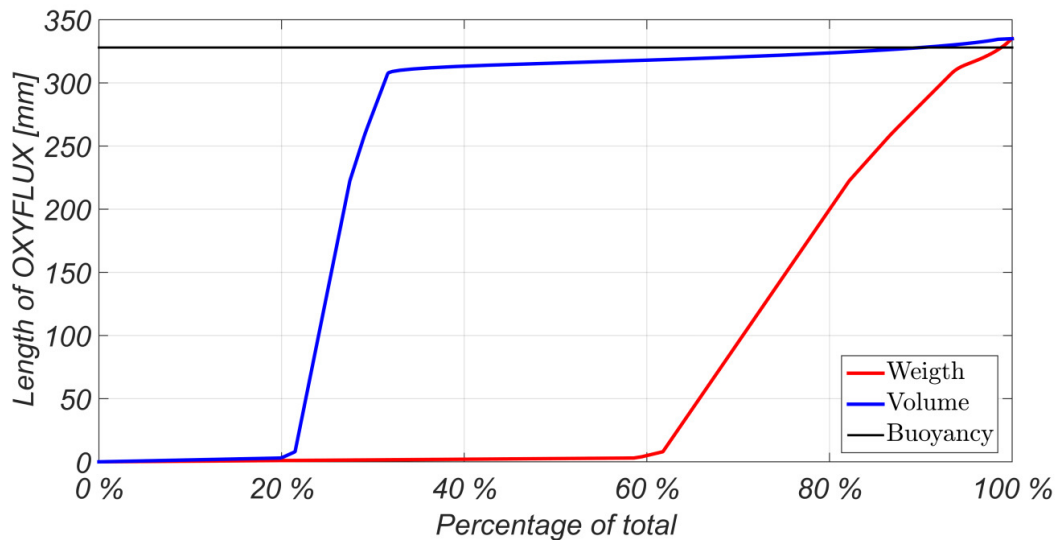


Figure 1: Details of the OXYFLUX model, all lengths are in mm.

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97 The function of the stabilizing ring is to dampen the heave motion in order to let the wave crest to overtop
 98 the floater. The ring is 3 mm thick, its diameter is 180 mm and its weight is 1.88 N. The total length of the
 99 device model is 334 mm, from the lower surface of the stabilizing ring to the top of the floater (see Figure
 100 1). The water level stays seven millimeters under the floater top; the position of the center of gravity is
 101 largely affected by the difference in density of the components, and it is located 265 mm below the water
 102 level when the device is at rest. The position of the center of gravity largely below the center of buoyancy,
 103 was chosen in order to improve the stability of the device under the waves action. The total weight of the
 104 model is 3.17 N while the maximum buoyancy force is 3.39 N ensuring a buoyancy reserve force of 0.22 N,
 105 which is 6.5 % of the total weight. More than 60 % of its weight is concentrated along the first 8 mm of the
 106 device, which means that the weight of the stabilizing ring leads to a really deep center of mass ensuring
 107 the required reserve of stability, Figure 2. The gyration radius, with respect to the center of mass, are:
 108 $R_{xx}=R_{yy}=223$ mm and $R_{zz}=50$ mm.



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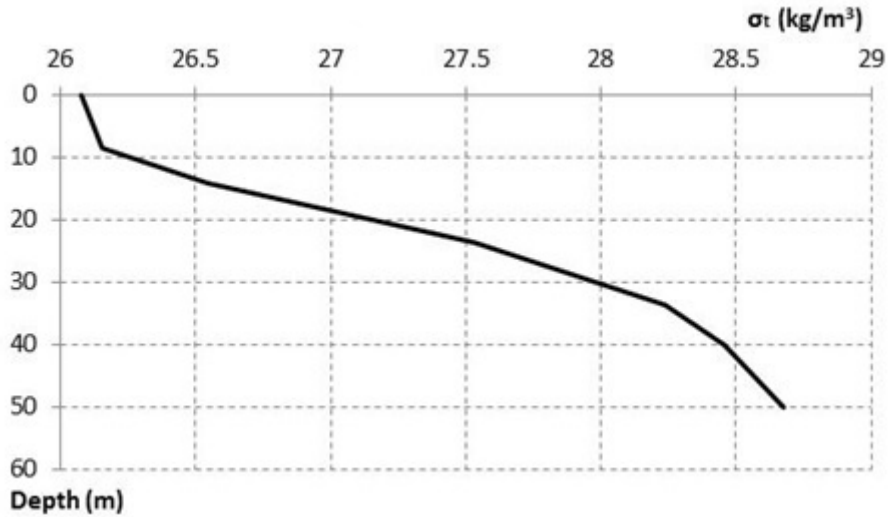
110 Figure 2: Volume and weight distribution of the OXYFLUX model. On the y-axis the length of the model is shown
 111 (origin is posed at the lower surface of the stabilizing ring), while on the x-axis the percentage related to the total
 112 volume and weight is plotted.

113 3. OXYFLUX: the proof of concept

114 OXYFLUX, has been designed for typical conditions causing anoxia, in particular for an operating range of
 115 incident wave heights characteristic of the summer climate, (i.e. small wave heights due to the breeze). The
 116 design have been performed taking into consideration the climate and physical conditions typical of the
 117 Northern Adriatic Sea; as this is a site suffering from severe summer anoxia. The typical Northern Adriatic
 118 summer vertical density profiles, Figure 3, are derived from Artegiani et al. (1997), [23], while the wave
 119 climate is derived from the analysis of waves data collected by the wave buoy Nausicaa, [24, 25].

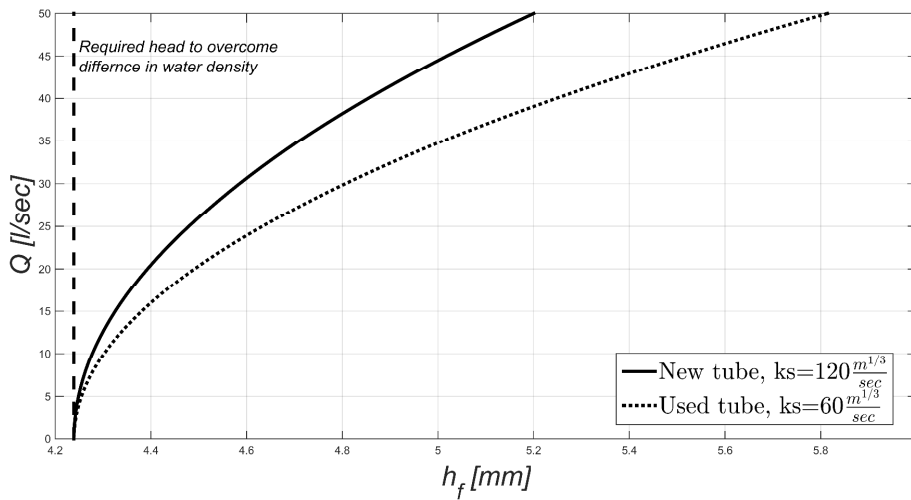
120 The physical principle of the device is rather simple. It is based on its capacity to enhance vertical mixing
 121 processes and to induce aeration of deep water by pumping oxygen-rich surface water downward at a
 122 desired depth around the halocline driven by the action of small waves. Its operating mechanism is based
 123 on flux caused by the wave overtopping. The floater collects incoming waves into a reservoir floating on the
 124 sea. Water overtopping yields a higher hydraulic head in the reservoir, which in turn induces a downward
 125 water flux.

126 Water flux generated by the OXYFLUX needs a sufficient head to induce the water column motion. The
 127 evaluation of this quantity is made on the basis of basic hydraulic calculation: the minimum head must
 128 overcome the following components: head losses in the pipe (plus inlet and outlet losses) and losses
 129 related to the different water density along the vertical water column. Furthermore, a depth of 6.4 m has
 130 been considered during the design phase, according to the field conditions for the Northern Adriatic, where
 131 it is common for symptoms of eutrophication and hypoxia to arise between 5 and 10 m of water depth.



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Figure 3 Summer density anomaly in North Adriatic, (Artegiani et al., 1997).



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Figure 4: Device capacity for 10 m depth for different heads and material conditions. Pipe characteristics: 5.36 m long 0.80 m diameter.

138 The minimum head to overcome the density difference (Δh_ρ) has been calculated by means of the
 139 application of Kelvin's circulation theorem considering field condition, i.e. 6.4 m water depth and a real
 140 density profile. The application of Kelvin's theorem implies that the water column inside the device has
 141 been considered to be made up of only lighter surface water pushed downward by the requested minimum
 142 head. This phenomenon has been modeled as a circular integral on a closed path from the surface to the
 143 desired depth in which one vertical branch of the path is characterized by a surface density and the other
 144 by the density profile proposed in Figure 3. Eq (1) summarizes the described model:

$$\Delta h_\rho = \frac{\sum_1^n (\rho_i \cdot l_i) - \rho_1 \cdot L}{\rho_1} \quad (1)$$

145 where ρ_i is the density of the "i" layer, l_i is the thickness of the "i" layer equal to 0.01 m, ρ_1 is the water
 146 density at the surface equal to 1026.10 kg/m³ and L is the desired depth to reach. Distributed and
 147 concentrated pressure drops have been calculated by means of the classical Chezy formula.

148 Figure 4 presents the estimated flow rate (Q) as function of required head (h_f). For 5.36 m long pipe with
149 0.8 m diameter, the pipe capacity has been calculated for different heads and 2 roughnesses associated
150 with different materials conditions of the pipe. The resulting minimum head needed to overcome the water
151 density difference is slightly larger than 4 mm, while the distributed and concentrated pressure drops
152 increase with the increasing of the channeled water flow.

153 Despite the design phase has considered prototype dimension and field application with a real
154 stratification, in this study we present the results of a numerical simulations carried out with a depth of 0.4
155 m, same value adopted in the 1:16 Froude scale physical modelling proposed by Antonini et al. 2015,
156 [10;39]. Moreover, considering the negligible order of magnitude of the required head to overcome the
157 water density difference the following numerical simulation do not take into account the stratification
158 effect.

159 **4. Experimental wave flume tests**

160 The experimental tests are carried out in the wave flume at the Hydraulic Laboratory of the University of
161 Bologna (LIDR, <http://www.dicam.unibo.it/Centro-laboratori/lidr>) in order to verify the presumed proof of
162 concept assumed during the design phase and to validate the numerical prediction. The wave flume is
163 12.50 m long (9.75 m available considering wave maker and wave absorber), 0.50 m wide with a maximum
164 depth of 0.70 m. Figure 5 shows the wave tank dimension, the experimental settings and the 1:16 OXYFLUX
165 physical model. A more detailed description of the physical modelling and of the flume setup are discussed
166 in [10]. A 2D motion tracking system is used to capture the OXYFLUX's motion. The motions are captured as
167 a 2D projection, orthogonal to the direction of wave propagation in the flume. The incident wave is
168 measured by means of 3 wave gauges installed in front of the device along the flume axis. The model is
169 anchored by four pre-tensioned nylon cables, which allow the heave displacements and damping the
170 horizontal ones, (surge). A Doppler velocimetry system (DOP2000 by Signal Processing S.A.) measures the
171 water velocity inside the device: a single probe connected to an ultrasonic doppler velocity profiler is
172 installed along the pipe axis of the physical model at a distance equal to 40 mm from the top of the floater.
173 The active part of the transducer has a diameter of 5.00 mm and is housed in a 8.00 mm diameter plastic
174 cylinder with length equal to 90.00 mm. The connection between the probe and the OXYFLUX model is
175 guaranteed by means of a rigid structure composed by 3 thin arms glued to the transducer case and to the
176 internal surface of the floater, as sketched in Figure 1. Both DOP probe and its support are considered for
177 numerical simulations.

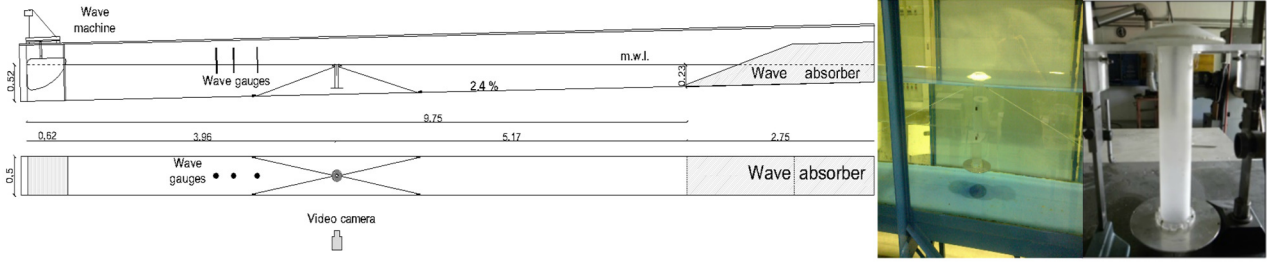


Figure 5 Experimental wave flume and OXYFLUX physical model

5. Numerical modelling setup

4.1 Mathematical formulation of RANS method

In this study an Unsteady Reynolds-Averaged Navier–Stokes (URANS) method has been used to solve the governing equations by means of CFD software STAR-CCM+, [26]. The Navier-Stokes equations for incompressible flows are discretized over a computational overset mesh using a finite volume method; their integral form can be written as:

$$\begin{aligned} \frac{\partial}{\partial t} \int_V \rho \cdot dV + \oint_A \rho \cdot (\mathbf{v} - \mathbf{v}_g) \cdot d\mathbf{a} &= 0 \\ \frac{\partial}{\partial t} \int_V \rho \cdot \mathbf{v} \cdot dV + \oint_A \rho \cdot \mathbf{v} \otimes (\mathbf{v} - \mathbf{v}_g) \cdot d\mathbf{a} &= \oint_A (\mathbf{T} - p\mathbf{I}) \cdot d\mathbf{a} + \int_V \mathbf{f} \cdot dV \end{aligned} \quad (2)$$

Where ρ is the fluid density, V is the cell volume bounded by the closed surface A , \mathbf{v} is the velocity vector, \mathbf{v}_g is the grid velocity vector, t is the time, \mathbf{T} is the viscous stress tensor, and \mathbf{f} is the body force terms vector. Viscous stress tensor for turbulent flow is defined as the sum of the laminar and turbulent stress tensors, and under the Boussinesq approximation is described by:

$$\mathbf{T}_l = \mu \cdot \left[\nabla \mathbf{v} + \nabla \mathbf{v}^T - \frac{2}{3} \cdot (\nabla \cdot \mathbf{v}) \mathbf{I} \right] \quad (3)$$

$$\mathbf{T}_t = 2 \cdot \mu_t \cdot \mathbf{S} - \frac{2}{3} \cdot (\mu_t \nabla \cdot \mathbf{v} + \rho \cdot k) \mathbf{I} \quad (4)$$

$$\mathbf{S} = \frac{1}{2} \cdot (\nabla \mathbf{v} + \nabla \mathbf{v}^T) \quad (5)$$

$$\mathbf{T} = \mathbf{T}_t + \mathbf{T}_l \quad (6)$$

Where μ is the laminar viscosity, μ_t is the turbulent viscosity, k is the turbulent kinetic energy and \mathbf{S} is the strain tensor. In this study a k - ω SST turbulence model [27] is applied with a two-layer all y^+ wall treatment model, and a second order implicit scheme was utilized for time marching. The transient SIMPLE algorithm is applied to linearize the equations and to achieve pressure–velocity coupling. A volume of fluid method (VOF) is applied to describe the free surface, and an overset mesh model is adopted to follow the body movements and adjust the grids around the OXYFLUX. The resulting system of algebraic equations is then solved using an algebraic multi-grid method. The coupled system of equations is solved in a segregated manner, which means that while the system is solved for each variable, other variables are treated as

199 known. Note that the equation of motion is coupled with the flow field simulation through iterations. The
200 dynamic response of the floating body is calculated by integrating the acceleration obtained from the
201 equation of motion solution using an implicit algorithm. The body is then moved to a new position and the
202 grid attached to the moving boundaries is updated. The convergence of the coupling between the RANS
203 simulation and the dynamics of the body is reached at each time step.

204 *4.2 VOF method*

205 The VOF method, introduced by [28], is an interface capturing method without reconstruction and hence,
206 does not treat the free surface as a sharp boundary. The calculation is performed on a grid and free surface
207 interface orientation and shape are calculated as a function of the volume fraction of the respective fluid
208 within a control volume. The VOF method employs the concept of an equivalent fluid. This approach
209 assumes that the fluid phases share the same velocity and pressure fields; the momentum and mass
210 transport equations are solved as in a single-phase flow condition. The volume fraction α_i describes to
211 which level the cell is filled with the respective fluid. Free surface is then defined as the isosurface at which
212 the volume fractions take the value of 0.5. The physical properties of the equivalent fluid within a
213 controlled volume are calculated as functions of the physical properties of the phases and their volume
214 fractions. The critical issue for this kind of method is the discretization of the convective term, which
215 required higher order schemes. The simulations presented in this paper are carried out according the HRIC
216 (High Resolution Interface Capturing) scheme which is a convective scheme based on the normalized
217 variable diagram, [29].

218 *4.3 Dynamic overset grid approach*

219 The main motivations behind the overset grid originated from the requirement to perform simulations
220 involving multiple bodies in large relative motion, [22,30]. Rigid and deforming mesh motion, available in
221 STAR-CCM+ show several disadvantages compared to the overset mesh approach, when simulating bodies
222 with large amplitude motions. Rigid motion approach causes difficulties for free surface refinement,
223 especially in pitch [31]. And deforming meshes may lead to cell quality problems, [30]. This approach
224 implies a domain discretized by a static grid (*background region*) and a moving grid (*overset region*). The
225 static grid is fixed to the earth system and therefore does not move. This grid is designed to properly
226 resolve the air-water interface and the incident waves, and extends far enough from the OXYFLUX device so
227 that the far-field boundary conditions are imposed only on static grids. The background region encloses the
228 entire solution domain and the smaller region containing the device body. The moving, rigid region is
229 attached to the OXYFLUX and it will move according to the predicted translation motions described with eq.
230 (6). In an overset mesh, cells are grouped in; active, inactive or as acceptor cells. Within active cells,
231 discretized governing equations are solved. Within inactive cells, no equation is solved. However, these

232 cells can become active if the overset region is moving. Lastly, there are acceptor cells, which separate
233 active and inactive cells in the background region and are attached to the overset boundary in the overset
234 region. The solution is computed for all active cells in all regions simultaneously, that is, the meshes are
235 implicitly coupled. The use of the overset mesh saves computational costs, and allows the generation of a
236 sufficiently refined mesh configuration around the free surface and the body without compromising the
237 solution's accuracy.

238 *4.4 Response calculation*

239 Through Newton's Law, OXYFLUX movements, accelerations and velocities are calculated under the
240 simplification of two degrees of freedom (i.e. heave and pitch), rigid body and absence of the mooring
241 system. The OXYFLUX device predominantly operates in heave, but at the same time it takes advantage
242 from the wave which overtops its floater consequently the realistic simulation of the pumping performance
243 requires the pitch to be thoroughly modelled. The equations of motion for OXYFLUX's heave and pitch
244 modes, according to [32], are the following:

$$\begin{aligned} m \cdot \frac{dv_c}{dt} &= \mathbf{F} \\ \mathbf{I} \cdot \frac{d\boldsymbol{\omega}_c}{dt} &= \mathbf{M} \end{aligned} \quad (7)$$

245 where m is the total mass of the rigid body, \mathbf{v}_c and $\boldsymbol{\omega}_c$ are linear and angular velocities about the center of
246 mass, $\frac{d}{dt}$ indicates the time derivative, \mathbf{I} is the moment of inertia about the center of mass, \mathbf{M} and \mathbf{F} are the
247 total torque and force on the rigid body. The resultant forces and torque acting on the rigid body are
248 calculated by integrating the fluid pressure on each body surface. The mooring system is neglected in this
249 study according to Muliawan et al., (2013) and Yu and Li, (2011) who highlighted the negligible effect of the
250 mooring system on the energy production of a single and two bodies point absorber WEC, [18,33]. Due to
251 the similarity between the OXYFLUX and these WEC types we assumed that also for the pumping
252 performance of the OXYFLUX device the effects of the mooring lines might be neglected.

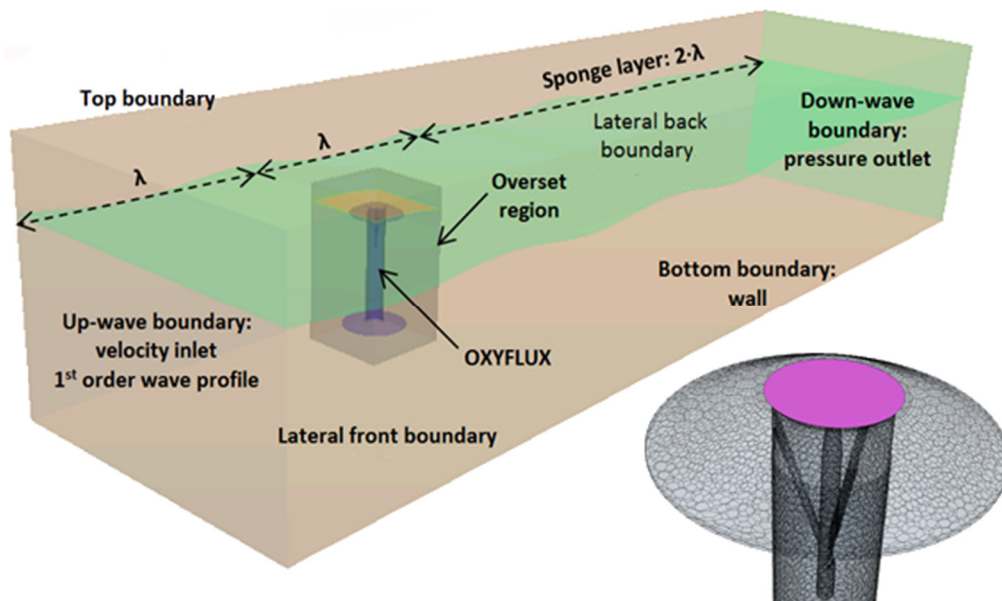
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254 **6. OXYFLUX modelling**

255 *5.1 Domain and boundary conditions*

256 The computational domain is divided in two main regions: background and overset region. A right-handed
257 Cartesian coordinate system is located at the OXYFLUX's revolution axis. The longitudinal x-axis is pointing
258 towards the outlet boundary, the z-axis is vertical and points upwards, and the undisturbed free surface is
259 the plane $z=0$. The origin of the coordinates is located at the intersection of the free surface, the

260 longitudinal section of the domain ($y=0$) and the transverse section of the device ($x=0$). The background
 261 region is 0.50 m wide ($-0.25 \leq y \leq 0.25$) according the wav flume used for the physical test, 0.75 m high ($-$
 262 $0.53 \leq z \leq 0.22$) and its length varies according to the simulated wavelength ($-\lambda \leq x \leq 3 \cdot \lambda$). Overset
 263 region is a squared-section parallelepiped, 0.44 m high ($-0.38 \leq z \leq 0.06$) and 0.25 m wide ($-0.125 \leq x; y \leq$
 264 0.125). A schematic is presented in Figure 4 and Figure 7. The device's location is placed in order to have its
 265 vertical revolution axis passing through $x=y=0.00$. Such a domain configuration ensures a suitable
 266 overlapping of the two regions and an adequate gap between background and overset region's boundaries.
 267 Furthermore, dimensions of the overset region allow to keep at least four layers of cell between the device
 268 surface and the overset boundaries. The seabed is given at 0.40 m below the mean water surface, and a 1st
 269 order wave velocity profile is specified at the up-wave boundary, (see Figure 6). The pressure outlet is
 270 implemented at the down-wave boundary. VOF wave damping layer is applied in front of the outlet
 271 boundary in order to reduce the reflected wave according to[34]. All the simulations are carried out using a
 272 damping zone equal to 2 wavelengths as proposed by [18,35], thus ensuring a gap equal to one wavelength
 273 between the damped zone and the device's vertical axis, (see Figure 6). The water flow due to the wave
 274 overtopping is measured through an artificial interface generated on the top of the floater (see Figure 6).



275

276 Figure 6 3D numerical domain, regions, used boundaries conditions and adopted artificial interface for measuring water
 277 flow

278 Five boundary conditions have been used to describe the fluid field at the domain bounds. They include:
 279 no-slip wall and slip wall, velocity inlet, pressure outlet and overset mesh condition. No-slip wall boundary
 280 condition represents an impenetrable, no-slip condition for viscous flow, such boundary is used to describe
 281 the device surface. Slip wall boundary condition is applied to describe top ($z=0.22$ m), bottom ($z=-0.53$) and
 282 lateral boundaries ($y=-0.25$ and $y=0.25$ m) of the domain. The use of the slip wall boundary condition at the
 283 top, sides and bottom of the background aims to better reproduce the laboratory condition, including
 284 reflection of the wave due to the lateral boundary. These are made by means of glass walls in the physical

285 wave flume. Velocity inlet boundary represents the inlet of the domain at which the flow velocity is known
286 according to the required wave profile. This condition is used to model the up-wave boundary at $x = -\lambda$.

287 The pressure outlet boundary is a flow outlet boundary for which the pressure is specified, in this model we
288 used condition of calm water surface, outlet boundary is imposed at $x = 3 \cdot \lambda$. The boundary face velocity is
289 extrapolated from the interior of the domain using reconstruction gradients, while boundary pressure can
290 have two different calculation methods. If inflow occurs, pressure is defined by the following equation:

$$p = p_{specified} - \frac{1}{2} \cdot \rho \cdot |\mathbf{v}_n|^2 \quad (8)$$

291 where \mathbf{v}_n is the normal component of the boundary inflow velocity. Whereas, if no inflow occurs the
292 boundary pressure is kept equal to the specified one. The overset region is defined by means of overset
293 mesh boundary, which describes outer boundaries of the region. Such a boundary condition allows the
294 coupling of the overset region with the background region by means of linear interpolation between
295 acceptor and donor cells.

296 5.2 Grid generation and resolution studies

297 The overset and prism layer grids are generated using the mesh generator in STAR-CCM+. Grid resolution is
298 finer near the free surface and around the OXYFLUX to capture both the wave dynamics and the details of
299 the flow around the device (see Figure 7). Moreover, a thinner zone containing overset region is created in
300 order to generate an overlapping area with similar cell sizes, background and overset mesh for both. Prism-
301 layer cells are generated along the OXYFLUX surface, the height of the first layer is set so that the value of
302 y^+ (10 to 400) satisfies the turbulence model requirement by solving the velocity distribution outside the
303 viscous sub-layer, i.e. buffer layer and log-law regions are solved, [36,37]. Background region is discretized
304 by regular hexahedral cells and three thinner volumes are used to capture the free surface movements and
305 the device dynamics, (V_{B1} , V_{B2} e V_{B0}). The grid refinements across the water surface are realized by the
306 volumetric controls V_{B1} and V_{B2} proposed along the entire domain, Figure 7. V_{B2} 's height is equal to the
307 simulated wave height while V_{B1} 's height is 50% more (see longitudinal section in Figure 9). V_{B2} 's horizontal
308 grid size ($\Delta x = \Delta y$) is determined by the incident wave length λ_i , while vertical grid size (Δz) is adjusted
309 according to the incident wave height, H_i . The required overlapping area characterized by similar cell size
310 for both background and overset regions is entrusted to the third volumetric control V_{B0} which is defined
311 by a squared parallelepiped discretized by regular hexahedral cells. Irregular polyhedral cells, with
312 characteristic dimension equal to V_{B0} 's Δz , are adopted to discretize the overset region, Figure 7.

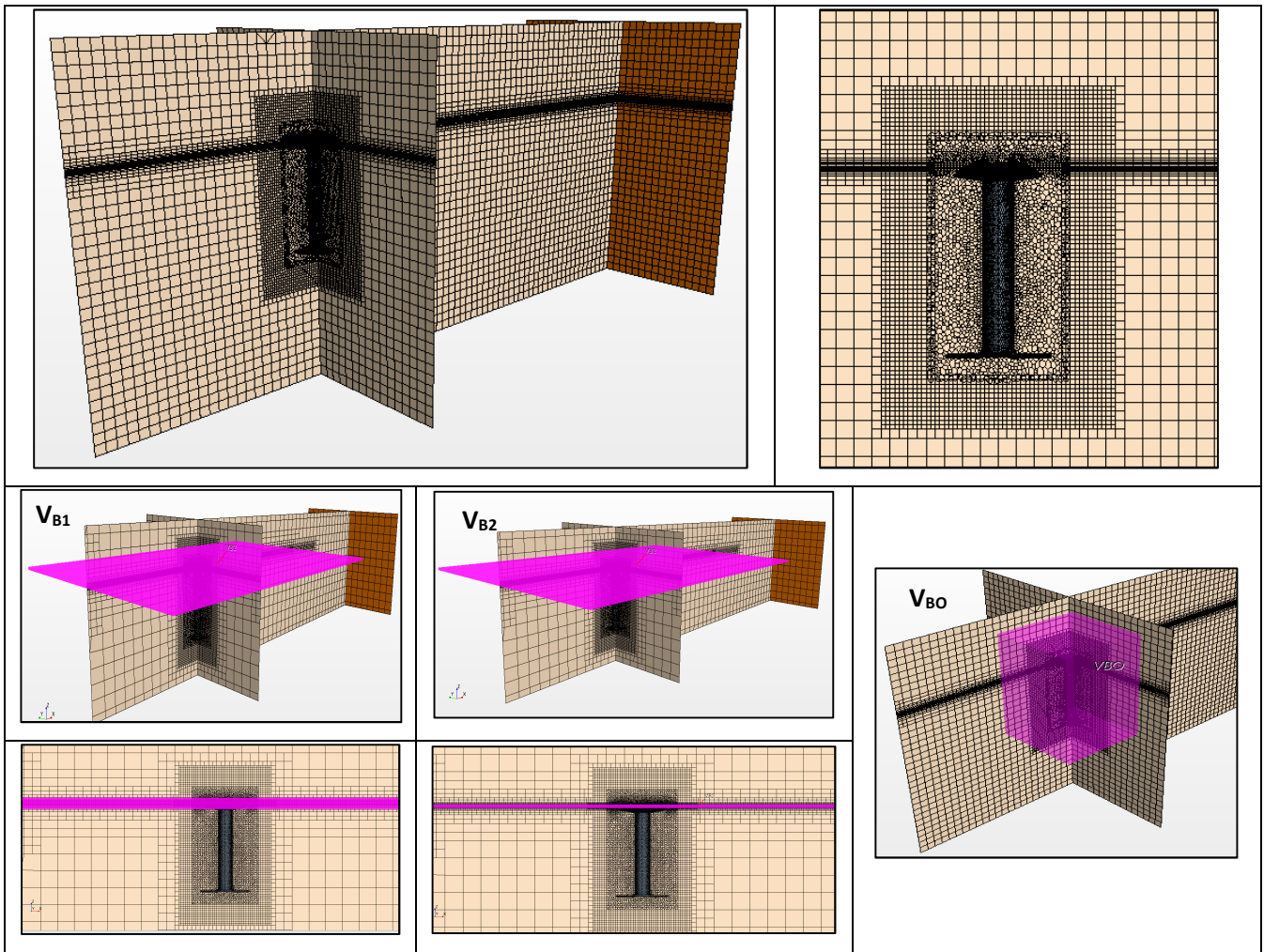


Figure 7 Section views of the discretized domain, volumetric controls (V_{B1} , V_{B2} , V_{BO})

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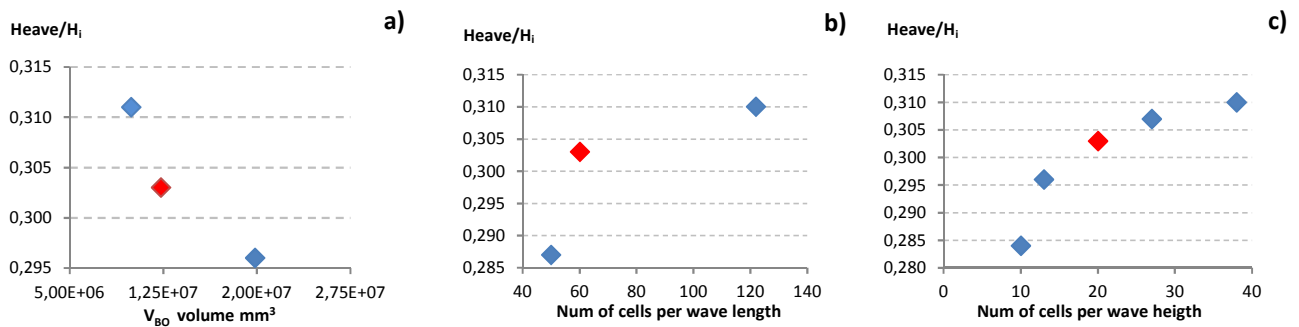
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The appropriate grid resolution is set after series of tests; 9 grids with different V_{BO} dimensions, horizontal and vertical discretization are analyzed by means of regular wave state, $H_i = 0.0175$ m, $T_i = 0.7$ s, $\lambda_i = 0.77$ m depth = 0.53 m. The characteristics of each tested grid are summarized in **Errore. L'origine riferimento non è stata trovata.** Through the normalized heave response, calculated as the ratio between the amplitude of the first harmonic of the heave response and the first harmonic of the wave elevation, [38], the effects of grid resolution and the domain dimensions on the dynamic response of the device are studied. The grid resolution around the overset region, generated through V_{BO} , does not strongly affect the heave response. It is observed that the convergence is guaranteed if the distance between the overset region boundaries and V_{BO} 's ones is kept larger than four cell layers. The largest variation induced by this parameter on the heave response was 2.6% of that calculated by means of the selected V_{BO} 's volume. Figure 8.a shows the results of this analysis, where the red point represents the adopted V_{BO} 's volume.

Table 1 Grid characteristics, in bold is the selected grid

Grid n°	n° cells	Sidewall [mm]	V_{B1}		V_{B2}		V_{B0}			RAO [Heave/ H_i]
			$\Delta x = \Delta y$ [mm]	Δz [mm]	$\Delta x = \Delta y$ [mm]	Δz [mm]	$\Delta x = \Delta y = \Delta z$ [mm]	height [mm]	side [mm]	
1	1.21E+06	250	16.0	8.0	12.8	1.7	8.0	562	188	0.296
2	1.14E+06	250	16.0	8.0	12.8	1.7	8.0	500	157	0.303
3	1.12E+06	250	16.0	8.0	12.8	1.7	8.0	500	141	0.311
4	7.04E+05	250	16.0	8.0	15.3	1.7	8.0	500	157	0.287
5	3.12E+06	250	16.0	8.0	6.3	1.7	8.0	500	157	0.310
8	9.20E+06	250	16.0	8.0	12.8	0.6	8.0	500	157	0.310
7	2.83E+06	250	16.0	8.0	12.8	1.3	8.0	500	157	0.307
8	1.18E+06	250	16.0	8.0	12.8	2.5	8.0	500	157	0.296
9	1.08E+06	250	16.0	8.0	12.8	3.1	8.0	500	157	0.284



329 Figure 8 Results of the grid sensitivity analysis, a) V_{B0} 's dimensions effects, b) number of cells per wave length effects
 330 and c) number of cells per wave height effects

331

332 The grid resolution along the free surface, generated through V_{B1} and V_{B2} shows the largest effect on the
 333 heave response (around 6.7 % of that calculated with the final resolution) as well as on the required
 334 computational time. For these parameters, a compromise has to be taken between the required time and
 335 accuracy. Final choice for V_{B2} horizontal discretization $\Delta x = \Delta y = \lambda_i / 70$ while for vertical direction is $\Delta z = H_i / 20$.
 336 Figure 8.b and Figure 8.c show the effects of this discretization, the red points represent the selected grid
 337 dimensions and related device heave response. The selected mesh characteristics contribute to generate a
 338 grid of variable cell numbers from $1.14 \cdot 10^6$ to $3.1 \cdot 10^6$ according with the incident wave condition. Grid 2 in
 339 **Errore. L'origine riferimento non è stata trovata.** is selected to carry out all the simulations, the final
 340 domain and grid setup are summarized in Figure 9. To assure the numerical stability and with respect to the
 341 requested Courant number, a time step equal to $T_i / 400$ is adopted in the study. All the RANS simulations
 342 are carried out on the server at the hydraulic laboratory of the University of Bologna, each compute node
 343 consists of hexa-core 2.00 GHz Intel Xeon E5. For a mesh with 1.14 million elements, it takes about 220 h on
 344 12 cores to complete 10 wave periods of time (4000 time steps).

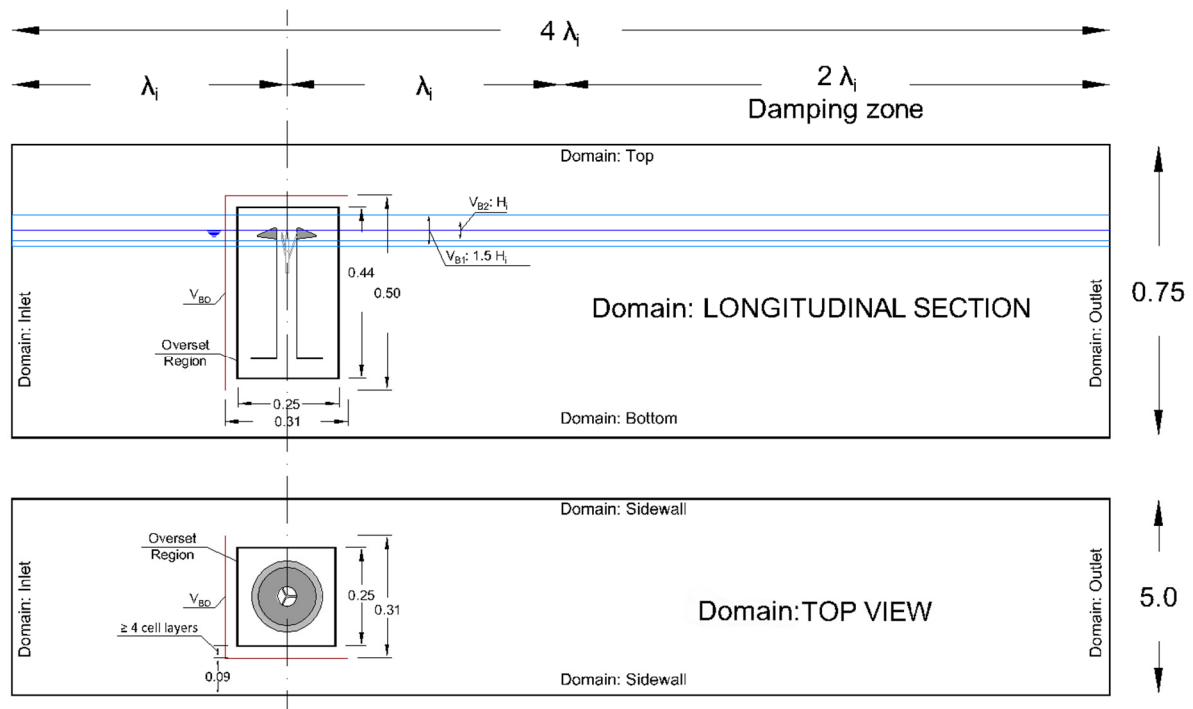
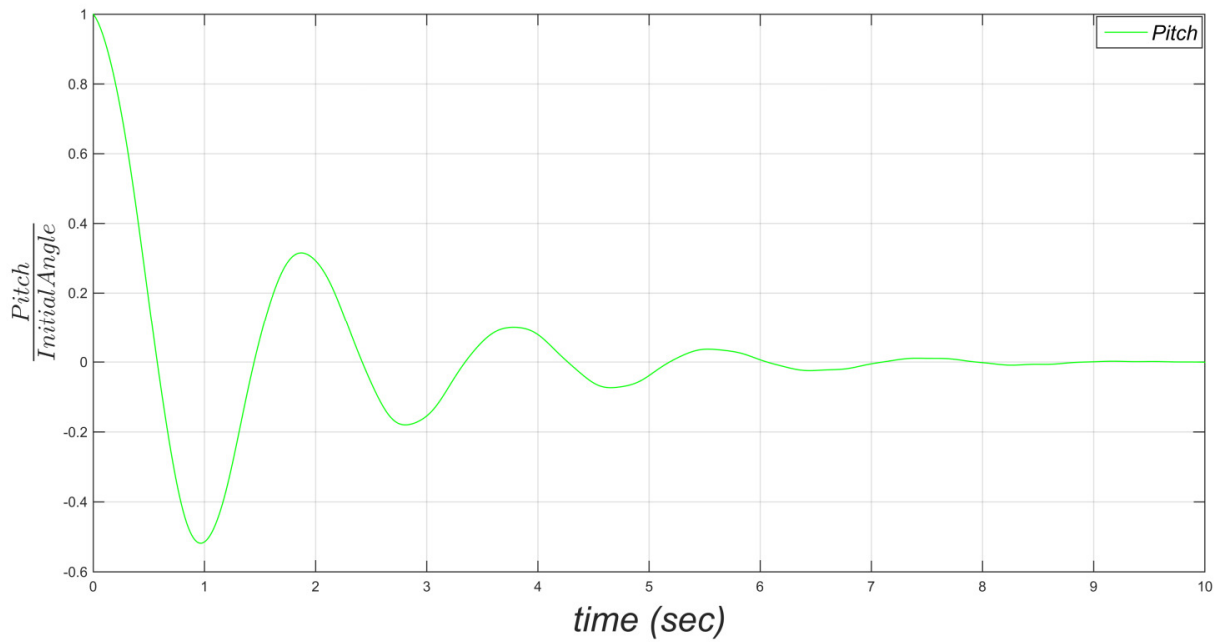
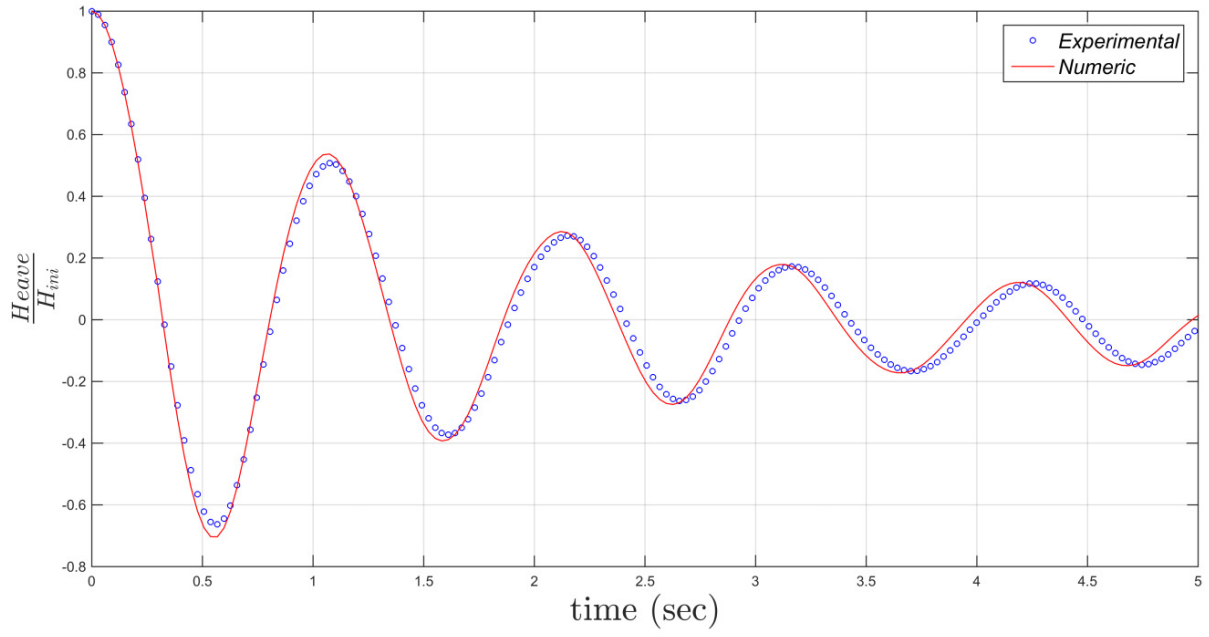


Figure 9 Final domain and grid setup

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347 5.3 Validation of the code through heave decay tests

348 The experimental tests are performed at the Hydraulic laboratory of the University of Bologna. The main
 349 aim was to identify the hydrodynamic characteristics of the OXYFLUX device through a heave decay test,
 350 [10,39]. In this work those results have been used to validate the CFD model. Total mass of the 1/16 Froude
 351 scaled OXYFLUX model, including weight of the DOP transducer and its support structure, was 323 g for
 352 both experimental and numerical test. The numerical decay test was performed in a squared section
 353 computational domain with side equal to 2.0 m ($-1.00 \leq x; y \leq 1.00$) and height equal to 0.75 m ($-0.53 \leq z \leq$
 354 0.22). The total number of elements used in the RANS simulation was around 1.45 million, with a
 355 resolution similar to grid 2. A damping layer zone of 0.50 m was adopted in front of all the far field
 356 boundaries to absorb radiated waves from the device. To perform the heave decay test, the OXYFLUX has
 357 been lifted with an initial displacement of +0.03 m. The upper panel in Figure 10 shows the comparison
 358 between normalized heave decay results obtained from numerical simulation and the experimental
 359 measurements. Numerical results agree with experimental data; the natural heave decay period was 1.08 s
 360 while 1.04 s is detected for the physical calm water test confirming the capacity of the numerical model to
 361 capture the dynamic of the OXYFLUX. To perform the numerical pitch decay test, the OXYFLUX model was
 362 rotated around its center of mass with an initial angle of 3 degrees. The observed pitch natural period was
 363 1.85 s (see Figure 10 lower panel). Concluding, the grid-sensitivity analysis, which has been presented in
 364 the previous section, and the validation decay test study as well as the comparison between heave
 365 displacement plotted in Figure 11, indicate that the mesh and the adopted numerical settings are reliable
 366 for further analysis on the OXYFLUX device.



367 Figure 10 Comparison between numerical and physical heave decay test results (upper panel), numerical results for
 368 pitch decay test (lower panel)

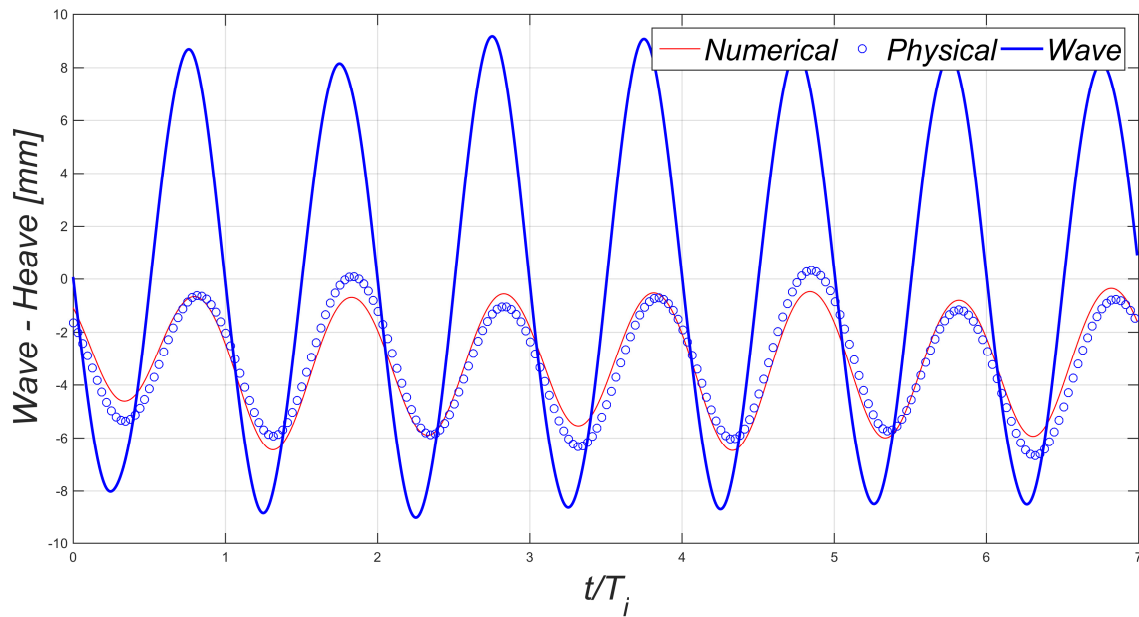


Figure 11 Comparison of experimental and numerical time history of heave displacement, $H_i=0.018$ m $T_i=0.70$ s

7. Results and discussion

6.1 Analysis of the induced wave height effects

A series of simulations are performed to investigate nonlinear effects due to the interaction between waves and the OXYFLUX model. Six numerical simulations are completed to reach this scope. Each simulation is characterized by regular waves with different heights and constant periods. In order to reduce the required simulation time, the shortest wave period is used, (i.e. 0.70 s), while the wave heights range is between 0.013 m and 0.042 m. For each test, heave response and phase shift between device and water surface are examined. The numerical RAO (Response Amplitude Operator) is evaluated by means of time domain analysis, (zero up-crossing procedure), thus the final results is the average value of the ratio between the amplitudes of the heave mode and the corresponding incident wave amplitudes. Figure 12 shows the resulting RAO on the incident wave heights highlighted through different colors in order to uniquely define the response. Heave amplitude increases up to wave height equal to 0.023 m. Whereas, if the wave height increases driving up relative velocity between water and device, viscous dissipation becomes more important reducing the amplitude and increasing phase shift up to 0.06 s. The increase of the phase shift indicates that the system is subjected to a larger damping for larger waves. As it was expected, nonlinear effects introduce additional damping forces that reduce the heave amplitude and generate a phase shift, causing the required degree of overtopping. This also occurs for small and short waves that are caused by the breeze.

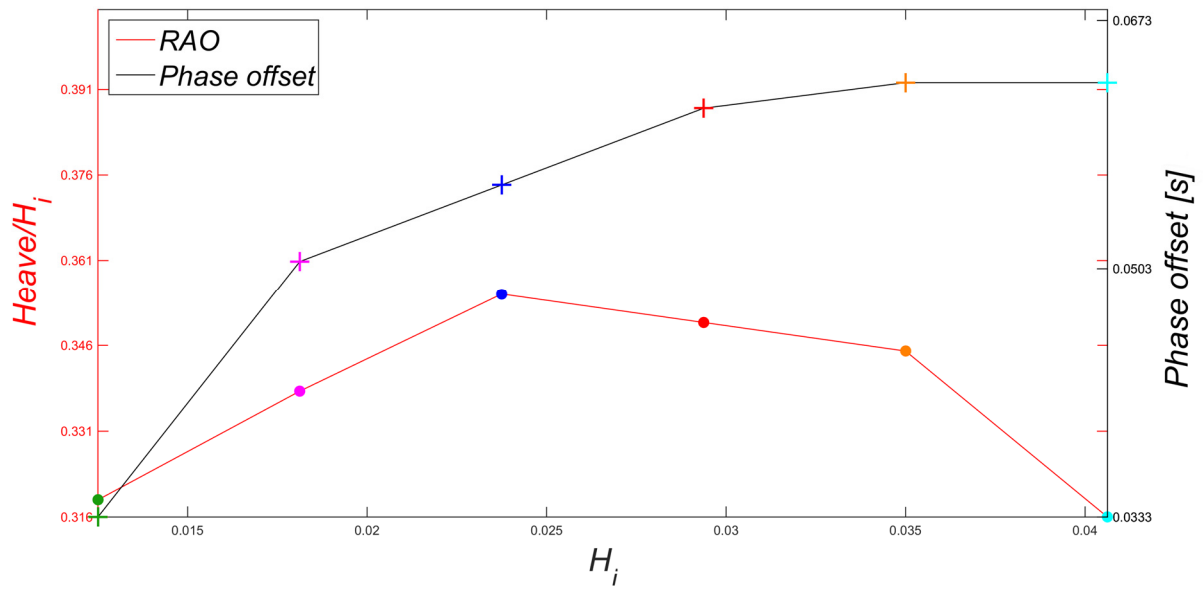


Figure 12 Heave response (red line) and phase shift (black line), $T_i=0.70$ s.

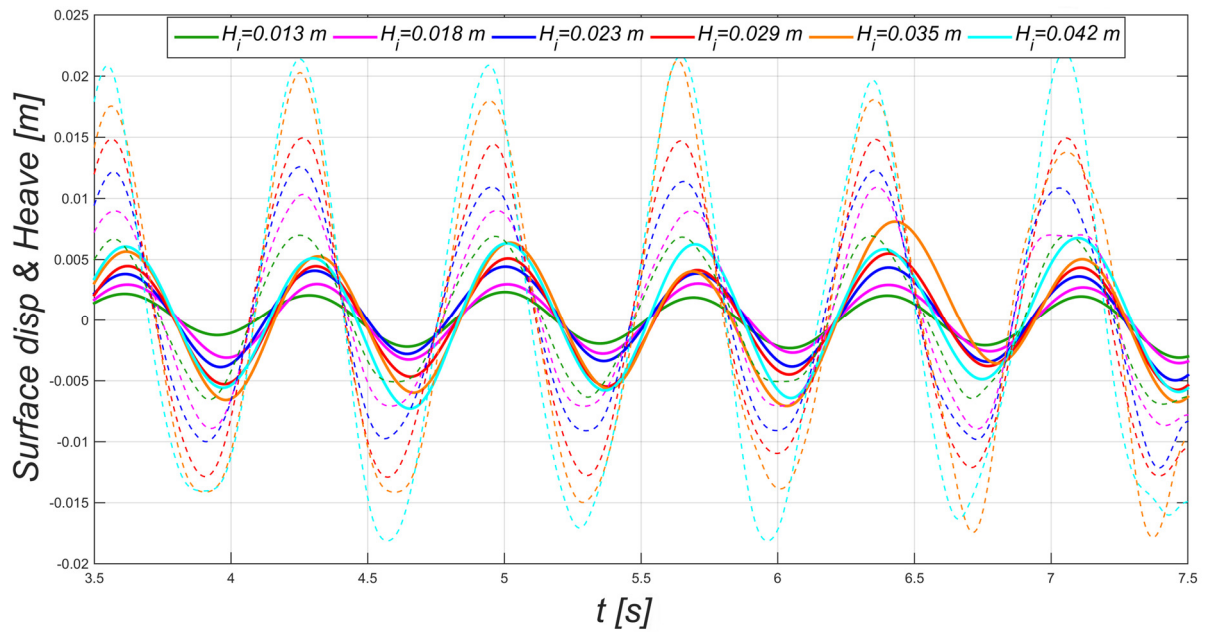


Figure 13 Recorded time series, dotted lines represent the water surface while solid lines the heave motion,

6.2 OXYFLUX performance in regular wave

This section addresses the performance of the OXYFLUX in regular waves in terms of heave and pitch response and pumped water. Effects of different regular waves on the hydrodynamic response and pumping performance are investigated through 13 wave conditions (see Table 2) with heights ranging between 0.018 m and 0.056 m and periods ranging between 0.70 and 1.30 s .

401

Table 2: Simulated wave states

H_i [m]	0.018	0.023	0.026	0.029	0.031	0.034	0.039	0.042	0.044	0.045	0.048	0.050	0.056
T_i [s]	0.70	0.75	0.8	0.85	0.90	0.95	1.00	1.05	1.10	1.15	1.20	1.25	1.30
$s[H_i/L_i\%]$	2.36	2.64	2.63	2.63	2.53	2.53	2.66	2.65	2.59	2.47	2.48	2.44	2.58

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In the following section only time series from the first wave state presented in Table 2 is discussed in detail.

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Whereas, results coming from the other wave states will be later summarized in Figure 17 and Figure 19.

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Figure 14 shows time series and frequency analysis of the instantaneous pumped water, heave and pitch

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response and incident wave for 0.018 m wave height and 0.70 s wave period. In this case, the incident

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period is shorter than the heave resonant period. The heave response does not follow the motion of the

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water surface and is smaller than the incident wave height, RAO value is 0.303. Phase shift between water

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surface and floating-body is not pronounced, because the wave height is too small to strongly affect the

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dynamic behavior via non-linear effects, inducing more important phase shifting. Moreover, motion of the

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fluid particles, as well as excitation force due to the incident wave, decrease rapidly with the increasing

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depth below the free surface, which near the bottom are almost null. Therefore, the force acting on the

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floater is larger than that applied at the stabilizing ring, which moves in calm water driven by the floater's

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motion. In these conditions, viscous drag is the result of flow separation and vortex shedding at ring corner,

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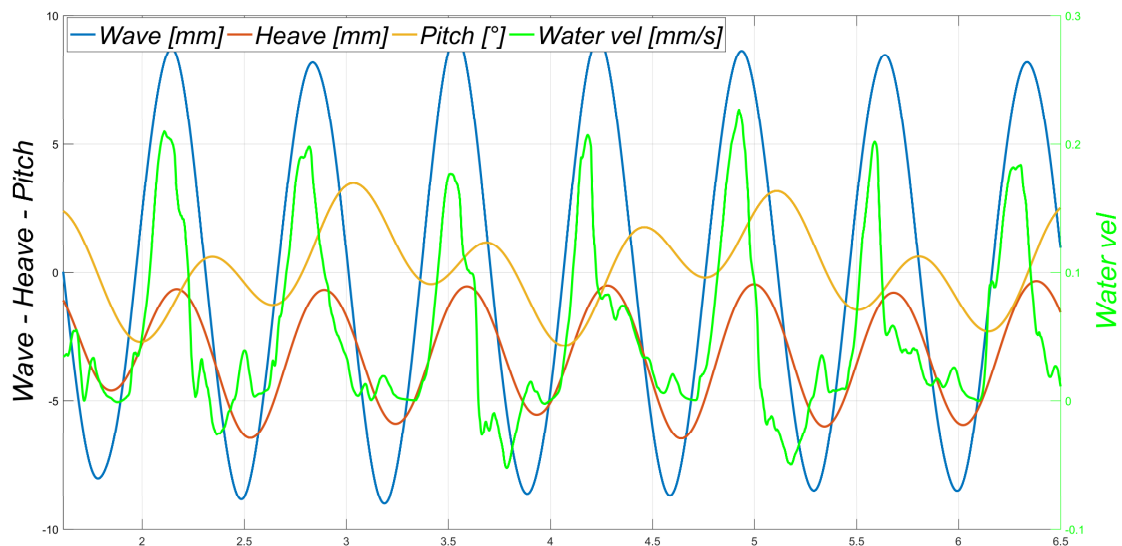
and becomes the dominant damping source for the device. Such a behavior was searched as a main mode

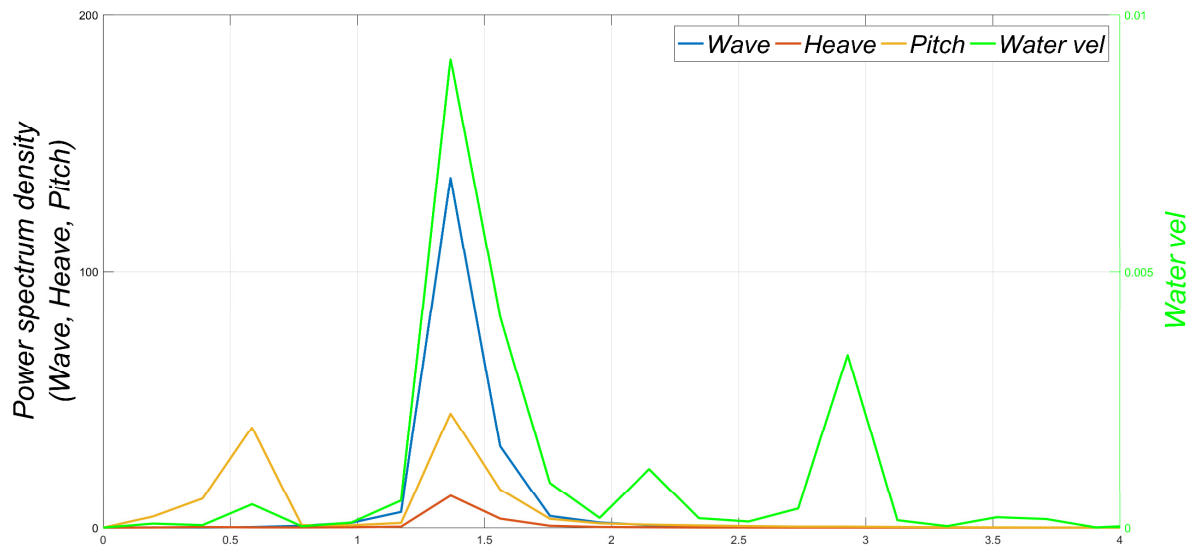
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of operation during the designing phase with the aim to generate wave overtopping, even during the

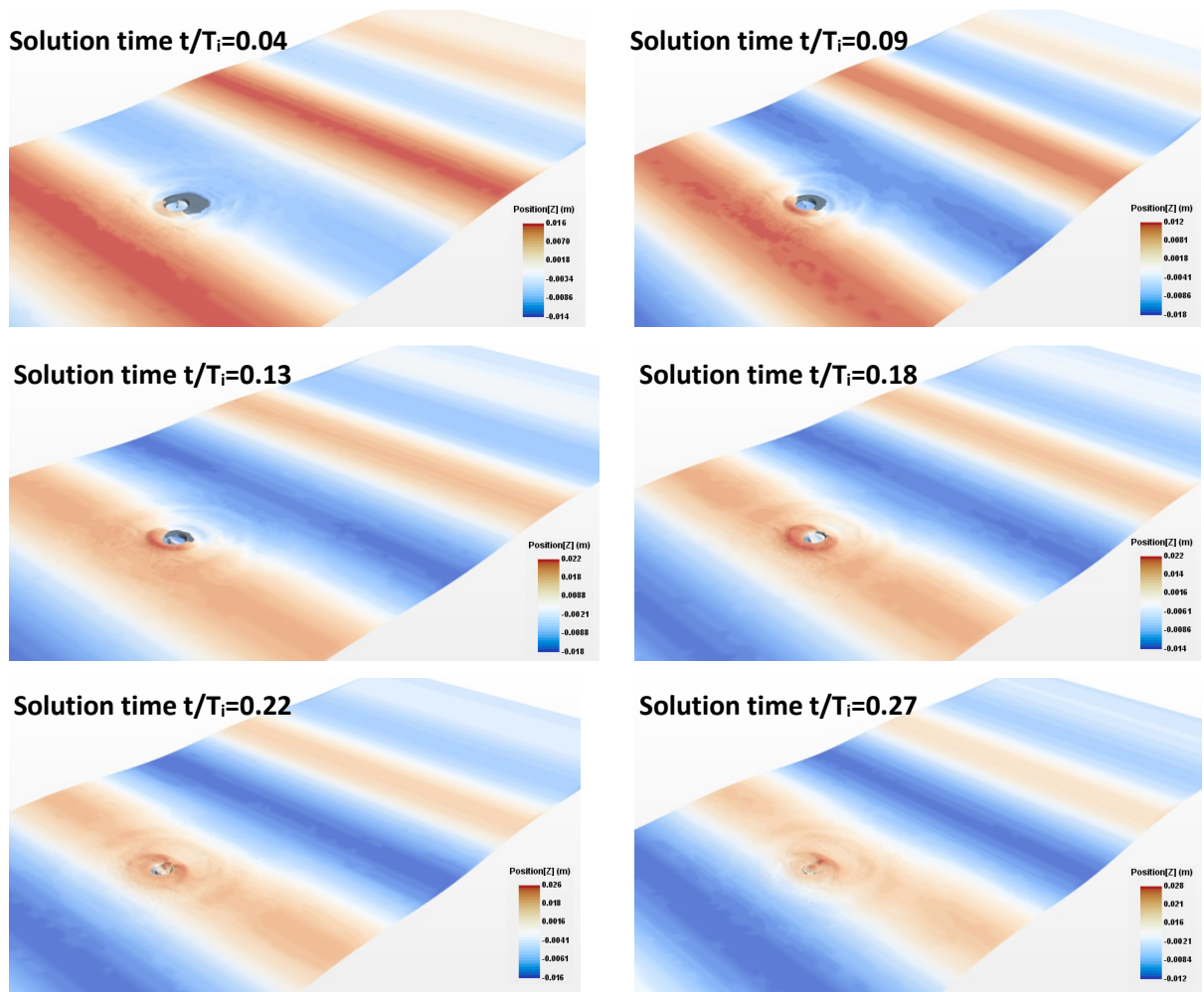
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smallest summer's wave states (see Figure 14 and Figure 15).





418 Figure 14 Heave, pitch and pumping performance response, $H_i=0.018$ m $T_i=0.70$ s , (black line is referred to the
 419 freeboard crest of the device). Recorded time series (upper panel), time series frequency analysis (lower panel).
 420



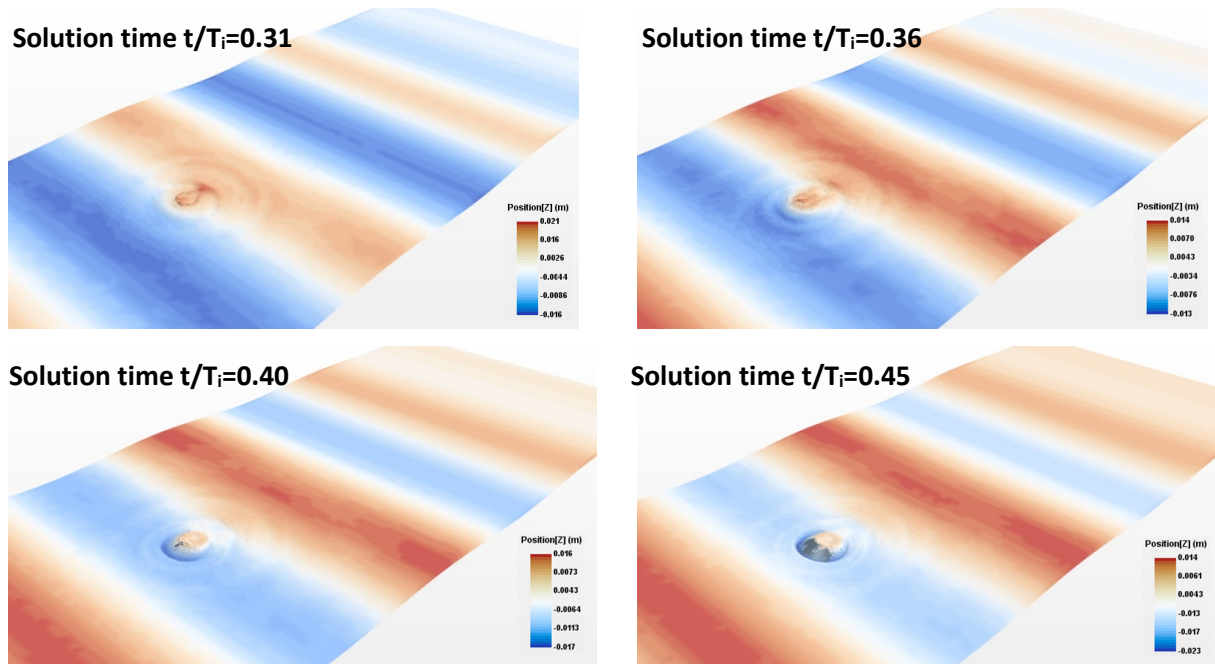
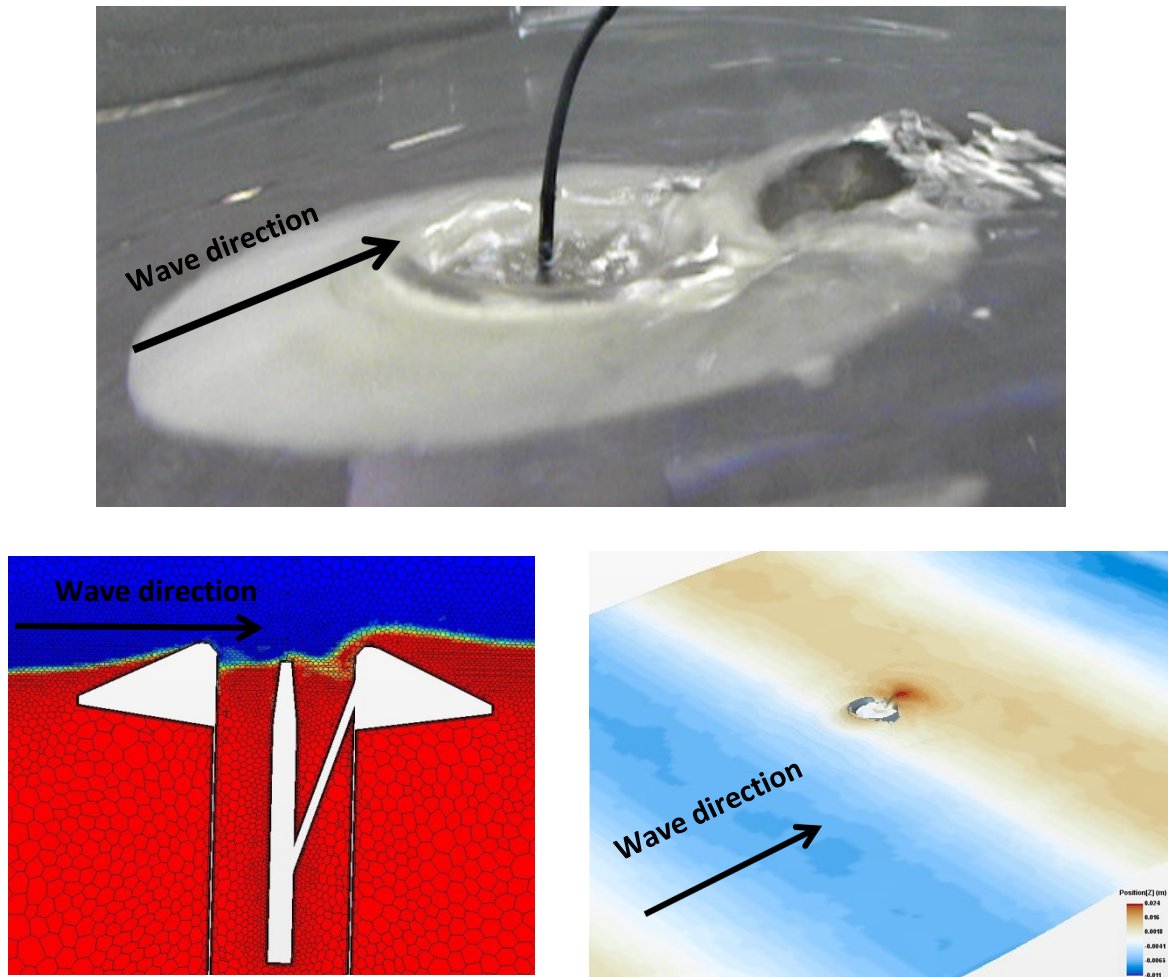


Figure 15: Images sequence of wave device interaction. Smallest wave state, ($H_i=0.018$ m $T_i=0.70$ s).

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423 Pitch response exhibits two peaks (yellow line Figure 14 lower panel): the first one, around 1.42 Hz
 424 corresponds to the incident wave frequency. The second one occurs at frequencies around 0.54 Hz (natural
 425 pitch frequency). This is probably caused by a nonlinear effect, resulting from the coupled effect between
 426 heave and pitch modes, usually called Mathieu-type instability, [40,41]. This instability is caused by the
 427 dynamic variation in the device's metacentric height (consequence of the heave motion), which changes
 428 during the wave cycle and consequently makes the device unstable, causing the high amplitudes in the
 429 pitch modes. This effect has been reported by other authors for similar floating structures [40,42–45] and is
 430 responsible for the nonlinearities observed in Figure 14 at frequencies around 0.54 Hz. The same non-linear
 431 response is observed for the other simulated wave states. Pitch mode is also of interest for the pumping
 432 performance, since it affects the capacity of the floater mouth to catch the water from the wave crest. It
 433 becomes more important during the back side overtopping, which happen a second time during the wave
 434 attack, as discussed below. The pumped water is driven by the overtopping phenomenon and by the heave
 435 mode, which lead to a main cyclic flux with a characteristic period comparable to the incident wave. Lower
 436 panel in Figure 14 shows the spectral density of the wave, heave and flow rate. Flow rate exhibits a second
 437 less intense peak, occurring at 2.90 Hz (double of the wave frequency). This is mainly due by the combined
 438 action of the pitch mode and wave action on the back side of the floater. At the beginning of the wave
 439 attack, the crest overtops the device through its front side going up on the frontal region of the floater.
 440 Later, part of the wave crest that has not overtopped the catching mouth, flows around the circular shape
 441 of the floater combining one to each other on the back side producing a second overtopping contribution.
 442 Figure 16 highlights how this process occurs in the physical modelling, (upper panel) and how the numerical

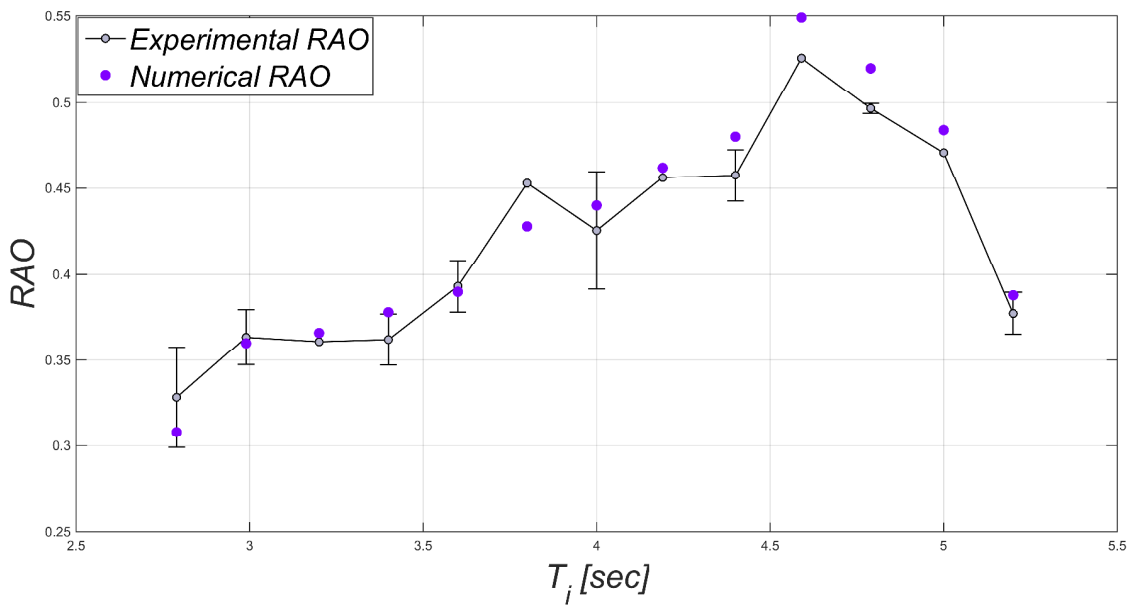
443 model reproduces it, (bottom panel). Despite such an overtopping, functioning is always present for all the
444 simulated wave states. The intensity of the second flow rate peak as well as the amplitude of the non-linear
445 pitch oscillations increase reaching the heave resonant frequency.



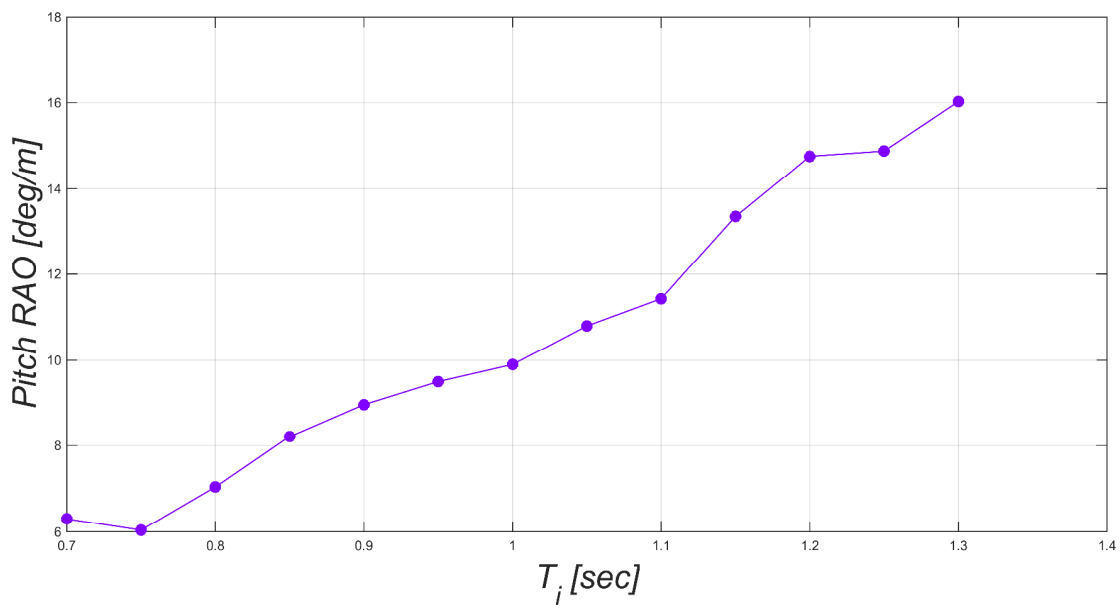
446 Figure 16: Second overtopping contribution which happen in the back side of the OXYFLUX, comparison between
447 physical (upper panel) and numerical (upper panel)
448

449 Comparison between numerical and experimental heave RAO is shown in Figure 17, where generalized
450 slight differences exist between the experimental and numerical results. The experimental data shows a
451 lower heave response when compared with the numerical prediction for the entire range of tested wave
452 periods. However, experimental and numerical results tend to converge once the incident wave period is
453 far from the heave resonant period. This suggests important dissipations of energy, due to the mooring
454 lines used in physical modelling and not modelled in the RANS simulations. The larger heave displacements
455 close to the resonant period, generate important viscous dissipations due to the interaction of the cables
456 and the surrounding water. Moreover, values of RAO lower than 1, for periods higher than the natural one,
457 are observed. Such a behavior is attributed to non-linear effects which grow with the increase of the wave
458 heights, that in this study grow, according to the wave periods as shown in Table 2. Despite no physical

459 data being available for the pitch mode, RAOs are calculated from the numerical simulations and the results
 460 are depicted in Figure 18. Pitch rigid rotation shows an increasing trend according to simulated wave
 461 heights and growth periods. But no resonant period is reached for the tested sea states, as proved by the
 462 absence of a clear response peak. Results for wave period between 1.1 s and 1.2 s show the larger
 463 increment for pitch rotations, suggesting a transfer of energy between the heave and pitch modes at
 464 incident wave periods. This is close to the resonant frequency of the OXYFLUX heaving mode. The presence
 465 of the mooring arrangement, which would change the center of rotation of the device and would lower the
 466 sensibility of pitch mode to the varying of the device's metacentric height, would reduce this energy
 467 transfer.

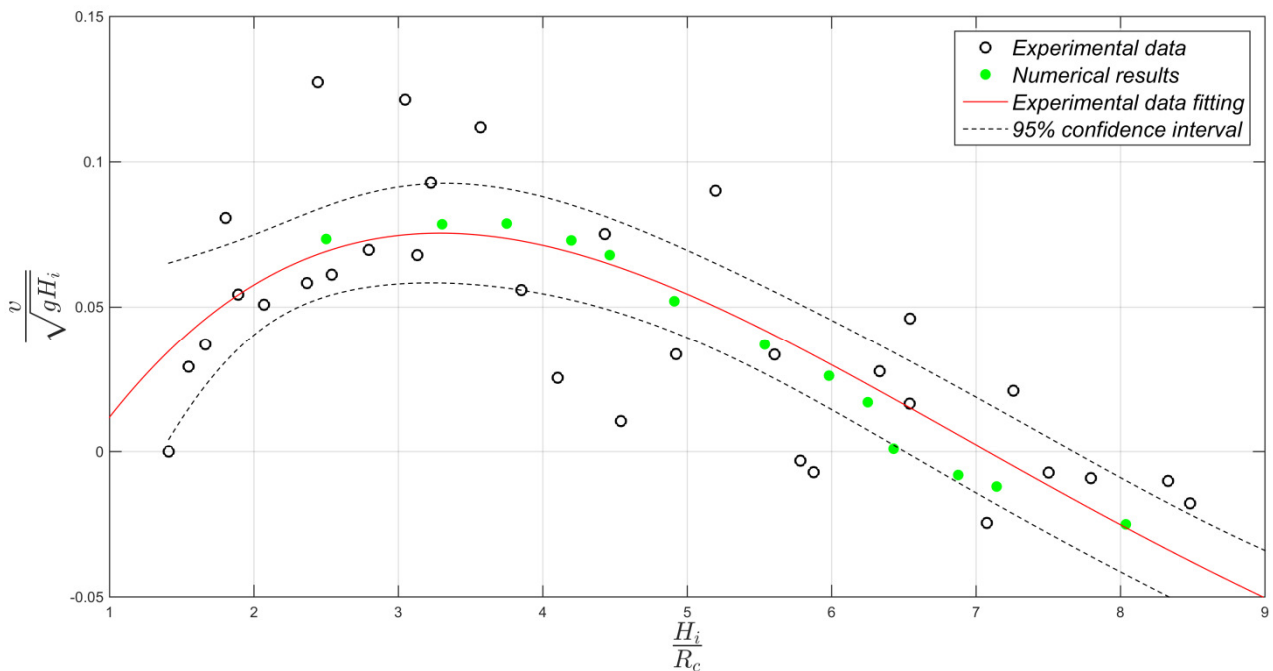


468
 469 Figure 17: Experimental and numerical heave RAO. Red dots are the RAO values related to the wave states in Table 2
 470



471
 472 Figure 18: Numerical pitch RAO.

473 For each simulated wave state, instantaneous average value of the flow is measured through an artificial
 474 interface applied on the floater mouth, see Figure 6. The resulting time series allows calculating the integral
 475 averaged value of the flow rate and the average water velocity over five wave cycles. Figure 19 shows the
 476 comparison of numerical and physical water velocity values, non-dimensionalised with $\sqrt{g \cdot H_i}$ as a
 477 function of H_i/R_c , according to [10] where R_c denotes the OXYFLUX freeboard. Overall, good general
 478 agreement for both the velocity values and the wave heights, at which these values occur, is reached. The
 479 same specific trend of the water velocities values can be recognized according to the values of H_i/R_c . For
 480 low H_i/R_c ratio, only part of the wave crest is captured by the floater mouth and used to generate the
 481 required head to pump the water downward. High values of H_i/R_c ratio correspond to the longest and
 482 highest waves for which the height of the device freeboard assumes not great importance. The wave crest
 483 flows over the floater but does not go into it. That makes possible an inversion of the flux direction mainly
 484 due to the depression induced at the floater mouth by the water, which runs over the device and draws the
 485 water inside the pipe. The comparison between the experimental and numerical results presented in Figure
 486 19, shows that the numerical model is able to reproduce the described complex OXYFLUX's pumping
 487 mechanism.



488
 489 Figure 19: Comparison between experimental and numerical dimensionless water velocity, R_c denotes the device
 490 freeboard
 491

492 **8. Conclusion**

493 The RANS solver Star-CCM+, is adopted to analyse an innovative wave pump device called OXYFLUX. The
494 first aim of the presented paper was to reproduce the experimental condition and results obtained during
495 the physical modelling carried out at the University of Bologna. The same OXYFLUX 1/16 Froude scale
496 geometry as well as a numerical domain width equal to the physical flume is used to reach this scope
497 through all the CFD simulations. The dynamic overset approach is adopted to investigate heave and pitch
498 decay tests as well as the OXYFLUX dynamic response under 13 regular wave. The adopted grid and
499 numerical settings were selected after a sensitivity study, while the model is validated by means of
500 comparison between the physical and numerical heave decay tests and the comparison of the heave
501 displacement time series. Good agreement is also observed within comparison of heave RAO and
502 dimensionless pumped water velocity. Thus, regarding the proposed numerical model, it can be concluded
503 that the adopted overset mesh and numerical settings are reliable for future analysis on the OXYFLUX.
504 Hence, the simulations carried out by the validated model provide some useful results. Second aim of the
505 paper was to investigate the non-linear effects of due to the wave heights on the OXYFLUX dynamic
506 response. Such a purpose has been achieved by means of 6 regular waves characterized by constant
507 periods and different heights.

508 The OXYFLUX pumping capacity increases thanks to the viscous dissipations, that affect the heave response
509 in terms of magnitude and phase shift. The additional nonlinear forces caused by flow separation and
510 vortex shedding at the stabilizing ring corners, not only become more significant when the wave heights are
511 large, but can also affect the pumping performance in smaller wave conditions typical of the summer waves
512 due to the breeze; i.e. conditions that are typical of the anoxic events. Furthermore, by means of the
513 measurement section at the top of the floater, it has been observed that the instantaneous pumping rate is
514 characterised by two peaks in the same wave cycle. The first one is due to the initial overtopping action and
515 mainly occurs in the frontal area of the floater, while the second one occurs on the back region of the
516 floater. It is less intense and is due to the action of the wave crests around the catching circular mouth
517 combined with the pitch motion. Pitch mode response shows two principal harmonics for all the simulated
518 wave states. The largest harmonic always appears at the wave frequency. The second harmonic appears
519 around the pitch natural frequency of 0.54 Hz as a consequence of Mathieu's instability and is generally
520 smaller than the first one. A second proof of the coupling between the heave and pitch modes is also
521 suggested by the larger increment of the pitch RAO values for wave periods between 1.1 s and 1.2 s, whom
522 correspond the resonant period of the OXYFLUX's heave mode. This phenomenon might be reduced by the
523 presence of mooring system which would change the center of rotation and would lower the sensibility of
524 the pitch mode to the varying of the device metacentric height. The combined heave and pitch motion
525 should be more deeply investigated in order to avoid the fully extension of a possible mooring chain

526 system. Further development and studies should involve an improvement of the time domain numerical
527 model which should be extended to include surge mode and mooring system and the improvement of the
528 floater shape.

529 The developers and authors believe that a fully optimized device might be used as an effective tool to
530 counteract the anoxia at the bottom layers near mussel farm in areas like the Northern Adriatic or Baltic
531 Sea.

532

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