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1 REVIEW

2 **Direct and host-mediated interactions between *Fusarium* pathogens and**  
3 **herbivorous arthropods in cereals**

4 Running title: Arthropods in FHB and FER epidemiology

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## 16 **Abstract**

17 Fusarium head blight (FHB) and Fusarium ear rot (FER) diseases of cereal crops are significant  
18 global problems, which cause yield and grain quality losses and accumulation of harmful  
19 mycotoxins. Safety limits have been set by the European Commission for several Fusarium  
20 mycotoxins, and mitigating the risk of breaching these limits is of great importance to crop  
21 producers as part of an integrated approach to disease management. Here we review current  
22 knowledge regarding the role of arthropods in disease epidemiology. In the field, diseased host  
23 plants are likely to interact with arthropods which may substantially impact the disease by  
24 influencing spread or condition of the shared host. For example, disease progress by *Fusarium*  
25 *graminearum* can be doubled if wheat plants are aphid-infested. Arthropods have been  
26 implicated in disease epidemiology in several cases and the evidence ranges from observed  
27 correlations between arthropod infestation and increased disease severity and mycotoxin  
28 accumulation, to actual experimental evidence for insect infestation causing heightened  
29 pathogen prevalence in hosts. *Fusarium* pathogens differ in spore production and impact on  
30 host volatile chemistry, which influences their suitability for arthropod dispersal. Herbivores  
31 may allow secondary fungal infection after wounding a plant or they may alter host  
32 susceptibility by inducing changes in plant defence pathways. Post-harvest, during storage,  
33 arthropods may also interact with *Fusarium* pathogens, with instances of fungivory and altered  
34 behaviour by arthropods towards volatile chemicals from infected grain. Host-mediated,  
35 indirect pathogen-arthropod interactions are discussed alongside comprehensively reviewing  
36 the evidence for direct interactions where arthropods act as a vector for inoculum.

37 **Keywords:** Fusarium head blight, Fusarium ear rot, host-pathogen-herbivore interactions,  
38 disease epidemiology, volatiles, cereals

## 39 **Introduction**

40 Fusarium head blight (FHB) is a disease that affects small-grained cereals and is caused by a  
41 complex of up to 17 *Fusarium* and 2 *Microdochium* fungal species (Parry *et al.*, 1995; Glynn  
42 & Edwards, 2010). FHB is a relatively well studied plant disease in terms of virulence  
43 (Goswami & Kistler, 2004), management and crop resistance (Bai & Shaner, 2004 , Rudd *et*  
44 *al.*, 2001 , Buerstmayr *et al.*, 2009), mycotoxin accumulation (Logrieco *et al.*, 2003) and other  
45 aspects of its biology, ecology and epidemiology, but information on the interaction of FHB  
46 with arthropods is lacking. Here we provide a review of what is known about how insects and  
47 mites may directly or indirectly facilitate Fusarium diseases. Although scientists are often  
48 confined to narrow disciplines focussing on insects, plants or pathogens, this separation does  
49 not occur in nature where insects and pathogens are exposed to each other and can influence  
50 each other either directly or indirectly by altering the condition of a shared host plant. FHB,  
51 and Fusarium ear rot (FER) which affects maize, are significant global problems. By  
52 understanding and establishing control over arthropod interactions that exacerbate disease or  
53 enhance host susceptibility the impact of FER has been demonstrably reduced, and this could  
54 be utilised in FHB control also.

55 FHB infections result in decreased grain yield, quality and production of mycotoxins in grain  
56 by *Fusarium* spp. which are harmful to the health of animal consumers (Marin *et al.*, 2013).  
57 FHB is present in most cereal growing regions in the world (Parry *et al.*, 1995; Gilbert & Haber,  
58 2013) and no variety of cereals is completely resistant to FHB (Wegulo *et al.*, 2015). Visible  
59 symptoms of FHB appear as water-soaked lesions on glumes, then pink-orange sporodochia  
60 and mycelia and black fruiting bodies may develop, followed by discolouration and premature  
61 bleaching of spikelets (Bushnell *et al.*, 2003; McMullen *et al.*, 2012). Harvested grains may  
62 have a reduced protein content (Eggert *et al.*, 2011) and can appear chalky-white and shrivelled

63 or without outward symptoms (Goswami & Kistler, 2004). Several different species can  
64 contribute to disease on any one host, and different causal species induce a varying severity of  
65 visible symptoms, ranging from aggressive disease onset by *F. culmorum* and *F. graminearum*  
66 to infrequent symptom development by *F. poae* and mostly cryptic infection by *F. langsethiae*  
67 (Imathiu *et al.*, 2013).

68 The largest group of mycotoxins produced by *Fusarium* species are trichothecenes, which act  
69 on ribosomes to inhibit protein synthesis and cause direct damage to intestines upon ingestion  
70 (D'Mello *et al.*, 1999). Trichothecenes are sesquiterpenoid secondary metabolites (Lattanzio  
71 *et al.*, 2009) which can be divided into different classes based on chemistry and mode of action.  
72 Type A trichothecenes are the most toxic to animals, including T-2 and HT-2 (Paciolla *et al.*,  
73 2004). Type B trichothecenes include nivalenol (NIV) and deoxynivalenol (DON), of which  
74 the concentration in grain is limited by European law (Anon, 2006). Reports indicate that while  
75 mycotoxin levels do not commonly breach legal limits (Streit *et al.*, 2012; Marin *et al.*, 2013;  
76 Belakova *et al.*, 2014), different geographic areas are at a higher risk of approaching these  
77 limits than others under favourable weather conditions (Placinta *et al.*, 1999; Cui *et al.*, 2013).  
78 Different acetylated forms of DON and NIV, varying in their toxicity, phytotoxicity (Suzuki &  
79 Iwahashi, 2014) and geographical distribution (Gale *et al.*, 2011), are produced by different  
80 pathogen strains. FER of maize is typically caused by *Fusarium verticillioides* (formerly *F.*  
81 *moniliforme*). FER infection leads to the production of fumonisin mycotoxins, which are  
82 associated with toxicity syndromes in animals (Yazar & Omurtag, 2008). Control of FHB and  
83 FER diseases is important not only to prevent physical degradation of the crop and the  
84 associated losses in yield, but to prevent mycotoxins from accumulating in the grain and the  
85 economic losses associated with breaching safety limits.

## 86 **Overview of Fusarium disease epidemiology**

87 The most dominant species to cause FHB across temperate regions is *F. graminearum* sensu  
88 stricto which, along with *F. culmorum* and other species from the *F. graminearum* species  
89 complex, causes the accumulation of the mycotoxins deoxynivalenol (DON) and nivalenol  
90 (NIV) in infected grain. These species prevail in climates with mild to warm summer  
91 temperatures, alongside *F. avenaceum* and *F. poae*. Both pink and red ear rot diseases of maize  
92 (which will both be referred to as FER in this review), which are primarily caused by *F.*  
93 *verticillioides* and *F. graminearum* respectively, also prevail in warm climates (Munkvold,  
94 2003). Colder maritime climates, such as in Northern Europe, are more greatly affected by the  
95 non-toxicogenic *Microdochium nivale* and *M. majus* (Xu *et al.*, 2008; Nielsen *et al.*, 2011), and  
96 the T-2 and HT-2 toxin producers *F. sporotrichioides* and *F. langsethiae* (Fredlund *et al.*,  
97 2013). *F. poae* and *F. langsethiae* have been described as early season colonisers (Sturz &  
98 Johnston, 1985; Parikka *et al.*, 2012), that are capable of infecting hosts prior to anthesis (GS59,  
99 Zadoks *et al.*, 1974), possibly facilitating the later colonisation of cereal heads by other species  
100 such as *F. graminearum* and *F. culmorum*. In Asia and southern USA other species from the  
101 wider *F. graminearum* species complex, particularly *F. asiaticum*, are of more prevalent (Suga  
102 *et al.*, 2008; Qu *et al.*, 2008; van der Lee *et al.*, 2015).

103 In addition to FHB and FER, *Fusarium* spp. can also cause seedling blight and foot rot as part  
104 of the Fusarium disease complex on cereals, with different species prevailing in different  
105 geographical locations and on different host crops. Wheat is most susceptible to infections of  
106 FHB during mid-anthesis, GS65 (Miller, 1994) via ascospores or conidia conveyed directly  
107 onto the heads. *F. graminearum* infects wheat plants via the anthers, through stomata or at the  
108 base of the glume, then grows through the caryopsis, floral bracts to the rachis and into  
109 neighbouring spikelets (Bushnell *et al.*, 2003) with the mycotoxin DON produced early in the

110 colonisation process (Boenisch & Schaefer, 2011) which acts as a virulence factor, facilitating  
111 fungal progression through the spike (Jansen *et al.*, 2005). Inoculation experiments using *F.*  
112 *langsethiae* on oats also found that direct panicle-applied conidia produced FHB symptoms  
113 (Divon *et al.*, 2012), but it was also found that injection of spores into the boot achieved greater  
114 pathogen DNA at harvest than inoculation during flowering (Opoku *et al.*, 2013). The latter  
115 method of inoculation is unlikely to represent an infection mechanism that can be achieved in  
116 the field, although it supports the potential importance of wound sites for example through  
117 insect feeding on hosts tissues as a risk factor for increased success of these pathogens. Further  
118 support for the role of wound sites in infection of wheat with *F. langsethiae* comes from  
119 detached leaf assay experiments which showed that artificial wounds were necessary for *F.*  
120 *langsethiae* to cause lesions on leaf samples (Imathiu *et al.*, 2010). Recently it has been  
121 demonstrated that wounding of glumes enhanced infection of wheat by *F. langsethiae* and lead  
122 to increased symptom development and pathogen DNA accumulation compared to unwounded  
123 controls (Ajigboye *et al.*, 2016) Damage caused by arthropod feeding on host plants could  
124 potentially provide the wound sites required for colonisation by this otherwise weak pathogen  
125 of wheat; however this interaction has not been explored in the current literature.

126 Upon infection, *Fusarium* pathogens carry out a phase of biotrophy upon their host plants prior  
127 to switching to necrotrophy on tissues and crop residues (Goswami & Kistler, 2004) where  
128 infected material becomes a potential source of inoculum for the next crop in rotation. Figure  
129 1 shows the cycling processes of fungal inoculum types in small-grain cereals, and how each  
130 disease in the Fusarium disease complex provides inoculum for the next. *Fusarium* infected  
131 heads produce infected seed and can result in Fusarium seedling blight (FSB), conidia arising  
132 from FSB can give rise to Fusarium foot rot, and conidia at the stem bases can be moved via  
133 rain splash up to the ears via the canopy layers to initiate FHB. While FHB is considered a  
134 monocyclic disease (Fernando *et al.*, 1997; Kohl *et al.*, 2007; Landschoot *et al.*, 2011) evidence

135 suggests that multiple, and potentially distant, inoculum sources may contribute to the level of  
136 starting inoculum, as the population structure of *Fusarium* spp. in mature ears does not always  
137 reflect that in crop residues or soil (Landschoot *et al.*, 2011). In some cases in a Belgian survey  
138 of wheat fields, the *Fusarium* spp. population structure on ears was more similar to that on  
139 weeds, indicating that weeds are likely to be an important source of primary inoculum for some  
140 FHB outbreaks. Furthermore, this study also revealed that in one season (2008-9), in 50% of  
141 the locations *F. poae* was isolated wheat heads, but not found at the start of the season on any  
142 of the primary inoculum sources tested from the site. The increase in species diversity over the  
143 growing season indicates that inoculum can arrive throughout the season from distant sources  
144 either by wind or perhaps through insect dispersal.

145 The involvement of arthropods in the dispersal of inoculum in small grained cereal crops (Parry  
146 *et al.*, 1995) and maize (Munkvold, 2003) has been proposed previously although the exact  
147 role played by arthropods in *Fusarium* disease epidemiology is not well understood and only  
148 studied in a limited number of species-specific situations. For example, there are documented  
149 cases where insects and mites have been observed to transmit *Fusarium* inoculum between host  
150 plants (Kemp *et al.*, 1996; Sobek & Munkvold, 1999), and where the activity of pests is  
151 correlated with infection by *Fusarium* spp. (Mongrain *et al.*, 1997; Saladini *et al.*, 2008). A  
152 recent review (Gagkaeva *et al.*, 2014) focussed on the potential positive or negative  
153 interferences between arthropods and specific *Fusarium* species, with more aggressive  
154 pathogens being described as antagonists to arthropods and weaker pathogens offering  
155 potential symbiotic or commensal relationships, however the significance of these interactions  
156 on host susceptibility, FHB disease progress and/or mycotoxin accumulation were not  
157 explored. There are differences in the size and shape of conidia produced by *Fusarium* species;  
158 *F. verticillioides*, *F. poae* and *F. langsethiae* produce small, almost spherical microconidia,  
159 whereas *F. graminearum*, *F. culmorum*, *F. avenaceum* among others produce larger boat-



160 shaped septate macroconidia (Leslie & Summerell, 2006). The reduced size of the conidia  
161 produced by *F. verticillioides*, *F. poae* and *F. langsethiae* may make them more compatible for  
162 transportation by wind or arthropods to move greater distances between sources and host sites.  
163 This review aims to discuss arthropod interactions in the epidemiology of Fusarium disease in  
164 cereal crops, which encompasses both FHB in small grained cereals and FER in maize. The  
165 interactions studied include those with insects and mites acting as vectors of inoculum, causing  
166 damage and weakening of the host so as to increase the severity and infection opportunities of  
167 *Fusarium* species, and as potential feeders on both fungi and grains during post-harvest storage.  
168 The chemical ecology that governs host-arthropod interactions has also been studied in a  
169 number of these cases, and gives an indication for the role of the pathogen in altering insect-  
170 host relationships.

## 171 **Observational studies show correlation between FHB or FEB and** 172 **arthropods**

173 The majority of studies that link arthropod activity to FHB or FER diseases have documented  
174 observed correlations in their incidence, and those described are summarised in Table 1. The  
175 orange wheat blossom midge (OWBM), *Sitodiplosis mosellana*, has been investigated as a  
176 putative vector of FHB pathogens. Contaminated wheat crops in Canada were found to have  
177 midge infestations (Couture *et al.*, 1995), and a more extensive study of 14 field sites of  
178 different districts in Quebec showed a positive correlation ( $R = 0.67$ ,  $P = 0.001$ ) between the  
179 number of OWBM larvae per wheat spike and per spikelet with infection by *F. graminearum*,  
180 but not by other species of *Fusarium* (Mongrain *et al.*, 1997). However, the number of *F.*  
181 *graminearum* damaged grain was low, with mean values for each site ranging from 0 – 4%,  
182 despite midge incidence in spikes ranging from 2 – 98%. Study of OWBM physiology revealed  
183 the presence of structures that could carry conidia on adult females (Mongrain *et al.*, 2000).

184 These are features common to other groups within the Cecidomyiidae which can also feed on  
185 fungi (Borkent & Bissett, 1985). Despite the original hypothesis of vector activity by OWBM,  
186 there is no formal description in the literature of whether the correlation between OWBM and  
187 *Fusarium* spp. is due to transmission of the pathogen by the insects, increased host disease  
188 following damage from larval feeding, recruitment of insects to infected hosts so as to feed on  
189 the fungal material, or in fact whether there was any causation associated with the correlation  
190 at all.

191 In wheat, FHB severity has been associated with aphid infestation of host crops. Field trials in  
192 India measured the effect of insecticides on the incidence and severity of FHB. Insecticides  
193 were applied, targeting aphid populations; the number of aphids on treated plots was  
194 successfully reduced. In treated plots, FHB incidence and severity were also significantly  
195 reduced (Bagga, 2008) showing a correlation between aphid and FHB incidence.

196 A lot of attention has been paid to the interaction between Lepidoptera such as the European  
197 corn borer (ECB), *Ostrinia nubilalis*, and *F. verticillioides* infection of maize. Following  
198 chemical treatment of maize with the pyrethroid insecticide lambda-cyhalothrin at 0.02 kg.ha<sup>-1</sup>  
199 at 7 days after peak European corn borer (ECB) flight, a significant reduction of FER severity  
200 (29%) was observed. Following early sowing of maize in addition to insecticide treatment,  
201 severity was reduced by up to 67% (Blandino *et al.*, 2008). The consequence to the host of the  
202 association between ECB and *F. verticillioides* has been measured in terms of the mycotoxin  
203 levels amassed in grain. Field experiments were conducted over a 7-year period in Italy to test  
204 the use of two different pyrethroid insecticides, deltamethrin at 0.013 kg. ha<sup>-1</sup> and lambda-  
205 cyhalothrin at 0.019 kg. ha<sup>-1</sup>, in their effectiveness for controlling ECB and the effect on FER  
206 in maize (Saladini *et al.*, 2008). In one season where insecticide treatment failed to reduce ECB  
207 damage there was no reduction in FER either, showing that the insecticides have no direct  
208 effect on the disease. In the seasons with effective ECB control, the levels of the fumonisins

209 B<sub>1</sub> and B<sub>2</sub> were reduced on average by 75% through the use of insecticide. The infection  
210 process of *F. verticillioides* is greatly assisted by insect activity, and insecticides have been  
211 shown to be more effective than fungicides in reducing fumonisin levels (Blandino *et al.*,  
212 2009). Furthermore, *Bt*-maize which has lower insect damage has been shown to have lower  
213 mycotoxin levels (Bakan *et al.*, 2002; Bowers *et al.*, 2014). The mechanism appears to be a  
214 reduction in secondary infection when there is less insect damage because insect feeding  
215 damage can provide an entry point for disease. *Fusarium* fungi that make toxins such as  
216 fumonisin B enter through holes made by caterpillars in the cob or stem in non-GM maize.

217 The incidence of thrips, *Frankliniella occidentalis*, on maize ears has also been correlated with  
218 FER caused by *F. verticillioides*. Increased FER severity and fumonisin B<sub>1</sub> concentrations were  
219 found in field samples with increased thrips infestation in several sites in southern USA  
220 (Parsons and Munkvold, 2010; 2012). Fumonisin B<sub>1</sub> contamination was more strongly  
221 correlated with the number of thrips per ear (R = 0.89) than the amount of Lepidopteran feeding  
222 damage (R = 0.34). Visibly mouldy ears were also more strongly correlated with thrips  
223 frequency (R = 0.78) than with the frequency of Lepidopteran feeding damage (R = 0.37)  
224 (Parsons & Munkvold, 2012). Additionally, thrips have been implicated in the development of  
225 silk-cut symptoms in maize, and by doing so facilitated FER infection (Parsons & Munkvold,  
226 2010). These correlative studies of thrips show that Thysanoptera pose a taxonomically diverse  
227 threat to increased FER in maize in addition to that of Lepidoptera, and as such supports the  
228 argument for the control of insects in maize FER management strategies.

## 229 **Direct interactions: Arthropods as potential vectors of *Fusarium* inoculum**

230 Direct interactions between arthropods and FHB or FER pathogens potentially involve insects  
231 or mites vectoring fungal spores. There are few documented cases of insects or mites acting as  
232 vectors of FHB and FER pathogens, and in the cases that have been studied the nature of the

233 vector activity and the relative importance of the arthropod-pathogen association is far removed  
234 from the close-knit associations to insect vectors of pathogens such as viruses and  
235 phytoplasmas. In such cases, insect transmission is the primary dispersal mechanism, and the  
236 pathogens may benefit from propagative transmission whereby the pathogen replicates inside  
237 the vector. Although a number of studies have found increases in FER with insects (Attwater  
238 & Busch, 1983; Windels et al., 1976; Farrar & Davis, 1991; Darvas et al. 2011; Dowd 2004)  
239 they have not definitively shown that this is due to vector activity and not due to secondary  
240 infestation after insect damage or other preconditioning of the shared host plant. Incidences of  
241 transmission of *Fusarium* inoculum by arthropods reported thus far are restricted to the carriage  
242 of fungal material on the external surfaces of insects or mites, and therefore the carrying  
243 capacity of the vectors is determined by the availability of fungal material on the surfaces of  
244 host plants and the size and surface type of the arthropod bodies. This implies that the life cycle  
245 and timing of the arthropod involvement with the host plant relative to the infection process of  
246 the pathogen needs to be aligned for insect or mite transmission to be possible. That said, while  
247 control of relevant arthropod activity on high risk crops might offer only partial control of FHB  
248 or FER disease, any mitigation of the risk of breaching mycotoxin safety limits ought to be  
249 considered in FHB and FER management plans.

250 The association of ECB with FER in maize is well studied (Munkvold, 2003). ECB larvae are  
251 known to burrow into the stalks and ears of maize plants, causing large amounts of damage to  
252 the host tissues. The first generation of larvae make initial attacks on host plants, but the second  
253 generation are the most relevant in FER epidemiology as they emerge during ear development.  
254 Emerging larvae have been described to be able to act as vectors of *Fusarium verticillioides*  
255 inoculum, bringing conidia upwards from leaf surfaces to the developing ears and the site of  
256 ear infection (Sobek & Munkvold, 1999). However in glasshouse experiments in this study,  
257 larvae-free controls still became infected at a low incidence, so it could be argued that the insect

258 attack on the host merely increased the host susceptibility to the disease, leading to the  
259 increased incidence in plants treated with ECB larvae. It has not been suggested that these  
260 insects can introduce inoculum from distant sources, and as such ECB is only described as a  
261 vector on a local scale. From field trials conducted in the same study, larvae that were  
262 artificially coated with a strain of *F. verticillioides* and placed on leaf axils were able to transmit  
263 that strain to maize ears, which supports the hypothesis that the external surfaces of larval  
264 bodies are able to carry inoculum to susceptible tissues, although the acquisition of the  
265 inoculum has not yet been satisfactorily demonstrated.

266 In addition to ECB, several other insects have also been associated with the epidemiology of  
267 *F. verticillioides* in maize. These include western flower thrips, western bean cutworms  
268 (Bowers *et al.*, 2014), sap beetles and corn rootworm beetles; with sap beetles and rootworm  
269 beetles having been described to commonly carry *F. verticillioides* and *F. graminearum* spores  
270 (Munkvold, 2003). Furthermore, sap beetles were shown to be attracted to the volatile chemical  
271 emissions of maize plants infected with *F. verticillioides* (Bartelt & Wicklow, 1999; Munkvold,  
272 2003), indicating compatibility between potential insect vectors and infected hosts, thus  
273 revealing a possible mechanism for the recruitment of insects that may enhance the dispersal  
274 of *Fusarium* inoculum. Increased populations of both Lepidopteran stem borers and  
275 Coleopteran beetles were observed on maize infected with *F. verticillioides* compared to  
276 uninfected plots (Cardwell *et al.*, 2000), although the authors here note that this increased level  
277 of infestation may not be due to attraction of the insects but rather due to improved survival on  
278 the infected hosts.

279 In small-grained cereals, there are fewer reports of arthropods acting as vectors for *Fusarium*  
280 inoculum. One such report is that of *F. poae*, which similarly to *F. verticillioides* produces  
281 mostly microconidia (Leslie & Summerell, 2006). Mites, *Siteroptes avenae*, were shown to  
282 transmit *F. poae* inoculum. Mites were fed from cultures on agar plates placed in open petri

283 dishes between rows of wheat plants at ear emergence. Up to 6 symptomatic spikelets per ear  
284 were observed after 3 weeks (Kemp *et al.*, 1996). Light microscopy also revealed the presence  
285 sac-like structures on female mites concluded by the authors to be sporothecae containing *F.*  
286 *poae* microconidia. This study is limited in that the inoculum source was not from an infected  
287 host such as infected seedling leaves or a realistic reservoir of inoculum such as crop debris.  
288 Rather the inoculum was from a fungal colony, which presumably would be a much denser  
289 source of inoculum than on living or decaying plant material as would occur in the field, so  
290 does not demonstrate a realistic infection route in nature, although it demonstrates that mites  
291 have the carrying capacity to deliver inoculum to new hosts when sufficient inoculum can be  
292 acquired. *F. poae* infection of cereals is favoured by warm and dry environmental conditions,  
293 for which insect and mite activity is also favoured.

294 In an attempt to demonstrate the capacity of OWBM to carry *Fusarium* spores, midge samples  
295 collected from the field were washed and the washings plated onto antibiotic amended agar  
296 (*pers. comms.*, Ray, 2010). *Fusarium* spp. were successfully grown and identified to be *F.*  
297 *oxysporum*, *F. langsethiae* and *F. poae*. However, the success rate of transmission of this fungal  
298 material to new hosts was not examined, and while correlations of OWBM and FHB incidences  
299 have been reported (described above), evidence of insect transmission by OWBM is lacking.

## 300 **Fungivory**

301 The capacity of arthropods to alter the disease impact caused by *Fusarium* spp. after harvest  
302 has been investigated in several species-specific studies. Studies report fungivory of *Fusarium*  
303 species by insects and mites, for example by psocids, which are able to feed on *Fusarium poae*  
304 and *F. sporotrichiodes* (Mills *et al.*, 1992). Mites, *Tyrophagus putrescentiae*, are able to feed  
305 on several species of *Fusarium* reared on oatmeal agar and *F. poae*, *F. verticillioides*, *F.*  
306 *culmorum* and *F. avenaceum* from inoculated barley grain, but two other mite species *Acarus*

307 *siro* and *Lepidoglyphus destructor* experienced negative rates of growth on the *Fusarium*  
308 feeding substrates (Nesvorna *et al.*, 2012). In low abundances, *T. putrescentiae* have been  
309 reported to be able to transmit *F. poae* inoculum from fungal cultures to stored barley grain  
310 (Hubert *et al.*, 2014) as seen by the detection of *F. poae* operational taxonomic units in sampled  
311 DNA. When the pest pressure was increased, the fungus was considered to have been too  
312 heavily grazed by the mites to achieve inoculum transfer that could be detected by amplified  
313 cloning. DON levels were also raised in both pest pressure treatments, and although the authors  
314 cite this as evidence for fungal transmission, *F. poae* is not a known producer of DON (Thrane  
315 *et al.*, 2004) and so this increase is likely to be due to the increased activity of other toxigenic  
316 fungi in the grain as the substrate used was not autoclaved. While further work is required to  
317 determine if mites would be capable of transmitting the fungus from a more realistic inoculum  
318 source, i.e. from infected grain, these studies lend support for the need to control insect  
319 populations and grain residues that can act as inoculum reservoirs in grain storage sites, or risk  
320 contamination of grain with mycotoxin producing fungi such as *F. poae* and also potentially  
321 increasing the mycotoxin output by *Fusarium* spp. that infected grain prior to harvest.

322 Mycotoxins produced by toxigenic fungi on stored grains have been tested for toxicity on  
323 certain insect species (Magan *et al.*, 2003). Arthropods that are not harmed by or are able to  
324 tolerate the toxins are considered more compatible dispersal agents for the fungi and long-term  
325 herbivores of the storage products. DON and T-2 were found not to be toxic to the confused  
326 flour beetle, *Tribolium confusum* (Wright *et al.*, 1973). Mites *Tyrophagus putrescentiae* were  
327 also found to be able to feed on DON without harm (Hubert *et al.*, 2014) but previous studies  
328 showed them to be sensitive to T-2 and zearalenone (Rodriguez *et al.*, 1979). Screening  
329 common storage pests for toxin sensitivity may be a useful step in understanding the  
330 importance of pest pressures and mycotoxin contamination in stored grain.

### 331 **Indirect interactions between FHB or FER and arthropods**

332 Indirect interactions of arthropods and FHB or FER pathogens have consequences that are  
333 relevant to the disease process in such a way that is mediated by the host plant. In cases where  
334 dispersal of the fungus is not enhanced by arthropod activity, the effects of arthropod activity  
335 on the host can still increase the susceptibility of the host to the disease (Munkvold, 2003;  
336 Drakulic *et al.*, 2015). This can include damage allowing secondary fungal infection because  
337 wounded plant tissue is easier to enter, changes in volatile emissions from disease plants that  
338 alters arthropod behaviour, or pre-conditioning of the host plant by suppression of plant  
339 defence pathways.

#### 340 ***Host weakening by arthropod activity***

341 Synergy between the insect and fungal host attackers is thought to have a modest impact on *F.*  
342 *graminearum* epidemiology in maize (Munkvold, 2003). *F. graminearum* can infect maize  
343 systemically or through the silks and neither of these infection routes rely on insect  
344 involvement, but in addition to these routes the pathogen can enter the host through wound  
345 sites created by insect activity. The significance of this route in host acquisition of the pathogen  
346 varies depending on environmental and agronomic factors, but reduction in DON of up to 59%  
347 was recorded in *Bt*-maize hybrids which resist insect feeding, in comparison to non-transgenic  
348 hybrid plants (Schaafsma *et al.*, 2002; Munkvold, 2003). This shows that insect activity can  
349 promote *F. graminearum* infection and accumulation of DON in maize, although the  
350 circumstances under which insect involvement is most likely to impact on the disease has not  
351 been elucidated for *F. graminearum*. Insect wounding has also been linked to the increased  
352 prevalence of *F. verticillioides* in maize, with attention being drawn to *Helicoverpa zea*, the  
353 corn earworm (Dowd, 2000; Clements *et al.*, 2003) as populations appear to vary greatly in



354 sensitivity to *Bacillus thuringiensis*, and as such can continue to wound Bt maize hosts, leading  
355 to failure to control FER despite adequate ECB control.

356 The effect of aphid feeding on plant hosts in terms of consequences of disease has also been  
357 measured in terms of mycotoxin accumulation in the host. When aphids, *Rhodopsium padi*,  
358 were fed on wheat leaves whilst ears were inoculated with *F. graminearum* an increase in DON  
359 was observed in infected grain compared to aphid-free controls (Liu *et al.*, 2005). This implies  
360 that systemic changes to the host biochemistry are induced upon aphid feeding that leaves the  
361 host less able to withstand infection by the fungus. Furthermore, recent findings have examined  
362 the interaction between English grain aphids, *Sitobion avenae*, and *F. graminearum* on wheat  
363 and found that the combined effect of both plant attackers leads to increased disease severity  
364 and mycotoxin accumulation (Drakulic *et al.*, 2015). The outcome of the interactions between  
365 pest and pathogen in this case also differed depending on the specific timing of the interaction,  
366 with infestation of aphids in advance of fungal infection of the hosts bringing about a rise in  
367 the level of pathogen DNA at maturity compared to when pathogen infection preceded aphid  
368 infestation.

369 As described earlier, several other examples of correlations between insect incidence and FHB  
370 or FER severity have also been observed. One possible mechanism to explain the increase in  
371 disease severity and mycotoxin contamination in hosts with insect infestation is suppression of  
372 plant defence by insects. Basal resistance to FHB is thought to be mediated by the salicylic  
373 acid (SA) pathway (Makandar *et al.*, 2012). In contrast, if attack by insects on plant hosts  
374 upregulates the jasmonic acid (JA) pathway, which has negative crosstalk with SA-pathway  
375 (Bostock, 2005; Cipollini *et al.*, 2004) susceptibility to FHB could well be increased. A key  
376 factor that determines the outcome of the defence response by the host plant is the nature of  
377 the feeding behaviour of the arthropod attacker. ECB larvae are chewing insects that cause  
378 visible wounding to the host which upregulate JA- and wound-dependent plant defence

379 responses, whereas aphids that feed for a prolonged time on phloem sap cause minimal cellular  
380 damage and upregulate different defence pathways including SA- and JA/ethylene-dependent  
381 processes (Walling, 2000). Furthermore, insect-produced molecules can alter the host-defence  
382 response: chewing insects transfer salivary excretions to the host in the form of foregut  
383 regurgitants; aphids and related sap-feeding insects secrete both thick gelling sheath saliva and  
384 watery saliva around and through the stylet mouthparts (Dixon, 1973). This can introduce  
385 potential elicitors to the host that can upregulate plant defences, but also present the opportunity  
386 for insect-produced signalling molecules to be injected into the host plant so as to interfere with  
387 the host defence response. Aphids and other phloem feeders in particular have been described  
388 to produce effector molecules (Bos *et al.*, 2010) that deceive the host into disabling defence  
389 responses (Thompson & Goggin, 2006; Walling, 2008) leaving the host increasingly  
390 susceptible to secondary attack.

#### 391 ***Volatile chemical interactions between infected hosts and arthropods***

392 The frequency of host-mediated interactions between pests and pathogens can be influenced  
393 by the volatile chemistry of the host plants (Gagkaeva *et al.*, 2014). Infected hosts may emit  
394 different volatile chemicals into the environment than healthy hosts, and these chemical signals  
395 may be perceptible to proximal arthropods (Drakulic *et al.*, 2015). As a result of perceiving  
396 volatile chemicals, arthropods may alter their behaviour towards infected hosts and as a result  
397 alter the course of the disease (Mayer *et al.*, 2008). The study of the chemical ecology of  
398 species-specific interactions is one way to identify potentially important relationships between  
399 insect herbivores and FHB or FER pathogens.

400 The behaviour of the cereal leaf beetle, *Oulema melanopus*, is influenced by volatile chemical  
401 emissions from maize plants inoculated with a mixture of four *Fusarium* species: *F.*  
402 *avenaceum*, *F. culmorum*, *F. graminearum* and *F. oxysporum* (Piesik *et al.*, 2011). An array of  
403 green leaf volatiles, terpenes and shikimic acid pathway-derived volatiles were identified as

404 being raised in infected maize emissions above that of controls. Four chemicals, ((Z)-3-hexenyl  
405 acetate, (Z)-3-hexenal, linalool and  $\beta$ -caryophyllene) were bioassayed individually for cereal  
406 leaf beetle behavioural responses, and significant attraction of *O. melanopus* towards all tested  
407 chemicals was observed at specific doses. Similar experiments that used wheat and barley  
408 instead of maize, a reduced inoculum mix that omitted *F. oxysporum*, and the related cereal  
409 beetle, *O. cyanella*, showed that the beetles were attracted to certain volatile chemicals ((Z)-3-  
410 hexenyl acetate and (Z)-3-hexenal) at lower doses but repelled by those chemicals and others  
411 ((Z)- $\beta$ -ocimene and linalool) at high doses (Piesik *et al.*, 2013). This work is limited in that the  
412 nature of the leaf beetle responses to volatiles induced by different pathogens is not compared  
413 to the disease development in infected hosts with and without herbivory, and therefore it cannot  
414 be concluded as to the impact altered herbivore attraction would have on disease progression.  
415 However this work does show that the severity of infection and the corresponding changes in  
416 the level of volatile chemical emissions could have different influences over herbivore  
417 behaviour.

418 The chemical ecology of the tripartite interactions between *F. graminearum*, wheat and grain  
419 aphids *Sitobion avenae* was studied alongside analysis of the impacts of aphid activity on the  
420 disease and vice versa (Drakulic *et al.*, 2015). It was shown that grain aphids were repelled by  
421 the volatile chemical emissions of *F. graminearum* infected wheat ears, and that aphids fed on  
422 infected hosts had an elevated rate of mortality. It was concluded that avoidance of volatiles  
423 indicative of *F. graminearum* infection was likely to be a behavioural adaptation by aphids to  
424 evade an inhospitable environment. Prior aphid colonisation of the host was shown to increase  
425 pathogen DNA and mycotoxin accumulation, so this work revealed that insects relevant to  
426 disease processes do not necessarily need to be attracted to the infected host to impact upon the  
427 disease, as appears to occur in FER (Cardwell *et al.*, 2000; Schulthess *et al.*, 2002). Moreover,

428 the work of Drakulic *et al.* (2015) demonstrates that timing is critical in determining the  
429 outcomes of volatile organic chemical (VOC) interactions with insect pests.

430 The behaviour of the meal beetle *Tenebrio molitor* towards grain infected with different  
431 *Fusarium* species has been assessed on wheat grain, in addition to beetle survival when feeding  
432 on the infected grain (Guo *et al.*, 2014). Beetles were attracted to grain infected with *F.*  
433 *culmorum*, *F. poae* or *F. proliferatum*, but repelled by grain infected with *F. avenaceum*. In  
434 accordance, survival rates were similar to controls for *F. proliferatum* or *F. poae*-infected grain,  
435 but infection by *F. avenaceum* or *F. culmorum* lead to increased mortality. This study revealed  
436 three different relationships between a single insect species and several related fungi. Meal  
437 beetles were not harmed by *F. poae* and *F. proliferatum* and were attracted to infected hosts,  
438 potentially increasing dispersal of the fungus or increasing the mechanical and biological  
439 damage to grains infected with those species, thus facilitating further infection of the hosts or  
440 changes in fungal metabolism as a response. Conversely, the beetles avoided grain infected  
441 with *F. avenaceum*, so the insects are observed to avoid the damaging environment by  
442 interpreting volatile chemical cues produced by the infected grain. Finally, the beetles were  
443 attracted to *F. culmorum* infected grains, despite this environment being detrimental to the  
444 survivorship of the insects, which could be interpreted as manipulation of the insect by the  
445 pathogen: while the pathogen could benefit from the insect activity, feeding on hosts infected  
446 with this pathogen would negatively impact on the meal beetle population. Why this relatively  
447 aggressive pathogen bucks the trend is not addressed in this work, although as *F. avenaceum*  
448 produces beauvericin, whereas *F. culmorum* does not, it could be the case that the different  
449 mycotoxin contributions of the pathogen species is one factor that plays a role in differentiating  
450 the response of insects to infected host volatiles.

451 Species-specific interactions between *Fusarium* pathogens and the rice weevil, *Sitophilus*  
452 *oryzae*, have been observed (Selitskaya *et al.*, 2014). Interestingly, weevils responded

453 differently to the VOC produced by fungal colonies on agar plates versus infected wheat grain  
454 in some incidences, implying that there are host-dependent differences in VOC output from  
455 pathogens, so that the same pathogen could produce different volatiles on different host species  
456 infected. Moreover, this work highlighted different responses of weevils to volatiles of grain  
457 infected with species differing in pathogenicity to the host plant. Weaker pathogens *F. poae*  
458 and *F. langsethiae* were attractive to the weevils whereas volatiles from grain infected with *F.*  
459 *graminearum* and *F. culmorum* were repellent. A study has identified the VOC produced by *F.*  
460 *poae* on inoculated wheat grain, showing differences in abundances of chemical groups  
461 between two and five days after inoculation (Precisse *et al.*, 2006). Some chemicals identified  
462 were known to be associated with infections caused by other fungal pathogens, including ethyl  
463 acetate which has been associated with *F. culmorum* infection, but others, such as 2,4-  
464 Dimethylepten, were considered to be indicative specifically of *F. poae* contamination. Further  
465 to this, several carbonyl-possessing chemicals were shown to be suppressed from grain  
466 emissions following *F. poae* infection, including 2-butanone, 3-methylbutanal and 2-  
467 heptanone. This work shows that pathogen-specific changes in VOC emissions from stored  
468 grain could potentially be used to identify early infection of grain and to determine which  
469 pathogens are likely to be present, and therefore what arthropod activity would be expected to  
470 increase risk of mycotoxin contamination.

## 471 **Conclusions**

472 This review explores how arthropods may interact with the processes of FHB or FER by  
473 drawing together and appraising current knowledge of *Fusarium*-arthropod interactions. In  
474 doing this we have identified some important knowledge gaps that merit attention in future  
475 studies. Firstly, there is the need for more investigation into insect dispersal of inoculum from  
476 natural sources instead of colonies. Secondly, there is a need for identification of the molecular

477 mechanisms that mediate enhanced host susceptibility to FHB or FER disease following  
478 arthropod herbivory. Finally, the role of mycotoxins in mediating arthropod behaviour through  
479 host volatile chemistry needs to be clarified. The potential for interaction between Fusarium  
480 diseases and arthropods has generally received less attention than other aspects of the disease  
481 epidemiology, but this means it is an exciting new area of science. Little work has been done  
482 to evaluate the potential role of arthropod involvement in Fusarium disease epidemiology while  
483 host plants are growing in the vegetative stage. However, upon the production of reproductive  
484 organs, and the beginning of the period of host susceptibility to FHB or FER, arthropod activity  
485 has been observed to have varying degrees of impact on the disease depending on the  
486 combination of species of host, arthropod and pathogen involved.

487 The most thoroughly studied system is that of maize, FER caused by *F. verticillioides* and the  
488 activity of the European corn borer (*Ostrinia nubilalis*), which has been accepted to act as a  
489 vector for the fungus, despite somewhat limited direct evidence (Sobek & Munkvold, 1999;  
490 Cardwell *et al.*, 2000; Darvas *et al.*, 2011), and provides wound sites to the host that leads to  
491 an increase in disease symptoms and in the levels of the fumonisin mycotoxins (Munkvold  
492 2003; Saladini *et al.*, 2008; Blandino *et al.*, 2009). Very few studies have investigated the  
493 interaction of arthropods on FHB epidemiology in small-grained cereals, although from the  
494 limited amount information available it appears that *F. graminearum* infection can be promoted  
495 by aphid infestation without acting as a vector for the pathogen (Bagga, 2008; Drakulic *et al.*,  
496 2015). The activity of thrips and mites have also been correlated with increased disease severity  
497 in a range of hosts and, along with sap and flour beetles, demonstrated to be capable of altering  
498 the disease process in cereal plants, with no definitive evidence to suggest their activity as  
499 vectors between infected host plants either (Parsons & Munkvold, 2010; Piesik *et al.*, 2011).  
500 This small amount of research supports the hypothesis that insect and mite activity can impact

501 the progress of FHB disease in such a way as to increase the host's susceptibility, and therefore  
502 to increase yield and grain quality losses and increased mycotoxin accumulation.

503 The role of host volatiles in mediating the interactions between *Fusarium* pathogens and  
504 arthropod herbivores appears to vary between systems. If pathogen species-specific  
505 compounds can be identified, screening crops early in the growth season with devices such as  
506 electronic noses might provide an early warning to allow timely application of fungicide  
507 treatments when needed. Furthermore, gaining knowledge of field and storage arthropod pest  
508 species that respond to infected host volatiles would be beneficial for informing pest  
509 monitoring and management strategies of the associated risks. Control of FHB and FER may  
510 be improved by using combinations of fungicides and insecticides at important time periods in  
511 the disease cycle, and the importance of appropriate storage environments for cereal products  
512 has been highlighted by the potentially damaging interactions that can occur between toxigenic  
513 *Fusarium* fungi and arthropods in stored grain.

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## 786 **Figure Legends**

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788 **Fig. 1.** Sources of Fusarium head blight inoculum and the factors that promote dispersal of  
789 different spore types. Dashed lines indicate unconfirmed processes.

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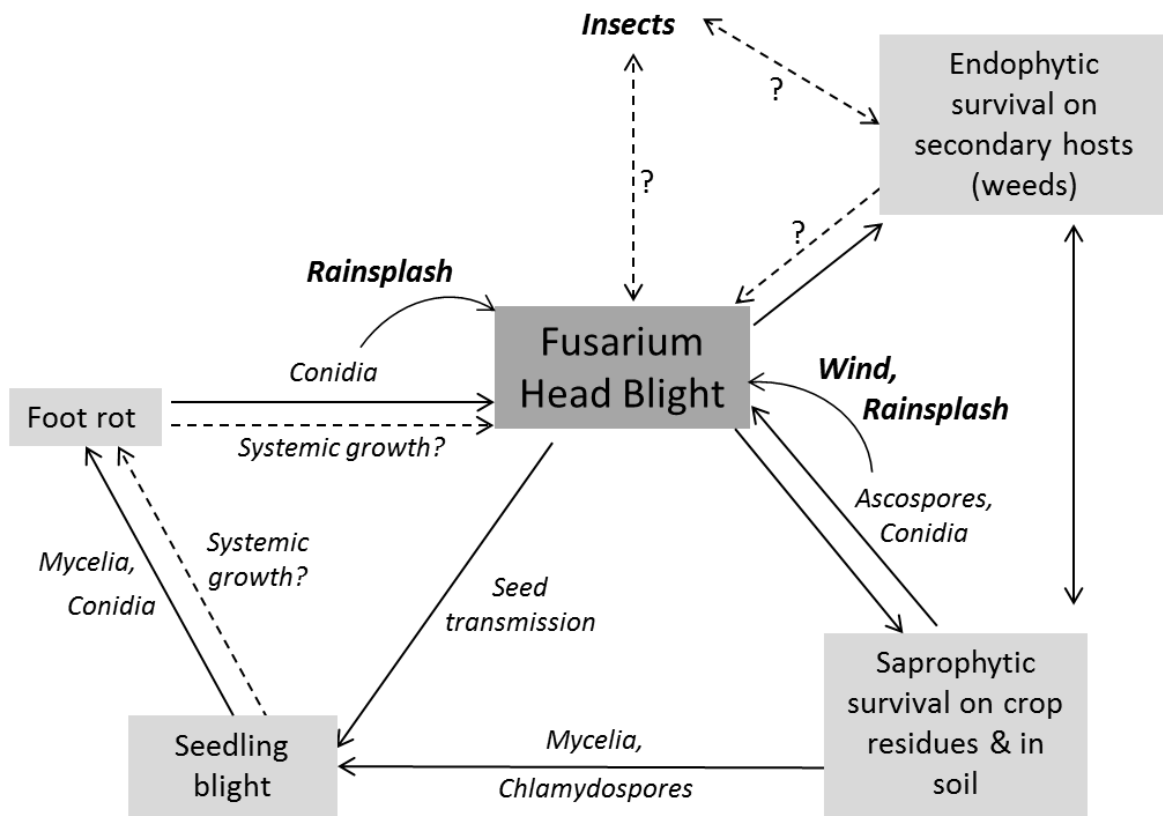
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801 **Fig. 1.** Sources of *Fusarium* head blight inoculum and the factors that promote dispersal of  
 802 different spore types. Dashed lines indicate unconfirmed processes.



803 **Table 1:** Summary of studies observing correlations between insect activity and Fusarium disease incidence, severity and mycotoxin levels. FHB  
 804 – Fusarium head blight; FER – Fusarium ear rot; FB<sub>1</sub> & FB<sub>2</sub> – fumonisin B<sub>1</sub> & B<sub>2</sub>

Insect(s)	Crop	Pathogen(s)	Country	Finding	Citation
<i>Sitodiplosis mosellana</i> Orange wheat blossom midge (OWBM)	Wheat	<i>F. graminearum</i>	Canada	Number of OWBM larvae per spike and per spikelet positively correlated with <i>F. graminearum</i> seed contamination (R = 0.67).	Mongrain <i>et al.</i> , 1997
<i>Sitobion avenae</i> English grain aphid	Wheat	<i>F. graminearum</i>	India	Monocrotophos (0.1%) insecticide at booting and heading or only at heading reduced aphid population by 80% and FHB incidence and severity by 21% and 30% respectively.	Bagga, 2008
<i>Ostrinia nubilalis</i> European corn borer (ECB)	Maize	<i>F. verticillioides</i>	Italy	ECB damage 23% greater in late sown maize, and early sowing reduced FHB incidence and severity by 25% and 49%. Early sowing with insecticides (deltamethrin @ 0.012 kg AI ha <sup>-1</sup> ) which reduced ECB reduced FB <sub>1</sub> + FB <sub>2</sub> by 79%.	Blandino <i>et al.</i> , 2008
“	“	“	Italy	Insecticides (deltamethrin @ 0.013 kg AI ha <sup>-1</sup> or lambda-cyhalothrin @ 0.019 kg AI ha <sup>-1</sup> ) reduced ECB severity and reduced FB <sub>1</sub> + FB <sub>2</sub> by 75%, FER incidence by 51% and severity by 68%.	Saladini <i>et al.</i> , 2008
<i>Ostrinia nubilalis</i> & <i>Sesamia inferens</i> Pink stem borer	Bt- maize	<i>F. verticillioides</i> <i>F. proliferatum</i>	France & Spain	Bt-maize grain had up to 18 times lower fungal biomass and up to 30 times lower FB <sub>1</sub> than grain from near-isogenic traditional maize hybrids.	Bakan <i>et al.</i> , 2002
<i>Frankinella</i> <i>occidentalis</i> Western flower thrips	Maize	<i>F. verticillioides</i>	USA	Insecticides (lambda cyhalothrin & dimethoate @ 0.035 & 0.56 kg AI ha <sup>-1</sup> ) reduced thrips infestation as well as silk-cut symptoms, FER and FB <sub>1</sub> in field trials. Intra-ear immature thrips were more strongly correlated with FB <sub>1</sub> (R = 0.53) than mature thrips (R = 0.36).	Parsons & Munkvold, 2010
“	“	“	USA	Intra-ear thrips infestation correlated with mould symptoms (R = 0.78) and FB <sub>1</sub> (R = 0.83).	Parsons & Munkvold, 2012

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