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## In-vitro investigation of the hemodynamic responses of the cerebral, coronary and renal circulations with a rotary blood pump installed in the descending aorta

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### Abstract

This study investigates the hemodynamic responses of the cardiovascular system when a rotary blood pump is operating in the descending aorta, with a focus on the cerebral, coronary and renal autoregulation, using our in-house cardiovascular emulator. Several improvements have been made from our previous studies. A novel coronary system was developed to replicate the native coronary perfusion. Three pinch valves actuated by stepper motors were used to simulate the regional autoregulation systems of the native cerebral, coronary and renal circulations. A rotary pump was installed in the descending aorta, in series with the heart, and the hemodynamic responses of the cardiovascular system were investigated with a focus on cerebral, coronary and renal circulation over a wide range of pump rotor speeds. Experiments were performed twice, once with the autoregulation systems active and once with the autoregulation systems inactive, to reflect that there will be some impairment of autoregulatory systems in a patient with heart failure. It was shown that by increasing the rotor speed to 3000 rpm, the cardiac output was improved from 2.9 to 4.1 L/min as a result of an afterload reduction induced by the pressure drop upstream of the pump. The magnitudes of changes in perfusion in the cerebral, coronary and renal circulations were recorded with regional autoregulation systems active and inactive.

*Keywords:* Cardiovascular simulator, Blood pump, MCS, RBP, VAD

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## Nomenclature

### Subscripts

ao	aortic
dia	diastolic
mean	mean
pp	pulse pressure
sys	systolic

### Abbreviations and Acronyms

AoP	aortic pressure
AV	aortic valve
C	compliance
CHF	congestive heart failure
CeF	cerebral flow rate
CeP	cerebral pressure
CO	cardiac output
CoF	coronary flow rate
CoP	coronary pressure
CVR	cerebrovascular resistance
DA	descending aorta
F	flow-meter
LA	left atrium
LV	left ventricle
LM	linear motor
LVP	left ventricular pressure
MCS	mechanical circulatory support
MV	mitral valve
P	pressure
PV	pulmonic valve
Q	flow rate
RBP	rotary blood pump
RA	right atrium
ReP	renal pressure
ReF	renal flow rate

RV	right ventricle
RVP	right ventricle pressure
SCVL	simulator of cardiovascular loops
SyF	systemic flow
TV	tricuspid valve

## 1. Introduction

The number of deaths caused by Heart Failure (HF) has decreased during the past decade in developed countries, yet HF is still the leading cause of deaths in the world. In the United States, in a ten year period from 2001 to 2011, death rates attributable to HF and the actual number of HF deaths declined by 30.8% and 15.5% per year respectively, yet in 2011 HF still accounted for 31.3% of all deaths [1]. Despite all available therapies to this problem, heart transplant is the main option for end-stage HF patients. However, with a limited number of heart donors available annually (2500 for USA, 1400 Europe and 300 other countries [2, 3]) the rate of mortality remains very high for patients on and off the waiting list.

As a result, Rotary Blood Pumps (RBP) have become vital for end-stage HF patients as a bridge to transplantation or destination therapy [4, 5]. One of the challenges with the traditional RBPs is their highly invasive implantation procedure which makes many elderly and ill patients ineligible for the surgery. This has encouraged many researchers to investigate new approaches with potential for minimally invasive surgery [6, 7].

Transaortic or in-series miniature RBPs, distant from the heart, are one minimally invasive solution [8–11]. The implantation of a RBP in the Descending Aorta (DA), in series with the heart, has been of growing interest among various groups [6, 8, 12–15]. It was reported that the insertion of an RBP device in the descending aorta leads to an improved cardiac output, yet there is a question related to the impact of the pressure drop generated upstream of the pump on blood perfusion in the upper extremities, particularly the brain and heart [6, 12, 13, 16]. In addition, there is a concern associated with the effect of the pressure rise downstream of the pump on lower extremities, particularly the kidneys [17].

The regional autoregulation systems, which maintain a constant flow rate to vital organs during changing local perfusion pressure, are present in many organs of the native cardiovascular system, however they are most pronounced in the heart, brain and kidneys [18]. The cerebral autoregulation is a vital homeostatic mechanism to maintain the blood supply to the brain in the event of changing perfusion pressure. For a healthy person, the cerebral circulation is autoregulated within wide limits of mean aortic pressure from 60 to 120 mmHg [19, 20]. The coronary circulation maintains the blood supply to the heart and is autoregulated within 45 to 130 mmHg in a healthy person [21]. The renal autoregulation has been extensively investigated

28 in prior studies [22, 23]. In a native human body the renal blood supply is relatively constant when the  
29 mean arterial pressure varies between 90 and 180 mmHg [22]. It must be noted that various pathological  
30 conditions, including hypertension, hypotension and a change in arterial CO<sub>2</sub> level can alter the upper and  
31 lower limits of the autoregulated region [24].

32 The aim of this study is to investigate the hemodynamic responses of the cardiovascular system when a  
33 rotary pump is operating in the descending aorta with a focus on the cerebral, coronary and renal circulation.  
34 Since the regional autoregulation can be impaired in heart failure patients, the hemodynamic response is  
35 investigated with intact and impaired regional autoregulation. An expected outcome is to estimate what  
36 level of support is feasible while avoiding the previously mentioned risk of drops in perfusion to the coronary  
37 and cerebral circulations.

38 The objectives of this study are met using our in-house multi-chamber Simulator of Cardio-Vascular  
39 Loops (SCVL). Cardiovascular simulators offer a more controlled and inexpensive platform to evaluate the  
40 performance of existing blood-contacting devices as well as new medical concepts, prior to in-vivo studies.  
41 In recent years, much progress has been made in the design and development of cardiovascular simulators  
42 with close similarity to a native system for research and training [25–28].

43 In the present study, several improvements have been made from our previous studies [6, 12, 13, 29]. The  
44 coronary perfusion mechanism which causes the heart to be perfused only during diastole was implemented  
45 using a solenoid valve. In addition, the coronary and renal autoregulation circulations, similar to the cerebral  
46 autoregulation mechanism presented in our previous study [29], were integrated into the SCVL system, with  
47 autoregulation limits determined from the clinical data.

## 48 **2. Methodology**

49 The native cardiovascular system of an adult human was emulated using our in-house SCVL system, as  
50 shown in the schematic digram of Figure 1.

51 Four elastic rubber chambers were used to model the native heart chambers. The left and right ventricles  
52 (LV and RV) had a volume of 100 mL and the left and right atrium (LA and RA) had a volume of 50 mL.  
53 Four linear motors (P01-37×120 from LinMot, Spreitenbach, Switzerland) were employed to simulate the  
54 contraction and dilation of the ventricle and atrium chambers. Two trajectory time-varying functions  
55 extracted from the real time left ventricle and left atrium volume, as described in our previous study [29],  
56 were employed to actuate the four linear motors. Figure 2 shows the simultaneous graphs of trajectory  
57 time-varying functions of the ventricles and atria for an intact heart. Each function can be scaled up or  
58 down in order to replicate various physiological and pathological conditions.

59 Four prosthetic heart valves (Medtronic, Minneapolis, Minnesota, USA) modelling the aortic ,mitral,  
60 pulmonary and tricuspid valves were used to ensure unidirectional flow in the vicinity of each chamber.

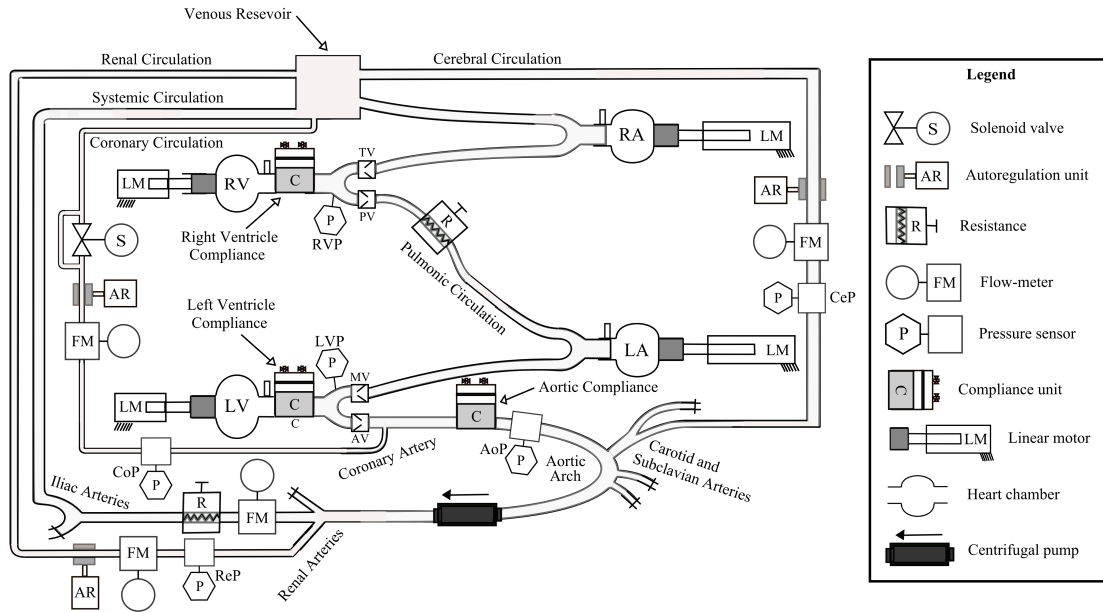


Figure 1: Schematic diagram of the SCVL system with the coronary, cerebral and renal autoregulation units and an RBP device in the descending aorta.

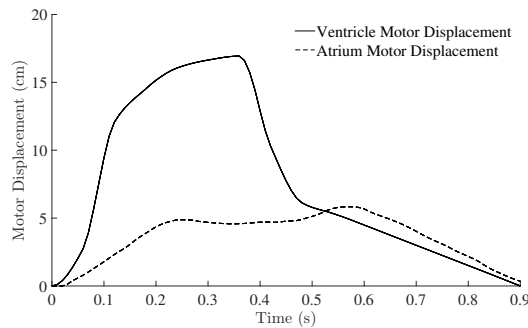


Figure 2: The predefined time-varying trajectory functions of the ventricles and atria, adopted from the study conducted by Rezaienia et al. [29]

61 The systemic and pulmonary circulations are replicated using 24 mm diameter rubber tubing, while smaller  
 62 arteries are replicated using 12 mm diameter rubber tubing. A blood analog solution comprising of 65 wt%  
 63 water and 35 wt% glycerol was used as the working fluid, as in the study conducted by Pantalos et al. [27].

64 Five pressure transducers (PMP 5074, accuracy  $\pm 0.1$  FS BSL) from General Electric, Billerica, MA,  
 65 USA were used to simultaneously measure the Left Ventricle Pressure (LVP), Aortic Pressure (AoP), Right  
 66 Ventricle Pressure (RVP), Cerebral Pressure (CeP) and Renal Pressure (ReP). The Coronary Pressure (CoP)  
 67 was defined as equal to the AoP.

68 A number of Hoffman clips were used to manually control the systemic and pulmonary resistance level to  
 69 allow tuning of the SCVL system. Three electromagnetic flow-meters (SITRANS F M MAG 1100 F, accuracy

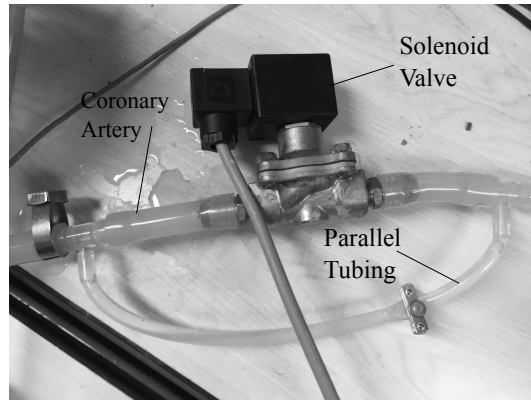


Figure 3: Photograph of the coronary perfusion mechanism incorporating a parallel configuration of a solenoid valve and tubing.

0.4%  $\pm$  1.0 mm/s) from Siemens, Munich, Germany) were employed to measure the Cerebral Flow (CeF),  
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A parallel configuration of a solenoid valve and narrow tubing was used to model the coronary perfusion  
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Three autoregulation units were attached to the carotid, coronary and renal arteries, as shown in Figure 1.  
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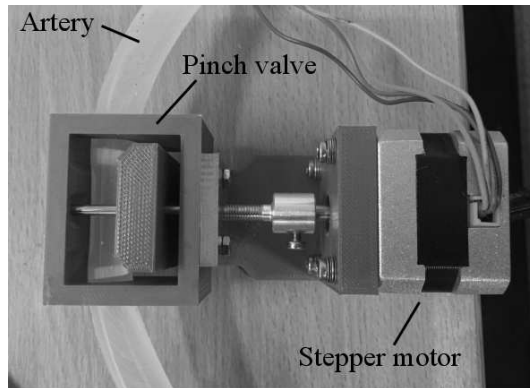


Figure 4: Photograph of the autoregulation unit using the stepper motor.

### 3. Results

#### 3.1. SCVL Performance

A healthy condition and a HF condition were replicated in order to evaluate the efficacy of SCVL system. For the healthy condition, the four linear motors were operating in full scale according to the trajectory functions shown previously in Figure 2. Modelling of the HF condition is more difficult, since in this condition the heart's chambers deform, the LV dilates and mechanism of contraction changes. For this study, the HF condition was reproduced by decreasing the minimum displacement of the LV linear motor, replicating an increased diastolic LV volume, and decreasing the maximum displacement of the LV linear motor, replicating a reduced LV pumping ability. The LV dilation was modeled by integrating a compliance unit upstream of the aortic valve, as shown in Figure 1, and increasing its compliance. In addition, the vascular distensibility was decreased by reducing the compliance level to simulate a stiffer vascular system and the systemic resistance was increased slightly.

The SCVL was tuned so that the pressure and flow rate in healthy and HF conditions matched the corresponding clinical data extracted from suitable clinical publications [30, 32–34].

Figures 5(a,b) show the experimental AoP, LVP and RVP for the healthy and HF conditions respectively. The measured AoP, LVP and RVP waveforms for the healthy condition are 120/82, 120/5 and 30/5 mmHg respectively. The measured AoP, LVP and RVP waveforms for the HF condition are 107/74, 107/25 and 48/25 mmHg respectively. It is evident that the dicrotic notch (incisura), occurring due to a slight back-flow into the native left ventricle, has been reflected clearly on the descending limb of the simulated AoP waveform. The small pressure bump appearing just before the ascending limb of the LVP waveform is due to the systolic contraction of the atrium as occurs in the native system [30].

The CO for the healthy and HF conditions were recorded as 5.1 L/min and 2.9 L/min and the  $AoP_{mean}$  for the healthy and HF condition are 95 and 85 mmHg, respectively. The SCVL correctly simulates the main physiological features of the pressure waveforms in good agreement with clinical data [30, 32–34]. A

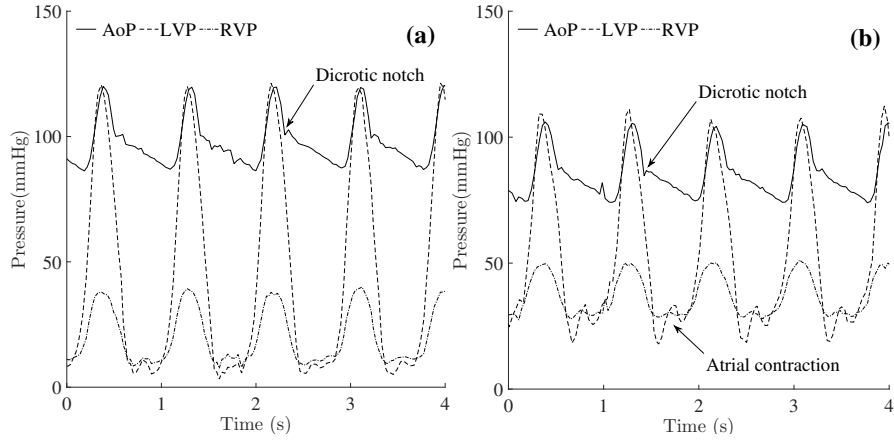


Figure 5: (a) The experimental AoP, LVP and RVP waveforms for the healthy condition. (b) The experimental AoP, LVP and RVP waveforms for the heart failure condition.

115 smooth waveform is obtained without any signal filtering because the valve closing pressure spikes often  
 116 present in cardiovascular simulators [35] are dampened by the compliance units.

### 117 3.2. Coronary Perfusion Mechanism

118 Figures 6(a,b) show AoP and CoF with the SCVL operating in the healthy condition. During the systolic  
 119 phase CoF drops to 50 ml/min and during the diastolic phase it rises to a maximum of 400 ml/min. This  
 120 is in agreement with the observed coronary perfusion in a native system [21, 30].

### 121 3.3. Autoregulation Units

122 The performance of the cerebral, coronary and renal autoregulation units are evaluated by applying a  
 123 number of stepwise pressure reductions in the systemic artery and subsequently recording the steady state  
 124  $CeF_{mean}$ ,  $CoF_{mean}$  and  $ReF_{mean}$ . For this study, the performance of only the cerebral autoregulation unit

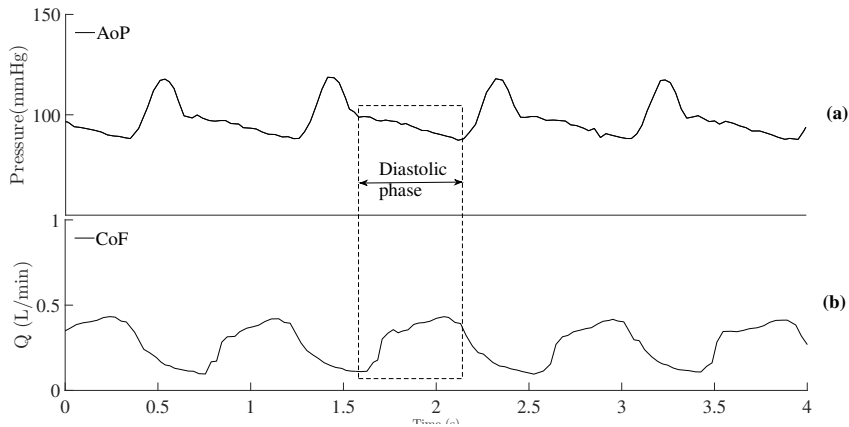


Figure 6: (a) The experimental AoP waveforms for the healthy condition. (b) The experimental CoF waveform for the healthy condition.



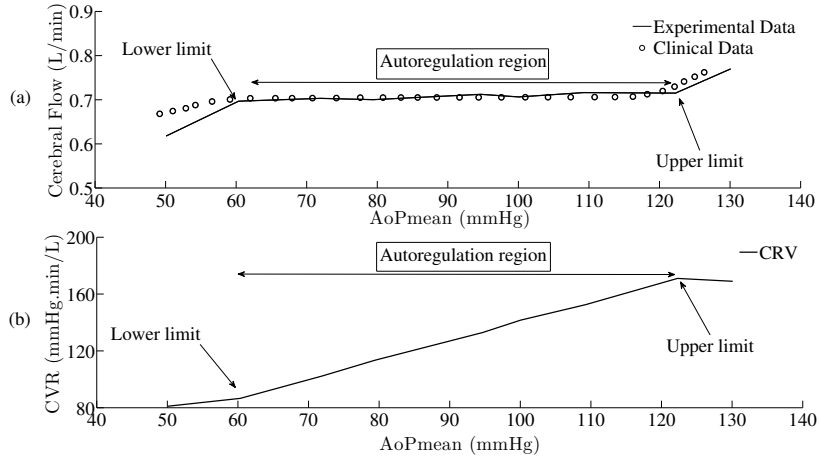


Figure 7: The performance of the cerebral autoregulation unit from 60 to 120 mmHg, adopted from the study conducted by Rezaenia et al. [29]. (a) Cerebral flow in the SCVL compared with clinical data [24] and (b) the CVR from the same experiment.

125 is presented on the basis that the other two units have the same functionality but different autoregulated  
 126 ranges.

127 Initially, the  $AoP_{mean}$  was set at 125 mmHg. In systemic resistance incremental reductions were intro-  
 128 duced into the SCVL system. For each step the  $AoP_{mean}$  and  $CeF_{mean}$  were recorded after ten seconds to  
 129 ensure that the transient flow was settled. The step period on the stepper motor was adjusted to ensure the  
 130 autoregulation response is typically around five seconds as observed in the clinical study [36], although this  
 131 varies slightly depending on the magnitude of the pressure change.

132 Figure 7 shows the cerebral autoregulation pressure-flow curve and the corresponding CerebroVascular  
 133 Resistance (CVR). As shown in Figure 7(a), the autoregulated  $CeF_{mean}$  is set at 0.71 L/min, as measured in  
 134 the clinical study conducted by Ford et al. [37] for a normotensive human. It is evident that within the au-  
 135 toregulated region, 60-120 mmHg, the cerebral flow remains unchanged. However, outside the autoregulated  
 136 region the  $CeF_{mean}$  level is proportional to the  $AoP_{mean}$  level, with the autoregulated artery at a maximum  
 137 dilation or a maximum contraction. Figure 7(b) shows that as the  $AoP_{mean}$  level gradually decreases, the  
 138 CVR decreases to ensure that the cerebral flow remains unchanged within the autoregulated region.

139 *3.4. Integration of a Rotary Pump in the Descending Aorta*

140 A HF condition was reproduced in which the  $AoP_{\text{mean}}$  and the CO are 85 mmHg and 2.9 L/min re-  
 141 spectively. A rotary pump simulating a RBP device was implemented in the descending aorta above the  
 142 renal arteries, in series with the heart, as shown in Figure 1. The pump is an in-house bench-top centrifugal  
 143 pump with the hydrodynamic characteristics of 70 mmHg against 5 L/min at 3000 rpm. Five experiments  
 144 were conducted in which the hemodynamic responses of pressures AoP, LVP, CoP, CeP and ReP as well as  
 145 flow rates SyF, CeF, CoF, ReF and CO were recorded with the pump operating over rotor speeds from 0  
 146 to 4000 rpm in increments of 1000 rpm. This was repeated with the regional autoregulation systems active  
 147 and inactive. The results are summarized in Table 1 and Table 2.

148 There is an improvement in CO whether or not the regional autoregulation system is active. At 3000 rpm  
 149 the CO has increased by 42%. Since there is a large resistance downstream of the pump, the pressure drop  
 150 upstream of the pump in the aortic arch (see CeP and CoP) is relatively small (-13% at 3000 rpm with  
 151 autoregulation inactive) compared to the pressure rise downstream of the pump (see ReP) which is far larger  
 152 (+106% at 3000 rpm with autoregulation inactive).

153 Figure 8 shows the ReP and CeP waveforms as the pump accelerated to 3000 rpm, at which point a  
 154 pressure rise of 82 mmHg is recorded across the pump. The dashed line represents the measured ReP  
 155 waveform downstream of the pump and the solid line represents the CeP waveforms upstream of the pump.  
 156 At  $T = 3$  s, when the pump is switched on, the CeP gradually decreases over the course of ten seconds  
 157 until  $CeP_{\text{mean}}$  reaches a steady level of 88 mmHg. In contrast,  $ReP_{\text{mean}}$  is increased from 80 mmHg for  
 158 HF condition until it reaches the steady level of 170 mmHg. It is also shown that upon insertion of the  
 159 pump, the cerebral pulse pressure ( $CeP_{\text{pp}}$ ), and renal pulse pressure ( $ReP_{\text{pp}}$ ), determined by subtracting

Table 1: SCVL Hemodynamic characteristics with active regional autoregulation systems for the healthy and HF conditions with an MCS device in the DA operating from 0 to 4000 rpm.

Condition	Healthy, 0 rpm	HF, 0 rpm	HF, 1000 rpm	HF, 2000 rpm	HF, 3000 rpm	HF, 4000 rpm	Units
$\Delta P$	-	-	5	36	82	130	mmHg
$AoP_{\text{mean}}$	95	85	82	78	74	68	mmHg
$AoP_{\text{sys}}$	116	107	104	101	98	95	mmHg
$AoP_{\text{dia}}$	82	75	71	67	62	55	mmHg
$CoP_{\text{mean}}$	95	85	82	78	74	68	mmHg
$CeP_{\text{mean}}$	105	94	92	89	88	80	mmHg
$ReP_{\text{mean}}$	90	80	97	125	170	220	mmHg
$CeP_{\text{pp}}$	34	32	33	34	36	41	mmHg
$ReP_{\text{pp}}$	27	25	28	29	41	45	mmHg
$CeF_{\text{mean}}$	0.71	0.71	0.71	0.71	0.71	0.70	L/min
$CoF_{\text{mean}}$	0.22	0.22	0.22	0.22	0.22	0.21	L/min
$ReF_{\text{mean}}$	1.21	1.20	1.19	1.19	1.21	1.46	L/min
$SyF_{\text{mean}}$	2.97	0.77	0.97	1.13	1.98	2.25	L/min
CO	5.11	2.90	3.09	3.25	4.12	4.62	L/min

Table 2: SCVL Hemodynamic characteristics with inactive regional autoregulation systems for the healthy and HF conditions with an RBP device in the DA operating from 0 to 4000 rpm.

Condition	Healthy, 0 rpm	HF, 0 rpm	HF, 1000 rpm	HF, 2000 rpm	HF, 3000 rpm	HF, 4000 rpm	Units
$\Delta P$	–	–	5	36	82	130	mmHg
$AoP_{mean}$	95	85	81	78	73	65	mmHg
$AoP_{sys}$	116	107	102	100	96	90	mmHg
$AoP_{dia}$	82	75	71	65	59	47	mmHg
$CoP_{mean}$	95	85	81	78	73	65	mmHg
$CeP_{mean}$	105	94	93.5	90	85	75	mmHg
$ReP_{mean}$	90	80	98.3	119	165	215	mmHg
$CeP_{pp}$	34	32	27.9	32	36	44	mmHg
$ReP_{pp}$	27	25	25.2	31	32	30	mmHg
$CeF_{mean}$	0.71	0.70	0.69	0.65	0.59	0.49	L/min
$CoF_{mean}$	0.22	0.22	0.22	0.20	0.17	0.13	L/min
$ReF_{mean}$	1.21	1.21	1.31	1.60	2.06	2.6	L/min
$SyF_{mean}$	2.97	0.78	0.91	0.94	1.22	1.37	L/min
CO	5.11	2.91	3.13	3.39	4.04	4.59	L/min

160 the systolic from the diastolic pressure at these circulations, rise by 12% and 30% respectively.

161 Figures 9 shows the transient responses of the CoF, CeF, ReF and CO when the pump is switched on at  
 162 3000 rpm and regional autoregulation systems are active. Figure 9 (a) shows that with the pump at 3000 rpm  
 163 there is no variation in CoF. Figure 9 (b) shows that the CeF drops by 8% at  $T = 12$  s, however since CeP  
 164 remains within the cerebral autoregulated region (60-120mmHg), the CeF is autoregulated and returns to  
 165 the initial steady state level of 0.71 L/min at  $T = 28$  s. It is evident that at  $T = 18$  s there is a slight  
 166 overshoot in the CeF, before reaching the steady state level. Aaslid et al. [36] in a clinical study on humans  
 167 demonstrated that the CeF overshoot occurs due to the delay in the autoregulation system compensating  
 168 for a change in the aortic pressure. Figure 9 (c) shows that the ReF rises by 45% at  $T = 13$  s to 1.65 L/min,  
 169 however, since  $ReP_{mean}$  remains within the renal autoregulated region (90-180 mmHg), the autoregulation  
 170 system compensates and the CeF returns to the initial level of 1.2 L/min by  $T = 28$  s. Figure 9 (d) shows  
 171 that with the pump operating at 3000 rpm, CO gradually increases from 2.9 L/min and reaches the steady

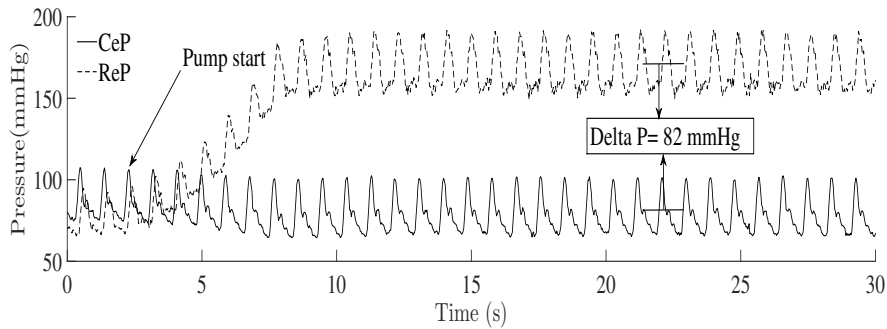


Figure 8: The transient responses of CeP and ReP with the pump operating at 3000 rpm at  $T = 3$  s.

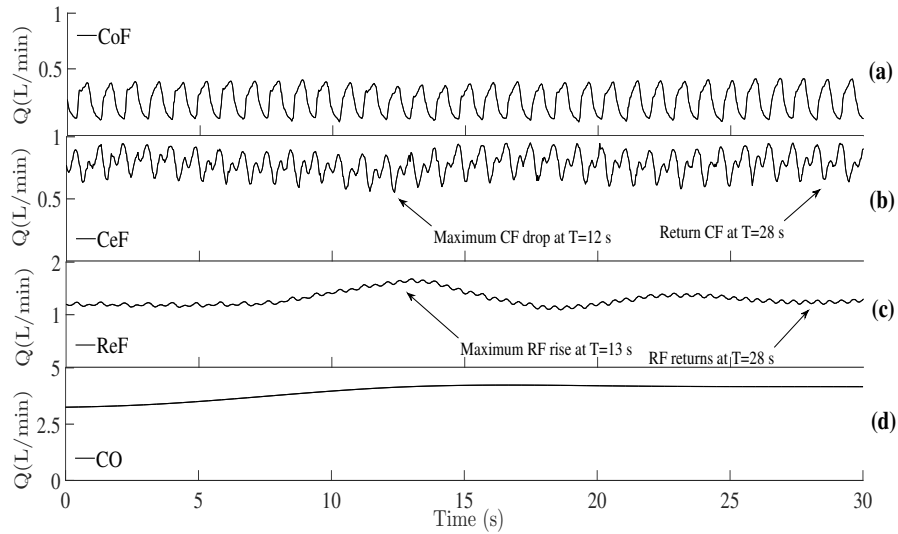


Figure 9: (a) The transient responses of the CoF waveforms (b) CeF waveforms, (c) ReF waveforms, (d) CO with the pump operating at 3000 rpm.

172 level of 4.1 L/min over the course of 25 s. The perfusion pressure to the cerebral and coronary circulations  
 173 remained within the autoregulated regions at all levels of support, so when the autoregulation systems were  
 174 active there was no drop in CoF or CeF.

175 At 4000 rpm  $AoP_{mean}$  falls by around 20%. The fall in  $AoP_{mean}$  can result in reduced perfusion to  
 176 vital organs when regional autoregulation systems are inactive in this scenario. CeF falls 31% at 4000 rpm,  
 177 from 0.71 L/min to 0.49 L/min, while CoF falls 41% from 0.22 L/min to 0.13 L/min. The drop in CoF is  
 178 larger than the drop in CeF because of the increased pulsatility;  $AoP_{dia}$  falls by more than  $AoP_{mean}$ , so the  
 179 drop in coronary perfusion, which primarily occurs in diastole, is exacerbated. The large pressure increase  
 180 downstream of the pump causes an increase in renal perfusion. When the autoregulation systems are on,  
 181 renal flow is unaffected at speeds from 0 to 3000 rpm, however at 4000 rpm there is an increase of 22%.  
 182 When the autoregulation systems are inactive, the renal flow increases proportional to the pressure.

#### 183 4. Discussion

184 The SCVL was used to accurately replicate clinical pressure waveforms and flow rates for healthy and HF  
 185 conditions. The novel parallel configuration of a solenoid valve and narrow tubing ensured the coronary was  
 186 primarily perfused in diastole, as in the native system. The autoregulation units, when active, successfully  
 187 maintained clinically accurate flow rates in cerebral, coronary and renal circulations and this technique can  
 188 be used to simulate arterial constriction and dilation in other parts of the body, such as skeletal muscles or  
 189 intestines [18].

190 With an integrated RBP, operating over a wide range of rotor speeds in the DA, it was observed that the  
191 pressure rise generated downstream of the pump was several times higher than the pressure drop generated  
192 upstream of the pump. This is because the resistance in lower extremities was much larger than the  
193 resistance between the LV and the pump. As demonstrated in previous studies [6, 12, 13, 29], the pressure  
194 drop induced by the pump reduces the afterload pressure and thus improves the CO. It was reported in our  
195 previous work [13] that the afterload reduction due to a pump operating in the descending aorta results in  
196 a greater ejection with the same LV contractile energy, leading to an improvement in LV performance.

197 If the comparatively low pressure drop in the aortic arch is replicated in-vivo, it suggests that a beneficial  
198 level of support could be applied without significant perfusion drops in cerebral and coronary circulations.  
199 With regional autoregulation inactive, a 3000 rpm pump speed resulted in a 42% increase in CO with drops  
200 of 17% and 23% in CeF and CoF. Any regional autoregulation activity would reduce these perfusion drops.  
201 The pressure rise downstream of the pump improves perfusion to the kidneys. Studies on HF patients  
202 showed that the mortality rate is more closely associated with the worsening of renal function than any  
203 other established risk factor such as left ventricular ejection fraction [38]. It is reported the worsening renal  
204 function is strongly related to the hemodynamic stability of the renal blood flow [39]. Clinical data on the  
205 kidney's response to sustained high pressure in humans could not be obtained although it is noted that the  
206 renal circulation has a high upper limit to its autoregulation system, at 180 mmHg, which was exceeded in  
207 our experiment only at the 4000 rpm level of support.

208 With the rotary pump operating in series with the heart, it was observed that the flow pulsatility in all  
209 circulations was improved. This is in contrast to the traditional LVAD implantation, where increasing the  
210 level of pump support attenuates the pulsatility [6]. Clinical studies show that the flow pulsatility has a  
211 positive effect on recovery of cerebral, renal, and coronary systems functionality in patients with HF [40–42].  
212 However, the pulsatility also results in a lower  $AoP_{dia}$  which presents a risk to coronary perfusion. A larger  
213 perfusion drop was observed in the coronary circulation than in the cerebral circulation during RBP support  
214 in the SCVL with regional autoregulation inactive.

215 In this paper the response of the SCVL to a rotary pump in the descending aorta with and without  
216 regional autoregulation systems is compared. The debate of whether and to what extent autoregulation  
217 systems are impaired in HF is still ongoing and there was no clear answer from the authors' literature  
218 search. Descending aorta RBP has been investigated in animals [16] and man [8] by Reitan et al.. They  
219 found that with their percutaneous catheter-based pump in the descending aorta of calves, no variation in  
220 the coronary perfusion was observed. A drop of 15% in CeF was observed, however in the same study the  
221 author emphasized on existing differences between the human and animal cerebral functionality and they  
222 predicted that the human cerebral autoregulation system would ensure a sufficient blood supply down to  
223  $AoP_{mean}$  of 60 mmHg. In the study in man, such invasive measurements could not be taken.

224 No other studies on the regional autoregulation involve in-series RBP device insertion. There are stud-

ies [43, 44] showing clearly that the regional autoregulations, particularly cerebral autoregulation is partially impaired in severe HF. However, other studies [45] claim that many patients with moderate to severe HF condition have a normal regional autoregulation due to the redistribution of the blood flow in the cardiovascular system. In addition, there are studies [44, 46] show that, in some cases after the RBP implantation or heart transplantation, the impaired regional autoregulation has been improved, following CO re-establishment. Whether or not regional autoregulation is impaired due to heart failure, this study has indicated that the pressure drop in the upper extremities is relatively low (10-12 mmHg at 3000 rpm) even without regional autoregulation.

There are many advantages, compared to the existing LVAD in-parallel configuration, that makes this approach worthy of investigation. Implantation in the descending aorta is less invasive and possibly can be performed via a left thoracotomy. As a result, the operation would be less expensive and potentially can be done in district general hospitals. Also, this technique may reduce the chance of stroke. For the in-parallel configuration, the thrombi released from the LVAD outlet graft, can be transported along the blood stream through the ascending aorta toward the brain, thereby increasing the chance of stroke [47]. With a RBP in the descending aorta, thrombi would be directed to the lower extremities rather than upper extremities, thus reducing the chance of stroke. However there are some concerns about the in-series implantation which needs further examination through more in-vitro and in-vivo tests. For instance, the level of support should clearly be limited to avoid excessive pressure drops upstream and rises downstream, otherwise perfusion in the cerebral, coronary, and renal circulations may become more impaired than before implantation.

## 5. Limitations

One limitation of this study is the lack of appropriate clinical data on impairment of regional autoregulation in HF. How these systems are affected during HF is an unresolved question which has significant implications for the suitability of descending aorta RBP support. Consequently, we repeated our experiment with and without regional autoregulation systems active to give a best-case and worst-case scenario for in-series support. While the data used here for cardiovascular parameters were collected from a variety of sources, it was not possible to collect all required data from a single individual and the resulting simulations accurately replicate the cardiovascular system of a typical human adult.

For this study the preload (Frank-Starling mechanism) and afterload (autonomic nervous system) sensitivity of the ventricles were not modelled in the SCVL. Preload and afterload sensitive motors have been implemented into other cardiovascular simulators [25, 26, 28]. Implementation of these features in the SCVL is necessary to give a more comprehensive understanding of the hemodynamic effects of an RBP device in the DA. Theoretically, in a native system pressure rise generated downstream of the pump, would cause a high preload pressure in the right atrium which would lead to further improved cardiac output due to

258 the Frank-Starling mechanism. In addition, the pressure drop upstream of the pump, would trigger the  
259 autonomic nervous mechanism which consequently would lead to the redistribution of blood toward the  
260 upper extremities as a result of increased vasoconstriction in the major arterial system [45]. In this study  
261 the effect of a rise in hydrostatic pressure due to the horizontal position of the system was not considered. In  
262 a supine position, an increased hydrostatic pressure leads to a rise in preload which consequently improves  
263 the cardiac output, provided that the Frank-Starling is intact [18].

264 Although the previous studies show that the improvement in renal flow may have a positive impact on  
265 renal functionality [39, 48, 49], yet the clinical consequence of an the increased renal pressure observed in  
266 this study is not known. An in-vivo study [50] on a dog showed that the incremental increase in renal  
267 pressure, directly affects the peritubular capillaries in the kidneys and that leads to a rise in urine flow and  
268 subsequently urinary sodium excretion. In-vivo tests are mandated in order to evaluate the effect of the  
269 pressure rise and extra renal perfusion on kidney functionality.

## 270 **6. Conclusions**

271 This study showed the use of a novel coronary mechanism and autoregulation units in the SCVL. The  
272 improved SCVL system is able to emulate the behaviour of the heart during healthy and HF conditions in  
273 close agreement to the existing clinical data and allows measurement of pressure and flow in cerebral, coro-  
274 nary and renal circulations. Certainly, having more clinical data, for instance on impairment of the regional  
275 autoregulation, would improve the results. The perfusion in these regional circulations may be affected by  
276 an RBP device operating in the descending aorta. The extent of changes in perfusion is determined by the  
277 level of support and the efficacy of the regional autoregulation systems. Our work suggests that a beneficial  
278 level of support is possible at 3000 rpm without detrimental effects on the cerebral and coronary perfusion,  
279 but only with unimpaired regional autoregulation. In a future study, we will replicate impaired cerebral,  
280 coronary and renal autoregulation in the SCVL once suitable clinical data has been obtained. It is our  
281 intention to integrate the autonomic and Frank-Starling mechanisms to the SCVL system and investigate  
282 the hemodynamic responses of the whole system in detail with an RBP operating in the descending aorta.

## 283 **7. Conflict of interest statement**

284 None.

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