

1 **STAT5B mutations in heterozygous state have negative impact on height: another clue in human**
2 **stature heritability**

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2 **Abstract**

3 **Context and objective:** Growth hormone insensitivity with immune dysfunction caused by signal transducer
4 and activator of transcription 5B (*STAT5B*) mutations is an autosomal recessive condition. Heterozygous
5 mutations in other genes involved in growth regulation were previously associated with a mild height
6 reduction. Our objective was to assess for the first time the phenotype of heterozygous *STAT5B* mutations.

7 **Methods:** We genotyped and performed clinical and laboratorial evaluations in 52 relatives of 2 previously
8 described Brazilian brothers with homozygous *STAT5B* c.424_427del mutation (21 heterozygous).
9 Additionally, we obtained height data and genotype from 1,104 adult control individuals from the same
10 region in Brazil and identified 5 additional families harboring the same mutation (18 individuals, 11
11 heterozygous). Furthermore, we gathered the available height data from first-degree relatives of patients with
12 homozygous *STAT5B* mutations (17 individuals from 7 families). Data from heterozygous individuals and
13 non-carriers were compared.

14 **Results:** Individuals carrying heterozygous *STAT5B* c.424_427del mutation were 0.6 SDS shorter than their
15 non-carrier relatives ($p= 0.009$). Heterozygous subjects also had significantly lower SDS for serum
16 concentrations of IGF-1 ($p=0.028$) and IGFBP-3 ($p=0.02$) than their non-carrier relatives. The 17
17 heterozygous first-degree relatives of patients carrying homozygous *STAT5B* mutations had an average
18 height SDS of -1.4 ± 0.8 when compared with population-matched controls ($p < 0.001$).

19 **Conclusions:** *STAT5B* mutations in heterozygous state have a significant negative impact on height
20 (approximately 3.9 cm). This effect is milder than the effect seen in the homozygous state, with height
21 usually within the normal range. Our results support the hypothesis that heterozygosity of rare pathogenic
22 variants contributes to normal height heritability.

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2 **Introduction**

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4 Previous studies have demonstrated that while homozygous mutations in genes involved in growth
5 regulation are causal of severe syndromic short stature, heterozygosity of the same variants can be associated
6 with a milder height reduction¹⁻⁴. For instance, in the growth hormone (GH) - insulin-like growth factor 1
7 (IGF-1) axis, heterozygous carriers of mutations in acid-labile subunit gene (*IGFALS*)³ and *IGF1* gene¹
8 were shown to be significantly shorter than non-carriers, although generally still within the normal height
9 range. These data support the concept that rare mono-allelic variants with moderate effects on phenotype can
10 be associated with height variability⁵ and, as such, can be an etiology for non-syndromic short stature^{6,7}.

11 Signal transducer and activator of transcription 5B (*STAT5B*) is a key mediator of GH signaling, as
12 well as of other signaling pathways, including those of prolactin and interleukin 2 (*IL2*)⁸. Since 2003, ten
13 patients have been reported harboring seven different homozygous *STAT5B* mutations⁹⁻¹⁶. These rare
14 homozygous mutations in *STAT5B* cause growth hormone insensitivity (GHI) and manifestations of immune
15 dysregulation, such as increased susceptibility for opportunistic infections, lymphoid interstitial pneumonia
16 and eczema. GHI syndrome, classically associated with homozygous mutations in the growth hormone
17 receptor gene (*GHR*), is characterized by severe postnatal growth failure, normal to elevated GH levels and
18 low serum concentrations of ALS, IGF1 and insulin-like growth factor binding protein 3 (IGFBP-3). Unlike
19 most GHI patients carrying defects in *GHR*, however, serum concentrations of growth hormone binding
20 protein (GHBP), the proteolytically-cleaved extracellular domain of GHR, were normal and prolactin levels
21 were increased in patients carrying homozygous *STAT5B* mutations (reviewed in⁸).

22 To date, *STAT5B* deficiency is considered an autosomal recessive condition. The impact of
23 heterozygous *STAT5B* mutations on growth and the GH-IGF axis, however, has not been carefully evaluated,
24 due in part to the rarity of described cases and families. To address this issue, we evaluated a large
25 community, in which multiple members carry a previously described *STAT5B* frameshift mutation¹⁵. By
26 comparing their data with data from other families harboring other mutations in *STAT5B*, we provide
27 evidence that heterozygous *STAT5B* mutations can influence stature.

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2 **Subjects and methods**

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4 *Subjects*

5 We evaluated 52 relatives of two Brazilian brothers with characterized GHI due to homozygous
6 *STAT5B* c.424_427del mutation. Furthermore, an active search was done to investigate the prevalence of this
7 mutation in the region where the index cases were born, identifying five unrelated heterozygous individuals
8 among 1,104 evaluated adult control subjects. Relatives of these five individuals were subsequently
9 evaluated, totaling 18 subjects. Height data gathered from the remaining 1,099 adult control individuals
10 (non-carriers of *STAT5B* c.424_427del mutation) in the same region were used to assess the local population
11 height.

12 Additionally, we gathered the available height data from first-degree heterozygous relatives of
13 previously reported patients with homozygous *STAT5B* mutations. We also included in this group two
14 recently diagnosed individuals heterozygous for *STAT5B* c.424_427del mutation, who lost two children with
15 the same phenotype seen in patients with homozygous *STAT5B* mutations. In total, height data from 17 first-
16 degree relatives from 7 families were analyzed.

17 These studies were approved by the local ethics committees, and the patients or guardians gave their
18 written informed consent.

19

20 *Genotyping in families with STAT5B c.424_427del mutation*

21 Genomic DNA was isolated from peripheral blood leukocytes using standard techniques. Genotyping
22 for *STAT5B* c.424_427del mutation was done by fragment analysis technique. The primers were designed to
23 amplify the region around this mutation (primer sequences and amplification protocols are available upon
24 request). Genotyping was performed after the clinical evaluation.

25

26 *Clinical and laboratory assessment in families with STAT5B c.424_427del mutation*

27 Individuals from families with *STAT5B* c.424-427del mutation were evaluated by an investigator
28 blinded for *STAT5B* genotype. They were questioned about pneumopathies, eczema and other immune
29 dysfunctions. Height and weight were assessed in all individuals. Total blood count, fasting glucose and

1 insulin, immunoglobulins G, A and E, basal GH, IGF-1, IGFBP-3 and prolactin were tested in 91% of the
2 evaluated individuals. Serum GH, IGF-1, IGFBP-3, prolactin and immunoglobulin E were measured through
3 chemiluminescence assays and immunoglobulins A and G through turbidimetry. IGF-1 and IGFBP-3 were
4 transformed to SD scores (SDS) ¹⁷.

5 6 *Whole-exome sequencing*

7 Whole-exome sequencing of genomic DNA, obtained from the peripheral blood of one individual
8 heterozygous for *STAT5B* c.424_427del mutation and with pneumopathy of unknown etiology, was
9 performed with Illumina's Nextera Exome Enrichment kits (Illumina, San Diego, CA) for library preparation
10 and exome capture, and the Illumina HiSeq sequencer. Alignments and variant annotation were made as
11 previously described ¹⁸.

12 13 *Statistical methods*

14 Because the patients came from many ethnic groups, height data were expressed as SDS for the
15 appropriate country/ethnic group. The effect of one mutant allele vs. wild type was determined in the whole
16 group.

17 Groups were compared by unpaired t-test or ANOVA followed by Tukey test for numerical variables
18 with normal distribution. Numerical variables without parametric distribution were analyzed by Mann-
19 Whitney Rank Sum Test or Kruskal-Wallis ANOVA on Ranks. Categorical data were compared between
20 groups through chi-square test or Fisher's exact test as appropriate. Statistical significance was assumed for p
21 < 0.05. Statistical analysis were made with SigmaStat 3.5 (Systat Software Inc. Chicago, USA) and MedCalc
22 version 11.1.1.0 (MedCalc Software, Mariakerke, Belgium).

23 24 **Results**

25 26 *Families harboring STAT5B c.424_427del mutation*

27 The largest Brazilian family consisted of two patients with homozygous *STAT5B* c.424_427del
28 mutation, 21 heterozygous carriers (including their non-consanguineous parents) and 31 non-carrier relatives
29 (Supplemental Figure 1). The other five families identified consisted of eleven heterozygous carriers and

1 seven non-carrier relatives. When polymorphic markers around this mutation were studied, the same
2 haplotype was found in these six families, which was consistent with the presence of a founder effect (data
3 not shown)¹⁹. Consequently, we analyzed all individuals from the six families together (Table 1).

4 In total, we analyzed data from 32 heterozygous carriers of *STAT5B* c.424_427del mutation (17 males)
5 and 38 non-carrier family members (12 males). Unrelated spouses were not included. One heterozygous
6 carrier was excluded from the height analysis because of severe short stature (height SDS -3.5) of unknown
7 cause. Among the seventy evaluated individuals (aged 32.7 ± 18.5 years old), sixteen were children (7
8 heterozygous for *STAT5B* mutation).

9 Non-carrier subjects in these families had a similar height SDS to individuals from the local
10 population (height SDS -0.2 ± 1.0 vs. -0.4 ± 0.8 respectively, $p = 0.63$). Heterozygous *STAT5B* c.424_427del
11 individuals were significantly shorter (height SDS -0.8 ± 0.9) than their non-carrier relatives (height SDS
12 difference of -0.6 , $p = 0.009$, confidence interval 95% -1.1 to -0.2), although all were within the normal
13 height range (Table 1). When the analysis was done excluding the children, the same results were obtained
14 (height SDS -0.8 ± 0.9 vs. -0.2 ± 1.0 for heterozygous and non-carrier relatives respectively, $p = 0.02$, Figure
15 1). Furthermore, heterozygous carriers had significantly lower IGF-1 and IGFBP-3 SDS than their non-
16 carrier relatives (Table 1). Other parameters, such as basal GH and prolactin concentrations, were not
17 different between these groups.

18 Present or past history of dermatopathies was reported in 9 out of 32 individuals heterozygous for
19 *STAT5B* c.424_427del mutation and in 1 out of 38 individuals who were non-carriers ($p = 0.004$). We
20 clinically diagnosed eczema in four carriers. No differences in total blood count and immunoglobulin levels
21 between carriers and non-carriers were observed (Table 1).

22 One cousin of the probands, who was heterozygous for *STAT5B* c.424_427del mutation, presented
23 with a moderate to severe restrictive pneumopathy of unknown etiology. Her disease was milder than the
24 pneumopathy observed in patients homozygous for *STAT5B* mutations, since she was in her thirties and still
25 not oxygen-dependent. Exome sequencing excluded other *STAT5B* mutations and mutations in genes
26 normally associated with pneumopathies (data not shown). A lung biopsy of this patient showed areas of
27 interstitial thickening near respiratory bronchioles, inflammatory interstitial infiltrate with lymphocytes,
28 plasmocytes and histiocytes and mild interstitial fibrosis, which is compatible with lymphoid interstitial
29 pneumonia. Her father, an obligatory heterozygous carrier for the same mutation, died of respiratory failure

1 secondary to an uninvestigated chronic pneumopathy, but he had confounding factors such as smoking and
2 working as a miner.

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4 *First-degree relatives of index cases carrying STAT5B mutations*

5 Height data were obtained in seventeen first-degree relatives of ten patients homozygous for *STAT5B*
6 mutations (Table 2). Two Argentinian patients were adopted soon after birth and, consequently, data from
7 their biological relatives were not available. Parents were consanguineous in four families and not
8 consanguineous in three families. All these relatives were heterozygous for *STAT5B* mutations with an
9 average height SDS of -1.4 ± 0.8 when compared with appropriate population-matched controls ($p < 0.001$).

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11 **Discussion**

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13 In adequate health and nutritional conditions, genetic variation is the main determinant of stature,
14 accounting for approximately 80% of height variability²⁰. Recent genome-wide association studies (GWAS)
15 identified 697 variants in 423 loci that, together, accounted for only one-fifth of adult height heritability²¹.
16 The individual effect of single nucleotide polymorphisms (SNPs) found in these studies, furthermore, is very
17 small (less than 0.5 cm)²². The inability of GWAS to explain all height heritability, despite the increasing
18 number of evaluated individuals, suggests that numerous rare variants with large to moderate effect have a
19 role in height variability²³. However, it is difficult to evaluate the importance of rare variants in height
20 variability through the current available methods, since each private allele is restricted to a few families or
21 small populations.

22 In the present study, the analysis of a large family with many heterozygous carriers of the *STAT5B*
23 c.424_427del mutation showed that these individuals are significantly shorter than their non-carrier relatives
24 and local population controls (mean height SDS difference of 0.6). Assuming that the mean SD for adult
25 height distribution is 6.5cm, the mean height loss seen in these individuals can be estimated at 3.9 cm, which
26 is a much larger individual effect than the 0.5 cm attributed to SNPs identified in GWAS. The significant
27 reduction in IGF-1 SDS and IGFBP-3 SDS seen with *STAT5B* c.424_427del heterozygous carriers,
28 furthermore, suggests that a decreased responsiveness to GH action could explain, at least in part, the
29 observed height reduction.

1 Moreover, the analysis of the available height data from carriers of the different *STAT5B* mutations
2 also displayed a significant reduction in height when compared to their population controls, and was
3 equivalent to a height decrease of 9.1 cm, an even greater difference than that observed for carriers of
4 *STAT5B* c.424_427del mutation. This difference could be due to the relatively smaller number of first-degree
5 relatives available for study, and/or to variable effects on height dependent on the individual *STAT5B*
6 mutation itself. For the *STAT5B* c.424_427del mutation, the lack of expression of the mutant protein in
7 reconstitution experiments (Hwa V, unpublished data) suggests that partial haploinsufficiency could explain
8 the modest height reduction seen in heterozygous carriers. No dominant-negative *STAT5B* mutations have
9 been reported to date, although, interestingly, a heterozygous *STAT5B* p.Gln177Pro variant was recently
10 described in two GHI patients with severe short stature but no immunological dysfunction²⁴.

11 Heterozygous mutations in other genes along the GH-IGF1 axis similarly show larger individual
12 effects on height than SNPs, supporting our finding. For example, in a family carrying *IGF1* p.V44M
13 mutation, individuals heterozygous for this mutation were 0.6 SDS shorter (equivalent to 3.9 cm) than their
14 non-carrier relatives¹. Moreover, heterozygous carriers of *IGFALS* mutations were 0.9 SDS shorter
15 (equivalent to 5.8 cm) than their non-carrier relatives³. Heterozygous mutations in genes associated with
16 bone growth regulation similarly impacted height: in a large family with many individuals heterozygous for
17 a *NPR2* mutation, carriers were 1.4 SDS shorter than non-carriers (equivalent to 9.1 cm)². In all of these
18 studies, the clinical presentation of heterozygous individuals was much milder than the disorder seen in
19 patients homozygous for the same mutations. Altogether, the presence of these rare pathogenic mutations in
20 heterozygous state suggests that loss of one functional allele may result in low-normal height and borderline
21 short stature.

22 Finally, we observed that individuals with heterozygous *STAT5B* c.424_427del mutation reported
23 more dermatopathies and skin allergies when compared to their non-carrier relatives ($p = 0.004$). There was no
24 difference in pneumopathies or other allergies reported by both groups, although two heterozygous carriers
25 (a cousin of the probands and her father) had severe pneumopathy of unknown etiology. Further
26 investigations are necessary to better characterize the potential effects of heterozygous *STAT5B* mutations in
27 immunologic alterations.

28 In conclusion, we demonstrated that *STAT5B* mutations in heterozygous state exert a significant
29 negative impact on height. This effect is milder than the effect seen in homozygous state, with height usually

1 within the low normal range. Our results support the hypothesis that heterozygosity of rare pathogenic
2 variants contributes to normal height heritability. Whether the cumulative effect of such variants could be
3 responsible for a proportion of the missing height heritability posed by GWAS studies remains to be
4 determined.

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3 **Declaration of interest:** The authors have nothing to disclose.

4

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2 **Figure legends:**

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4 **Figure 1:** Comparison of height SDS distribution among adult heterozygous carriers of *STAT5B*
5 c.424_427del mutation (n = 25), their non-carrier relatives (n = 28) and a population sample from the same
6 region in the south of Brazil (n=1,099).

7

8 **Supplemental figure 1:** The largest Brazilian family with *STAT5B* c.424_427del mutation. Subjects
9 homozygous for *STAT5B* c.424_427del mutation are indicated by black symbols; heterozygous carriers by
10 gray symbols and non-carriers by white symbols. The symbol * refers to two individuals with severe
11 pneumopathy of unknown etiology.

Table 1: Clinical and biochemical characteristics of heterozygous carriers of *STAT5B* *c.424_427del* mutation vs. non-carriers

	WT/Mut	WT/WT	p
n	32	38	
Dermopathy	9:32	1:38	0.004
Severe pneumopathy	1:32	0:38	n.s.
Height SDS	-0.8 ± 0.9*	-0.2 ± 1.0	0.009
Basal GH (ng/mL)	1.4 ± 2.2	1.0 ± 1.6	n.s.
IGF-1 SDS	-0.4 ± 1.2	0.3 ± 1.2	0.028
IGFBP-3 SDS	-0.9 ± 1.4	0.0 ± 1.5	0.02
Prolactin (ng/mL)	12.5 ± 7.2	13.0 ± 10.6	n.s.
Glucose (mg/dL)	90 ± 14	83 ± 13	n.s.
Insulin (μUI/mL)	6.9 ± 7.3	6.4 ± 3.9	n.s.
Hemoglobin (g/dL)	13.3 ± 1.3	13.4 ± 1.5	n.s.
Leucocytes (cells/mm ³)	6893 ± 2008	6935 ± 2695	n.s.
Lymphocytes (cells/mm ³)	3998 ± 1292	3598 ± 1329	n.s.
IgG (mg/dL)	1022 ± 205	1054 ± 249	n.s.
IgA (mg/dL)	244 ± 141	225 ± 123	n.s.
IgE (UI/mL)	220 ± 325	143 ± 191	n.s.

SDS – standard deviation score; GH – growth hormone; IGF-1 – insulin-like growth factor 1; IGFBP-3 – insulin-like growth factor binding protein 3; IgG/IgA/IgE – immunoglobulins G, A and E; n.s. – non-significant.

* - excluding one heterozygous carrier with severe short stature (height SDS -3.5)

Values are expressed as mean ± SD

Table 2: Data of index patients homozygous for STAT5B mutations and their first-degree relatives.

Family n°	Ref	Consanguinity	cDNA mutation	Origin	Local height SDS			
					Patient	Fathers	Mothers	Siblings
1	9	Yes	c.1888G>C	Argentina	-7.5	-0.3	-1.2	
2	10	Yes	c.1191insG	Turkey	-7.8	-0.9	-0.6	
3	11	No	c.1102insC	Caribbean	-5.9	-2.8	-0.8	-2.3 / -0.8
4	12	No	c.454C>T	Argentina	-9.9	-2.2	-3.3	-2.0
5	13	Yes	c.1680delG	Kuwait	-5.6 / -5.8	-1.3	-0.6	
6	14	Adopted	c.454C>T	Argentina	-5.3	NA	NA	
7	15	No	c.424_427del	Brazil	-5.6 / -3.0	-1.5	-1.0	
8	16	Adopted	c.1937T>C	Argentina	-5.95	NA	NA	
9	19	Yes	c.424_427del	Brazil	NA*	-0.9	-1.9	
Mean ± SDS					-6.2 ± 1.8	-1.4 ± 0.8		
Median (range)					-5.9 (-9.9; -3.0)	-1.2 (-3.3; -0.3)		

NA: not available

* - patients died before anthropometric assessment.

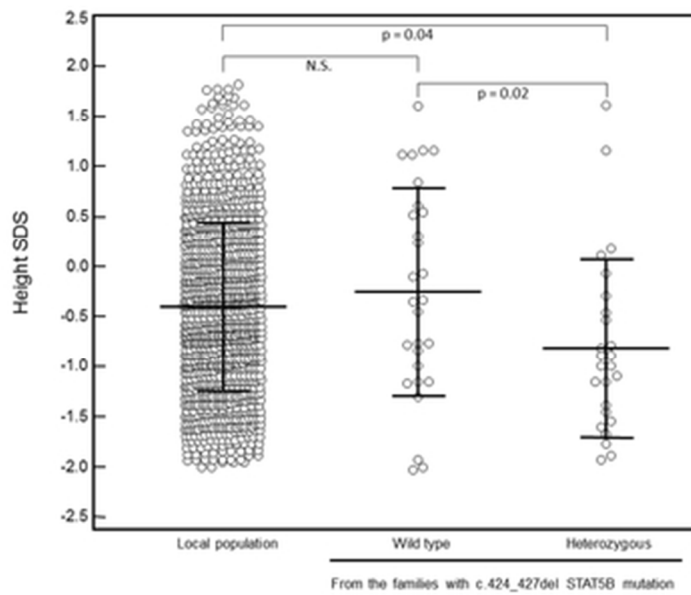


Figure 1: Comparison of height SDS distribution among adult heterozygous carriers of STAT5B c.424_427del mutation (n = 26), their non-carrier relatives (n = 28) and a population sample from the same region in the south of Brazil (n=1,099).
36x25mm (300 x 300 DPI)