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Reliable and Robust Detection of Freezing of Gait Episodes With Wearable Electronic Devices

Ardian Kita, Paolo Lorenzi, Rosario Rao, and Fernanda Irrera

Abstract-A wearable wireless sensing system for assisting 1 patients affected by Parkinson's disease is proposed. It uses inte-2 grated micro-electro-mechanical inertial sensors able to recognize 3 the episodes of involuntary gait freezing. The system operates in 4 real time and is designed for outdoor and indoor applications. 5 Standard tests were performed on a noticeable number of 6 patients and healthy persons and the algorithm demonstrated 7 8 its reliability and robustness respect to individual specific gait and postural behaviors. The overall performances of the system 9 are excellent with a specificity higher than 97%. 10

Index Terms—Wearable electronic device, inertial sensors,
 freezing of gait, movement classification algorithms.

I. INTRODUCTION

THE implications of new technologies involving the use of 7 14 sensors are becoming increasingly important in health-15 care. This is the case of wearable sensors able to detect 16 abnormal and/or unforeseen situations by monitoring physi-17 cal and/or physiological parameters along with other symp-18 toms [1]. The information that can be extrapolated from 19 accelerometers and gyroscopes allows a correct reconstruction 20 of the movements and a precise evaluation of the state of the 21 musculoskeletal apparatus. The technological development and 22 miniaturization of these devices has led to the possibility to be 23 worn by patients who suffer from various diseases implying 24 the motion sphere. The utility of their use in the patient 25 care, assistance and rehabilitation consists in new and still 26 not fully explored opportunities offered by the generation of 27 big amounts of data regarding locomotion, postural and noc-28 turnal disorders. Sensors can help monitoring and mitigating 29 the effects of these disorders, customizing the therapy and 30 eventually activating feedbacks to patients and care-givers. 31

Patients affected by the Parkinson's Disease (PD) can benefit mostly from the technological advancements in this field. PD manifests in about 1% of the worldwide population over 65 years, bringing severe ailments and disturbs related to the musculoskeletal apparatus, which include muscular rigidity, tremors, postural instability, bradykinesia, hypokinesia and akinesia [2]. These symptoms vary from one patient to another,

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are very sensitive to the drug therapy and to the environmental inputs and depend on the progression of the disease. Today, the standard examination of the stage of the disease is done by doctors with the aid of patient and relative reports, which are generally incomplete and arbitrary.

In this context, it is easy to understand that a wearable 44 electronic system for monitoring automatically and objectively 45 the motion symptoms of PD patients is strongly desired. The 46 processed data would help doctors in estimating better the 47 stage of the disease and customize the therapy. The latter 48 point is crucial to mitigate the symptoms. In fact, the proper 49 therapy can reduce most of the symptoms, mainly at the early 50 disease stage, and can help patients in preventing catastrophic 51 falls as consequence of episodes of freezing of gait (FoG). 52 FoG is defined as a paroxysmal block of movement associated 53 with gait initiation, turning or negotiating an obstacle [3], [4], 54 and can be accentuated by an incorrect drug therapy. FoG is 55 described by the patients as a disabling symptom that makes 56 their feet "stuck on the ground". In these situations, the patient 57 reacts attempting to make the step, thus forcing the lower 58 limbs and thrusting forward the trunk. For this reason, FoG is 59 reported as the main cause of falls of PD patients [4], [5]. It has 60 been demonstrated that a rhythmic auditory stimulation (RAS) 61 as a metronome can release the involuntary block [6], [7]. 62 Therefore, a wearable system able to provide a robust and 63 reliable detection of the FoG in any context, and give timely 64 a rhythmic auditory stimulation would be extremely useful. 65

As an evidence of the current interest in the field, several 66 FoG detection systems have been proposed in literature in the 67 last decade, to be used outdoor [8]-[10] or indoor [11]-[14]. 68 They all employed inertial sensors disseminated on the patient 69 body. Very recently, we too proposed a wearable wireless 70 sensing system operating in real time [15]. Herein, that system 71 will be called System 1. It used integrated micro-electro-72 mechanical (MEMS) inertial measurement units (IMU) to able 73 to recognize specific kinetic features associated to motion 74 disorders, typical of (but not limited to) the PD. The sensors 75 were wireless connected to a PC. The algorithms provided 76 detection and classification of the gait disorders using a time 77 domain analysis of the data obtained through the fusion of the 78 accelerometers and the gyroscopes signals. Then, the angular 79 velocity and its low pass filter (k_{left} , k_{right}) were calculated. 80 The index K given by the sum of k_{left} , and k_{right} was 81 finally compared with specific thresholds to classify regular 82 states and disorders. System 1 was tested on 16 patients and 83 performances in FoG detection were the best obtained to 84 date. Notwithstanding, that system suffered by some severe 85

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limits which are now overcome by the system proposed here 86 (System 2). First, the wireless communication between the 87 sensors and the PC was lost whenever the maximum dis-88 tance covered by the protocol communication was exceeded. To solve this problem, System 2 is designed to use a portable 90 receiver (a smartphone), eventually connected with the home 91 wireless LAN to transmit data to the PC. This also makes 92 System 2 suitable for outdoor applications, with a battery life 93 of a few hours. Second, the algorithm A1 exhibited problems 94 in the FoG detection and classification in specific cases, as in 95 the presence of noise sources related to the behavior of the K96 index with time or to individual dubious gait and postural 97 attitudes of patients. The algorithm A2 proposed here is 98 robust respect to those sources of noise and its reliability is 99 corroborated by a good statistic. The software platform is more 100 generally suitable for the reconstruction of a visual skeletal 101 representation of a moving human body. 102

II. RELATED WORKS

In literature, most of the reported work on the detection of 104 FoG episodes is in the frequency domain. Mostly, the freezing 105 index (FI) extrapolation has been used. It consists in evaluating 106 the ratio between the power in the FoG band [2-6 Hz] 107 associated to least leg tremor [16] and the power in the 108 rest of the spectrum and comparing this ratio with defined 109 thresholds. In this context, the first detection of FoG episodes 110 was made monitoring the body acceleration with a 3-axis 111 accelerometer [17]. They applied FFT, amplitude and wavelet 112 analysis performing an offline processing. A few years later, 113 Moore et al. [13] analyzed offline the accelerometer data 114 collected on 11 patients. Authors detected the frequency com-115 ponents in the 3-8 Hz band during a FoG episode, which are 116 not present in regular gait or voluntary rest. Calculating the FI, 117 their algorithm obtained 89% accuracy and 89% sensitivity in 118 FoG detection. Basing on the algorithm proposed in [13], other 119 authors developed a system for online FoG detection [18]. 120 That system contained three 3-axial accelerometers and a 121 wearable computer. It was able to detect FoG episodes with 122 user-dependent settings, exhibiting a sensitivity of 88.6%, 123 a specificity of 92.4% evaluated on a sample of ten patients, 124 and a latency up to 2 s. Manual adjustment of the algorithm 125 parameters was necessary to achieve optimal results. Other 126 online FoG-detection systems based on the FI extrapolation 127 were presented in [19] and [20]. In the former work, authors 128 used a 3-axis accelerometer and a wearable computer and 129 detected FoG episodes with latency up to 580 ms. In the 130 latter work, authors studied a sample of 12 PD patients and 131 evaluated the sensitivity in recognizing the occurrence of a 132 FoG episode (reporting 100% of success), without evaluating 133 the sensitivity to timing and duration of each episode. 134

Remaining in the frequency domain, other methods of analysis alternative to the FI extrapolation have been developed. For example, the algorithm proposed in [12] based on the evaluation of the step length and cadence. Authors made a comparison with the FI extrapolation and concluded that their algorithm appeared more accurate in recognizing FoG episodes.

In pure time domain, the signal amplitude is considered 142 rather than the frequency band, so that a low pass filter is 143 needed to select the band of interest. This can be regarded 144 as the main drawback of the time domain approach. On the 145 other hand, this kind of analysis has the great advantage of 146 performing a lower number of calculations, which reflects 147 in a smaller power consumption and a longer battery life. 148 Very few papers can be found in literature with the pure time 149 domain approach. In this frame, we recall here the work by 150 Y. Kwon et al. [21], which was based on the use of the 151 root mean square (RMS) of the accelerometer signal, and 152 our previous work [15], which was based on the fusion of 153 raw accelerometers and gyroscope signals. Both detected FoG 154 episodes through a threshold method. In [21], 20 patients were 155 studied, obtaining a sensitivity and a specificity over 85%. 156 In [15], 16 patients were studied, obtaining a sensitivity and 157 a specificity over 94%. 158

Some work has been carried out in a combination of time 159 and frequency domains, using different methods. Machine 160 learning techniques were used by some authors [9], [22], [23]. 161 Sensitivity and specificity higher than 98% have been reported 162 in [22] on a sample of 10 patients, with a latency up to 710 ms. 163 In [24] fuzzy logic algorithms were applied reporting good 164 sensitivity and specificity on 18 patients. Finally, very recently, 165 S. Rezvanian et al. [25] proposed using the continuous wavelet 166 transform (CWT) to define an index for identifying FoG 167 episodes with good performances evaluated on 10 patients. 168

In conclusion of this Section, it is worth mentioning that all 169 the work related to the detection of human body movements 170 stems from the huge amount of work about the inertial 171 navigation systems started in the second half of the XX century 172 and still continuing today [26]-[28]. The most used signal 173 fusion algorithm for the calculation of sensor orientation in 174 navigation systems is the Kalman filter [29], while in our work 175 we opted for the algorithm proposed by Mahony et al. [30], 176 which is less computationally expensive and therefore more 177 convenient for wearable applications. By comparing the two 178 algorithms, we got negligible difference in the orientation 179 estimation with a noticeable benefit from the calculation load 180 viewpoint. 181

III. THE STARTING POINT: SYSTEM 1, ALGORITHM A1 182

In this Section, we will go through a summary of the 183 features of System 1 and Algorithm A1 proposed in [15], 184 which inspired System 2. System 1 consisted on a set of 185 two IMU sensors, wireless connected to a PC collecting and 186 processing data. The board used in System 1 is the same 187 of System 2. It is a prototype called neMEMSi [31], [32], 188 designed for processing signals in real-time and transmitting 189 them. The IMU LSM9DS0 integrates a ± 16 g (g-force) 190 3D accelerometer, a ± 12 Gauss 3D magnetometer and a 191 ± 2000 dps 3D gyroscope in a 4x4 mm2 Land Grid Array 192 package. A Bluetooth connection was used to transmit data. 193 The BT33 class 1.5 micro-sized (11.6×13.5 mm2) Bluetooth 194 V3.0 module provided by Amp'ed RF/STMicroelectronics is a 195 highly integrated solution for Bluetooth applications using the 196 Serial Port Profile (SPP). The processing unit of neMEMSi 197

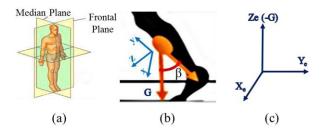


Fig. 1. Representation of the reference systems: (a) the median plane where the gait takes place; (b) the sensor reference system, with G the gravity direction; and (c) the earth reference system, in which the sensor reference system rotates.

is the STM32L1, an ultralow- power 32-bit microcontroller 198 provided by STMicroelectronics, with 33.3 DMIPS peak com-199 putation capability and an extremely low power consumption 200 scalable down to 233uA/MHz. The Cortex[™]M3 architecture 201 along with the 32 MHz clock frequency make this microcon-202 troller suitable for advanced and low-power embedded com-203 putations. The board has a total dimension of 25x30x4 mm³ 204 including the battery. 205

The detection and classification algorithm A1 used in Sys-206 tem A1 was based on a time domain analysis of the sensors 207 signals. The raw signals of accelerometers and gyroscopes are 208 fused together by using an orientation estimation algorithm 209 proposed by Mahony et al. [30]. To eliminate the gyroscope 210 drift and to provide the sensor orientation in space, they used 211 a correction vector provided by a Proportional Integral (PI) 212 controller, where the error vector ε driving the PI controller 213 is determined from the previously estimated attitude and the 214 accelerometer vector a. Authors suggested to use $\varepsilon = a \times d$ 215 where d is the direction of the gravity vector as given by the 216 estimated attitude. Regarding the PI controller, the value of 217 the integral coefficient is $K_i = 0.0025$, while the proportional 218 coefficient is $K_p = 0.5$. A quaternion based representation of 219 the limbs orientation and position was calculated and a 3D 220 vector representing the limbs was generated. The sampling 221 frequency (f_s) was 60 Hz. 222

The sensors were positioned on the shins. Gait direction was 223 in the median plane represented in Fig.1a. The x-y-z sensor 224 reference system is sketched in Fig.1b. Fig.1c shows the Xe-225 Ye-Ze earth reference system in which the sensor reference 226 system rotates. Ze coincides with negative G axis. The angle 227 β sketched in Fig.1b is used for the FoG detection and it 228 is calculated as the angle formed between two 3D vectors: 229 the negative y-axis and the gravity axis (G). It is worth 230 noticing that the angle β is solid and, therefore, does not lie 231 in the median plane. To detect FoG and calculate all the gait 232 statistics, we need to analyze the projection of the β angle onto 233 the median plane. In this way, any information on the rotation 234 around the G axis is ignored. Eventual discontinuities of the 235 β angle when it changes the sign, and consequent problems 236 in angle derivation, can be easily overcome by conventional 237 mathematical techniques. 238

²³⁹ The angular velocities ω_{right} , ω_{left} obtained after the ²⁴⁰ β angle derivation were used as the input for the FoG ²⁴¹ detection algorithm. That algorithm calculated the first order

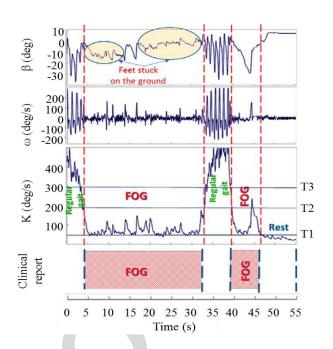


Fig. 2. Algorithm A1: representation of the angle (â), the angular velocity (ù) and K during a typical test. Our clinical absolute reference is also reported.

low-pass filtered angular velocities. We defined as ω_t and k_t , 242 respectively, the right/left angular velocity and the lowpass filter measured at time t, k_{t-1} the value of k at the previous step, α the smoothing coefficient set by the cutoff frequency (f_{cutoff}): 246

$$kright = lowpass(|\omega right|)$$
(1a) 24

$$kleft = lowpass(|\omega left|) \tag{1b} 24$$

$$kt = (1 - \alpha) \cdot \omega t + \alpha \cdot kt - 1$$
 (1c) 24

$$\alpha = (1 + 2\pi \cdot fcutoff/fs)^{-1} \qquad (1d) \quad {}_{250}$$

In System 1, it was: $f_{cutoff} = 0.83$ Hz, fs = 60 Hz, $\alpha = 0.92$. ²⁵¹ Finally, the index *K* was defined: ²⁵²

$$K = kleft + kright.$$
(1e) 253

Patients were asked to wear the sensors and walk some 254 steps, turn and go back. All the tests were filmed and the 255 films were studied by doctors who determined the exact onset 256 and ending times of the freezing episodes. Those clinical 257 statements represented our absolute reference, which allowed 258 to define three threshold values of the K index (T1-T3) to 259 classify four stationary states: regular gait (K > T3), pre-260 FoG time (T3> K >T2), FoG state (T2> K >T1) and 261 rest state (K < T1). Once the values of T1-T3 were fixed 262 for a certain patient, they remained unchanged for the whole 263 duration of the monitoring. 264

Distinguishing correctly the involuntary block (i.e., the FoG) 265 from the voluntary block is crucial because in real time a 266 false negative (i.e., a FoG episode classified as a voluntary 267 block) would not switch on the audio-feedback. At the same 268 time, a false positive (i.e., a voluntary block classified as 269 FoG) would switch on the audio feedback when not necessary, 270 thus confusing the patient. In Fig. 2 we can see how the 271 algorithm A1 works. In that test the patient was a female, 272

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Fig. 3. Sketch of System 2: the two sensors are positioned on the shins, a smartphone is used as portable receiver, a headphone is wireless connected for the auditory feedback, a PC is connected to the smartphone via the wifi. The information and database can be shared in a cloud.

over 65, in an advanced stage of the disease. The behavior 273 of the angle (β), the angular velocity (ω) and the K index 274 are shown as function of the test time. As one can see, the β 275 and ω curves varied consistently in the different portions of 276 the figure. In particular, it is easy to appreciate an oscillatory 277 behavior of β and ω during the regular gait (0-4 s; 32-39 s) 278 and a flatness during the rest state (46-55 s). The K index 279 exhibited a wide variability. 280

The clinical report by doctors about the exact FoG timing is 281 indicated in the bottom. They referred the occurrence of two 282 FoG episodes, between 4 and 32 s and between 39 and 46 s. 283 The comparison between the K index and the clinical report 284 allowed defining the T thresholds for the state classification. 285 A strength of this kind of systems is the possibility to distin-286 guish between the rest state and the FoG thanks to the fact 287 that during a FoG sensors are able to detect any least activity 288 related to leg muscle contractions. To this regard, looking at 289 Fig.2 one can see that during the test the patient interrupted 290 abruptly the regular gait for two times remaining involuntarily 291 blocked with the feet stuck on the ground. During those time 292 intervals, the sensors revealed the muscle contractions and the 293 FoG episodes were correctly classified by the algorithm. 294

Algorithm A1 was tested on 16 patients, the time of each detected FoG was compared with the clinical reference. As a result, 94.5% sensitivity and 96.7% specificity were got [15].

IV. UPGRADING THE SYSTEM: SYSTEM 2, ALGORITHM A2

System 1 suffered by a severe constraint imposed by the 300 wireless communication between the sensors and the PC, when 301 the maximum distance covered by the communication protocol 302 was exceeded. System 2 releases that constraint thanks to the 303 use of a portable receiver (a smartphone) and can be used 304 outdoor for the real-time detection of FoG eventually giving an 305 auditory stimulation. System 2 is sketched in Fig.3. It consists 306 on the two sensors on the shins, a smartphone, a headphone 307 for the auditory feedback and a PC for the data storage and 308 processing. The information and database can be shared in a 309 cloud. Using a smartphone, we set the sampling frequency (f_s) 310 to 25Hz. This has a benefit in that the number of transmitted 311 data and the number of operations per unit time are lower 312 than in the case at 60 Hz, thus improving the sensors and 313 smartphone battery life. In turn, setting $f_s = 25$ Hz does not 314 present any drawbacks in the detection since the characteristic 315 band of muscle tremors in PD lies well below 25 Hz. 316

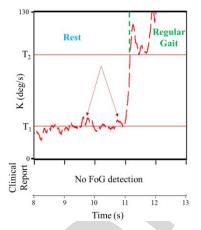


Fig. 4. Algorithm A1: Representation of typical fluctuations of the K index around T1 leading to "micro crossings" of the threshold.

From the soft viewpoint, a few problems had emerged 317 with algorithm A1. Those issues and the solutions provided 318 in algorithm A2 will be deeply discussed in the following. 319 They regard: 1) unreliable identification of pre-FoG times; 320 2) micro-crossings of the thresholds, 3) slow variations of 321 K during threshold crossings, 4) possible false FoG detection 322 during body turning and 5) possible false FoG detection during 323 body swing. For clarity, we will go through five intermediate 324 steps, which will be called A2.1-A2.5, each addressing one of 325 the issues listed before: the step A2.2 includes the solutions 326 implemented in step A2.1, the step A2.3 includes the solutions 327 implemented in step A2.2, and so on. 328

A. Step A2.1 Against Unreliable Identification of Pre-FoG Times

The first change is the elimination of the threshold ³³¹ T3 related to the identification of a pre-FoG time (T3> 332 K > T2). The pre-FoG time was introduced in A1 to outline ³³³ the transition between the regular gait and the FoG and vice ³³⁴ versa, although it actually does not correspond to a state. The ³³⁵ reason was that forecasting the FoG is highly desired for a ³³⁶ timely feedback to the patient. ³³⁷

Unfortunately, the occurrence of pre-FoG episodes appeared 338 extremely arbitrary, subject to a wide variability between one 339 patient to another and also, for the same patient, between 340 one test to another. Around 50% of the tests revealed abrupt 341 transitions between the two states while the other 50% revealed 342 up to a few seconds in passing from one state to another. 343 Furthermore, the risk that voluntary step shortening and slow-344 down were interpreted as pre-FoG was consistent. So, after a 345 care evaluation of the whole set of tests, we concluded that 346 the identification of a pre-FoG time was not reliable and also 347 potentially dangerous for the patient. Therefore, in algorithm 348 A2 the K dynamics includes just two thresholds and three 349 states: rest state, when K lies in the interval [0-T1]; FoG state, 350 when K lies in the interval [T1-T2]; regular gait, K > T2. 351

B. Step A2.2 Against False Classifications Due to Threshold Micro-Crossings of the K Index

We define as "micro crossings" of the thresholds the fluctuations of the K index around the values T1 and T2 which 355

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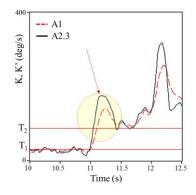


Fig. 5. Representation of the typical delay of the K (K') index calculated with Algorithm A1 (A2.3) when crossing the threshold T1.

lead to classifications different from the real states of the 356 patient. To elucidate the concept, we consider the K index 357 graph reported in Fig.4, obtained with algorithm A1. It relates 358 to a patient who was first in the rest state and then started 359 walking at time 11 s. As one can see, during the rest state the 360 K index fluctuated around the T1 threshold (as outlined by the 361 arrows). Algorithm A1 classified those time intervals as short 362 FoG episodes, although the clinical reference did not. This 363 is an example of false positive. We solve this problem in this 364 step. Step A2.2 includes the activation of a waiting time (t_{wait}) 365 as soon as the K index crosses one of the thresholds, at the 366 end of which the value of K is checked again. The state is 367 classified after this procedure. 368

Two different waiting times are needed, depending whether 369 getting out of the T1-T2 interval (FoG interval) or entering it. 370 In fact, in the former case just one threshold will be crossed 371 for sure (T1, if the patient releases the block going into the 372 rest state, T2 if the patient releases the block starting walking), 373 while in the latter case one or both thresholds will be crossed 374 and the waiting time needs to be longer. The introduction of 375 t_{wait} implies a delay in the classification, which can be an 376 issue if a FoG episode is occurring. 377

Therefore, the final choice of t_{wait} should be a compromise between the necessity of a reliable classification and the maximum acceptable delay in FoG detection. In A2.2, we set $t_{wait} = 100$ ms when getting out the T1-T2 interval and $t_{wait} =$ 400 ms when getting into that range.

C. Step A2.3 Against Slow Variations of K During Threshold Crossings

We consider a zoom of Fig.4 in the time interval between 385 10 s and 12.5 s. This is reported in Fig.5, with the red 386 dashed curve (algorithm A1). As one can see, using A1 the 387 transition of K from T1 to T2 took a time around 100 ms, 388 which included the time constant $\tau = 1/(2\pi \cdot f_{cutoff})$ and 389 corresponds to the time spanned by K for a 3dB variation. 390 In algorithm A1 f_{cutoff} was 0.83 Hz during the whole test 391 time, regardless if the patient was in a stationary state or was 392 making a transition between two states. To reduce this delay 393 time, higher values of f_{cutoff} would be desired. The new 394 algorithm A2.3 introduces a mechanism that adapts the α 395 coefficient in order to make f_{cutoff} higher when K crosses 396

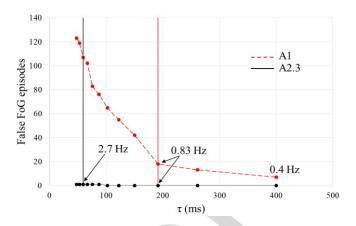


Fig. 6. The number of false FoG detections is plotted against the delay time of the FoG detection when the patient passed from regular gait to a FoG state, for the two algorithms. Points are calculated with different cutoff frequencies.

a threshold. However, increasing f_{cutoff} the stability of the 397 K index degrades, meant as the fluctuations of K around a 398 threshold, which can induce false FoG detections. In Fig.6 the 399 calculated number of false FoG detections in a real test is 400 plotted against the time constant τ , when the K index entered 401 the T1-T2 interval. The points correspond to different cutoff 402 frequencies in the range 0.4-3.35 Hz, with a 0.23 Hz step. 403 Looking at the curve calculated with algorithm A1, one can 404 see that raising f_{cutoff} , the high-frequency components of the 405 K index become more evident, increasing its instability and 406 introducing many false FoG detections. On the other hand, τ 407 is inversely proportional to f_{cutoff} , so a high value of f_{cutoff} 408 is desired to reduce delay. 409

As for the curve calculated with A2.3, its value is zero 410 in most of that interval and starts raising for f_{cutoff} above 411 ~ 2 Hz. Thus the final choice of f_{cutoff} in algorithm A2.3 is 412 a trade-off between the need to have a short delay time 413 in transitions and the need to have a stable K index 414 in the stationary states. In conclusion, we definitely set 415 $f_{cutoff} = 0.83$ Hz (corresponding to $\alpha = 0.827$) in stationary 416 states and $f_{cutoff} = 2.7$ Hz (corresponding to $\alpha = 0.6$) when 417 passing thresholds. As a result, in Fig.5, the curve calculated 418 with the algorithm A2.3 exhibits much shorter transition times 419 than the other one. 420

It is worth noticing that the frequency 0.83 Hz falls below 421 the characteristic interval of FoG frequencies [2-6Hz], and that 422 the attenuation at 6Hz is approximately 18dB. Although this 423 attenuation seems rather high, it is necessary for the correct 424 operation of the algorithm. In fact, we need to distinguish 425 the FoG episode from both the rest state and the regular gait. 426 As for the regular gait, its K amplitude is much higher than 427 in FoG, as outlined in Fig.2, and the higher the attenuation in 428 the FoG band the easier the capability of distinguishing the 429 regular gait from the FoG. On the other hand, in the rest state 430 we notice that in principle the K amplitude should be zero 431 after low-pass filtering, apart from the eventual random drift 432 of sensors. To this regard, we recall that the implementation 433 of the fusion algorithm incorporated gyroscope bias drift 434 compensation [28]. This implied that the random drift was 435 always negligible apart from around the gravity axis. 436

 TABLE I

 Values of the Adaptive Cutoff Frequency (FS=25 Hz)

| К | Action | f _{cutoff} (Hz) |
|----------------------------------|-------------------------|--------------------------|
| Stationary: K in [0 – T1] | Classify "rest state" | 0.83 |
| $[0-T1] \rightarrow [T1-T2]$ | Wait 400ms & verify | 2.7 |
| $[T1 - T2] \rightarrow [0 - T1]$ | Wait 100 ms & verify | 2.7 |
| Stationary: K in [T1-T2] | Classify "FoG" | 0.83 |
| $[T1 - T2] \rightarrow [K > T2]$ | Wait 100 ms & verify | 2.7 |
| K>T2 → [T1 – T2] | Wait 400ms & verify | 2.7 |
| Stationary: [K >T2] | Classify "regular gait" | 2.7 |

However, also the latter drift contribution was filtered out by 437 eliminating the component of limb rotation around the gravity 438 axis, as it is not necessary for the algorithm. Furthermore, any 439 residual drift coming from the accelerometer was filtered out 440 too, by the fact that we based our calculations on the derivative 441 of the angle. In conclusion, the K amplitude in the rest state is 442 due only to sensor thermal and residual mechanical noise and 443 lies typically 10 dB below the FoG K amplitude after low-pass 444 filtering. So, distinguishing the FoG from the rest state in not 445 a concern. 446

In Table I, the first column indicates the condition of 447 K (stationary or threshold crossing). In the second column, 448 the algorithm actions are defined. The third column shows 449 the corresponding values of f_{cutoff} definitely used in A2.3 at 450 25 Hz. In the bottom raw of Table I the stationary state 451 with K>T2, classified as regular gait, is characterized by 452 $f_{cutoff} = 2.7$ Hz. This choice was made because there is 453 the possibility that the patient suddenly stops voluntarily, 454 causing an abrupt decrease of K, thus spanning on a wide 455 dynamics. In this case, a lower cutoff frequency would reflect 456 in a longer reaction time of the system. This is paid with 457 a greater variability of K in the regular gait state, whose 458 effects include some micro over-crossings of thresholds, which 459 however are now ignored having introduced the waiting time 460 in the step A2.2. 461

462 D. Step A2.4 Against False FoG Detection 463 During Body Turning

This problem may arise when the patient turns. In some 464 case, body turning induces FoG, but more generally, body 465 turning is accompanied by natural step shortening and move-466 ment slowdown. In any case, algorithm A1 classified those 467 slow movements as FoG episodes, since K remained in the 468 interval T1-T2. To elucidate the concept, the red dashed curve 469 in Fig.7a represents the K index calculated with algorithm A1, 470 during a patient turning (starting at time t = 19 s). Doctors 471 reported that the patient experienced a FoG only at the end of 472 the turning, whereas the algorithm A1 detected a FoG in the 473 whole interval between the two red dashed lines. 474

To solve this problem, in the step A2.4 we introduce a turning coefficient, K_{turn} . K_{turn} is calculated by considering the pure raw signal of the angular velocity around the sensor y-axis only (ω_Y), which corresponds to the negative G-axis

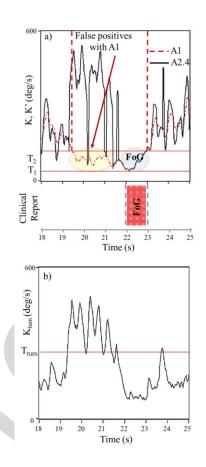


Fig. 7. (a) Curves of the K index obtained with algorithm A1 (dashed red line) and of the K' index obtained with algorithm A2.4 (black continuous line), relative to a patient who turned after the time t = 19 s. The clinical absolute reference is also reported. (b) Curve of the K_{turn} index in the same interval.

when the shin is at the vertical position (refer to Fig.1b):

$$Kturn = lowpass(|\omega y|) \tag{2a}$$
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The introduction of K_{turn} is necessary since K does 481 not contain any information about the rotation around the 482 y-axis. On the other hand, the accelerometer does not give 483 any information during a rotation, so that in K_{turn} it is 484 not necessary to compute the fusion between gyroscope and 485 accelerometer. Then, another threshold T_{turn} is defined, relative 486 to K_{turn} . The K_{turn} curve is displayed in Fig.7b in the same 487 timescale of K. As one can see, K_{turn} is always under the 488 threshold T_{turn} apart from during the turning. 489

So, in algorithm A2.4 we define a new index:

K' = K + Kturn for Kturn > Tturn (2b) 491

$$K' = K \text{for} K turn \le T turn \tag{2c} \quad {}_{492}$$

The curve of the K' index calculated with algorithm A2.4 is drawn in Fig.7a with the black continuous line. It correctly reports a short FoG only in the interval 22s - 23s.

E. The New Algorithm: Step A2.5 Against False FoG Detection During Body Swing

Here we define as body swing the oscillations of the trunk 498 occurring in the frontal plane (Fig. 8). Body swing is a 499

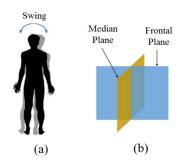


Fig. 8. Representation of the body swing in the frontal plane.

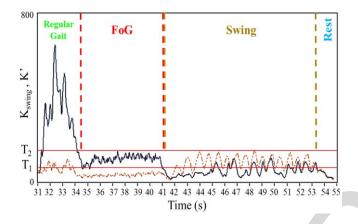


Fig. 9. Curve of the K' index (black continuous line) obtained with algorithm A2 and curve of the K_{swing} index (brown dashed line) during a specific test performed on a healthy person oscillating the trunk.

recurrent postural habit of some people when they are in reststate, which is not related with any symptom of the PD.

During those oscillations a muscle activity is present in the 502 inferior limbs, since the body weight switches from right to 503 left. There is the risk that this muscle activity is erroneously 504 interpreted as FoG. It is detected by the sensors on the shins as 505 small variations of the gyroscope signal mainly on the z-axis. 506 In order to avoid that those rest states accompanied by least 507 leg muscle activity were classified as FoG events, we define a 508 new coefficient called K_{swing} as the low pass filtered module 509 of the raw gyroscope signal ω_Z : 510

$$Kswing = lowpass(|\omega z|) \tag{3}$$

⁵¹² If $K_{swing} > K'$, it is not a FoG episode. This procedure makes a ⁵¹³ comparison between the movements in the median and in the ⁵¹⁴ frontal plane sketched in Fig. 8. If the rotation in the frontal ⁵¹⁵ plane (around the sensor x-axis) is bigger than the rotation in ⁵¹⁶ the median plane (around the sensor z-axis), we are dealing ⁵¹⁷ with a body swing, not with a FoG.

We did not find any patient with the attitude of body swinging and the test was performed on healthy persons. The persons were asked to walk regularly, then to block and mimic a FoG, then to swing the body, then to rest.

In Fig.9 there are drawn the curves of K' (black continuous line) and K_{swing} (brown dashed line) during a test. As one can see, in the body swing time (41s-53s) it is $K_{swing} > K'$. In that time interval, the algorithm A2.5 does not report FoG,

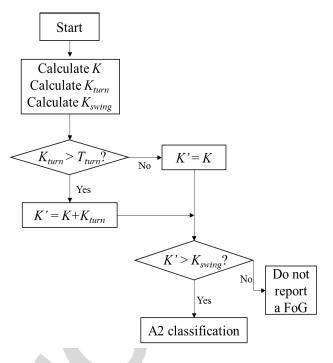


Fig. 10. Block scheme of Algorithm A2 operation.

 TABLE II

 Differencies Between Algorithms A1 and A2

| Algorithm A1 | Algorithm A2 | Comment | |
|-----------------------------|---|---|--|
| 3 thresholds | 2 thresholds (step A2.1) | Abolished the pre- FoG state | |
| Static threshold evaluation | Dynamic threshold evaluation (step A2.2) | Reduced false positives in Ti micro- crossings | |
| Constant f_{cutoff} | Adaptive f _{cutoff} (step A2.3) | Reduced delay in classification, false reports | |
| K | $K' = K + K_{turn}$ (step A2.4) | Reduced false reports in body turning | |
| Κ | K' vs K _{swing} (step A2.5) | Reduced false reports in body swing | |

whatever the value of the K'index (the black curve). In the other intervals, it is always $K_{swing} < K'$.

F. Summarizing the Algorithm A2 Operation

Algorithm A2 includes all the improvements discussed in 529 the steps from A2.1 to A2.5. A block scheme of A2 operation 530 is sketched in Fig.10. The algorithm initiates with the calcu-531 lation of K, K_{turn} and K_{swing} , as discussed in the previous 532 sub-sections. Then K_{turn} is compared with the threshold T_{turn} 533 and only in the case $K_{turn} > T_{turn}$ a new index K' is defined 534 following eqs.2b and 2c. Then, the new index K' is compared 535 with K_{swing} . If $K' > K_{swing}$, then the algorithm A2 carries 536 on the classification of the state, which does not include 537 the possibility of a body swing. If not, the leg movement is 538 interpreted as a body swing. 539

We conclude this Section with an overview of the differences between the two algorithms, listed in Table II. The five 541

TABLE III System Performances With Algorithms a1 and a2

| Average on 32 patients | Specificity | Sensitivity | Precision | Accuracy |
|---------------------------|-------------|-------------|-----------|----------|
| A2 | 97.57% | 93.41% | 89.55% | 97.56% |
| A1 | 96.97% | 92.31% | 87.55% | 97.10% |
| Improvement | +0.60% | +1.10% | +2.01% | +0.46% |

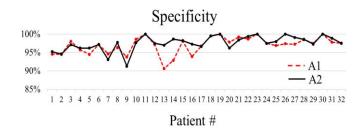


Fig. 11. The specificity of our system using algorithm A2 (black continuous line) or algorithm A1 (red dashed line) is drawn for each patient. Points are the average of four tests.

rows correspond respectively to the five changes operated in
 steps A2.1-A2.5, and the third column reports about each step
 achievement.

V. RESULTS

In this work, 32 patients have been studied (22 males and 10 females) whose age varied from 55 to 82 (average of 63). The test was the same for all of them and consisted on: an 8 mt long walk, turning and walk back. Patients passed through an open door, which represented a virtual obstacle potentially inducing a FoG.

Each patient repeated the test several times, so that the 552 total number of tests is 128. We detected FoG episodes on 553 25 of the investigated patients. Table III resumes the average 554 performance of the system in terms of specificity, sensitivity, 555 precision and accuracy in FoG timing respect to the entire test 556 time, for the two algorithms. In each test the clinical report was 557 our absolute reference. The time of the FoG episodes detected 558 by our system respect to the absolute reference was calculated. 559 560 The two algorithms have been applied on the same dataset. As one can see, the average performances with algorithm 561 A2 improved respect to A1. 562

In the case of patients exhibiting specific attitudes, the improvement obtained with algorithm A2 is much more consistent than the average value listed in Table III.

In fact, a few patients exhibited individual ways of walking 566 and turning the body, which sometimes were mis-interpreted 567 as FoG events by algorithm A1, but were correctly interpreted 568 by algorithm A2. This is elucidated in Fig.11, where the 569 specificity calculated with algorithm A2 is compared with that 570 calculated with algorithm A1 for every patient. Each point of 571 the plot corresponds to a single patient and is the average of 572 four tests. Referring to Fig.11, algorithm A1 exhibited major 573 problems with patients #13, #14, #16, #26. In details, patient 574 #13 had the habit to walk dragging the right leg, patient#14 and 575 #26 slowed and shortened the steps while turning to almost 576

stopping and, finally, patient #16 stopped continuously while 577 walking, probably because this helped him to concentrate on 578 the steps. All those behaviors were sometimes mis-interpreted 579 by algorithm A1, which in fact detected many more FoG 580 events respect to the reality. On the contrary, those uncer-581 tain behaviors are now correctly interpreted by algorithm 582 A2 thanks to the dynamic threshold evaluation, the adaptive 583 cutoff frequency and the new parameter K_{turn} . 584

For all the other patients, algorithms A1 and A2 work 585 similarly with very slight differences. Those minor differences 586 are due to the fact that each patient exhibits FoG episodes of 587 different duration: the same patient sometimes blocks for a 588 fraction of second and some other times for many seconds. 589 Now, when the FoG lasts around one second, the 400 ms 590 delay introduced by algorithm A2 (A2.2) has a percentage 591 effect which is not negligible, and worsen the FoG detection. 592

On the contrary, when the delay is much shorter than the block duration, the algorithm A2 works better than A1. In general, since the FoG time durations are not predictable a priori and are randomly distributed, the two curves in Fig.11 look very close with very slight positive or negative differences due to the statistical distribution of the FoG episode duration. 598

In conclusion, algorithm A2 is robust respect to possible 599 noise sources introduced by individual patient attitudes. The 600 only penalty in using algorithm A2 respect to A1 is the 601 introduction of a delay of 400 ms in FoG detection. Of course, 602 this is not a problem at all in off-line processing, since the 603 resolution of our absolute reference is even longer. However, 604 also in real time operation, in case that an auditory feed-back 605 is to be given, a delay of 400 ms does not affect significantly 606 the functioning. 607

Finally, to further verify the system reliability, we also performed 20 tests on 10 healthy persons. The healthy persons made the same exercise as the patients, voluntarily stopping sometimes during the walk, shortening and slowing down the steps, oscillating the body. As a result, no one FoG episode was classified with algorithm A2, obtaining the 100% specificity and accuracy in this set of tests.

VI. CONCLUSIONS

A wearable wireless sensing system for assisting patients 616 affected by Parkinson's Disease is proposed. It uses MEMS 617 inertial sensors to recognize specific kinetic features associated 618 to motion disorders as involuntary gait blocks, typical of (but 619 not limited to) the PD. The system is designed for outdoor and 620 indoor applications. Two sensors are positioned on the shins 621 and are wireless connected to a portable receiver (a smart-622 phone) which operates in real time and eventually provides an 623 auditory stimulation to the patient in specific risky cases, as the 624 involuntary Freezing of Gait episodes. The portable receiver 625 can be connected with the home wireless LAN to transmit data 626 to a PC, which operates offline for data storing and processing. 627

The proposed algorithm (A2) for the classification of the gait states is based on a time domain analysis. It makes a processing of the angular velocities calculated by operating a fusion between the accelerometer and the gyroscope signals. An index K' is obtained after low-pass filtering the angular velocities. The index K' is compared with thresholds defined

after a preliminary calibration of the system, made through an 634 absolute clinical reference. 635

Algorithm A2 starts from another algorithm (A1), respect 636 to which it includes main changes devoted to the correct 637 classification of the FoG episodes in the presence of noise. 638 The noise sources treated in this work are due to minor 639 behaviors in time of the K' index and to specific individual 640 attitudes of some patients while walking, resting, turning. 641 A dynamic evaluation of the thresholds reduces the false 642 positive classifications of FoG in the case that the parameter K'643 shows micro-over crossings of the thresholds. A mechanism 644 of adaptive cutoff frequency reduces the delay time in the 645 classification of the gait states and reduces the occurrence 646 of false positives and false negative classification of FoG 647 episodes. A correction in the case of body turning reduces 648 the possibility that steps shortening and movement slowdown 649 are classified as FoG episodes. Finally, a correction in the case 650 of body swing reduces the possibility that least leg movements 651 due to body oscillations are classified as FoG episodes. 652

Repeated standard tests were performed on a group of 32 PD 653 patients of different age, gender and disease stage, and on a 654 control group of 10 healthy persons. As a result, the overall 655 system performances feature a specificity and a sensitivity 656 of 97.6% and 93.4%, respectively, were achieved on the 657 patients group and a specificity and accuracy of 100% on 658 the healthy control group. Algorithm A2 demonstrated robust 659 with those patients exhibiting specific individual ambiguous 660 attitudes while turning, walking or resting, where the previous 661 algorithm A1 failed. Finally, we wish to notice that those 662 performances are statistically meaningful thanks to the amount 663 of persons monitored in this work. 664

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