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Estuarine Nitrifiers: New Players, Patterns and Processes

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- 1 Abstract
- 2

3 Ever since the first descriptions of ammonia-oxidizing bacteria by Winogradsky in the late 4 1800's, the metabolic capability of aerobic ammonia oxidation has been restricted to a 5 phylogenetically narrow group of bacteria. However, the recent discovery of ammonia-oxidizing 6 Archaea has forced microbiologists and ecologists to re-evaluate long-held paradigms and the 7 role of niche partitioning between bacterial and archaeal ammonia oxidizers. Much of the 8 current research has been conducted in open ocean or terrestrial systems, where community 9 patterns of archaeal and bacterial ammonia oxidizers are highly congruent. Studies of archaeal 10 and bacterial ammonia oxidizers in estuarine systems, however, present a very different picture, 11 with highly variable patterns of archaeal and bacterial ammonia oxidizer abundances. Although 12 salinity is often identified as an important factor regulating abundance, distribution, and diversity 13 of both archaeal and bacterial ammonia oxidizers, the data suggest that the variability in the 14 observed patterns is likely not due to a simple salinity effect. Here we review current knowledge 15 of ammonia oxidizers in estuaries and propose that because of their steep physico-chemical 16 gradients, estuaries may serve as important natural laboratories in which to investigate the 17 relationships between archaeal and bacterial ammonia oxidizers. 18

1 1. Introduction

2 Nitrification, the sequential oxidation of ammonia to nitrite and then nitrate, is a critical 3 step in the nitrogen cycle, and is carried out by phylogenetically and physiologically distinct 4 microorganisms. In coastal systems, nitrification is often coupled to denitrification (Jenkins and 5 Kemp, 1984; Sebilo et al., 2006), resulting in the ultimate return of nitrogen to the atmosphere. 6 Thus, the fate of ammonia plays a major role in the regulation of primary productivity, 7 particularly in marine systems where nitrogen is often the limiting nutrient (Howarth, 1988). 8 Despite the obvious ecological importance of nitrification, regulation of microbial populations 9 that mediate it is poorly understood, particularly as new pathways of ammonia oxidation and 10 new groups of ammonia-oxidizing organisms are discovered. Identifying environmental factors 11 that regulate the diversity, distribution, and activity of nitrifiers is paramount to gain a more 12 complete understanding of nitrogen-cycling, particularly in nitrogen-sensitive environments, 13 such as estuaries and salt marshes.

14 The mixing of freshwater and saltwater in estuaries and salt marshes creates steep 15 physico-chemical gradients that are accompanied by shifts in the resident microbial 16 communities. Steep gradients of salinity, nitrogen, pH, oxygen, sulfide, and organic loading are 17 common and some of these have been shown to correlate with shifts in microbial communities 18 (del Giorgio and Bouvier, 2002; Crump et al., 2004; Hewson and Fuhrman, 2004; Bernhard et 19 al., 2005). Many of the parameters known to shift along the estuarine gradient are also known to 20 be important factors impacting ammonia oxidizers (e.g. Bernhard et al., 2005; Bernhard et al., 21 2007; Mosier and Francis, 2008; Santoro et al., 2008). Because of the steep gradients and the 22 documented changes in activity and community composition of nitrifiers in estuaries and salt 23 marshes, these habitats are ideal natural laboratories in which to study the dynamics of nitrifiers.

To date, there are no known microbes that can oxidize ammonia all the way to nitrate.
Instead, ammonia-oxidizers convert ammonia to nitrite, while another group of organisms,
nitrite-oxidizing bacteria, convert nitrite to nitrate. Until recently, the only known aerobic
ammonia oxidizers belonged to two separate lineages within the domain *Bacteria*, the *Beta-* and

Gammaproteobacteria (Woese et al., 1984; Woese et al., 1985). Ammonia-oxidizing bacteria
(AOB) use O₂ as their electron acceptor and NH₃ as their sole energy source, channeling some of
the energy produced to fix CO₂. Although both ammonia- and nitrite-oxidizers are required for
the complete oxidation of ammonia, most research has focused on ammonia oxidizers since they
carry out the rate limiting step (Prosser, 1989).

6 What was once a fairly simple phylogenetic and physiological picture, however, is now 7 known to be far more complex. The recent discovery of aerobic ammonia oxidation within the 8 domain Archaea (Könneke et al., 2005; Treusch et al., 2005) has led to a dramatic shift in the 9 current model of nitrification, and to new questions of niche differentiation between ammonia 10 oxidizing Archaea (AOA) and the more well-studied AOB. Much of what we know about 11 marine AOA has come from studies in open ocean environments, where patterns of AOA are 12 generally similar, with AOA far outnumbering AOB (Wuchter et al., 2006; Mincer et al., 2007; 13 Beman et al., 2008), suggesting that AOA are likely the predominant nitrifiers in the ocean. 14 However, several recent studies of AOA and AOB in estuarine systems suggest a more complex 15 picture of ammonia oxidizer ecology, so we focus here on the ammonia oxidizers in estuarine 16 systems, where the patterns and processes appear to differ significantly from those in pelagic 17 systems.

18

19 1. 1. Nitrification in estuaries and salt marshes

20 Effects of changes in physico-chemical conditions along an estuarine gradient on 21 nitrification rates have been well documented, and indicate decreased nitrification as the salinity 22 increases (Seitzinger, 1988; Rysgaard et al., 1999). However, the precise cause of the decrease 23 in nitrification is less clear. It is known that salinity plays a major role in controlling NH₄⁺ 24 adsorption capacity of the sediment (Boatman and Murray, 1982), with increased NH_4^+ efflux as 25 salinity increases (Boynton and Kemp, 1985). But salinity has also been shown to affect species 26 composition of nitrifying communities (de Bie et al., 2001; Bollmann and Laanbroek, 2002), and 27 functionally distinct communities of AOB along a salinity gradient have recently been reported

(Bernhard et al., 2007). Thus, decreases in nitrification may be due to substrate limitation or,
 alternatively, a shift in the nitrifying community.

3 Nitrification has received much attention in estuaries and other coastal systems, but 4 relatively few studies have been conducted in salt marshes. Despite the paucity of measurements 5 in salt marshes, reported values of nitrification vary widely, and may depend on the type of 6 vegetation sampled or the methods used. Dollhopf et al. (2005) found an order of magnitude 7 difference in nitrification rates in tall-form Spartina alterniflora compared to short-form S. 8 alterniflora and unvegetated creek banks. However, Moin et al. (2009) reported no significant 9 differences in potential rates among three different salt marsh grasses. Other studies have 10 reported nitrification in only one type of vegetation (Anderson et al., 1997; Tobias et al., 2001) 11 or have not provided detailed information on the vegetation types (Thompson et al., 1995). 12 Because the roots help to oxygenate the sediments (Mendelssohn et al., 1981), it is thought that 13 this may help to stimulate nitrification (An and Joye, 2001). Conversely, others have reported 14 inhibition by the presence of microalgae, possibly due to competition for ammonium (Risgaard-15 Petersen et al., 2004). Therefore, our understanding of nitrification in salt marshes and the 16 microorganisms responsible is severely lacking at present, despite the importance of nitrification 17 in regulating nitrogen in these systems.

18 It is possible that much of the variability observed among nitrifying communities in 19 estuaries and salt marshes is due at least in part to the difficulties of collecting comparable 20 samples in these systems. Tidal cycles, type of vegetation or distance from vegetation are all 21 critical factors that may significantly impact the communities that are present or active, but are 22 often not adequately reported or accounted for in the studies. As tides ebb and flow, edaphic 23 conditions may shift dramatically over only a few hours. The dynamic nature of estuaries and 24 salt marshes may create a unique natural laboratory for studying nitrifying communities and the 25 factors that regulate them, but it also creates inherent sampling difficulties and subsequent 26 comparisons between studies, and may contribute to some of the variability in estuarine 27 nitrifying communities.

1

2 1.2 Taxonomy of AOB

The majority of AOB form a monophyletic lineage within the *Betaproteobacteria* (Figure
1), comprised of two major genera *Nitrosomonas* and *Nitrosospira* (Head et al., 1993). Two
other species, *Nitrosococcus oceani* and *N. halophilus*, are affiliated with the *Gammaproteobacteria* (Holmes et al., 1995; Purkhold et al., 2000), and appear to be restricted to
marine or saline environments.

8 All autotrophic aerobic ammonia-oxidizing bacteria have ammonia monooxygenase 9 (AMO), the enzyme responsible for the first step in ammonia oxidation. The gene encoding the 10 alpha subunit of AMO (amoA) has been used extensively as a molecular marker for studies of 11 ammonia oxidizer diversity and distribution (see review by Kowalchuk and Stephen, 2001). The 12 forms of AMO differ significantly between the Beta- and Gammaproteobacteria AOB. In fact, 13 analysis of *amoA* sequences (the gene encoding the subunit containing the active site) indicate 14 that genes from Gammaproteobacteria AOB have higher similarities to sequences of pmoA, the 15 gene coding for a subunit of the particulate methane monooxygenase, than to amoA genes from 16 the *Betaproteobacteria* AOB (β -AOB) (Holmes et al., 1995). However, due to the apparent lack 17 of gammaproteobacterial ammonia oxidizers in estuaries (Bernhard et al., 2005; Ward et al., 18 2007) or salt marshes (Moin et al., 2009), they will not be considered further in this review. 19 Although all aerobic AOB carry out the same basic metabolic processes, there exists 20 great ecophysiological diversity among the cultivated strains. The β -AOB are represented by 14 21 cultivated strains (Koops and Pommerening-Roser, 2001), divided into two main clusters, 22 Nitrosomonas and Nitrosospira. The greatest physiological and phylogenetic diversity exists 23 within the Nitrosomonas cluster, which contains the type species, Nitrosomonas europaea. 24 Several species (Nitrosomonas marina, Nitrosomonas aestuarii, and Nitrosomonas cryotolerans) 25 are obligately halophilic, while the remaining species in the Nitrosomonas and Nitrosospira 26 genera either have no salt requirement, are halotolerant or moderately halophilic (Koops and 27 Pommerening-Roser, 2001). Among the 14 species, there are also widely differing substrate

affinities, with *Nitrosomonas oligotropha* and *Nitrosomonas ureae* displaying the lowest K_s
values (1.9-4.2 µM NH₃), and *N. europaea* and related species having the highest K_s values (3061 µM NH₃) (Koops and Pommerening-Roser, 2001). Based on the broad physiological
differences among the AOB, one might expect that they will be differentially distributed in the
environment.

6 Unfortunately, most cultured ammonia-oxidizing bacteria do not represent the majority of 7 AOB found in natural environments based on sequence analysis of 16S rRNA genes and the 8 functional gene, ammonia monooxygenase (see review by Kowalchuk and Stephen, 2001). The 9 lack of environmentally-relevant AOB in culture collections represents a significant barrier to 10 understanding their ecophysiology and is likely a reflection of substantial differences in their 11 nutritional requirements and physiological limitations compared to cultivated AOB.

12

13 1.3 Discovery of Ammonia Oxidizing Archaea

14 Until recently, our understanding of the diversity of aerobic ammonia oxidizers was 15 restricted to members of the Proteobacteria. However, the new taxonomy for ammonia 16 oxidizers must now include members from the Domain Archaea. When Archaea were first 17 described in the late 1970's, they were considered extremophiles, found primarily in 18 environments such as hydrothermal vents, acidic hot springs, and anoxic environments. 19 However, in the early 1990's DeLong (1992) and Fuhrman et al. (1992) independently 20 documented the unexpected presence in temperate ocean samples of members of a group of 21 Archaea known as the Crenarchaeota. The group of marine Archaea was named the Group I 22 Crenarchaea (Figure 2) and have been found to account for up to 20% of the picoplankton and are estimated to account for up to 10^{28} cells in the world's oceans (Karner et al., 2001). 23 24 Additional studies revealed that members of the *Crenarchaeota* closely related to marine Group I 25 were also found in soils (Bintrim et al., 1997) and freshwater sediments (MacGregor et al., 26 1997). Despite their ubiquity and abundance, the physiology of the Group I Crenarchaeota was 27 unknown since their laboratory cultivation remained elusive.

1 The only Crenarchaeota that had been grown in pure culture up until this point were 2 thermophilic or hyperthermophilic Archaea, such those belonging to the Sulfolobus and 3 Desulfococcus genera (Figure 2), with sulfur-based metabolisms. The first hint of the potential 4 metabolic role of the Group I Crenarchaeota came from two independent metagenomic studies. 5 Venter et al. (2004) reported the presence of a gene that appeared to be a homolog of the 6 bacterial *amo*A gene on an archaeal scaffold obtained from the metagenome of the Sargasso Sea. 7 Shortly thereafter, Treusch et al. (2005) reported finding a similar gene from a large DNA 8 fragment from soil that also had an archaeal 16S rRNA gene belonging to the Group I.1b 9 Crenarchaeota. The two studies provided independent evidence for the genetic potential of the 10 Group I Crenarchaeota to oxidize ammonia, but further work would be necessary to definitively 11 establish the nitrifying potential among members of the Archaea.

12 Fortunately, the definitive proof of the ability of an archaeon to oxidize ammonia was 13 established soon thereafter by the cultivation of a saltwater aquarium isolate representing the 14 Group I.1a Crenarchaeota (Könneke et al., 2005). The isolate, Nitrosopumilus maritimus, 15 represents the first mesophilic crenarchaeal isolate. It grows autotrophically with bicarbonate as 16 its sole carbon source and a stoichiometric conversion of ammonia to nitrite, thus firmly 17 establishing the physiology of at least one member of the Group I Crenarchaeota. Since this 18 discovery, putative genes for ammonia oxidation have also been reported in the sponge 19 symbiont, Cenarchaeum symbiosum, along with genes for ammonia and urea transporters and 20 urease, all consistent with the metabolic potential to utilize reduced nitrogen compounds (Hallam 21 et al., 2006).

22

23 2. Autotrophy, heterotrophy, or mixotrophy among the AOA?

All aerobic AOB are known to be chemolithoautotrophs, although some have been
recently shown to be facultative chemolithoautotrophs (Hommes et al., 2003). Initial tests of *N. maritimus* suggest that it, too, is inhibited by even small concentrations of organic carbon
(Könneke et al., 2005), but it remains unclear whether the physiology of *N. maritimus* is

1 representative of all ammonia oxidizing Archaea. Previous studies using radiolabeled substrates 2 indicate that at least some of the marine Crenarchaeota can take up organic compounds (Ingalls 3 et al., 2006), particularly amino acids (Ouverney and Fuhrman, 2000; Teira et al., 2006). 4 Additionally, genes for carbon fixation by a modified 3-hydroxypropionate pathway (indicative 5 of autotrophy) and genes for a nearly complete TCA cycle (indicative of heterotrophy) in the 6 genome of *Cenarchaeum symbiosum* suggest at least the genetic potential for mixotrophic 7 metabolism (Hallam et al., 2006). And, finally, Agogue et al. (2008) report that most 8 bathypelagic Crenarchaeota in the North Atlantic are not autotrophic, based on dark carbon 9 fixation rates and amoA gene abundance.

10 Equally convincing data exists, however, for an autotrophic lifestyle of the marine *Crenarchaeota*. Pearson et al. (2001) reported δ^{-14} C signatures in archaeal isoprenoids that were 11 12 consistent with chemoautotrophic growth below the euphotic zone. In a study in the North Sea, 13 Wuchter et al. (2003) provided evidence that marine *Crenarchaeota* can take up bicarbonate in 14 the absence of light by measuring incorporation of radiolabeled bicarbonate into crenarchaeol, a 15 lipid specific to Crenarchaeota. Additionally, Herndl et al. (2005) also found increased 16 bicarbonate uptake by Crenarchaeota with depth to 3000 m in the Atlantic Ocean. These 17 seemingly conflicting data leave several unanswered questions for future research. First, are all 18 the marine *Crenarchaeota* capable of ammonia oxidation, and second, are they heterotrophic, 19 autotrophic or mixotrophic? The answers to these questions will likely only be adequately 20 addressed by additional enrichment and cultivation work.

21

22 **3.** Diversity of AOA and AOB in estuaries

A recurring paradox in microbial ecology is high species diversity within a functionally similar group. Because all autotrophic ammonia oxidizers require NH_3 , O_2 , and CO_2 , there is the potential for intense competition among ammonia oxidizers. Because estuaries experience high spatial and temporal heterogeneity of physico-chemical parameters, such as pH, NH_4^+ availability, O_2 tension, CO_2 availability, salinity, sulfide, and temperature, they are particularly

1 interesting habitats in which to study niche differentiation among ammonia oxidizers. Daily tidal 2 fluctuations are typically accompanied by changes in a suite of physico-chemical properties, 3 demanding physiological plasticity of the resident microbes or, alternatively, a community of 4 microbes with varying tolerance to these conditions. Studies of AOB from natural environments 5 have found differences in substrate affinity (Stehr et al., 1995; Suwa et al., 1997), differences in 6 NH₃ oxidation rates (Bodelier et al., 1996) and distribution of nitrifying bacteria related to 7 oxygen (Schramm et al., 2000; Gieseke et al., 2001) among different species, suggesting 8 differences in the physiological tolerance. Diversity and distribution of AOA and AOB 9 undoubtedly reflect differences in their environments, but because of a lack of cultured 10 representative strains, much of the ecophysiology of estuarine ammonia oxidizers remains 11 unresolved. Correlations of community composition with environmental variables, however, 12 may provide some clues into physiological limits of estuarine AOA and AOB.

13 Studies of microbial diversity often focus on characterization of the 16S ribosomal RNA 14 genes, which have universally conserved regions that are suitable targets for diversity studies. 15 However, to study diversity within a functional group of microorganisms, the organisms must be 16 monophyletic or, alternatively, one of the genes responsible for the function of interest may be 17 targeted. Although the β -AOB form a monophyletic group, many of the primers targeting the 18 16S rRNA genes are selective rather than specific (Utaker and Nes, 1998), so diversity studies 19 targeting this gene are more problematic. Distribution of ammonia oxidation among the Archaea 20 is still under investigation, so targeting 16S rRNA genes may not necessarily correspond with 21 metabolic potential. Therefore, diversity of AOA and β -AOB has been characterized primarily 22 by analysis of the alpha subunit of ammonia monooxygenase gene (amoA) sequences. Although 23 the archaeal and betaproteobacterial amoA genes are thought to be homologs, it is not possible to 24 detect them both with the same set of PCR primers. However, primers for the two distinct amoA 25 genes have previously been published (Rotthauwe et al., 1997; Francis et al., 2005; Koenneke et 26 al., 2005) and used successfully in a variety of habitats.

1 Sequences of the *amo*A gene from different β -AOB indicate that although they are more 2 variable than the 16S rRNA genes (Purkhold et al., 2000), they have phylogenetically conserved 3 regions, providing a suitable target gene for primers (Rotthauwe et al., 1997; Nold et al., 2000). 4 In fact, because of its greater diversity, the amoA gene may be a more effective marker for fine-5 scale diversity studies (Rotthauwe et al., 1997; Alzerreca et al., 1999; Oved et al., 2001). 6 Analysis of 16S rRNA and *amoA* genes from most of the cultivated species of β -AOB suggests 7 high congruence between the phylogenies based on each gene (Purkhold et al., 2000). Initial 8 reports also suggest similar congruence of 16S rRNA and *amoA* genes among the Archaea (Nicol 9 et al., 2008; Prosser and Nicol, 2008; Sahan and Muyzer, 2008).

10

11 **3.1.** Betaproteobacterial AOB phylogeny in estuaries

12 Phylogeny of the β -AOB appears to be quite consistent and predictable in estuaries. The 13 majority of *amoA* sequences recovered from estuaries are affiliated with a group of nitrifiers that 14 is currently unrepresented in culture collections, but is most closely related to the Nitrosospira 15 group (Figure 3). Since there are no cultured representatives of these β -AOB, we can only infer 16 their physiological tolerances. It is interesting to note, however, that the cluster of uncultured 17 *Nitrosospira*-like β -AOB is comprised exclusively of sequences from marine or estuarine sites, 18 suggesting specific adaptations to a saline environment. Despite their apparent numerical 19 abundance, the ecological role of the uncultured *Nitrosospira*-like β -AOB remains uncertain. In 20 a study of β -AOB richness and activity along a salinity gradient in the Ythan Estuary in 21 Scotland, Freitag et al. (2006) found the usual β -AOB based on 16S rRNA gene sequencing, but 22 were unable to confirm the activity of the *Nitrosospira* cluster 1-like AOB using a stable isotope 23 probing approach with ¹³C-HCO₃⁻. Instead, they identified sequences related to *Nitrosomonas* 24 cryotolerans and Nitrosospira sp. Nm143 in the fraction of the labeled DNA, indicating active 25 nitrifiers. Although the results of Freitag and colleagues may bring into question the ecological 26 role of the *Nitrosospira*-like β -AOB found to be so prevalent in estuaries, their results may

simply indicate that these β–AOB do not respond well to laboratory manipulations, which would
 explain why they have evaded cultivation attempts to date.

3 Sequences related to Nitrosomonas aestuarii, N. marina, or N. ureae are also frequently 4 recovered from estuarine sites. However, very few sequences have been found in estuaries that 5 are closely related to the well-studied Nitrosomonas europaea, and most of these are from low 6 salinity environments. In general, β -AOB communities vary along the salinity gradient, with 7 communities at the freshwater end composed of sequences related to both the Nitrosomonas and 8 Nitrosospira genera, while communities at the marine end of the gradient tend to be dominated 9 by Nitrosospira-like sequences (de Bie et al., 2001; Francis et al., 2003; Bernhard et al., 2005; 10 Ward et al., 2007). Finding similar β -AOB in geographically disparate estuaries suggests there 11 are common factors regulating β -AOB distribution in estuaries. Although the primary factor 12 may simply be the presence or absence of salt, other factors that covary with salinity, such as 13 nitrogen or oxygen, may also be important. Controlled laboratory manipulations may be 14 necessary to separate the effects of salinity from other potential regulating factors.

15 Temperature, pH, net primary productivity and organic loading have been implicated, 16 along with salinity, as important factors regulating the distribution of β -AOB. Sahan and 17 Muyzer (2008) investigated β -AOB diversity and distribution along a salinity gradient in the 18 Westerschelde Estuary, and identified salinity and temperature as the most important factors 19 regulating β -AOB distribution and reported higher β -AOB richness and diversity (measured by 20 H') when salinity and temperature were low. Additionally, variability in net primary productivity 21 was correlated with β -AOB community composition. In a study of eutrophic Tokyo Bay 22 sediments, Urakawa et al. (2006) found shifts in the β -AOB communities that corresponded to 23 changes in nutrients and organic inputs from river run-off. Interestingly, no sequences related to 24 *Nitrosospira* spp. were detected, even in samples collected from the more marine end of the bay, 25 suggesting that organic loading may be more important than increased salinity in regulating AOB 26 communities. In other cases (O'Mullan and Ward, 2005), no correlation between β -AOB 27 richness and environmental variables was detected.

Salinity also appears to affect the richness as well as the community composition of β -AOB. Several studies have reported a decrease in the number of AOB phylotypes as salinity increases (Bernhard et al., 2005; Ward et al., 2007; Sahan and Muyzer, 2008). The decrease in richness of β -AOB with increasing salinity may indicate a decrease in the importance of β -AOB in more saline environments, and may suggest a shift in the dominant nitrifiers. One popular hypothesis is that ammonia oxidizing *Archaea* may be the more important nitrifiers in marine systems.

8

9 3.2 Archaeal amoA phylogeny

10 Since the discovery of ammonia-oxidizing Archaea, the distribution and diversity of 11 presumptive archaeal *amoA* genes has been investigated in various soils and sediments 12 (Leininger et al., 2006; Chen et al., 2008; Jia and Conrad, 2009), oxic and suboxic marine layers 13 (Wuchter et al., 2006; Coolen et al., 2007; Mincer et al., 2007; Beman et al., 2008), estuaries 14 (Beman and Francis, 2006; Caffrey et al., 2007; Mosier and Francis, 2008; Sahan and Muyzer, 15 2008), salt marshes (Moin et al., 2009), subterranean environments (Weidler et al., 2007), 16 wastewater sludge (Park et al., 2008), and corals (Beman et al., 2007). The similarity of putative 17 AMO-encoding genes (subunits A, B, and C) to bacterial AMO genes is relatively low (38-51% 18 amino acid sequence similarity) (Könneke et al., 2005), and whether the AMO-related genes are 19 in fact homologs has not been confirmed. However, the presence of the archaeal *amoA* subunit 20 has been correlated with the detection of nitrification activity (Könneke et al., 2005; Treusch et 21 al., 2005; Leininger et al., 2006; Wuchter et al., 2006; Beman et al., 2008), and the wide 22 distribution of archaeal *amoA* genes suggests a potentially important role in nitrification in many 23 habitats.

Archaeal *amo*A sequences fall into one of two major clusters, a cluster dominated by sequences recovered from water column and sediments and a cluster dominated by sequences from soils and sediments (Figure 4). Sequences from both clusters have been recovered from

estuarine sediments, but in most estuaries, the majority of the sequences fall into the water
 column/sediment cluster (Table 1).

3 From the handful of studies in estuaries, AOA richness is generally much greater than 4 β -AOB richness, based on numbers of different gene sequences (Table 1). Differences in the 5 richness between AOA and β -AOB may reflect differences in metabolic activity. Although the 6 only cultivated AOA is thought to be an obligate aerobic ammonia oxidizer (Könneke et al., 7 2005), it remains unknown whether all related *Crenarchaeota* are obligate ammonia oxidizers or 8 even if they are capable of ammonia oxidation at all. As previously discussed, there is 9 convincing evidence of heterotrophic metabolism among at least some of the group I 10 Crenarchaeota (Ouverney and Fuhrman, 2000; Hallam et al., 2006; Ingalls et al., 2006). 11 Alternatively, differences in richness of AOA and β -AOB may reflect differences in 12 evolutionary history. The recent discoveries of thermophilic (de la Torre et al., 2008) and 13 moderately thermophilic (Hatzenpichler et al., 2008) AOA raises interesting questions about the 14 ancestry of AOA and ammonia oxidation.

15 Although factors that regulate AOA diversity have not yet been fully elucidated, Sahan 16 and Muyzer (2008) found salinity, temperature, nitrite concentrations, and net primary 17 productivity to produce major effects on the community structure. Dissolved oxygen has also 18 been implicated as an important factor affecting AOA communities, leading to a shift in the AOA 19 to β -AOB ratios (Santoro et al., 2008), as well as selecting for specific AOA phylotypes (Lam et 20 al., 2007; Park et al., 2008). Temperature was found to be a key factor in regulating AOA and 21 β -AOB richness in an aquarium biofilter system (Urakawa et al., 2008). Not surprisingly, many 22 of the same factors have been shown to have significant effects on β -AOB communities (Francis 23 et al., 2003; Bernhard et al., 2005; Ward et al., 2007).

The vast majority of AOA *amo*A sequences recovered from other marine systems, such as pelagic waters and coral reefs, affiliate primarily with the water column/sediment cluster. Only in coral reefs (Beman et al., 2007) and coastal marine sediments (Park et al., 2008) were sequences recovered that were related to the soils/sediment cluster. Within the water

1 column/sediment cluster, however, there appear to be distinct clades of archaeal amoA genes that 2 roughly correlate with habitat. For example, Hallam et al. (2006) found shallow (0-130 m) and 3 deep (500-4000 m) ecotypes of archaeal amoA genes recovered from the Central Pacific, 4 Monterey Bay, and the Antarctic peninsula. A similar distinction with depth was reported by 5 Mincer et al. (2007), who suggested the separation may reflect differences in responses to 6 photoinhibition, although photoinhibition has not been reported in cultures of *N. maritimus*. 7 Such clear habitat-specific AOA sequence types have not emerged from estuarine studies. 8 Mosier and Francis (2008) observed a cluster of AOA sequences that is dominated by sequences 9 from low salinity environments, but several new sequences from a salt marsh also fall into this 10 cluster (Moin et al., 2009), thus bringing into question the low-salinity phylotype hypothesis. It 11 is likely that the current data set does not yet cover the entire depth and breadth of archaeal 12 ammonia oxidizer diversity.

13

14 **4. Distribution and abundance of AOA and AOB**

15 Although AOA and β -AOB are both present in estuarine systems, the proportion of 16 archaeal versus bacterial ammonia oxidation still remains under investigation. Currently, only a 17 small number of studies are available that have investigated AOA and β -AOB at the same time 18 in the same environment. Most studies have measured abundance of AOA and β -AOB by 19 measuring the abundance of the *amoA* gene using real-time or quantitative PCR (QPCR). Archaeal *amo*A genes range in abundance from 10^4 to 10^9 gene copies per gram of sediment 20 21 (Mosier and Francis, 2008; Santoro et al., 2008; Moin et al., 2009). The magnitude of this 22 variation may simply reflect the extraordinary variation in AOA abundance among different sites, 23 but it may also be an artifact of methodology since the primers used in the QPCR varied in 24 different studies. In a review of the current literature, we have found at least six different PCR 25 primers and/or primer combinations used for quantification. It is unknown at this point how 26 differences in primer specificity may affect the absolute numbers of AOA detected. However, in 27 our analyses of salt marsh and estuarine AOA, abundance varied over 4 orders of magnitude

within the same system (Moin et al., 2009), using the same primers and cycle parameters, so we
 think it is unlikely that the variability in AOA abundance in estuarine systems is due to
 methodology differences alone.

4 Abundance of betaproteobacterial *amoA* genes in estuaries is also quite variable, ranging from 10⁴ to 10⁸ copies per gram of sediment (Bernhard et al., 2007; Mosier and Francis, 2008; 5 6 Santoro et al., 2008; Moin et al., 2009). Unlike quantification methods for archaeal amoA, most 7 studies have used the PCR primers for betaproteobacterial *amo*A published by Rotthauwe et al. 8 (Rotthauwe et al., 1997), although some short-comings of these commonly-used primers have 9 recently been identified (Hornek et al., 2006). Part of the difference in primer development for 10 archaeal and bacterial amoA genes undoubtedly stems from a more complete characterization of 11 β -AOB in estuaries compared with the current, and ever-changing, diversity of archaeal *amo*A.

12

13 4.1 Relationship of AOA and AOB to estuarine gradients

14 Although the richness of archaeal amoA is much higher than the richness of betaproteobacterial amoA based on the phylotypes detected (Table 1), it is clear that the absolute 15 16 abundance differs among systems, and likely reflects differences in physico-chemical properties 17 that change along the estuarine gradient. Several studies have focused on AOA and β -AOB in 18 coastal areas of California (Beman and Francis, 2006; Mosier and Francis, 2008; Santoro et al., 19 2008). Two of these studies found a correlation between increasing salinity and increasing 20 number/proportion of β–AOB (Mosier and Francis, 2008; Santoro et al., 2008). Additional 21 factors that appear to influence the ratio between the AOA and β -AOB in those systems are 22 oxygen availability and C/N ratio, in which increasing oxygen availability and decreasing C/N 23 ratio led to an increase of the number of AOA (Beman and Francis, 2006; Mosier and Francis, 24 2008). In the Westerschelde estuary (between Belgium and The Netherlands) AOA and β -AOB 25 were present at all sampling points, but the community composition shifted with changing 26 salinity and temperature (Sahan and Muyzer, 2008).

1 Although salinity is often identified as a key factor in regulating nitrifier community 2 composition and abundance, it is likely that salinity is not the only factor involved, since many 3 other factors may covary with salinity. AOA abundance has also been strongly correlated with 4 lead concentrations and percent clay (Mosier and Francis, 2008) pore water sulfide (Caffrey et 5 al., 2007), and pH (Moin et al., 2009). However, because salinity often covaries with many of 6 these variables in estuaries, it is difficult to identify causative factors. Interestingly, strong 7 correlations between dissolved inorganic nitrogen species and AOA abundance have not been 8 detected in estuarine studies, but enrichment studies in soils have reported increased AOA 9 abundance when ammonium is added (Leininger et al., 2006; Chen et al., 2008).

10

11 4.2 Ratios of AOA to AOB

12 Unlike most studies in pelagic or terrestrial systems where AOA always outnumber 13 β -AOB, often by orders of magnitude, ratios of AOA to β -AOB in estuaries are more variable, 14 with β -AOB often outnumbering AOA. Ratios of AOA to β -AOB within studies typically show 15 lower ratios at sites with higher salinity, but the pattern is certainly far from robust (Figure 5). 16 Mosier and Francis (2008) and Santoro et al. (2008) found a shift in the AOA to β -AOB ratios 17 related to changes in salinity, with β -AOB outnumbering AOA as salinity increased, due 18 primarily to changes in the abundance of β -AOB. Caffrey et al. (2007) also found β -AOB to 19 outnumber AOA at estuarine sites in Weeks Bay. In Plum Island samples, the direction of the 20 change in the ratio of AOA to β -AOB followed the same pattern as in Mosier and Francis 21 (2008), with ratios generally decreasing with increasing salinity, but AOA were always more 22 abundant than β -AOB (Bernhard et al., 2010). In the marsh samples, salinity is not significantly 23 different among sites, but the ratios (\log_{10}) range from -0.03 to 2.91 in surface (0-2 cm)24 sediments, indicating a shift from β -AOB dominance at some sites to AOA dominance at other 25 sites. These patterns suggest a strong spatial structure within estuaries, perhaps driven by 26 changes in salinity, but the evidence so far does not indicate a simple salinity effect. 27 Interestingly, from most oceanic studies of AOA and β -AOB, AOA are thought to be the

1 dominant nitrifiers. Based on this, one would predict that as salinity increases in the estuary, 2 ratios of AOA to β -AOB would also increase, yet the reverse appears to be true. The driving 3 force behind this shift in numerical dominance, and possibly metabolic dominance, in estuaries 4 versus oceanic systems has yet to be explained. Possible niche differentiating factors could 5 include ammonium availability, oxygen partial pressure, sulfide concentrations or organic 6 loading.

7

8

5. Correlation of abundance patterns with rates

9 One of the primary questions about AOA is how much do they actually contribute to 10 nitrification? Wuchter et al. (2006) provide evidence via enrichment cultures and a correlation 11 between ammonia oxidation rates and abundance of the marine *Crenarchaeota*, supporting the 12 hypothesis that marine Crenarchaeota are nitrifying in pelagic waters. In the Gulf of California, 13 Beman et al. (2008) also provide convincing evidence that the marine *Crenarchaeota* are actively 14 oxidizing ammonia and are far more abundant than β -AOB. Additionally, Mincer et al. (2007) 15 reported distribution patterns of the *Crenarchaeota* and nitrite-oxidizing *Nitrospina* species that 16 suggests a metabolic link. Thus, it is clear from studies in pelagic systems that AOA are 17 abundant and often outnumber β -AOB, and the current evidence suggests that at least some of 18 the group I Crenarchaeota are involved in nitrification. However, the evidence from estuarine 19 systems suggests a more complex story.

20 In a previous study of the abundance of β -AOB and potential nitrification rates along an 21 estuarine salinity gradient, strong site-specific correlations between ammonia oxidation rates and 22 β -AOB abundance were detected that suggested functionally distinct β -AOB communities 23 along the gradient (Bernhard et al., 2007). Highest rates, but lowest β -AOB abundances, were 24 detected at the low salinity site, suggesting that there may be other ammonia oxidizers 25 contributing to nitrification, or that there are significant differences in cell-specific oxidation 26 kinetics. It was hypothesized that the distribution of AOA could explain the varying correlations 27 between rates and β -AOB abundance along the salinity gradient. However, inclusion of AOA

abundance with β-AOB abundance caused the correlation with rates to collapse completely at the
 low and mid salinity sites, and decreased by more than 10% at the high salinity site (Bernhard et
 al., 2010), suggesting that AOA are not actively nitrifying in the Plum Island estuary, despite
 relatively high numbers.

5 In a recent study of AOA abundance in several southeastern estuaries (Caffrey et al., 6 2007), AOA abundance positively and significantly correlated with potential nitrification rates at 7 only 2 of 6 sites. A similar lack of correlation between potential rates and AOA abundance was 8 also reported in a Connecticut salt marsh system (Moin et al., 2009). Interestingly, β –AOB 9 abundance did not correlate with potential rates in either of these studies, suggesting that either 10 our understanding of the relationship between nitrification activity and the organisms responsible 11 is incomplete or that the methodology is flawed.

12 Potential rates are typically measured by adding ammonium to sediment slurries and 13 incubating them with shaking for hours to days, thus disrupting any sediment structure, and rates 14 are calculated by following the generation of nitrate. Potential nitrification rates are typically 15 much higher than in situ rates, but whether it is the addition of ammonium or non-limiting 16 oxygen conditions that is responsible for stimulating nitrification is not clear. It is thought that 17 potential nitrification rates should correlate with the abundance of ammonia oxidizers 18 (Henriksen, 1980). Thus, inaccurate measurements of either the potential rates or the abundance 19 of ammonia oxidizers will lead to a collapse in the expected relationship. Physiological diversity 20 among cultivated isolates of AOB has been well-documented (see review by Koops and 21 Pommerening-Roser, 2001), and because of the great genetic diversity of archaeal *amoA* genes, 22 it is also likely that there is great diversity in physiological optima among AOA. A recent study 23 reported extremely high affinity for ammonium of the cultivated AOA, N. maritimus (Martens-24 Habbena et al., 2009), but did not show inhibition at ammonium concentrations typical of 25 potential rate experiments (i.e. 0.3-0.5 mM). However, due to vastly different oxidation kinetics 26 among AOA and AOB and the recently-reported sensitivity to agitation of N. maritimus 27 (Martens-Habbena et al., 2009), it is unlikely that potential nitrification rates reflect the

contribution of all nitrifiers present. It is also possible that some nitrifiers are not active at the time of sampling, and thus might show a lag in activity upon the addition of ammonium or oxygen. Significant differences in recovery after starvation have been demonstrated in some cultivated AOB (Bollmann et al., 2002). It would be useful, in future studies, to measure in situ rates using stable isotopes, instead of relying on the simpler, but likely biased, measures of potential rates.

7 In most of the recent studies, AOA and β -AOB abundances are measured by quantitative 8 PCR, which is vulnerable to a variety of potential biases. For instance, the primers may not 9 target all the nitrifiers. Primers are generally designed to target previously determined 10 sequences, but the sequence database for AOA is constantly expanding, with new sequence types 11 discovered in almost every study. Biases associated with DNA extractions and PCR 12 amplification have also been well-documented (e. g. Suzuki et al., 1998; Acinas et al., 2005), and 13 are often not fully explored or controlled for in most studies due to time and financial 14 constraints. Additionally, since amoA gene abundance does not necessarily indicate activity (e.g. 15 Jia and Conrad, 2009), we suggest that measures of gene expression in addition to gene 16 abundance may be helpful to determine which populations are contributing to nitrification under 17 different conditions.

18

19 6. Future directions

20 Since the first hint of AOA from metagenomic data sets, followed shortly by 21 confirmation in a pure culture, evidence on the distribution and abundance of AOA in a variety 22 of environments continues to mount. Molecular surveys of 16S rRNA and archaeal amoA genes 23 indicate that AOA are widely distributed, and quantitative analyses from soils, open ocean, 24 coastal sediments, and even wastewater treatment plants suggest AOA are abundant members of 25 the microbial community. Furthermore, enrichment cultures from hot springs, estuarine 26 sediments, and soils indicate that they are active, and probably play a role in both the nitrogen 27 and carbon cycles. Although it appears that AOA are ubiquitous, abundant, and undoubtedly

important to global nutrient cycles, many questions remain unanswered about their ecology and
 physiology.

3

4

6.1. Estuaries as a natural laboratory for AOA and AOB ecology

5 Estuarine and salt marsh sediments appear to be suitable habitat for members of both 6 major clusters of AOA (water column and soil) and may serve as ideal natural laboratories to 7 explore niche differentiation between AOA and β -AOB. Although in most cases, AOA and β -8 AOB coexist, their relative contributions to nitrification remains unclear. Based on changing 9 ratios of AOA to β -AOB along salinity gradients, it is likely that the contribution to nitrification 10 of the two groups also changes. Unfortunately, there are currently no methods available that can 11 discriminate archaeal from bacterial ammonia oxidation, but several approaches may provide 12 some clues. For example, Schauss et al. (2009) reported differential responses of soil 13 communities of AOA and β -AOB to additions of sulfadiazine (an antibiotic that inhibits the 14 growth of most Gram-positive and many Gram-negative bacteria), and provided strong evidence 15 for a significant archaeal contribution to nitrification. Conversely, using stable isotope probing, 16 Jia and Conrad (2009) showed that β -AOB were functionally more important in agricultural soils 17 than AOA. Conducting similar experiments with estuarine AOA and β -AOB under different 18 salinity, substrate, and redox regimes will be critical to understanding how AOA and β -AOB 19 utilize resources.

20

21 6.2. Importance of continued cultivation of AOA and AOB

In the past two decades, the field of microbial ecology has moved away from cultivation and relied very heavily on molecular surveys of microbial genes to study populations and communities (Pace et al., 1986; Amann et al., 1995). The lack of cultivation work, however, has now become a deficit to progress in understanding the role of different microbes in their natural environment. Molecular techniques must be ground-truthed with pure cultures and enrichment experiments. Without being able to grow a microbe in the lab, it is difficult to ever really

1 understand their physiology and metabolism. The presence of a gene (or even the expression of 2 it) tells only of the metabolic potential. The lack of AOA pure cultures is a perfect example. 3 Until we have more isolates in culture, we will not be able to definitively answer some of the 4 most pressing questions. For example, although genes for AMO A, B, and C subunits have been 5 identified in Archaea (Könneke et al., 2005; Hallam et al., 2006), no gene for the oxidation of 6 hydroxylamine (the intermediate produced by AOB) has been identified in the genomes studied 7 to date (Hallam et al., 2006). The lack of a complete pathway for ammonia oxidation as found in 8 AOB raises the possibility that AOA may oxidize ammonia with a different metabolic pathway. 9 The answer to this will be best addressed by directed biochemical and genetic studies of pure 10 cultures.

11 Carbon utilization remains another question plaguing the AOA research community. 12 Understanding the trophic status is paramount to understanding the impact of Archaea on global 13 systems. Evidence for heterotrophy, or at least mixotrophy, among marine *Crenarchaeota* is 14 mounting (Ouverney and Fuhrman, 2000; Ingalls et al., 2006; Teira et al., 2006; Agogue et al., 15 2008), and it is clear that some marine Crenarchaeota are not obligate autotrophs. However, 16 what is still unclear is whether the *Crenarchaeota* that can take up organic carbon also have 17 amoA and can nitrify. Cultures obtained so far indicate only obligate autotrophy. Until 18 heterotrophic or mixotrophic Crenarchaeota can be isolated, the evidence will likely remain ambiguous. 19

The depth and breadth of ammonia oxidation within *Archaea* also remains unresolved. Recent studies suggest that ammonia oxidation may be much more deeply distributed throughout the *Archaea* and is not restricted to the Group I *Crenarchaeota*. Two distinct AOA enriched from hot springs, one that is related to other AOA from soils (Hatzenpichler et al., 2008), the other forming a distinct cluster that does not fall within either the water column/sediment or the soil/sediment clusters (de la Torre et al., 2008), have recently been identified, and Mincer et al. (2007) provide evidence that another group of Archaea known as pSL12 (currently unrepresented

in the culture collection) may also harbor *amo*A genes. As more cultures of putative AOA are
 obtained, we will gain a more complete picture of their distribution and phylogeny.

3

4 Conclusions

5 Over four decades ago, Stanley Watson calculated that the number of AOB in the ocean 6 did not account for the estimated rates of nitrification (Watson, 1965) and predicted that there 7 must be other ammonia oxidizers in the ocean, but until now, none had been discovered. Thus, 8 the discovery of ammonia oxidation among Archaea has helped solve a forty-year old mystery, 9 but it has also led to an entirely new set of questions about the ecology and physiology of 10 ammonia oxidizers. Although much of the evidence in the last few years has come from ocean 11 and soil environments, we think the steep environmental gradients found in estuaries provide an 12 ideal natural laboratory in which to study AOA and AOB dynamics and ecology.

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Table 1. Distribution of archaeal *amo*A (AOA) sequences and number of archaeal and
 betaproteobacterial *amo*A (β–AOB) operational taxonomic units (OTU), using a 95% nucleotide
 identity cutoff, recovered from different estuaries. Total number of sequences in each study are
 indicated parenthetically.

	% of clones in cluster		No. of OTUs (no. of clones sequence)		
Estuary	Water column/ sediment	soils/ sediment	AOA	β–ΑΟΒ	Reference
Bahi'a del To'bari, Mexico	83	17	42 (282)	9 (61)	Beman and Francis 2006
San Francisco Bay, CA	85	15	67 (415)	41 (378)	Mosier and Francis 2008
Barn Island salt marsh, CT	55	45	21 (120)	7 (83)	Moin et al. 2009
Westerschelde estuary, The Netherlands	60	40	10 (10)	4 (9)	Sahan and Muyzer 2008
Huntington Beach, CA	100	0	52 (338)	2 (200)	Santoro et al. 2008
Changjiang estuary, China	72	28	31 (47)	NA	Dang et al. 2008
Plum Island Sound estuary, MA	94	6	33 (451)	13 (55)	Bernhard et al. 2010; Bernhard et al. 2005
Average	74	26	37	10	

Figure captions.

Figure 1. Phylogenetic relationships among ammonia oxidizing bacterial 16S ribosomal RNA genes and some methane oxidizing bacteria (MOB) for reference. The unrooted neighbor-joining tree was inferred from an alignment of sequences with 1144 nucleotide positions. Bootstrap values (\geq 50) are indicated above the nodes. The gray boxes indicate representative sequences from uncultured AOB affiliated with the *Nitrosospira*-like cluster 1 and *Nitrosomonas*-like cluster 5 as designated by Stephen et al. (1996) that are currently unrepresented in the culture collection.

Figure 2. Phylogenetic relationships among archaeal 16S ribosomal RNA genes. The unrooted neighbor-joining tree was inferred from an alignment of sequences with 1204 nucleotide positions. Bootstrap values (\geq 50) are indicated above the nodes. Asterisks indicate *Archaea* known to have an ammonia monooxygenase gene.

Figure 3. Phylogenetic relationships among betaproteobacterial *amo*A nucleotide sequences. The unrooted neighbor-joining tree was inferred from an alignment of sequences with 329 nucleotide positions. Bootstrap values (\geq 50) are indicated above the nodes. Only sequences from estuarine studies and cultivated representative β -AOB are included in the tree. Numbers inside each polygon indicate the number of sequences in the cluster.

Figure 4. Phylogenetic relationships among deduced archaeal AmoA protein sequences recovered from the three sites. The unrooted neighbor-joining tree was inferred from an alignment of protein sequences with 181 amino acid residues. Bootstrap support (\geq 50) is indicated above the nodes. Only sequences from estuaries and representative *Archaea* known to have *amo*A genes are included in the tree. Colored circles by each cluster indicate the locations where the sequences in that cluster were recovered. Numbers inside each polygon indicate the number of sequences in the cluster.

Figure 5. Relationship between AOA to β –AOB ratios (log10) and salinity from four different estuaries: Plum Island Sound (Bernhard et al., 2007; Bernhard et al., 2010), Barn Island salt marsh (Moin et al., 2009), San Francisco Bay (Mosier and Francis, 2008), and a subterranean estuary in Huntington Beach, CA (Santoro et al., 2008).

Figure 1



Figure 2



0.10



Figure 3



water column/sediment

Figure 4



- Plum Island Sound
- Barn Island salt marsh
- ▲ San Francisco Bay
- Subterranean estuary, Huntington Beach, CA

Figure 5