



# Mechanical modeling of innovative metamaterials alternating pentamode lattices and confinement plates



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## ABSTRACT

This study examines the mechanical behavior of a novel class of mechanical metamaterials alternating pentamode lattices and stiffening plates. The unit cell of such lattices consists of a sub-lattice of the face cubic-centered unit cell typically analyzed in the current literature on pentamode materials. The studied systems exhibit only three soft deformation modes in the infinitesimal stretch-dominated regime, as opposed to the five zero-energy modes of unconfined pentamode lattices. We develop analytical formulae for the vertical and bending stiffness properties and study the dependence of such quantities on the main design parameters: the lattice constant, the solid volume fraction, the cross-section area of the rods, and the layer thickness. A noteworthy result is that the effective compression modulus of the analyzed structures is equal to two thirds of the Young modulus of the stiffest isotropic elastic networks currently available in the literature, being accompanied by zero-rigidity against infinitesimal shear and twisting mechanisms. The use of the proposed metamaterials as novel seismic-isolation devices and impact-protection equipment is discussed by drawing comparisons with the response of alternative devices already available or under development.

## 1. Introduction

Pentamode lattices are mechanical metamaterials that exhibit the minimal coordination number required to achieve a fully positive definite elasticity tensor in three dimensions (Milton and Cherkaev, 1995; Hutchinson and Fleck, 2006; Milton, 2013a, 2013b; Norris, 2014). Their 2D counterparts are honeycomb lattices (Norris, 2014), or actuated bimode metamaterials (Milton, 2013b). Pentamode lattice materials are characterized by an elementary unit cell showing four rods converging at a point, and are known to exhibit five zero-energy modes of deformation (Milton and Cherkaev, 1995; Norris, 2014). Their use as stop-band materials for shear waves and elasto-mechanical cloaks forms the subject of active ongoing research in several branches of mechanics and physics (Martin et al., 2010; Huang et al., 2016; Bückmann et al., 2014; Chen et al., 2015).

Recent studies have shown a novel feature of confined pentamode lattices, which consists of the capacity to carry unidirectional compressive loads with sufficiently high stiffness, while showing markedly low stiffness against shear loads (Amendola et al., 2016a, 2016b, 2016c; Fraternali et al., 2015). While many cell unconfined pentamode lattices feature zero Young modulus in the stretch-dominated limit (Milton and Cherkaev, 1995; Norris, 2014), other research (Amendola et al., 2016a, 2016b, 2016c; Fraternali et al., 2015) has shown that single- and multi-layer structures formed by the alternating pentamode lattices and stiffening plates are able to oppose a noticeable degree of rigidity to unidirectional compression loads in the bending-dominated regime, due to the confinement effect provided by the stiffening plates. Such a feature is essential when developing mechanical metamaterials that need to carry

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significantly large loads perpendicular to their outer surface while exhibiting low (theoretically zero) rigidity against transverse shear forces (Amendola et al., 2016a). The research presented in (Amendola et al., 2016a, 2016b, 2016c; Fraternali et al., 2015) considers lattices formed by the repetition in the 3D space of a face-centered-cubic (fcc) unit cell composed of four primitive pentamode cells (fcc lattices). An inherent limitation of such systems is that their compression rigidity stems from the bending rigidity of nodes and rods, which completely vanishes in the stretch-dominated limit.

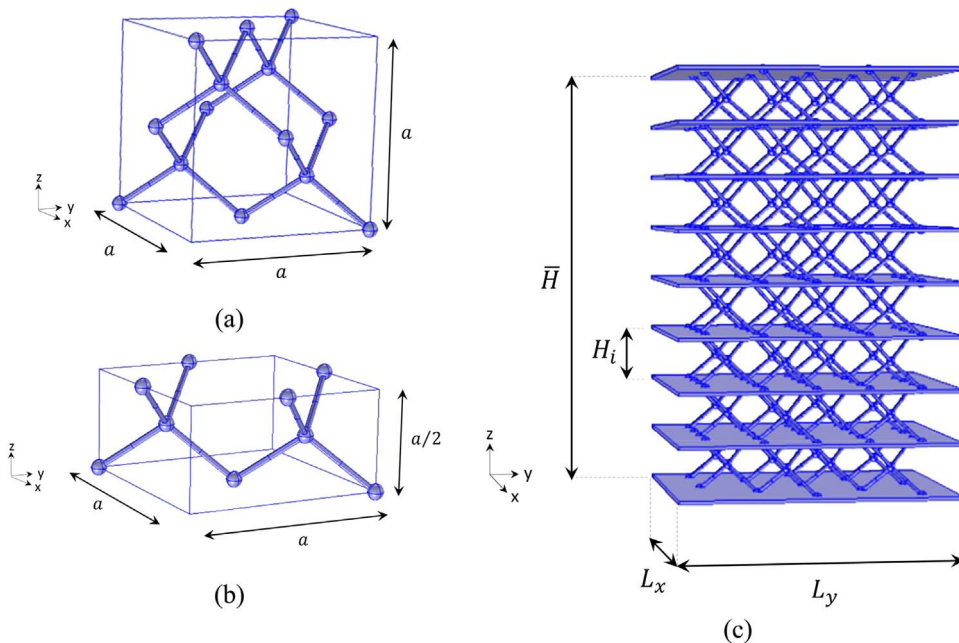
The present study analyzes pentamode lattices whose unit cell consists of a suitable sub-lattice of the fcc cell, being formed by only two primitive cells (sfcc lattices, cf. Section 2). Considering the infinitesimal incremental motions from the reference configuration of an elementary sfcc module (Section 3), we show that the examined systems, when equipped with perfectly hinged connections, feature only three zero-energy modes (Section 4), and exhibit positive elastic rigidity against both vertical and bending loads (Section 5). We conclude that single- and multi-layer structures alternating sfcc pentamode lattices and confinement plates are able to carry vertical and bending loads also in the presence of zero bending rigidity of nodes and rods, as opposed to confined and unconfined fcc systems.

We have modeled the examined structures assuming rigid behavior of the stiffening plates. Such a simplifying assumption leads us to capture the main features of the mechanical response of confined sfcc pentamode lattices, using simple analytic formulae. Sections 5.1–5.3 provide analytical results for the vertical and bending stiffness properties of the analyzed metamaterials, and study the dependence of such quantities on the main design parameters, which include the lattice constant, the solid volume fraction, the cross-section area of the rods, and the layer thickness. It is worth noting, in particular, that in the linear elastic regime, perfectly hinged sfcc lattices exhibit an effective compression modulus equal to  $2/3$  of the Young modulus of the stiffest elastic networks analyzed in Ref. Gurtner and Durand (2014). We make some comparisons between the mechanical response of the pentamode materials analyzed in the present work and that of rubber bearings formed by elastomeric layers confined between stiffening plates.

The finite element results given in Section 6 allow us to validate the analytic predictions of the stiffness coefficients of the sfcc structures presented in Section 5. Section 7 summarizes the key mechanical features of sfcc systems and suggests future research lines for the design and testing of physical models of such novel metamaterials, which show promise for the next generation of impact-protector equipment and base-isolation devices.

## 2. Layered sfcc pentamode lattices

Let us consider laminated structures composed of layers of pentamode lattices confined between stiffening plates. The extended face-centered-cubic (fcc) unit cell of a pentamode lattice, which is formed by four primitive unit cells comprising four rods meeting at a point, is shown in Fig. 1a. The present study examines pentamode lattices obtained by repeating in the 3D space the sub-lattice of the fcc unit cell shown in Fig. 1b, which is formed by two primitive cells. We name the unit cell *sfcc*, and use the term *sfcc lattices* for the structures obtained by repeating such a cell in the horizontal plane. Laminated sfcc structures are built by alternating in the vertical direction sfcc lattices and stiffening plates, as shown in Fig. 1c. We hereafter examine pentamode lattices that are endowed with hinged connections and exhibit a pure stretching response (no bending deformation (Norris, 2014; Amendola et al., 2016a),



**Fig. 1.** (a) Face-centered-cubic (fcc) unit cell of a pentamode lattice formed by four primitive unit cells. (b) Sub-lattice of the fcc unit cell formed by two primitive unit cells (sfcc cell). (c) Multilayered structure obtained by alternating sfcc lattices and stiffening plates.

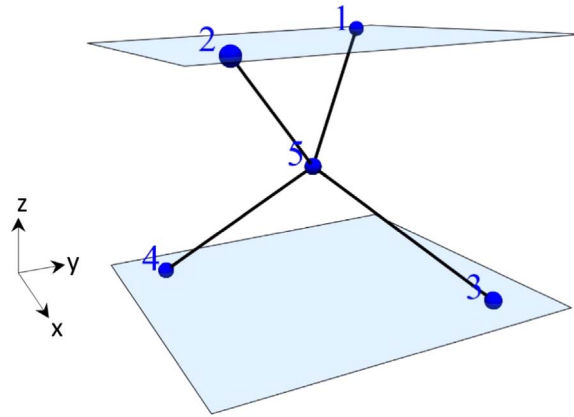


Fig. 2. Elementary module of a sfcc system.

Sections 2–5). Pinned joints also connect the rods of the pentamode lattices with the reinforcement plates, allowing free relative rotations to all the members connected by the generic joint. Such connections may, for instance, consist of the hollow ball joints commonly used in structural space grids (Chilton, 2000). For the sake of simplicity, we assume that both the layers of pentamode lattices and the stiffening plates of the examined systems exhibit uniform properties across the layered structure.

We refer the geometry of a layered sfcc structure to an  $x, y, z$  Cartesian frame such that the  $x, y$  axes lie in the horizontal plane (Fig. 1b). We let  $L_x, L_y$  denote the edge lengths of the stiffening plates, and let  $n_x, n_y$  and  $n_z$  denote the number of unit cells placed along the  $x, y$  and  $z$  axes in the generic layer, respectively. In addition, we denote the height of the generic pentamode layer by  $H_i$ , and set  $H = n_z H_i$  (total height of the pentamode layers). The total height of the overall laminated structure, which includes the thicknesses of the stiffening plates, is denoted by  $\bar{H}$ . We assume that the rods forming the pentamode lattices have constant cross-section with cross-section area  $s$ , and are formed by a homogeneous and linearly elastic material with Young modulus  $E_\theta$ . Concerning the stiffening plates, we instead assume that such elements behave as 2D rigid bodies during an arbitrary deformation of the structure, both in-plane and out-of-plane.

### 3. Statics and kinematics of an elementary sfcc module

We begin by studying the static and kinematic problems of the elementary sfcc module formed by a primitive unit cell connected to end plates (Fig. 2). We number the nodes forming such a module as shown in Fig. 2, and sort the rods according to the following connection table:  $\{(5 - 1), (5 - 2), (5 - 3), (5 - 4)\}$ .

We take as reference the placement B such that the position vectors of the nodes are given by (“isotropic” pentamode placement, cf. Kadic et al., (2013))

$$n_1 - n_5 = \begin{bmatrix} \frac{a}{4} \\ -\frac{a}{4} \\ \frac{a}{4} \\ \frac{a}{4} \end{bmatrix}, n_2 - n_5 = \begin{bmatrix} -\frac{a}{4} \\ \frac{a}{4} \\ \frac{a}{4} \\ \frac{a}{4} \end{bmatrix}, n_3 - n_5 = \begin{bmatrix} -\frac{a}{4} \\ -\frac{a}{4} \\ -\frac{a}{4} \\ -\frac{a}{4} \end{bmatrix}, n_4 - n_5 = \begin{bmatrix} \frac{a}{4} \\ -\frac{a}{4} \\ -\frac{a}{4} \\ -\frac{a}{4} \end{bmatrix}, \tag{1}$$

where  $a$  denotes the lattice constant (Fig. 1a); and  $n_5 = [x_5 \ y_5 \ z_5]^T$  denotes the position vector of the inner node, which we leave arbitrary. The next sections study an incremental motion of the elementary module from B, by using a superimposed dot to denote incremental quantities related to such a motion, and linearizing the incremental equilibrium and compatibility equilibrium equations in the increments (“small” displacements from the reference configuration) (Destrade and Ogden, 2013).

#### 3.1. Incremental static problem

The incremental equilibrium equations of the elementary module in Fig. 2 can be written in the following matrix form

$$A\dot{t} = \dot{f}. \tag{2}$$

Said  $\mathbb{R}$  the set of real numbers, here  $t \in \mathbb{R}^4$  is the vector of the incremental internal forces carried by the rods (incremental axial forces),  $f \in \mathbb{R}^{15}$  is the vector collecting the Cartesian components of the incremental external forces applied at the nodes (including the forces exerted by the stiffening plates), and  $A$  is the equilibrium matrix. It is a simple task to verify that the latter has the following expression

$$A = \begin{bmatrix} \alpha & -\alpha & \alpha & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -\alpha & \alpha & -\alpha \\ 0 & 0 & 0 & -\alpha & \alpha & \alpha & 0 & 0 & 0 & 0 & 0 & 0 & \alpha & -\alpha & -\alpha \\ 0 & 0 & 0 & 0 & 0 & 0 & -\alpha & -\alpha & -\alpha & 0 & 0 & 0 & \alpha & \alpha & \alpha \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \alpha & \alpha & -\alpha & -\alpha & -\alpha & \alpha \end{bmatrix}, \tag{3}$$

where  $T$  denotes the transposition symbol, and it results in  $\alpha = \frac{1}{\sqrt{3}}$ . In partitioned form, the algebraic system (2) can be written as follows

$$\begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \begin{bmatrix} \dot{i}_1 \\ \dot{i}_2 \end{bmatrix} = \begin{bmatrix} \dot{f}_1 \\ \dot{f}_2 \end{bmatrix}. \tag{4}$$

In Eq. (4),  $\dot{i}_1 \in \mathbb{R}^3$  is the vector of the incremental internal forces carried by rods (5 – 1), (5 – 2), and (5 – 3);  $\dot{i}_2 \in \mathbb{R}$  is a vector with a single entry equal to the incremental internal force carried by the rod (5 – 4);  $\dot{f}_1 \in \mathbb{R}^3$  is the vector of the components of the incremental external forces acting on node 5;  $\dot{f}_2 \in \mathbb{R}^{12}$  is the vector of the components' incremental external forces acting on nodes 1–4; and it results in

$$A_{11} = \begin{bmatrix} -\alpha & \alpha & \alpha \\ \alpha & -\alpha & \alpha \\ -\alpha & -\alpha & \alpha \end{bmatrix}, \quad A_{12} = \begin{bmatrix} -\alpha \\ -\alpha \\ \alpha \end{bmatrix}, \tag{5}$$

$$A_{21} = \begin{bmatrix} \alpha & -\alpha & \alpha & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -\alpha & \alpha & \alpha & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -\alpha & -\alpha & -\alpha & 0 & 0 & 0 \end{bmatrix}^T \tag{6}$$

$$A_{22} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \alpha & \alpha & -\alpha \end{bmatrix}^T. \tag{7}$$

It is easy to verify that  $A_{11}$  is invertible. Assuming  $\dot{f}_1 = 0$ , i.e., supposing that the internal node 5 is unloaded, we easily get

$$\dot{i}_1 = -A_{11}^{-1} A_{12} \dot{i}_2 = \begin{bmatrix} \dot{i}_2 \\ \dot{i}_2 \\ \dot{i}_2 \end{bmatrix}. \tag{8}$$

Eq. (8) shows that all the rods forming the elementary sfcc module carry equal axial forces, under the assumption that the inner node is unloaded.

### 3.2. Incremental kinematic problem

We now let  $\dot{d}_1 \in \mathbb{R}^3$  denote the vector collecting the Cartesian components of the incremental displacement of node 5, and let  $\dot{d}_2 \in \mathbb{R}^{12}$  indicate the vector of the components of the incremental displacements of nodes 1-4. In addition, we let  $\dot{e}_1 \in \mathbb{R}^3$  denote the vector of the incremental elongations of the rods (5 – 1), (5 – 2), and (5 – 3), and let  $\dot{e}_2 \in \mathbb{R}$  denote a vector with a single entry equal to the incremental elongation of the rod (5 – 4). We assume that the incremental elongation  $\dot{e}$  of the generic rod is related to the corresponding incremental axial force  $\dot{i}$  through the following elastic constitutive equation

$$\dot{i} = \frac{E_0 \dot{e}}{\ell}, \tag{9}$$

$\ell = \sqrt{3} a/4$  denoting the length of the rod in the reference placement B.

The incremental displacements and elongations of the elementary module are related to each other through the following incremental kinematic problem

$$\begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix} \begin{bmatrix} \dot{d}_1 \\ \dot{d}_2 \end{bmatrix} = \begin{bmatrix} \dot{e}_1 \\ \dot{e}_2 \end{bmatrix}, \tag{10}$$

where

$$B_{11} = A_{11}^T = \begin{bmatrix} -\alpha & \alpha & -\alpha \\ \alpha & -\alpha & -\alpha \\ \alpha & \alpha & \alpha \end{bmatrix}, \quad B_{12} = A_{12}^T = \begin{bmatrix} \alpha & -\alpha & \alpha & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -\alpha & \alpha & \alpha & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -\alpha & -\alpha & -\alpha & 0 & 0 & 0 \end{bmatrix}, \tag{11}$$

$$B_{21} = A_{21}^T = [-\alpha \quad -\alpha \quad \alpha], \quad B_{22} = A_{22}^T = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \alpha & \alpha & -\alpha \end{bmatrix}. \tag{12}$$

Due to the assumption of rigid behavior of the terminal pates, and without loss of generality, we hereafter assume that the bottom plate is at rest, and the motion of the top plate can be represented as the composition of an incremental translation  $\dot{v}$  and an infinitesimal incremental rotation with axial vector  $\dot{\varphi}$ , about its center of mass  $G_r$ . Under such assumptions,  $\dot{d}_2 = \dot{d}_2(\dot{v}, \dot{\varphi})$  in Eq. (10) describes a relative rigid motion of the terminal bases of the elementary module. By solving Eq. (10) for  $\dot{e}_2$ , we get

$$\dot{e}_2 = B_{21} \dot{d}_1 + B_{22} \dot{d}_2. \tag{13}$$

Taking into account Eq. (13), the constitutive assumption (9) and Eq. (8), we conclude that all the rods of the elementary module exhibit equal incremental elongations, and it results

$$\dot{e}_1 = \dot{e}_2 \mathbf{1} = (\mathbf{B}_{21} \dot{d}_1 + \mathbf{B}_{22} \dot{d}_2) \mathbf{1}, \tag{14}$$

$\mathbf{1}$  denoting the vector of  $\mathbb{R}^3$  with all the entries equal to one. Solving now Eq. (10) for  $\dot{d}_1$ , and taking into account Eq. (14), we get

$$\dot{d}_1 = \widehat{\mathbf{B}}_{11}^{-1} [(\mathbf{B}_{22} \dot{d}_2) \mathbf{1} - \mathbf{B}_{12} \dot{d}_2], \tag{15}$$

where

$$\widehat{\mathbf{B}}_{11} = \begin{bmatrix} 0 & 2\alpha & -2\alpha \\ 2\alpha & 0 & -2\alpha \\ 2\alpha & 2\alpha & 0 \end{bmatrix}, \quad \widehat{\mathbf{B}}_{11}^{-1} = \begin{bmatrix} -\beta & \beta & \beta \\ \beta & -\beta & \beta \\ -\beta & -\beta & \beta \end{bmatrix} \tag{16}$$

$$\beta = \frac{1}{4\alpha} = \frac{\sqrt{3}}{4}. \tag{17}$$

It is worth noting that it results

$$\widehat{\mathbf{B}}_{11} = \mathbf{B}_{11} - \widehat{\mathbf{B}}_{21}, \tag{18}$$

$\widehat{\mathbf{B}}_{21}$  denoting the  $3 \times 3$  matrix having each row equal to  $\mathbf{B}_{21}$ . Eq. (15) allows us to compute the incremental displacement  $\dot{d}_1 = \dot{d}_1(\dot{\psi}, \dot{\varphi})$  of the inner node of the elementary module, which corresponds to any arbitrary relative rigid motion  $\dot{d}_2(\dot{\psi}, \dot{\varphi})$  of the terminal bases.

Let  $\dot{d}(\dot{\psi}, \dot{\varphi}) = [\dot{d}_1^T(\dot{\psi}, \dot{\varphi}); \dot{d}_2^T(\dot{\psi}, \dot{\varphi})]^T$  denote the overall displacement vector of the elementary module associated with a given  $\dot{d}_2(\dot{\psi}, \dot{\varphi})$  through Eq. (15). When a relative rigid motion of the terminal bases is such that Eqs. (13) and (14) return  $\dot{e} = 0$  in each rod, i.e.,  $\dot{e}_2 = 0$  and  $\dot{e}_1 = \mathbf{0}$ , we say that such a motion represents an *infinitesimal mechanism* of the elementary module from the reference placement B.

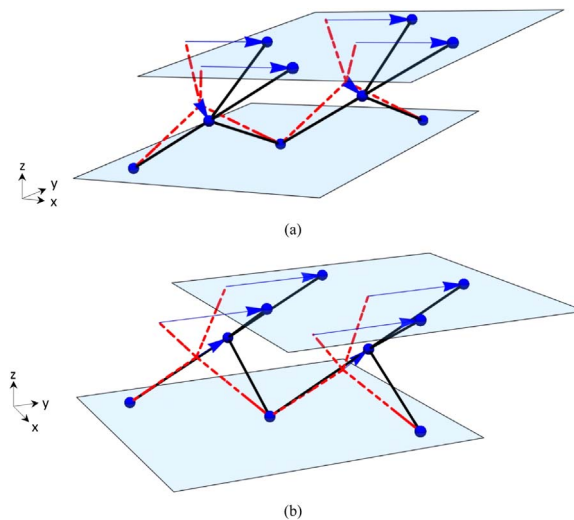
### 4. Infinitesimal mechanisms

The present section studies the relative motions of the end plates of a monolayer sfcc system that produce infinitesimal mechanisms (zero-energy modes) of the system from the reference placement B. Such soft modes are generated by relative horizontal displacements (shear mechanisms), and the twisting of the end plates, which obey the projection property of infinitesimal rigid displacements (cf., e.g., M.E. Gurtin (Gurtin, 1981), Par. III.7). The action of shear forces and twisting moments on the bases of the structure proves to be incompatible with distributions of tensile/compressive forces in the rods that match the equilibrium condition (8).

#### 4.1. Shear mechanisms

An infinitesimal shear mechanism along the  $x$  axis of a monolayer sfcc system is obtained by imposing an incremental  $x$ -translation of amplitude  $\dot{u}$  to the top plate and keeping the bottom plate at rest. With reference to the elementary module in Fig. 2, such a relative rigid motion of the end plates is described by

$$\dot{d}_2 = [[\dot{u}, 0, 0]^T \quad [\dot{u}, 0, 0]^T \quad [0, 0, 0]^T \quad [0, 0, 0]^T]^T, \tag{19}$$



**Fig. 3.** Shear mechanisms of a unit cell extracted from a confined sfcc system: (a)  $x$ -axis shear mechanism; (b)  $y$ -axis shear mechanism (the infinitesimal displacements have been amplified for visual clarity). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

and it is easily shown that it produces zero incremental elongations in the rods, and the following incremental displacement of the inner node

$$\dot{d}_1 = [\dot{u}/2 \quad -\dot{u}/2 \quad 0]^T, \tag{20}$$

via the Eqs. (13)–(15) of Section 3.2.

Similarly, an infinitesimal shear mechanism of the elementary module along the  $y$  axis, which is induced by the following relative rigid motion of the end plates

$$\dot{d}_2 = [[0, \dot{v}, 0]^T \quad [0, \dot{v}, 0]^T \quad [0, 0, 0]^T [0, 0, 0]^T]^T \tag{21}$$

produces zero incremental elongations in the rods, and

$$\dot{d}_1 = [-\dot{v}/2 \quad \dot{v}/2 \quad 0]^T. \tag{22}$$

The above results can be immediately generalized to a shear mechanism along an arbitrary axis of the  $x$ - $y$  plane, by taking  $\dot{d}_2 = [[\dot{u}, \dot{v}, 0]^T \quad [\dot{u}, \dot{v}, 0]^T \quad [0, 0, 0]^T [0, 0, 0]^T]^T$  and  $\dot{d}_1 = \left[ \frac{\dot{u}-\dot{v}}{2} \quad \frac{\dot{v}-\dot{u}}{2} \quad 0 \right]^T$ . It is a trivial task to verify that such a mechanism obeys the projection property that characterizes infinitesimal rigid displacements, i.e., it results in

$$(\mathbf{n}_i - \mathbf{n}_j) \cdot (\dot{\mathbf{u}}_i - \dot{\mathbf{u}}_j) = 0, \tag{23}$$

where  $\dot{\mathbf{u}}_i$  denotes the incremental displacement exhibited by the generic node, and the symbol  $\cdot$  denotes the scalar product of two vectors. A notable case is that of a shear mechanism along a direction inclined by  $45^\circ$  in the horizontal plane such that it results  $\dot{u} = \dot{v}$ . This mechanism keeps the inner nodes of the structure at rest ( $\dot{d}_1 = \mathbf{0}$ ), with only the top plate being made to move.

Fig. 3 graphically illustrates the  $x$ - and  $y$ - shear mechanisms of a unit cell extracted from a confined sfcc structure. In Fig. 3 and other subsequent figures, we mark the nodal displacements using arrows and display the reference configuration using dashed lines in color. In addition, we amplify the infinitesimal displacements of the examined structures for visual clarity. Such an amplification may lead to apparent violations of the rigidity condition  $\dot{\epsilon} = 0$  in all the rods, as seen, for instance, in Fig. 3. Here, the ostensibly nonzero elongations of the rods are actually higher-order effects induced by the displacement magnification.

#### 4.2. Twisting mechanism

We now consider an incremental infinitesimal rotation  $\dot{\phi}_z$  of the top plate about the  $z$ - axis, again keeping the bottom plate at rest. With reference to the elementary module in Fig. 2, such a relatively rigid motion of the end plates is described by

$$\dot{d}_2 = \left[ -\dot{\phi}_z \left( -\frac{a}{4} + y_5 - y_G \right) \quad \dot{\phi}_z \left( \frac{a}{4} + x_5 - x_G \right) \quad 0 \quad -\dot{\phi}_z \left( \frac{a}{4} + y_5 - y_G \right) \quad \dot{\phi}_z \left( \frac{a}{4} + x_5 - x_G \right) \quad 0 \quad 0 \quad 0 \quad 0 \quad 0 \quad 0 \right]^T, \tag{24}$$

where  $x_G$  and  $y_G$  denote the  $x$  and  $y$  coordinates of the center of mass  $G$  of the top plate, respectively. On using Eqs. (13)–(15) of Section 3.2, it is easy to verify that the twisting of the end plates produces zero incremental elongations in the rods and a displacement field that obeys the projection property (20). The latter is characterized by the following incremental displacement of the inner node

$$\dot{d}_1 = \left[ -\frac{\dot{\phi}_z}{2} (y_5 - y_G) + \frac{\dot{\phi}_z}{2} (x_5 - x_G) \quad \frac{\dot{\phi}_z}{2} (y_5 - y_G) - \frac{\dot{\phi}_z}{2} (x_5 - x_G) \quad 0 \right]^T. \tag{25}$$

A graphical illustration of the twisting mechanism is provided in Fig. 4.

### 5. Infinitesimal elastic deformations

Here we examine the relative motions of the terminal plates of an sfcc layer that produce incremental elastic deformations of the system from the reference placement B.

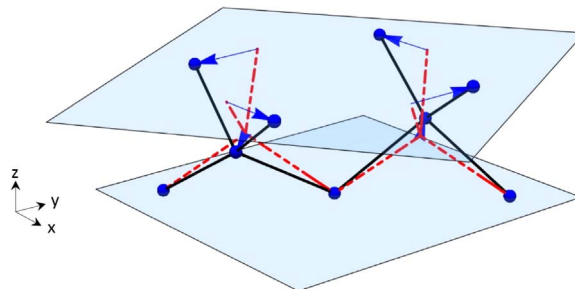


Fig. 4. Twisting mechanisms of a unit cell extracted from a confined sfcc system (the infinitesimal displacements have been amplified for visual clarity).

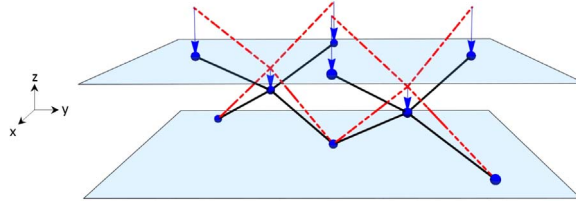


Fig. 5. Vertical deformation of a unit cell extracted from a confined sfcc system (the infinitesimal displacements have been amplified for visual clarity).

5.1. Vertical deformation

Let us impress an incremental vertical translation of amplitude  $\dot{w}$  to the top plate by keeping the bottom plate at rest. In correspondence with the elementary module in Fig. 2, such an incremental deformation corresponds to assuming

$$\dot{d}_2 = [[0, 0, \dot{w}]^T \quad [0, 0, \dot{w}]^T \quad [0, 0, 0]^T \quad [0, 0, 0]^T]^T. \tag{26}$$

From Eq. (15) of Section 3.2 we deduce in the present case (cf. Fig. 5)

$$\dot{d}_1 = [0 \quad 0 \quad \dot{w}/2]^T. \tag{27}$$

On the other hand, Eqs. (13) and (14) lead us to recognize that the current deformation mode induces the following incremental elongations and incremental axial forces in all the rods of the system

$$\dot{e} = \frac{\alpha \dot{w}}{2} = \frac{\dot{w}}{2\sqrt{3}}, \tag{28}$$

$$i = \frac{2E_0 s}{3a} \dot{w}. \tag{29}$$

It is worth noting that the vertical component of the force carried by the generic rod is given by

$$\dot{f}_v = \alpha i = \frac{2E_0 s}{3\sqrt{3} a} \dot{w}. \tag{30}$$

Taking into account that the sfcc unit cell includes four rods attached to the top plate (cf. Fig. 1b), we now compute the total incremental vertical force carried by an sfcc system with  $n_x \times n_y$  unit cells in the horizontal plane, which is given by

$$\dot{F}_v = 4n_x n_y \dot{f}_v = \frac{8E_0 s n_x n_y}{3\sqrt{3} a} \dot{w}. \tag{31}$$

Making use of Eq. (31), we compute the incremental vertical stiffness of the system as follows

$$K_v = \frac{\dot{F}_v}{\dot{w}} = \frac{8E_0 s n_x n_y}{3\sqrt{3} a}. \tag{32}$$

It is easily observed that such a quantity grows linearly with the rods' Young modulus  $E_0$ , the numbers of unit cells placed along the  $x$  and  $y$  axes, and the rods' cross-section area  $s$ .  $K_v$  is instead inversely proportional to the lattice constant  $a$ .

Introducing now the solid volume fraction of the unit cell, defined as follows (cf. Fig. 1b)

$$\phi = \frac{8s\ell}{a \times a \times \frac{a}{2}} = \frac{4\sqrt{3}s}{a^2}, \tag{33}$$

we can rewrite Eq. (32) in the form

$$\frac{K_v}{E_0 a} = \frac{2}{9} n_x n_y \phi. \tag{34}$$

Eq. (34) highlights that  $K_v$  varies linearly with  $\phi$ , as is graphically shown in the plot of Fig. 6, for the case of a square system featuring  $n_x = n_y = n_a$ . Such a plot explicitly reports the numerical values of the dimensionless quantity  $K_v/(E_0 a)$  that correspond to  $\phi = 3\%$  and varying values of  $n_a$ .

The effective compression modulus  $E_c$  of the sfcc system is defined as follows

$$E_c = \frac{K_v \frac{a}{2}}{n_x n_y a^2} = \frac{4E_0 s}{3\sqrt{3} a^2} = \frac{\phi}{9} E_0. \tag{35}$$

It is interesting to note that the Young modulus of an unconfined pentamode lattice is zero in the stretch-dominated limit (Norris, 2014), while Eq. (35) predicts an effective compression modulus equal to 2/3 of the Young modulus of the stiffest isotropic elastic networks analyzed in (Gurtner and Durand, 2014), for a confined sfcc system. Eq. (35) also shows that  $E_c$  increases with the rods' cross-section area  $s$ , and decreases with the lattice constant  $a$ , being a linear function of the solid volume fraction  $\phi$  (stretch-



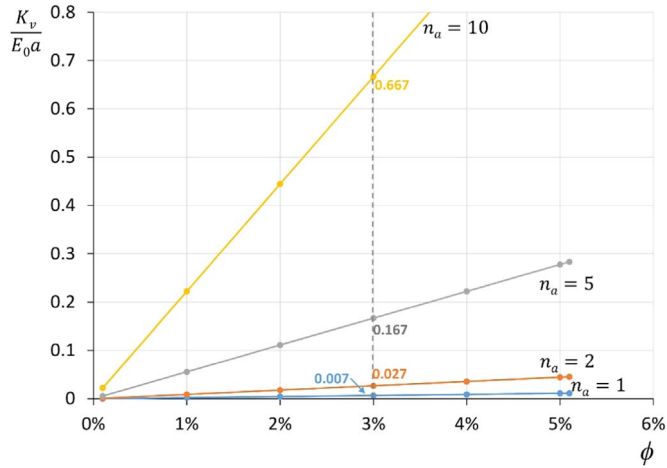


Fig. 6. Vertical stiffness of a monolayer sfcc system vs. the solid volume fraction, for different numbers of unit cells in the horizontal plane ( $n_a = n_x = n_y$ ).

dominated response, cf., e.g., (Gurtner and Durand, 2014; Meza, et al., 2014; Zheng et al., 2014)).

The compression modulus  $E_c$  of an elastomeric layer confined between stiffening plates, which is commonly employed to form rubber seismic isolation systems known as rubber bearings, is controlled by a shape factor  $S$  which is defined as the ratio between the load area and the force-free (lateral) area (Skinner et al., 1993; Kelly, 1993; Benzoni and Casarotti, 2009; Higashino et al., 2003). Such a quantity is hence directly proportional to a characteristic dimension of the load area, and inversely proportional to the rubber pad thickness (see, e.g., (Skinner et al., 1993)). We observe from Eq. (35) that the compression modulus of a “pentamode bearing”, formed by a sfcc lattice confined between stiffening plates, is inversely proportional to the lattice thickness, which is indeed equal to  $a/2$  (Fig. 1b). It is also worth noting that the role played by the characteristic transverse dimension of the rubber pads in rubber bearings is replaced by the cross-section area of the lattice rods in a pentamode bearing.

### 5.2. Bending deformation

A bending deformation about the  $y$ -axis of a monolayer sfcc system is obtained by imposing an incremental infinitesimal rotation  $\dot{\phi}_y$  to the top plate and keeping the bottom plate at rest. When applied to the elementary module in Fig. 2, such an incremental deformation corresponds to assuming

$$\dot{d}_2 = \left[ \begin{matrix} 0, 0, -\dot{\phi}_y(\frac{a}{4} + \Delta x_G) & 0, 0, -\dot{\phi}_y(-\frac{a}{4} + \Delta x_G) & 0, 0, 0 & 0, 0, 0 \end{matrix} \right]^T. \tag{36}$$

From Eqs. (15) and (13)-(14), we deduce that, in the present case (Fig. 7)

$$\dot{d}_1 = \left[ \begin{matrix} -\frac{a}{8}\dot{\phi}_y & \frac{a}{8}\dot{\phi}_y & -\frac{\dot{\phi}_y}{2}\Delta x_G \end{matrix} \right]^T, \tag{37}$$

$$\dot{e} = \alpha \left( -\frac{a}{8}\dot{\phi}_y \right) + \alpha \left( \frac{a}{8}\dot{\phi}_y \right) - \alpha \left( -\frac{\dot{\phi}_y}{2}\Delta x_G \right) = \frac{\alpha \Delta x_G}{2} \dot{\phi}_y, \tag{38}$$

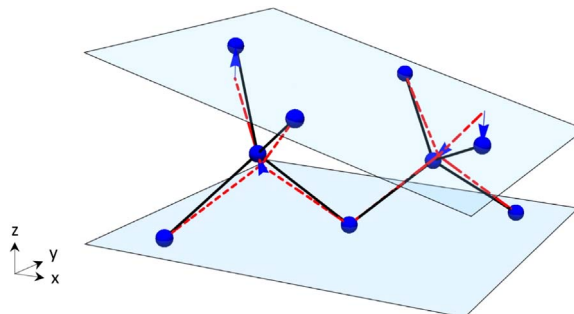


Fig. 7. Bending deformation about the  $y$ -axis of a unit cell extracted from a confined sfcc system (the infinitesimal displacements have been amplified for visual clarity).



$$i = \frac{E_0 s \dot{\ell}}{\ell} = \frac{2E_0 s}{3a} \dot{\phi}_y \Delta x_G. \tag{39}$$

where  $\dot{\ell}$  denotes the incremental elongation in the generic rod of the elementary module;  $i$  denotes the incremental axial force carried by the generic rod; and it results in:  $\Delta x_G = x_5 - x_G$ . The vertical component of  $i$  is given by

$$\hat{f}_v = ai = \frac{2E_0 s}{3\sqrt{3}a} \dot{\phi}_y \Delta x_G. \tag{40}$$

We now examine a monolayer sfcc system composed of  $n_x$  unit cells along the  $x$ -axis and  $n_y$  unit cells along the  $y$ - axis. On considering that each elementary module of such a system shows two rods attached to the top plate (Fig. 1a), we compute the total incremental bending moment  $\dot{M}_y$  carried by the system as follows

$$\dot{M}_y = \frac{2E_0 s}{3\sqrt{3}a} \dot{\phi}_y \sum_{i=1}^{n_{mod}} \left[ \left( \Delta x_{G_i} - \frac{a}{4} \right) + \left( \Delta x_{G_i} + \frac{a}{4} \right) \right] \Delta x_{G_i}, \tag{41}$$

where  $n_{mod}$  indicates the total number of elementary modules forming the system (two modules for each unit cell, cf. Fig. 1b), and  $\Delta x_{G_i}$  denotes the relative  $x$ -coordinate of the central node of the  $i$ -th module with respect to the center of mass  $G$  of the top base. Upon numbering the elementary modules from left (negative  $x$ ) to right (positive  $x$ ), we get

$$\Delta x_{G_i} = -n_x \frac{a}{2} + \frac{a}{4} + (i-1) \frac{a}{2} = \frac{a}{4} [2(n_x + i-1) - 1]. \tag{42}$$

Making use of Eqs. (41) and (42), we finally obtain

$$K_{\phi_y} = \frac{2E_0 s}{3\sqrt{3}a} \sum_{i=1}^{n_{mod}} 2\Delta x_{G_i}^2 = \frac{4E_0 s}{3\sqrt{3}a} n_y \sum_{i=1}^{2n_x} \left\{ \frac{a}{4} [2(n_x + i-1) - 1] \right\}^2 = \frac{E_0 s a n_x (4n_x^2 - 1) n_y}{18\sqrt{3}}. \tag{43}$$

From Eqs. (33) and (43), we get

$$\frac{K_{\phi_y}}{E_0 a^3} = \frac{1}{216} n_x (4n_x^2 - 1) n_y. \tag{44}$$

Fig. 8 plots the dimensionless quantity  $K_{\phi_y}/(E_0 a^3)$  against the lattice solid volume fraction  $\phi$ , in the case of a square system ( $n_x = n_y = n_a$ ). Eqs. (43) and (44) show that  $K_{\phi_y}$  grows with the lattice constant  $a$ , the rods' axial stiffness  $E_0 s$ , the number of unit cells placed along the  $x$  and  $y$  axes, and the lattice solid volume fraction  $\phi$ . In particular,  $K_{\phi_y}$  grows linearly with the number of unit cells in the  $y$ -direction, and cubically with the number of unit cells in the  $x$ - direction. It is also worth remarking that such a quantity grows with the layer thickness ( $a/2$ ), as opposed to the vertical stiffness  $K_v$ , which is instead inversely proportional to  $a$  (cf. the previous section). This follows from the fact that the arms  $\Delta x_{G_i}$  of the vertical forces carried by the rods are proportional to  $a$ , as seen from Eq. (42), while the amplitude of such forces is inversely proportional to  $a$  (cf. Eq. (40)). Analytic formulae for the bending stiffness  $K_{\phi_x}$  about the  $x$ -axis (Fig. 9) are easily obtained by switching  $n_x$  with  $n_y$  in Eqs. (43) and (44). Equal bending rigidities for the  $x$  and  $y$  axes (that is, the isotropic bending response), are obtained if the number of unit cells placed along such axes are equal to one another.

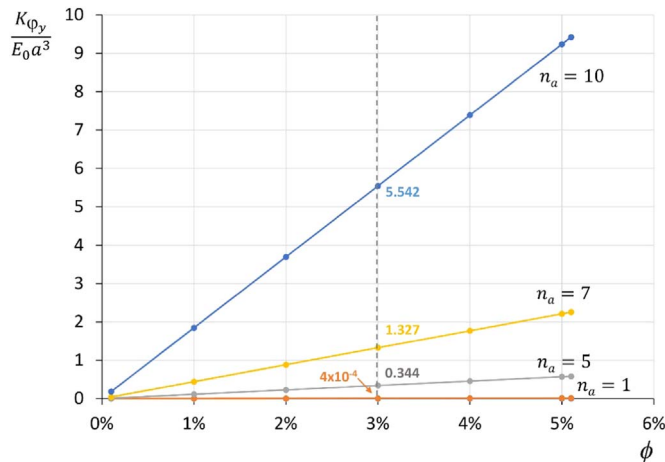
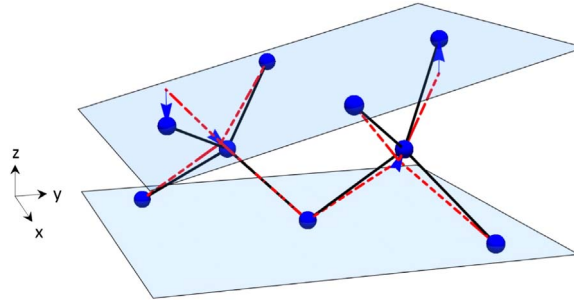


Fig. 8. Bending stiffness about the  $y$ -axis of a monolayer sfcc system vs. the solid volume fraction, for different numbers of unit cells in the horizontal plane ( $n_a = n_x = n_y$ ).



**Fig. 9.** Bending deformation about the  $x$ - axis of a unit cell extracted from a confined sfcc system (the infinitesimal displacements have been amplified for visual clarity).

### 5.3. Multi-layer systems

Let us now examine multi-layer sfcc systems formed by alternating a number  $n_z$  of sfcc layers and confinement plates. The vertical and bending stiffness properties of such systems are easily obtained, on assuming that the layers forming the laminated structure are connected in series. We get

$$K_v = \frac{1}{\sum_{i=1}^{n_z} \frac{1}{K_{v_i}}}; K_\phi = \frac{1}{\sum_{i=1}^{n_z} \frac{1}{K_{\phi_i}}}, \tag{45}$$

where  $K_{v_i}$  and  $K_{\phi_i}$  denote the vertical stiffness and the bending stiffness (about either the  $x$ - or the  $y$ - axis) of the  $i$ -th layer. On assuming that  $K_{v_i}$  and  $K_{\phi_i}$  are constant from layer to layer, we obtain

$$E_c = \frac{K_v H}{A} = \frac{H}{A} \frac{1}{\frac{n_z}{K_{v_i}}} = \frac{K_{v_i} H_i}{A} = E_{c_i} = \frac{4E_0 s}{3\sqrt{3} a^2}, \tag{46}$$

where

$$A = n_x n_y a^2 \tag{47}$$

denotes the area of the stiffening plates covered by the pentamode lattices (“load area”), and  $E_{c_i}$  denotes the effective compression modulus of the generic layer. Eq. (46) shows that the compression modulus of the layered system is equal to that of each individual layer, under the above assumptions. We now focus attention on a square multi-layer system ( $n_x = n_y = n_a$ ), observing that in such a case it results in

$$a = \frac{L}{n_a} = \frac{2H}{n_z}, \tag{48}$$

where  $L = L_x = L_y$  denotes the edge-length of the load area. The use of Eq. (48) into Eq. (46) leads us to the following expressions of the vertical stiffness

$$K_v = \frac{2}{3\sqrt{3}} \frac{E_0 s L}{H^2} n_a n_z \tag{49}$$

and the effective compression modulus

$$E_c = \frac{2}{3\sqrt{3}} \frac{E_0 s}{LH} n_a n_z \tag{50}$$

of the multi-layer system under consideration. For fixed values of  $L, H, E_0$  and  $s$ , Eq. (50) shows that  $K_v$  and  $E_c$  of such a system scale linearly with both  $n_a$  and  $n_z$  (cf. Fig. 10). By keeping the above variables fixed, it is easy to realize, e.g., that  $K_v$  and  $E_c$  get four times larger when doubling the number of cells in the horizontal plane and the number of layers. It is worth noting that, when doubling  $n_z$  and keeping  $H$  fixed, Eq. (48) implies that one needs to halve the lattice constant  $a$ . On the other hand, the same equation implies that, in the same conditions, one simultaneously needs to double  $n_a$ , in order to keep also  $L$  as constant.

## 6. Numerical results

The present section numerically investigates the elastic response of physical models of sfcc pentamode bearings, making use of steel bars grade S335JH, with  $E_0 = 210$  GPa (EN 10210-2, 2006). The examined systems can be actually built using rods and ball joints commonly employed for the realization of space grids (Chilton, 2000). Our systems feature  $2 \times 2$  sfcc unit cells on the horizontal plane ( $n_x = n_y = 2$ ), lattice constant  $a = 1200$  mm, layer height 600 mm, stiffening plate edge length  $L = 2400$  mm, and hollow circular rods with length  $\ell = 519.6$  mm, 48.3 mm diameter and 5 mm wall thickness (EN 10210-2, 2006). Their assembly would require ball joints with diameters of about 70–90 mm, i.e., joints with minimal dimensions among those commonly employed

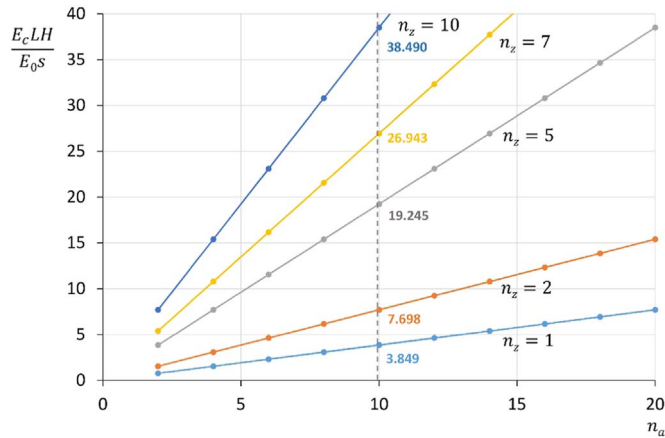


Fig. 10. Effective compression modulus of a multilayer sfcc system vs. the number of unit cells in the horizontal plane ( $n_a = n_x = n_y$ ) and the number of layers ( $n_z$ ).

Table 1

FEM predictions of the vertical stiffness  $K_v$  [kN/mm] and the bending stiffness  $K_\phi$  [kNmm] of physical models of layered sfcc systems vs. theoretical values (TH). The numbers in brackets indicate FEM-TH mismatches.

$n_z$	$K_{v,FEM}$	$K_{v,TH}$	$K_{\phi,FEM}$	$K_{\phi,TH}$
1	7.328E+02 (-0.03%)	7.330E+02	3.345E+08 (+1.41%)	3.299 E+08
2	3.661E+02 (-0.12%)	3.665E+02	1.649E+08 (0.00%)	1.649E+08
3	2.437E+02 (-0.28%)	2.443E+02	1.100E+08 (0.00%)	1.100E+08

for space grids (Chilton, 2000).

The elastic response of the systems under consideration is analyzed through the commercial software Sap2000®, making use of a finite element model (FEM) equipped with beam (frame) elements to describe the rods, perfectly hinged connections, and 2D rigid elements to describe the stiffening plates (CSI, 2015). Table 1 compares finite element values of the vertical stiffness  $K_v$  and the bending stiffness  $K_\phi = K_{\phi_x} = K_{\phi_y}$  of the examined systems with the theoretical previsions of the same quantities obtained through Eqs. (32), (43) and (45), for varying numbers of layers  $n_z$ . We observe an excellent matching between theoretical and FEM results, with maximum theory-FEM mismatch equal to ~1.4% ( $K_\phi$  of the single-layer system). It is worth noting that the  $K_v$  and  $K_\phi$  coefficients of the models equipped with  $n_z$  layers are approximately equal to  $1/n_z$  of those competing to the monolayer system, in line with the theory presented in Section 5.3. Graphical illustrations of the reference and deformed configurations of the adopted FEM are provided in Fig. 11.

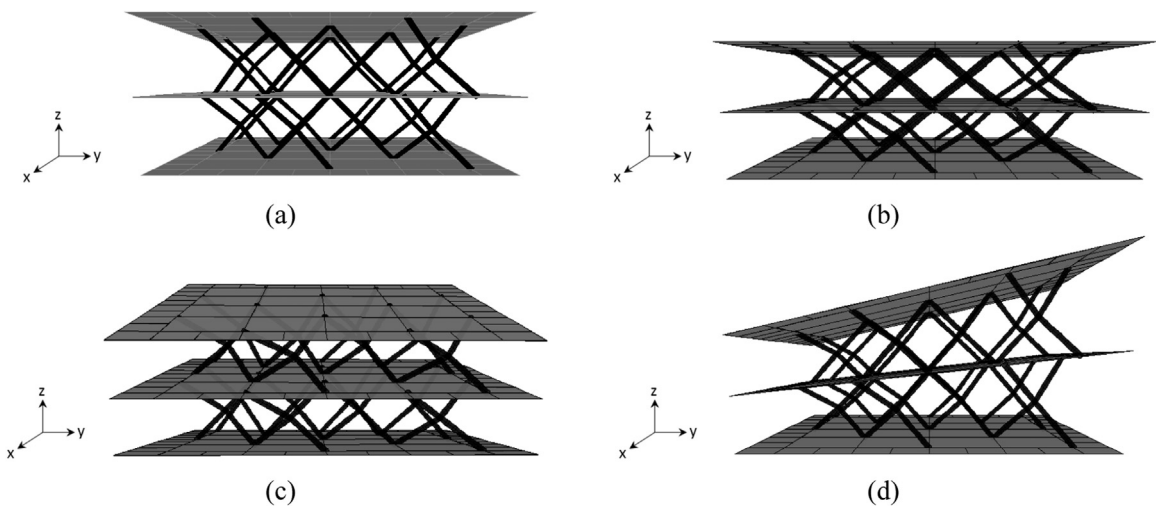


Fig. 11. Finite element model of a bilayer sfcc bearing: (a) reference configuration; (b) vertical deformation mode; (c) bending deformation about the y- axis; (d) bending deformation about the x- axis (the infinitesimal displacements have been amplified for visual clarity).

## 7. Concluding remarks

We have presented a new class of mechanical metamaterials obtained by stiffening fcc pentamode layers with confinement plates. Different from pentamode metamaterials that fill the Euclidean space with fcc unit cells formed by four primitive cells (Milton and Cherkov, 1995; Hutchinson and Fleck, 2006; Milton, 2013a, 2013b; Norris, 2014; Martin et al., 2010; Huang et al., 2016; Bückmann et al., 2014; Chen et al., 2015; Amendola et al., 2016a, 2016b; Fraternali et al., 2015), the lattices analyzed in the present study make use of a sub-lattice of the pentamode fcc cell formed by only two primitive cells. Such a fcc unit cell is repeated an arbitrary number of times in the horizontal plane, and is alternated with stiffening plates along the vertical axis. We have demonstrated that fcc lattices feature only three zero-energy modes in the small strain, stretch-dominated regime, and exhibit finite (non-zero) stiffness against vertical loads and bending moments (Sections 4 and 5). In such a regime, we have shown that they achieve an effective compression modulus equal to 2/3 of the Young modulus of the stiffest elastic networks analyzed in Ref. Gurtner and Durand (2014). This is a noteworthy result, since it is known that many cell fcc lattices with hinged connections instead exhibit zero Young modulus (Norris, 2014). The finite element results provided in Section 6 allowed us to validate the analytic results presented in Section 5 with reference to the stiffness coefficients of single-layer and multi-layer fcc structures.

Overall, we may conclude that the analyzed pentamode lattices can be effectively employed as novel impact protection gears and seismic isolation devices, by suitably designing the lattice geometry, the stiffness properties of the joints, and the lamination scheme, as a function of the operating conditions. Systems endowed with hinged or semi-rigid connections may be effective as impact protectors under impulsive shear loading. Recent research has revealed that strongly nonlinear wave propagation in periodic media can be a feasible and convenient alternative to present state-of-the-art impact protection engineering (Nesterenko, 2001; Fraternali et al., 2012, 2015, 2014; Leonard et al., 2014; Silling, 2016; Wang et al., 2014). Shear waves are particularly dangerous in many impact situations, and may lead to diffuse axonal injury in traumatic brain injuries induced by angular accelerations and decelerations of the head (refer, e.g. to the review paper (Meaney et al., 2014) and references therein). The impact-absorbing liner of next-generation helmets could be designed to reproduce the skull-brain system, with the outer section mimicking the skull, through a pressure-wave mitigation lattice (Fraternali et al., 2014), and the inner section mimicking the cerebrospinal fluid, via micro- or small-scale confined pentamode metamaterials acting as “metafluid” lattices (Amendola et al., 2016b).

Macroscale fcc systems with pinned or semi-rigid joints can also serve as next-generation seismic isolators, whose isolation properties may be finely adjusted to the structure being isolated (Fraternali et al., 2015; Amendola et al., 2016c). According to the European Standard EN 15129 (European Committee for Standardization, 2009), a seismic isolator is a “*device possessing the characteristics needed for seismic isolation, namely, the ability to support a gravity load of superstructure, and the ability to accommodate lateral displacements*”. The results presented in Sections 4–6 show that confined fcc pentamode lattices equipped with hinged connections feature soft shear and twisting modes in the small deformation regime (Section 4), and simultaneously exhibit noticeably high elastic rigidities against both vertical and bending loads (Sections 5 and 6). The response of currently available seismic isolators greatly depends on the properties of the materials used, while the stiffness properties of confined pentamode lattices depends mostly on their geometry, as confirmed by the analytic results presented in Section 5. This implies that the response of such devices can be easily tuned by altering the geometry to control the vertical and horizontal stiffness for each application. It is also worth noting that pentamode lattices can bear both compression and tension vertical loads during seismic excitations, due to the nonzero tensile strength of their rods. This differs from the behavior of rubber bearings that are not fastened with dowels connecting the rubber layers and steel shims, and is useful in preventing the uplift of the isolated structure (European Committee for Standardization, 2009).

Previous studies have pointed out several mechanical analogies between the bending-dominated response of confined pentamode lattices and the mechanics of seismic isolation devices alternating rubber layers and stiffening plates (Amendola et al., 2016a, 2016b, 2016c; Fraternali et al., 2015; Skinner et al., 1993; Kelly, 1993; Benzoni and Casarotti, 2009; Higashino et al., 2003). In both cases, the plates forming such laminated structures stiffen the compressive deformation mode of the system, and, at the same time, keep its compliance against shear actions sufficiently large. The outcomes of the present research allow us to extend the above findings to the case of the pure stretching response of fcc pentamode metamaterials.

In closing, we point out a number of aspects and limitations of the present work that suggest directions for future research. Challenging extensions and generalizations of current research consider the analytical and numerical modeling of the effective stiffness properties of confined pentamode lattices. Attention is given to the real bending stiffness of the reinforcement plates and large displacements, with special focus on the link between vertical and lateral stiffness properties. The modeling of the actual deformation of the confinement plates is expected to numerically affect the analytic predictions of the vertical and bending stiffness provided in Section 5 of the present work, especially in relation to the bending mode. Another interesting task regards the design of systems that use hard materials for the stiffening plates, and soft materials for the bars (e.g., nylon, PMMA, etc.). An optimal design of such systems may lead to extremely low shear moduli and a sufficiently high compression modulus. Additional extensions concern the computational modeling of the bending response and the dynamical behavior of confined pentamode lattices, allowing for damping, fracture, damage, and plasticity effects under large displacements. These modeling tasks need to be accompanied by an experimental characterization phase, with the aim of implementing and verifying the theoretical predictions. We plan to fabricate physical models of fcc pentamode lattices at different scales, as well as employing additive manufacturing technologies at the micro-/small-scale (Amendola et al., 2016a), and space grid systems equipped with ball-joints at the macro-/large-scale (Chilton, 2000). Physical models will be tested under dynamic loading in order to explore confined pentamode lattices as effective impact mitigation devices and next-generation seismic isolation devices, with properties mainly derived from their geometric design.

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