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# 1 **High dilution surface-enhanced Raman spectroscopy for rapid** 2 **determination of nicotine in e-liquids for electronic cigarettes**

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4 Nobuyasu Itoh\*<sup>a</sup> and Steven E.J. Bell<sup>b</sup>

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6 <sup>a</sup>*National Metrology Institute of Japan (NMIJ), National Institute of Advanced Industrial Science*  
7 *and Technology (AIST), Central 3, 1-1-1 Umezono, Tsukuba, Ibaraki 305-8563, Japan*

8 <sup>b</sup>*Innovative Molecular Materials Group, School of Chemistry and Chemical Engineering, Queen's*  
9 *University Belfast, David Keir Building, Stranmillis Road, Belfast BT9 5AG, United Kingdom*

10

11 \*Corresponding author. National Metrology Institute of Japan (NMIJ), National Institute of  
12 Advanced Industrial Science and Technology (AIST), 1-1-1 Umezono, Tsukuba, Ibaraki 305-8563,  
13 Japan.

14 E-mail address: nobuyasu-itoh@aist.go.jp (N. Itoh).

15

## 16 **Abstract**

17 The rise in popularity of electronic cigarettes and the associated new legislation concerning  
18 e-liquids has created a requirement for a rapid method for determining the nicotine content  
19 of e-liquids in the field, ideally at the point of sale. Here we have developed a rapid method  
20 based on surface-enhanced Raman spectroscopy (SERS) with Au colloid and an isotope-  
21 labeled nicotine (d<sub>4</sub>-nicotine) internal standard for measurement/quantification of samples  
22 which contain 10's of mg mL<sup>-1</sup> nicotine in a complex viscous matrix. The method is novel  
23 within the area of SERS because it uses high dilution (ca. 4000×) in the sample preparation  
24 which dilutes out the effects of the viscous glycerin/glycerol medium and any flavouring  
25 or colouring agents present but still allows for very accurate calibration with high

26 reproducibility. This is possible because the nicotine concentration in the e-liquids ( $\leq 24$   
27  $\text{mg mL}^{-1}$ ) is several orders of magnitude above the working range of the SERS  
28 measurement. The method has been tested using a portable Raman spectrometer and a very  
29 large set of 42 commercial e-liquids to check there is no matrix interference associated with  
30 different manufacturers/flavourings/colouring agents etc. Finally, as an alternative to  
31 determining the nicotine concentration by measuring peak heights in the spectra, the  
32 concentration was also estimated by comparing the sample spectra with those of a set of  
33 standard sample which prepared at known concentrations and held in a spectral library file  
34 in the spectrometer. This simple approach allows concentration to be estimated without any  
35 complex data analysis and lends itself readily to handheld Raman system which are  
36 typically designed to carry out library searching using the internal software for materials  
37 identification. Library searching against standards correctly classified 41 of the 42 test  
38 liquids as belonging to the correct concentration group. This high dilution SERS approach  
39 is suitable for analysis of sample types that have reasonably high concentrations of analytes  
40 but suffer from matrix problems and it therefore has broad potential for applications across  
41 the food, pharmaceutical and nutraceutical areas.

42

## 43 **Introduction**

44 Electronic nicotine delivery systems, commonly called electronic cigarettes (ECs), are  
45 battery-powered devices that simulate tobacco cigarettes by converting nicotine-containing liquid  
46 into an aerosol. ECs have gained popularity in the past few years, primarily among smokers who want  
47 to reduce the risks of smoking because ECs do not produce the numerous chemicals found in  
48 conventional tobacco smoke.<sup>1-3</sup> ECs use e-liquids which contain nicotine, flavouring/colouring  
49 components and a base such as propylene glycol, glycerin, or a mixture of these two substances. The  
50 nicotine concentrations of available e-liquids typically range from  $0 \text{ mg mL}^{-1}$  to  $24 \text{ mg mL}^{-1}$  and

51 numerous different flavours are available, ranging from tobacco flavours (which are similar to  
52 cigarettes) to menthol, fruits and coffee.<sup>4-7</sup>

53 Because the nicotine contained in e-liquids is both addictive and toxic,<sup>8</sup> some countries  
54 have banned/regulated the use of ECs, e-liquids containing nicotine.<sup>9, 10</sup> This has created a  
55 requirement for analytical methods which can be used to determine the nicotine concentrations in e-  
56 liquids. The production and labelling of many of these products is not regulated at source so  
57 independent methods are required by authorities who have a legal duty to enforce legislation for  
58 public health or taxation reasons. This could be, for example, detecting nicotine in supposedly  
59 nicotine-free e-liquids or checking that the e-liquids actually contain the concentrations of nicotine  
60 stated on their containers by the manufacturers.<sup>5, 6, 11-13</sup>

61 Nicotine concentrations in e-liquids have been widely quantified by gas chromatography  
62 (GC) or high-performance liquid chromatography (HPLC). Sample solutions for these instruments  
63 are commonly prepared by the pipetting of e-liquids followed by dilution/extraction and are mixed  
64 with/without internal standards such as quinoline.<sup>4-7, 11-15</sup> These methods are well-established and  
65 accurate but they are time-consuming (usually more than 30 min for each sample) and not suitable  
66 for rapid field testing at point of sale.

67 While conventional vibrational spectroscopy has some of the aspects required for field  
68 testing, such as portability and acceptable cost, the nature of the sample makes conventional  
69 vibrational analysis of e-liquids difficult. For IR the aqueous/glycerol medium will interfere while  
70 the nicotine concentration is too low for normal Raman analysis, moreover the samples can give  
71 strong fluorescence backgrounds with common excitation wavelengths. In principle, surface-  
72 enhanced Raman spectroscopy SERS should have appropriate sensitivity but there are potential  
73 problems due to the oily and highly viscous nature of the e-liquids (propylene glycol: 40.4 mPa•s at  
74 25 °C; vegetable glycerin: 934 mPa•s at 25 °C)<sup>16</sup> which could hinder aggregation and also interfere  
75 with adsorption of the analyte to the enhancing surface. In addition, the numerous different  
76 colouring/flavouring compounds can also potentially give their own interfering SERS signals.<sup>17</sup>

77 Here we show that these problems in the SERS analysis can be overcome because the  
78 sensitivity of SERS is vastly better than is required to detect the analyte in the unprocessed samples.  
79 Literature data has shown SERS nicotine detection at the low ppm level<sup>18-21</sup> while the e-liquids are 4  
80 orders of magnitude higher. This means the samples can be diluted down dramatically, which removes  
81 problems associated with the glycerin/glycerol medium and similarly reduces the flavouring  
82 compounds to undetectably low concentrations. This has allowed us to develop a convenient  
83 procedure for nicotine screening in e-liquids suitable for field use which combines high dilution in  
84 the sample preparation with very straightforward data analysis that can be carried out on simple  
85 portable Raman instruments where sample spectra are automatically compared to a library of standard  
86 spectra of samples prepared at different concentrations.

87

## 88 **Experimental**

### 89 *Chemicals and samples*

90 Nicotine, deuterium-labeled nicotine (d<sub>4</sub>-nicotine), and magnesium sulfate (MgSO<sub>4</sub>) were  
91 obtained from Sigma-Aldrich (St. Louis, MO, USA). The Au colloid (particle size: 50 nm, 4.50 ×  
92 10<sup>10</sup> particles mL<sup>-1</sup>) solution was obtained from BBI solutions (Cardiff, UK). Monopropylene glycol  
93 (PG, Pharma grade) and vegetable glycerin (VG, USP Kosher grade) were obtained from Classikool  
94 (Essex, UK). Deteriorated nicotine was a sample of pure nicotine which had been stored for more  
95 than 10 years at room temperature in the reagent cabinet of our laboratory.

96 E-liquid solutions were obtained from manufacturers in the United Kingdom (Table S1). A  
97 set of samples comprising eight flavours, each at 4 different nicotine levels were purchased so the  
98 calibration could be tested with a range of flavours. A further set of 10 assorted liquids with different  
99 flavours were also used to allow the influence of colourings and flavours as well as different types of  
100 bases to be examined over a broad range of liquid types. All of the e-liquids obtained were stored at  
101 room temperature in the dark.

102

103 *Preparation of solutions*

104 Nicotine reagents were diluted with double distilled water (DDI). To avoid pipetting the  
105 viscous liquid, a fixed amount, approximately 200  $\mu\text{L}$ , of nicotine solution or e-liquid was measured  
106 by pouring it into the upturned cap of a 2 mL shell vial until the cap was full. The nicotine solution  
107 was transferred to a glass vial, which was previously filled with 25 mL of DDI (Solution A). Then,  
108 20  $\mu\text{L}$  of solution A was transferred to another 1 mL glass vial that contained 600  $\mu\text{L}$  of 0.01 mM d<sub>4</sub>-  
109 nicotine (Solution B). Finally, 20  $\mu\text{L}$  of solution B was transferred to another 1 mL glass vial that  
110 contained 180  $\mu\text{L}$  of Au colloid solution. Nicotine solutions and e-liquids were diluted ca. 4000 times  
111 throughout this preparation process. 50  $\mu\text{L}$  of 0.1 M  $\text{MgSO}_4$  was added to aggregate the colloids  
112 before their SERS spectra were recorded with a portable Raman spectrometer. The overall procedure  
113 is illustrated in Fig. S1.

114

115 *Measurement and classification*

116 The aggregated solutions were analyzed with a portable Raman spectrometer (ReporteR,  
117 DeltaNu, WY, USA). The laser wavelength was 785 nm, and the spectral range 200  $\text{cm}^{-1}$  to 2000  
118  $\text{cm}^{-1}$ . Spectrometer  $\text{cm}^{-1}$  was calibrated with a standard polystyrene accessory. The acquisition time  
119 was 2 sec x 3 accumulations. The data were analyzed with NuSpec software and no subtraction of  
120 background spectra was carried out.

121 For the classification of nicotine levels by library matching, the SERS spectra of mixtures  
122 of nicotine/internal standard at the appropriate concentrations (0  $\text{mg mL}^{-1}$ , 6  $\text{mg mL}^{-1}$ , 12  $\text{mg mL}^{-1}$ ,  
123 18  $\text{mg mL}^{-1}$ , 24  $\text{mg mL}^{-1}$  and 30  $\text{mg mL}^{-1}$ ) were recorded and then used to create a small spectral  
124 library using the instrument's internal NuSpec software. To test the nicotine levels of e-liquids the  
125 spectra of the liquids prepared in the standard way with internal standard were recorded and searched  
126 against the library of standard mixtures. The nicotine concentration of the e-liquid was then estimated  
127 as being the same as that of the standard library spectrum which gave the closest match. The search  
128 used the instrument's full range spectra (200  $\text{cm}^{-1}$  to 2000  $\text{cm}^{-1}$ ) and the proprietary software which

129 is based on Pearson's correlation.

130

## 131 **Results and discussion**

132 Quantifying the nicotine content of e-liquids using normal Raman scattering measurements  
133 is extremely difficult since the spectra are dominated by signals from the propylene glycol and  
134 vegetable glycerin solvent, rather than the much lower concentration ( $\text{mg mL}^{-1}$ ) nicotine component  
135 (Figs. S2, S3, S4). Similarly, it is not possible to increase the intensity of the nicotine bands in the  
136 spectra of undiluted e-liquids using SERS since the addition of the e-liquids prevents colloid  
137 aggregation, as shown by the observation that even the background signals from the colloid's organic  
138 stabilizing layer (which are readily detectable with simple aggregated colloid) disappear in samples  
139 prepared with undiluted e-liquids (see Fig. S5). In contrast, SERS of highly diluted e-liquids and  
140 nicotine samples was much more successful since it removed problems with aggregation, allowing  
141 the nicotine to be preferentially enhanced and therefore detected, even in the presence of the other  
142 components in the e-liquid.

143

### 144 *Influence of the nicotine freshness on the Raman spectrum*

145 One potential problem for nicotine analysis either by Raman or SERS methods is that  
146 nicotine decomposes in air, turning from a very pale brown to a much darker brown liquid.<sup>22, 23</sup> In  
147 this study nicotine that had been stored for more than 10 years and was very dark brown (see Fig. 1a)  
148 was tested alongside fresh nicotine to determine the effects of deterioration on the Raman and SERS  
149 spectra. As shown in Fig. 1, the Raman spectrum of the fresh nicotine showed its characteristic peaks  
150 originating from the stretching vibrations of the pyridine ring at  $1027 \text{ cm}^{-1}$ , whereas deteriorated  
151 nicotine showed only broad emission due to fluorescence. In contrast, when the fresh and deteriorated  
152 nicotine were diluted to  $10 \mu\text{M}$ , their SERS spectra were indistinguishable (Fig. 1b).

153 Even though the SERS spectra of the fresh and deteriorated samples were the same, it was  
154 useful to check that deterioration did not create products that interfered with the magnitude of the

155 signals e.g. by blocking surface sites. Fig. 2 shows the changes in the signal intensity of nicotine at  
156  $1027\text{ cm}^{-1}$  between 0 and 2 mM. The signal intensity of fresh nicotine increased dramatically up to  
157  $50\text{ }\mu\text{M}$  and then plateaued. The slight decrease in the signal intensity at 2 mM might be due to the  
158 reduction of colloid aggregation caused by the presence of excess nicotine in the solution.  
159 Deteriorated nicotine also showed an increase in the signal intensity with concentration up to  $50\text{ }\mu\text{M}$ .  
160 However, the signal intensity dramatically decreased with a further increase in the concentration, and  
161 it became less than half of the maximum intensity at  $200\text{ }\mu\text{M}$ . This was presumably due to self-  
162 absorption of the excitation laser and Raman scattering by the dark-coloured deteriorated solutions,  
163 although it is also possible that the affinity of deteriorated nicotine may be different from those of  
164 fresh nicotine at higher concentrations ( $> 50\text{ }\mu\text{M}$ ). Nonetheless, up to  $50\text{ }\mu\text{M}$ , as shown in Fig. 2b, not  
165 only were the signal intensities of both nicotine samples comparable but both also showed almost a  
166 linear relationship with concentration. Thus, we considered that both fresh and deteriorated nicotine  
167 can be quantified comparably in the range from 0 to  $50\text{ }\mu\text{M}$ . These results are important because the  
168 extent of deterioration of the aged sample is much larger than would be expected in the samples which  
169 will be tested in actual field analysis, so interference from nicotine deterioration products should not  
170 be a significant problem with the SERS analysis.

171 Although, as shown in Fig. 2, the absolute signal intensity varied linearly with  
172 concentration, an appropriate internal standard was added because this makes the calibration more  
173 robust by eliminating errors due to changes in the enhancing medium or the performance of the  
174 instrument used to read the signals. In this study, we used deuterium-labeled nicotine ( $\text{d}_4$ -nicotine),  
175 since using an isotopomer of the target compound is known to be the best way to obtain accurate  
176 quantification in SERS because the signals for the target and standard are both affected equally by  
177 changes in measurement conditions.<sup>24</sup> Furthermore, the presence of the  $\text{d}_4$ -nicotine peak in the spectra  
178 of sample solutions makes it possible to quantify/classify the nicotine concentration by comparing  
179 with library data (see below).

180 Fig. 3a shows the changes in the SERS spectra for a mixture of fresh nicotine and  $\text{d}_4$ -



181 nicotine (from 0 to 40  $\mu\text{M}$  nicotine with 10  $\mu\text{M}$  d<sub>4</sub>-nicotine). The signal intensity of d<sub>4</sub>-nicotine at 994  
182  $\text{cm}^{-1}$  is distinct from that of nicotine at 1027  $\text{cm}^{-1}$  and grows as expected with increasing nicotine  
183 concentration. For quantitation, the ratio of the peak heights due to nicotine and d<sub>4</sub>-nicotine at 1027  
184 and 994  $\text{cm}^{-1}$ , respectively, were measured. Over the range 0–40  $\mu\text{M}$  nicotine the reproducibility was  
185 good (< 5% relative standard deviation at each concentration over the range examined), however this  
186 decreased noticeably at 50  $\mu\text{M}$ , possibly due to the influence of nicotine's small signal intensity at  
187 994  $\text{cm}^{-1}$  and saturation effects, so the calibration range was limited to 0–40  $\mu\text{M}$ . Over this range the  
188 calibration is excellent, the plot of relative signals versus relative concentration is linear with an  
189 intercept at 0.06 and  $r^2 = 0.9996$ , so that SERS is clearly suitable for quantification of nicotine in  
190 aqueous solution.

191 E-liquids are quite difficult to pipette and disperse in exact volumes because they are  
192 typically oily and highly viscous.<sup>16</sup> Furthermore, the concentration range of SERS that is applicable  
193 for the reliable quantification of nicotine is limited (from 0 to 40  $\mu\text{M}$ ). To overcome these problems,  
194 we developed an easy sample preparation process using the internal volume of vial caps (see  
195 Experimental and Fig. S1). This preparation process avoids accurate pipetting of e-liquids and  
196 involves just mixing with DDI and other aqueous solutions, resulting in aqueous solutions containing  
197 d<sub>4</sub>-nicotine and Au colloid. This sample preparation process takes only a few minutes.

198 To test the efficacy of this method for e-liquids rather than aqueous nicotine solutions, the  
199 nicotine concentration in tobacco flavoured e-liquids was measured. Among the examined e-liquids  
200 at 0, 6, 12, and 18  $\text{mg mL}^{-1}$ , the relative standard deviations in quintuplicated analyses through the  
201 whole process were 2.2% for 6  $\text{mg mL}^{-1}$ , 5.0% for 12  $\text{mg mL}^{-1}$ , and 4.3% for 18  $\text{mg mL}^{-1}$ , this  
202 repeatability is comparable to that for pure aqueous solutions, so there were no problems in extending  
203 the measurements using this technique to real e-liquids.

204 The method was tested using e-liquids with 8 flavours at 0, 6, 12, and 18  $\text{mg mL}^{-1}$  (32  
205 samples) and also for another 10 flavours at 11  $\text{mg mL}^{-1}$  to examine its ability to obtain nicotine  
206 concentrations both at different nicotine concentrations and with different interfering flavours,

207 colourings and bases (Table S1). Fig. 4 shows the results of nicotine quantification in real e-liquids  
208 obtained from measurements of the relative peak heights of nicotine and d<sub>4</sub>-nicotine in their spectra.  
209 Because the repeatability of this method was good over this range, as discussed above, we applied  
210 only duplicate analyses for each sample. Analytical results of the nicotine concentrations in all of the  
211 e-liquids were comparable to those shown on their containers in samples with different nicotine  
212 concentrations (0 mg mL<sup>-1</sup>: -0.4–0.0 mg mL<sup>-1</sup>, 6 mg mL<sup>-1</sup>: 5.7–7.2 mg mL<sup>-1</sup>, 12 mg mL<sup>-1</sup>: 11.2–13.3  
213 mg mL<sup>-1</sup>, 18 mg mL<sup>-1</sup>: 17.2–18.6 mg mL<sup>-1</sup>, and 11 mg mL<sup>-1</sup>: 8.5–11.7 mg mL<sup>-1</sup>), various flavours,  
214 different colors and different types of bases (Fig. S6 and Table S1). This fact suggests that the  
215 analytical results obtained by this method are free from interference due to flavours, colourings and  
216 types of base.

217 Finally, the portable Raman system used in this study can automatically compare newly  
218 acquired spectra with library data in real time. This function becomes possible with d<sub>4</sub>-nicotine  
219 addition and is very convenient for rapidly estimating the approximate nicotine content, a task which  
220 is made easier by the fact that most of the available e-liquids contain nicotine levels which vary in  
221 multiples of 6, such as 0, 6, 12, and 18 mg mL<sup>-1</sup>.<sup>4-7</sup> Here the SERS spectral data from the calibration  
222 curve was used to build a spectral library that the spectra for each e-liquid could be compared against.  
223 This allowed the nicotine level in the e-liquids to be classified by finding which spectrum in the  
224 library they matched most closely. Fig. 4 shows the classification of the nicotine levels in all 42  
225 samples obtained by library matching, along with the results from the quantitative analysis. In the  
226 plot, the shape of each of the points is used to indicate which of the 5 concentration values the library  
227 matching gave. The approach was remarkably successful, only 1 of the 42 samples was incorrectly  
228 classified and in that case the sample was classified as belonging the nearest neighbor (actual 11 mg  
229 mL<sup>-1</sup>, estimated value 6 mg mL<sup>-1</sup>). This level of accuracy also meant that the method allowed samples  
230 which contained nicotine to be distinguished from those that did not with confidence (Tables S2 and  
231 S3).

232

## 233 **Conclusions**

234           We have developed a new method for the screening of nicotine in e-liquids which combines  
235 an easy sample preparation process with SERS and a portable Raman spectrometer. The method can  
236 be used either for full quantitation of nicotine concentration or for rapid estimation of the nicotine  
237 level by library matching. Importantly, the results are not affected by flavours, colourings, type of  
238 base or the freshness of the nicotine. This was possible because the high sensitivity of SERS meant  
239 that the sample could be significantly diluted (ca. 4000×) in the sample preparation which diluted out  
240 matrix effects from the glycerol present and also reduced interference from flavouring and colouring  
241 compounds below detectable levels. This approach of combining high sample dilution with SERS  
242 clearly has the potential to be applied to other sample types where matrix effects may be significant,  
243 such as foodstuffs or topical pharmaceuticals.

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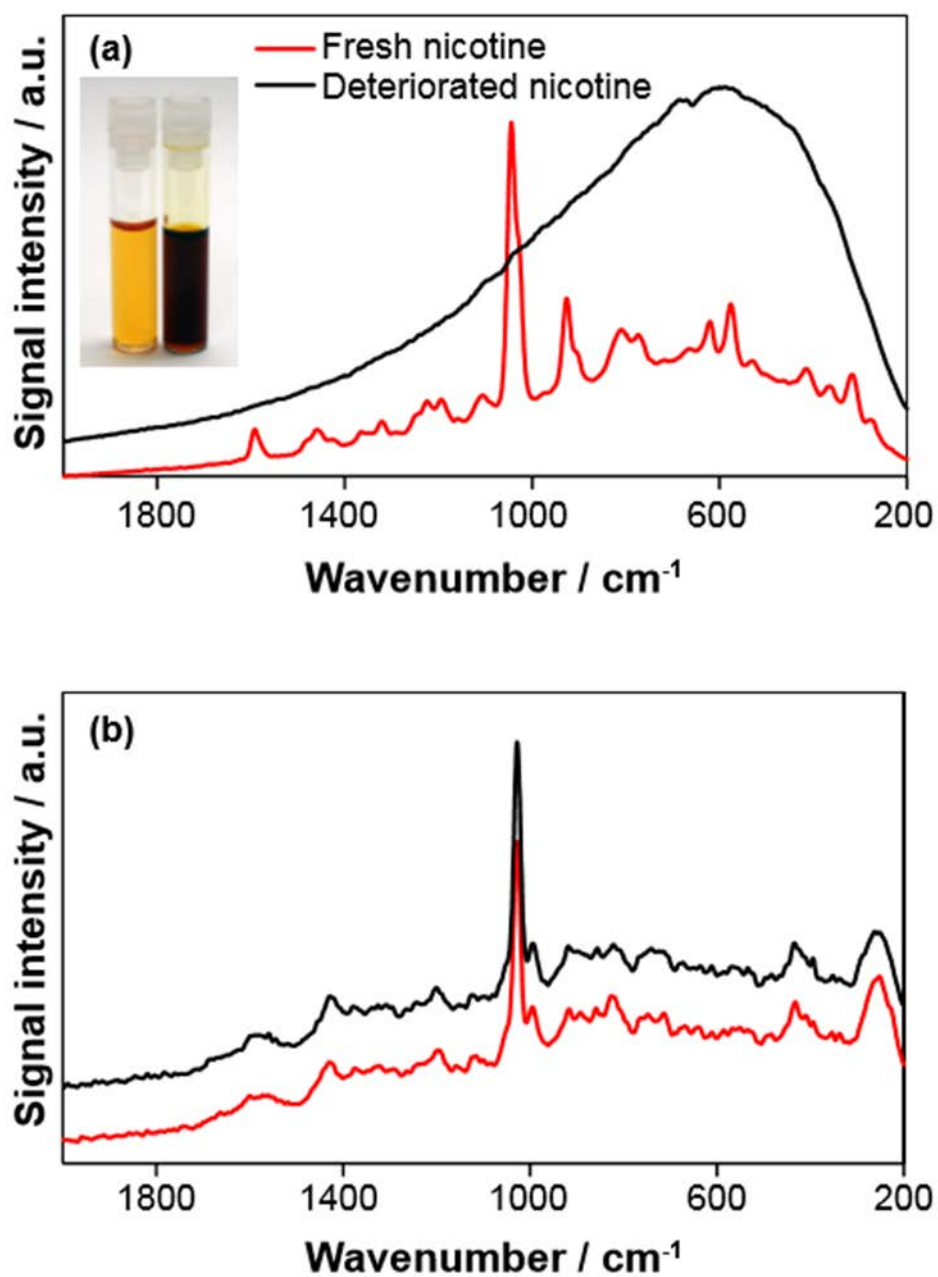
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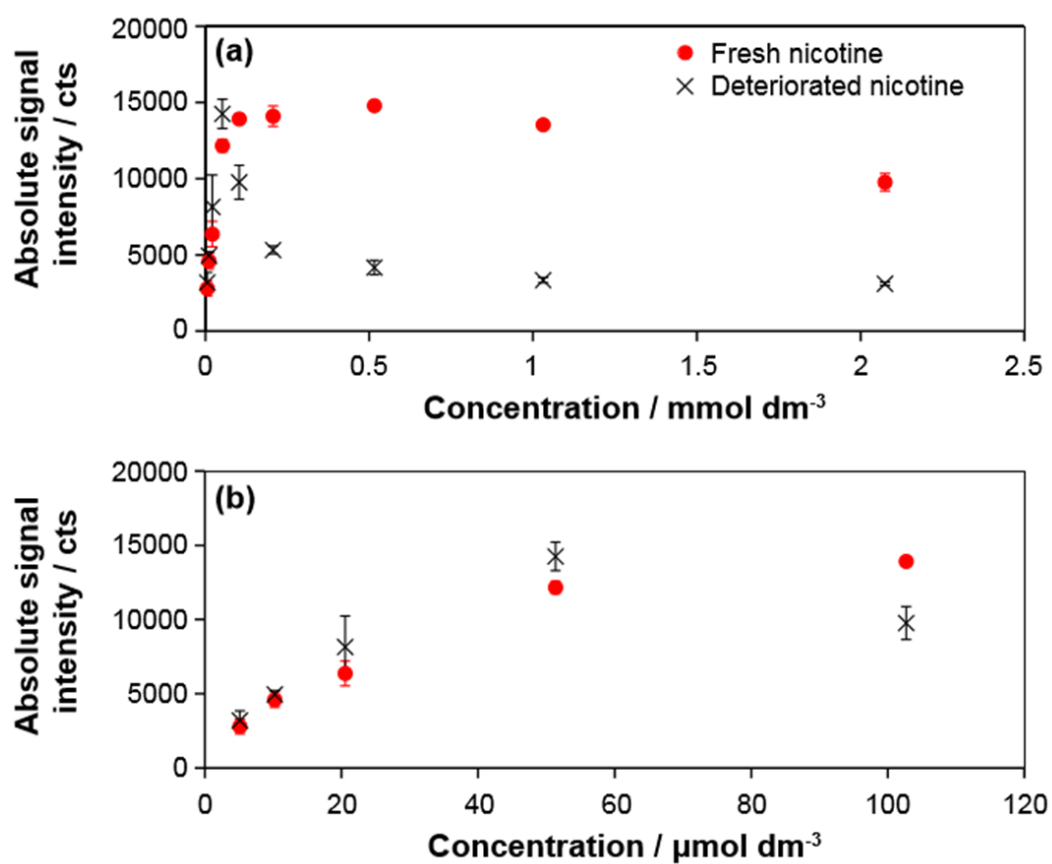
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247 **Notes and references**

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298 **Fig. 2**299 Plot of the intensity of the SERS band at 1027 cm<sup>-1</sup> and nicotine concentration (a) from 0 to 2 mmol300 L<sup>-1</sup> and (b) magnified between 0 to 100 μmol L<sup>-1</sup>. Data for fresh and deteriorated nicotine are shown.

301 The error bars indicate the standard deviation in triplicate analyses.

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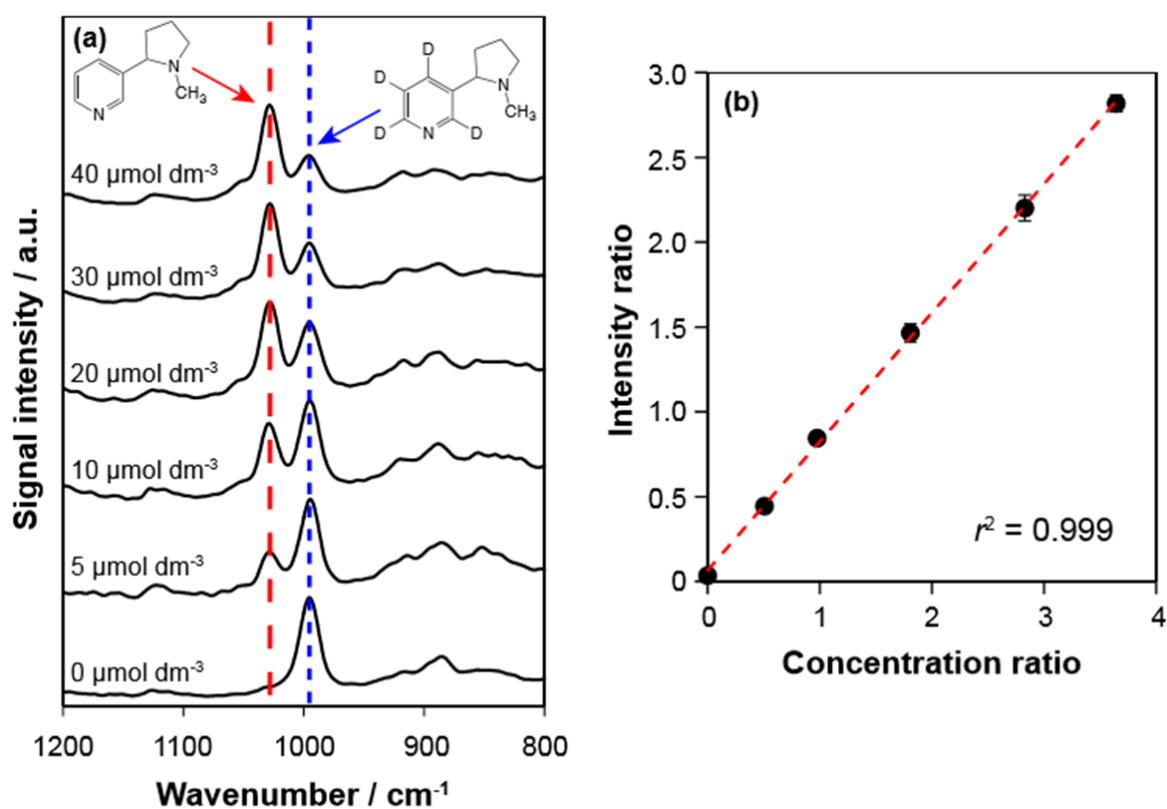
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312 **Fig. 3**

313 (a) Changes in the SERS spectra of a nicotine (1027 cm<sup>-1</sup>) and d<sub>4</sub>-nicotine (994 cm<sup>-1</sup>) mixture in 0 to  
314 40 μM nicotine solutions with d<sub>4</sub>-nicotine internal standard fixed at 10 μM. Inset shows the structures  
315 of nicotine and d<sub>4</sub>-nicotine. (b) Plot of the concentration ratio of nicotine and d<sub>4</sub>-nicotine against the  
316 ratio of their characteristic (1027: 994 cm<sup>-1</sup>) bands. Error bars indicate the standard deviation in  
317 quintuplicate analyses.

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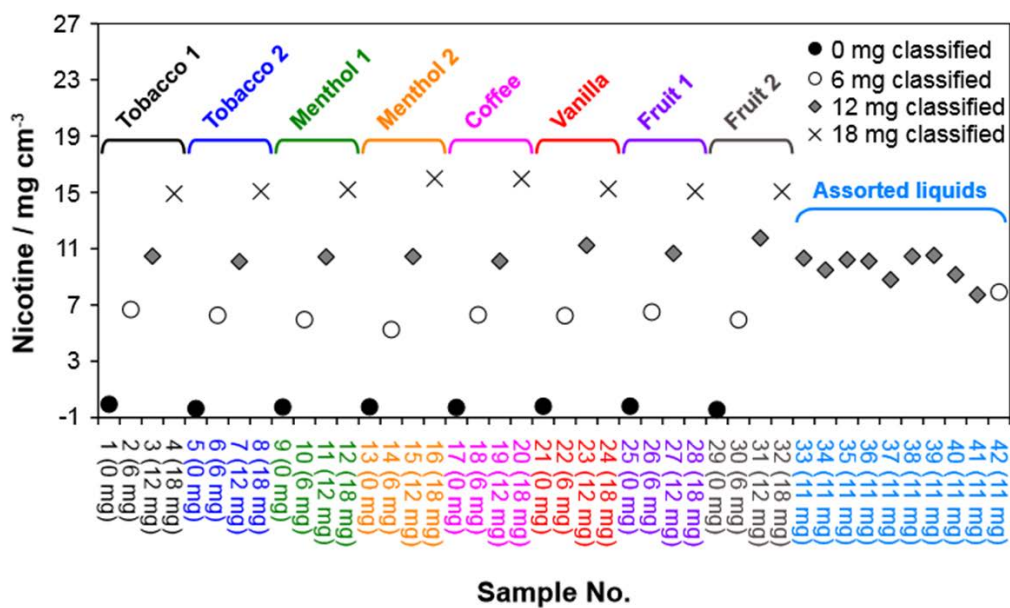
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327 **Fig. 4**

328 Plot illustrating the results of nicotine SERS analysis in commercial e-liquids. Values in parentheses  
 329 on the x-axis are the nicotine concentrations shown on each container. The positions of the points  
 330 show the analytical values obtained by measuring relative peak heights of nicotine and d<sub>4</sub>-nicotine  
 331 for each of the samples. The symbols used to mark the points indicate which standard spectrum the  
 332 unprocessed sample spectra matched in the spectral library. These latter values can be used to estimate  
 333 the nicotine concentration without explicitly measuring peak heights in the spectra.

334

335