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journal homepage: [www.elsevier.com/locate/jqsrt](http://www.elsevier.com/locate/jqsrt)Room temperature linelists for CO<sub>2</sub> asymmetric isotopologues with *ab initio* computed intensitiesEmil J. Zak<sup>a</sup>, Jonathan Tennyson<sup>a,\*</sup>, Oleg L. Polyansky<sup>a,b</sup>, Lorenzo Lodi<sup>a</sup>, Nikolay F. Zobov<sup>b</sup>, Sergei A. Tashkun<sup>c</sup>, Valery I. Perevalov<sup>c</sup><sup>a</sup> Department of Physics and Astronomy, University College London, London WC1E 6BT, UK<sup>b</sup> Institute of Applied Physics, Russian Academy of Sciences, Ulyanov Street 46, Nizhny Novgorod 603950, Russia<sup>c</sup> V.E. Zuev Institute of Atmospheric Optics, SB RAS, 1, Academician Zuev Square, Tomsk 634021, Russia

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## ABSTRACT

The present paper reports room temperature line lists for six asymmetric isotopologues of carbon dioxide: <sup>16</sup>O<sup>12</sup>C<sup>18</sup>O (628), <sup>16</sup>O<sup>12</sup>C<sup>17</sup>O (627), <sup>16</sup>O<sup>13</sup>C<sup>18</sup>O (638), <sup>16</sup>O<sup>13</sup>C<sup>17</sup>O (637), <sup>17</sup>O<sup>12</sup>C<sup>18</sup>O (728) and <sup>17</sup>O<sup>13</sup>C<sup>18</sup>O (738), covering the range 0–8000 cm<sup>-1</sup>. Variational rotation-vibration wavefunctions and energy levels are computed using the DVR3D software suite and a high quality semi-empirical potential energy surface (PES), followed by computation of intensities using an *ab initio* dipole moment surface (DMS). A theoretical procedure for quantifying sensitivity of line intensities to minor distortions of the PES/DMS renders our theoretical model as critically evaluated. Several recent high quality measurements and theoretical approaches are discussed to provide a benchmark of our results against the most accurate available data. Indeed, the thesis of transferability of accuracy among different isotopologues with the use of mass-independent PES is supported by several examples. Thereby, we conclude that the majority of line intensities for strong bands are predicted with sub-percent accuracy. Accurate line positions are generated using an effective Hamiltonian, constructed from the latest experiments. This study completes the list of relevant isotopologues of carbon dioxide; these line lists are available to remote sensing studies and inclusion in databases.

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## 1. Introduction

Recently we reported room temperature line lists for the main <sup>12</sup>C<sup>16</sup>O<sub>2</sub> [1] and other symmetric [2] isotopologues of carbon dioxide. These studies covered the 0–8000 cm<sup>-1</sup> spectral region and 10<sup>-30</sup> cm/molecule cut-off on transition intensities at 296 K. The purpose of the line lists provided was to deliver as complete as possible description of the infra-red spectra of CO<sub>2</sub> with highly accurate line intensities. These intensities have been proven to display sub-percent accuracy for several strong bands of the main isotopologue [3–7]. Tentative indication of similar accuracy has been recently reported for the 30013–00001 band of less abundant symmetric 636 isotopologue [2,6]. Together with our reliability analysis of the transition intensities on a purely theoretical basis, we presented semi-empirical line positions taken from the effective Hamiltonian (EH) calculations. This constituted a comprehensive study, readily applicable to numerous problems, such as determination of isotopic ratios of carbon in geophysical samples,

quantification of <sup>14</sup>C in fossil fuels or concentration measurements of carbon dioxide by ground based and space missions.

A number of spectroscopic measurements on carbon dioxide require simultaneous knowledge of accurate line positions and line intensities for both its most abundant and rare isotopologues. A primary example of such situation is experimental retrieval of the concentration of <sup>14</sup>CO<sub>2</sub> in an environmental sample. The P(20) line of the asymmetric stretching fundamental  $\nu_3$  band usually utilized for this purpose nearly overlaps with the P(19) line of a hot band originating from <sup>13</sup>CO<sub>2</sub>. The quality of the elucidated concentration is therefore sensitive to the accuracy of both lines.

Another application that requires *a priori* knowledge of line intensities of multiple isotopologues at the same time is determination of <sup>13</sup>C/<sup>12</sup>C and <sup>16</sup>O/<sup>17</sup>O/<sup>18</sup>O ratios. These ratios remain crucial for modelling the Earth's geophysical processes, but also for example, in investigating processes of formation of radiation fields in the Martian atmosphere, which is 96% rich in carbon dioxide [8]. The low natural abundances of <sup>13</sup>C, <sup>17</sup>O and <sup>18</sup>O usually inhibit high accuracy measurements of integral intensities on these CO<sub>2</sub> species due in part to spectral congestion from more abundant isotopologues. Only recently, studies by Jacquemart et al. [9], Borkov et al. [10,11] and Karlovets et al. [13–17] on isotopically

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**Table 1**  
Summary of 13 room temperature ( $T = 296\text{ K}$ ) line lists of carbon dioxide from this work and our previous studies [1,2].

Isotopologue	626	636	646	727	737	828	838	628	627	637	638	728	738
ZPE <sup>a</sup> [ $\text{cm}^{-1}$ ]	2535.92	2483.08	2436.75	2500.75	2447.50	2469.05	2415.39	2502.61	2518.35	2465.33	2449.38	2484.93	2431.48
$J_{\text{MAX}}$	129	119	130	99	50	101	50	118	112	99	102	99	84
SF(ortho:para) <sup>b</sup>	1:0	2:0	7:0	15:21	30:42	1:0	2:0	1	6	12	2	6	12
$Q_{296}$ (This work)	286.095	576.652	2033.395	10 902.24	21 758.08	323.438	644.754	607.855	3536.724	7129.752	1223.560	3760.428	7583.400
$Q_{296}$ (CDSD-296) <sup>c</sup>	286.098	576.652	N/A	10 971.90	22 129.96	323.418	652.234	607.828	3542.639	7141.561	1225.518	3766.689	7595.295
$Q_{296}$ (Ames-296) <sup>d</sup>	286.094	576.644	N/A	10 971.91	22 129.96	323.424	652.242	607.713	3542.610	7140.024	1225.270	3766.044	7593.900
$Q_{296}$ (HITRAN) <sup>e</sup>	286.936	578.408	N/A	11 001.67	N/A	324.211	653.756	609.480	3552.678	7162.908	1229.084	3776.352	7615.248
Abundance <sup>f</sup>	0.9842	1.1057(-2)	1.0	1.3685(-7)	1.5375(-9)	3.9556(-6)	4.4440(-8)	3.9470(-3)	7.3399(-4)	8.2462(-6)	4.4345(-5)	1.4718(-6)	1.653(-8)
N(This work) <sup>g</sup>	162 010	68 635	41 610	6530	1501	10 441	2637	117 490	71 580	22 667	39 980	14 349	3573
N(CDSD-296) <sup>g</sup>	160 499	68 640	N/A	6530	1500	10 444	2635	113 122	70 692	23 815	39 979	15 140	3621
N(Ames-296) <sup>g</sup>	162 558	68 739	42 072	6545	1634	10 531	3050	117 744	71 639	22 704	40 034	14 529	3573
N(HITRAN2012)	160 292	68 856	N/A	5187	N/A	7070	121	114 023	71 182	2953	26 737	821	N/A
Matched <sup>h</sup>	160 289	68 856	N/A	5187	N/A	7069	121	110 292	71 016	2736	26 713	816	N/A

<sup>a</sup> Zero point energy computed with DVR3D with the Ames-1 PES.

<sup>b</sup> Nuclear spin statistical weights multiplied by degeneracy factor.

<sup>c</sup> 2015 edition of CDSD [19];

<sup>d</sup> [22].

<sup>e</sup> TIPS-2011 [35].

<sup>f</sup> HITRAN2012 abundances were taken from Ref. [22].

<sup>g</sup> For  $10^{-27}$  cm/molecule intensity cut-off and  $10^{-30}$  cm/molecule after scaling by the natural abundance for the other isotopologues.

<sup>h</sup> UCL line list with  $10^{-33}$  cm/molecule intensity cut-off was used in the comparison.

enriched samples have given a more detailed knowledge about the structure of weaker bands in the asymmetric isotopologues and thus improved the accuracy of the available intensities of key strong bands. Although transition frequencies are measured with satisfactory precision in this way, lack of spectral completeness remains an issue, which is partially solved by spectroscopic databases like HITRAN [18], CDSD-296 [19] or GEISA [20]. These databases, however, rely heavily on experiments which suffer from insufficiently accurate line intensities. For this reason, a self-consistent database containing high-quality spectroscopic information about both line positions and intensities for all isotopologues of  $\text{CO}_2$  is necessary for successful and reliable retrievals. Here we provide a solution to the intensity problem in the form of line lists, which we hope, will cross-validate and update existing room temperature databases.

The 2012 release of the HITRAN database contains only a limited number of entries for the asymmetric isotopologues of carbon dioxide, leaving spectral gaps and minor inconsistencies in intensity patterns due to more than one source used for a single band. Typically, line positions used in HITRAN are at the level of accuracy not achievable with standard variational methods. In HITRAN, some line positions come from experiment but mainly they are taken from effective Hamiltonian models introduced by Tashkun and Perevalov; these models encompass all precise measurements in the form of a single coherent database and have been published independently as CDSD-296 [19].

As mentioned earlier, transition intensities are more challenging experimentally, thus only limited work has been done to obtain the level of accuracy (0.3–1% [21]) required by remote sensing missions dedicated to monitor the concentration of carbon dioxide in the Earth's atmosphere. This is, for instance, the main requirement for successful interpretation of data from the NASA Orbiting Carbon Observatory 2 (OCO-2) space mission [21].

For this purpose, *ab initio* or semi-empirical models are needed. The accuracy of such models is determined by the quality of the underlying surfaces: potential energy surface (PES) and dipole moment surface (DMS). Whereas the former is usually empirically fitted to give ro-vibrational energy levels and wavefunctions, the latter is mainly responsible for the accuracy of the transition intensities and commonly appears in *ab initio* form.

A recent theoretical attempt to model high-resolution infrared spectra of carbon dioxide has been made in the work by Huang et al. from the NASA Ames Research Center [22,23]. These authors published a semi-empirical PES named 'Ames-1' and an *ab initio* DMS. The Ames line lists constructed upon these surfaces has been proven to be very accurate for both the symmetric and asymmetric isotopologues [4,5,24,25]. This important fact supports the thesis that line intensities can be computed variationally using mass-independent PES for all isotopologues with little loss in accuracy. This relies on the non-Born-Oppenheimer corrections giving negligible, possibly mutually cancelling effects, which enter the stipulated uncertainty budget. We believe that the error introduced by neglecting non-BO effects manifests itself below the stated 1% uncertainty tolerance for line intensities. This issue has been already discussed in our previous work on the symmetric isotopologues [2].

Uncertainties in the line intensities in the HITRAN2012 database for the asymmetric isotopologues of  $\text{CO}_2$  vary from 2% to over 20%. Whilst the majority of lines have a declared accuracy of 20% or worse, this assessment had been shown to be too pessimistic in many cases [1,2,4,5,23]. There are several recent high-quality measurements on  $^{17}\text{O}$  and  $^{18}\text{O}$  enriched samples of carbon dioxide [9,10,14–16,26,27], some of which give comparisons to the HITRAN2012 and Ames line lists, revealing good (usually better than 10%) overall agreement between HITRAN, Ames and the experimental line intensities; these comparisons deliver a test of

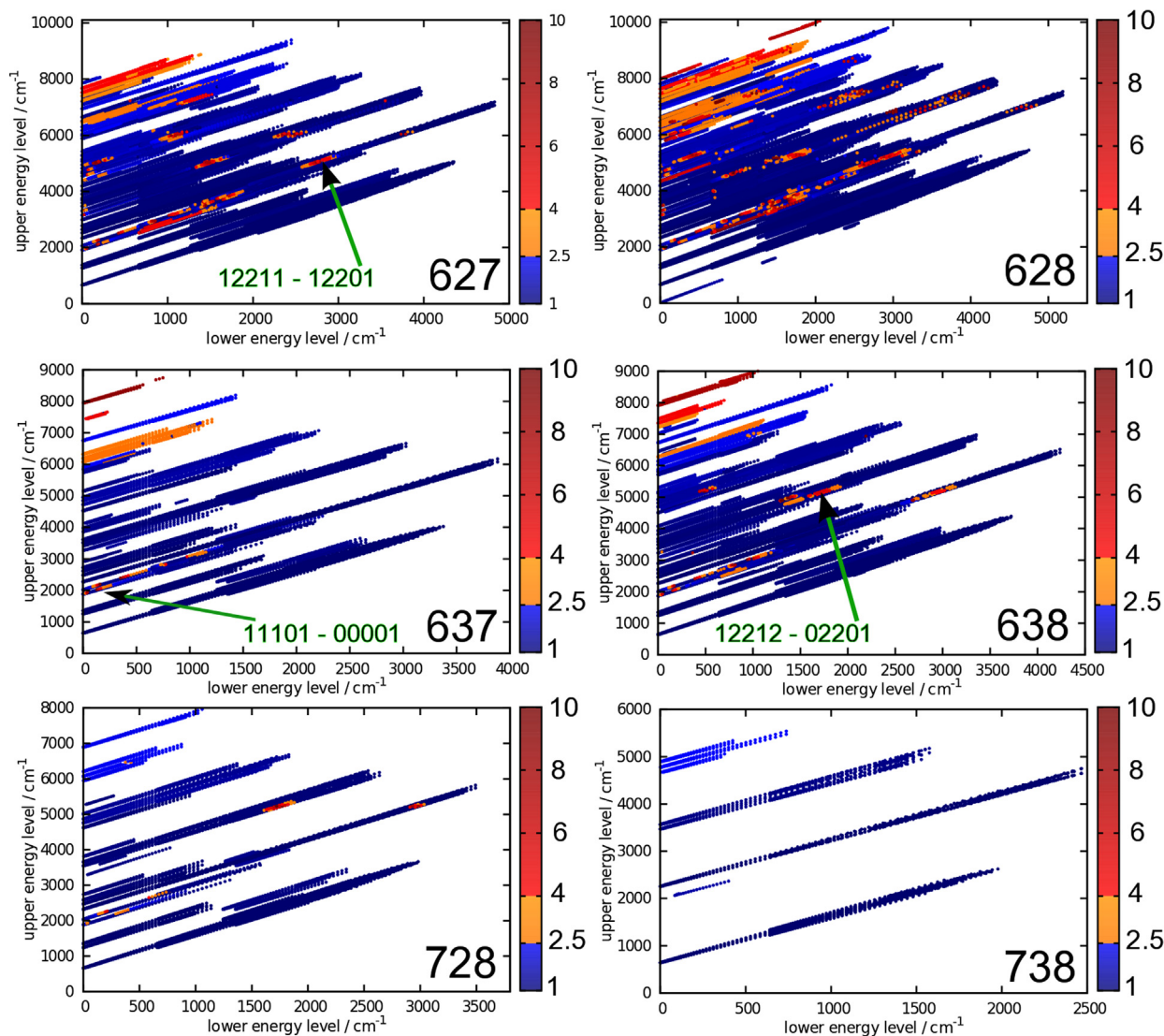
consistency between the measurement and the databases. Here we use the established accuracy of the measurements and the theoretical studies to perform a critical analysis of the intensities computed using our *ab initio* dipole moment surface [1,3].

Line positions, derived from a variational approach and based on semi-empirical potential energy surface (PES), are accurate to  $0.03\text{--}0.2\text{ cm}^{-1}$ . Although the Ames-1 line lists [22,23] show the best agreement with experiment among all variational calculations, semi-empirical approaches based on effective Hamiltonians can provide line positions with an accuracy at least one order of magnitude better [28]. On the other hand, effective Hamiltonian models strongly depend on the quality of the input data, thus the accuracy and completeness of this technique are limited by existing experiment.

The main source of errors in line intensity is DMS. In instances when two ro-vibrational energy levels become close for a given  $J$  value, several types of resonance interactions between these levels are possible, depending on the symmetry of states. These resonances make energy levels, wavefunctions and line intensities very sensitive to minor inaccuracies of the PES. In such cases, the computed intensity may not reflect the true accuracy of the DMS, thus a very accurate representation of the wavefunction is then

needed to give a genuine transition dipole moment. This can result in huge uncertainties in the calculated intensities. To assess uncertainties of the computed intensities in the vicinity of these fragile regions, i.e. to critically evaluate or eliminate spurious results, a method of estimating the sensitivity of line intensities to theoretical model has been developed by Lodi and Tennyson [29]. This method has been successfully applied to the water molecule [29] as well to carbon dioxide [1,2], and was found to be a useful tool in finding resonances in the energetic structure of molecule, which influence the accuracy of calculated intensities. Unstable lines are often subject to intensity stealing by so-called dark states through a resonance interaction.

In this paper we report room temperature line lists for six asymmetric isotopologues of  $\text{CO}_2$  in the  $0\text{--}8000\text{ cm}^{-1}$  spectral region. These are  $^{16}\text{O}^{12}\text{C}^{18}\text{O}$  (628),  $^{16}\text{O}^{12}\text{C}^{17}\text{O}$  (627),  $^{16}\text{O}^{13}\text{C}^{18}\text{O}$  (638),  $^{16}\text{O}^{13}\text{C}^{17}\text{O}$  (637),  $^{17}\text{O}^{12}\text{C}^{18}\text{O}$  (728) and  $^{17}\text{O}^{13}\text{C}^{18}\text{O}$  (738). Cut-off value for the weighted by natural abundance intensity was set to standard  $10^{-30}\text{ cm/molecule}$  and ranges of the rotational quantum number ( $J$ ) for respective isotopologues were taken from the 2012 release of the HITRAN database. Line positions are calculated using the effective Hamiltonian model [19], which takes into account the latest experiments on  $\text{CO}_2$ . This resulted in accuracy of line



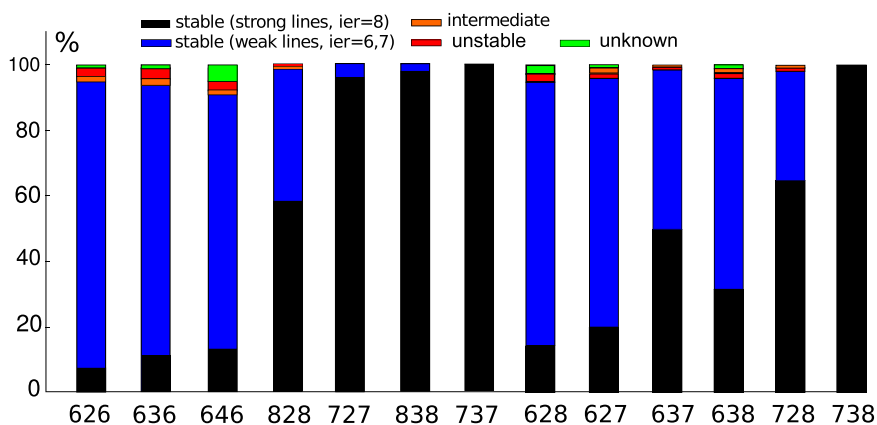
**Fig. 1.** Scatter factor maps for all six asymmetric isotopologues of  $\text{CO}_2$ . Colour coding classifies transitions as: stable(blue), intermediate(orange) and red(unstable). The arrows indicate examples of bands involved in resonance interactions. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

positions of  $0.001 \text{ cm}^{-1}$  or better, and serves as an update to the CDSD-296 database [19] which is the most accurate and complete release available. Reducing the symmetry of  $\text{CO}_2$  by asymmetric isotopic substitution introduces both extra allowed transitions and some extra complications in the variational nuclear motion calculations. These are discussed in the next section. Theoretical error analysis performed in Section 3.2 renders selected line intensities as reliable from the point of view of the variational method.

## 2. Methodology

A summary of potential energy surfaces and dipole moment surfaces used in the present work is given in Refs. [1,3,22]. In particular, our DMS ('U' below) has been demonstrated to give results of comparable accuracy to the state-of-the-art experiments [3]. In brief, we compute four independent line lists for each isotopologue, by utilizing pairs of PESs and DMSs. The first PES denoted as (Ames-1 or 'A') is a high-quality semi-empirical function published by Huang et al. [22]. The second PES denoted as (Fitted or 'F') is also a semi-empirical surface, generated by fitting an *ab initio* potential to experimental energy levels for  $J = 0 - 2$  [1]. Together with our *ab initio* DMS (denoted as 'U') [3], we use the N-2 DMS from Huang et al. [22] (denoted as 'A'). This gives a set of

four possible combinations of input functions used in solving the nuclear motion problem: AA, AU, FA, FU. Here the first letter stands for type of PES used and the second letter names the DMS. The line lists are then cross-matched line-by-line to yield four different values of transition intensity for each line. The ratio of the maximum to minimum intensity for each line defines the *scatter factor*  $\rho$ . A detailed interpretation of the scatter factor is given in our previous works [1,2,29]. In general,  $\rho$  accounts for instabilities of computed line intensity due to minor imperfections of the PES. The trustworthy line intensities should be stable under minor PES/DMS modifications, hence should feature a small scatter factor. In fact, the value of the scatter factor strongly reflects inaccuracies in the lowest quality PES in the considered set, thus if this quality is insufficiently high, some bands may gain a relatively high scatter factor, at the same time being very accurately modelled by our best PES/DMS combination ('AU'). As shown previously [1,2], whenever a band is affected by resonance interactions, this is clearly visible as a peak in the scatter factor in the region of crossing of ro-vibrational energy levels. Based on the statistics of the scatter factor we established arbitrary limits on  $\rho$  for a line to be considered stable ( $1.0 \leq \rho < 2.5$ ), intermediate ( $2.5 \leq \rho < 4.0$ ) and unstable ( $\rho \geq 4.0$ ). These values are assumed to be the same for all isotopologues.



**Fig. 2.** Scatter factor statistics for all 13 isotopologues of carbon dioxide. Respective colours denote percentages of lines classified to particular stability domain. The y axis corresponds to percentage of total lines present in our line list. Black regions give percentage of stable and strong lines ( $>10^{-23} \text{ cm/molecule}$ ), for which the highest HITRAN intensity accuracy code was assigned ( $ier=8$ ).

**Table 2**  
List of selected  $^{16}\text{O}^{12}\text{C}^{18}\text{O}$  vibrational bands perturbed by a resonance interaction. The columns give: vibrational quantum numbers of the perturbed band, vibrational assignment of the perturbing state, type of interaction: Inter-polyad or Coriolis, band center, total band strength, the total number of lines in the band in UCL line list, the number of stable lines, the number of intermediate lines, median of the scatter factor in the band  $\bar{\rho}$ , maximum scatter factor in the band  $\rho_{max}$ , minimum scatter factor in the band  $\rho_{min}$  and instability classification: J-localized(branch) or Diffuse.

Vibrational band	Perturber	Type	Center	Strength	Total	Stable	Inter.	$\bar{\rho}$	$\rho_{max}$	$\rho_{min}$	Stability
11111-00001	31104	Inter-pol.	4346.974	3.88E-27	154	153	1	1.2	3.1	1.1	J-local
31112-01101	51105	Inter-pol.	6263.825	1.02E-25	332	312	4	2.2	422.5	2.2	J-local
11101-00001	00011	Coriolis	2050.068	1.69E-23	277	261	9	1.2	2124.0	1.1	J-local(R)
11102-00001	00011	Coriolis	1902.447	2.95E-24	266	251	9	1.2	2599.0	1.2	J-local(R)
12212-00001	23301	Coriolis	4838.085	1.52E-26	148	138	0	1.5	15.2	1.4	J-local
23301-00001	12212	Coriolis	4825.853	1.46E-27	61	24	15	1.1	8.0	1.1	Diffuse
21112-01101	41105	Inter-pol.	4894.770	9.37E-24	448	422	2	1.5	7843.0	1.4	J-local
21102-00001	10012	Coriolis	3281.717	3.76E-25	239	138	0	1.2	115.2	1.2	Diffuse
21111-01101	41104	Inter-pol.	5063.241	2.92E-24	410	363	0	1.4	$3.1 \times 10^5$	1.4	Diffuse
40014-00001	60007	Inter-pol.	7338.180	2.95E-26	134	0	123	3.6	3480.0	3.6	J-local
31113-01101	42202	Coriolis	6098.911	1.56E-25	345	326	0	2.3	$7.6 \times 10^5$	2.2	Diffuse
22212-22202	25501	Cor.+I-type	2262.766	3.07E-27	227	207	0	1.0	3444.0	1.0	J-local
30003-00001	14402	Anh.+I-type	3855.968	1.43E-24	162	158	0	1.2	$1.1 \times 10^7$	1.2	J-local
30013-00001	50006	Inter-pol.	6127.111	2.24E-24	165	160	0	2.3	$4.6 \times 10^5$	1.3	J-local
41113-01101	61106	Inter-pol.	7459.917	2.45E-27	199	5	8	4.1	114.2	1.7	Diffuse
05521-00001	33314	Inter-pol.	7851.812	3.98E-29	14	0	0	102.2	1436.0	94.5	Diffuse



Table 3 (continued)

Band	Center	Total	J		unc. <sup>e</sup>	Strength	UCL		Ames		CDS		$\Delta P^c$	T. <sup>d</sup>	Source
			min	max			S <sup>a</sup>	rmsr <sup>b</sup>	S	rmsr	S	rmsr			
20012-00001	4938.605	134	0	73	4–6	$2.39 \times 10^{-23}$	–1.6	3.4	–0.9	3.2	0.8	3.2	7	s	[10]
20012-00001	4938.605	83	0	62	2	$1.54 \times 10^{-23}$	–0.2	1.9	0.4	1.9	2.1	3.0	7	s	[12]
10002-01101	604.522	43	3	35	5	$4.73 \times 10^{-23}$	11.6	13.5	11.7	13.5	–0.2	6.5	1	s	[47]
11111-01101	3704.311	150	2	72	4–10	$4.03 \times 10^{-23}$	–0.8	3.5	–0.8	3.6	–0.4	3.5	5	s	[11]
11112-01101	3560.198	133	1	66	4–10	$3.10 \times 10^{-23}$	–0.5	3.9	–1.3	4.1	–0.5	4.0	5	s	[11]
20013-00001	4820.757	43	0	60	5	$3.02 \times 10^{-24}$	–2.0	2.4	–1.7	2.2	–0.3	1.3	7	s	[26]
20013-00001	4820.757	123	0	68	4–7	$7.06 \times 10^{-24}$	–0.6	2.6	–0.3	2.5	1.3	2.8	7	s	[10]
20013-00001	4820.757	38	4	47	2–13	$3.86 \times 10^{-24}$	7.9	18.3	8.2	18.5	9.6	19.2	7	s	[5]
20013-00001	4820.757	80	0	55	2	$5.53 \times 10^{-24}$	0.2	1.6	0.5	1.7	1.9	2.5	7	s	[12]
20011-00001	5069.677	75	0	62	5	$4.01 \times 10^{-24}$	–2.9	3.1	–1.6	1.9	–0.3	1.1	7	s	[26]
20011-00001	5069.677	131	0	67	4–7	$6.07 \times 10^{-24}$	–2.0	3.5	–0.7	2.9	0.7	3.0	7	s	[10]
20011-00001	5069.677	82	0	61	2	$4.21 \times 10^{-24}$	3.4	3.7	4.7	4.9	6.0	6.2	7	s	[12]
21112-01101	4930.435	296	1	59	4–7	$2.67 \times 10^{-24}$	0.5	4.1	1.2	5.0	2.4	4.7	7	u	[10]
00021-00001	4654.446	280	0	62	4–7	$2.11 \times 10^{-24}$	–	0.8	4.3	0.1	4.2	6.0	2	s	[10]
20012-10002	3665.553	48	1	52	4	$1.49 \times 10^{-24}$	–0.0	3.1	–0.2	3.1	1.0	3.2	5	s	[11]
10001-00001	1377.543	52	1	43	5	$1.35 \times 10^{-24}$	1.7	4.7	–0.5	4.4	–0.3	4.3	2	s	[41]
<b>637</b>															
00011-00001	2270.243	18	4	43	5	$1.45 \times 10^{-22}$	1.9	2.6	–0.4	1.8	–0.3	1.8	3	s	[44]
10011-00001	3607.792	55	0	62	4	$7.03 \times 10^{-24}$	5.6	6.6	5.5	6.5	–0.6	3.6	5	s	[11]
10011-00001	3607.792	28	1	48	3–10	$4.96 \times 10^{-24}$	4.7	7.1	4.6	7.0	–1.6	5.7	5	s	[48]
10012-00001	3506.855	56	1	61	4	$2.98 \times 10^{-24}$	5.3	6.1	4.5	5.4	–0.9	3.2	5	s	[11]
10012-00001	3506.855	12	1	38	3–13	$9.00 \times 10^{-25}$	6.9	10.2	6.1	9.7	0.4	7.6	5	s	[48]
11111-01101	3620.197	51	5	53	4–7	$3.85 \times 10^{-25}$	6.4	8.7	6.3	8.7	0.6	5.9	5	s	[11]
20012-00001	4848.635	75	0	50	4–9	$1.91 \times 10^{-25}$	5.1	7.2	5.8	7.7	–0.8	5.1	7	s	[10]
20012-00001	4848.635	65	2	47	4–10	$3.63 \times 10^{-25}$	5.1	7.1	5.7	7.6	–0.9	5.0	7	s	[48]
11112-01101	3482.365	66	4	55	4–27	$1.73 \times 10^{-25}$	5.0	7.0	5.6	7.4	–1.0	4.9	5	s	[11]
20011-00001	4956.342	48	1	40	10	$7.97 \times 10^{-26}$	5.8	7.6	7.1	8.6	1.1	5.0	7	s	[48]
20011-00001	4956.342	69	0	47	4–9	$9.89 \times 10^{-26}$	3.4	7.5	4.6	8.1	–1.2	6.8	7	s	[10]
20013-00001	4722.116	49	3	44	4–9	$1.93 \times 10^{-26}$	3.9	8.5	4.1	8.6	–0.3	7.5	7	s	[10]
00031-00001	6753.152	86	0	55	10	$1.01 \times 10^{-26}$	5.9	7.6	–2.2	5.3	2.0	5.1	9	s	[15]
00021-00001	4528.497	45	4	38	4–12	$5.86 \times 10^{-27}$	2.5	10.3	2.9	10.4	0.7	10.3	6	s	[11]
30012-00001	6185.757	43	2	43	10	$1.99 \times 10^{-27}$	–6.1	10.7	–3.8	9.6	–0.2	8.9	9	s	[15]
20013-10002	3451.176	5	15	34	4	$1.42 \times 10^{-27}$	3.7	5.4	2.8	4.9	–1.6	4.4	5	s	[11]
20011-10001	3609.826	2	12	14	4	$1.22 \times 10^{-27}$	7.7	7.7	7.6	7.7	2.2	2.5	5	s	[11]
30013-00001	6074.545	28	3	33	10	$7.97 \times 10^{-28}$	–7.2	11.6	–5.8	10.8	–0.3	9.1	9	u	[15]
01131-01101	6715.988	80	1	39	10	$5.20 \times 10^{-28}$	0.7	9.0	–7.5	11.7	–2.8	9.3	9	s	[15]
21112-01101	4820.294	2	17	23	5–8	$3.20 \times 10^{-28}$	11.3	13.5	11.9	13.9	4.6	8.6	7	u	[10]
30011-00001	6320.672	31	2	40	10	$2.19 \times 10^{-28}$	–19.4	21.6	–14.4	17.2	–0.4	9.5	9	s	[15]
10031-00001	8040.610	6	6	31	10	$4.56 \times 10^{-29}$	–	–	–0.4	10.4	–8.2	13.3	11	s	[15]
40013-00001	7417.626	25	4	31	10	$2.22 \times 10^{-29}$	–14.4	29.4	–12.0	28.3	–40.6	48.4	11	s	[17]
10032-00001	7917.301	10	27	46	10	$1.78 \times 10^{-29}$	21.7	31.4	24.5	33.4	23.9	29.0	11	s	[17]
<b>638</b>															
10011-00001	3588.279	51	0	54	4	$3.13 \times 10^{-23}$	0.1	4.4	–0.1	4.4	–1.8	4.7	5	s	[11]
10011-00001	3588.279	76	0	61	3–10	$4.79 \times 10^{-23}$	1.7	2.8	1.6	2.7	–0.0	2.2	5	s	[48]
01111-01101	2249.097	63	6	68	3–10	$7.07 \times 10^{-23}$	7.9	9.3	5.5	7.4	–3.3	5.9	3	s	[48]
10012-00001	3491.854	40	2	56	4	$1.32 \times 10^{-23}$	2.2	4.2	1.5	3.8	–0.8	3.9	5	s	[11]
10012-00001	3491.854	82	1	60	3–21	$2.74 \times 10^{-23}$	3.0	4.3	2.2	3.9	0.0	2.9	5	s	[48]
10012-10002	2242.514	30	3	51	3–10	$2.97 \times 10^{-24}$	10.0	10.4	7.7	8.2	–1.1	3.0	3	s	[48]
20012-00001	4815.291	94	0	55	3–10	$1.44 \times 10^{-24}$	19.0	19.1	19.7	19.7	–0.1	1.7	7	s	[48]
20012-00001	4815.291	58	2	40	4–10	$9.72 \times 10^{-25}$	0.6	21.0	1.3	21.1	–19.0	28.6	7	s	[10]
20012-00001	4815.291	1	17	18	5	$5.78 \times 10^{-26}$	114.4	23.8	115.3	24.0	87.0	18.1	7	s	[5]
11112-01101	3457.958	46	5	46	4	$8.69 \times 10^{-25}$	3.1	7.3	2.2	7.0	0.0	6.6	5	s	[11]
11112-01101	3457.958	63	2	40	10	$1.28 \times 10^{-24}$	5.9	7.2	5.0	6.5	3.0	4.9	5	s	[48]
11111-01101	3597.621	10	16	27	4–7	$4.87 \times 10^{-25}$	3.0	10.4	2.9	10.4	2.0	10.3	5	s	[11]
11111-01101	3597.621	37	2	37	10	$1.60 \times 10^{-24}$	8.3	9.2	8.3	9.1	7.3	8.3	5	s	[48]
10011-10001	2240.770	19	5	48	3–11	$1.30 \times 10^{-24}$	12.0	12.8	9.6	10.6	0.8	4.6	3	s	[48]
20011-00001	4925.749	121	0	48	3–14	$1.09 \times 10^{-24}$	31.4	106.3	32.8	107.7	8.3	86.6	2	s	[10]
02211-02201	2231.148	8	14	52	3–10	$6.02 \times 10^{-25}$	16.8	18.3	14.4	16.2	5.6	9.2	3	s	[48]
20013-00001	4690.702	69	1	47	3–10	$2.09 \times 10^{-25}$	19.9	20.1	20.1	20.3	–1.1	2.9	7	s	[48]
20013-00001	4690.702	12	7	27	5–17	$4.63 \times 10^{-26}$	5.4	27.2	5.6	27.2	–16.4	31.7	7	s	[10]
10001-00001	1336.378	28	7	37	5	$2.36 \times 10^{-25}$	–4.1	7.7	–6.4	9.2	–0.3	6.3	2	s	[41]
00021-00001	4507.263	74	1	43	11	$1.85 \times 10^{-25}$	20.8	21.0	21.2	21.3	–0.3	2.7	6	s	[48]
20002-00001	2583.005	22	6	33	15	$7.86 \times 10^{-26}$	9.0	17.2	7.3	16.4	–0.2	14.6	4	s	[45]
00031-00001	6727.618	135	0	74	10	$6.65 \times 10^{-26}$	17.8	18.7	9.4	11.1	0.0	5.0	9	s	[15]
10002-00001	1238.299	14	8	26	5	$5.78 \times 10^{-26}$	–9.5	10.7	–12.0	13.0	–0.3	4.8	2	s	[41]
11101-00001	2005.545	20	10	36	20	$4.98 \times 10^{-26}$	–3.9	25.6	10.6	27.6	–1.7	25.8	3	u	[46]
21112-01101	4797.940	50	4	33	11	$4.28 \times 10^{-26}$	19.3	19.7	19.8	20.2	0.3	4.1	7	u	[48]
30012-00001	6139.386	106	0	65	10	$1.72 \times 10^{-26}$	6.6	11.6	9.0	13.1	–0.8	9.4	9	s	[15]
30013-00001	6025.888	108	0	61	10	$1.42 \times 10^{-26}$	8.7	12.7	10.1	13.8	–0.8	9.3	9	u	[15]
01131-01101	6692.165	211	1	64	10	$5.57 \times 10^{-27}$	17.0	19.4	8.7	12.6	–0.1	8.1	9	s	[15]



Table 3 (continued)

Band	Center	Total	J		unc. <sup>e</sup>	Strength	UCL		Ames		CDS D		$\Delta P^c$	T. <sup>d</sup>	Source
			min	max			S <sup>a</sup>	rmsr <sup>b</sup>	S	rmsr	S	rmsr			
01121–00001	5255.181	32	7	35	5–7	$3.54 \times 10^{-29}$	12.1	15.3	2.1	10.0	24.7	25.9	7	s	[10]
30012–10001	4860.218	14	11	24	6–8	$2.75 \times 10^{-29}$	7.7	16.3	8.4	16.6	–2.6	14.5	7	s	[10]
30002–00001	3954.525	31	5	33	5–11	$2.45 \times 10^{-29}$	17.3	19.8	17.3	19.8	–	–	6	s	[11]
31112–01101	6217.194	129	1	53	10	$2.42 \times 10^{-29}$	–0.4	8.5	2.3	8.8	–	–	9	s	[15]
30011–00001	6391.247	86	0	51	10	$2.39 \times 10^{-29}$	–2.2	6.2	4.6	7.4	–	–	9	s	[15]
<b>738</b>															
10011–00001	3566.725	39	2	51	4	$8.24 \times 10^{-27}$	1.8	4.5	1.7	4.5	–1.7	4.5	5	s	[11]
10012–00001	3469.362	62	0	66	4	$7.67 \times 10^{-27}$	2.5	3.7	1.7	3.2	–0.0	2.8	5	s	[11]
11112–01101	3441.685	81	4	49	4	$6.00 \times 10^{-28}$	1.3	4.1	0.4	3.9	–0.5	3.9	5	s	[11]
20012–00001	4773.323	56	2	43	4–11	$3.36 \times 10^{-28}$	–0.6	6.9	0.0	6.9	–3.2	7.5	7	s	[10]
11111–01101	3564.013	15	11	34	4	$2.25 \times 10^{-28}$	6.0	8.4	6.0	8.4	2.8	6.5	5	s	[11]
20013–00001	4663.710	38	4	32	5–9	$6.25 \times 10^{-29}$	2.4	11.5	2.6	11.5	1.1	11.3	7	s	[10]
20013–00001	4663.710	20	7	31	4–5	$3.31 \times 10^{-29}$	1.1	6.3	1.3	6.3	–0.3	6.2	7	s	[11]
20011–00001	4887.298	20	6	29	5–17	$4.22 \times 10^{-29}$	–4.5	12.7	–3.2	12.3	–8.9	14.9	7	s	[10]
00031–00001	6698.146	91	0	63	10	$2.15 \times 10^{-29}$	23.5	24.5	14.9	16.4	–	–	9	s	[15]
30013–00001	5972.284	39	3	41	10	$3.59 \times 10^{-30}$	10.8	16.5	12.3	17.5	–	–	9	s	[15]
30012–00001	6085.038	34	4	39	10	$3.08 \times 10^{-30}$	13.0	18.3	15.5	20.1	–	–	9	s	[15]
01131–01101	6662.913	66	1	45	10	$8.80 \times 10^{-31}$	15.1	18.6	6.3	12.4	–	–	9	s	[15]
10032–00001	7869.363	67	2	47	5–10	$5.86 \times 10^{-31}$	31.0	33.2	33.5	35.6	–	–	11	s	[17]

<sup>a</sup> see Eq. (1).

<sup>b</sup> rmsr: root-mean square residual.

<sup>c</sup> The polyad number for CO<sub>2</sub> is defined as:  $P = 2\nu_1 + \nu_2 + 3\nu_3$ , where  $\nu_1, \nu_2, \nu_3$  are the vibrational quantum numbers of the symmetric stretching, bending and the asymmetric stretching, respectively.

<sup>d</sup> Type of band: Stable('s'), unstable('u').

<sup>e</sup> Uncertainty interval of the measurement (in %), defined as root-mean square of statistical uncertainty plus systematic uncertainty of the measurement.

## 2.1. Nuclear motion calculations

The strategy for solving the nuclear-motion problem employed here is analogous to the one presented in [1] and [2]. In a nutshell, the Born-Oppenheimer approximation imposes mass-independence of the PES and DMS. With this assumption the quantum calculations for ro-vibrational energy levels, wavefunctions and transition intensities have been carried out with the DVR3D suite [30] for each isotopologue separately. However the loss of symmetry in the system meant that some (re-)evaluation of the accuracy of our variational calculations were required.

All calculations were performed in Radau coordinates and a body-fixed coordinate system with the z-axis pointing along one of the C–O bonds. Due to broken permutation symmetry of identical atoms, the full ro-vibrational Hamiltonian cannot be block-factorized, as was the case for the symmetric isotopologues. This entails substantially more computational resources to obtain a good level of convergence. A two step procedure [31–33] of solving the nuclear Schrödinger equation is applied here. The first step involves solving the Coriolis-decoupled ro-vibrational motion problem for every combination of the  $(J, l, k)$  quantum numbers separately, to supply a basis for the second step, where the full ro-vibrational Hamiltonian is considered. Matrix elements of the Hamiltonian are represented on a previously optimized DVR grid, associated with 30-point Gauss-Laguerre and 120-point Gauss-Legendre quadratures, for stretching and bending motion respectively. Diagonalisation of this matrix leads to ro-vibrational energy levels and wavefunctions labelled by the  $J$ -rotational quantum number and the  $e/f$  – Wang symmetries. As done by Huang et al. [22], nuclear masses in Dalton units (Da) for isotopes of carbon and oxygen were used: 11.996709 Da (<sup>12</sup>C), 13.000439 Da (<sup>13</sup>C), 15.990525 Da (<sup>16</sup>O), 16.995245 Da (<sup>17</sup>O) and 17.995275 Da (<sup>18</sup>O) [34].

A number of tests of basis sets and Hamiltonian sizes were performed to ensure convergence and stability of the calculations. However, these showed that the parameters tested and used for our study on <sup>12</sup>C<sup>16</sup>O<sub>2</sub> (626) [1] gave good results; these parameters were adopted except that the loss of symmetry means that size of the final Hamiltonian in the first variational step (program DVR) needs to be

doubled (MAX3D=2000). Difficulties in the basis set optimisation resulting from the asymmetry in the system led to convergence problems for  $J > 100$ . Further extension of the size of the ro-vibrational Hamiltonian causes high  $J$  jobs to exceed our computer system wall-clock limit of 72 hours. Nevertheless, line intensities for  $J > 100$  computed in our 'AA' line list remain in a very good agreement with the values provided by Ames. Therefore the ro-vibrational part of the computation (program ROTLEV3b) for all  $J$ 's adopted following ro-vibrational basis size:  $500 \times (J + 1)$  for  $J = 0 - 50$ ,  $400 \times (J + 1)$  for  $J = 51 - 99$  and  $300 \times (J + 1)$  for  $J > 99$ . Integral transition intensity was evaluated with appropriate spin statistical weights (cf. Table 1), partition functions computed at 296 K with energy levels from Ames-1 PES and natural abundances taken from the HITRAN2012 database. Intensity cut-off was then set to  $10^{-30}$  cm/molecule.

## 2.2. Line positions

The nuclear motion calculations described in the preceding section give together with the line intensities the values of the energy levels and consequently the values of the line positions. The line positions calculated with the semi-empirical PES were used to generate UCL line list. But as we have already mentioned in the Introduction, the variational line positions are accurate to  $0.03$ – $0.2$  cm<sup>–1</sup>. The effective Hamiltonian models provide the line positions at least one order of magnitude more accurately [28]. The effective Hamiltonian models for the carbon dioxide isotopologues used to generate CDS D-296 [28] are based on the perturbation theory and take into account in the explicit way all vibration-rotation resonance interactions (up to sixth-order perturbation theory) arising due to the approximate relations between harmonic frequencies:  $\omega_1 \approx 2\omega_2$  and  $\omega_3 \approx 3\omega_2$ . These relations lead to a polyad structure of the vibrational energy levels. Each polyad could be labelled with the polyad pseudo quantum number  $P = 2\nu_1 + \nu_2 + 3\nu_3$ , where  $\nu_1, \nu_2, \nu_3$  are harmonic oscillators quantum numbers. The parameters of the effective Hamiltonians for each isotopologue were fitted to the observed line positions collected from the literature (see Ref. [28] and references therein). The fitted sets of the effective Hamiltonian parameters reproduce the observed line



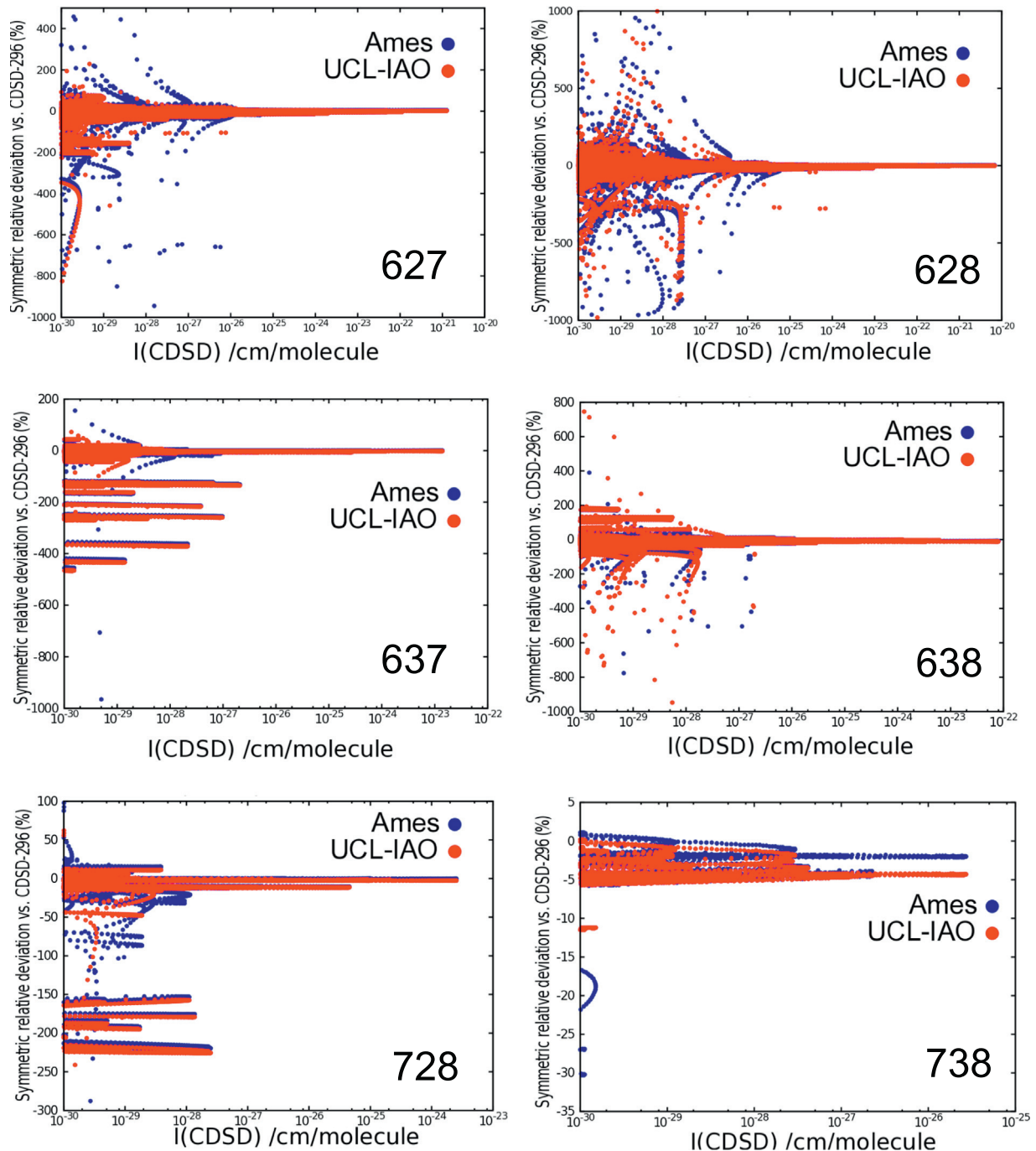
positions with residuals which are close to the experimental uncertainties (the weighted dimensionless standard deviation varies between 1 and 2 depending on an isotopologue). The very good extrapolation abilities of these effective Hamiltonians have been demonstrated many times (see, for example, Refs. [9–11,13–16,24–26]) except for a few cases of the interpolad resonance anharmonic interactions. These interactions, due to symmetry constraints, can take place only in the asymmetric isotopologues. In the recommended UCL-IAO line list, described below, the variational line positions are substituted by EH line positions and in a few cases when the lines are affected by the interpolad resonance interactions the variational line

positions are substituted by the experimental data if such data exist. In the absence of the experimental data the variational line positions are substituted by EH line positions.

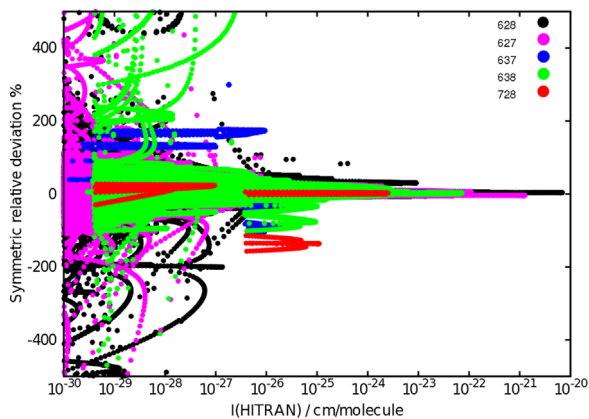
### 3. Results and discussion

#### 3.1. Summary of all CO<sub>2</sub> line lists

Table 1 summarizes line lists computed by us for all 13 isotopologues of CO<sub>2</sub> from this work and our previous studies [1,2].



**Fig. 3.** Comparison of Ames and UCL line lists with the CDS-296 database for six isotopologues of CO<sub>2</sub>. Red and blue points denote relative percent deviation of UCL and Ames intensities from CDS intensity, respectively. Symmetric relative deviation (see Eq. (1)) is plotted against line intensity (in cm/molecule) from CDS-296 scaled by the natural abundance. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



**Fig. 4.** General comparison of the UCL and HITRAN2012 line lists for all six asymmetric isotopologues of  $\text{CO}_2$ . Symmetric relative deviation is plotted against line intensity (in  $\text{cm}/\text{molecule}$ ) from HITRAN2012 scaled by the natural abundance.

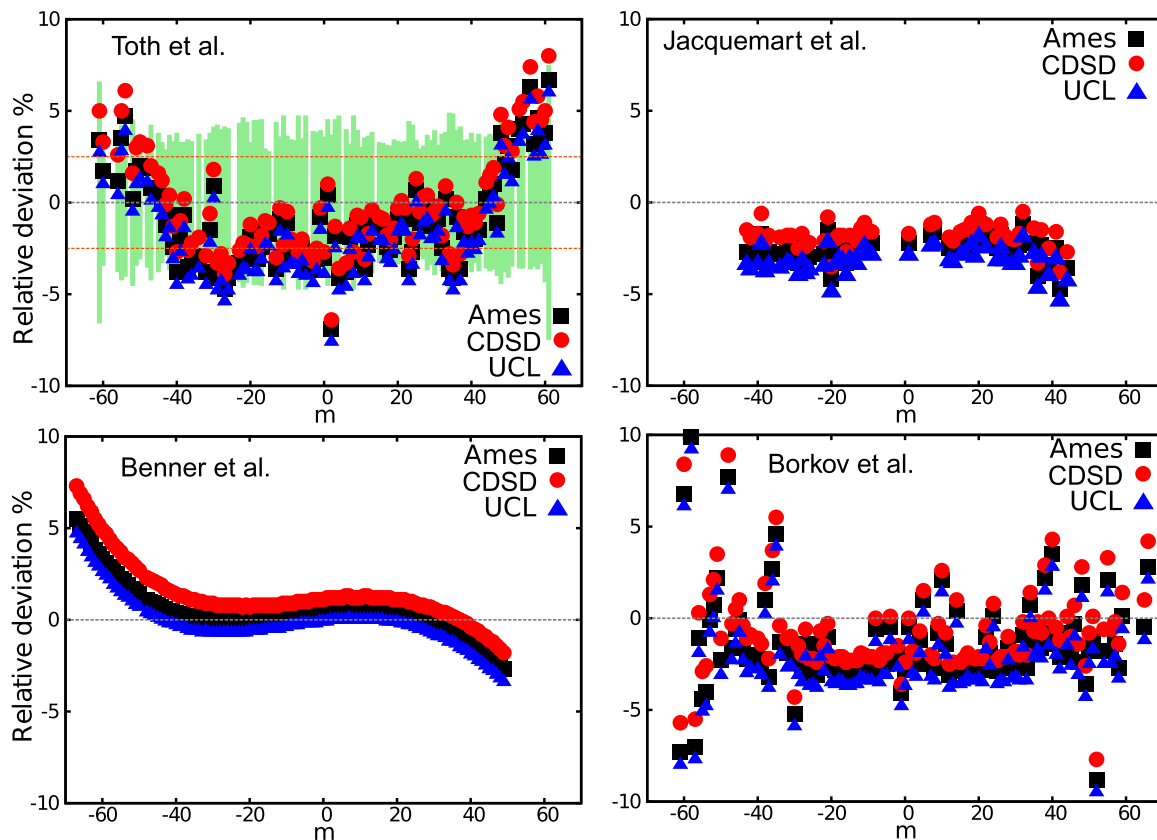
Partition functions at 296 K given in Table 1 were calculated using the DVR3D suite with the Ames-1 PES, and these are compared to the partition functions supplied by the HITRAN2012, Ames-296 and CDS-296 line lists. The values of the partition functions computed in the present study differ from HITRAN2012 by 0.3–0.5%. This systematic shift is observed for all isotopologues, and should be taken into account in comparisons aimed at sub-percent accuracy of line intensities. On the other hand, the CDS-296, Ames-296 and UCL line lists give values which are very close. The UCL entries are usually somewhat lower as they are computed

using a smaller set of energy levels than in the original Ames-296 runs. Therefore, for line intensity calculations we used the Ames-296 partition functions from Huang et al. [22]; we recommend that room temperature  $\text{CO}_2$  databases also adopt these values. The total numbers of lines in the HITRAN2012 database is usually lower than in remaining line lists, indicating several spectral gaps, especially for less abundant isotopologues. These gaps are all covered by our line lists. The CDS-296 database, from which the majority HITRAN lines are taken, is heavily dependent on availability and quality of experimental data. For this reason, there are bands missing in CDS-296, which are present in the UCL line lists. For respective isotopologues the number of these missing bands are: 159(628), 48(627), 14(637), 19(638), 2(728) and 170 (738).

### 3.2. Scatter factor statistics

For each isotopologue, the four computed line lists (AU, AA, FU, FA) gave rise to a scatter factor  $\rho$  of line intensities. Each transition in our primary line list (AU) received a scatter factor. Further on, vibrational bands were classified by means of this descriptor, which serves as a measure of line stability. The line list was divided into three classes of lines: stable, intermediate and unstable; following established arbitrary limits on  $\rho$  for a line to be considered stable ( $1.0 \leq \rho < 2.5$ ), intermediate ( $2.5 \leq \rho < 4.0$ ) and unstable ( $\rho \geq 4.0$ ).

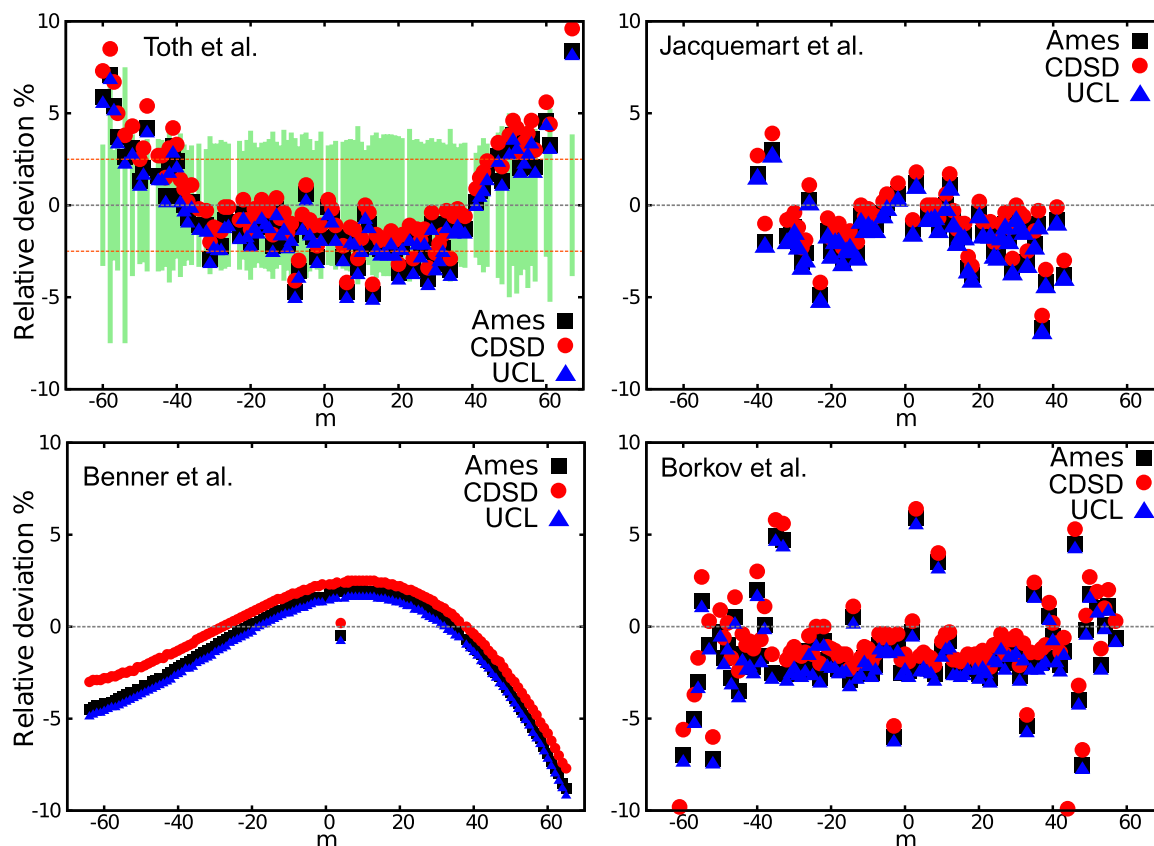
Fig. 1 presents scatter factor maps introduced in our previous works. For each isotopologue, the magnitude of the scatter factor  $\rho$  for every transition is projected onto the lower energy



**Fig. 5.** Ames, CDS-296 and UCL line intensities for the 2012-00001 band of  $^{16}\text{O}^{12}\text{C}^{18}\text{O}$  compared to four recent experimental works by: Toth et al. [39] (upper left panel), Jacquemart et al. [26] (upper right panel), Benner et al. [5] (lower left panel) and Borkov et al. [10] (lower right panel). Blue triangles, red dots and black squares denote relative deviations from the measurement (in %) of UCL, CDS-296 and Ames line intensities respectively.  $m$  labels rotational transitions and corresponds to  $J(\text{lower})+1$  for the R branch and  $-J(\text{lower})$  for the P branch. For the left uppermost panel experimental error bars were added together with horizontal orange dashed lines indicating experimental uncertainty for the systematic shift in the transition intensity. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

level – upper energy level plane. Color coding represents the value of the scatter factor, hence informs about the stability of a particular line. Few examples of unstable bands are marked with arrows in Fig. 1. Elevated values of the scatter factor correlates strongly with the presence of an interaction with an energetically proximate state. Thus, the stability analysis presented here may be utilized in extracting pairs of states, the potential candidates being involved in a mutual Coriolis or anharmonic interaction. Intensities of bands containing such states are likely to be far from experimental values, therefore we consider such bands as unreliable from the point of view of our calculations. The final recommended line lists (see Section 3.5) include line intensities for unstable bands borrowed from the empirically tuned effective dipole moment calculations or directly from measurement. Fig. 1 shows that the number of intermediate and unstable lines grows as the energy of the upper state increases. This fact is explained by the growth of the number of the energy level crossings and by deficiencies of the ‘Fitted’ PES, which slowly diverges from the Ames-1 PES with increasing energy, thus gives a poorer representation of the ro-vibrational wavefunction for high energy levels. This causes elevated values of the scatter factor, as seen in the scatter factor maps. At the same time new instability regions become visible with growing total number of transitions, i.e. more abundant isotopologues have more unstable lines. For instance, the line list for the least abundant 738 isotopologue, contains no unstable lines. For 738 only very strong bands enter the line list subject to the  $10^{-30}$  cm/molecule intensity cut-off. Strong lines are less prone to significant (percentage-wise) intensity stealing,

which explains for example why the strong 00011–00001 band, resonantly interacting with the 11101 and 11102 vibrational states, remains stable. On the other hand, the relatively weak bands: 11101–00001 and 11102–00001 are strongly distorted by intensity borrowing from the 00011–00001 band. More abundant isotopologues contain more weaker bands in their spectrum, which in turn, are more likely to be unstable on account of resonance interactions. This situation is better pictured on a bar diagram given in Fig. 2, where relative percentages of stable, intermediate and unstable lines are compared among all 13 isotopologues. In general, the procedure of determining the scatter factor is very effective, leaving only sub-percent residuals of lines that could not have a scatter factor assigned. The percentages of unstable lines also oscillate around 1%, which suggests that the choice of surfaces for the line sensitivity analysis is appropriate. Experience shows that if the quality of the second (here ‘Fitted’) PES used in the analysis is too low, not only is it difficult to match between line lists, leading to a larger number of unknown lines, but also un-naturally high values of the scatter factor for energetically well-isolated bands arise. In such cases, a biased stability landscape, generating false positive resonances, is likely. In contrast, if this PES is too close to base PES (here the Ames-1 surface), then the spread of scatter factors could be artificially narrow, causing the unstable lines to appear to be stable. Thus the scatter factor statistics reflect the quality of the least accurate PES used rather than the uncertainty in our final results. For this reason, there is some arbitrariness in critical values adopted for the scatter factor, which categorize transitions as stable, intermediate and unstable.



**Fig. 6.** Ames, CDS-296 and UCL line intensities for the 20013 – 00001 band of  $^{16}\text{O}^{12}\text{C}^{18}\text{O}$  compared to four recent experimental works by: Toth et al. [39] (upper left panel), Jacquemart et al. [26] (upper right panel), Benner et al. [5] (lower left panel) and Borkov et al. [10] (lower right panel). Blue triangles, red dots and black squares denote relative deviations from the measurement (in %) of UCL, CDS-296 and Ames line intensities respectively.  $m$  labels rotational transitions and corresponds to  $J(\text{lower}) + 1$  for the R branch and  $-J(\text{lower})$  for the P branch. For the left uppermost panel experimental error bars were added together with horizontal orange dashed lines indicating experimental uncertainty for the systematic shift in the transition intensity. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

### 3.3. Resonances

A method of detecting lines perturbed by resonance interactions discussed in the previous sections was introduced in our previous works [1,2,29]. Table 2 gathers information about vibrational bands perturbed by a resonance interaction with other vibrational state.

Effective reproduction of experimental line intensities for ‘resonance bands’ is a challenge for variational calculations. Transition dipole moment being then very sensitive to small inaccuracies of the ro-vibrational wavefunction, requires almost perfect reproduction of the PES in this region, which is currently beyond reach of the variational methodology.

#### 3.3.1. Band statistics vs. experiment

Table 3 compares measured band intensities to the calculated values from the Ames-1, UCL and CDS-296 line lists. In general, the dipole moment surfaces of Ames and UCL appear to be of similar quality, generating band intensities that differ by few percent. A more detailed analysis reveals that both line lists follow similar intensity trends within a single band as well as between bands. The small discrepancies between Ames-1 and UCL however deserve further investigation at single line resolution followed by benchmarking against other studies. This is done in Section 3.4. For less abundant isotopologues, such as 638 and 728, deviations of theoretical line intensities from experimental values often exceed stated uncertainty of measurements [10,11,15,26], which suggests inaccuracies in retrieval procedure or in isotopic abundances in measured samples. Band intensities given by the CDS-296 database match more closely the experimentally determined values than the remaining two line lists. The effective dipole moment calculations, on which the CDS-296 database relies, are supplied with experimental entries, some of which have been taken from the references listed in Table 3. For this reason, CDS-296 generates smaller overall deviations from experiment. Unfortunately, none of these measurements provide sub-percent uncertainty budget for intensities. This means one can conclude only approximately on the mutual relation between the experiments and theoretical studies (most of the measurements give 5–20% uncertainty for the line intensities). Therefore, a comparison to a preferably sub-percent accurate study is needed. One such measurement has been performed by Durry et al. [27] on three ro-vibrational lines of 628. This experiment is discussed in the following section.

Fig. 3 gives an overview of the relative agreement of the Ames and UCL line lists to CDS-296.

For strong lines, both Ames and UCL line lists give a good overall match to CDS-296. For weaker lines, intensity discrepancies between the line lists and CDS-296 become more visible, reaching several hundreds percent. Both Ames and UCL line lists follow similar pattern, which gradually diverges; the Ames line list gives a slightly larger scatter of intensities, especially for weak lines. A few bands for the 637 and 728 isotopologue are systematically shifted toward large negative deviations in intensity, hence may demand a closer attention, and perhaps a re-evaluation in the future editions of CDS-296.

Fig. 4 gives an overview comparison between UCL and HITRAN2012 intensities for all six asymmetric isotopologues of CO<sub>2</sub>. The plots use the symmetric relative deviation,  $S$ , defined by

$$S = \frac{1}{2} \left| \frac{I_{THEOR}}{I_{EXP}} - \frac{I_{EXP}}{I_{THEOR}} \right| \cdot 100\%. \quad (1)$$

The characteristic funnel-like shape is followed by the majority of lines. The 728, 637 and 638 isotopologues however, contain few moderately strong bands, exhibiting suspiciously high systematic

deviations from the UCL line list. For this reason, we believe that intensities of these CDS-296 bands cannot be trusted, and require refinement by additional experimental data or a theoretical approach. It should be noted that the majority of lines in HITRAN2012 comes from the Effective Hamiltonian calculation also enclosed in the CDS-296 database.

### 3.4. Comparison to experiment

Experimental knowledge of intensities of the asymmetric isotopologues of CO<sub>2</sub> has been recently significantly improved by

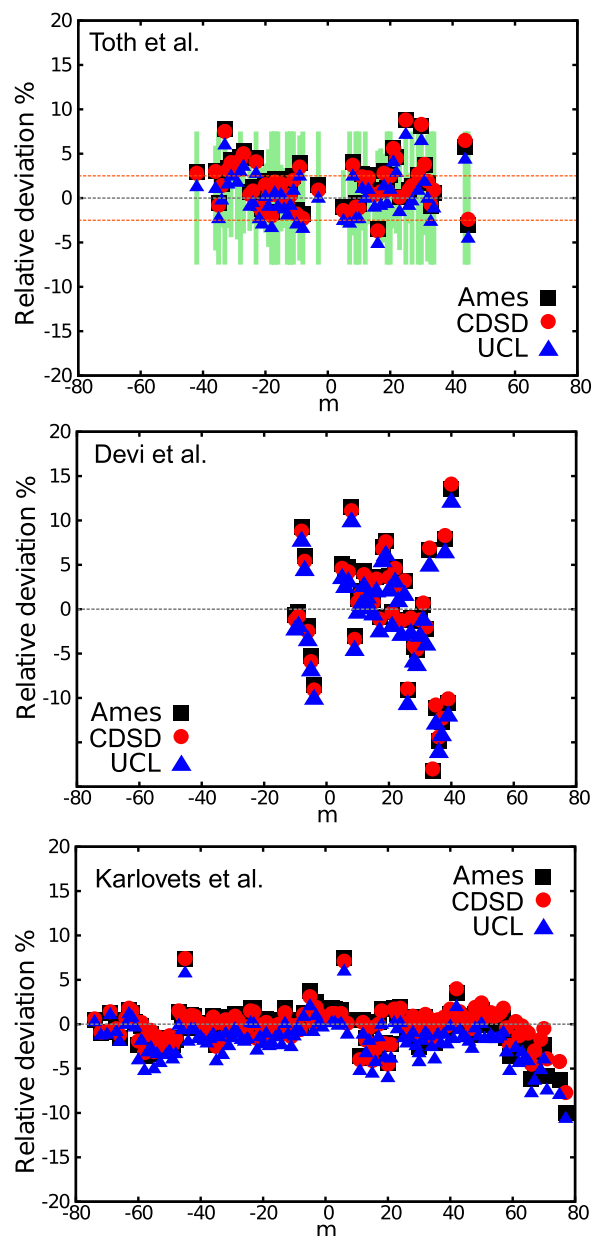


Fig. 7. Ames, CDS-296 and UCL line intensities for the 30013–00001 band of <sup>16</sup>O<sup>12</sup>C<sup>18</sup>O compared to three recent experimental works by: Toth et al. [39] (uppermost panel), Devi et al. [4] (middle panel) and Karlovets et al. [16] (lowest panel). Blue triangles, red dots and black squares denote relative deviations from the measurement (in %) of UCL, CDS-296 and Ames line intensities respectively.  $m$  labels rotational transitions and corresponds to  $J(\text{lower})+1$  for the R branch and  $-J(\text{lower})$  for the P branch. For the uppermost panel experimental error bars were added together with horizontal orange dashed lines indicating experimental uncertainty for the systematic shift in the transition intensity. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

measurements on isotopically enriched samples. This is particularly important for atmospherically relevant bands in the 1.6  $\mu\text{m}$  and 2.04  $\mu\text{m}$  spectral regions. Space missions [49] and ground-based observations dedicated to detection and quantification of the total carbon dioxide content in the Earth's atmosphere are based on simultaneous measurements on these two regions. Thus, due to their practical importance, the 20012–00001, 20013–00001 and 30013–00001 bands should be assessed carefully for all abundant isotopologues, as lines from different species are likely to interfere. A line-by-line comparison with recent measurements of these three bands for the 628 isotopologue is given below.

In Fig. 5, the four panels represent comparisons between Ames, CDSD, UCL line intensities and high-quality experiments by Toth et al. [39], Jacquemart et al. [26], Benner et al. [5] and Borkov et al. [10]. The three studies (Ames, CDSD and UCL) are denoted with black squares, red dots and blue triangles, respectively. All graphs show provisional sub-10% agreement between theory and experiment. Another common observation for all four panels is that for the 20012–00001 band line intensities are ordered as  $\text{UCL} < \text{Ames} < \text{CDSD}$ , and differences between the studies usually do not exceed 1%. This suggests similar quality of the line lists for this band. Toth et al. provides  $\pm 2\%$  systematic uncertainty and  $J$ -dependent 0.5–7% statistical uncertainty on line intensities (marked with green error bars in the upper left panel of Fig. 5). UCL intensities match the stated experimental error bar, showing 2–3% systematic shift for  $m \in (-30, 30)$  and characteristic, arc-like behavior for higher absolute values of  $m$ . Comparisons to Jacquemart et al. and Borkov et al. reveal small, 1–4% systematic shift with respect to all three line lists. A markedly different situation is depicted in the left lower panel, in the study by Benner et al. Here an arc pattern of residual intensity is observed. Similar artifact has been also found in measurements on the main isotopologue of  $\text{CO}_2$  by the same authors, and can be attributed to issue connected to the Herman-Wallis factors used in the retrieval procedure [2].

Analogous conclusions can be drawn from Fig. 6, where the UCL, CDSD and Ames line lists are compared to measurements on the 20013–00001 band. Note that all three line lists give an average negative systematic shift with respect to the experimental values. Line intensities of the 20012–00001 and 20013–00001 bands together with the line intensities of other five bands published by Toth et al. [39] were used for the determination of the concentration of the  $^{16}\text{O}^{12}\text{C}^{18}\text{O}$  isotopologue in the sample used by Jacquemart et al. [26] and Borkov et al. [10]. This may indicate that

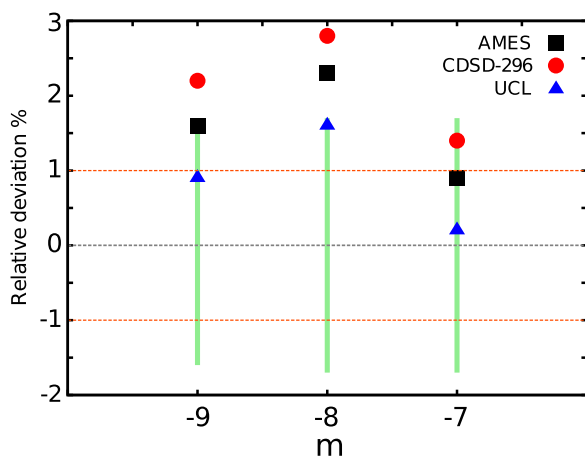


Fig. 8. Experimental line intensities measured by Durry et al. [27] for the P branch of the 20012–00001 band of  $^{16}\text{O}^{12}\text{C}^{18}\text{O}$  depicted against respective transition intensities taken from Ames, CDSD-296 and UCL line lists.  $m$  labels rotational transitions and corresponds to  $J(\text{lower})+1$  for the R branch and  $-J(\text{lower})$  for the P branch.

the experimental studies underestimate the concentration of the 628 isotopologue, causing the intensities of individual lines to be systematically overestimated.

Intensities for the 30013–00001 band of  $^{16}\text{O}^{12}\text{C}^{18}\text{O}$  (called ‘the weak  $\text{CO}_2$  band’) have been accurately determined in three studies. The uppermost panel in the Fig. 7 compares UCL, CDSD and Ames line intensities for this band to measurements by Toth et al. [39]. All three theoretical studies match the experimental error bars. UCL intensities (blue triangles) provide an almost zero systematic shift for this band. The  $J$ -dependent scatter of relative deviations between theory and experiment is most likely caused by the statistical fluctuations of the experiment. A very similar picture

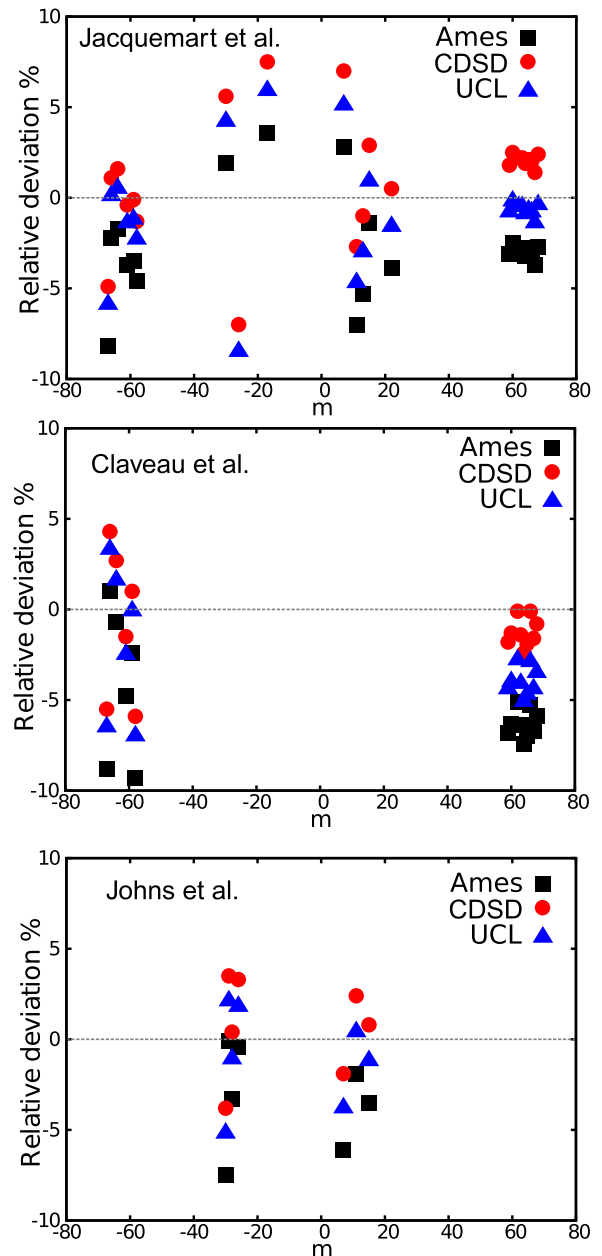
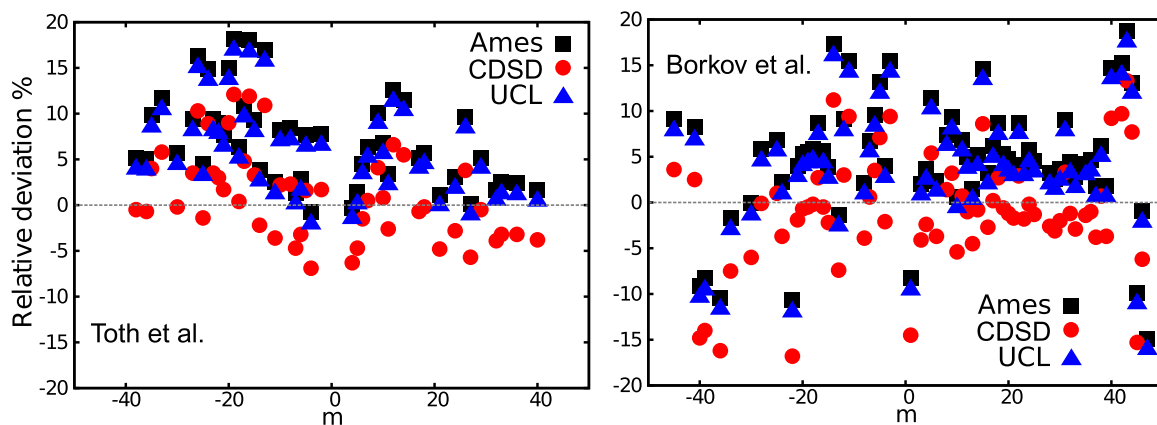
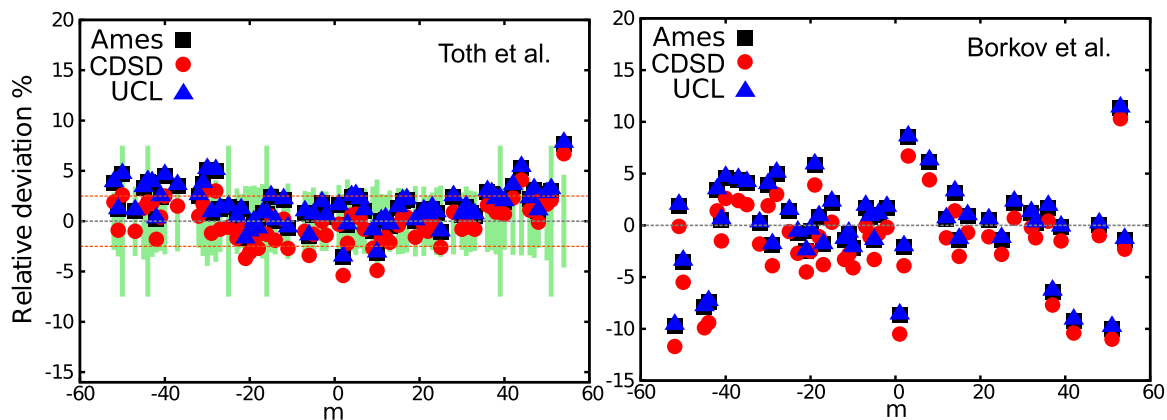


Fig. 9. Ames, CDSD-296 and UCL line intensities for the 00011–00001 band of  $^{16}\text{O}^{12}\text{C}^{17}\text{O}$  compared to three experimental works by: Jacquemart et al. [26] (uppermost panel), Claveau et al. [47] (middle panel) and Johns et al. [36] (lowest panel). Blue triangles, red dots and black squares denote relative deviations from the measurement (in %) of UCL, CDSD-296 and Ames line intensities respectively.  $m$  labels rotational transitions and corresponds to  $J(\text{lower})+1$  for the R branch and  $-J(\text{lower})$  for the P branch. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



**Fig. 10.** Ames, CDSD-296 and UCL line intensities for the 20011–00001 band of  $^{16}\text{O}^{13}\text{C}^{17}\text{O}$  compared to two experimental works by: Toth et al. [48] (left panel) and Borkov et al. [10] (right panel). Blue triangles, red dots and black squares denote relative deviations from the measurement (in %) of UCL, CDSD-296 and Ames line intensities respectively.  $m$  labels rotational transitions and corresponds to  $J(\text{lower})+1$  for the R branch and  $-J(\text{lower})$  for the P branch. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



**Fig. 11.** Ames, CDSD-296 and UCL line intensities for the 10011–00001 band of  $^{16}\text{O}^{13}\text{C}^{18}\text{O}$  compared to two experimental works by: Toth et al. [48] (left panel) and Borkov et al. [11] (right panel). Blue triangles, red dots and black squares denote relative deviations from the measurement (in %) of UCL, CDSD-296 and Ames line intensities respectively.  $m$  labels rotational transitions and corresponds to  $J(\text{lower})+1$  for the R branch and  $-J(\text{lower})$  for the P branch. In the left panel experimental error bars were added together with horizontal orange dashed lines indicating experimental uncertainty for the systematic shift in the transition intensity. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

emerges from the middle graph in Fig. 7. Here the experimental scatter (Devi et al. ) reaches 20%, whereas the systematic shift is again close to 0%. One possible explanation for this is a lower signal-to-noise ratio in the experiment of Devi et al., although inconsistent retrievals from crude data could be also the cause. The lowest panel in Fig. 7 depicts measurements by Karlovets et al. on  $^{18}\text{O}$ -enriched samples. Except for two points with large discrepancies at  $m = -44$  and  $m = +8$ , the comparison gives an overall very good agreement with Ames, CDSD and UCL, with average systematic shift of 1–2%. The 30013–00001 band has been previously verified to be reproduced at sub-percent accuracy for the main 626 isotopologue [1], and less abundant symmetric 636 isotopologue [6]. Results shown and discussed above support the thesis, that our DMS is capable of reproducing the true line intensities in the 1.6  $\mu\text{m}$  region with accuracy not worse than 1–3% for the asymmetric isotopologues and 1% or better for the symmetric ones. For the 2.06  $\mu\text{m}$  region, containing the ‘the strong  $\text{CO}_2$  bands’ a thorough investigation of line intensities was made in our previous papers, concluding that sub-percent accuracy is given by the UCL calculated intensities for the 20013–00001 and 20012–00001 bands. The essential question to ask is, whether this high accuracy is transferable to the asymmetric isotopologues.

Durry et al. [27] performed intensity measurements with a near-infrared tunable diode laser spectrometer providing 1% stated

accuracy and sub-percent precision on a sample containing  $^{16}\text{O}^{12}\text{C}^{18}\text{O}$  in the 2.06  $\mu\text{m}$  spectral region. Results of this experiment are compared to the Ames, CDSD-296 and UCL line intensities in Fig. 8. To the best of our knowledge, this is the most accurate intensity measurement reported on an asymmetric isotopologue of carbon dioxide. From the Fig. 8 it is readily seen that only the UCL line intensities for P (7), P(8) and P(9) lines match the experimental error bar. An average systematic shift of +1% is observed for the UCL intensities, +1.5% for Ames and +2.0% for CDSD-296. Thus, we tentatively conclude on plausible sub-percent accuracy of UCL line intensities for this band.

Fig. 9 compares transition intensities from different measurements for the asymmetric stretching fundamental (00011–00001 band) of the 627 isotopologue. Experiments by Jacquemart et al. [26] (uppermost panel in Fig. 9), Claveau et al. [47] (middle panel in Fig. 9) have stated 5% systematic uncertainty and undetermined statistical uncertainty, while measurements by Johns et al. [36] (lowest panel in Fig. 9) have 2% stated systematic uncertainty and 2–3% statistical uncertainty. Ames, CDSD and UCL line lists give similar deviations from experiments, showing systematic shifts smaller than 5%. For all three panels in Fig. 9, Ames transition intensities are usually weaker than UCL intensities, whereas CDSD intensities are usually stronger than UCL intensities. At the same time UCL lines give the smallest systematic deviation from experiments.

Fig. 10 displays comparison between two experimental studies by Toth et al. [48] (left panel) and Borkov et al. [10] (right panel) respectively, and three line lists: Ames, CDS and UCL. The former experiment has stated 2.5% systematic uncertainty and 10% statistical uncertainty, while the latter experiment has 4% systematic uncertainty and 0.5–7% statistical uncertainty. The agreement between measurements and line lists reflects the relatively high uncertainties, showing similar statistical scatter for all three line lists, but essentially smaller systematic deviation for the CDS line list, which was constructed by fitting to measurements by Toth et al. Systematic deviation from experiment is again very similar (around 1%) for UCL and Ames intensities. Also, CDS, Ames and UCL follow the same pattern, indicating that the statistical scatter visible in Fig. 10 is of experimental origin.

In Fig. 11, which compares Ames, CDS and UCL line lists to experiments by Toth et al. [48] (left panel) and Borkov et al. [11] (right panel), large deviations of Ames and UCL from measured line intensities are visible for several lines of the P branch of the 10011–00001 band in  $^{16}\text{O}^{13}\text{C}^{18}\text{O}$ . The Ames and UCL line lists provide similar values of transition intensities for these lines (agreement within 2%), whereas CDS intensities do not exhibit any unusual deviation. This observation can be rationalized by the fact that effective operators used to construct the CDS database were parametrized by experimental intensities from Ref. [48]. The

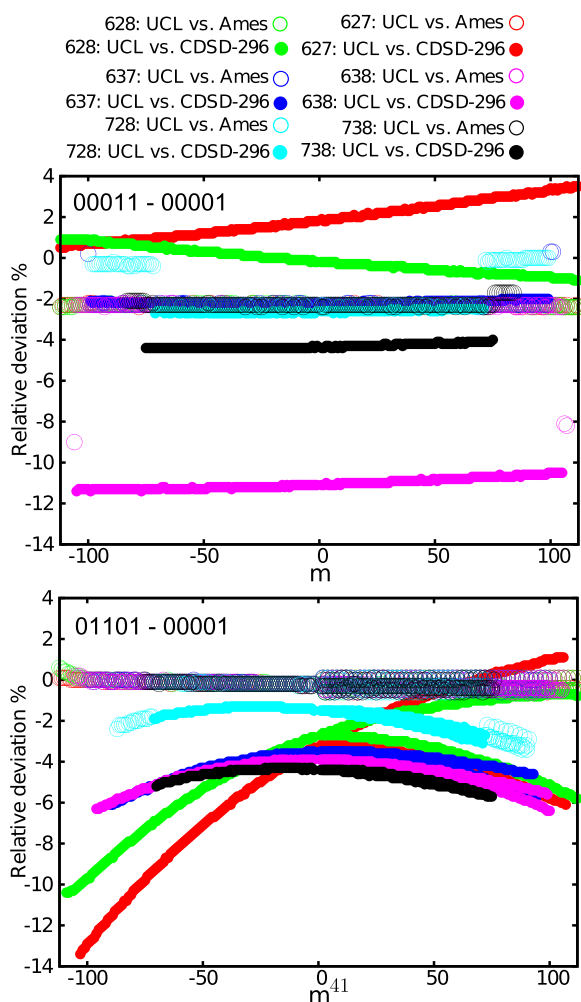


Fig. 12. Comparison of intensities of two fundamental bands for all six asymmetric isotopologues of  $\text{CO}_2$ , between UCL, Ames and CDS line lists.  $m$  labels rotational transitions and corresponds to  $J(\text{lower})+1$  for the R branch and  $-J(\text{lower})$  for the P branch.

statistical scatter of the measured line intensities indicates insufficient experimental precision. Average systematic shift from measurements is within 1% for the CDS, Ames and UCL line lists.

Another problem to address is how intensities of lines transfer between isotopologues for the Ames and UCL line lists, and how do they relate to CDS-296. It is eye-catching in Fig. 12 that Ames and UCL intensities for the 00011–00001 and 01101–00001 bands are very similar, showing agreement at <0.5% level for the majority of lines. In contrast, line intensities from CDS give significant systematic shifts and noticeable arc structures, characteristic for the empirically determined quantities. Therefore, we may expect Ames and UCL to exhibit similar behavior with isotopic substitution. Here, no discontinuity in intensity pattern around  $J=0$  is observed for the Ames line lists, unlike for the main isotopologue [23].

### 3.5. Recommended line lists

For all six asymmetric isotopologues two types of line lists were prepared. First, files named 'UCL-296-isotopologue\_name.dat' contain line positions calculated using Ames-1 PES with DVR3D program and line intensities using UCL DMS ('AU' line list). Each line is supplemented with the appropriate scatter factor  $\rho$ , given in the last column. Vibrational assignments are taken from the newest version of the CDS-296 database. The second type of line lists, denoted "recommended-UCL-IAO-296-isotopologue\_name.dat" borrows line positions from the effective Hamiltonian calculations (see Section 2.2), and in the sporadic cases of the lines affected by the interpolyad anharmonic resonance interactions, from experimental data. Appropriate sources of experimental data used are given in the Supplementary materials.

Intensities of stable lines belonging to bands stronger than  $10^{-23}$  cm/molecule (for unit abundance) were taken from UCL DMS calculations and assigned HITRAN uncertainty code 8 (i.e. accuracy of 1% or better). Stable lines belonging to parallel bands weaker than  $10^{-23}$  cm/molecule also come from UCL DMS computation and were given uncertainty code 7 (i.e. accuracy 1–2%). Intermediate lines and stable lines belonging to perpendicular bands weaker than  $10^{-23}$  cm/molecule feature HITRAN uncertainty code 6 (i.e. accuracy 2–5%). Line intensities of bands containing  $3\nu_3$  vibrational excitation as well as unstable lines were taken from the effective Hamiltonian calculations.

All line positions and line intensities for which a scatter factor was not assigned were taken from the effective Hamiltonian computation. This was the case for a total of only 975 weak lines summing over all isotopologues. Both types of line lists are given in the supplementary materials with suitable explanation in the text files. Abundances for each isotopologue were taken from the HITRAN2012 database and the final line lists used an abundance-scaled intensity cut-off  $10^{-30}$  cm/molecule.

## 4. Summary

In the present study we compute new line lists for six asymmetric isotopologues of carbon dioxide:  $^{16}\text{O}^{12}\text{C}^{18}\text{O}$ ,  $^{16}\text{O}^{12}\text{C}^{17}\text{O}$ ,  $^{16}\text{O}^{13}\text{C}^{18}\text{O}$ ,  $^{16}\text{O}^{13}\text{C}^{17}\text{O}$ ,  $^{17}\text{O}^{12}\text{C}^{18}\text{O}$  and  $^{17}\text{O}^{13}\text{C}^{18}\text{O}$ . A mixed *ab initio*-empirical methodology used to produce the line lists provide complete spectral coverage up to  $8000\text{ cm}^{-1}$ , with line positions generated using an effective Hamiltonian model, supporting accuracy reaching the resolution of a typical FT-IR spectroscopic measurement and reliable intensities given at sub-percent accuracy for selected strong bands and 2–5% accuracy for the rest of lines. This is confirmed by detailed comparisons to the HITRAN2012, CDS-296 and Ames-1 line lists, as well as to recent high-quality experiments on isotopically enriched samples of carbon dioxide. A method of varied input potential surfaces and

dipole moment surfaces is presented here as a useful tool in the search of energy levels perturbed by vibration-rotation resonance interactions including interpolyad-type resonances. This sensitivity analysis of the line intensities validates the majority of computed line intensities as reliable, which means that nearly all entries in our line lists can be given an uncertainty based on purely theoretical considerations. We hope that the methodology presented and evaluated here will be of use in theoretical approaches to other molecules. Line lists reported in the present paper, together with line profile parameters from other works [50], are recommended for use in remote sensing studies and inclusions in databases. This paper completes our analysis of the transition intensities of all 12 stable isotopologues of CO<sub>2</sub> plus the radioactive <sup>14</sup>C<sup>16</sup>O<sub>2</sub> isotopologue up to 8000 cm<sup>-1</sup> [1,2]. It would be clearly useful to extend this work to higher wavenumbers but that requires further work on the DMS to ensure appropriate accuracy of these shorter wavelength transitions.

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## Appendix A. Supplementary data

Supplementary data associated with this article can be found in the online version at <http://dx.doi.org/10.1016/j.jqsrt.2017.01.037>.

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