1 Pneumococcal protein antigen serology varies with age and may predict antigenic 2 profile of colonizing isolates 3 4 Azarian T¹, Grant LR², Georgieva M¹, Hammitt LL², Reid R², Bentley SD³, Goldblatt D⁴, 5 Santosham M². Weatherholtz R². Burbidge P⁴. Goklish N². Thompson CM¹. Hanage 6 WP¹, O'Brien KL², Lipsitch M¹ 7 8 1 Center for Communicable Disease Dynamics, Department of Epidemiology, T.H. Chan 9 School of Public Health, Harvard University; 2 Center for American Indian Health, Johns 10 Hopkins Bloomberg School of Public Health, Baltimore, Maryland; 3 Wellcome Trust 11 Sanger Institute, Cambridge, UK; 4 Immunobiology Section, Institute of Child Health, 12 University College London, London, UK. 13 14 Word Count: 15 Abstract: 200 16 Main test: 3497 17 References: 37 18 19 Lindsay R. Grant lgrant10@jhu.edu 20 Maria Georgieva georgiev@hsph.harvard.edu 21 Laura Hammitt Ihammitt@ihu.edu 22 Ray Reid rreid2@jhu.edu Stephen D Bentley sdb@sanger.ac.uk 23 24 David Goldblatt d.goldblatt@ucl.ac.uk 25 Mathuram Santosham msantosham@jhu.edu 26 Robert Weatherholtz rweathe1@jhu.edu 27 Polly Burbidge p.burbidge@ucl.ac.uk 28 Novalene Goklish ngoklish@ihsph.edu 29 Claudette M Thompson cthompso@hsph.harvard.edu 30 Bill Hanage whanage@hsph.harvard.edu 31 Kate O'Brien klobrien@jhsph.edu 32 Marc Lipsitch mlipsitc@hsph.harvard.edu 33 34 35 **Corresponding Author:** 36 Taj Azarian, PhD MPH 37 352.494.2011 38 Center for Communicable Disease Dynamics, 39 Harvard T.H. Chan School of Public Health, 40 677 Huntington Avenue, Suite 506, Boston, MA 02115 41 tazarian@hsph.harvard.edu

43 Abstract

44

Background: Several *Streptococcus pneumoniae* proteins play a role in pathogenesis
and are being investigated as vaccine targets. It is largely unknown whether naturallyacquired antibodies reduce the risk of colonization with strains expressing a particular
antigenic variant.

49 **Methods:** Serum IgG titers to 28 pneumococcal protein antigens were measured among 50 242 individuals, aged < 6 months - 78 years in Native American communities between 51 2007-2009. Nasopharyngeal swabs were collected at least 30 days after serum 52 collection, and the protein antigen variant in each pneumococcal isolate was determined 53 using genomic data. We assessed the association between preexisting variant-specific 54 antibody titers and subsequent carriage of pneumococcus expressing a particular 55 antigen variant. 56 **Results:** Antibody titers often increased across pediatric groups before decreasing 57 among adults. PspA and StkP IgG titers decreased from <6 months to 6-12 months 58 (p<0.01). Individuals with low titers against Group 3 PspC variants were more likely to

be colonized with pneumococci expressing those variants. For other antigens, variantspecific IgG titers do not predict colonization with pneumococci expressing particular
variants.

62 Conclusion: We observed an inverse association between variant-specific antibody 63 concentration and homologous pneumococcal colonization for only one protein. Further 64 assessment of antibody repertoires may elucidate the nature of anti-pneumococcal 65 antibody-mediated mucosal immunity while informing future vaccine development. 66

67 **Key words:** Streptococcus pneumoniae, pneumococci, protein antigens, sera,

68 immunology, PspC, PspA, vaccine, pilus, antibody

69

70 Introduction

71 Current pneumococcal conjugate vaccines have significantly reduced invasive 72 disease caused by the included *Streptococcus pneumoniae* (pneumococcal) serotypes. However, the currently licensed vaccines, PCV-10 and PCV-13, target only 10 or 13 of 73 74 the ~90 recognized pneumococcal capsular serotypes. In addition to incomplete 75 coverage of disease-causing types, significant disadvantages of capsular vaccines 76 include their production cost, production complexity, and serotype replacement. While 77 PCV formulations are still an attractive vaccine approach, these limitations have 78 motivated pursuit of pneumococcal protein antigens as vaccine candidates. Protein-79 based vaccines would, in theory, generate robust antibody responses, be efficacious in 80 young children, and may decrease carriage (1).

81 Pneumococcal surface protein A (PspA), PspC, pilus (RrgA/B/C), pneumolysin 82 (Ply) and neuraminidase (NanA) are among the pneumococcal proteins being 83 investigated for use in vaccine formulations (1). Studies suggest that in some cases 84 combinations of these proteins may elicit better protection than any of the proteins 85 themselves (1,2). In humans, antibodies to pneumococcal proteins can be detected 86 during colonization and natural infection, providing protection from subsequent 87 colonization and invasive disease (3-8). Virolainen et al. showed that among children 88 with invasive pneumococcal infections, those with lowest antibody titers to PspA were 89 infected most frequently with pneumococci (9). However, animal data show that while 90 antiprotein antibodies are correlated with protection against subsequent challenge, the 91 mechanism of protection is not necessarily antibody mediated, suggesting antibody 92 levels may correlate with degree of immune response but not necessarily exclusively 93 mediate protection. Evidence of variant-specific protection, in which antibodies to a 94 particular protein antigenic variant correlate with protection against colonization by 95 homologous pneumococci (i.e. those with that protein variant), would be more strongly

96 indicative of antiprotein antibodies' causal role in protection, as has been observed for
97 serotype-specific anticapsular antibodies (10,11).

98 At the same time, such evidence would provide a mechanism to explain the high 99 level of sequence variation and signs of diversifying selection at these loci. While these 100 protein antigens are present in almost all pneumococci, they are also very diverse, and 101 pneumococcal strains differ considerably in the particular antigenic variants they express 102 (12). Two clear examples are the surface associated choline-binding proteins PspA and 103 PspC. Both are encoded by polymorphic genes with clear structural variability, which 104 becomes the basis for their division into 3 PspA families and 11 PspC groups (12,13). 105 Studies suggest structural differences in these proteins impact the nature and specificity 106 of the antibody response generated toward them. For example, family-specific antibody 107 responses among children exposed to pneumococci possessing family 1 and 2 PspA 108 variants have been observed (14).

109 Important uncertainties remain about the biologic function of protein antibodies 110 and the extent to which their binding and activity are specific to particular variants of 111 polymorphic antigens. Here, we investigate whether naturally acquired antibodies to 112 protein antigens reduce the risk of nasopharyngeal acquisition (i.e. colonization) with 113 strains containing particular variants of diverse proteins such as PspA and PspC. To 114 address this question, we used pneumococcal genomic data to identify variants of 21 115 pneumococcal protein antigens present in S. pneumoniae carriage isolates. We then 116 assessed the association between antibody titers and subsequent colonization with S. 117 pneumoniae strains expressing an antigen recognized by preexisting antibodies. We 118 posited that individuals who had low antibody titers to a specific protein antigen variant 119 would be more likely to be colonized with S. pneumoniae expressing that variant.

120

121 Methods

123 Study Population, Serum Collection, and Nasopharyngeal Colonization

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125 Individuals included in this study were a subset of participants in a larger 126 prospective, longitudinal, observational cohort study of pneumococcal carriage among 127 Navajo and White Mountain Apache families described elsewhere (15). Briefly, 128 participants living on reservations in the southwest USA were enrolled from March 2006 129 to March 2008. Demographic and epidemiological data are provided in Supplementary 130 Table 1. Serum and nasopharyngeal (NP) specimens were obtained on the initial visit 131 after recruitment, and NP samples were collected at each of six follow-up visits at one-132 month intervals to determine pneumococcal carriage status (16). We selected 133 individuals who were negative for carriage at the initial visit, had an available enrollment 134 serum sample, and subsequently had a pneumococcal isolate detected >30 days after 135 serum collection. The Navajo Nation, White Mountain Apache tribe and the IRBs of the 136 Johns Hopkins Bloomberg School of Public Health, the Navajo Nation and the Phoenix 137 Area IHS approved this study. Written informed consent was obtained from adult 138 participants and from caregivers of child participants. Assent was obtained from children 139 7-17 years.

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141 Protein Antigen Serology

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Serum IgG to 28 pneumococcal protein antigens were measured using direct
binding electrochemiluminescence-based multiplex assay (MSD, Rockville, MD) (7).
Antibody levels among participants are expressed as a titer relative to the amount in a
reference serum. The 28 antigens represent 21 pneumococcal proteins and alleles or
structural variants of polymorphic proteins (Table 1). In particular, antibody titers were

148	measured for variants of PcpC, PspA, pilus subunit RrgB, pneumolysin (ply), and
149	pneumococcal histidine triad D (PhtD). Four PspC variants, representing four of the 11
150	recognized major groups (12), were selected based on their prevalence in a
151	pneumococcal carriage study in Massachusetts, USA (17). Two variants, var-I and var-
152	II, contain choline-binding domains (CBD), while var-III (Group 7) and var-IV (Group 8)
153	contain the LPXTG (sortase) motif. Truncated PspC constructs were designed to
154	uniquely represent each PspC variant. Truncation removed the proline-rich and cell wall
155	anchor motifs, which are highly homologous to those found in PspA (Supplementary
156	Figure 1).
157	
158	Genome Sequencing and Protein Antigen Identification
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160	Genomic DNA from S. pneumoniae isolates were sequenced on the Illumina
161	HiSeq to produce paired-end 100 bp reads at \geq 30-fold coverage. Serotypes were
162	determined by mapping reads to concatenated CPS locus sequences of 90
163	pneumococcal serotypes using SRST2 (18,19). De novo genome assemblies were
164	generated with Velvet (20) and annotated using Prokka (21). Pangenome analysis was
165	conducted with Roary (22) to cluster and abstract protein antigen genes. The coding
166	sequence for each protein antigen in the MSD assay was downloaded from KEGG
167	(http://www.kegg.jp/) and orthologs from S. pneumoniae reference strains were identified
168	(Table 1). The MSD index variant, S. pneumoniae reference orthologs, and de novo
169	assembled protein antigen genes were aligned with PRANK using a codon-aware
170	algorithm (23). The diversity of each protein antigen among sequenced isolates was
171	assessed to classify each antigen as polymorphic or conserved. Maximum likelihood
172	phylogenies were inferred from the alignments using RAxML v8.0.0. For polymorphic
173	antigens, one reference variant was selected from each monophyletic clade and used to

construct a protein database for SRST2 (19). To determine the antigenic profile of each
carried pneumococci, reads were mapped to each variant and the highest scoring match
was reported.

177

178 Statistical Analysis

179

180 To investigate correlation among participants' anti-protein titers and association 181 with age, we performed hierarchical clustering of 28 titers using the mclust v5.2 package 182 in R. We then assessed the association between titers and subsequent carriage of a 183 pneumococcus possessing alleles against which the participant had antibodies. For 184 each polymorphic protein antigen, log antibody titers were compared among individuals 185 carrying a pneumococcus with the respective variant. Statistical significance was 186 assessed using analysis of variance and Tukey's HSD. For the pilus (RrgA/B), which is 187 present in only a fraction of pneumococci, we assessed anti-pilus titers between 188 individuals colonized with piliated and non-piliated strains.

189

190 Results

This analysis included 242 participants who had new pneumococcal colonization \geq 30 days after serum collection (range 30-225 days, median=69). Individuals ranged in age from <1 month to 78 years of age, and had 34 pneumococcal serotypes identified in addition to 4 non-typable isolates (Supplementary Table 2). For two participants, two serotypes were identified, and a single serotype was selected for WGS. Among participants, 14 carried pneumococci that were PCV-7 vaccine types, and the distribution of PCV-7 types did not significantly differ by age group (Fisher's Exact, p=0.28).

198

199 Classification of pneumococcal protein antigens

200 Phylogenetic analysis identified 11 polymorphic protein antigens and 10 that 201 were largely conserved. Table 2 lists the variant frequencies for the 21 protein antigens. 202 In some cases, the protein antigen variant was unable to be assigned; therefore, counts 203 for some antigens do not total 242. We measured antibody titers to multiple variants of 204 three proteins with polymorphisms (PspC, PspA, and RrgB). For the remaining 10 205 proteins, we measured antibody titers for one variant and compared titers among 206 individuals with carriage isolates possessing polymorphic variants.

207

208 Protein Antigen Serology

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210 Participants' responses to different PspC variants were positively correlated, 211 (r=0.29-0.90, p<0.05 for all correlations), such that those with high PspC var-I titers also 212 had high titers to the other PspC variants (Figure 1 and Supplementary Figure 2). Titers 213 against two of the three RrgB variants were highly correlated with each other (0.96, 214 p<0.01), and less correlated with those against Var-III (Figure 1 and Supplemental 215 Figure 3). Among other protein antigens, we found significant correlation between 216 variants of the same antigen (Figure 1). There was also high correlation among 217 antigens including PiuA, PiaA, PcsB, Spr2021, PcpA, CbpA, PhtE, and PhtD. 218 Antibody titers for most proteins increased with age including PspC variants 219 (Figure 2A), PspA variants (Figure 2B), Ply variants (Figure 2D) and others 220 (Supplementary Figure 4). Anti-pilus (RrgA/B) titers did not vary across pediatric ages; 221 however, adults (18+ years) had significantly higher anti-RrgA/B titers compared to 222 pediatric participants (<18 years) (p<0.001) (Figure 2C). For PspA (p<0.01), StkP 223 (p<0.01) and PhtD (p<0.01), participants 6-12 months and 12-24 months had lower 224 titers than <6-month-old participants. Among most protein antigens, the magnitude of

titers were comparable, with the exception of PspC var-IV titers that were an order ofmagnitude lower across all ages, compared to other PspC variants.

Hierarchical clustering of individual sera by the patterns of scaled antibody titers identified two clusters. The larger cluster (A) included substantial numbers of participants from all age groups, while cluster B was comprised largely of participants <5 years of age (86.8%) (Supplemental Figure 5). This cluster of pediatric participants had antibody titers below the population mean for a large proportion of protein antigens, compared to pediatric participants in cluster A, suggesting this population was either comparatively unexposed to pneumococcal protein antigens, or unresponsive to them.

234

Analysis of individual protein sequence variation and association with antibody titers

237 PspC

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239 Among PspC variants, var-I was the most prevalent (84.1% of carriage isolates) 240 followed by var-II (12%). Var-I corresponds to Groups 2, 3 and 6 of PspC proteins 241 based on sequence identity and structural organization, and var-II corresponds to Group 242 4 (Table 1) (12). The low prevalence of other PspC variants largely limited statistical 243 comparison of anti-PspC titers to var-I and var-II. As age may mediate pneumococcal 244 carriage, we first investigated carriage of the PspC var-I by age group and found that it 245 did not differ significantly between pediatric and adult participants (X^2 =0.03, p-246 value=0.87). Similarly, PspC variants II-IV did not significantly differ by age group. Anti-247 PspC titers did not differ significantly by pneumococcal carriage isolate variant for var-II 248 (p=0.18), var-III (p=0.23), or var-IV (p=0.53) (Figure 3A); however, differences in anti-249 var-I titers among participants carrying PspC variants approached statistical significance 250 [F(3)=2.41, p=0.07]. We collapsed non-var-I PspC variants into a single category and

251	compared titers between var-I and non-var-I. We found anti-var-I titers were significantly
252	lower among individuals who went on to acquire strains possessing var-I [median log
253	antibody titer 3.20 (var-I) vs. 3.31 (non-var-I) (p=0.019)] (Figure 3B). This correlation
254	was found in all age groups but only significant for participants <1 year of age
255	(Supplementary Figure 6). In a logistic regression model controlling for age, low var-I
256	titers were significantly associated with subsequent carriage of a strain carrying the var-I
257	variant (OR=0.36, 95% CI 0.14-0.81, p= 0.02). This suggests that low anti-var-I titers
258	may be positively associated with acquisition of a strain possessing the PspC var-I.
259	
260	PspA
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262	Among pneumococci in this sample, we identified all three families of PspA
263	variants described by Hollingshead and colleagues (13). Most isolates (70%) were
264	family 1 PspA variant (Table 1). Additionally, 1% of strains (n=3) were a PspA variant
265	that formed an out-group to Families 1-3. Anti-PspA antibody titers were assessed
266	among individuals carrying four PspA variants (Family 1-3 and Unknown). Among
267	participants carrying pneumococcal strains with polymorphic PspA variants, anti-PspA
268	titers for Family 1 and 2 did not significantly differ (p=0.53 and 0.62) (Figure 3C).
269	Additionally, carriage of PspA variants was not found to vary by age.
270	
271	Type 1 Pilus (RrgA-C)
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273	Using the presence of RrgB as a marker, we found 11% of carriage strains were
274	piliated (Table 1). Among piliated strains (n=24), RrgB var-II was most common,
275	followed closely by the var-III. Piliated strains were most often serotype 35B (42.9%),
276	7B (21.4%), or 19A (14.3%). Carriage of a piliated pneumococcus did not significantly

277 differ between pediatric and adult participants (χ^2 =0.49, p=0.49). Anti-RrgB antibody 278 titers of var-II and var-I did not significantly differ by carriage variant (p=0.38 and 0.37) 279 (Figure 3C). However, we found that anti-RrgB var-III titers were significantly higher 280 (p<0.001) among participants with subsequent carriage strains possessing var-III RrgB. 281 This is contrary to what would be expected if higher variant specific titers were protective 282 against colonization with respective strains. Anti-RrgA titers also did not significantly 283 differ among carriage variants (p=0.148). Last, we assessed anti-RrgB titers among 284 carriage variants, comparing participants carrying piliated and non-piliated strains. Anti-285 RrgB titers did not significantly differ among participants subsequently colonized with 286 piliated or non-piliated strains (Supplemental Figure 7).

287

288 Other polymorphic protein antigens

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290 For the polymorphic protein antigens NanA, SP0609, SP2194, PhtD, StkP, and 291 StrH, where antibody titers were measured for only one variant, we compared titers 292 among participants carrying pneumococci with heterologous antigen variants 293 (Supplementary Figure 8). Distribution of NanA variants I-III did not differ between 294 pediatric and adult participants (p=0.32). Anti-NanA titers varied among participants 295 (p=0.08), with var-I titers marginally lower among participants carrying pneumococci with 296 var-I. Interestingly, var-I was the least common, present in only 3.0% of carriage 297 isolates. No statistically significant differences in anti-protein antibody titers were 298 observed among the remaining polymorphic proteins. Additionally, with the exception of 299 SP0609, for which the var-I was more prevalent among pediatric participants (p=0.001), 300 protein antigen variant frequencies did not differ by age group.

301

302 Discussion

303 Protein-based vaccines aim to further reduce the morbidity of pneumococcal 304 disease; however, a clearer understanding of the dynamics of protein antigen immunity 305 and pneumococcal carriage is required. As with previous studies, we found that adult 306 sera possessed antibodies to multiple antigens and to multiple variants of variable 307 antigens, and antibody titers usually increased across participant age groups, with adults 308 having higher overall mean anti-protein titers (7,14). For PspA, StkP, and PhtD, a 309 significant reduction in protein antibodies was observed between infants <6-months-old 310 and children 6-24 months, likely resulting from the loss of maternally acquired antibodies 311 and slower acquisition in infancy and toddlerhood than for other antigens. While 312 increasing natural immunity with age has been shown in previous studies of 313 pneumococcal protein antigens (5,7,24), this is the first study to assess variant-specific 314 antibodies and their effect on protection against homologous pneumococcal 315 colonization. We found increased carriage of pneumococci expressing var-I PspC 316 among participants with lower anti-PspC var-I titers. However, among all other protein 317 antigens, including PspA, we found no difference in anti-protein antibody titers by 318 subsequent colonization status with those variants. Because our study was designed to 319 focus on variant-specific protection by serum antibody against colonization with a 320 particular antigenic variant, we did not assess antibody levels in individuals who were 321 subsequently not colonized with pneumococcus, so we were unable to assess the 322 absolute level of protection against colonization associated with particular levels of 323 serum antibodies. Our results suggest that whatever protection these antibodies offer, it 324 is modestly variant-specific for PspC and not measurably variant-specific for other 325 antigens.

326 PspC and PspA are structurally similar surface proteins (25) known for their
327 interaction with the host immune system (26,27), involvement in host epithelial
328 adherence (28), and high recombination rates (17,29). Host immune evasion is often

invoked to explain the apparent increased recombination rates, sequence diversity and
evidence of diversifying selection in *pspA* and pspC; however, there is no direct
experimental evidence for this (30,31). Studies so far have implicated anti-PspC in
protection against colonization, while anti-PspA seems important for protection against
invasive disease (14). The growing interest in both PspC and PspA as vaccine
candidates underscores the need for better understanding and characterization of the
nature of anti-PspA and anti-PspC immunity.

336 In this study, we investigated four variants of PspC, each representing one of the 337 11 recognized PspC groups (12). Our data suggest there may exist specificity against 338 colonization based on exposure history, where colonization with a rare PspC variant 339 leaves individuals susceptible to colonization with the most prevalent circulating strain. 340 This observation is consistent with the concept of balancing selection in which the 341 selective pressure of host immunity is sufficient to impact the frequency of specific PspC 342 variants in the overall pneumococcal population. In general, this hypothesis is supported 343 by the observation of high rates of recombination in the PspC locus, which may provide 344 a way for pneumococci to obtain a PspC variant with low population level host immunity. 345 However, these underlying population dynamics require further exploration.

346 In prior studies, anti-PspA titers in children reflected the PspA family to which 347 they had been exposed (14), while adults often possessed high titers to both major 348 families. Among participants of this study, family 2 PspA variants were found in only 349 27% of carriage isolates and mean anti-PspA family 2 variant titers were consistently 350 lower among each age group. However, we found that anti-PspA titers were not 351 predictive of the subsequently carried strain. In the context of previous studies 352 demonstrating the protective role of anti-PspA antibodies, it appears that the cross-353 reactivity of antibodies may provide a broad level of protection from all PspA families.

354 The pneumococcal pilus, comprised of proteins RrgA, RrgB and RrgC, facilitates 355 binding to lung epithelial cells and colonization (32) and has been explored as a potential 356 vaccine candidate (33). The pilus operon has been shown to recombine and be acted 357 on by positive selection (34) Immunization with pilus subunits has been shown to 358 provide protection against systemic challenge with piliated pneumococcal strains (35). 359 Previously estimated to be present in \sim 30% of pneumococci (36), pilus was present in 360 only 11% of our studied strains. We found that while anti-pilus antibody titers were 361 significantly higher among adults compared with children, there was no difference in the 362 proportion of carried strains that were piliated between these age groups. Furthermore, 363 anti-pilus titers were not predictive of either carriage of piliated strains or RrgB variant 364 among carried piliated strains. This was unexpected considering the high anti-RrgB 365 titers in adults and the elevated RrgB var-III titers compared to variants I and II, which 366 were less prevalent among piliated strains (Figure 2C). The low prevalence of piliated 367 strains in the pneumococcal isolates from this study or variations in pilus expression (37) 368 may have reduced our ability to detect differential pneumococcal carriage according to 369 antibody titers.

370 While for the majority of protein antigen variants we failed to find any variant-371 specific protective effect against carriage, it should be noted that immunity generated by 372 naturally acquired antibodies is likely more complex than a simple variant-specific 373 protective effect. Several prior studies have suggested some degree of cross-reactivity 374 between antibodies to one variant of a protein and pneumococci carrying another. This 375 could be consistent with subtle selective pressures imposed by modestly greater 376 protection against homologous than heterologous variants, which may be hard to 377 measure in a host population. Furthermore immune responses against different proteins 378 may have cumulative effects, such that the variant-specificity of antibodies to a particular 379 protein would be obscured when considering the ability of these antibodies to protect

380 against a strain to which the individual may have many other effective antiprotein 381 antibody responses. Indeed, challenge studies of protein-based vaccines in animal 382 models have clearly shown that combination vaccines including 2-3 pneumococcal 383 proteins are more efficacious for protection against invasive challenge (1,2). This likely 384 reflects the in vivo interaction of host immunity with multiple pneumococcal antigens; 385 however, this interaction is difficult to investigate. We observed a correlation of anti-386 protein titers among several antigen variants, suggesting that individuals likely possess a 387 repertoire of antibodies to a specific set of protein antigen variants.

388 Certain limitations should be considered when interpreting our results. We 389 selected genetic variants of protein antigens based on extant literature and phylogenetic 390 analysis, identifying one strain from each monophyletic clade to represent a putatively 391 antigenically distinct variant. However, each of these protein variants possesses several 392 distinct epitopes, each likely generating a different antibody response. It is conceivable 393 that antibodies against one protein antigen are in fact a mixture of antibodies of varying 394 specificity, complicating the characterization of the overall response against one antigen. 395 This is certainly a confounding factor in our analysis and future studies should assess 396 pneumococcal anti-protein antibody repertoires and functionally characterize distinct 397 protein antigen variants (e.g. PspC) to determine the nature of the antibody response 398 they elicit and further our understanding of protection while informing vaccine 399 development. Secondly, the exposure histories of participants are unknown, and 400 participants were seemingly less likely to be exposed to rare protein variants in the 401 pneumococcal population. Overall, using a large sample pneumococcal strains and sera 402 from those who carried these strains we found only modest evidence for variant-403 specificity of protection against pneumococcal carriage. 404

405

- 406 **Conflict of Interest**
- 407 The authors disclose no conflicts of interest.

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Figures and Tables

				Age	Group	1				
Serotyp					_	47			T	% of
е		<1		1-4 y		-17y		18y+	Total	Total
19A	5	2.07%	7	2.89%	0	0.00%	10	4.13%	22	9.07%
22A	4	1.65%	10	4.13%	4	1.65%	3	1.24%	21	8.67%
35B	6	2.48%	6	2.48%	3	1.24%	6	2.48%	21	8.67%
23B	2	0.83%	8	3.31%	3	1.24%	4	1.65%	17	7.02%
15A	1	0.41%	7	2.89%	2	0.83%	3	1.24%	13	5.37%
34	0	0.00%	6	2.48%	1	0.41%	6	2.48%	13	5.36%
6B	1	0.41%	4	1.65%	2	0.83%	5	2.07%	12	4.95%
11D	2	0.83%	3	1.24%	4	1.65%	2	0.83%	11	4.54%
23A	1	0.41%	4	1.65%	1	0.41%	4	1.65%	10	4.13%
31	2	0.83%	3	1.24%	3	1.24%	0	0.00%	8	3.31%
16F	0	0.00%	2	0.83%	4	1.65%	2	0.83%	8	3.30%
17F	2	0.83%	0	0.00%	2	0.83%	4	1.65%	8	3.30%
37	2	0.83%	0	0.00%	1	0.41%	4	1.65%	7	2.89%
6A	0	0.00%	3	1.24%	3	1.24%	0	0.00%	6	2.48%
21	0	0.00%	3	1.24%	2	0.83%	1	0.41%	6	2.48%
35F	2	0.83%	2	0.83%	0	0.00%	2	0.83%	6	2.48%
7B	1	0.41%	1	0.41%	0	0.00%	4	1.65%	6	2.47%
10A	0	0.00%	3	1.24%	1	0.41%	1	0.41%	5	2.06%
15C	1	0.41%	1	0.41%	0	0.00%	3	1.24%	5	2.06%
3	0	0.00%	1	0.41%	2	0.83%	1	0.41%	4	1.65%
35A	0	0.00%	3	1.24%	0	0.00%	1	0.41%	4	1.65%
NT	2	0.83%	1	0.41%	0	0.00%	1	0.41%	4	1.65%
10B	0	0.00%	1	0.41%	2	0.83%	0	0.00%	3	1.24%
7C	0	0.00%	1	0.41%	1	0.41%	1	0.41%	3	1.24%
1	0	0.00%	1	0.41%	0	0.00%	2	0.83%	3	1.24%
19F	1	0.41%	0	0.00%	1	0.41%	0	0.00%	2	0.83%
22F	0	0.00%	1	0.41%	1	0.41%	0	0.00%	2	0.83%
38	0	0.00%	1	0.41%	1	0.41%	0	0.00%	2	0.83%
12A	0	0.00%	1	0.41%	0	0.00%	1	0.41%	2	0.82%
12B	0	0.00%	1	0.41%	0	0.00%	1	0.41%	2	0.82%
15B	0	0.00%	0	0.00%	1	0.41%	0	0.00%	1	0.41%
5	0	0.00%	0	0.00%	1	0.41%	0	0.00%	1	0.41%
7A	1	0.41%	0	0.00%	0	0.00%	0	0.00%	1	0.41%
8	0	0.00%	1	0.41%	0	0.00%	0	0.00%	1	0.41%
9A	0	0.00%	1	0.41%	0	0.00%	0	0.00%	1	0.41%
10F	0	0.00%	0	0.00%	0	0.00%	1	0.41%	1	0.41%
Total	36	14.9%	87	36.0%	46.0	19%	73	30.2%	242	100.00%

Table 1. Pneumococcal serotypes by age group and ordered by prevalence.

antigen name and function are listed with the number of variants tested. Variants with measured titers are specified with an asterisk.	Table 2. Protein antigens and variant frequencies identified through genomic analysis of pneumococcal carriage isolates. The	
	antigen name and function are listed with the number of variants tested. Variants with measured titers are specified with an asterisk.	

					morphic			
Antig	gen		Variant	Strain	Accesion/Gene	Count	Freq	P-Distance
I.	PspC	Pneumococcal surface protein C	Var-I*	ND6053	ERR129207	203	83.9%	0.025 (0.025-0.025)
			Var-II*	CH2016	ERR129074	31	12.8%	0.007 (0.006-0.008)
			Var-III*	BR1086	ERR129054	3	1.2%	0.005 (0.001-0.009)
			Var-IV*	MD5090	ERR129180	1	0.4%	-
		Pneumococcal surface						
11.	PspA	protein A	Family 1*	D39	SPD_0126	166	68.6%	0.200 (0.199-0.201)
			Family 2*	TIGR4	SP_0117	69	28.5%	0.200 (0.199-0.201)
			Family 3	BG6380	AF071823	4	1.7%	
	D 4	RrgA pilus subunit,	\/ I+	TIOD	00.0400		00.00/	
111.	RrgA	adhesin	Var-I*	TIGR4	SP_0462	24	88.9%	0.032 (0.027-0.037)
			Var-II	670-6B	SP670_0540	3	11.1%	
IV.	RrgB	RrgB pilus subunit, backbone	Var-I*	670-6B	SP670_0541	4	13.8%	
			Var-II*	TIGR4	SP_0463 EF560629: 5159-	11	37.9%	0.216 (0.199-0.233)
			Var-III*	23F_Taiwan_15	7123	14	48.3%	
V.	NanA	Neuraminidase	Var-I*	D39	SPD_1504 SPNINV200_1514	7	3.0%	0.042 (0.044.0.042)
			Var-II	INV200	0	75	32.2%	0.042 (0.041-0.042)
			Var-III	ATCC 700669	SPN23F16920	151	64.8%	
VI.	SP0609	Amino acid ABC transporter	Var-I*	TIGR4	SPD_0530	161	66.8%	0.015 (0.015-0.015)
			Var-II	ATCC 700669	SPN23F05490	80	33.2%	
VII.	SP2194	ATP-dependent Clp protease	Var-I	Taiwan19F-14	SPT_2213	222	91.7%	0.010 (0.010-0.010)
			Var-II*	TIGR4	SP_2194	20	8.3%	
VIII.	PhtD	Pneumococcal histidine triad D	Var-I	ATCC 700669	SPN23F09290	168	70.9%	0.062 (0.060-0.064)
			Var-II*	D39	SPD_0889	69	29.1%	
IX.	StkP	Serine threonine kinase protein	Var-I	D39	SPD_1542	181	74.8%	0.007 (0.007-0.007)

			Var-II*	TIGR4	SP_1732	61	25.2%	
	_	Beta-N-						
Х.	StrH	acetylhexosaminidase	Var-I*	D39	SPD_0063	101	42.1%	0.007 (0.007-0.007)
			Var-II	TIGR4	SP_0057	139	57.9%	
XI.	Ply	Pneumolysin	Var-I*	D39	SPD_1726	29	12.0%	0.003 (0.003-0.003)
			Var-II*	TIGR4	SP_1923	213	88.0%	0.003 (0.003 0.003)
				C	onserved			
Antig	en		Variant	Strain	Accession/Gene	-	-	
XII.	LysM	LysM domain- containing protein Endo-beta-N-	Var-I*	TIGR4	SP_0107	-	-	0.004 (0.003-0.004)
XIII.	LytB	acetylglucosaminidase	Var-I*	D39	SPD_0853	-	-	0.008 (0.008-0.008)
XIV.	LytC	Lysozyme (C-ter)	Var-I*	D39	SPD_1403	-	-	0.005 (0.005-0.005)
XV.	РсрА	Choline binding protein Secreted 45 kDa	Var-I*	D39	SPD_1965	-	-	0.003 (0.003-0.003)
XVI.	PcsB	protein Truncated histidine	Var-I*	TIGR4	SP_2216	-	-	0.006 (0.006-0.007)
XVII.	PhtE	triad protein Part of iron uptake	Var-I*	D39	SPD_0890	-	-	0.008 (0.008-0.008)
XVIII.	PiaA	ABC transporter Part of iron uptake	Var-I*	D39	SPD_0915	-	-	0.002 (0.002-0.002
XIX.	PiuA	ABC transporter Pneumococcal surface	Var-I*	D39	SPD_1652	-	-	0.005 (0.005-0.005)
XX.	PsaA	adhesin A Conserved	Var-I*	TIGR4	SP_1650	-	-	0.003 (0.002-0.003)
XXI.	SP2027	hypothetical protein	Var-I*	TIGR4	SP_2027	-	-	0.005 (0.005-0.006)

Figure 1. Pearson correlations of log10 antibody titers for 28 protein antigens clustered heuristically by correlation value. Correlations between normalized antibody titers of protein antigens were clustered using heuristic methods. Protein antigens including multiple variants of the same antigen are labeled on the x- and y- axes, and the heatmap displays the correlation values between antigens. The dendrogram on the left represents the results of the heuristic clustering of correlated antibody titers. Significant correlation between variants of the same antigen was observed as well as high correlation among several antigens, which likely exist on the same genomic background.

Figure 2. Protein antibodies titers by age for variants of PspC, PspA, pilus, and ply. Antibody levels were measured using direct binding electrochemiluminescence-based multiplex assay are expressed as a titer relative to the amount in a reference serum. Structural variants of polymorphic proteins were measured individually and were compared among participant age groups. A.) Variant-specific anti-PspC antibodies to Var-I (ND6053), Var-II (CH2016), Var-III (BR1086), and Var-IV (MD5090). B.) Variantspecific anti-PspA antibodies to Family 1 and Family 2. C.) Anti-pilus antibodies to RrgA-I (TIGR4) and RrgB pilus variants RrgB-I (670-6B), RrgB-II (Taiwan 23F), and RrgB-III (TIGR4). D.) Variant-specific anti-pneumolysin (ply) antibodies to variants I and II.

Figure 3. Protein antibody titers among participants carrying pneumococcal strains with specific polymorphic protein-antigen variants. Serum was collected from participants at enrollment, and nasopharyngeal swabs for pneumococcal carriage detection were collected at least 30 days after serum collection. The protein antigen variant in each pneumococcal isolate was determined using genomic data. Antibody levels were

measured using direct binding electrochemiluminescence-based multiplex assay are expressed as a titer relative to the amount in a reference serum. Structural variants of polymorphic proteins were measured individually. The y-axis represents the variant-specific antibody titers, and the carriage isolate protein antigen variant is specified on the x-axis with labels colored to match the corresponding titers. If susceptibility to a strain possessing a specific variant were observed, the respective antibody titer would be the lowest among all other titers. A) Anti-PspC titers vs. carriage isolate PspC variants I-IV and non-typable. B) Anti-PspC titers vs. carriage isolate PspC variants I-IV and non-typable. B) Anti-PspC titers vs. carriage isolate PspA variants Families 1, 2, 3, and unknown. D) Anti-pilus titers vs. carriage isolate RrgB variants I-III and not present.