

1	Title: Spectral Domain Optical Coherence Tomography: An in vivo imaging protocol for assessing
2	retinal morphology in adult zebrafish
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4	<u>Authors:</u> Maria Toms <sup>1</sup> , Dhani Tracey-White <sup>1</sup> , Dhakshi Muhundhakumar <sup>1</sup> , Lina Sprogyte <sup>1</sup> , Adam M
5	Dubis <sup>1,2</sup> , Mariya Moosajee <sup>1,2</sup>
6	
7	Affiliations:
8	1. UCL Institute of Ophthalmology, 11-43 Bath Street, EC1V 9EL, London, UK.
9	2. Moorfields Eye Hospital NHS Foundation Trust, 162 City Road, EC1V 2PD, London, UK.
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13	Corresponding Author:
14	Please send correspondence to
15	Dr Mariya Moosajee
16	Department of Ocular Biology and Therapeutics
17	UCL Institute of Ophthalmology
18	11-43 Bath Street
19	London
20	EC1V 9EL
21	United Kingdom
22	Tel: +44 0207 608 6971
23	Email: m.moosajee@ucl.ac.uk
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#### 27 <u>Abstract</u>

28 The present study outlines a protocol for examining retinal structure in zebrafish, a popular model 29 organism for ocular studies, using Spectral Domain Optical Coherence Tomography (SD-OCT). We 30 demonstrate how this live imaging modality can be used to obtain high quality images of several 31 retinal features, including the optic nerve, retinal vasculature and the cone photoreceptor mosaic. 32 Retinal histology sections were obtained from imaged fish for comparison with SD-OCT cross-33 sectional B-scans. Voronoi domain analysis was used to assess cone photoreceptor packing 34 regularity at 3, 6 and 12 months. SD-OCT is an effective in vivo technique for studying the adult 35 zebrafish retina and can be applied to disease models for longitudinal serial monitoring.

36

# 37 Introduction

Zebrafish are gaining increasing prominence as models for the study of vertebrate retinal development and inherited retinal dystrophies <sup>1-4</sup>. The zebrafish retina has a highly organized, multilayered neuronal structure that is conserved across vertebrate species. Like humans, zebrafish are a diurnal species with cone-dominant vision, making them an attractive alternative to other commonly used animal models. Furthermore, zebrafish have a functional visual system by five days post-fertilisation, enabling rapid phenotyping and experimentation. Their external development and optical transparency make them accessible to manipulation at the embryonic stages.

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Among the numerous advantages of studying zebrafish is their genetic manipulability, which has been pivotal in establishing the zebrafish as a biomedical model <sup>5</sup>. The zebrafish genome is well curated and it has been found that approximately 70% of human protein coding genes have at least one zebrafish orthologue <sup>6</sup>. Previously, forward genetic screens using chemical or insertional mutagenesis have provided a host of zebrafish mutant ocular phenotypes for the identification of gene function *in vivo* <sup>7-9</sup>. Many mutations in the zebrafish genome have been associated with phenotypes of visual dysfunction that have parallels with human disease, including models of

retinitis pigmentosa and choroideremia <sup>1-4</sup>. More recently, the development of modern genetic 53 techniques, such as TALENs (transcription activator-like effector nucleases) <sup>10, 11</sup> and CRISPR 54 (clustered regularly interspaced short palindromic repeats)<sup>12</sup>, have made precise and efficient gene 55 56 editing possible. The availability of relatively inexpensive and straightforward techniques will 57 facilitate the production of numerous zebrafish mutant lines, modelling a range of retinal 58 developmental and degenerative diseases. As well as providing insight into the underlying 59 pathological details of various disorders, such mutants will serve as preclinical models for assessing 60 the efficacy and safety of potential treatment strategies.

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62 Currently, the established gold standard for studying retinal structure in zebrafish is ex vivo 63 histological assessment. As this technique requires sacrifice of the animal being examined, 64 longitudinal analysis within the same individual is not possible and large numbers of fish may be 65 required to assess multiple different timepoints. Further limitations of traditional histological 66 analysis include the risk of tissue damage and the time-consuming laboratory procedures involved. 67 Alternatively, the use of live imaging modalities, such as Optical Coherence Tomography (OCT) and 68 confocal scanning laser ophthalmoscopy (cSLO), offer both economic and ethical advantages by 69 enabling equivalent images to be obtained in a rapid in vivo context.

70

71 OCT is a non-contact, non-invasive imaging technology that can construct detailed cross-sectional and three-dimensional images of the eye<sup>13, 14</sup>. It is the optical analogue of ultrasound. OCT imaging is 72 73 based on interferometry, where light is sent through a sample arm and a reference arm, and 74 backscattered light from the sample is combined with that from the reference arm to generate an 75 interference signal. Most current generation systems use the spectral properties of wide bandwidth 76 (50-100 nm) light sources in the near infrared range (NIR) to depth resolve the optical properties of a 77 sample. Due to the method of acquisition, these systems are referred to as spectral domain OCT (SD-OCT)<sup>15, 16</sup>. Single depth measurements are called an A-scan, with series of depth scans along a single 78

plane called B-scan, which creates an optical section. Series of densely sampled B-scans can be
visualized *en face* to generate optical flat-mounts for further analysis.

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82 The use of OCT is well-established in the clinical setting, where it is used for diagnosis and monitoring of ophthalmic disease <sup>14</sup>. It has also been used to visualize a variety of animal retinas, 83 including rodents <sup>17, 18</sup>, birds <sup>19</sup> and *Xenopus* <sup>20</sup>. Previous studies have successfully used SD-OCT to 84 85 image ocular tissues in larval, juvenile and adult zebrafish in vivo<sup>21-26</sup>. Other tissues, including the brain and heart, have also been examined <sup>21, 27</sup>. In adult zebrafish, it has been shown that detailed, 86 87 cross-sectional images of the laminated retina and optic nerve are possible, and that SD-OCT can 88 effectively detect degeneration and subsequent regeneration of the inner and outer retinal layers, demonstrated using light- and ouabain-mediated damage paradigms<sup>22, 23, 25</sup>. It has also been used 89 90 for accurate measurement of several eye dimensions in wild-type and myopia disease models<sup>24</sup>. 91 Recently, in combination with cSLO, SD-OCT has been used to closely examine specific layers in outer 92 retina, including the photoreceptors <sup>26</sup>. Although the utility of SD-OCT for zebrafish ocular imaging 93 has been demonstrated, there is limited information available on the protocols used.

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The present study details a protocol for obtaining cross-sectional and *en face* images of the retina in wild-type adult zebrafish, using the Bioptigen Envisu R-series Spectral Domain Ophthalmic Imaging System (SDOIS). The eyes of zebrafish of 3, 6 and 12 months in age were imaged to examine agerelated differences in retinal layer thickness and the cone photoreceptor mosaic. Retinal histology sections from imaged fish were also obtained for comparison with SD-OCT cross-sectional images.

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101 Materials and Methods

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103 Animal care

104 Wild-type (AB) zebrafish were maintained at the University College London (UCL) Institute of

105 Ophthalmology Biomedical Research Unit. The fish were raised to 3, 6 and 12 months of age at 106 28.5°C exposed to 200 lux illuminance for 14 hours daily: 10 hours darkness. A total of 18 fish had 107 SD-OCT imaging carried out on their right eyes. Research was carried out in accordance with the 108 principles and guidelines of The Animals (Scientific Procedures) Act 1986, UK, and the ARVO 109 statement for the Use of Animals in Ophthalmic and Vision Research with local institutional review 110 board approval.

- 111
- 112 Imaging and Animal Equipment

SD-OCT images were captured using the Bioptigen Envisu R2200 SDOIS (Bioptigen Inc., Morrisville, NC.), which is commercially available for small animal imaging. The SD-OCT apparatus included a base system (host computer, SD-OCT engine with reference arm and a hand-held SD-OCT probe), imaging mount and animal alignment stage (see Figure 1). The probe was held in the mount in a vertical position directly above the alignment stage, where the zebrafish was placed, and could be moved up and down. The alignment stage was able to move in the X or Z meridians. InVivoVue

119 software (Bioptigen Inc., Morrisville, NC.) was used for creating and saving OCT image files.

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121 Prior to all SD-OCT imaging, 4 mg/ml tricaine (Western Chemical Inc., Ferndale, WA) stock solution 122 was diluted to 0.2 mg/ml in tank system water to anaesthetise fish. When unresponsive to touch, 123 anaesthetised fish were transferred for imaging using a plastic spoon. For imaging of the optic nerve, 124 a custom rubber holder with projections to maintain position was used to hold the zebrafish in place 125 (Figure 1B). When imaging the photoreceptor mosaic, the zebrafish were laid on a grooved plasticine 126 wedge placed in an immersion tank with attached tubing and syringe to control water level. A 127 weighted strap was used to stabilise the fish. Surgical tape was used for attaching either the rubber 128 holder or immersion tank to the alignment stage.

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130 SD-OCT imaging of the optic nerve and retinal layers

131 For optic nerve imaging, the zebrafish was placed in the rubber holder and positioned at an angle 132 relative to the probe as demonstrated in Figure 1B. For each fish, a new 'patient' and 'exam' file was 133 created on the InVivoVue programme. A 1.4 mm by 1.4 mm perimeter protocol with 1000 A-scans 134 per B-scan with 100 total scans was used for imaging the optic nerve and retinal lamination. The 135 bore of the SD-OCT probe was initially brought into very close proximity to the fish eye and live 136 imaging was commenced. Following this, the position of the probe relative to the animal stage could 137 be finely adjusted until an adequate image could be obtained and the optic nerve was located. This 138 was achieved by moving the mounted probe up or down, or adjusting the position of the platform 139 with the fish holder. During the imaging process, drops of 0.2 mg/ml tricaine were regularly pipetted 140 on the fish gills to maintain moisture, and on the eye to prevent corneal desiccation and maintain 141 image quality.

142

### 143 SD-OCT imaging of the photoreceptor mosaic

144 When imaging the cone photoreceptor mosaic, the anaesthetised zebrafish was placed in the 145 immersion tank containing 0.2 mg/ml tricaine in tank water solution and was perpendicular to the 146 probe (Figure 1A). A rectangular scanning protocol consisting of a 1 x 1 mm perimeter with 400 A-147 scans per B-scan with 400 total B-scans was employed for volume intensity projection (VIP) images 148 of the fundus mosaic. The syringe attached to the tank was used to adjust the water level. The 149 optimal water height (approximately 1 mm above the cornea) determined the clarity and brightness 150 of individual photoreceptors. When capturing en face images, it was necessary to wait for the fish 151 breathing to become less frequent to reduce breathing artefacts. After imaging, fish were revived 152 and returned to their tank system, unless being used for histological analysis.

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154 Histological evaluation

After SD-OCT imaging, three fish per timepoint were euthanised for histological evaluation. The right
eye, which underwent imaging, was enucleated and fixed with 4% paraformaldehyde overnight at

4°C. Following this, the eyes were washed in phosphate-buffered saline (PBS) and serially dehydrated through a graded ethanol series (30%, 50%, 70%, 95% and 100%) in PBS before embedding in JB-4 resin (Polysciences Inc., Warrington, PA) according to manufacturer's instructions. Using a Leica RM 2065 microtome, 10 μm transverse retinal sections were obtained and stained with 1% toluidine blue before imaging on a Zeiss LSM510 upright microscope with AxioCam MRc digital camera.

- 163
- 164 Image analysis

165 Retinal thickness measurements from SD-OCT B-scans were obtained manually using the Diver 166 software (Bioptigen Inc., Morrisville, NC). For histology images, a stage graticule was imaged at the 167 same magnification for scaling and the equivalent measurements were carried out on transverse 168 retinal sections containing the optic nerve using ImageJ (National Institutes of Health, Bethesda, 169 MD).. Measurements of several easily distinguishable sublayers were taken at a distance of 200  $\mu$ m 170 and 400  $\mu$ m from the optic nerve (two points per distance) for each fish retina. The mean and 171 standard deviation of each retinal thickness measurement were calculated per timepoint for both 172 SD-OCT and histology data. Paired t-tests and Bland Altman plotting were used to compare the data 173 sets and assess agreement between the two methods of measurement. Statistical analysis was 174 carried out using JMP12 (SAS, Raleigh, NC). For longitudinal assessment of retinal structure, three 175 fish were imaged on two separate occasions over a three week period and thickness measurements 176 were taken at the same point on the retina, located using the vasculature as a landmark.

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178 In addition to thickness, the organization of the photoreceptor mosaic was assessed. The ordering 179 present in a photoreceptor array can be analysed by several methods including nearest-neighbour 180 method <sup>28</sup>, neuron density <sup>28</sup> and Voronoi tessellation <sup>29</sup> to provide a statistical assessment of the 181 regularity in a receptor array. Metrics such as nearest neighbour and neuron density require 182 information regarding image magnification and size of the region of interest. Since optical models of 183 the fish eye do not exist, and a fish eye size varies greatly with age, these metrics were not 184 applicable to our research. Therefore, Voronoi domain analysis was selected to better describe the 185 orderliness with which the receptor array tiles the retina <sup>30, 31</sup>. In this kind of tiling, all points in 186 the plane are partitioned into Voronoi domains which represent all those points in the plane that 187 are closer to a particular cell than to any other cell. The ideal sampling of a mosaic is produced 188 by hexagonally, 6-sided, arranged photoreceptor cells. In this study, photoreceptor cells were 189 manually identified using ImageJ from en face SD-OCT scans. Cell coordinates were analysed using custom MATLAB software (MATLAB, MathWorks, Natick, MA) <sup>30, 32</sup>. Percent 6-sided cells and 190 191 distribution of sidedness were assessed.

192

193 <u>Results</u>

194

195 Imaging of the optic nerve, retinal lamination and vasculature

196 Using our SD-OCT equipment set up, it was possible to obtain detailed cross-sectional views of the 197 adult zebrafish retina with clearly delineated layers - ganglion cell layer (GCL), inner plexiform layer 198 (IPL), inner nuclear layer (INL), outer plexiform layer (OPL), outer nuclear layer (ONL), photoreceptor 199 layer, retinal pigment epithelium (RPE) - and optic nerve (Figure 2). The optic nerve, which can be 200 used as a retinal landmark to orient the scans, appeared as a smudge-like interruption to the linear 201 arrangement of the retinal layers (Figure 2A). In the en face projection, the inner retinal blood 202 vessels could be visualized travelling outwards from the optic nerve region and branching towards 203 the peripheral retina (Figure 2B).

204

205 SD-OCT versus histology for assessment of retinal structure

By comparing SD-OCT retinal B-scans with retinal histology sections, we have shown that live imaging can provide an accurate representation of the structural organization of the zebrafish retina, with a level of detail akin to that obtained by histological methods (Figure 3). Optimising the level of water over the cornea of the eye being imaged produced greater definition in the retinal sublayers,
particularly the outer layers in which the external limiting membrane (ELM) and photoreceptor outer
segments (OS) could be distinguished.

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213 Measurement of individual retinal sublayers (GCL, IPL) or grouped sublayers (INL-ONL, GCL-ELM) was 214 carried out on both SD-OCT and histology images of wild-type retinas at 3, 6 or 12 months of age 215 (Table 1). Mean thickness values obtained from the two methods were similar for each timepoint. 216 The mean GCL-ELM thicknesses taken from SD-OCT and histology images were 129  $\mu$ m (±8.0) and 217 129  $\mu$ m (±8.8) respectively. Between the 3, 6 and 12 month time points, both data sets showed that 218 retinal layer thicknesses varied minimally, and GCL-ELM measurements showed a modest overall 219 increase from 3 to 12 months (4  $\mu$ m and 12  $\mu$ m on SD-OCT and histology images respectively). Using 220 paired t-tests to compare all SD-OCT and histology data for each retinal measurement, there was no 221 significant difference between most layers (GCL, p=0.2; INL-ONL, p=0.074; GCL-ELM, p=0.28). 222 Interestingly, the IPL thickness was significantly different between the two forms of assessment 223 (p=0.0318).

224

Bland Altman analysis was used to calculate the mean differences between SD-OCT and histology measurements and to estimate the confidence interval (CI) within which 95% of the differences lie. For GCL, IPL, INL-ONL and GCL-ELM thickness measurements, the mean differences between SD-OCT and histology were 2  $\mu$ m (95% CI, -18 – 22  $\mu$ m), -4  $\mu$ m (95% CI, -20 – 13  $\mu$ m), 3  $\mu$ m (95% CI, -18 – 20  $\mu$ m) and 2  $\mu$ m (95% CI, -30 – 33  $\mu$ m) respectively. All time point data were analysed together as separate Bland Altman plots did not reveal age-related biases between the measurements.

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### 232 Longitudinal assessment of retinal thickness

To examine the ability of SD-OCT to obtain reproducible longitudinal data within the same animal,
repeat imaging and retinal thickness measurements at the same approximate point, using

vasculature as a landmark, were carried out on three wild-type zebrafish over the course of three weeks (Figure 4). The point of measurement was located each time using inner retinal vessel branching patterns on the *en face* projection as a reference. Using this method, we found that thickness values obtained from separate imaging sessions were relatively consistent within individual fish. The mean measurements (± standard deviation) for the GCL, IPL and GCL-IPL were respectively as follows: 37  $\mu$ m (±2.6), 26  $\mu$ m (±2.3) and 107  $\mu$ m (±13.3) at week 0, and 38  $\mu$ m (±2.6), 27  $\mu$ m (±3.8) and 108  $\mu$ m (±14.5) at week 3.

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## 243 Examination of the cone photoreceptor mosaic

244 The highly ordered spatial organization of photoreceptors is likely essential to maximise vision. The 245 adult zebrafish retina has four cone photoreceptor subtypes, differing in their spectral sensitivity, which are arranged into a precise, reiterated pattern (mosaic) with tiering <sup>33, 34</sup>. The well stereotyped 246 247 mosaic organization consists of alternating rows of red/green- sensitive double cones and ultraviolet 248 (UV)- and blue- sensitive single cones (Figure 5). Using SD-OCT imaging, we demonstrated that by 249 analysing specific regions within the photoreceptor layer on the B-scan retinal cross-section (Figure 250 5A & 5C), it was possible to visualize the innermost and outermost cone tiers, the presumptive UV 251 and red/green submosaics, on the corresponding en face VIP views (Figure 5B, D). By merging these 252 cone layers, a detailed image of the precisely organized adult zebrafish cone mosaic was constructed 253 (Figure 5F).

254

Voronoi domain analysis was used to assess the regularity of the wild-type UV cone mosaic at 3, 6 and 12 months of age (Figure 6). Only regions of the peripheral retina containing the adult mosaic growth were analysed and the disorganized larval remnant was excluded. Voronoi diagrams, in which a Voronoi polygon is associated with each cone photoreceptor and color-coded according to the number of sides it possesses, were derived from the cone mosaic images (Figure 6B). The zebrafish retinas at each timepoint were dominated by regions of green-coded 6-sided polygons, 261 indicating a regular triangular lattice. The other colors marked points of disruption in the 262 hexagonally packed mosaics. The presence of more numerous, smaller domains highlighted a clear 263 increase in cone cell number in the 12 month mosaic compared to that of 3 and 6 months. 264 Assessment of the distribution of sidedness in the Voronoi domains for each timepoint (n=3) 265 demonstrates that there was minimal variation of the cone mosaic arrangement, maintaining its 266 regularity with age (Figure 6C). Overall, the number of sides was found to range between 4 and 9. At 267 3, 6 and 12 months, the mean percentage of cones with 6 neighbours were 75.4%, 69.7% and 69.7% 268 respectively, indicative of mosaics with mostly regular hexagonal cone packing. Greater 269 disorganization in the pattern is associated with lower percentages of 6-sided domains. The 270 reduction in regularity with age is predicted by normal loss of photoreceptors with age.

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## 272 <u>Discussion</u>

273 SD-OCT is an important imaging modality used extensively in the clinical practice of ophthalmology. 274 It has also become increasingly popular in the laboratory setting, as a non-invasive, cost-effective 275 alternative to ex vivo assessment of animal retinal structure. By reducing the number of animals 276 necessary for experimentation, the use of such live imaging is in keeping with the guiding principles 277 for ethical use of animals in research, known as the 'three Rs' (replacement, refinement and 278 reduction). Several studies have already applied the technique to zebrafish, and have shown its ability to form accurate representations of their ocular tissues <sup>21-26</sup>. Here, we have provided a 279 280 practical and reproducible protocol for capturing high quality SD-OCT images of various retinal 281 features, including the optic nerve, retinal vasculature and photoreceptor mosaic, in wild-type adult 282 zebrafish.

283

Using a commercially available SD-OCT device, we have demonstrated how *in vivo* imaging can be used to qualitatively and quantitatively assess the cross-sectional views of the zebrafish retinal lamination, providing a level of detail comparable to that of plastic resin-embedded histology 287 sections (Figure 3 and Table 1). Retinal layer thickness values obtained from histology followed the 288 same overall trend of mild growth with age found by SD-OCT measurements between 3 and 12 289 months. Previously, it was shown that the retinal radius and other eye measurements continue to 290 increase throughout the zebrafish lifetime <sup>24</sup>. Comparative analysis between SD-OCT and histology 291 data showed that the two techniques produced similar results for GCL, INL-ONL and GCL-ELM 292 thicknesses and mean differences were relatively small, ranging from -4 to 3 µm. Prior studies in 293 rodents and zebrafish have found good correlations between in vivo and ex vivo retinal measurements <sup>18, 22, 35</sup>, although some inconsistency between the two methods from normal, 294 295 damaged and regenerative zebrafish retinas were reported <sup>22</sup>. Many factors may contribute to the 296 discrepancy, including artefacts arising from histology procedures, such as tissue swelling, shrinking and tearing <sup>35-37</sup>. The retinal sublayers appear to be differentially affected by these processes, with 297 298 IPL thickness being significantly different on SD-OCT and histology images, which may be related to 299 the fact that it is a relatively large synaptic layer while the other measured layers (GCL, INL-ONL) 300 have greater cell body content. Despite the disparity in IPL thicknesses, GCL-ELM measurements 301 were still very similar between the in vivo and ex vivo data. It is likely that SD-OCT imaging provides a 302 more accurate depiction of the live zebrafish retina, due to its ability to acquire two- and three-303 dimensional information in a live, unprocessed state. However, although SD-OCT provides a rapid 304 and repeatable method for screening ocular phenotypes, the equipment is costly and it cannot 305 replace histological techniques for imaging the morphology of the various retinal cells and how they 306 interact, particularly the outer segments and RPE. In our study, we have used ex vivo data for 307 comparison with SD-OCT retinal thickness measurements using the DIVER software, which corrects 308 for dispersion and optical effects of the system. But for most accuracy it would be necessary to 309 measure the axial length of the eye to correct for optical magnification in vivo. Such calibration is 310 not required for assessing photoreceptor organization.

311

312 The adult zebrafish cone photoreceptor mosaic has a highly ordered spatial organization, consisting of four cone spectral subtypes packed into a reiterative lattice arrangement <sup>33</sup>. Examination of this 313 314 retinal feature both ex vivo and in vivo has typically involved the use of fluorescent labelling and 315 transgenic lines <sup>33, 38, 39</sup>. In our study, we have used SD-OCT to capture high definition *en face* 316 projections of the cone mosaic and demonstrated its ability to distinguish specific cone sublayers 317 (Figure 5). Visualization of the blue cone and rod photoreceptors was difficult using our equipment and higher resolution systems will likely improve the ability to distinguish these cells <sup>26</sup>. Using 318 319 Voronoi analysis, it was possible to quantitatively assess the regularity of the wild-type UV cone 320 mosaic at different ages from SD-OCT images (Figure 6). The percentage of six-sided Voronoi 321 domains was around 70% at all three timepoints examined, indicative of regular hexagonal cone 322 packing observed in healthy retinas <sup>30</sup>. Overall, our results suggest that using SD-OCT data to perform 323 mosaic analysis could be a feasible and robust method for assessing longitudinal changes in 324 photoreceptor organization in zebrafish disease models compared to wild-type.

325

Zebrafish continue to grow in popularity as models of human degenerative retinal disorders. Additionally, they offer a relatively inexpensive alternative to high maintenance mammalian models for assessing the safety and efficacy of new drug compounds and treatments. The burgeoning use of zebrafish will place increasing demand on developing rapid and cost-effective *in vivo* means of studying the zebrafish retina. SD-OCT is an excellent tool for non-invasive, longitudinal examination of various aspects of the zebrafish ocular morphology, and the use of this technique is likely to greatly develop over the coming years.

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