

1 **Vedolizumab as a successful treatment of CTLA-4 associated autoimmune**
2 **enterocolitis**

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45

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49 **Capsule summary:**

50 We report a case of a male patient with CTLA-4-deficiency presenting with pure red cell
51 aplasia and severe autoimmune enterocolitis that was successfully treated with the $\alpha_4\beta_7$
52 integrin-blocking monoclonal antibody vedolizumab.

53

54 **Abbreviations:**

55 PID: primary immunodeficiency

56 IBD: inflammatory bowel disease

57 Treg: regulatory T cells

58 CVID: common variable immune deficiency

59 CFSE: carboxyfluorescein succinimidyl ester

60 CTLA-4: cytotoxic T-lymphocyte-associated Protein 4

61 APECED: autoimmune polyendocrinopathy-candidiasis-ectodermal dystrophy

62 PBMC: Peripheral blood mononuclear cells

63 MFI: Mean fluorescent intensity

64 GFP: green fluorescent protein

65

66 **To the editor:**

67 In 2007, a 39 year old Caucasian male presented with chronic, non-infectious diarrhea. The
68 patients prior history was noticeable for adrenal insufficiency diagnosed in 1991.
69 In 2013 his diarrhea worsened, resulting in weight loss of >20 kg and severe dehydration.
70 Prednisolone (1mg/kg of body weight given for several weeks) was entirely ineffective.
71 Macroscopic enterocolitis was seen, corresponding histologically to extensive infiltration
72 with CD3⁺ T cells in cryptal areas (**Figure 1a**). Enterocytes showed enhanced positivity for Ki-
73 67, indicating augmented proliferation (**Figure 1b**). Complete absence of mucus producing
74 goblet cells was observed in colon and small intestine (data not shown). At that time,
75 hypogammaglobulinemia (IgG 4.4g/l, normal: 7-16g/l; IgA 0.53g/l, normal: 0.7- 4g/l) was first
76 noticed, while serum IgM was within normal range. On a CT scan no evidence for malignancy
77 or lymphoproliferation was found, and lung morphology was normal. Intravenous
78 immunoglobulin (IVIG) substitution (0.5g/kg body weight per month, given for 4 months)
79 had no effect on diarrhea and the patient required i.v.-fluids repeatedly.
80 In 2014, the patient developed severe hypo-regenerative anemia. Bone marrow biopsy
81 revealed isolated yet almost complete absence of erythropoietic cells (data not shown), and
82 the diagnosis of pure red cell aplasia was established. Parvovirus was tested negative by PCR.
83 In May 2014, while the patient was still on IVIG treatment, an immunologic work-up was
84 performed (**Table 1**). B cell counts were low (2% of lymphocytes, **Table 1**). Analysis of B cell
85 subpopulations revealed normal relative differentiation into marginal zone-like (IgD⁺CD27⁺,
86 27% of all B cells) and class-switched memory (IgD⁻CD27⁺, 15% of all B cells) subsets. By
87 contrast, the proportion of CD21^{low} B cells was clearly elevated (28% of B cells) –a finding
88 associated with granulomas and splenomegaly in patients with CVID¹. Within the T cell
89 fraction, regulatory T cells (both defined as CD3⁺CD4⁺CD127^{low}CD25^{high} or
90 CD3⁺CD4⁺CD45RA^{neg}FOXP3^{high}, **Figure 2a and 2c, respectively**) were normal or even
91 enhanced in numbers, while the proportions of central- and effector-memory CD4⁺ and CD8⁺
92 T cells were comparable to healthy control (**Figure 2b**). T cell-mediated colitis has recently
93 been described as a prominent feature in patients with heterozygous mutations in CTLA-4, a
94 negative regulator of T cell-mediated immune responses^{2,3}. Colitis is also commonly induced
95 in melanoma patients treated with ipilimumab, an anti-CTLA-4 antibody^{4,5}. The DNA of the
96 patient was analyzed by whole exome sequencing which indeed identified a heterozygous
97 missense mutation in the *CTLA4* gene at cDNA position 257 (c.C257T), resulting in an alanine

98 to valine substitution at position 86 (p.A86V) (a graphic representation of the mutation is
99 shown in **supplemental Figure 1**). The alanine at this position is highly conserved across
100 various species (**Table 2**) and the mutation was predicted to have a deleterious consequence
101 (CADD score 24.2, PolyPhen 1 'probably damaging'). The other rare non-synonymous allelic
102 variants found in PID genes (adapted from⁶) were unlikely to explain the patient's clinical
103 phenotype (**Table 3**). At the protein level, expression of CTLA-4 expression on Treg was low
104 compared to control, both in the absence or following *in vitro* stimulation of Treg (Figure
105 2c+d) with MFI reductions similar to what was published in patients with CTLA-4 deficiency³.
106 To address CTLA-4 function, a previously published transendocytosis assay was performed
107 measuring the CTLA-4 driven capacity to transendocytose a CD80-GFP fusion protein³. CTLA-
108 4 mediated transendocytosis was clearly reduced in patient-derived CD4⁺ T cells (Figure 2e).
109 With the clinical condition of the patient unchanged, at this time, treatment with
110 vedolizumab was started. Vedolizumab is an $\alpha 4\beta 7$ integrin-specific humanized mAb that
111 inhibits binding of this gut homing integrin to mucosal MAdCAM-1, while leaving the binding
112 to the vascular adhesion protein VCAM-1 intact. Vedolizumab has recently been approved
113 for the treatment of IBD refractory to TNF- α blockade⁷.
114 After 3 infusions at standard dose, diarrhea was markedly reduced, and the patient gradually
115 re-gained body weight. Diarrhea had completely resolved three months after start of
116 vedolizumab. Currently, 18 months after initiating vedolizumab, the patient is back at work
117 with no abdominal complaints. In a control endoscopy, normal colonic mucosa was seen.
118 Vedolizumab was well tolerated and no infectious complications occurred. Vedolizumab had
119 no impact on the pure red cell aplasia, and cyclosporine was started seven months after start
120 of vedolizumab treatment at 2x100mg/d, and later reduced to 75mg/d. One and a half
121 month later, hemoglobin raised from 78g/l to 127g/l coinciding with a 20-fold relative
122 increase of reticulocytes.

123
124 The histopathology and the adult-onset of the colitis matches the description reported in
125 other patients with CTLA-4 deficiency^{2,3}. However, pure red cell aplasia, has not been
126 previously linked to CTLA-4 deficiency. Cyclosporine A induces remission in roughly 70% of
127 patients with acquired pure red cell aplasia⁸. We report here for the first time that it also can
128 successfully induce remission in CTLA-4-associated pure red cell aplasia.

129 Adrenalitis resulting in adrenal insufficiency has rarely been described in ipilimumab treated
130 patients while hypophysitis is a much more common side effect, occurring in 10-15% of
131 patients treated with this monoclonal antibody⁵. The most important novelty of this case-
132 study is the reporting of the efficacy of vedolizumab in the treatment of CTLA-4-associated
133 colitis. Published evidence shows that vedolizumab has a good safety profile⁹. No cases of
134 progressive multifocal leucoencephalopathy, a major side-effect of other integrin-blocking
135 antibodies such as natalizumab, have been reported in randomized clinical trials⁹. TNF- α
136 blocking antibodies have been successfully used to treat anti-ipilimumab-induced colitis in
137 melanoma patients¹⁰. However, avoiding TNF- α blockade in highly autoimmunity-prone PID
138 patients –such as individuals with CTLA-4 deficiency– is desirable, since blocking TNF- α *per se*
139 can promote autoimmunity¹¹. Other immunosuppressive drugs may worsen
140 hypogammaglobulinemia associated with CTLA-4 deficiency and, notably, high-dose
141 prednisolone was ineffective in our patient. Steroid refractory colitis has also previously
142 been described in CTLA-4 deficiency², underlining the need for effective therapies in this
143 setting.

144 In summary, we describe a patient with a heterozygous *CTLA4* mutation, associated with low
145 CTLA-4 expression and function of Treg, clinically associated with adrenal insufficiency, pure
146 red cell aplasia and severe T cell mediated enterocolitis. The latter was successfully treated
147 with vedolizumab, without apparent side effects. The clinical usefulness of vedolizumab
148 should be assessed further in enterocolitis associated with genetic or drug-induced
149 functional CTLA-4 deficiency.

150

151 **Figure legends:**

152 **Figure 1: T cell mediated enterocolitis**

153 Histology of CTLA-4 associated enterocolitis. (a) T cell mediated colitis:
154 immunohistochemistry (brown, arrows) for CD3 (T cells). (b) Ki-67 immuno-staining
155 (detected by MIB-1 antibody) shows enlarged proliferative zones of enterocytes, even in the
156 intercryptal epithelium (arrows).

157 **Figure 2: Immunologic alterations in CTLA-4 deficiency**

158 (a+b) Flow-cytometry for CD25^{hi}CD127^{lo} Treg (a) and for naïve (CD27⁺CD45RO^{neg}) central
159 memory (CD27⁺CD45RO⁺) and effector memory (CD27⁻) CD4⁺ and CD8⁺ T cells (b).

160 (c+d) CTLA-4 expression was measured by flow-cytometry on conventional CD4⁺ T cells or
161 FOXP3⁺ Treg, in the absence (c) or following *in vitro* activation (d). Mean fluorescent intensity
162 (MFI) of CTLA-4 fluorescence is indicated in red (in brackets the fold increase of CTLA-4
163 expression in FOXP3⁺ Treg compared to naive FOXP3 negative CD4⁺ T cells). The MFI of
164 FOXP3 fluorescence is indicated in blue. CTLA-4 MFI of the patient was approximately 60%
165 compared to the CTLA-4 MFI measured in the control sample.

166 (e) CTLA-4 function was measured by using a transendocytosis assay in which CTLA-4
167 mediates transendocytosis of CD80 from a green fluorescent protein (GFP) competent cell
168 line. GFP positivity as a marker of acquisition of CD80 is measured by flow-cytometry in
169 CD4⁺, CD45RO⁺, FoxP3⁺ regulatory T-cells. Patients carrying the C35* or R70W *CTLA-4* alleles
170 have previously been published³. The lower panel, designated "+Anti-CTLA-4" indicates
171 control experiments where ipilimumab (a CTLA-4 blocking antibody) was co-incubated to
172 block CTLA-4 mediated transendocytosis.

173

174 **Supplementary Figure 1:**

175 3D reconstruction of wild-type and A86V variant CTLA-4.

176 **Table 1:**

177 Immunologic parameters of the patient and lab reference values.

178 **Table 2:**

179 The alanine at position 86 of human CTLA-4 is highly conserved.

180 **Table 3:**

181 Next generation sequencing results from the patient derived DNA.

182

183 **Keywords:**

184 CTLA-4; regulatory T cell; Treg; autoimmune colitis; vedolizumab; $\alpha 4\beta 7$ integrin; pure red
185 cell aplasia; cyclosporine A, autoimmune adrenalitis; hypogammaglobulinemia

186

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248 **Materials and methods:**

249 *Ethical approval:*

250 Following informed consent, the patient was included into a prospective cohort of patients
251 with primary immunodeficiency/immune-dysregulation that was ethically approved (EKNZ
252 2015-187) according to Swiss law.

253 *Immunohistology:*

254 Immunohistochemistry was performed using the avidin-biotin-peroxidase-complex (ABC)
255 method. The antibodies employed were directed against CD3 (clone: PS1, Leica) and Ki67
256 (Clone: SP6, Cell Marque).

257 *Immunophenotyping and flow-cytometry based proliferation assays:*

258 The following antibodies from (Biolegend) were used for surface staining of specific
259 lymphocyte subsets: CD27 (clone O323), CD25 (clone BC96), CD45RO (clone: UCHL1), CD4
260 (Clone: A161A1), CD3 (clone: UCHT1), CD8 (Clone: SK1), CD127 (clone: A019D5), CD19 (clone:
261 HIB19), CTLA-4 (clone: L3D10).

262 *Next generation sequencing:*

263 Genetic sequencing was performed following informed consent. DNA was extracted from
264 cultured T cell blasts and sheared, followed by pull-down of coding sequences, adapter
265 ligation and massively parallel sequencing on Illumina HiSeq 2000 appliances at Functional
266 Genomics Center Zurich. Read lengths of 2x100 bp were produced aiming for average target
267 sequence coverage > 60x and generating > 20 reads for 90% of the Gencode exome. The raw
268 sequence reads were quality controlled, aligned to the reference sequence, genotypes were
269 called with Genome Analysis Toolkit (McKenna, Hanna et al. 2010, Genome Res) and variants
270 annotated with the position of nucleotide change with respect of coding genes. Results were
271 filtered according to a list of known PID genes (Picard, Al-Herz et al. 2015, J Clin Immunol).
272 Alleles giving rise to non-synonymous amino acid substitutions, aberrant splicing or protein
273 truncation events were filtered for functional impact based on PolyPhen2 (Adzhubei,

274 Schmidt et al. 2010, Nat Methods; Adzhubei, Jordan et al. 2013, Curr Protoc Hum Genet) and
275 CADD (Kircher, Witten et al. 2014, Nat Genet) scores, on a minor allele frequency (MAF) of <
276 0.001 in public databases (1000 Genomes (Abecasis, Altshuler et al. 2010, Nature), NHLBI GO
277 Exome Sequencing Project (Exome Variant Server, 2015), Exome Aggregation Consortium
278 ExAC (Exome Aggregation Consortium (ExAC), 2015)) and our in-house database of >2'700
279 exomes.

280 *Analysis of CTLA-4 expression by flow-cytometry*

281 PBMCs were isolated from fresh blood of control or patient by density centrifugation. CD4⁺ T
282 cells were purified from PBMCs by negative selection using human CD4⁺ T cell kit (Stemcell).
283 CD4⁺ T cells were cultured in the absence or presence of CD3/CD28 Beads (Invitrogen) in
284 RPMI with 10% FBS culture media for 16 hours. Cells were then surface stained using anti-
285 CD4 Alexa Fluor 700 (clone: RPA-T4, BD) and anti-CD45RA PerCP-Cy5.5 (clone: HI100,
286 eBioscience) at 4°C for 30 mins. For intracellular staining, cells were then washed,
287 fixed/ permeabilised using FoxP3 staining buffer (eBioscience) and stained by anti-CTLA-4 PE
288 (clone: BN13, BD) and anti-FoxP3 APC (clone: 236A-E7, eBioscience). Cells were washed and
289 analysed by BD FACS LSRII and FlowJo software.

290 *Transendocytosis assay*

291 The Transendocytosis assay was performed as previously published (Qureshi, O. S., et al.
292 (2011). "Trans-Endocytosis of CD80 and CD86: A Molecular Basis for the Cell-Extrinsic
293 Function of CTLA-4." *Science* **332**(6029): 600-603.). Briefly, CD4⁺ T-cells were isolated from
294 frozen PBMCs using CD4 T-cell isolation Kit (*Miltenyi Biotec GmbH*) and cultured 1:1 with
295 CD80-GFP expressing CHO cells or control CHO cells upon stimulation with CD3/CD28
296 dynabeads (*ThermoFisher*) for 16 hours at 37°C in RPMI containing 10%FCS and 1%PS.
297 Stimulation was used in a ratio of 1:2 beads per T-cell. Bafilomycin was added to the co-
298 culture (20nM). Anti-CTLA4 was used in 2.5µg per well as indicated. T-cells were labeled
299 with anti-human CD4 PerCP-Cy5.5, CD45RO PE-Cy7, FoxP3 PE (*eBioscience*) and CTLA-4 BV

300 421 (*BD Bioscience*). Intracellular staining was performed after fixation and permeabilization
301 using FoxP3 Fix/Perm Set (*eBioscience*).

302 *CTLA-4 NMR structure*

303 The NMR solution structure (PDB code 1AH1) {Basis for the ref: Solution structure of human
304 CTLA-4 and delineation of a CD80/CD86 binding site conserved in CD28 Nature structural
305 biology Violume 4 number 7, 1997} was used to construct the molecular representations,
306 using the software VMD version 1.9.1, developed by the NIH center for biomolecular
307 modelling and bioinformatics {ref: Humphrey, W., Dalke, A. and Schulten, K., "VMD - Visual
308 Molecular Dynamics", J. Molec. Graphics, 1996, vol. 14, pp. 33-38}. The mutation was
309 performed using the VMD plug in MUTATOR.

310

311

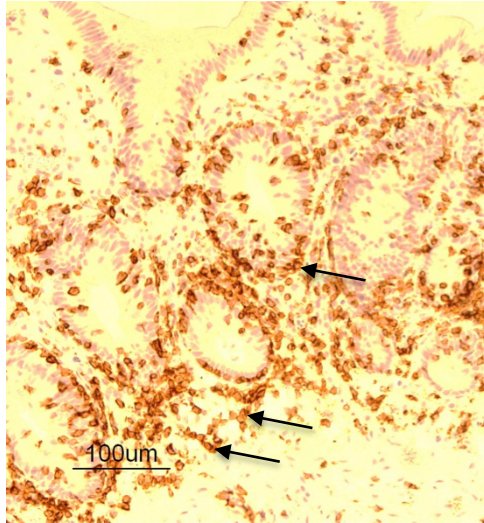
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316 Heinz Läubli.

317

Figure 1

a



b



Figure 2

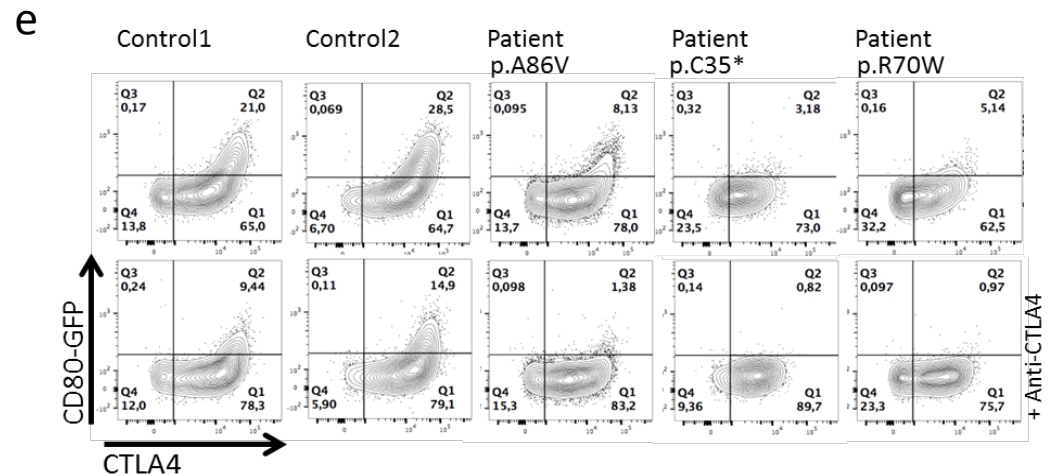
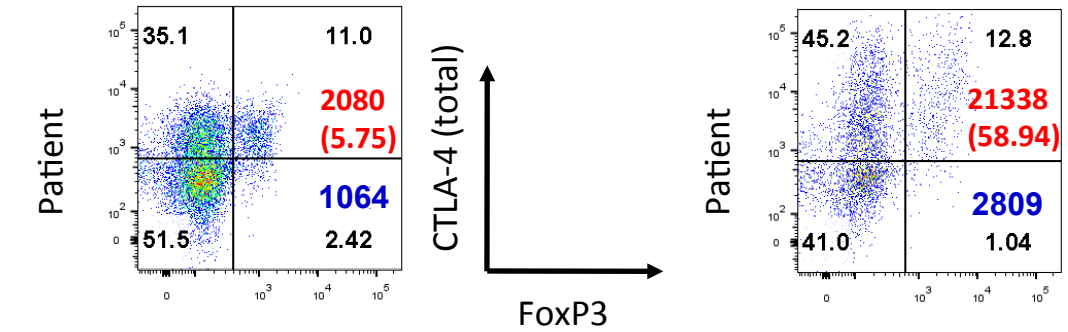
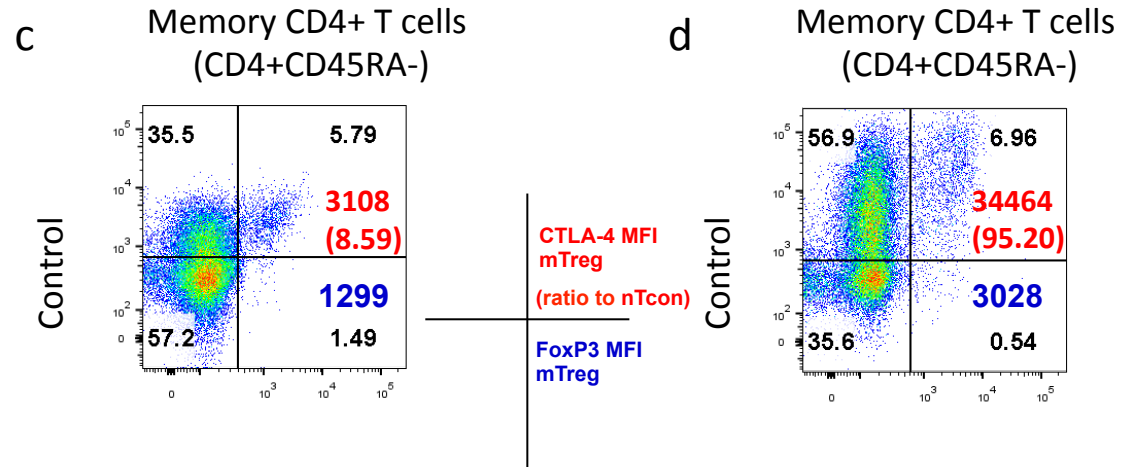
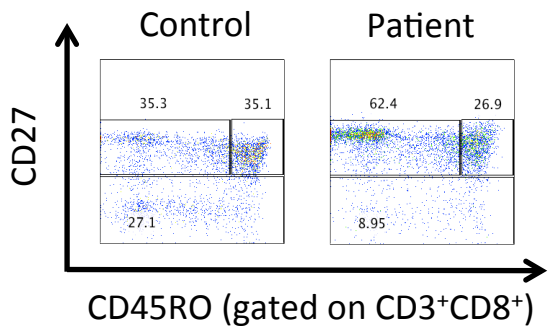
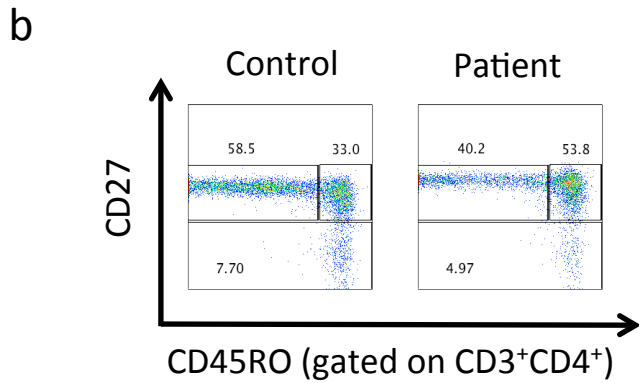
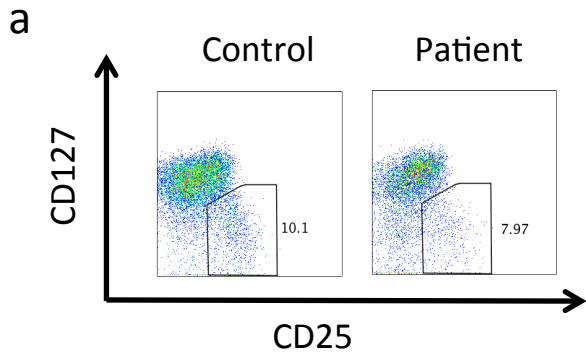


Table 1:

| Cell Population | Patient value | Normal values |
|--|--|--|
| RBC [cells/ μ l] | 4.34 G/l | 4.5-6.3 G/l |
| WBC [cells/ml] | 8.09 G/l | 3.5-10 G/l |
| ANC [cells/ml] | 5.8 G/l | 1.3-6.7 G/l |
| Platelet count [cells/ml] | 354 G/l | 150-450 G/l |
| Lymphocytes absolute [cells/ml] | 1.254 G/l | 0.9-3.3 G/l |
| Lymphocyte subpopulations | | |
| CD3 ⁺ [cells/ μ l] and [%] | 1331/ μ l (86%) | 742-2750/ μ l (55-86%) |
| CD3 ⁺ CD4 ⁺ T cells [cells/ μ l] and [%] | 565/ μ l (36%) | 404-1612/ μ l (33-58%) |
| CD3 ⁺ CD8 ⁺ T cells [cells/ μ l] and [%] | 728/ μ l (46%) | 220-1129/ μ l (13-39%) |
| CD19 ⁺ [cells/ μ l] and [%] | 24/μl (2%) | 80-616/μl (5-22%) |
| CD56 ⁺ CD16 ⁺ [cells/ μ l] and [%] | 190/ μ l (12%) | 84-724/ μ l (5-26%) |
| B cell subpopulation | | |
| IgD ⁺ CD27 ⁻ [cells/ μ l] and [%] out of CD19 ⁺ | 7/ μ l (27.2% of CD19) | 66-228/ μ l (25.1-92.4%) |
| IgD ⁻ CD27 ⁺ [cells/ μ l] and [%] out of CD19 ⁺ | 4/ μ l (15.2 % of CD19) | 8-102/ μ l (2.4-32.6%) |
| CD21 ^{low} CD38 ⁻ B cells [cells/ μ l] and [%] | 7/μl (28.6% of CD19) | 1-12/μl (0.5-4.7%) |

Table 2:

| | | | |
|---------------------------------|----|--------------------------------|-----|
| Homo sapiens (Human) | 64 | KATEVRVTVLRQADSQVTEVCAATYMMGNE | 108 |
| Pan troglodytes (Chimpanzee) | 64 | KATEVRVTVLRQADSQVTEVCAATYMMGNE | 108 |
| Macaca mulatta (Rhesus macaque) | 64 | KATEVRVTVLRQADSQVTEVCAATYMMGNE | 108 |
| Canis lupus familiaris (Dog) | 65 | AA-EVRVTVLRQAGSQMTEVCAATYTV | 108 |
| Bos taurus (Bovine) | 62 | KADEV | 106 |
| Mus musculus (Mouse) | 64 | NTDEV | 106 |
| Rattus norvegicus (Rat) | 64 | NTDEV | 108 |
| Gallus gallus (Chicken) | 47 | NAKEIRVTLLKQTGDKFTEICASTYTTE | 91 |
| Xenopus tropicalis (Frog) | 46 | KVEEMRFRLLRKMG | 90 |

Highly Conserved
Aminoacid

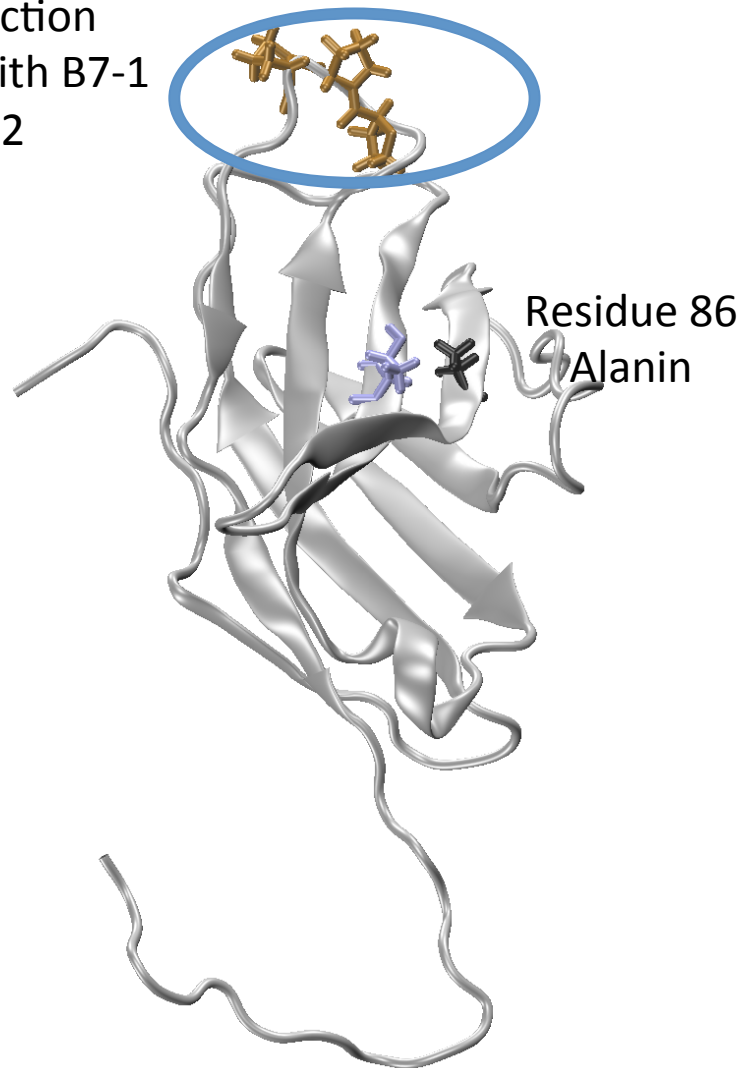
Table 3:

| <i>Chr.</i> | <i>Basepair</i> | <i>Ref.</i> | <i>Alt.</i> | <i>Zygotity</i> | <i>Gene symbol</i> | <i>Nucleotide</i> | <i>Aminoacid</i> | <i>rsID</i> | <i>ESP</i> | <i>ExAC</i> | <i>1KG</i> | <i>CADD- PHRED</i> | <i>PolyPhen1</i> | <i>PolyPhen2</i> | <i>SIFT-Score</i> |
|-------------|-----------------|-------------|-------------|-----------------|--------------------|-------------------|------------------|-------------|------------|-------------|------------|------------------------|------------------|------------------|-------------------|
| 2 | 204735456 | C | T | HET | <i>CTLA4</i> | c.C257T | p.A86V | RS376038796 | 0.0001 | 0.0000 | 0.0002 | 24.2 | 1 | 0.978 | 0.22 |
| 2 | 47168856 | C | G | HET | <i>TTC7A</i> | c.C176G | p.P59R | RS201805434 | NA | 0.0045 | 0.0010 | NA | 0.028 | 0.008 | 0.5 |
| 6 | 33281504 | C | A | HET | <i>TAPBP</i> | c.G175T | p.D59Y | RS45583737 | 0.0055 | 0.0051 | 0.0034 | 26.2 | 1 | 0.996 | 0 |
| 6 | 109796653 | G | A | HET | <i>ZBTB24</i> | c.C1237T | p.R413C | RS149690823 | 0.0005 | 0.0003 | 0.0002 | 35 | 0.999 | 0.828 | 0 |
| 9 | 311975 | G | A | HET | <i>DOCK8</i> | c.G346A | p.V116M | RS143461644 | 0.0009 | 0.0009 | 0.0002 | 19.64 | 0.994 | 0.763 | 0.12 |

Supplemental Figure 1:

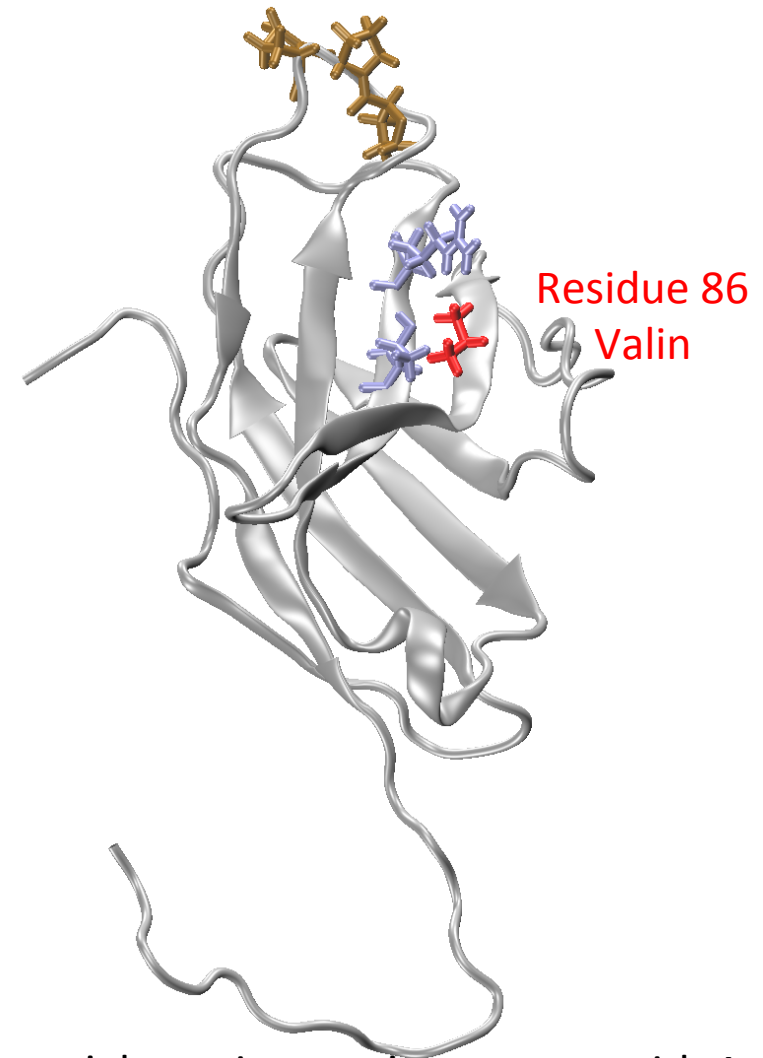
Wild type human CTLA-4

Interaction site with B7-1 or B7-2



Side chain of Ala 86 interacts with Thr 35. Interaction defined as 2.5 Å.

CTLA-4 mutation p.A86V



Potential new interaction partner with Arg 33 (ice blue). Possible steric clash with Thr 35 (ice blue).