

# The mechanics of a saturated silty loess with a transitional mode

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Problems of identifying unique normal compression and critical state lines have been found in gap-graded soils and also some silty soils, a mode of behaviour sometimes known as ‘transitional’. Loess is a typical silty soil that covers up to 631 000 km<sup>2</sup> in China and is of great significance as a result of the large number of engineering projects under construction. To examine the potential transitional behaviour in loess, an extensive series of carefully controlled oedometer tests and triaxial tests on intact and reconstituted specimens were carried out. Loess specimens with a wide range of initial void ratios were reconstituted using different preparation methods. Intact specimens were taken from two depths, but their natural variabilities were not significant and could be neglected, apart from their difference in the initial void ratios. The results have highlighted the features of transitional behaviour in this loess, not only in reconstituted but also intact states, and in particular that there is an influence of the initial density of this loess on its normal compression and critical state lines. Two factors,  $m$  and  $P$ , as proposed by earlier research, were used to quantify the degree of transitional behaviour. These indicate that this silty loess has a significant degree of transitional behaviour. The intact specimens possibly have an even more pronounced transitional degree than the reconstituted ones, although this is difficult to confirm because of the limited range of initial specific volumes of the intact specimens. The effects of structure on the mechanics of the intact silty loess have also been identified by comparing the behaviour of the intact and reconstituted soils, and the paper discusses the difficulties of doing this for a soil without a unique intrinsic behaviour.

**KEYWORDS:** compressibility; fabric/structure of soils; laboratory tests; shear strength

## INTRODUCTION

A transitional type of behaviour has been recognised for some soils (Martins *et al.*, 2001; Ferreira & Bica, 2006; Nocilla *et al.*, 2006; Altuhafi *et al.*, 2010; Altuhafi & Coop, 2011; Shipton & Coop, 2012), characterised by non-unique normal compression and critical state lines (CSLs), the locations of which for a given soil are highly dependent on initial density. This is not consistent with critical state soil mechanics. Although some examples of transitional behaviour have been reported, typically for soils of intermediate or mixed grading, most soils of intermediate gradings can be described perfectly well within a critical state framework (e.g. Carrera *et al.*, 2011; Duong *et al.*, 2013; Vilhar *et al.*, 2013). But these cases do indicate the increasing evidence that there are many soils for which there is a ‘transitional’ mode of behaviour.

Examples have been identified where a transitional mode has been seen in some silty soils. Martins *et al.* (2001) and Ferreira & Bica (2006) found that a gap-graded residual soil had transitional behaviour in its remoulded state. Similarly, this behaviour has been found in reconstituted silty sediments of the Po river (Nocilla *et al.*, 2006) and in intact samples (Nocilla & Coop, 2008). Recently, the Quaternary silty sediments of the lagoon of Venice have also been identified to exhibit a transitional mode for both intact and reconstituted specimens (Ponzoni *et al.*, 2014). Non-convergence

of compression and shearing data to unique normal compression and CSLs within the strains reached in triaxial or oedometer tests can only be the result of a robust fabric, similarly to what has been found in many natural soils, where offset normal compression and CSLs are often proposed for the intact and reconstituted soils (Baudet & Stallebrass, 2004). However, identifying predictors for which silty or gap-graded soils would give transitional behaviour has also been problematic (Shipton & Coop, 2012).

Loess is a typical silt-sized soil, with a silt fraction (0.002–0.075 mm) between 75 and 100%, depending on the geological background and depositing paleoclimate. Hence recent research on transitional silty soils raises the question of whether some of the Aeolian loess sediments might have a transitional mode of mechanics. A loess from the UK was reported to exhibit a transitional mode (Lee, 2004), but this preliminary work was limited to oedometer compression and the degree of the transitional behaviour was small. Given the wide distribution of loess in China, it is necessary to re-examine the behaviour of the siltier loess soils in this context. A coarser silty loess was selected for the study. This belongs to the Malan loess formed in the late Pleistocene, which is frequently encountered in NW China.

## MATERIALS AND METHODS

The soils were recovered from a loess platform around Lanzhou city, Gansu Province. The block samples were carefully trimmed by hand and sealed using layers of cling-film (plastic wrap) and wax. To extend the range of initial specific volumes for the intact loess, the loess specimens were retrieved at both 5 and 10 m depths. Fig. 1 presents the particle size distributions of the loess at the two depths, showing that there are no significant differences. The silt-sized fines comprise about 88.4% of the soils. The plastic and liquid limits of the soils tested are 17.8% and 26.4%,

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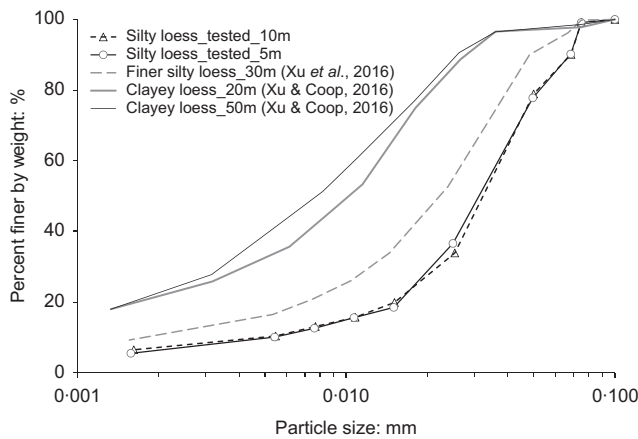


Fig. 1. Particle size distributions of loess samples

confirming the low plasticity that arises from its predominantly silty grading. The maximum dry density and optimum water content determined through the standard Proctor compaction were  $1.7 \text{ g/cm}^3$  and 16%.

Tables 1 and 2 show the mineralogies and chemical compositions of the soils, determined by X-ray diffraction (XRD) and X-ray fluorescence (XRF). The predominant minerals for the soil fraction are quartz, albite and calcite, as well as clay minerals. Again, no significant differences are found between the two depths. The specific gravities at the two depths were determined to be practically identical at 2.694 and 2.696. The intact specimen at 5 m deep has the higher void ratio of about 1.0, while that for the 10 m deep loess is about 0.93. Fig. 2 shows the typical microstructure of the 10 m deep intact specimens from scanning electron microscopy (SEM) images of a broken surface. Larger voids occur between the skeleton silty particles and aggregated particles.

For the oedometer tests, the intact samples were trimmed directly into the 50 mm confining ring on a hand lathe, applying some downward pressure on the ring while trimming slightly ahead of the cutting edge. The diameters of the reconstituted samples for the oedometer tests were 50 mm for the conventional fixed ring apparatus and 30 mm for a floating ring oedometer that was used to reach higher stress levels. Both the intact and reconstituted specimens were saturated in a water bath. Some denser reconstituted specimens were placed under water in a vacuum chamber after immersion to improve saturation.

For this silty soil three methods of reconstitution were used: both of the methods more conventionally used for sands and the method for clays. As shown in Table 3, most of the reconstituted oedometer samples were created by the wet compaction method, taking care to use sufficient compaction to avoid any macro-voids remaining. A range of initial specific volumes was required for the reconstituted specimens, and for the compacted samples this was achieved by varying the degree of tamping used in the compaction. Dry compaction and slurry methods were also adopted in the study, although for this silty soil it was found that neither was able to create a wide range of initial specific volumes, which is necessary to determine if there is transitional behaviour. Slurries were created at 1.0, 1.25 and 1.5 LL (liquid limit) but they were not stable when agitation was ceased and so even under the smallest vertical load in the oedometer they stabilised at much lower specific volumes. The loosest 'dry compaction' samples were in fact created with no compaction at all and the fully dried soil was freely deposited into a split

mode. The denser samples were created by some effort of compaction.

The intact triaxial specimens were carefully trimmed to the required dimensions of 38 mm dia. and 76 mm long. After taking into account that the soils from the two depths have very similar properties, the reconstituted specimens for both the triaxial and oedometer tests were made from the trimmings of the 10 m intact samples only. For the triaxials, the specimens were saturated by using a back pressure, gently flushing water through them while they were at the effective stresses used for saturation, until  $B$  values of at least 95% were achieved. The details of the triaxial tests are presented in Tables 4 and 5.

Three methods as listed in equations (1)–(3) below were used for the calculation of the initial specific volumes ( $v = 1 + e$ ).

$$v_i = \frac{G_s \gamma_w (1 + w_i)}{\gamma_i} \quad (1)$$

$$v_i = \frac{G_s \gamma_w}{\gamma_{di}} \quad (2)$$

$$v_i = \frac{w_f G_s + 1}{1 - \varepsilon_v} \quad (3)$$

where  $G_s$  is the specific gravity of the solids;  $\gamma_w$  is the unit weight of water;  $w_i$  and  $w_f$  are the initial and final water contents;  $\gamma_i$  is the initial bulk unit weight;  $\gamma_{di}$  is the initial dry unit weight; and  $\varepsilon_v$  is the overall volumetric strain for the test. Each of these methods uses different measurements made during the tests.

Great care was taken with the measurements. For some loose triaxial specimens where there was some collapse during saturation (typically 3–7%), the initial volume adopted was measured by dismantling the triaxial cell chamber after saturation, using an equivalent negative pore pressure to fix the specimen while new dimensions were taken. For the calculation of  $\gamma_{di}$ , an average of the dry weight of the solids at the start of the test and that measured at the end was used. To avoid excessive absorption of water from the porous stones affecting the final water content, the oedometer tests were unloaded to a low stress prior to removing the specimen from the apparatus. For the reconstituted specimens an accuracy of initial specific volume less than about  $\pm 0.02$  is estimated. This accuracy is based on the maximum discrepancy between any individual method and the mean of the three. The accuracy for the intact specimens is better than  $\pm 0.01$ .

## TEST RESULTS

### Compression

The oedometer testing programme was designed to establish whether there was any transitional behaviour in the reconstituted and/or intact samples and also to establish the effects of natural structure through a comparison of the two. Determining whether there is transitional behaviour is easier if a large range of initial specific volumes can be achieved, so various techniques of reconstitution were used to maximise this, while also checking whether the method of preparation had any effect on the behaviour.

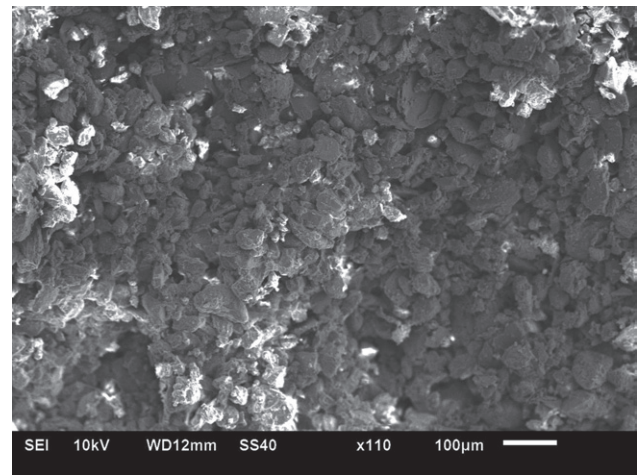
Figure 3 presents the oedometer compression data for the two depths of loess. For the 10 m depth (Fig. 3(a)), two specimens were tested in a high-pressure floating ring oedometer that could reach a vertical stress of about 15 MPa. These data clearly show that, even if the higher specific volume specimens have steeper compression paths,

Table 1. XRD analyses of the materials

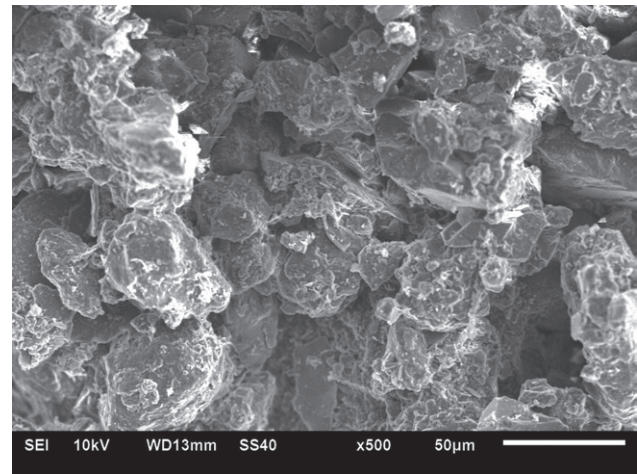
Sample	Non-clay minerals						Clay minerals				
	Quartz: %	Albite: %	Potassium feldspar: %	Calcite: %	Dolomite: %	Hornblende: %	Illite/smectite(I/S): %	Illite: %	Kaolinite: %	Chlorite: %	
Loess_5 m	37.6	18.2	1.5	10.7	5.4	1.7	2.8	14.4	2.9	5.0	
Loess_10 m	37.1	17.4	2.2	13.0	4.9	2.2	3.0	12.9	2.7	4.5	

Table 2. XRF analyses of the materials

Sample	SiO <sub>2</sub> : %	TiO <sub>2</sub> : %	Al <sub>2</sub> O <sub>3</sub> : %	Fe <sub>2</sub> O <sub>3</sub> : %	MnO: %	MgO: %	CaO: %	Na <sub>2</sub> O: %	K <sub>2</sub> O: %	P <sub>2</sub> O <sub>5</sub> : %	FeO: %
Loess_5 m	61.02	0.62	11.18	4.07	0.08	2.45	8.36	1.85	2.26	0.16	1.32
Loess_10 m	60.95	0.62	10.97	3.99	0.08	2.46	8.52	1.84	2.23	0.16	1.52



(a)



(b)

Fig. 2. SEM image of the intact microstructure of the 10 m deep silty loess at (a) lower magnification and (b) higher magnification

the convergence of the paths from different initial specific volumes is not rapid enough for there to exist a unique normal compression line. The different methods of creating reconstituted samples, wet compaction and dry compaction (Fig. 3(a)) and slurries (Fig. 3(b)) all give very similar compression data. The inability of the slurries to retain their high mixing water contents means that after stabilisation under the initial loading increments the initial specific volumes are much lower than those when they were mixed and are similar for each mixing water content.

The oedometer tests on reconstituted loess retrieved from 5 m depth (Fig. 3(c)) are consistent with the observations for the loess at 10 m depth, again confirming a transitional mode in these silty soils. The estimated accuracy of  $\pm 0.02$  is much less than the overall differences in specific volumes at the end of the tests, so this non-convergence is not due to the testing errors.

The compression data for the intact loess at both depths are shown in Fig. 3(d). As discussed above, the loess at 10 m and 5 m depths can be taken as the same soil. They are therefore plotted on the same figure to investigate the influences of the initial in situ specific volumes. The comparison indicates that the compression paths of the intact loess at the ends of the tests are also still not convergent. After taking into account that the accuracy of  $v$  is less than  $\pm 0.01$ , the differences of final specific volumes at the ends of tests demonstrate that the intact loess samples also exhibit a

Table 3. Details of oedometer tests

Test name	Preparation method	Depth: m	Water content: %	Initial dry density: g/cm <sup>3</sup>	Relative compaction: %	Initial specific volume $v_0$	$\sigma'_{vmax}$ : kPa
O1	Undisturbed	5	—	1.344	79	2.009	7145
O2	Undisturbed	5	—	1.373	81	1.966	7145
O3	Undisturbed	10	—	1.369	81	1.972	7146
O4	Undisturbed	10	—	1.416	83	1.907	7146
O5	WC	10	12	1.309	77	2.063	7145
O6	WC	10	12	1.358	80	1.988	19 848
O7	WC	10	12	1.347	79	2.005	7160
O8	WC	10	12	1.331	78	2.028	7146
O9	WC	10	12	1.513	89	1.785	7167
O10	WC	10	12	1.562	92	1.729	7168
O11	WC	10	12	1.718	101	1.572	7167
O12	WC	10	12	1.793	105	1.506	19 848
O13	DC	10	—	1.364	80	1.979	7146
O14	DC	10	—	1.465	86	1.843	7167
O15	DC	10	—	1.533	90	1.761	7145
O16	DC	10	—	1.520	89	1.776	7146
O17	S-1.5	10	40	1.392	82	1.714	7146
O18	S-1.5	10	40	1.505	89	1.737	7162
O19	S-1.25	10	33	1.272	75	1.736	7145
O20	S-1.25	10	33	1.589	93	1.699	7145
O21	S-1	10	26	1.562	92	1.698	7146
O22	S-1	10	26	1.573	93	1.660	7146
O23	WC	5	12	1.316	77	2.052	7146
O24	WC	5	12	1.589	93	1.699	7167
O25	WC	5	12	1.912	112	1.412	7146

Note: DC, dry compaction; WC, wet compaction; S-\* Slurry, S-1.25 1.25 limit liquid (LL); relative compaction was calculated from maximum dry density of 1.70 g/cm<sup>3</sup> by the standard Proctor test.

Table 4. Details of the triaxial tests on intact samples

Test name	Initial specific volume, $v_i$	Confining effective stress, $p'_0$ : kPa	Specific volume after consolidation	End of test		
				Deviator stress, $q_{cs}$ : kPa	Mean effective stress, $p'_{cs}$ : kPa	Specific volume, $v_f$
10 m_UD01	1.938	100	1.878	268	189	1.747
10 m_UD02	1.944	200	1.857	496	365	1.718
10 m_UD03	1.949	300	1.821	740	545	1.675
10 m_UD04	1.906	400	1.781	1040	744	1.632
10 m_UD05	1.899	600	1.752	1719	1171	1.609
10 m_UD06	1.892	30	1.878	71	54	1.767
10 m_UD07	1.920	60	1.895	148	112	1.778
10 m_UD_L1	1.899	300	1.798	605	502	1.643
10 m_UU02	1.930	100	1.886	12	8.2	1.886
10 m_UU03	1.938	200	1.853	27	21	1.853
10 m_UU04	1.905	300	1.786	49	38	1.786
10 m_UU05	1.942	35	1.924	3	2.2	1.924
5 m_UD01	1.979	100	1.939	220	171	1.805
5 m_UD02	1.987	300	1.885	711	533	1.736
5 m_UD03	1.926	580	1.751	1426	1055	1.598
5 m_UD04	1.972	450	1.853	1164	835	1.724
5 m_UU01	1.985	20	1.980	2.7	1.6	1.980
5 m_UU02	1.966	20	1.884	30	23	1.884
OCR = 20						
5 m_UU03	1.973	100	1.937	6	4.8	1.937
5 m_UU04	1.903	20	1.900	2.9	2	1.900

Note: U\*\*\*, undisturbed; \*D\*\*, drained; \*U\*\*, undrained; \*\*L\*, lubricated end platen; OCR, overconsolidation ratio.

transitional mode, although the range of initial specific volumes is relatively small, so it is less clear.

Ponzoni *et al.* (2014) proposed two means of quantifying the degree of transitional behaviour, one factor,  $m$ , being based on the oedometer data and the second,  $P$ , on the critical state data from triaxial tests. The value of  $m$  can be between 0 and 1 (Figs 4(a) and 4(b)). Ponzoni *et al.*

calculated  $m$  from the values of specific volume at 20 kPa and 6000 kPa, but in this analysis the end of the test was generally at 7000 kPa and so these specific volumes were used, but the impact on  $m$  would be very small. The calculated results are shown in Figs 4(c) and 4(d). As pointed out by Ponzoni *et al.*, intact specimens can show a higher degree of transitional behaviour than the reconstituted

Table 5. Details of the triaxial tests on reconstituted samples

Test name	Sample preparation method	Initial specific volume, $v_i$	Confining effective stress, $p'_0$ : kPa	Specific volume after consolidation	End of test		
					Deviator stress, $q_{cs}$ : kPa	Mean effective stress, $p'_{cs}$ : kPa	Specific volume, $v_f$
10 m_RD01	WC	1.525	50	1.521	130	90	1.615
10 m_RD02	DC	1.889	50	1.851	124	92	1.785
10 m_RD03	WC	1.846	100	1.788	270	188	1.745
10 m_RD04	DC	1.814	100	1.749	262	188	1.700
10 m_RD05	WC	1.872	300	1.748	758	555	1.660
10 m_RD06	DC	1.919	300	1.793	860	585	1.680
10 m_RD07	DC	1.757	300	1.672	880	593	1.621
10 m_RD08	DC	1.899	375	1.776	1025	716	1.679
10 m_RD09	DC	1.740	530	1.638	1370	982	1.583
10 m_RD10	WC	1.543	550	1.495	1482	1041	1.508
10 m_RD11	WC	1.821	550	1.685	1310	999	1.586
10 m_RD12	DC	1.916	580	1.74	1590	1110	1.621
10 m_RD13	WC	1.810	495	1.694	1281	921	1.619
10 m_RD14	WC	1.753	100	1.692	283	1196	1.674
10 m_RD15	WC	1.798	50	1.784	127	92	1.747
10 m_RD16	WC	1.720	430	1.649	1037	775	1.599
10 m_RD17	WC	1.654	200	1.627	429	340	1.633
10 m_RD18	WC	1.641	520	1.592	1328	966	1.558
10 m_RD19	WC	1.724	50	1.708	126	93	1.697
10 m_RD20	DC	1.758	160	1.719	416	300	1.695
10 m_RD21	S-1	1.618	120	1.586	332	231	1.616
10 m_RD22	S-1.25	1.631	120	1.601	339	234	1.631
10 m_RD23	S-1.25	1.624	250	1.584	772	505	1.605
10 m_RD24	S-1.5	1.654	120	1.630	321	225	1.646
10 m_RU01	DC	1.926	25	1.908	9	6.4	1.908
10 m_RU02	DC	1.832	50	1.815	105	78	1.815
10 m_RU03	DC	1.907	100	1.841	42	33	1.841
10 m_RU04	DC	1.915	300	1.784	203	144	1.784
10 m_RU05	WC	1.811	300	1.740	510	366	1.740
10 m_RU06	DC	1.883	400	1.747	300	219	1.747
10 m_RU07	WC	1.665	60	1.652	353	263	1.652
10 m_RU08	WC	1.619	400	1.582	996	712	1.582
10 m_RU09	WC	1.717	30	1.705	60	42	1.705
10 m_RU10	S-1.25	1.646	116	1.610	521	361	1.610
10 m_RDL1	WC	1.645	200	1.622	483	361	1.626
10 m_RDL2	WC	1.824	300	1.702	808	572	1.647

Note: 10 m\_R\*\*\* reconstituted; 10 m\_\*D\*\* drained; 10 m\_\*U\*\* undrained; 10 m\_\*\*L\* lubricated end platen; DC, dry compaction; WC, wet compaction; S-\* Slurry, S-1.25 1.25 limit liquid (LL).

specimens and this seems to be the case here as the gradient through the data for the intact samples appears to be steeper than that through the reconstituted sample data. However, it would be difficult to be certain about this conclusion as the range of initial specific volumes for the intact samples is smaller, and there is not the possibility to increase it. Hence,  $m$  was calculated for the intact and reconstituted specimens separately. As shown in Fig. 4(c), the  $m$  value of the wet compacted reconstituted specimens is 0.28, with an  $R^2$  of 0.86. On Fig. 4(d) the data for the other types of reconstituted are added, but the best-fit regression line barely changes, with a new gradient of 0.26 and an  $R^2$  that is only slightly lower at 0.81. This confirms that the method of reconstitution does not significantly affect the behaviour, although it is clear from Fig. 4(d) that the slurry and dry compaction methods are unable to achieve as wide a range of initial specific volumes as wet compaction. A regression line solely through the data points for the intact samples gives an  $m$  of 0.70, with an  $R^2$  value of 0.87. If the line determined for all the reconstituted samples in Fig. 4(d) were imposed on the intact specimens, then the  $R^2$  for the intact specimens only would be  $-4.23$ . This negative value is much worse than the value of 0.87 that is obtained when the regression line for the intact specimens is used and it arises solely from imposing the line derived from the reconstituted specimens on the

intact data points. It is an indication of how poor the agreement is, as can also be seen from the fact that all the data points for the intact specimens plot above the line for the reconstituted soil. This suggests that there is probably a different line for the intact soil, but given the relatively low coefficients of determination, it is difficult to be certain about this. It is not easily possible to improve this certainty because of the very limited range of initial specific volumes of the intact specimens, unless the estimated accuracy of the specific volumes could be improved to be significantly better than  $\pm 0.01$ .

The possible degree of transitional behaviour for the intact loess is greater than the Venice silty sediments reported by Ponzoni *et al.*, for which  $m$  was about 0.27–0.45. For the reconstituted specimens, the  $m$  values of the Venice sediments were about 0–0.27 depending on the clay fraction, of which the maximum value of 0.27 is close to that of the reconstituted soil in this study.

Nocilla *et al.* (2006) found that the clay content in soils may be an important factor in determining whether they exhibit a transitional mode. Fig. 5 shows oedometer results from a clayey loess (Xu & Coop, 2016) and a finer graded silty loess (Xu *et al.*, 2016) retrieved from around Xi'an and Lanzhou, respectively. Their particle size distributions are also shown in Fig. 1. For the clayey loess of about 20% clay



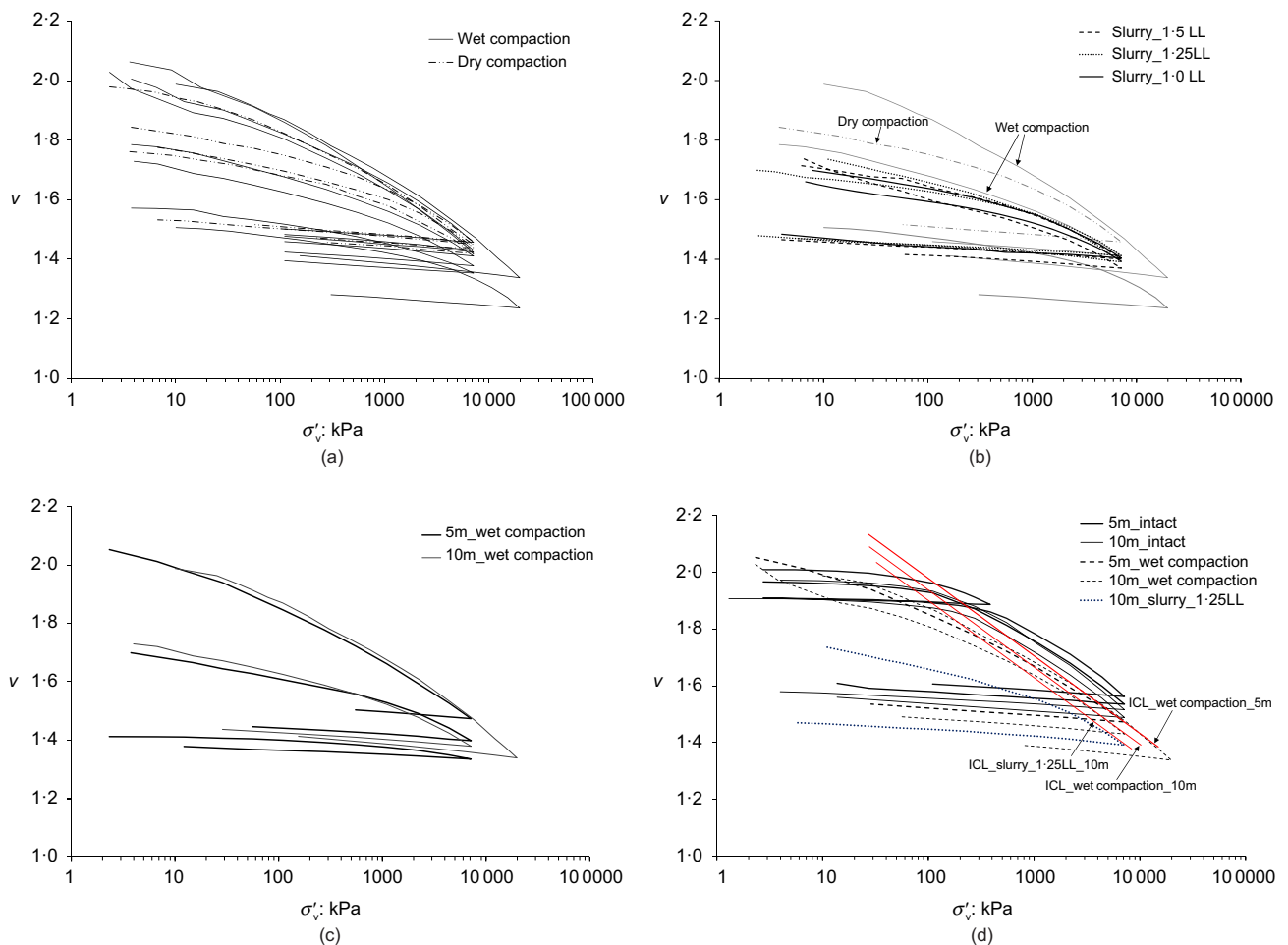


Fig. 3. Oedometer compression curves of: (a) 10 m compaction-reconstituted samples; (b) 10 m slurry-reconstituted samples; (c) 5 m and 10 m wet compaction-reconstituted samples; (d) intact samples at both depths

content there is a clear convergence at higher stresses for reconstituted samples from two depths as initial differences in voids ratio are erased. A unique, intrinsic, one-dimensional (1D) normal compression line (ICL) can easily be identified, and the intact samples also tend to converge with the ICL at high stresses. For the finer silty loess of 10% clay content the convergence for the reconstituted samples is not quite as good as for the clayey loess. A unique 1D ICL can perhaps still be identified, but there is a slightly greater scatter. Consistently with this, the convergence of an intact sample with the ICL is less rapid than for the clayey loess. With the decrease in clay content and the grading becoming coarser and less well graded, the silty loess of about 5% clay content tested here exhibits a clear transitional mode. It is no longer possible to identify a unique 1D ICL (Fig. 3). This influence of grading on the behaviour is similar to the findings of Nocilla *et al.* (2006).

The effect of natural structure on the behaviour in loess has been well recognised, for example for a clayey loess by Xu & Coop (2016; Fig. 5(a)). In Fig. 3(d), the compression paths of the intact specimens cross the intrinsic compression paths of the reconstituted soil and then tend to converge towards them. However, that convergence is again quite slow. In Fig. 3(d) for clarity only three reconstituted samples are shown, and these have been chosen to be those that have similar initial specific volumes to the intact samples. As Ferreira & Bica (2006) pointed out, it is difficult to quantify the effects of structure in a transitional soil because the intrinsic properties are not unique.

Burland (1990) proposed normalising the compression data of intact clay specimens relative to the gradient and intercept of ICL of the reconstituted soil in order to highlight the effects of structure to give void index,  $I_v$

$$I_v = \frac{e - e_{100}^*}{e_{100}^* - e_{1000}^*} \quad (4)$$

where  $e_{100}^*$  and  $e_{1000}^*$  are the void ratios on the ICL at 100 and 1000 kPa. Burland used this technique to examine the effects of structure in clays, but there is no reason why it could not be applied to other soils, such as the silt tested here, as it is simply a method of normalising for the intrinsic properties, allowing the effects of structure to be easily visualised and compared. Burland based his ICL on slurry reconstituted samples, since that is the only technique available to reconstitute clays fully, but there is again no reason why an  $I_v$  normalisation could not be applied using ICLs determined from samples reconstituted by other means.

For a soil that is transitional, with no unique ICL, the choice of any one of the ICLs is slightly arbitrary, so it is proposed here to use the ICLs determined from tests on reconstituted samples that had similar specific volumes to those of the intact samples at the initial stress of 20 kPa that was used for the intact samples (Fig. 3(d)). Although an apparently arbitrary choice, it does provide some basis for comparison. The choice of two different ICLs for the two depths simply arises from the two depths having different in

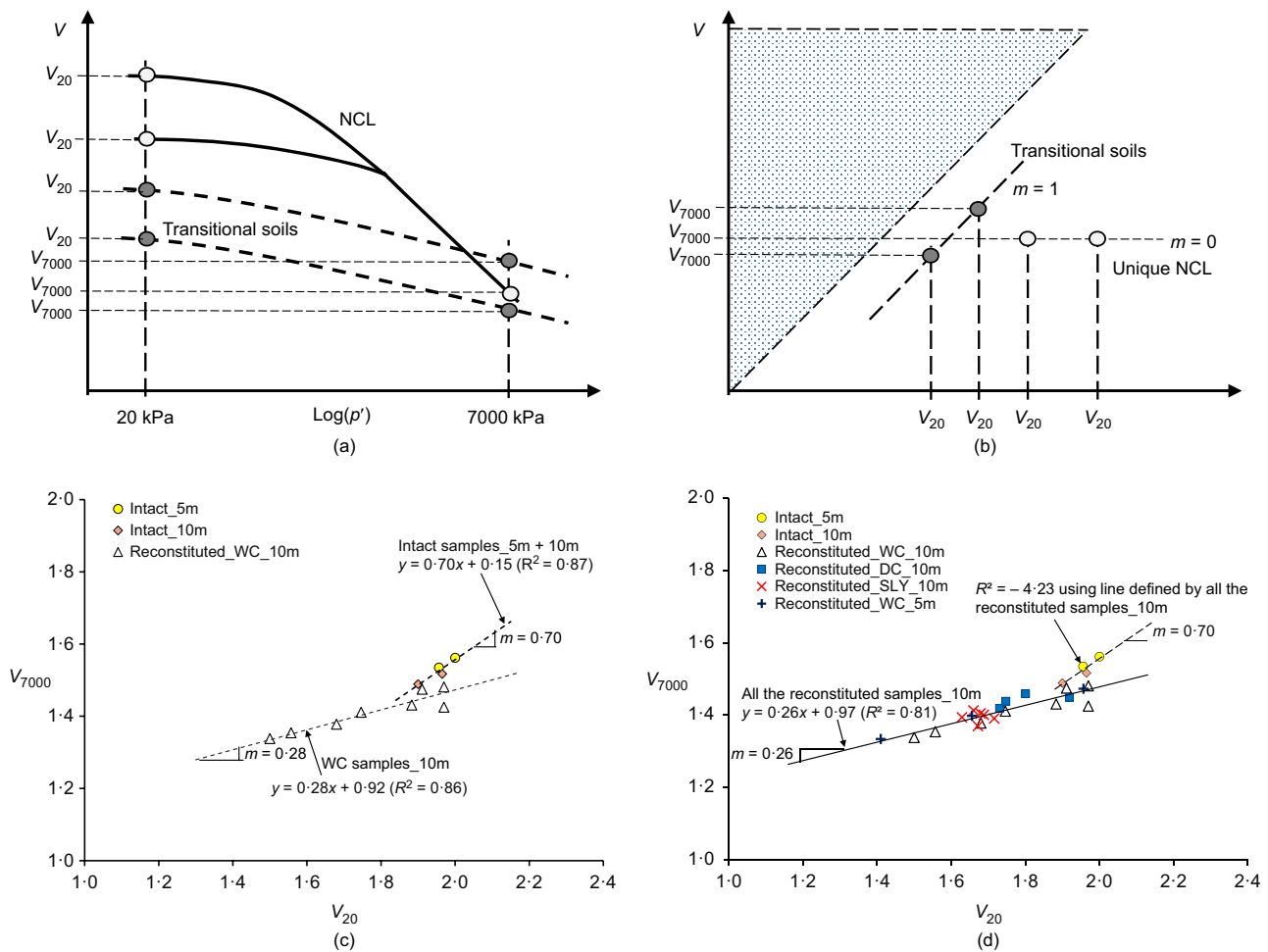


Fig. 4. Quantification of the convergence of the compression curves for the intact and reconstituted samples of: (a) schematic compression curves based on Ponzoni *et al.* (2014); (b) schematic plot for calculation of  $m$  based on Ponzoni *et al.* (2014); (c) calculation of  $m$  value for wet compaction samples and intact samples; (d) calculation of  $m$  value for all of the reconstituted and intact samples

situ specific volumes. Also shown on Fig. 3(d) is the ICL that would result from choosing the samples that were created as a slurry at 1.25 LL, which is lower because the initial specific volume is lower. Although Burland suggested this as a suitable choice for clays, it is clearly not suitable in the case of this silty soil.

Figure 6 shows the normalised compression paths of the silty loess as well as the clayey loess and finer silty loess (Xu & Coop, 2016; Xu *et al.*, 2016). It seems that the  $I_v$  method still works well for the silty loess, although the curvature of the ICL is slightly smaller than for the finer silty loess and clayey loess. The curvature of the ICL for this loess is also less than that proposed by Burland (1990) in his empirical cubic equation that he proposed to represent the ICL in the  $I_v$ - $\log \sigma'_v$  plane – probably because this soil is a silt, not a clay. For the intact samples the use of  $I_v$  highlights the relative effects of structure between the different loess soils, even though it was originally proposed for the sedimentary clays analysed by Burland. The initial in situ  $I_v$  values of each of the loess soils are fairly similar, but as the loess becomes more silty so the distance that the intact compression paths reach outside the ICL reduces, the initial gradient of the intact compression path prior to yield steepens and the yield point (or gross yield, as the pre-yield behaviour is not elastic) becomes less distinct. The final states at the maximum stresses reached are fairly similar for each of the soils, so the rates of destructuration or convergence with the ICL after yield of the silty loess are much slower than for the other two

soils, particularly when the smaller curvature of the ICL is accounted for. The normalised compression curves for the 10 m samples, based on the use of the 1.25 LL slurry sample as the reference ICL, are also shown. This causes the curves for the intact soil to move upwards slightly, but the overall pattern is similar. However, as slurry samples are not suited for this silty soil, and as there is no significant difference in behaviour between the various reconstitution methods, the authors propose that the wet compacted reconstituted samples with a similar initial specific volume to the intact samples form a more rational basis of comparison.

Baudet & Stallebrass (2004) proposed that metastable elements of structure, characterised by more rapid convergence of the intact compression path with the ICL, were associated with bonding, while fabric provided a more stable component and less rapid convergence. Xu & Coop (2016) were able to identify local areas of bonding in SEM images of the clayey loess, but none is visible in Fig. 2. An increased dominance of fabric for the siltier soils, which would cause the slower convergence with the ICL, would also be consistent with a change towards a transitional mode of behaviour. However, it is difficult to determine solely from SEM images where the seat of the fabric effects may be, although it is clear that the fabric is heterogeneous, with aggregated particles, and Shipton & Coop (2015) suggested that the causes of transitional behaviour must lie in a heterogeneous rather than anisotropic fabric.

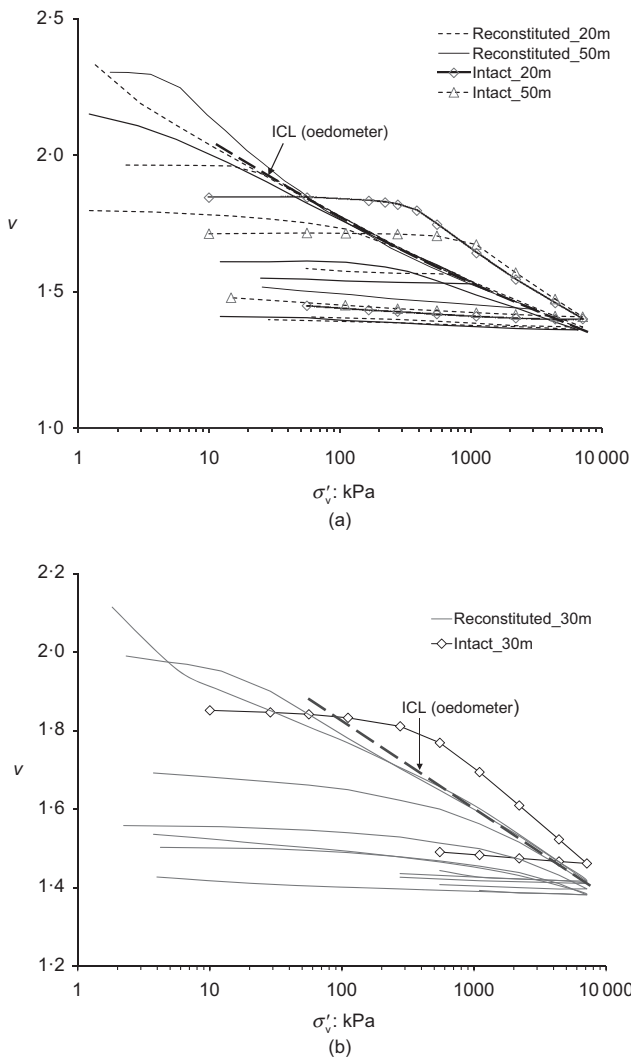


Fig. 5. Oedometer compression curves of (a) a clayey loess (Xu & Coop, 2016) and (b) a finer silty loess (Xu *et al.*, 2016)

The gross yield points for the intact silty loess, clayey loess and finer silty loess are all quite close to the estimated past maximum total stresses (Fig. 6). The maximum past suction experienced by the soil is unknown, but it appears that the effects of any in situ suction cannot have been large or the yield stresses would have been increased. The sedimentation compression line (SCL) was defined for the in situ states of normally consolidated clays of medium sensitivity (Burland, 1990). In Fig. 6 the gross yield points and possible in situ maximum stresses of the transitional silty loess plot quite far from the SCL, but the two non-transitional soils plot quite close to it. This again indicates that the structure of silty loess investigated here may be different to those of the clayey loess and finer silty loess.

For one intact specimen unloading–reloading stages were performed at different stages of the compression (Fig. 3(d)). The gradient of the swelling line increased slightly, which can be a sign of some destructure, but otherwise the loading behaviour was unaffected by an unloading loop, indicating that the behaviour was still dominated by the sample’s initial density prior to compression.

*Shearing*

*Stress–strain behaviour.* Drained and undrained triaxial tests were carried out on various types of reconstituted specimens and on intact specimens. Details of the tests are shown in Tables 4 and 5. For the undrained tests, to ensure the accuracy of the data, they have been corrected for membrane restraint using the method suggested by La Rochelle *et al.* (1988). Examples of the stress–strain curves of the tests are given in Fig. 7. The tests were sheared to large strains so that the stress and volume changes at the ends of tests were small.

The stress–strain responses during undrained shearing of some of the intact and reconstituted specimens are shown in Figs 7(a) and 7(b). It can be seen that intact loess reaches peak strengths with a sharp initial increase in pore water pressure, and then the deviator stress slowly decreases until the critical state. For the reconstituted specimens, the stress–strain responses are dependent on the initial density. The loose reconstituted specimens at high initial specific volumes

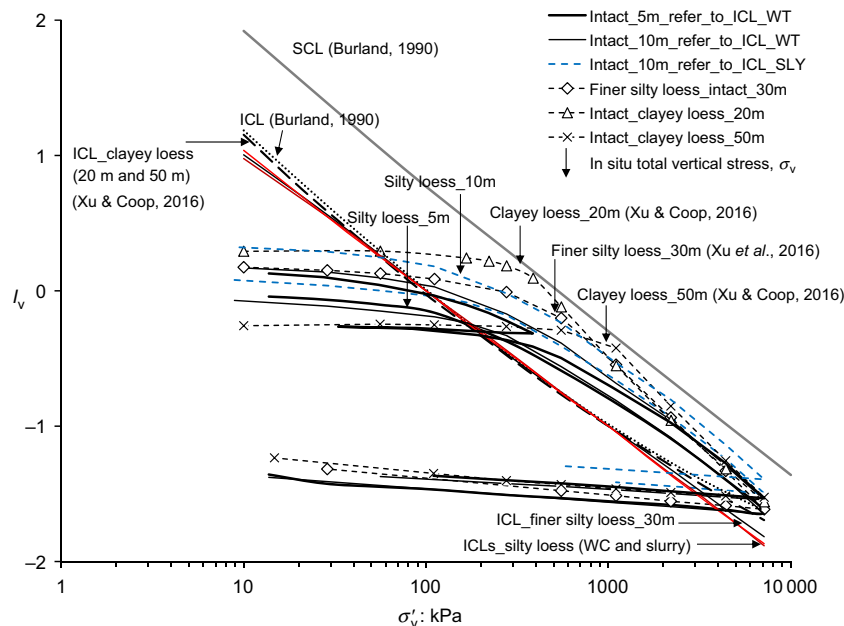
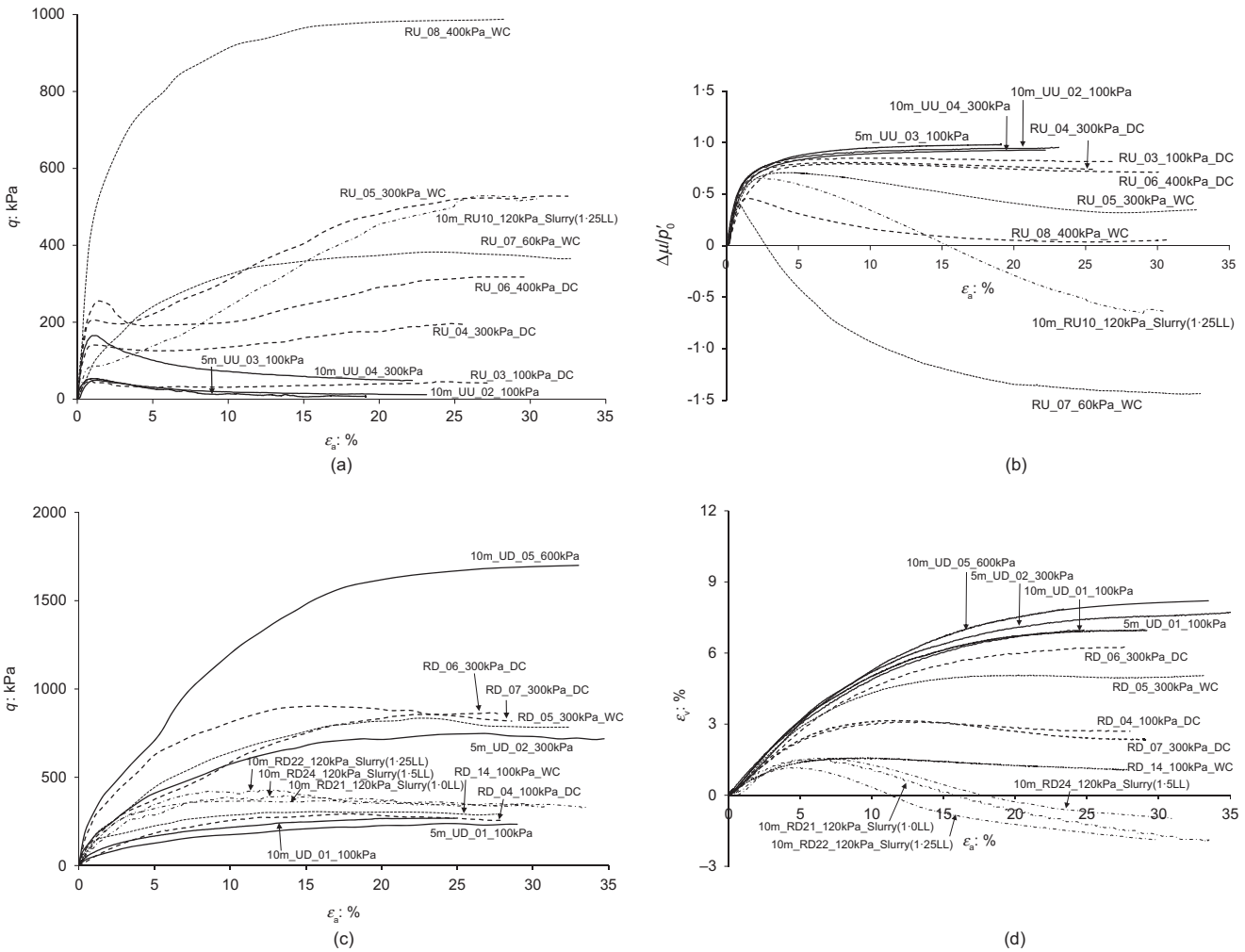


Fig. 6. Normalised compression data for natural and reconstituted loess using void index  $I_v$ . Note: arrows indicate in situ total  $\sigma_v$





**Fig. 7. Typical results of triaxial tests of:** (a) undrained deviator stress–axial strain curves; (b) normalised pore pressure responses; (c) drained deviator stress–axial strain curves; (d) volume change responses (R\*\*\*, reconstituted; U\*\*\*, undisturbed; \*D\*\*, drained; \*U\*\*, undrained; DC, dry compaction; WC, wet compaction)

(e.g. RU\_03, 04 and 06) have a strongly contractive behaviour, like the intact soil, but with a less dramatic strain softening, showing a typical temporary instability behaviour as found in silty sands (e.g. Yamamuro & Lade, 1998). For the dense specimens (e.g. RU\_07 and 08), the soil is strain-hardening and dilative. The slurry reconstituted samples tend all to be denser. The differences in the undrained behaviour for the intact and reconstituted loess are also clear in the stress paths (Fig. 8), again reflecting the effects of the natural structure in this soil.

Figure 8 presents the estimated CSLs in the  $q-p'$  plane. The CSLs for the reconstituted and intact specimens have the same gradient  $M$  of 1.395, as expected slightly higher than the values of 1.25–1.35 for the clayey loess (Xu & Coop, 2016). Fig. 9 shows the development of stress ratio, confirming a unique CSL gradient. From Figs 8 and 9 it is clear that the influence of specimen preparation method on the CSL in the  $q-p'$  plane is not significant. Any influence on soil stress–strain response is also not large, although the different sample densities and stress levels mean that direct comparisons cannot be made. Fig. 10 plots the stress–dilatancy relationships, based on total strains. There is again no significant difference between the intact and reconstituted specimens, which is not consistent with the clayey loess for which the influence of structure on stress–dilatancy behaviour is relatively large (Xu & Coop, 2016), perhaps the result of the bonding.

*Quantification of transitional behaviour.* Figure 11 plots the critical states of all the tests in the  $v-\ln p'$  plane along with the paths followed during isotropic compression and shearing. Unique CSLs cannot be found either for intact or reconstituted specimens. The initial specific volume of the specimens has a large influence on the locations of the CSLs in the  $v-\ln p'$  plane. These lines seem to be slightly curved, and several estimated lines for samples of similar initial specific volumes are shown. These CSLs show a slight convergence at higher stress levels. Curved CSLs in  $v-\ln p'$  space have been reported for sands (e.g. Verdugo & Ishihara, 1996) or silts (e.g. Carrera *et al.*, 2011). This behaviour, with slowly convergent CSLs, is not exactly the same as that reported for other transitional soils, for example by Ferreira & Bica (2006) and Ponzoni *et al.* (2014), for which parallel CSLs were found, or that reported by Altuhafi *et al.* (2010), for which there were parallel normal compression lines (NCLs) but a unique CSL. The behaviour is also distinctly different from that of the clayey loess, for which the CSLs were much steeper and unique, although they were different for the intact and reconstituted soil, possibly the result of fabric effects.

Reconstituted specimens with a very wide range of initial specific volumes were made to identify clearly the influence of initial density on soil behaviour. Within the wide family of initial densities, five groups of samples with similar initial specific volumes have been re-plotted in Fig. 11(b). In the

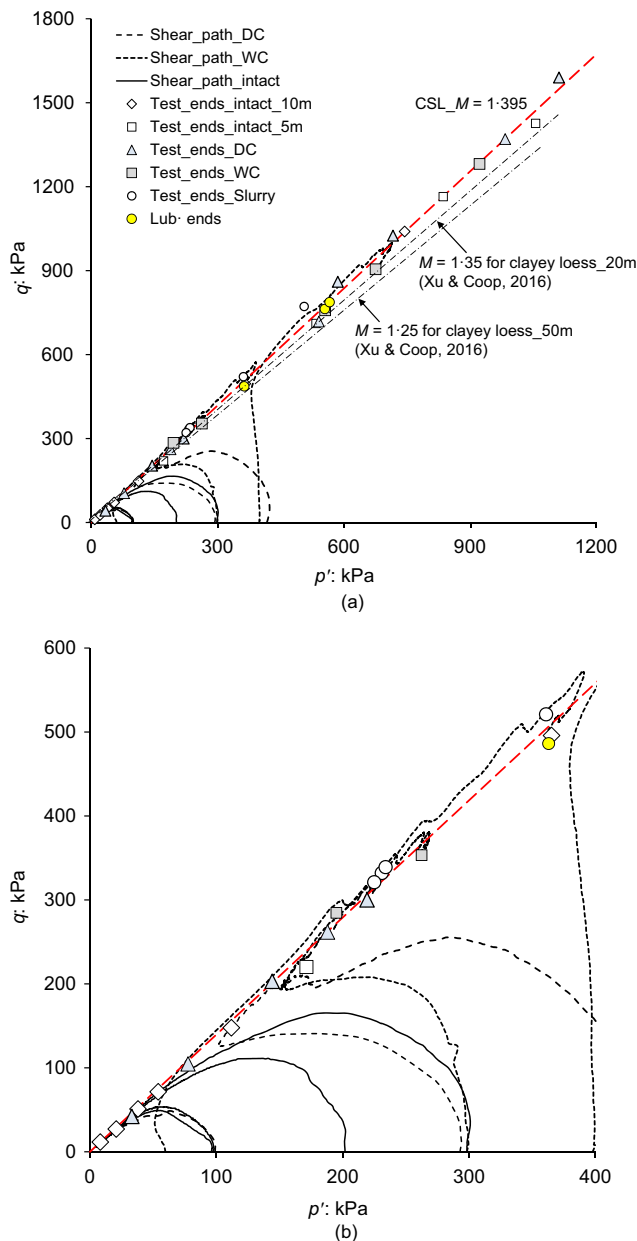


Fig. 8. Undrained stress paths and critical state points for drained tests in the  $q-p'$  plane (DC, dry compaction; WC, wet compaction) of (a) entire stress range and (b) lower stress levels

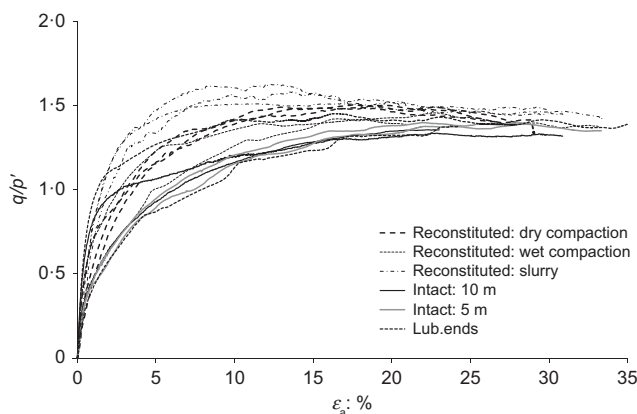


Fig. 9. Development of stress ratio for reconstituted and undisturbed specimens

quantification of transitional behaviour that will be discussed below, the slight curvature and convergence have been ignored and five straight parallel CSLs are used for simplicity, disregarding the tests at the lowest stress levels, but the fit to the data is still reasonably good. The accuracy of  $v$  is less than  $\pm 0.02$ , which is again much smaller than the overall differences in the CSL locations, and so it can be concluded that the non-unique CSLs are not due to errors in specific volume.

Figure 11(c) shows the results for the intact specimens. Three groups of intact specimens with similar initial specific volumes have been selected, defining three CSLs regardless of whether the samples are from 5 or 10 m. These are again assumed to be straight, which appears reasonable, again excepting the test data diverging at very low stresses. The results presented in Fig. 11(c) again indicate a strong transitional mode in the intact soil, especially as the accuracy of  $v$  is less than  $\pm 0.01$ .

In drained loading, tests at the same initial  $p'$  should reach the same final specific volume. Typical data for the drained shearing of the loess are shown in Fig. 12. These are for intact and reconstituted samples with four initial values of  $p'$ . The specific volumes at the ends of the tests are reasonably stable and it is again clear that the lack of convergence does not simply result from incomplete shearing.

The influences of specimen preparation and lubricated end platens have been examined. From these figures, any influence of specimen preparation method for the reconstituted samples seems not to be significant, apart from the fact that different methods create samples of different initial densities, so the slurry created samples are all denser, with low critical state locations on Fig. 11(b). The lack of convergence cannot therefore be attributed to comparisons between the specimens created by different preparation methods. Tests with lubricated end platens were also conducted, but they plot on the same trends as the tests without such end platens (Fig. 11(b)).

The factor,  $P$  (Ponzoni *et al.*, 2014), defined from the critical state data to quantify transitional behaviour, yields values between 0 and 1 (Figs 13(a) and 13(b)). It is based on the values of  $\Gamma$ , the specific volume at 1 kPa and initial specific volume before consolidation, taken for these tests at 15 kPa. The results are shown in Fig. 13(c). This method requires that the CSLs are straight, as was assumed for simplicity in Figs 11(b) and 11(c). A unique value of  $\lambda$  has been assumed and then the corresponding value of  $\Gamma$  calculated for every data point, neglecting those tests indicated that are at very low stress levels where there is a more distinct curvature in the CSL. Wet compaction was found to create the widest range of initial specific volumes for the reconstituted samples, so in Fig. 13(c) a regression is made only through these data, giving a  $P$  of 0.32 with an  $R^2$  of 0.78, indicating slightly higher scatter in the data owing to the greater difficulty in determining accurately critical states than for the compression data in Fig. 4. When the dry compaction and slurry data are added in Fig. 13(d), the  $P$  changes only slightly to 0.34 and the  $R^2$  improves slightly to 0.82. The  $R^2$  values are relatively low, so it is difficult to be certain, but there is no clear evidence that the sample preparation method has any effect other than that different preparation methods are suitable for achieving different ranges of initial  $v$ . If non-convergence was the result of fabric, then greater convergence should be expected from samples that have been compressed and then sheared than from those that have only been compressed, so in general  $P$  should be less than  $m$ ; however, as Ponzoni *et al.* pointed out, the lower  $m$  values result from the much higher stress range used in the oedometer tests compared to the triaxial tests.

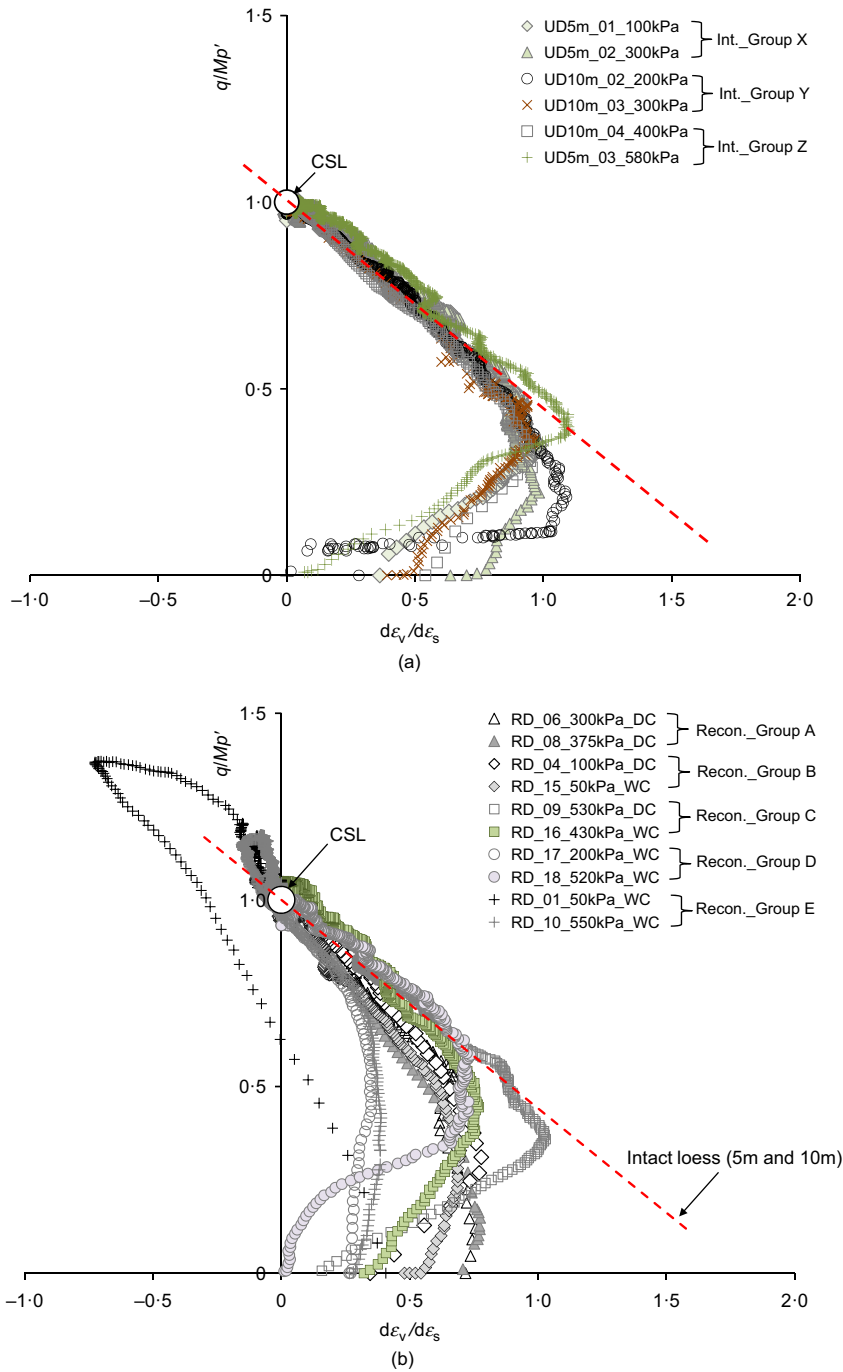


Fig. 10. Stress–dilatancy of intact and reconstituted samples during shearing of (a) intact samples and (b) reconstituted samples

The data for the intact samples seem to indicate a steeper trend, as they did for the oedometer test  $m$  values, and this feature was also seen by Ponzoni *et al.* (2014). The intact samples define a  $P$  of 0.97 and an  $R^2$  of 0.70 if they are considered alone, but an  $R^2$  of only 0.16 if they are referred to the regression line defined by the reconstituted samples. Again the low  $R^2$  values do not permit definite conclusions to be drawn, and, as for the oedometer tests, it would be difficult ever to be certain about differences between the intact and reconstituted specimens because of the limited range of initial  $v$  for the intact soils. However, the consistency between the oedometer tests as well as the very poor  $R^2$  when the line derived from the reconstituted specimens is imposed on the intact ones indicate that it is likely that the intact soil has a higher degree of transitional behaviour than the reconstituted soil. The  $P$  values for the intact loess are greater than the intact

silty soils reported by Ponzoni *et al.* (2014) for the silty sediments of the Venice lagoon, which were 0.58–0.83, again indicating a stronger transitional behaviour. For the intact soils it is also clear that there is no difference between the two depths, apart from the ranges of in situ  $v$ .

*Quantification of the effects of structure.* Burland’s (1990) void index that was used in Fig. 6 is only defined in terms of vertical stress for 1D compression, but the parameter  $v_n$  was defined by Coop & Cotecchia (1995) in terms of invariants, which therefore allows comparison of compression and shearing data

$$v_n = \exp \left[ \frac{\ln(v) - N^*}{\lambda^*} \right] \quad (5)$$

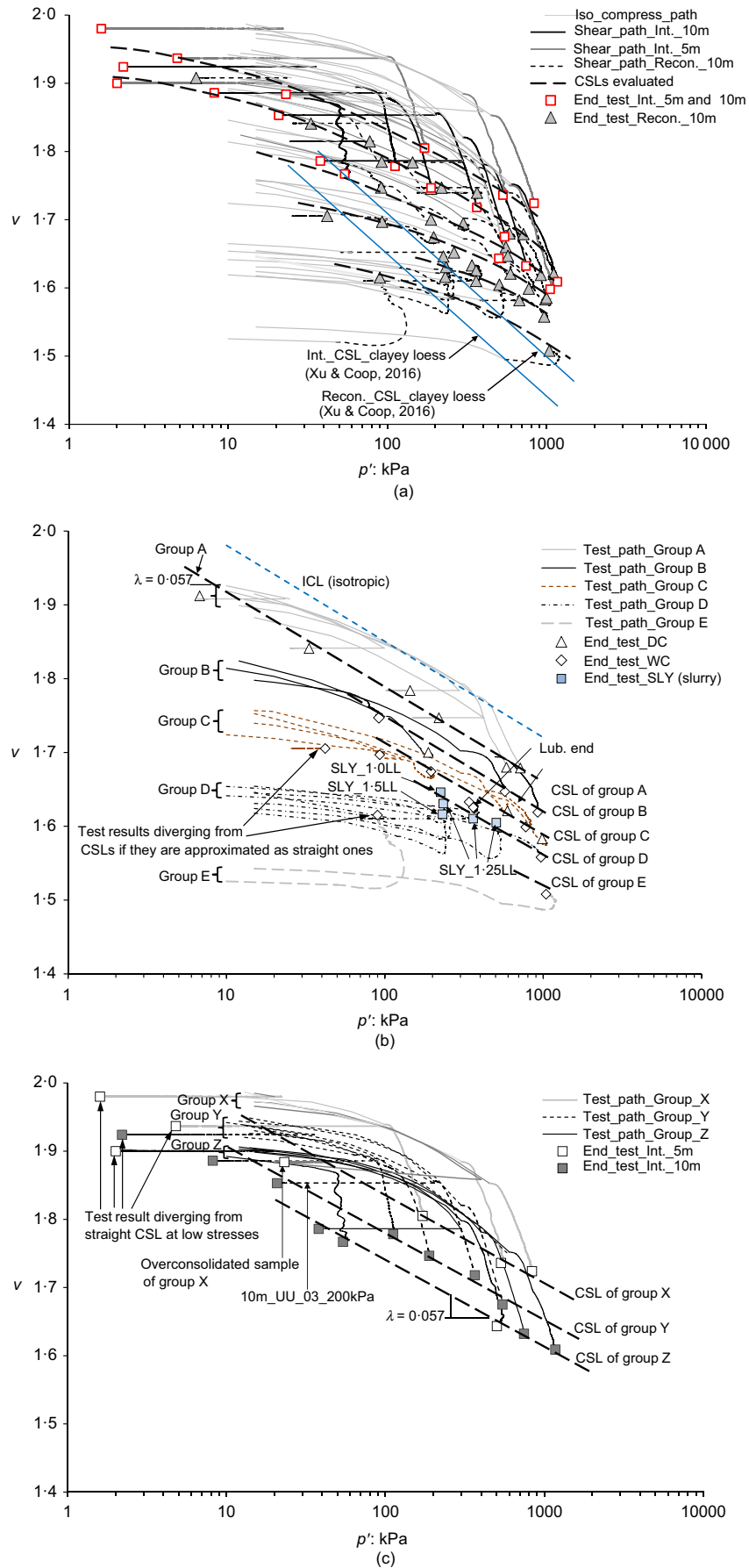


Fig. 11. Critical states in the volumetric plane (straight CSLs are used for simplicity) of: (a) intact samples from 10 m and 5 m depths and reconstituted samples from 10 m depth; (b) reconstituted samples, all data from the 10 m deep loess; (c) intact samples from 5 m and 10 m depths, which are taken as the same soil with only difference in initial void ratios

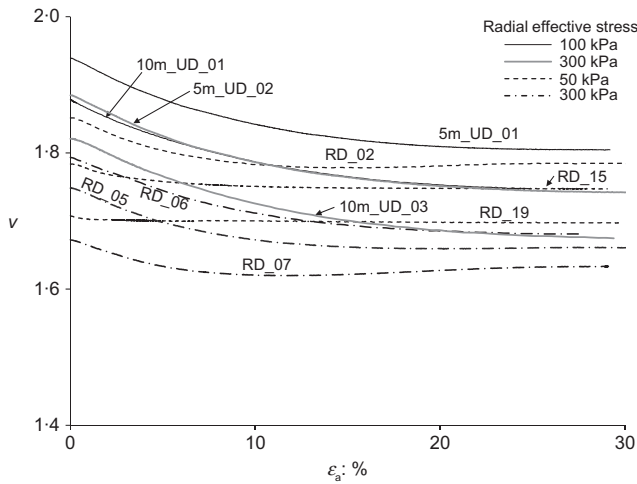


Fig. 12. Examples of non-convergence of states during drained shearing

where the normal compression line of the reconstituted soil is defined, as suggested by Butterfield (1979)

$$\ln v = N^* - \lambda^* \ln p' \quad (6)$$

The use of a logarithmic specific volume scale can avoid the slight curvature noted in the ICL by Burland (1990). Fig. 14 shows the normalised compression data, using  $v_n$ , for the intact and reconstituted specimens. All the data were

normalised using the isotropic intrinsic normal compression line of group A of the reconstituted soil, which was illustrated in Fig. 11(b). For a transitional soil the lack of a unique ICL means that this choice is slightly arbitrary, but here it is again proposed that it should be on the basis of reconstituted samples of similar initial specific volume to the intact specimens. So in Fig. 14 the normalisation is based on the intrinsic behaviour of reconstituted samples of group A that have a similar initial specific volume to the intact samples of group Y. Group A was the only group that also clearly reached its isotropic compression line for the stress range tested. The isotropic ICL then plots on Fig. 14 as straight with a gradient of  $-1$ . For clarity, the data for the intact and reconstituted soils are again divided between their groups based on initial specific volume and, although the compression and shearing paths are shown for the intact samples, they are omitted for the reconstituted samples. The data are slightly scattered, but parallel CSLs for intact and reconstituted specimens cover a wide range of  $v_n$  values owing to the transitional mode of this loess, in contrast to the two unique lines for the clayey loess (Xu & Coop, 2016). The use of double logarithmic axes also tends to reduce the slight curvature of the CSLs, which is now only apparent at the lowest stresses.

The isotropic compression paths of the intact specimens (group Y) cross the ICL of the reconstituted soil (group A) and then converge slowly towards the ICL, similarly to the oedometers (Fig. 6). However, the CSL of the group Y intact specimens is very close to the CSL of the group A reconstituted specimens, in contrast to the clayey loess

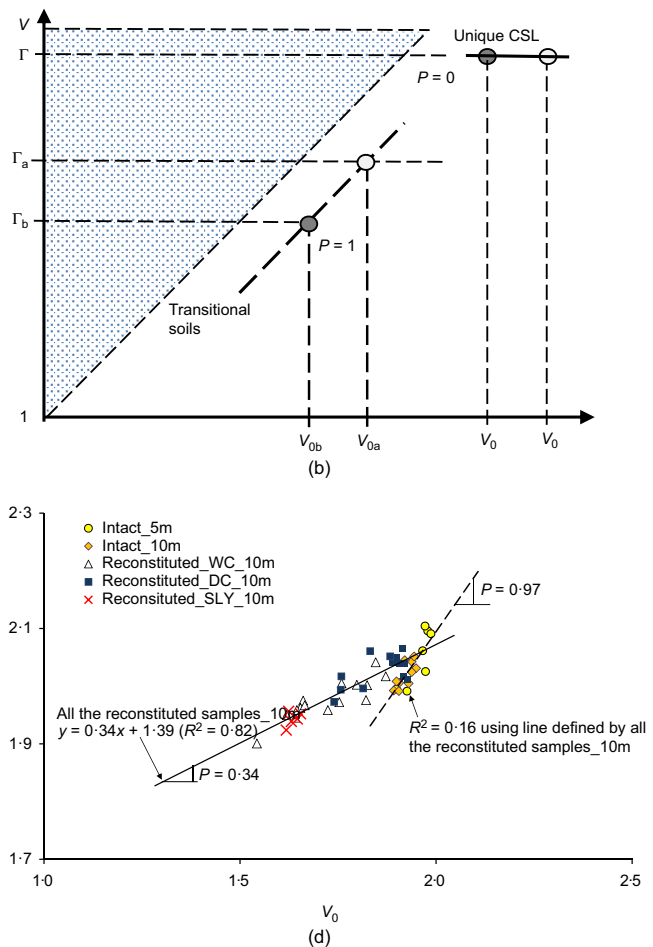
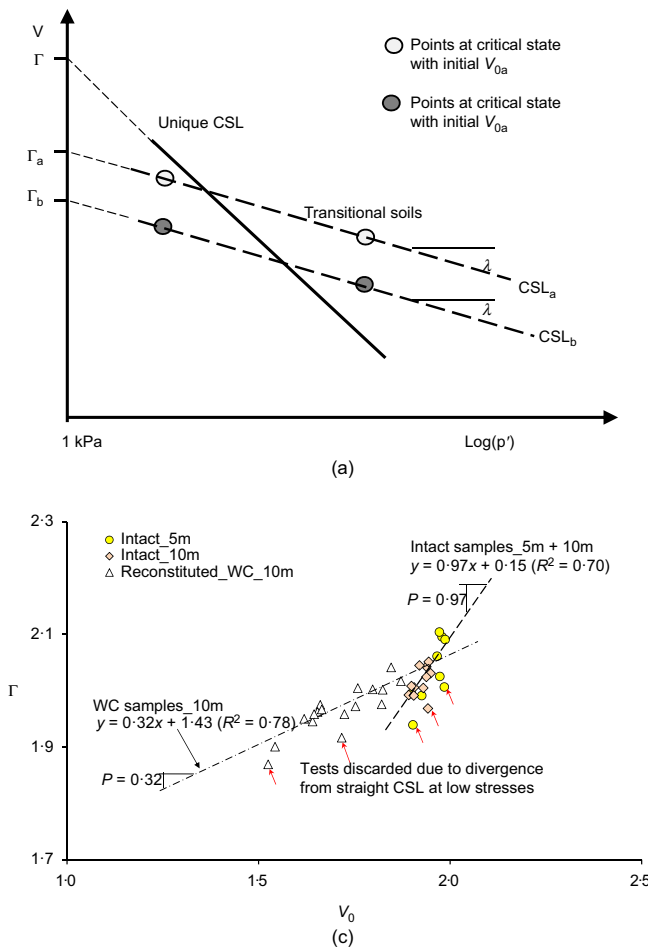


Fig. 13. Quantification of the convergence of the CSLs for the intact and reconstituted samples of: (a) schematic illustration of CSLs based on Ponzoni *et al.* (2014); (b) schematic illustration of the calculation of  $P$  based on Ponzoni *et al.* (2014); (c) calculated  $P$  values for the wet compaction and intact samples; (d) calculated  $P$  values for all of the reconstituted and intact samples



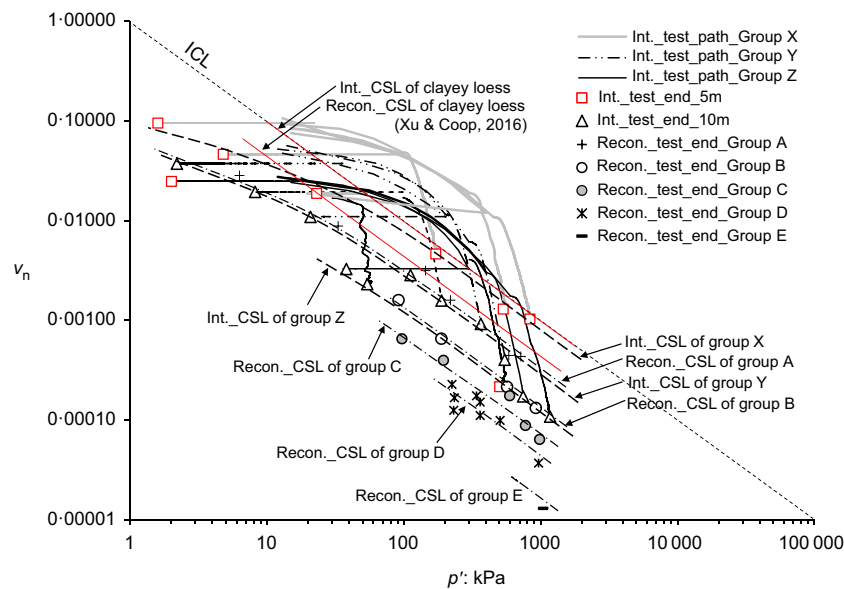


Fig. 14. Normalised specific volume,  $v_n$ , of the CSLs for the intact and reconstituted samples using a unique isotropic ICL for group A, as shown in Fig. 11(b)

where the intact and reconstituted CSLs were different. This indicates that the effect of structure on critical states of the transitional loess with similar initial specific volumes is not significant. It should be noted, however, that whether the intact specimens and reconstituted specimens have a similar initial  $v$  is dependent on the stress level where the initial  $v$  is selected. This is because the intact soil is less compressible at low stresses. For the specimens of group A, the initial  $v$  is closer to the group X of the intact specimens if a stress level of 1 kPa was selected as the reference condition. In this case, the structure effects characterised by distance between CSLs of group A and group Y would be very clear, and even greater than for the clayey loess (Fig. 14). This illustrates well the difficulties of quantifying the effects of structure in transitional soils.

To identify further the structure effect on soil behaviour, the stress paths of the intact and reconstituted specimens have been normalised for volume by an equivalent pressure taken on the CSL,  $p'_{cs}$ , defined as

$$p'_{cs} = \exp\left(\frac{\Gamma^* - \ln V}{\lambda^*}\right) \quad (7)$$

where  $\lambda^*$  and  $\Gamma^*$  are the gradient of the CSL and its intercept at 1 kPa in the  $\ln v - \ln p'$  plane. Because of the slightly different  $M$  values for the silty loess tested and the clayey loess (Xu & Coop, 2016), the values of  $q/p'_{cs}$  have been further divided by  $M$  for ease of comparison. For simplicity, straight and parallel CSLs for intact and reconstituted specimens in the  $\ln v - \ln p'$  plane were adopted for the normalisation.

Figure 15(a) shows the normalised shearing paths for the reconstituted specimens. Group A, which was the only group that had clearly reached its intrinsic isotropic compression line, may be used to define the boundary surface, which is significantly larger than that for the clayey loess with a much greater separation of the isotropic ICL and the CSL. Some scatter in the data means that the isotropic ICL defined on Fig. 11(b), which locates the end of the state boundary surface (SBS) does not coincide precisely with any one stress path.

Figure 15(b) shows the normalised shearing paths for the intact specimens again using parallel CSLs. The paths for the loosest reconstituted specimens ( $SBS_r$  silty loess and B\_WC (1) in Fig. 15(a)) are also illustrated in this figure for

comparison. It is found that all the shearing paths of intact specimens of groups X, Y and Z are much larger than the reconstituted specimens, indicating a very strong effect of structure, even though the CSLs of intact specimens of groups Y and Z are lower than the CSL of the reconstituted specimens of group A (Fig. 11). For the specimens of groups X, Y and Z, it can be seen that their shearing paths are very similar after normalisation. In contrast to the reconstituted soils where only group A had reached an NCL and so could define the SBS, all the intact groups have therefore reached their NCLs. The stronger transitional degree in the intact loess, as highlighted by the  $P$  and  $m$  values, facilitates the intact soil reaching states on the wet side of the CSL. The size of the intact  $SBS_i$  (i.e. state boundary surface for intact specimens) for the transitional silty loess is considerably larger than the reconstituted  $SBS_r$  (i.e. state boundary surface for reconstituted specimens), resulting from the effects of structure.

The SBSs of clayey loess (Xu & Coop, 2016) are also illustrated in Fig. 15(b) for comparison with the silty loess. The  $SBS_i$  and  $SBS_r$  evaluated from the normalised paths for the silty loess are both larger than the SBSs of the clayey loess, indicating greater effects of structure. From this figure it can be seen that the shape of the  $SBS_i$  may be dependent on the structure characteristics. For the intact clayey loess the  $SBS_i$  has a well-defined apex, which may again be consistent with the inter-particle bonding observed in this soil. This apex is absent (apart from one sample) in the silty loess, for which no bonding was observed, and also absent in the  $SBS_r$  of the reconstituted clayey loess, for which any bonding would have been broken by reconstitution.

## CONCLUSIONS

A large number of oedometer and triaxial tests on a saturated silty loess have been carried out. The work highlighted that the soil has a transitional mode of behaviour not only in the reconstituted but also in the intact states. This transitional mode is characterised by non-unique NCLs and CSLs. By the means of  $m$  and  $P$  parameters proposed by Ponzoni *et al.* (2014) it was found that the transitional degree of the intact soil is much greater than that of the reconstituted soil, although the limited range of initial specific volumes of

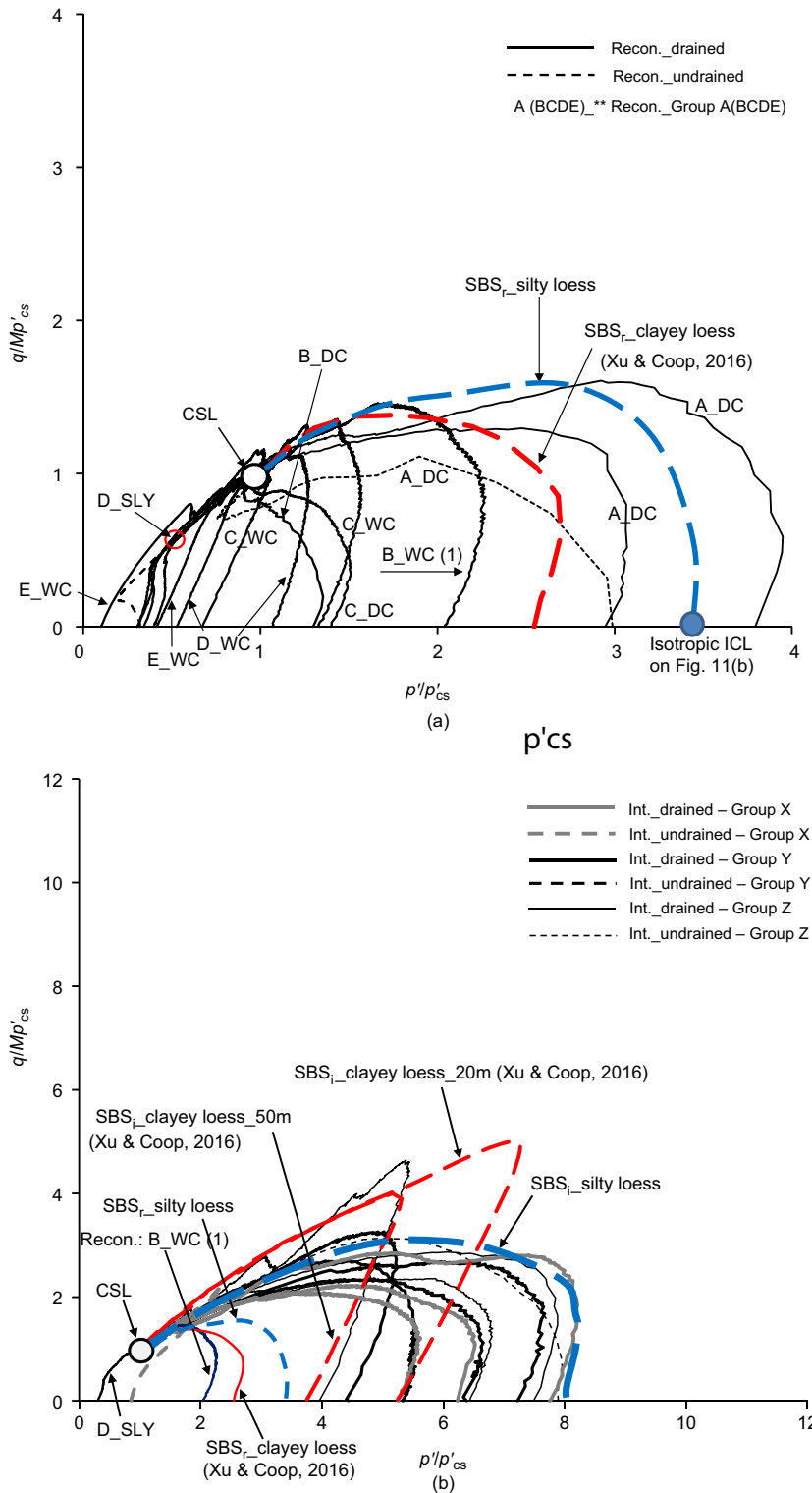


Fig. 15. Normalised stress paths of intact and reconstituted loess: (a) stress paths of reconstituted samples normalised by  $p'_{cs}$  using parallel reconstituted CSLs (DC, dry compaction; WC, wet compaction; SLY, slurry); (b) stress paths of intact samples normalised by  $p'_{cs}$  using parallel intact CSLs

the intact soils means that it is difficult to be certain about this difference. For the reconstituted samples a slurry reconstitution method was found to be unsuitable in this silty soil, and techniques more commonly used for sands, wet and dry compaction, were more successful. Although the method of reconstitution did affect the range of initial specific volumes that could be achieved, it did not have any other significant influence on the transitional behaviour. Further research is required to determine how wide ranging

this transitional mode is, and what influence the particle size distribution has on the behaviour for different loess soils from the Chinese loess plateau.

The work also highlights the difficulties in quantifying the effects of structure in transitional soils. By using similar normalisation techniques for the intrinsic behaviour to those used for clays and basing that normalisation on the behaviour of reconstituted samples of similar initial specific volume to the intact soil, it was shown that there are

significant effects of the natural structure in this saturated silty loess.

#### ACKNOWLEDGEMENTS

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#### NOTATION

$e$	voids ratio
$e_{100}^*$	void ratios on the ICL at 100 kPa
$e_{1000}^*$	void ratios on the ICL at 1000 kPa
$G_s$	specific gravity of solids
$I_v$	void index (equation (4))
$N^*$	intercept of isotropic ICL in $\ln v - \ln p'$ plane (equation (5))
$P$	gradient of data on $v_0$ ; $\Gamma$ graph for triaxial compressive shearing
$p'$	mean normal effective stress
$p'_0$	mean normal effective stress at start of shearing
$p'_{cs}$	equivalent value of $p'$ taken on CSL (equation (7))
$q$	deviatoric stress
$u$	pore pressure
$V$	specific volume ( $= 1 + e$ ) in the $v - \ln p'$ plane at 1 kPa
$v$	specific volume ( $= e + 1$ )
$v_n$	normalised specific volume (equation (5))
$w_i$	initial water contents
$w_f$	final water contents
$\varepsilon_a$	axial strain
$\varepsilon_v$	overall volumetric strain
$\Gamma^*$	intercept of the CSL at 1 kPa in $\ln v - \ln p'$ plane
$\gamma_{di}$	initial dry unit weight
$\gamma_i$	initial bulk unit weight
$\gamma_w$	unit weight of water
$\lambda$	gradient of ICL or CSL in $v - \ln p'$ plane
$\lambda^*$	gradient of ICL or CSL in $\ln v - \ln p'$ plane (equation (7))
$\sigma'_v$	vertical effective stress in oedometer

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