

## 1 Abstract

2 **Background:** Diarrhoea in children is a common disease; understanding the incidence of causative  
3 viruses can aid infection control and vaccine development.

4 **Objectives:** Describe the incidence and characteristics of gastroenteric viruses including norovirus  
5 genotypes in a paediatric hospital cohort.

6 **Study Design:** Norovirus, adenovirus, sapovirus, astrovirus, rotavirus qPCR and norovirus genotyping  
7 results for all stool specimens (n = 4,786; 1,393 patients) at a UK paediatric tertiary referral hospital  
8 June 2014– July 2015.

9 **Results and Discussion:** 24% (329/1393) of patients were positive for a GI virus; the majority were  
10 positive for norovirus (44%, 144/329) or adenovirus (44%, 146/329). The overall incidence of  
11 rotavirus (2%) is reduced compared to pre-vaccination studies; however the incidence of other GI  
12 viruses has not increased. Norovirus infections had a significantly higher virus burden compared to  
13 other GI viruses ( $P \leq 0.03$ ); sapovirus infections had the lowest viral burden.

14 The number of norovirus cases per month did not follow the typical winter seasonal trend of  
15 nationally reported outbreaks. The number of cases per month correlates with the number of  
16 hospital admissions ( $R = 0.703$ ,  $P = 0.011$ ); the number of admissions accounts for 50% of the  
17 variability in number of cases per month. The breadth of genotypes seen (48% non-GII.4), suggests a  
18 community source for many norovirus infections and has implications for vaccine development.

19 All GI viruses caused chronic infections, with the majority (50–100%) in immunocompromised  
20 patients. Incidence or duration of infection in chronic norovirus infections did not differ between  
21 genotypes, suggesting host-mediated susceptibility.

22 **Keywords:** Viral gastroenteritis, paediatric, polymerase chain reaction, PCR, norovirus, genotypes

## 23 **Background**

24 In European children under five years, there are an estimated four episodes of diarrhoea per child  
25 per year [1]; norovirus is the leading causative agent in children [2]. Norovirus is highly transmissible  
26 thus is associated with outbreaks of diarrhoea and vomiting in enclosed settings such as cruise ships,  
27 schools and in particular healthcare institutions. The morbidity associated with infections in  
28 immunocompetent individuals is limited, however the financial burden to healthcare settings is  
29 considerable; in the UK acute gastroenteritis is estimated to cost £115 million per year, 63% of which  
30 is attributable to norovirus [3]. Outbreaks in healthcare settings typically follow a characteristic  
31 winter peak, although this is not mirrored in community outbreaks [4].

32 Norovirus is a single stranded RNA virus belonging to the calicivirus family. The genome is 7.5 kb,  
33 comprised of three open reading frames; ORF1, ORF2 and ORF3 coding for a non-structural  
34 polyprotein, major and minor capsid proteins, respectively. There are five genogroups (GI –GV) of  
35 which GI, GII and to a lesser extent GIV infect humans. Each genogroup is further classified into  
36 genotypes; GI.1–9 and GII.1–21 based on capsid and/or polymerase gene sequences [5]. Outbreaks  
37 worldwide have been dominated by GII.4 since the mid-1990s [6].

## 38 **Objectives**

39 We describe the incidence of gastroenteric viruses in a paediatric UK hospital, with extended  
40 analysis of norovirus genotypes, seasonality and PCR Ct values. Understanding the molecular  
41 epidemiology of viral gastroenteritis in children will contribute to improving infection control  
42 practices and vaccine development.

## 43 **Study Design**

44 *Sampled population*

45 Great Ormond Street Hospital is a large tertiary referral paediatric hospital in the UK. The hospital  
46 does not have an accident and emergency department therefore acute gastroenteritis is not the  
47 primary reason for admission. As part of the infection control screening policy at GOSH, all children  
48 are tested for gastrointestinal viruses on admission for inpatient stay, regardless of whether they are  
49 symptomatic or asymptomatic. Patients with a positive stool virus are followed up weekly until they  
50 become negative, however, patients with underlying immunodeficiency are tested weekly and  
51 thereafter at outpatient appointments irrespectively of whether they have previously been positive  
52 for a stool virus. Any child who develops gastrointestinal symptoms during their inpatient stay or in  
53 outpatients is also tested. Between 1/7/2014 and 30/6/2015 a total of 4,786 stool samples from  
54 1,393 patients (8% outpatients) at Great Ormond Street Hospital for Children, UK, were tested  
55 during routine diagnostic analyses for the presence of gastroenteric viruses (Table 1). 1–46 samples  
56 were tested per patient (median 1) (Supplementary Figure 1).

57 PCR results and accompanying clinical data for this study were exported retrospectively from the  
58 laboratory information system. Detection of more than one virus during the study period was  
59 treated as an independent episode in the analysis.

#### 60 *Detection of gastroenteric viruses by real-time PCR*

61 All stool samples were tested by real-time PCR for the presence of norovirus, rotavirus, adenovirus,  
62 astrovirus and sapovirus (supplementary methods).

#### 63 *Norovirus genotyping*

64 The first norovirus positive stool from each patient was genotyped by PCR amplification and capillary  
65 sequencing of the capsid shell domain (supplementary methods). Eleven norovirus positive samples  
66 had insufficient residual volume for genotyping and were excluded from analysis.

#### 67 *National norovirus genotyping data*

68 The number of norovirus outbreaks reported nationally to Public Health England (PHE) and the  
69 proportion of each genotype for these incidences was provided by the Virus Reference Department  
70 (VRD), PHE, from their national surveillance data.

#### 71 *Rotavirus vaccine detection*

72 Rotavirus positive specimens were genotyped by the PHE VRD, and GIP8 positives confirmed as  
73 vaccine or wildtype by sequencing the genes encoding VP4 and VP7.

#### 74 *Categorisation of patients*

75 The clinical specialty of each patient was assigned based on the clinical specialty of the ward to  
76 which they were admitted at the time of specimen collection. Immunodeficiency patients consisted  
77 of specialties associated with profound immunodeficiency; bone marrow transplant, oncology,  
78 haematology and immunology specialties. Medical patients consisted of respiratory medicine,  
79 cardiac medicine, renal medicine, intensive care, neurology, dermatology, rheumatology, ear nose  
80 and throat and ophthalmology. It is likely that some patients in the medical category will have some  
81 degree of suppressed immunity.

82 Norovirus infections detected less than 48 hours after admission to hospital were considered  
83 positive on admission (POA); detection more than two days after admission was considered a  
84 hospital acquired infection (HAI). Since many of the patients at GOSH have complex medical  
85 histories, many of them have previously been admitted to local hospitals or had several outpatient  
86 visits prior to admission at GOSH, therefore earlier acquisition of infection in another healthcare  
87 facility cannot be excluded.

88 Infections that were detected for longer than one month were considered chronic infections; less  
89 than one month were acute.

#### 90 *Statistical analysis*

91 Statistical analysis was performed using IBM SPSS Statistics v23 (supplementary methods).

## 92 **Results**

### 93 *Prevalence of gastroenteric viruses*

94 Twenty-four percent (329/1393) of all patients tested in the twelve month period were positive for a  
95 gastroenteric virus, among which norovirus and adenovirus predominated with 144 and 146  
96 episodes each over the 12 month period, each constituting 44% of all viral gastrointestinal infections  
97 (Table 2).

98 Forty-four of the 329 infections (13%) were mixed infections with more than one virus detected  
99 (Table 2). The predominant mixed infections (23 /44, 52%) were norovirus and adenovirus, followed  
100 by norovirus and sapovirus (7/44, 16%) and adenovirus and sapovirus (6/44, 14%). Rotavirus was  
101 least frequently detected as part of a mixed infection (5/44, 11%). An equal number of mixed  
102 infections were from medical and immunocompromised patients; 16/44 (36%) each. Given that only  
103 19% of patients tested were in the 'immunocompromised' category, this suggests mixed infections  
104 are likely to be more frequently associated with immune dysfunction.

105 The median age of patients with a rotavirus infection, 0.7 years, was significantly younger than other  
106 infections with a median age of 2–3 years ( $P \leq 0.015$ , Supplementary Figure 2).

107 Norovirus infections had a significantly higher virus burden, median Ct 23, compared to other  
108 infections ( $P \leq 0.03$ , Figure 1a). Sapovirus infections had the lowest viral burden; median Ct 35.

### 109 *Rotavirus vaccine-derived infections*

110 Four rotavirus positive patients had insufficient residual specimen for genotyping, thus 29 of 33  
111 samples were genotyped. 28% (8/29) of rotavirus infections were identified as vaccine strain.

### 112 *Prevalence of norovirus genotypes*

113 Eighty-seven percent (117/133) of norovirus infections were genogroup II (GII), which had a  
114 significantly higher virus burden (median Ct 22) compared to genogroup I (GI) infections (median Ct  
115 28)( $P = 0.004$ , Figure 1b).

116 The majority of norovirus infections were GII.4 and GII.3; 52% and 26%, respectively (63/133 and  
117 32/133), with the remaining 22% (38/133) identified as GI.1, GI.2, GI.3, GI.4, GII.1, GII.2, GII.6 or  
118 GII.17 (Figure 2). Eleven samples (8%) could not be amplified by PCR; these had a significantly lower  
119 viral burden compared to other samples (median Ct 35 and 22 for failed and successful typing,  
120 respectively,  $P \leq 0.001$ ).

### 121 *Norovirus seasonality*

122 The proportion of norovirus genotypes each month in our paediatric population is not the same as  
123 those seen in nationally reported outbreaks, primarily attributable to the increased proportion of  
124 GII.3 in our population (Figure 3). A peak in incidence of GI.3 in nationally reported outbreaks from  
125 August to November 2014 is followed by a similar peak in our paediatric population from September  
126 to December 2014. Conversely, a peak in GII.6 episodes in our population from March to June 2015  
127 is not seen in nationally reported outbreaks (Figure 3).

128 The overall number of norovirus cases per month in our population does not follow the typical  
129 winter peak seen in national outbreaks (Figure 3). Instead it was noted that in our population the  
130 number of cases of norovirus per month follows a similar trend to the number of hospital  
131 admissions, including outpatient visits and transfer between wards (Figure 4). There is a significant  
132 positive correlation between the number of admissions and number of norovirus cases per month ( $R$   
133  $= 0.703$ ,  $P = 0.011$ ). This suggests that the number of hospital admissions accounts for 50% of the  
134 variability in number of norovirus cases ( $R^2 = 0.494$ ). Based on the Poisson regression coefficient  
135 ( $y = -1.447 + 0.001x$ ) it is estimated that one case of norovirus occurs for every 100 admissions  
136 (95% CI 0.000–0.002,  $P = 0.002$ ).

137 *Seasonality of other viruses*

138 Similarly to norovirus, adenovirus showed a summer peak in new infections. Conversely rotavirus  
139 infections had a spring peak, sapovirus peaked in winter and spring and astrovirus showed no  
140 distinct seasonal trends (Supplementary Figure 3).

141 *Hospital and community acquired norovirus infections*

142 Infections acquired before admission (POA) include a greater range of genotypes, with hospital  
143 acquired infections (HAI) showing a higher proportion of GII.4 infections (40% and 68%, respectively;  
144 Supplementary Figure 4); however this difference is not significant ( $P = 0.062$ ).

145 *Norovirus in clinical specialties*

146 The incidence of norovirus infection is higher in immunocompromised compared to surgical or  
147 medical patients; 19% (51/270) of immunocompromised patients tested were found to be norovirus  
148 positive compared to 5% (10/202) and 7% (57/803) of surgical and medical patients.

149 There was no significant difference in the norovirus PCR Ct values between immunocompromised  
150 and non-immunocompromised patients (median Ct 23 and 24, respectively;  $P=0.226$ ).

151 *Chronic infections*

152 Norovirus had the highest rate of chronic infections (38/144, 25%); adenovirus, rotavirus and  
153 sapovirus had similar rates whilst astrovirus had the fewest (1/18, 6%) (Table 2, Supplementary  
154 Figure 5a). With the exception of sapovirus, in which chronic infections occurred equally in  
155 immunocompromised and medical clinical specialties, the majority (67–100%) of chronic infections  
156 were in patients from immunocompromised clinical specialties (Supplementary Figure 5b).

157 There was no difference in proportion of chronic patients between the different norovirus  
158 genotypes (Supplementary Figure 6,  $P = 0.801$ ). The median duration of infection in chronically  
159 infected patients was 5 months (range 1–21 months).

160 **Discussion**

161 We present the incidence of viral gastrointestinal infections and the prevalence of norovirus  
162 genotypes in a large cohort of 1,393 paediatric patients in a tertiary referral hospital over a 12  
163 month period, which is dominated by norovirus and adenovirus infections. This is similar to previous  
164 reports of UK hospitalised children in which norovirus and adenovirus were detected in 15–16% and  
165 14–15% of cases, respectively[7].

166 Following the introduction of the rotavirus vaccine to the UK childhood vaccination programme in  
167 July 2013 the incidence of rotavirus infections has reduced by 67%[8], which is reflected in the low  
168 incidence of 2% reported in this study; an earlier study of hospitalised UK children reported a 31%  
169 rotavirus positive rate[7]. Whilst the rate of rotavirus positive patients is reduced compared to the  
170 pre-vaccination UK study [7] the rate of detection of norovirus, adenovirus, sapovirus and astrovirus  
171 is similar; consequently the overall positivity rate for gastrointestinal viruses is lower than previously  
172 reported; 23% in this study compared to 53% reported previously[7]. This suggests that other  
173 gastrointestinal viruses have not increased in prevalence to replace rotavirus infections.

174 Unexpectedly, the overall incidence of norovirus does not follow the characteristic seasonal trend  
175 seen in national outbreaks. Instead the number of infections per month strongly correlates with the  
176 number of hospital admissions, accounting for 50% of the variability in norovirus incidence. Our  
177 results suggest that the incidence of norovirus in a tertiary children’s hospital is driven by traffic  
178 through the hospital, rather seasonal outbreaks. The breadth of genotypes seen in this study, more  
179 commonly seen in community cohorts compared to hospitals, backs this hypothesis; patients  
180 presenting to primary healthcare facilities, such as GP practices, reportedly have a lower proportion  
181 of GII.4 infections; 54% compared to 91% of hospital infections are GII.4 [9]. The true distribution of  
182 norovirus genotypes in the community is not known since all genotyping studies to date are based  
183 on patients presenting to healthcare facilities thus introducing a presentation bias. Genotyping of



184 norovirus infections in unbiased community cohorts is needed in order to determine whether  
185 infections caused by a breadth of genotypes are a true reflection of the community.

186 In our cohort a quarter (26%) of norovirus infections were caused by GII.3, which has previously  
187 been described in varying proportions in UK paediatric cohorts, from 0–20%[10]. This is different to  
188 adult cohorts, in which outbreaks are largely dominated by GII.4[9]. Our data supports the notion  
189 that GII.3 is more frequently associated with children; however the reason for this is unknown. We  
190 speculate the reason could be immunity to GII.3 in the adult population following childhood  
191 infection, differences in receptor binding between children and adults or lower transmissibility  
192 compared to GII.4 resulting in fewer associated outbreaks and reporting bias.

193 All patients in this study have been categorised by clinical specialty; this was based on the ward to  
194 which they were admitted at the time of specimen collection. It is clear that immunocompromised  
195 patients are over-represented among patients with norovirus infection; 19% of  
196 immunocompromised patients were found to be norovirus positive, compared to just 5% and 7% of  
197 surgical and medical patients, respectively. Previous studies in smaller cohorts of 47 and 116  
198 immunocompromised patients have reported incidence of norovirus as 23% and 22%, respectively  
199 [11, 12] which suggests the categorisation of patients into clinical specialties in this study is reliable  
200 and that our larger cohort of 270 immunocompromised patients corroborates earlier findings.

201 Norovirus, adenovirus, rotavirus and sapovirus show a similar rate of chronic infections (15–26% PCR  
202 positive >1 month), with the highest rate in norovirus and the lowest (6%) in astrovirus; the vast  
203 majority of chronic infections were in immunocompromised patients. Chronic norovirus infections in  
204 immunocompromised patients is a recognised cause of morbidity, in whom a bi-phasic illness  
205 develops [13] with an initial acute phase followed by a second chronic phase with viral shedding and  
206 diarrhoea lasting weeks to years. The consequence of chronic norovirus infection can be  
207 dehydration, malnutrition, dysfunction of intestinal barrier [14], dramatic weight loss [15], a  
208 requirement for nutritional support [16] and, in extreme cases, death [15, 17]. Immunocompromised

209 patients in this study do not show a higher norovirus viral burden or difference in genotypes;  
210 suggesting the higher chronicity in immunocompromised patients is host, not virus, mediated.  
211 The linear relationship between viral load and PCR Ct value makes Ct values a good semi-  
212 quantitative indicator of viral burden, with a difference of 3 Ct values equating to a log difference in  
213 viral load[18]. However, despite efforts to standardise stool volume in RNA extraction, differences in  
214 stool consistency make the input variable which may falsely indicate a higher or lower viral burden  
215 when comparing samples. Consequently small differences in viral burden, such as a two-fold  
216 difference estimated by a difference in Ct value of 1, are unlikely to be reliable when comparing  
217 stool samples. However major differences in viral burden, such as a log, are likely to be reliable since  
218 the input volume, whilst variable, is not expected to vary by such extremes.

219 We report the incidence of gastroenteric viruses in a large observational cohort of paediatric  
220 patients in a UK hospital following the implementation of routine rotavirus vaccination, showing  
221 rotavirus incidence of just 2%. All viruses are shown to establish chronicity, primarily in  
222 immunocompromised patients. We observe that new infections are not driven by seasonal trends,  
223 which may be specific to our population but has been reported in community cohorts[4]. The high  
224 proportion of non-GII.4 infections may have implications for vaccine development.

225

## 226 **Conflict of Interest Declarations**

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232 **Competing Interests**

233 The authors declare no competing interests

234 **Ethical Approval**

235 This study was approved by the NRES Committee London - Brent (REC reference 14/LO/1331).

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239 Figure 3(b) was reproduced, with permission, from the Public Health England (PHE) norovirus  
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