1	Title: Extracellular calcification of Braarudosphaera bigelowii deduced from electron
2	microscopic observations of cell surface structure and elemental composition of
3	pentaliths
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37
38 Abstract

39	We have performed morphological and crystallographic studies of <i>B. bigelowii</i> using
40	various light and electron microscopy techniques. LM study revealed that <i>B. bigelowii</i>
41	has a haptonema, and uses it for adhesion to external substrates. TEM study of
42	pentaliths indicates that the well-known lamina substructure is formed in turn of
43	consistently oriented elongated grains of fine-scale calcite having perfectly identical
44	crystallographic orientation. Cytological study shows that the pentaliths of <i>B. bigelowii</i>
45	are surrounded by organic structure consists of a pentalith-substrate and thin layers. The
46	pentalith-substrate underlies the proximal surface of the pentaliths and extends between
47	the sides of the individual pentaliths, it also extends between the five segments forming
48	a pentalith. Thin organic layers, which apparently originate from ridges of
49	pentalith-substrate, cover the distal surface of the trapezoidal segments. The close
50	association between the pentalith-substrate, organic layers, and pentaliths lead us to the
51	hypothesis that the B. bigelowii calcifies their pentaliths extracellularly, between the

52	pentalith-substrate and organic layers. Relatively high Mg contents observed from
53	pentaliths supports our hypothesis of extracellular calcification of <i>B. bigelowii</i> .
54	
55	Key Words
56	Braarudosphaera bigelowii, calcification, coccolith, coccolithophore, haptonema,
57	haptophyte, nannolith
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60	1. Introduction ver. 1: started with explanation of Braarudosphaera.
61	The family Braarudosphaeraceae comprises unicellular coastal phytoplankton and
62	belongs to the Class Prymnesiophyceae, Division Haptophyta (Takano et al., 2006).
63	They are characterized by very distinctive calcareous scales called pentaliths which
64	have pentameral symmetry and are formed of 5 segments with a laminar sub-structure,
65	(e.g. Perch-Nielsen, 1985a, b). The family first appeared in the Early Cretaceous, and
66	Braarudosphaera bigelowii, the extant type species of the family, appeared in the Late

68	in marine sediments, however, exceptionally became dominant in specific
69	time-intervals; in the early Danian immediately after the K/Pg mass extinction that
70	eliminated ca. 90% of calcareous nannofossils, and in the Oligocene diversity minimum
71	(e.g. Bown et al., 2004). Thus, <i>B. bigelowii</i> is an important species for understanding
72	the calcareous nannofossil assemblages after the extinction events. Extant B. bigelowii
73	has not been successfully cultured yet, and its ecological preferences are still unclear.
74	However, progresses of various studies on living <i>B. bigelowii</i> as well as that of
75	members of the class Prymnesiophyceae (Division Haptophyta) that includes the family
76	Braarudosphaeraceae in the last decade have unveiled the nature of the
77	Braarudosphaeraceae, as below.
78	The Division Haptophyta is predominantly marine unicellular phytoplankton,
79	characterized by a thread-like organelle, the haptonema, with a unique microtubular
80	cytoskeletal structure, which is inserted between two flagella (e.g. Green and
81	Leadbeater, 1994). The Haptophyta consists of two classes Pavlovophyceae and
82	Prymnesiophyceae. Members of the Pavlovophyceae have two unequal flagella and a
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84	two equal/subequal flagella and a variably developed haptonema (e.g. Edvardsen et al.,
85	2011; Green and Hori, 1994). In the class Prymnesiophyceae, Chrysochlomulina
86	possesses coiling haptonema (e.g. Edvardsen et al., 2011), and some Chrysochromulina
87	species use the haptonema for adhesion to external substrates (Inouye and Kawachi,
88	1994) and for handling of food (Kawachi et al., 1991).
89	Members of the Prymnesiophyceae have organic and/or mineralized scales on their cell
90	surface, and those which which produce calcareous scales, are called
91	coccolithophores . (de Vargas et al., 2007) proposed the subclass Calcihaptophycidae
92	for coccolithophores, although it has not yet been confirmed whether the lineages with
93	calcified scales have a monophyletic origin, since the position of the Family
94	Braarudosphaeraceae in the Prymnesiophyceae changes dependant on the analyses
95	(Hagino et al., 2013; Takano et al., 2006).
96	Calcified scales of coccolithophores are roughly classified into three groups;
97	heterococcolith, holococcolith, and nannolith, based on their morphology.
98	Heterococcoliths are formed of a radial array of complex crystal units, while
99	holococcoliths are formed of numerous minute euhedral crystals. Calcareous scales,

100	which do not clearly conform to either the heterococcolith or holococcolith pattern are
101	referred to as nannoliths, with the understanding that this is likely to be a very mixed
102	group (Young et al., 1999; Young et al., 2003). Pentaliths of the Braarudosphaeraceae
103	consists of five segments each of which behaves optically as a single crystal unit but
104	which have a distinctive laminar sub-structure (e.g. Bown, 1998). The pentaliths do not
105	conform to either the heterococcolith and holococcolith calcification mode, and so are
106	included in the nannolith group (e.g. Young et al., 1999; Young et al., 2003).
107	Haptophytes, including coccolithophores, reproduce asexually by binary fission in both
108	the diploid and haploid phases. Morphology of coccolith drastically changes in their life
109	cycle (e.g. Young et al., 2003). Members of the Coccolithales, Syracosphaerales, and
110	Zygodiscales produce heterococcoliths and holococcoliths in their diploid and haploid
111	phases, respectively. Members of the Noëlaerhabdaceae (Isochrysidales) produce
112	heterococcoliths in the diploid phase, but do not calcify in the haploid phases (e.g.
113	Houdan et al., 2004; Young et al., 2003). Morphological change of <i>B. bigelowii</i>
114	accompanying with alternation of life cycle has been partly revealed by molecular
115	phylogenetic study. A sequence from a non-calcifying motile cell culture strain, which

116	was originally identified as Chrysochomulina parkeae (Medlin et al., 2008), fell within
117	the <i>B. bigelowii</i> clade in a molecular phylogenetic tree based on 18S rDNA sequences
118	(Hagino et al., 2013; Thompson et al., 2012). As a result and following cytological
119	study, C. parkeae was determined to be an alternate life-cycle phase of B. bigelowii, and
120	B. bigelowii has priority over C. parkeae in taxonomy (Hagino et al., 2013).
121	Previous studies have revealed that the sites for calcification of heterococcoliths and
122	holococcoliths differ from each other. Calcification of heterococcoliths occurs
123	intracellularly, in the Golgi cisternae or in a special vacuolar system of the endoplasmic
124	reticulum directly connected to the nuclear membrane, and subsequently extruded onto
125	the cell surface (e.g. Drescher et al., 2012; Westbroek et al., 1989). The mechanism of
126	calcification of holococcoliths has not been determined enough yet, although it is
127	thought that calcification occurs extracellularly, and the outermost membrane or
128	'envelope' plays some role in calcification (Rowson et al., 1986). The morphology of
129	pentaliths greatly differs from that of both heterococcoliths and holococcoliths,
130	therefore, it is difficult to infer the site and mechanism of calcification of pentalith from
131	its morphology. Indeed the site and mechanism of calcification of pentaliths is an

132	interesting unsolved question, in particular it is unknown whether the pentaliths form
133	intracelluarly and are transported to the cell-surface or whether they form in situ, and so
134	extracellularly.
135	A possible approach to this is to use coccolith chemistry. (Cros et al., 2013) compared
136	the elemental composition of heterococcoliths and holococcoliths using energy
137	dispersive spectroscopy (EDS) equipped to secondary electron microscope (SEM).
138	They showed that holococcoliths differ from heterococcoliths in their Mg/Ca ratio, and
139	suggested that this is likely caused by the difference in calcification mechanism. At this
140	moment, there is no information on elemental compositions of pentaliths of the
141	Braarudosphaeraceae.
142	We have not successfully grown <i>B. bigelowii</i> in culture yet, and have not observed
143	process of calcification of pentaliths in laboratory. However, we have undertaken SEM
144	and transmission electron microscope (TEM) studies, which reveal a unique cell surface
145	structure on <i>B. bigelowii</i> that is likely related to calcification of pentaliths. In this study,
146	we will discuss the formation of pentaliths of <i>B. bigelowii</i> based on the cell surface

147	structure morphology, crystallographic texture and elemental composition of the
148	pentaliths.
149	
150	1. Introduction ver. 2; started with explanation of the Haptophytes.
151	The Division Haptophyta is predominantly marine unicellular phytoplankton,
152	characterized by a thread-like organelle, the haptonema, with a unique microtubular
153	cytoskeletal structure, which is inserted between two flagella (e.g. Green and
154	Leadbeater, 1994). The Haptophyta consists of two classes Pavlovophyceae and
155	Prymnesiophyceae. Members of the Pavlovophyceae have two unequal flagella and a
156	non-coiling rudimentary haptonema, while the members of the Prymnesiophyceae have
157	two equal/subequal flagella and a variably developed haptonema (e.g. Edvardsen et al.,
158	2011; Green and Hori, 1994). In the class Prymnesiophyceae, Chrysochlomulina
159	possesses coiling haptonema (e.g. Edvardsen et al., 2011), and some Chrysochromulina
160	species use the haptonema for adhesion to external substrates (Inouye and Kawachi,
161	1994) and for handling of food (Kawachi et al., 1991).

162	Members of the Prymnesiophyceae have organic and/or mineralized scales on their cell
163	surface. Some lineages of the Prymnesiophyceae, which produce calcareous scales, are
164	called as coccolithophores collectively. (de Vargas et al., 2007) proposed the subclass
165	Calcihaptophycidae for coccolithophores, although it has not yet been confirmed
166	whether the lineages with calcified scales are monophyletic origin or not, since the
167	position of the Family Braarudosphaeraceae in the Prymnesiophyceae changes
168	dependant on the analyses (Hagino et al., 2013; Takano et al., 2006).
169	Calcified scales of coccolithophores are roughly classified into three groups;
170	heterococcolith, holococcolith, and nannolith, based on their morphology.
171	Heterococcoliths are formed of a radial array of complex crystal units, while
172	holococcoliths are formed of numerous minute euhedral crystals. Calcareous scales,
173	which do not clearly conform to either the heterococcolith or holococcolith pattern are
174	referred to as nannoliths, with the understanding that this is likely to be a very mixed
175	group (Young et al., 1999; Young et al., 2003).
176	Haptophytes, including coccolithophores, reproduce asexually by binary fission in both
177	the diploid and haploid phases. Morphology of coccolith drastically changes in their life

178	cycle (e.g. Young et al., 2003). Members of the Coccolithales, Syracosphaerales, and
179	Zygodiscales produce heterococcoliths and holococcoliths in their diploid and haploid
180	phases, respectively. Members of the Noëlaerhabdaceae (Isochrysidales) produce
181	heterococcoliths in the diploid phase, but do not calcify in the haploid phases (e.g.
182	Houdan et al., 2004; Young et al., 2003).
183	Previous studies revealed that the sites for calcification of heterococcolith and
184	holococcoliths differ from each other. Calcification of heterococcoliths occurs
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186	reticulum directly connected to the nuclear membrane, and subsequently extruded onto
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189	thought that calcification occurs extracellularly, and the outermost membrane or
190	'envelope' plays some role in calcification (Rowson et al., 1986). (Cros et al., 2013)
191	compared the elemental composition of heterococcoliths and holococcoliths using
192	energy dispersive spectroscopy (EDS) equipped to secondary electron microscope
193	(SEM). They showed that holococcoliths differ from heterococcoliths in their Mg/Ca

194 ratio, and suggested that this is likely caused by the difference in calcification

195 mechanism.

196 The family Braarudosphaeraceae is a unicellular coastal phytoplankton and belongs to

197 the Class Prymnesiophyceae, Division Haptophyta (Takano et al., 2006). They are

- 198 characterized by five-fold symmetric calcareous scales with laminar structure called
- 199 pentalith (e.g. Perch-Nielsen, 1985a, b). Pentaliths of the Braarudosphaeraceae consists

200 of five segments each of which behaves optically as a single crystal unit but which have

- a distinctive laminar sub-structure (e.g. Bown, 1998). The pentaliths do not conform to
- 202 either the heterococcolith and holococcolith in structure, and so are included in the
- 203 nannolith group (e.g. Young et al., 1999; Young et al., 2003).
- 204 Extant Braarudosphaera bigelowii, the type species of the family, have twelve regular
- 205 pentagonal pentalith each consisting of five trapezoidal segments on their cell surface
- 206 (Fig. 1). *B. bigelowii* have never been maintained in culture despite many attempts, but
- 207 its morphological change accompanying with alternation of life cycle has been partly
- 208 revealed by molecular phylogenetic study. A sequence from a non-calcifying motile cell
- 209 culture strain, which was originally identified as Chrysochomulina parkeae (Medlin et



226 **2. Materials and Methods**

227 **2-1. Morphological studies**

228 Sea surface water samples were collected from Tomari Port and offshore Tomari Port,

- 229 Tottori Prefecture, Japan, on 232 occasions during studies on living coccolithophores
- from July 2008 through June 2014 (Fig. 1). Detailed information on the samples was
- given in (Hagino et al., 2015). One or two litre sea-surface water samples were collected

using a bucket, prefiltered through a 50-µm plankton net (Sefar Inc. Din-110), and

- 233 filtered onto Millipore HAWP04700 and/or Whatman 7060-4710 filters. Twelve filter
- samples, which were known to contain common Braarudosphaera bigelowii from
- previous study (Hagino et al., 2015), were selected for morphological studies on
- 236 pentaliths of *B. bigelowii* (Table 1). Small pieces of each filter sample were cut out, and
- fixed onto an SEM stub using double-sided carbon tape. Samples were coated with gold
- 238 (Sanyu SC701 MKII), and then examined with an SEM (JEOL JSM 7001F).
- 239 Three cells of *B. bigelowii*; US15.2-sc11, Furu-sc2, and Furu-SEM1, which were
- 240 collected from Usuka and Furue Bays, Nagasaki Prefecture, Japan during previous
- 241 molecular phylogenetic study (Hagino et al., 2009), were used for light and scanning

242	electron microscopic observations of <i>B. bigelowii</i> in this study (Table 2). Sea surface
243	water samples were concentrated using a plankton net with 5 μ m openings. The cells
244	were isolated using a capillary micropipette in an inverted light microscope (Olympus
245	CKX41), and then photographed by a camera (Olympus DP50) equipped to an upright
246	microscope (Olympus BX50). The side length of pentalith of each isolate was measured
247	on LM images to allow classification of morphotypes of <i>B. bigelowii</i> .
248	After the LM study, the specimen Furu-SEM1 was fixed with 4% osmium tetroxide for
249	1 minute, and adhered to poly-L-lysine-coated glass plates, according to the procedure
250	of (Tsutsui et al., 1976). The cell was rinsed with ion-exchanged water for three times,
251	kept in ion-exchanged water for two days, dehydrated in an ethanol series (30, 50, 70,
252	90, 95 and 100%), and then dried using a critical point dryer (Hitachi HCP-2). The cell
253	was coated with gold (Sanyu SC701 MKII), and was examined with SEM (JEOL JSM
254	7001F).
255	The specimen of <i>B. bigelowii</i> used for study of the cell surface by TEM was originally
256	collected for studies on molecular phylogeny and morphology B. bigelowii (Hagino et
257	al. 2013) from Tomari Port, Tottori, Japan on June 18, 2011 (Table 1). The specimen

was found from the same seawater sample that yielded the specimen examined in Fig. 3

of (Hagino et al., 2013), and the specimens were prepared for TEM observation together.

260 The methods of preparation for TEM study were fully described in (Hagino et al.,

261 2013).

262

263 **2-2.** Crystallographic and elemental analysis of pentaliths.

264 Two filter samples collected from offshore of Tomari Port prepared with Whatman

265 7060–4710 filters, which were known to contain sufficient *B. bigelowii* from previous

study (Hagino et al., 2015), were selected for the crystallographic and elemental

analyses of pentaliths (Table 1). Plankton preserved on surface of filter samples were

transferred onto one of two sides of carbon double-sided tapes, and the double-sided

tapes with plankton were placed on brass stubs for TEM and SEM-EDS analyses. The

- samples for crystallographic analyses were coated with gold (Vacuum Device VS-10),
- and the samples for elemental analyses were coated with platinum (Sanyu SC701 MC),

respectively.

273	A specimen of <i>B. bigelowii</i> with a complete exotheca of twelve pentaliths, and with no
274	evidence of secondary dissolution or falling off of outer layers, was selected for
275	crystallographic analysis under a focused-ion beam (FIB) apparatus (Hitachi SMI4050)
276	(Appendix 1a). A thin-foil section of the pentalith for TEM observation was prepared
277	by FIB after tungsten coating to avoid Ga-ion damage (Appendix 1b). The interlayers
278	between proximal and distal layers of pentalith were thinned to be ca 180 nm in
279	thickness (Appendix 1c). The thin-foil section was not parallel to the plane of pentalith
280	of B. bigelowii due to technical difficulty. Under TEM observation (JEOL
281	JEM-ARM200F), micro-morphology of the calcite grains consisting a segment of
282	pentalith was examined by bright field transmission electron image and high-angle
283	annular dark field scanning transmission electron image (HAADF-STEM), and their
284	crystallographic orientations were examined by selected-area electron diffraction
285	(SAED).
286	Elemental compositions of pentaliths of <i>B. bigelowii</i> , coccoliths of <i>G. oceanica</i> , <i>E.</i>
287	huxleyi, and T. adriatica encountered under an SEM (Hitachi SU1510) was examined
288	using energy-dispersive spectrometer (EDS) (Horiba EMAX X-act) attached to the

289	SEM. X-ray spectra were acquired at an accelerating voltage of 15 kV for 120 seconds
290	for pentaliths of <i>B. bigelowii</i> and heterococcoliths of <i>G. oceanica</i> and <i>T. adriatica</i> , and
291	for 240 seconds for heterococcoliths of <i>E. huxleyi</i> , with 3-8 % of dead time. X-ray
292	intensities in counts of Mg-K α and Ca-K α lines in respective spectra were analyzed
293	using the software Horiba EMAX 1.0.
294	
295	3. Results
296	3-1. Morphological studies of pentaliths
297	A total of 57 specimens of <i>B. bigelowii</i> were photographed from 12 samples from
298	Tomari Port or offshore Tomari in SEM (Table 1). Side length of pentaliths observed in
299	this study ranged from $5.5-8.0\mu m$, which corresponds to the size range of Intermediate
300	form-B of Hagino et al. (2009). The outermost lamina of each intact pentalith has a
301	smooth surface (topmost pentalith of the Fig. 2a). The inner laminae, which were
302	exposed by detachment of distal laminae, always have fine grooves (Figs 2a and 2b).
303	Direction of the grooves is almost perfectly consistent, as indicated by double-headed
304	arrows on Figs. 2a and 2b. The fine grooves appear to run parallel to the

306	(Appendix 2, Kameo and Furukawa, 2007).
307	The intensity of calcification of the different pentaliths covering a single cell was
308	consistent on all the observed cells, but varied between cells even from the same
309	seawater sample. Pentaliths of lightly calcified specimens are often concave (Figs 2c-d).
310	A pentalith with incomplete layers was found in the sample collected from st. 1 of
311	Tomari Port in June 20, 2010 (Fig. 2e). In this pentalith, calcification mainly occurred
312	around the outline of the pentalith and along the contact surfaces between the segments
313	(= pentalith-substrate, which is defined in the section 3-3). The central part of four of
314	the five segments was hollow. Each segment was composed of multiple incomplete
315	layers (arrow on Fig. 2e). A specimen without any calcareous pentaliths but with
316	pentagonal impressions on its cell surface (Fig. 2f) was found in a sample (st.1, June 21,
317	2010), which yielded many well-calcified specimens.
318	

crystallographic *c*-axis of the calcite crystal units as estimated by previous study

3-2. Light microscopic studies of living *B. bigelowii*

320	Side length of the pentalith of the isolates Furu-SEM1 (Figs 3a-b), US15.2-sc11 (Fig.
321	3c), and Furu-sc2 (Figs 3d-e) were c.a. 8.1, 6.5, and 5.5 μ m, respectively. Hence,
322	specimen Furu-SEM1 belongs to the large form, whilst the specimens US15.2-sc11 and
323	Furu-sc2 belong to the Intermediate form-B of (Hagino et al., 2009) (Table 2).
324	Light microscopic studies showed that calcified cells of <i>B</i> . <i>bigelowii</i> often have a
325	flagellum-like organ (arrows on Fig. 3), which is capable of coiling (arrow on Fig. 3c).
326	B. bigelowii often adhered firmly to the surface of slide glass or petridish using this
327	organ (Figs. 3d-e). This behavior of the organ suggests that it is a haptonema. Calcified
328	cells of <i>B. bigelowii</i> were non-motile. Two equal flagella were reported from motile
329	non-calcified cells of <i>B. bigelowii</i> (= <i>C. parkeae</i>) (Green and Leadbeater, 1972), but
330	have never been observed on calcified cells of <i>B. bigelowii</i> . In relation to the coccolith
331	formation, numerous calcified B. bigelowii cells have been observed during isolation for
332	molecular studies (Hagino et al., 2013; Hagino et al., 2009; Takano et al., 2006) and for
333	attempted culture studies, None of these have ever been observed to possess incomplete
334	pentaliths inside the cells, nor have intracellular pentaliths been recorded in any other
335	study.

337 **3-3. SEM observation of cell surface structure**

338 The specimen Furu-SEM-1 originally had complete 12 pentaliths (Figs 3a-b), however 339 it lost its pentaliths during preservation of the haptonema for SEM observation, and so 340 its cell surface structure was exposed (Fig. 4). SEM observation showed that the sides 341of the individual pentaliths (white solid arrows on Fig. 4c) and the contact surface 342between the sides of the trapezoidal segments (black solid arrows on Fig. 4c) are 343 delineated by ridges. This cell surface structure is unlike anything observed on any 344other coccolithophore, inded typically coccolitopohre cell surfaces are smotth with on 345trace of the coccoliths. Clearly this distinctive surface is related to the pentaliths and so 346 we will refer to it as the *pentalith-substrate*,. 347 The ridges on the pentalith-substrate between sides of pentaliths have fine grooves that 348 correspond to laminae forming the pentaliths (dashed black arrow on Fig. 4c). In 349 addition, fine wrinkles occurred on the distal surface of the pentalith-substrate (white 350 dashed double headed arrows on Fig. 4c) with the same orientation as the fine grooves observed on the inner layers of pentaliths in SEM (white solid double-headed arrows on 351

Figs. 1a and b), and *c* axis of calcite of the layers (Appendix2, Kameo and Furukawa,
2007). The haptonema emerged from one of the inter-plate ridges of pentalith-substrate
(white triangle on Fig. 4b).

355

356 **3-3. TEM observation of cell structure**

Figure 5a shows the general appearance of one of the thin sections obtained from a B. 357 bigelowii cell. The cell is surrounded by thick pentaliths, and the distal surface of 358 359 pentaliths is covered with a thin black layer (black triangles), that indicates the presence 360 of a thin organic structure covering the pentaliths. The section also shows a spherical 361body (S. in Fig. 5a) and two chloroplasts (C in Fig. 5a). Figs. 5b and 5c are close up 362 view of Fig 5a, showing details of the organic structure. Relatively thick organic 363 structures were visible at contact surfaces between pentaliths (white solid arrows on 364 Figs. 5b-c) as well as at contact surfaces between trapezoidal segments consisting a 365 coccolith (a black solid arrow on Fig. 5b). These structures correspond to the ridges of 366 pentalith-substrate observed in SEM (black and white arrows on Fig. 4). The organic 367 structure covering distal surface of pentaliths (black triangles on Fig. 5a) consists of

368	multiple very thin layers (black triangles on Fig. 5c), and those layers were connected to
369	the ridges of pentalith-substrate (a white solid arrow on Fig. 5c). Thus, the trapezoidal
370	segments forming a pentalith are surrounded by an organic structure consisting of the
371	pentalith-substrate and thin distal organic layers.
372	
373	3-4. Crystallographic study of pentaliths
374	TEM studies of the thin-foil section prepared from middle part of layers showed (Fig.
375	6a) that the layers consist of many elongated calcite grains, which were consistently
376	aligned (Figs 6b-c). The directions of elongation were essentially the same as those of
377	the fine grooves observed on pentaliths in SEM (double-headed white arrows on Figs
378	1a-b) (Plate 2-6 of (Hagino et al., 2009), and of the wrinkles observed on the
379	pentalith-substrate (dashed double-headed white arrows on Fig 4c). The whole area of a
380	segment (Fig. 6c) showed a sharp SAED pattern of calcite along the [21-1] zone axis
381	(Fig. 6d). This result shows all of the elongated grains in a segment have exactly the
382	same crystallographic orientations. The <i>c</i> -axis was not detected from the plane of this

383 thin section.

385 3-5. Elemental analyses of pentaliths

386 A total of twenty six pentaliths of *B. bigelowii*, eight heterococcoliths of *Emiliania*

387 *huxleyi*, five heterococcoliths of *Gephyrocapsa oceanica* and six heterococcoliths of

- 388 Tergestiella adriatica were examined by SEM-EDS (Fig. 7). The integrated counts of
- 389 Ca-K α and Mg-K α peaks in each spectrum were obtained. Ca and Mg counts taken
- 390 from the carbon tape without coccolithophores (background) were mostly lower than
- 391 10,000 and 800, respectively. The Ca counts ranging from ca 14,000 to 90,000 in the
- heterococcoliths, and 40,000 to 80,000 in pentaliths of *B. bigelowii*. The Mg counts of
- 393 heterococcoliths were usually less than 1,000, although the counts of two coccoliths of
- 394 *G. oceanica* exceeded 1,000. The Mg counts of pentaliths of *B. bigelowii* ranged from
- 395 ca. 28,000 to 78,000, and were positively correlated with the Ca counts (R = 0.67).
- 396
- 397 **4. Discussion**
- 398 **4-1. Ploidy state**

399	This study revealed that calcified cells of <i>B. bigelowii</i> have a haptonema, and use it for
400	adhesion to external substrata. The calcified cells are non-motile and do not have
401	flagella, unlike non-calcifying motile cells of <i>B. bigelowii</i> , which were originally
402	described as C. parkeae as possessing a haptonema and two flagella (Green and
403	Leadbeater, 1994). This is the first known example of haptophytes in which the
404	non-motile cells without flagella possess a haptonema.
405	Many coccolithophores change their motility and scale morphology in their life cycle.
406	Members of Nöelaerhabdaceae (Isochrysidales) are non-motile and calcifying in diploid
407	phase, and motile and non-calcifying in haploid phase. Members of the Coccolithales
408	are non-motile and calcifying in diploid phase, and motile and calcifying in haploid
409	phase. Members of the Syracosphaerales and Zygodiscales are motile and calcifying in
410	both the diploid and haploid phases (e.g. Houdan et al., 2004; Young et al., 2003). So
411	far as is known, all haploid cells of coccolithophores are motile. The ploidy state of
412	non-motile (calcifying) and motile (non-calcifying) cells of <i>B. bigelowii</i> is still
413	unknown due to lack of culture strains, however, comparison of its behavior with that of
414	other coccolithophores suggests that the non-motile (calcifying) and motile

415 (non-calcifying) stages of *B. bigelowii* likely correspond to diploid and haploid phases,416 respectively.

417

419 In this study, the presence of pentalith-substrate of the specimen Furu-SEM1 was 420revealed as a result of dissolution of pentaliths during cleaning of the cell using 421ion-exchanged water after fixation of the organic structure by osmium tetroxide. In our 422experience, coccoliths/pentaliths can be dissolved in ion-exchanged water, probably 423because ion-exchanged water is depleted in ions and the carbon dioxide in the 424atmosphere easily dissolves in the ion-exchanged water. Another example of dissolution 425of pentaliths in ion-exchanged water is shown in Appendix 3. The pH of the 426 ion-exchanged water used for cleaning of the specimen Furu-SEM1 is unknown, but 427 probably it was slightly acidic. (Hochuli, 2000) reported organic fossils, which closely 428resemble the pentalith-substrate of *B. bigelowii*, from Oligocene sediments from the 429North Sea prepared for palynological studies using hydrochloric and hydrofluoric acids. 430 The material forming the pentalith-substrate is unknown, however, observation by

431	(Hochuli, 2000) indicates that it is probably formed with some resistant
432	non-hydrolyzable biopolymer. At this moment, there are no reports on cell covering
433	formed with resistant non-hydrolyzable biopolymer from the members of the
434	Haptophytes. Therefore, it is difficult to assume the composition of pentalith-substrate
435	at this point.
436	The morphological similarity between the organic cell covering structure
437	(pentalith-substrate and thin layers) and the pentaliths of <i>B. bigelowii</i> is unusual for
438	coccolithophores. Previous studies showed that diploid cells of typical coccolithophores
439	bearing heterococcoliths have smooth cell membranes, and that there is no relationship
440	between the morphology of the cell membrane and of heterococcoliths (e.g. Drescher et
441	al., 2012; Probert et al., 2007). Haploid cells of typical coccolithophores (e.g. C.
442	pelagicus) have complex cell coverings consisting of the plasmalemma, columnar
443	material, several layers of scales, holococcoliths and an outermost investment called the
444	envelope. The organic 'envelope' is considered as delimiting the site for calcification of
445	holococcoliths (Rowson et al., 1986), but again there is no morphological similarity
446	between cell membrane structure and holococcoliths. As we reported above, trapezoidal

447	segments of pentalith of <i>B. bigelowii</i> are surrounded by the pentalith-substrate and
448	multiple very thin organic layers. The site for calcification of the pentaliths has not been
449	confirmed yet due to the lack of in situ observations of calcification, however, the close
450	morphological similarities suggest that the organic pentalith-substrate and thin layers
451	may act as a 'guide' for the shaping of pentaliths, and the organic layers covering distal
452	side of trapezoidal segment may correspond to 'envelope' of motile cells of C.
453	pelagicus.
454	
455	4-3. Process of calcification
456	A pentalith with incomplete calcareous layers (Fig. 2e) can be considered as in the
457	process of calcification or malformation rather than the result of secondary dissolution
458	of layers, since secondary dissolution of pentalith starts from the margin of pentaliths
459	not from the center of pentaliths (Appendix 3). Presence of multiple incomplete
460	calcified layers along ridges of pentalith-substrate suggests that <i>B. bigelowii</i> calcify
461	multiple layers at the same time (arrow on Fig. 2e).

462	A naked cell without pentaliths but with pentagonal impressions on its cell surface,
463	which resembles the pentalith-substrate of <i>B. bigelowii</i> , was observed in this study (Fig.
464	2f). The sample, which yielded the naked cell, also contained many <i>B. bigelowii</i> cells
465	with calcified pentaliths. Therefore, if it is <i>B. bigelowii</i> , it should be in the state prior to
466	the start of calcification rather than a cell that lost pentaliths due to secondary
467	dissolution. If the cell is in the precursor state to calcification, presence of twelve
468	impressions of pentaliths on a cell (Fig. 2f) suggest that <i>B. bigelowii</i> does calcification
469	of 12 pentaliths on cell surface synchronously.
470	We have isolated > 500 of cells of <i>B. bigelowii</i> through our previous studies and
471	on-going culture studies, but never seen incomplete pentaliths within the cell of <i>B</i> .
472	bigelowii (Hagino et al., 2013; Hagino et al., 2009; Takano et al., 2006) (personal
473	observation by KH). The lack of observation of incomplete pentaliths inside the cell
474	supports our hypothesis that <i>B. bigelowii</i> calcifies the 12 pentaliths synchronously on its
475	cell surface not inside the cell.
476	

477 **4-3. Mineralogical characteristics of pentaliths**

478	TEM study of a pentalith revealed that the layers in the thin-foil section consist of
479	numerous calcite grains elongated in almost the same direction (Figs 6b-c). Since the
480	thin-foil section was prepared from intermediate part of layers, which is hardly affected
481	by secondary-dissolution, the morphology observed in TEM is a primary structure not
482	the result of dissolution. The direction of the long axis of the grains and their
483	appearance looks to be the same as that of the fine grooves observed from inner layers,
484	which were exposed by loss of the outermost smooth distal layer (double-headed solid
485	arrows in Figs 2a-b) (Fig. 2-6 of Hagino et al., 2009). The similarity in structure
486	observed in both TEM and SEM suggests that the fine grooves observed by loss of
487	outermost layers are also a primary structure. This result raised another question, why
488	does the only outermost distal layer have a smooth surface? The absence of fine
489	grooves/calcite grain structure can be explained by multiple organic layers covering the
490	distal surface of pentalith (black triangles on Fig. 5c) that may conceal the fine structure
491	of distal lamina.
492	The direction of the long axis of the calcite grains looks to be the same as that of the
493	fine wrinkles observed on the distal surface of the pentalith-substrate (double headed

494	dashed arrows on Fig. 4c). Similarity in direction of calcite grains and wrinkles of
495	pentalith-substrate suggest a possibility: the pentalith-substrate plays a role on growth
496	of calcite grains.
497	The examined TEM section should consist of a couple of stacked lamina, since the
498	thin-foil TEM section (c.a. 180 nm) was much thicker than that of a single lamina of the
499	pentalith (< 70 nm, Appendix 4). Therefore, calcite grains in all the lamina have
500	perfectly identical crystal orientation. This suggests that <i>B. bigelowii</i> strictly controls
501	crystal orientation of calcite grains.
502	
503	4-4. Chemical contents of pentaliths
504	
	Heterococcoliths calcified intracellularly contain very low Mg^{2+} (1/10~ 1/100) in
505	Heterococcoliths calcified intracellularly contain very low Mg^{2+} (1/10~ 1/100) in comparison to foraminiferan tests calcified extracellularly (Stoll et al. 2001). That is
505 506	Heterococcoliths calcified intracellularly contain very low Mg^{2+} (1/10~ 1/100) in comparison to foraminiferan tests calcified extracellularly (Stoll et al. 2001). That is consistent with the highly regulated selective ion transport mechanism utilized during
505 506 507	Heterococcoliths calcified intracellularly contain very low Mg ²⁺ (1/10~ 1/100) in comparison to foraminiferan tests calcified extracellularly (Stoll et al. 2001). That is consistent with the highly regulated selective ion transport mechanism utilized during calcification (Brownlee and Taylor, 2004; Stoll and Ziveri, 2004). On the other hand,
505 506 507 508	Heterococcoliths calcified intracellularly contain very low Mg ²⁺ (1/10~ 1/100) in comparison to foraminiferan tests calcified extracellularly (Stoll et al. 2001). That is consistent with the highly regulated selective ion transport mechanism utilized during calcification (Brownlee and Taylor, 2004; Stoll and Ziveri, 2004). On the other hand, holococcoliths, which are calcified outside the periplast (Rowson et al., 1986), contain

510	B. bigelowii almost certainly calcifies pentaliths extracellularly, and always contain a
511	relatively high amount of Mg in the pentalith. Together with the results from (Cros et al.,
512	2013), our study showed that elemental compositions of calcified scales are correlated
513	with the site of calcification, and that higher contents of Mg in the calcified scales
514	indicates extracellular calcification. The phylogenetic positions and the sites of
515	calcification of many other nannolith-bearing species, such as Nannoconus, are still
516	unknown. So, elemental studies of calcareous nannofossils using EDS would be useful
517	to help identify the calcification sites as well as understanding of phylogeny of extinct
518	calcareous nannofossils.
519	Elemental compositions of foraminiferan tests have been used for reconstruction of the
520	temperature and/or water chemistry in geological ages (e.g. Barker et al., 2005). The
521	high Mg content of pentaliths of <i>B. bigelowii</i> suggests the possibility that pentaliths will
522	record seawater chemistry at the time of the calcification as is the case for foraminiferan
523	tests. The fossil records of the family Braarudosphaeraceae extend back to the Early
524	Cretaceous (140 million years ago) with no change in ulstrastructure, (Bown, 1998). So,

525	we	predict that pentaliths of the Braarudosphaeraceae may provide valuable records of
526	the	chemical conditions of seawater in the geological past.
527		
528	5. S	Summary
529	1.	Non-motile calcified cells of Braarudosphaera bigelowii do have a haptonema but
530		do not possess flagella. B. bigelowii uses the haptonema for adhesion to external
531		substrates.
532	2.	<i>B. bigelowii</i> has a pentalith-substrate that closely underlies the calcareous
533		pentaliths, and multiple organic thin layers that develop from ridges of the
534		pentalith-substrate extend onto the distal surface of the pentaliths. The close
535		morphological correspondence suggests that the pentalith-substrate and organic
536		thin layers act as a 'guide' for shaping the pentaliths, and for calcification of 12
537		pentaliths covering a cell occur on pentalith-substrate at the same time.
538	3.	Braarudosphaera pentaliths consistently show higher Mg content than regular
539		heterococcoliths, closer to the values, which would be expected for equilibrium
540		calcification from sea-water. This supports our hypothesis that B. bigelowii calcify

541 their pentaliths extracellularly rather than in an intracellular compartment, it also

makes them of potential value for geochemical study.

543

544 Acknowledgements

We thank Natsuko Tomioka, Takayuki Tanaka and Kayo Tanaka for help with sampling
at Tomari, Tottori Japan. We are grateful Motoo Ito in JAMSTEC for his support in
SEM-EDS analyses. We acknowledge XXXX and XXXX (Reviewers) for their critical
and constructive review. This research was supported by Grant in Aid for environmental
study of art and sciences by Tottori Prefecture, Japan, Grant-in-aid for Scientific
Research from the Japan Society for the Promotion of Sciences (No. 20740296,
23540550, and 90374206).

553 Figure Caption

Fig. 1. Location of samples used in this study: (a) Locality of Furue, Usuka, and Tomariports. (b) Locality of sampling stations in the Tomari area.

556 Fig. 2. Scanning electron and light microscopic images of Braarudosphaera bigelowii

- and SEM image of an unknown specimen, resembling *B. bigelowii*.
- (a) *B. bigelowii* from st. 3 of Tomari Port (June 27, 2009). (b) *B. bigelowii* from st. 3 of
- 559 Tomari Port (June 27, 2009). (c) *B. bigelowii* from st. D of Tomari Port (June 15, 2012).
- 560 (c) Lightly calcified *B. bigelowii* specimen from offshore Tomari (June 17, 2013). (d)
- 561 Lightly calcified specimen of *B. bigelowii* from st. D of Tomari Port (June 21, 2011).
- 562 (e) Incomplete pentalith of *B. bigelowii* from offshore Tomari (June 17, 2013). (f)
- 563 unidentified cell that has *B. bigelowii*-like cell surface structure from st. 1 of Tomari
- 564 Port (June 21, 2010). Note. Arrow on (e) indicates position where multiple laminae are
- visible. Double-headed arrows on (a) and (b) indicate the orientation of the grooves on
- the laminae.
- 567 Fig. 3. Light microscopic images of *B. bigelowii*: (a) and (b) Specimen Furu-SEM1. (c)
- 568 specimen US15.2-sc11, (d) and (e) Furu-sc2. White arrows indicate the haptonema.
- 569 Fig. 4. SEM images of cell surface structure of the specimen Furu-SEM1. (a) general
- 570 view of the specimen. (b) close up view of the base of the haptonema (white triangle).
- 571 (c) Close up view showing the pentalith-substrate. Solid white arrows indicate

572	pentalith-substrate between contact surfaces of pentaliths. Solid black arrows indicate
573	extensions of the pentalith-substrate into the contact surface between trapezoidal
574	segments. Dashed black arrow shows horizontal lines on pentalith-substrate that
575	corresponds to laminae of pentalith. Double-headed dashed white arrows show the
576	direction of fine corrugations on the pentalith-substrate structure.
577	Fig. 5. TEM images of a cytological section through a <i>B. bigelowii</i> cell. (a) Complete
578	cytological section of the <i>B. bigelowii</i> cell. (b and c) Details of the cross section. C. and
579	S in Fig. 5(a) indicate chloroplast and spheroid body, respectively. Solid white arrows
580	indicate the pentalith-substrate extending between the pentaliths and protruding slightly
581	beyond them. Solid black arrow indicates pentalith-substrate intruding into the contact
582	surface between trapezoidal segments. Black triangles indicate thin organic layers
583	covering the distal surface of the pentalith and connected to the pentalith-substrate.
584	Fig. 6. Thin-foil cross section of pentaliths of <i>B. bigelowii</i> cut using a focused-ion beam
585	(FIB) apparatus. (a) TEM image of the whole section, this comprises a section parallel
586	to the surface of one pentalith (black arrow) and through the sides of two neighboring
587	pentaliths (white arrows). (b) Close up view of a segment of Fig. 6a in TEM. (c)

588	High-angle annular dark field (HAADF) image of the segment in Fig. 6b, showing a
589	skeletal texture consisting of elongated calcite grains. The contrast is mainly caused by
590	averaged atomic numbers of the sample. Bright area shows elongated calcite grains. The
591	direction of the elongation corresponds to that of fine graves in SEM observation (Figs.
592	2a and b). (d) Electron diffraction pattern taken from whole area of the segment b along
593	the [21-1] zone axis. The pattern shows all of the elongated grains have exactly same
594	crystallographic orientations.
595	Fig. 7. Mg and Ca X-ray microanalysis counts for pentaliths of <i>B. bigelowii</i> ,
596	heterococcoliths of Emiliania huxleyi, Gephyrocapsa oceanica, and Tergestiella
597	adriatica, and background.
598	
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- 685



Fig. 1 Hagino et al.



Fig. 2 Hagino et al.



Fig. 3 Hagino et al.



Fig. 4 Hagino et al.



Fig. 5 Hagino et al.



Fig. 6. Hagino et al.



Fig. 7, Hagino et al.