

Depressive symptoms and obesity: Instrumental variable analysis using mother–offspring pairs in the 1970 British Cohort Study

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Abstract

Background: The extent to which depression and obesity are causally related remains to be determined. We utilised intergenerational data on mother – offspring pairs in an instrumental variable analysis to examine the longitudinal association between adolescent depressive symptoms and body mass index (BMI) in adulthood.

Methods: A total of 4,733 mother–offspring pairs were identified from the 1970 British Cohort study. Mothers completed the Malaise Inventory to assess depressive symptoms on three occasions across their offsprings childhood/adolescence (ages 5, 10, 16). Height and weight were recorded in mother and offspring (age 16). Measures of height, weight and the Malaise inventory were repeated in the participant at age 42.

Results: Maternal malaise score was associated with offspring malaise score, thus confirming the validity of the chosen instrumental variable. A higher mother’s malaise score was associated with higher offspring BMI at follow up ($B=0.043$, 95% CI, 0.013, 0.072). There was a higher risk of adulthood offspring obesity in mothers with two or three episodes of depression compared with one or none (Odds ratio, 1.42, 95% CI, 1.14, 1.76). The maternal malaise – offspring BMI association remained ($p=0.003$) after adjustment for offspring malaise score, suggesting that maternal mental health influences offspring obesity through mechanisms other than depression. Results from standard and instrumental variable analyses did not support a causal pathway in a direction from BMI to depression.

Conclusions: Our data support a causal pathway linking adolescent depressive symptoms to adiposity in adulthood over 26 years follow up. The reverse direction, ie, adiposity to depression, was not supported.

Key words: Birth cohort; Epidemiology; Obesity; Depression

1 **Introduction**

2 Obesity and depression are both risk factors for morbidity and mortality,^{1,2} but the extent to which
3 these two conditions are causally related remains to be determined. In particular, understanding the
4 direction of association is one of the problems with the contemporary literature on depression and
5 obesity. There is evidence to suggest excess adiposity might lead to depressive symptoms,³ although
6 depressive symptoms may also precede the development of obesity.⁴⁻⁶ Since co-occurrence of
7 obesity and depressive symptoms is also possible, this raises difficulties in establishing direction of
8 association in observational studies.

9 Investigators have taken various approaches in an attempt to resolve the direction of association. In
10 studies with data collected across the life course, pre-morbid childhood obesity has been related to
11 the subsequent occurrence of mental health problems.⁷ Such an approach is, however, still subject
12 to residual confounding. Using another technique, investigators have used Mendelian
13 randomization, a form of instrumental variable analysis, to examine the association of obesity with
14 mental health problems. This approach utilises adiposity-related genetic variants as an
15 unconfounded instrument variable for obesity. With fat mass and obesity-associated (FTO) genotype
16 representing a proxy or instrument for higher body mass index (BMI), the rationale is that as
17 different FTO genotypes are randomly allocated at conception, the associations of FTO variants with
18 depression should be free of confounding and reverse causation. This approach has, however,
19 produced inconsistent findings with direct,^{8,9} inverse¹⁰ and null associations¹¹ reported between
20 obesity and later risk of depressive symptoms. With robust genetic variants for depression
21 presently unknown, it has not yet been possible to use Mendelian randomization approaches for
22 assessing the role of depression in the aetiology of obesity.

23 An alternative instrumental variable analysis may be used in this context. It is well established that
24 maternal (and paternal) BMI is related to offspring BMI,¹² and the same is known for the
25 intergenerational correlation for mental health.¹³ It is also the case that maternal–offspring

26 relationships are likely to be less biased and confounded than conventional analyses using exposure
27 and outcome data from the same individual. For example, illnesses that lead to lower BMI could
28 generate a spurious inverse association between BMI and depression but it is unlikely to bias the
29 association between mother's BMI and offspring depression. Accordingly, to test the hypothesis
30 that depression increases the risk of obesity, we used a novel instrumental variable analysis (the first
31 of its kind in this area); maternal mental health was used as an instrument for offspring mental
32 health to examine whether it predicted offspring's BMI in adulthood. Since both symptom severity
33 and chronicity of exposure may be important in predicting outcomes, we modelled maternal
34 depression using both absolute symptom scores and also as long term exposure from data on
35 maternal depressive symptoms across three time points. In order to test the hypothesis of obesity
36 leading to depression, we studied the associations of maternal BMI with offspring BMI and
37 subsequent mental health.

38

39 **Methods**

40 *Design and participants*

41 The 1970 British Cohort Study (BCS70) follows the lives of 17,284 people born in England, Scotland
42 and Wales in a single week of 1970.¹⁴ Since birth, participants have been followed up on multiple
43 occasions across their life. The present analyses incorporated data from the age 16 and age 42 year
44 surveys. The age 16 year survey (1986) contained a participant self-completion section on health-
45 related behaviours in addition to questions completed by the mother of the participant. The age 42
46 survey (2012/13) comprised a 60 minute face-to-face computer-assisted-personal-interview, which
47 included a vocabulary task and a self-completion section. At the age 16 survey 6898 participants
48 completed the self-completion module although 9,842 took part in the age 42 survey. The lower
49 response at age 16 arose because of a teachers' strike that resulted in many participants not

50 receiving the questionnaires. Participants provided informed consent and all data collection on
51 BCS70 received full ethical approval (London Central REC).

52 *Depressive symptoms and body mass index*

53 Depressive symptoms were measured by the 24-item (age 16) and 9-item (age 42) Malaise
54 Inventory¹⁵ consisting of items on depressive mood, which has demonstrated acceptable
55 psychometric properties.¹⁶ The inventory has been validated against external criteria covering
56 current or recent psychiatric morbidity and health service use.¹⁷ A total symptom score, (ranging
57 from 0 to 24 for the 24 item and 0 – 9 for the 9 item inventory), was derived from a count of the
58 number of items eliciting a positive response, with higher scores indicating greater severity. At age
59 16 both the participant and mother completed the Malaise questions and at age 42 the questions
60 were repeated in the participant. At age 16 height and weight were objectively collected in the
61 participant but self-reported in the mother, and at age 42 self reported height and weight were
62 collected in the participant. BMI was calculated using the standard formula (weight [kg] / height
63 [m²]).

64 *Lifestyle and health measures*

65 At age 16 respondents were asked questions on smoking (never; rarely; ≥ 1 cigarette/week),
66 frequency of alcohol intake (daily; 4 -5 /week; 2-3/week; once a week; once a month; rarely; never),
67 time spent in three types of screen based, sedentary activities (TV, video films, computer games)
68 'after school yesterday' (not at all; less than 1hr; > 1 hr; >2 hr; >3hr; >4hr; >5hr), and serious
69 childhood illness/ accidents/ operations. Parents also provided information on their occupation,
70 which were categorised using the 1970 and 1980 Office of Population Censuses and Surveys
71 Classification of Occupations (managerial/ professional/ intermediate/ routine and manual).

72 *Statistical analysis*

73 We examined the distribution of offspring lifestyle and health variables according to tertiles of
74 maternal Malaise score. We estimated coefficients for their association with maternal malaise score
75 using logistic and linear regression. We performed an instrumental-variables regression analysis
76 using two-stage least-squares regression “2SLS” command in SPSS to examine whether maternal
77 Malaise score was associated with offspring BMI through its relationship with offspring Malaise. We
78 compared results from the instrumental-variable estimates of the association between malaise
79 measures and BMI with results from standard linear regression. In order to examine if the so-called
80 exclusion restriction assumption may be violated we further adjusted the model for offspring
81 malaise score (age 16). In addition, we used maternal BMI as an instrumental variable to explore the
82 causal association between offspring’s BMI and malaise score in adulthood. Standard linear
83 regression models were adjusted for sex and mothers social status. In order to capture long term
84 exposure, we incorporated measures of maternal malaise from two earlier survey points (age 5 and
85 10). A depressive case was defined as >80th centile distribution from malaise scores at each time
86 point (ages 5, 10, 16), which was used to generate a grouped exposure variable (never depressed;
87 one occasion; two or three occasions). Logistic regression was used to examine the association
88 between episodes of maternal depression and risk of offspring obesity in adulthood. All analyses
89 were conducted using SPSS version 22.

90

91 **Results**

92 *Depression and BMI in adulthood*

93 A total of 4733 mother–offspring pairs were identified. There were small differences between
94 mothers of offspring included and excluded from these analyses. For example, mothers excluded
95 were more likely to come from lower social occupational classes (39.8% vs. 36.1%, $p=0.001$)
96 compared to the analytic sample, although there were no differences in age of mother ($p=0.56$). On

97 average mothers were aged 26.2 ± 5.4 yrs at the age 5 survey although mothers' age was not
98 associated with Malaise scores or chronic depressive episodes. At follow-up 42.3%, 36.2%, 21.5% of
99 offspring were categorised as normal weight, overweight, and obese, respectively. Table 1 shows
100 that maternal malaise score was associated with offspring malaise score, thus confirming the validity
101 of the chosen instrumental variable (F-value = 18.68, $p < 0.001$). Maternal malaise was also associated
102 with several potential confounders including offspring smoking and sedentary behaviour.
103 Nevertheless, stronger associations were observed between offspring malaise score and these
104 confounders, in particular for smoking; participants with Malaise scores in the upper tertile
105 compared with lower tertile had 1.8 (95% CI, 1.5, 2.1) higher odds of smoking, whereas the
106 respective association using mother's Malaise score was weaker (OR= 1.4, 95% CI, 1.2, 1.7).

107 We found a linear association between episodes of depression and mothers' own BMI in models
108 adjusted for social status and age, such that higher BMI was observed in mothers with one (B=0.33,
109 95% CI, 0.08, 0.58 Kg/m²) and two or more episodes (B=0.68, 95% CI, 0.40, 0.96 Kg/m²) compared to
110 mothers never depressed. In longitudinal analysis examining associations between malaise and
111 offspring BMI at follow up, associations using offspring malaise age 16 were attenuated to the null
112 after adjustment for mother's social class. A higher mother's malaise score, however, remained
113 associated with higher offspring BMI at follow up (Table 2). More robust effect estimates were
114 observed in the instrumental variable analysis. In analyses to explore the so-called exclusion
115 restriction assumption adjustment for offspring malaise score age 16 did not alter the maternal
116 malaise – offspring BMI association (B=0.063, 95% CI, 0.021, 0.105, $p=0.003$). In view of the findings
117 showing associations between our instrumental variable and possible confounders we also
118 conducted a further analysis that controlled for offspring smoking and sedentary behaviours (at age
119 16) although this did not influence the association between maternal depression and offspring BMI
120 (B=0.065, 95% CI, 0.015, 0.115, $p=0.01$).

121 In analyses to examine the association between episodes of maternal depression and risk of
122 offspring obesity in adulthood we observed a dose-response association, with a higher risk of
123 offspring obesity in mothers with two or three episodes of depression compared with one or none
124 (Table 3).

125 *BMI and depression in adulthood*

126 We also used maternal BMI as an instrumental variable to explore the causal association between
127 offspring's BMI and malaise score in adulthood. Maternal BMI was strongly associated with offspring
128 BMI (F-value = 58.7, $p < 0.001$), supporting the use of maternal BMI as a valid instrument for offspring
129 BMI. However, maternal BMI was also associated with several potential confounders including
130 offspring smoking (OR per unit increase = 1.03, 95% CI, 1.01 – 1.06, $p = 0.015$) and sedentary
131 behaviour (OR = 1.05, 95% CI, 1.02 – 1.08, $p = 0.001$). Results from standard and instrumental variable
132 analyses did not support a causal pathway in a direction from BMI to depression (Table 4). In further
133 analysis that controlled for offspring smoking and sedentary behaviours (at age 16) the association
134 between BMI and depression was unchanged (B=0.013, 95% CI, -0.008, 0.035, $p = 0.23$).

135

136 **Discussion**

137 The aim of this study was to examine associations between depressive symptoms and obesity across
138 the life course using an instrumental variable approach. Existing instrumental variable analyses in
139 this field have used the FTO and other genotypes as an unconfounded measure of obesity. However,
140 as robust genetic variants for depression are presently unknown, we chose to adopt a novel
141 approach utilising intergenerational data on mother – offspring pairs for assessing the role of
142 depression in the aetiology of obesity. We found evidence to support a causal pathway linking
143 adolescent depressive symptoms to BMI in adulthood over 26 years follow up. The reverse direction,
144 ie, BMI to depression, was not supported.

145 Our instrumental variable, maternal depressive symptoms, met the majority of assumptions
146 required for an instrumental variables analysis. Firstly, in our study and other published studies,¹³
147 maternal depressive symptoms were positively associated with offspring depressive symptoms.
148 Second, an offspring's body mass index in adulthood (outcome) cannot plausibly affect variation in
149 maternal depressive symptoms. Thus, our instrumental variable analysis was more protected from
150 reverse causality than conventional analysis. The final assumption postulates that except from its
151 association with the risk factor of interest, there is no other pathway linking the instrumental
152 variable with the outcome of interest. This assumption was only partly met, as both maternal mental
153 health and BMI was associated with several factors (offspring sedentary behaviour and smoking
154 status) which might be associated with the respective outcomes in offspring. However, the maternal
155 influence on these confounding factors is likely to be weaker than that of participant's, as confirmed
156 in the present study. Maternal depressive symptoms might contribute to an environment that may
157 have long lasting effects on offspring BMI. However, given that participants were followed into
158 midlife over a 26 year follow-up period these potential environmental influences in childhood are
159 likely to be diluted.

160

161 After adjustment for offspring malaise score, the maternal malaise–BMI association persisted, thus
162 providing further evidence for violation of the exclusion restriction assumption and suggesting that
163 maternal mental health may influence offspring obesity through mechanisms other than depression.
164 For example, genetic vulnerability to depression may be associated with pleiotropic effects reflecting
165 unknown biological pathways driving BMI of offspring in later life. In addition, children with
166 depressed mothers may be exposed to more environmental and family risk factors, than families
167 without depression,¹³ although we attempted to control for this by adjusting for mother's social
168 status.

169

170 A direct pathway from depression to obesity seems biologically plausible. One of the driving factors
171 might be disturbances in key stress axes including the hypothalamic pituitary adrenal axis and
172 sympathetic nervous system. Disturbances in these axes have been associated with depressive
173 symptoms¹⁸ and are linked to insulin resistance and the cascade of events in the metabolic
174 syndrome, including deposition of adiposity.¹⁹⁻²¹ Furthermore, depression is associated with reduced
175 physical activity,²² which may also contribute to increased weight gain. These direct and indirect
176 underlying mechanisms may conceivably operate over a prolonged period, thus studies with
177 sufficient follow up would be required to detect the effects and may therefore explain the equivocal
178 nature of the literature.

179

180 The main strength of this study is the use of intergenerational, longitudinal data to reduce
181 confounding and bias. In addition we utilised data on maternal depressive symptoms across three
182 time points during their offspring's childhood/adolescence in order to better capture long term
183 exposure. The limitations included the use of self-reported weight and height. Previous studies,
184 however, have demonstrated the validity of using self-reported weight.²³ Furthermore, errors in self-
185 reported weight are often systematic instead of random, reflecting both rounding to the nearest
186 point of heaping and a tendency to report weights closer to ideal weight.²⁴ Although well validated,
187 the instrument used to assess depressive symptoms could not capture clinical diagnosis. The Malaise
188 Inventory is essentially a measure of 'negative affect' since it covers an array of items relating to
189 anxiety disorder, psychological and somatic symptoms. Although the Malaise inventory has not
190 been commonly used in the past, a wide variety of psychometric instruments have been employed
191 to assess negative affect (commonly termed as 'depressive symptoms') in this area. Depressive
192 symptoms were measured using different versions (24-item and 9-item) of the Malaise Inventory at
193 various stages of the survey although the full 24 item version is known to be more reliable. In
194 addition, different subtypes of depression may be more strongly associated with obesity.²⁵ Missing

195 data may have introduced bias as excluded participants were more disadvantaged. Nevertheless,
196 given that this may have slightly constrained variation in depressive symptoms and BMI our results
197 are likely to reflect conservative estimates. Over 95% of the cohort was 'British white' thus it was
198 not possible to investigate possible interactions by ethnicity. Although our study does not provide a
199 definitive answer to the complexities in the relationships between depression and obesity, it adds a
200 novel component to the cumulating evidence on depression as a long-term risk factor for increased
201 body mass.

202

203 In summary, to our knowledge this is the first study to adopt a novel approach utilising
204 intergenerational data on mother – offspring pairs to examine a causal pathway linking adolescent
205 depressive symptoms to BMI in adulthood.

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Author contributions

MH had full access to the data, and takes responsibility for the integrity and accuracy of the results. All authors contributed to the concept and design of study, drafting and critical revision of the manuscript.

Conflict of interest

None of the authors have any competing interests to declare.

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