

1 **POLYMORPHISMS IN THE *MTHFR* GENE INFLUENCE EMBRYO VIABILITY AND THE INCIDENCE**
2 **OF ANEUPLOIDY**

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14

15 **ABSTRACT**

16

17 *MTHFR* is an important enzyme in the metabolism of folic acid and is crucial for reproductive
18 function. Variation in the sequence of *MTHFR* has been implicated in subfertility, but definitive
19 data is lacking. In the present study a detailed analysis of two common *MTHFR* polymorphisms
20 (c.677C>T and c.1298A>C) was performed. Additionally, for the first time, the frequencies of
21 different *MTHFR* alleles were assessed in preimplantation embryos. Several striking discoveries
22 were made. Firstly, results demonstrated that maternal *MTHFR* c.1298A>C genotype strongly
23 influences the likelihood of a pregnancy occurring, with the 1298C allele being significantly
24 overrepresented amongst women who have undergone several unsuccessful assisted

25 reproductive treatments. Secondly, parental *MTHFR* genotypes were shown to effect the
26 production of aneuploid embryos, indicating that *MTHFR* is one of the few known human
27 genes with the capacity to modulate rates of chromosome abnormality. Thirdly, an unusual
28 deviation from Hardy-Weinberg equilibrium was noted for the c.677C>T polymorphism in
29 subfertile patients, especially those who had experienced recurrent failure of embryo
30 implantation or miscarriage, potentially explained by a rare case of heterozygote
31 disadvantage. Finally, a dramatic impact of the *MTHFR* 677T allele on the capacity of
32 chromosomally normal embryos to implant is described. Not only do these findings raise a
33 series of interesting biological questions, but they also argue that testing of *MTHFR* could be of
34 great clinical value, identifying patients at high-risk of implantation failure and revealing the
35 most viable embryos during in vitro fertilization (IVF) cycles.

36

37 **INTRODUCTION**

38 Folic acid is an important B vitamin essential for human reproduction ¹. The processing of folic
39 acid and other dietary folates is vital for many key processes such as amino acid metabolism,
40 purine and pyrimidine synthesis, and methylation of nucleic acids, proteins and lipids ². These
41 folate dependent functions are required for DNA synthesis and repair, control of gene
42 expression and many other biological processes of fundamental importance for cell division
43 and embryo development ^{3,4}.

44 Folate deficiency (genetically determined or due to dietary restriction) results in a higher
45 frequency of uracil misincorporation into DNA, disruption of nucleic acid integrity, slower DNA
46 replication and an increased risk of chromosome breakage. Affected cells experience elevated
47 rates of apoptosis and necrosis ⁵⁻⁷. Insufficient folate or folic acid intake has also been shown
48 to negatively affect specific reproductive functions; it has a detrimental effect on many
49 processes involved in oocyte development, acquisition of endometrial receptivity, embryo

50 implantation and also in the maintenance of pregnancy⁸⁻¹¹. Several animal model studies have
51 shown that maternal folate deficiency prior to conception and during gestation has a negative
52 effect on female fertility and fetal viability, emphasizing the important role of folate during
53 mammalian folliculogenesis and fetal development¹²⁻¹⁴.

54 Numerous variations in genes involved in folate metabolism have been identified. In some
55 cases, these mutations and polymorphisms alter the efficiency of pathways involved in folate
56 generation and processing. In terms of prevalence and impact, genetic variations affecting the
57 5,10-methylenetetrahydrofolate reductase gene (*MTHFR*) are among the most biologically
58 important. MTHFR is a key enzyme that plays an important role in catalysing the conversion of
59 5,10-methylenetetrahydrofolate into 5-methylenetetrahydrofolate, the predominant
60 circulating form of folate. This molecule provides the single carbon needed for the synthesis of
61 nucleotides, the remethylation of homocysteine to methionine, the synthesis of S-
62 adenosylmethionine and the methylation of DNA, proteins, neurotransmitters and
63 phospholipids^{15, 16}.

64 More than 20 DNA sequence variants and polymorphisms within the *MTHFR* gene have been
65 described¹⁷. Two of the most investigated are single nucleotide polymorphisms (SNPs) at the
66 mRNA positions 677 (rs1801133) and 1298 (rs1801131)^{15, 18}. The well-characterised *MTHFR*
67 c.677C>T transition, which results in an alanine to valine substitution (p.Ala222Val) in the
68 predicted catalytic domain of MTHFR, renders the enzyme thermolabile and leads to a
69 reduction in MTHFR activity. Homozygous and heterozygous individuals have *in vitro* MTHFR
70 activity reduced by about 70% and 35%, respectively¹⁵. Homozygosity for the 677T allele is
71 associated with elevated circulating homocysteine in some individuals, predominantly those
72 who have a low plasma folate level¹⁹. In these individuals, the level of plasma homocysteine
73 can be lowered by folic acid supplementation²⁰.

74 The other common polymorphism in the *MTHFR* gene, c.1298A>C transversion, results in a
75 glutamate to alanine substitution (p.Glu429Ala) within a presumed regulatory domain of
76 *MTHFR*^{18,21}. The 1298C allele leads to decreased enzyme activity, although to a lesser extent
77 than the 677T allele. Individuals who are homozygous for the 1298C allele have about a 40%
78 reduction in enzyme activity in vitro, but do not appear to have higher plasma homocysteine
79 levels than controls^{18,21,22}. However, individuals who are compound heterozygous for the
80 677T and the 1298C alleles (*MTHFR* c.677C/T plus c.1298A/C genotype) have a 40-50%
81 reduction in enzyme activity in vitro and a biochemical profile similar to that seen among 677T
82 homozygotes, with increased homocysteine and decreased folate levels. The c.1298A>C
83 polymorphism by itself may have clinically important effects under conditions of low folate
84 intake or during times of high folate requirements, such as pregnancy and embryogenesis¹⁸.

85 Despite the fact that many studies have explored the relationship between *MTHFR*
86 polymorphisms and aspects of human reproduction, the biochemical influence and clinical
87 relevance of these variations is still debated. Some authors have reported an association of
88 certain genotypes with an increased risk of miscarriage, a potential consequence of poor
89 vascularization of the placental area of individuals carrying minor alleles²³⁻²⁶. Others have
90 described a link between c.677C>T and c.1298A>C polymorphisms and the likelihood of
91 aneuploid conceptions, pointing out the possible influence of *MTHFR* on chromosome non-
92 disjunction and other processes involved in chromosome segregation²⁶⁻²⁹. More recent reports
93 have explored the impact of these polymorphisms in patients undergoing IVF treatment,
94 suggesting an influence of some *MTHFR* variants on embryo implantation^{30,31}. However, this
95 remains controversial, other studies failing to detect evidence of the proposed association³²⁻³⁴.
96 A comprehensive analysis of the genotypes of individuals affected by fertility problems and of
97 the embryos they produce is required in order to obtain a better understanding of the effects
98 of *MTHFR* gene variants on reproduction in general and on assisted reproduction in particular.

99 In this study we aimed to answer several questions. Firstly, does *MTHFR* have a significant
100 influence on the fertility of groups of patients with specific reproductive histories (e.g. patients
101 with a history of recurrent miscarriages or patients suffering repetitive implantation failure
102 after IVF treatment)? Secondly, do maternal and paternal *MTHFR* genotypes influence the
103 frequency at which aneuploid embryos are produced? Finally, does the *MTHFR* genotype have
104 an impact on the developmental competence of an embryo? It was hoped that this detailed
105 analysis, including genotyping of embryos as well as adults, would help to define the aspects of
106 human reproduction affected by genetically inherited defects of the *MTHFR* gene.

107

108 **MATERIALS AND METHODS**

109

110 Cohort selection

111 The initial study group consisted of 138 patients (92 females and 46 males) undergoing
112 assisted reproductive treatment (ART) and having aneuploidy screening of their embryos for a
113 variety of reasons including recurrent miscarriage, repetitive implantation failure and
114 advanced maternal age. Additionally a well-matched control population was assessed,
115 composed of 161 fertile individuals that had previously achieved at least one successful
116 pregnancy. Both groups were of varied ethnic origin, primarily European, but also including
117 individuals of North African and Southeast Asian descent. The ethnic mix of the two groups
118 was considered to be equivalent. In addition to the DNA samples from adults, 193 blastocysts,
119 previously screened for aneuploidy using microarray Comparative Genomic Hybridisation
120 (aCGH) were also available for analysis.

121

122 Ethics

123 Ethical approval and signed patient consent for research had been obtained for all patient
124 samples used in this study. No embryos were biopsied specifically for the purpose of this
125 study. The embryo DNA samples assessed consisted of surplus whole genome amplification
126 products, leftover following routine aneuploidy screening. Ethical approval for this study was
127 obtained from the North London REC 3 (10/H0709/26) and Western IRB (20060680 and
128 20131473).

129

130 *MTHFR* genotyping

131 Genomic DNA was extracted from blood using the QIAamp DNA Blood Mini Kit (Qiagen,
132 Hilden, Germany). Amplification of the c.677C>T region of the *MTHFR* gene was performed
133 using the primer pairs 5'-TGAAGGAGAAGGTGTCTGCGGA-3' (forward primer) and 5'-
134 AGGACGGTGCGGTGAGAGTG-3' (reverse primer) described by Frosst et al.¹⁵. Amplification of
135 the c.1298A>C region of the *MTHFR* gene was accomplished using the forward (5'-
136 CTTTGGGAGCTGAAGGACTACTAC-3') and reverse (5'-CACTTTGTGACCATTCCGGTTTG-3')
137 primers reported by van der Put et al.¹⁸. PCR amplifications (25 µl volume) consisted of the
138 following: 5 ng of genomic DNA in the case of patients and controls or 0.5 µl of Sureplex-
139 amplified DNA in the case of biopsied material from preimplantation embryos; 10 pmol each
140 of the c.677C>T or c.1298A>C forward and reverse primers; 0.625 units of Perfect Taq DNA
141 polymerase (5 prime GmbH, Hamburg, Germany); 0.2mM of dNTPs (Thermo Scientific,
142 Colchester, UK) in 1x PCR Buffer (5 prime GmbH, Hamburg, Germany). Amplification of the
143 correct fragment was initially confirmed by uni-directional DNA sequencing followed by
144 comparison and alignment to the NCBI Reference Sequence NG_013351.1.

145 PCR was followed by minisequencing as described by Zetterberg et al. (2002) with some
146 modifications. Prior to the minisequencing reaction, 0.5 µl of PCR product was purified using
147 ExoSAP-IT (Affymetrix, High Wycombe, UK) following the manufacturer's instructions.
148 Minisequencing was then conducted in a final volume of 5 µl, consisting of 0.5 µl of each of the
149 purified *MTHFR* c.677C>T and c.1298A>C PCR products, 2.5 µl SNaPshot Multiplex Ready
150 Reaction Mix (Applied Biosystems, United Kingdom) and 1 pmol of each of the minisequencing
151 primers: 5'-T(20)GCGTGATGATGAAATC-G-3' (reverse primer) for *MTHFR* c.677C>T; 5'-
152 GGAGCTGACCAGTGAAG-3' (forward primer) for c.1298A>C. The poly (T) sequence of the
153 former was added to modify the electrophoretic mobility of the primer. The cycling protocol
154 was 25 cycles of 96°C/10 seconds, 50°C/5 seconds and 60°C/30 seconds. Minisequencing
155 products were analysed by capillary electrophoresis on an ABI 3130 Genetic Analyzer (Applied
156 Biosystems, UK). The reaction included 9.25µl Hi-Di Formamide (Applied Biosystems, UK,
157 0.25µl GeneScan-120LIZ Size standard (Applied Biosystem, UK) and 0.5µl of minisequencing
158 product. Incubation at 95°C for 3 minutes in order to denature the minisequencing product
159 was performed prior to electrophoresis. Data was subsequently visualized and analysed using
160 the GeneMapper software (Applied Biosystems, UK).

161 Embryo DNA samples consisted of trophoctoderm biopsy specimens (~5 cells removed at the
162 blastocyst stage), which had been subjected to whole genome amplification (SurePlex,
163 Rubicon, USA). The biopsies were performed for the purpose of routine preimplantation
164 genetic screening (PGS) using microarray-comparative genomic hybridisation (aCGH)
165 (described below). In order to assess the polymorphisms, surplus SurePlex DNA was subjected
166 to the same protocol as genomic DNA.

167

168

169

170 Confirmatory analysis on an independent population

171 An entirely independent data set was available from 202 individuals (101 couples) evaluated
172 using an alternative, microarray-based, methodology (CarrierMap, Recombine, USA). The
173 CarrierMap test is primarily used for preconception carrier screening, evaluating couples
174 considering starting a family for the presence of >1,700 mutations responsible for more than
175 250 different genetic conditions. However, a number of polymorphisms of potential relevance
176 to reproduction are also assessed, including the *MTHFR* c.677C>T and c.1298A>C
177 polymorphisms. The data was divided into three separate groups: 28 of the couples tested
178 were considered to be fertile, having achieved at least one pregnancy without the help of any
179 assisted reproductive treatments (average of 1.9 pregnancies per couple) and having had no
180 more than one previous miscarriage; 62 couples had a history of infertility and had undergone
181 treatment using IVF or intrauterine insemination (IUI); 11 couples had received a formal
182 diagnosis of recurrent miscarriage.

183

184 Embryo aneuploidy testing

185 Embryo chromosome analysis was accomplished by the use of a well validated aCGH method
186 following the protocol described by Alfarawati et al.³⁵. Diagnosis of embryos was performed at
187 the blastocyst stage and involved sampling and testing of 5 to 10 trophoctoderm cells. Briefly,
188 cells were lysed and their DNA amplified using whole genome amplification (SurePlex,
189 Rubicon, USA). Amplified sample and reference DNAs from chromosomally normal individuals
190 were labelled with Cy3 and Cy5 fluorochromes respectively and then hybridized to the probes
191 of a bacterial artificial chromosome (BAC) microarray (24Sure, Illumina, Cambridge, UK).
192 Chromosome losses and gains were revealed by differences in the fluorescence intensity
193 corresponding to sample and reference DNAs for BAC probes derived from the affected
194 chromosome or chromosomal region. Labelling of the amplified samples, hybridization to

195 microarray slides, post-hybridization washes and analyses were performed as described
196 elsewhere³⁵. Published values for the accuracy rate for aCGH are >95% for biopsy specimens
197 consisting of small numbers of trophectoderm cells^{36,37}.

198

199 Statistical analyses

200 *MTHFR* c.677C>T and c.1298A>C allele and genotype frequencies were determined for each of
201 the three populations initially investigated (subfertile patients, fertile controls and
202 preimplantation embryos) and compared using a Chi-squared goodness of fit test. Genotype
203 frequencies in all groups were also assessed for compliance with the Hardy-Weinberg
204 equilibrium using GENEPOP v.4.2 software^{38,39}. Comparisons of patient genotypes with regards
205 to sex, maternal age and reproductive history were also carried out using the same analysis.
206 Similar analyses were carried out for the three populations with data obtained from
207 Recombine (subfertile patients, fertile controls and patients with recurrent miscarriage). The
208 proportion of aneuploid embryos (aneuploidy rate) produced by patients with specific *MTHFR*
209 genotypes was assessed and compared using a T-test. In the case of preimplantation embryos,
210 comparisons of the genotype frequencies of chromosomally normal and aneuploid embryos,
211 normal embryos with successful or failed implantation, and embryos from patients with a
212 variety of referral reasons were also determined and compared using Chi squared goodness of
213 fit test.

214 Statistical significance was defined as $p < 0.05$ and all analyses were performed using the IBM
215 SPSS Statistics Version 20 software (IBM Corporation, USA).

216

217 **RESULTS**

218 ***MTHFR in subfertile patients***

219 A statistically significant increase in the frequency of the less common *MTHFR* 1298C allele was
220 observed in subfertile patients compared to fertile controls ($p=0.003$), leading to a higher
221 prevalence of individuals homozygous for 1298C and a lower incidence of patients
222 homozygous for the major allele (1298A) ($p=0.01$ and $p=0.02$, respectively) (**Table 1** and **Figure**
223 **1**). No differences were observed for allele or genotype frequencies for the *MTHFR* c.677C>T
224 polymorphism. Analysis of the second population of samples tested using CarrierMap yielded
225 similar results, with the frequency of 1298C homozygotes doubled in infertile couples.

226 When data were subdivided by sex, the difference in the *MTHFR* c.1298A>C genotype
227 frequency was clearly apparent in female patients. In comparison to controls, subfertile female
228 patients displayed a significantly higher incidence of *MTHFR* c.1298CC homozygotes and a
229 lower frequency of *MTHFR* c.1298AA homozygotes ($p<0.01$) (**Table 2**). In the male group a
230 similar tendency was observed, but it was less pronounced and did not reach statistical
231 significance. Within the fertile control group there were no differences in genotype
232 frequencies between the two sexes. Examination of *MTHFR* c.677C>T polymorphism did not
233 reveal any differences in the incidence of particular genotypes between males and females
234 (**Table 2**).

235 Genotype distributions of both the *MTHFR* c.677C>T and c.1298A>C polymorphisms in the
236 fertile control group were in Hardy-Weinberg equilibrium. However, a deviation from expected
237 genotype frequencies was found for *MTHFR* c.677C>T in subfertile patients due to a deficit of
238 heterozygotes ($p<0.01$) (**Figure S1**). Analysis of patient subgroups revealed that this was
239 particularly apparent in couples with a history of failed implantation or miscarriage.
240 Investigation of the independent set of samples tested using CarrierMap yielded concordant
241 data, with genotype frequencies in the infertile group and in patients with recurrent

242 miscarriage differing significantly from those expected for a population in equilibrium ($p < 0.001$
243 and $p < 0.01$ respectively) (**Figure S2**).

244 When both *MTHFR* polymorphisms were analysed in combination, no individuals carrying
245 three or four mutant alleles (*MTHFR* c.677CT/c.1298CC, c.677TT/c.1298CA, c.677TT/c.1298CC)
246 were detected in any of the populations studied, suggesting linkage disequilibrium between
247 these two loci (**Table S1**). Based upon allele frequencies and protein function, it is likely that
248 the ancestral *MTHFR* gene had a 677C/1298A haplotype. Mutation at the 677 nucleotide
249 position later produced a 677T/1298A haplotype and, in an independent event, mutation at
250 position 1298 produced a 677C/1298C haplotype. Consequently, 677T/1298C haplotypes can
251 only be formed by recombination within the *MTHFR* gene, an extremely unlikely occurrence
252 given the close proximity of the two polymorphisms. The existence of linkage disequilibrium
253 was confirmed by G-test analysis ($p < 0.05$). The specific combination of 677T and 1298C alleles
254 did not appear to have any additive effect in terms of impact on fertility in this patient
255 population.

256 Patients were divided into groups according to their previous reproductive history, in an effort
257 to determine whether differences in genotype frequencies were related to subfertility in
258 general or to particular reproductive problems. Analysis revealed that the significant excess of
259 *MTHFR* c.1298A>C homozygotes observed in the subfertile group was primarily attributable to
260 patients that had experienced repetitive implantation failure (RIF, i.e. three or more *in vitro*
261 fertilization treatments, including transfer of embryos to the uterus, but no pregnancy) relative
262 to the control group ($p < 0.001$) (**Table 3**). The difference in the incidence of 1298C
263 homozygotes amongst patients with RIF was equally apparent for both males and females. No
264 significant differences in genotype frequency were seen for any other category of patient
265 (recurrent miscarriage [RM] or advanced maternal age [AMA]).

266

267 **Parental MTHFR genotype and embryo aneuploidy**

268 The *MTHFR* genotypes of patients undergoing IVF were considered in relation to the
269 proportion of chromosomally abnormal embryos they produced (aneuploidy rate). A
270 significantly higher aneuploidy rate was found in those patients presenting with at least one
271 *MTHFR* minor allele (*MTHFR* 677T or *MTHFR* 1298C) compared to those patients with none (T-
272 test, $p=0.036$) (**Table 4**). Patients homozygous for the major alleles showed a mean embryo
273 aneuploidy rate (\pm SEM) of 58.8% (\pm 6.3), considerably lower than the 70.2% (\pm 2.2) average for
274 patients carrying a minor allele for at least one of the two *MTHFR* polymorphisms studied.
275 When patients were divided according to their sex, it became clear that maternal genotype
276 was associated with embryo aneuploidy ($p=0.028$), whereas the paternal genotype did not
277 have a significant effect.

278 When the embryo aneuploidy rates and *MTHFR* genotypes for patients with different
279 indications (AMA, RIF or RM) were compared, results showed that in the case of AMA and RM
280 patients the presence of one minor allele in at least one of the two *MTHFR* polymorphisms
281 analysed, was associated with a significantly higher level of affected embryos compared to
282 those patients homozygous for the major alleles ($p=0.045$ and $p=0.048$, for AMA and RM
283 respectively). Again, these effects were only observed in relation to maternal genotype. No
284 differences were found in the group of RIF patients ($p=0.72$) (**Table 4**).

285 **The effect of embryonic MTHFR genotype**

286 *MTHFR* c.677C>T and c.1298A>C allele and genotype frequencies were calculated for the 193
287 embryos tested. No significant differences were found when embryo allele and genotype
288 frequencies were compared to those seen in adults (**Table S2** and **S3**). When both *MTHFR*
289 c.677C>T and c.1298A>C polymorphisms were analysed in combination, no embryos carrying 3
290 or 4 mutant alleles (677CT/1298CC or 677TT/1298CA) were detected, mirroring the findings in

291 patients. No significant differences were observed when the genotype frequencies of euploid
292 and aneuploid embryos were compared.

293 Conversely, when comparing the genotype frequencies of chromosomally normal embryos
294 that successfully implanted (n=19) with those euploid embryos that failed to implant (n=27),
295 significant differences were observed for *MTHFR* c.677C>T ($p<0.01$). The incidence of embryos
296 homozygous for the *MTHFR* 677 minor allele (677T) was elevated in non-viable embryos
297 compared to those that successfully formed on-going pregnancies (**Figure 2**). One in four
298 embryos experiencing failed implantation was homozygous for 677T whereas only one out of
299 every 19 embryos that produced a pregnancy was homozygous for the same allele. The risk of
300 implantation failure was two-fold higher for 677T homozygotes compared with 677C
301 homozygotes (87.5% versus 45%) (**Figure 3**). Interestingly, significant differences in *MTHFR*
302 c.677C>T genotype frequencies were also found between those embryos that failed to implant
303 and the adult population composed of fertile and infertile subjects ($p=0.04$) (**Figure S3**). No
304 such differences were detected between adults and embryos that successfully implanted
305 (**Table S3**).

306 A deviation from Hardy-Weinberg equilibrium, due to a deficit of heterozygote genotypes, was
307 apparent for the total population of embryos ($p<0.01$), resembling that seen for the samples
308 from subfertile adults. Further division of the embryos into different categories (e.g. based
309 upon patient indication or ability to implant) resulted in groups too small for meaningful
310 statistical analysis. Nonetheless, it may be relevant that the embryos that successfully
311 implanted (n=19) had genotype frequencies in line with Hardy-Weinberg expectations, while
312 those that failed to implant (n=27) displayed an apparent increase in the proportion of
313 homozygotes at the expense of heterozygotes. This was, however, not statistically significant
314 due the small sample size (**Figure S4**). No significant differences in genotype frequencies were
315 found in the case of the *MTHFR* c.1298A>C polymorphism.

316 **DISCUSSION**

317

318 ***MTHFR genotype and implantation failure***

319 This study confirmed that the *MTHFR* c.1298A>C genotype has a strong influence on fertility. A
320 higher prevalence of the 1298C allele, and a corresponding increase in the frequency of
321 *MTHFR* c.1298C homozygotes, were observed for patients undergoing IVF treatment
322 compared to fertile controls. This was later confirmed in an entirely independent population of
323 patients, analysed using an alternative methodology. Analysis of different patient subgroups
324 revealed that the increase in 1298C prevalence was most apparent in couples with a history of
325 multiple unsuccessful IVF treatments. Amongst these patients the frequency of the 1298C
326 allele was increased 4-fold from 0.06 (seen in fertile controls) to 0.24. Women within this
327 category had received transfer of embryos to the uterus on at least three occasions, but
328 without any pregnancy, indicating that the 1298C allele has a powerful impact on the ability of
329 embryos to implant. The increase in 1298C frequency was principally observed in female
330 patients, suggesting that reduced implantation rates may be related to an abnormal
331 endometrial response or other maternal factors. Given the established reduction in *MTHFR*
332 activity associated with homozygosity for the 1298C allele ^{18, 21, 22}, it can be inferred that
333 individuals with compromised *MTHFR* activity are at increased risk of experiencing recurrent
334 implantation failure. It is noteworthy that an elevated 1298C allele frequency was also
335 observed in subfertile males, albeit to a lesser extent than seen females, suggesting that male
336 factors related to this polymorphism might also influence the likelihood of implantation. No
337 increase in the prevalence of the 1298C allele was detected in embryos that failed to implant
338 compared to those that produced a viable pregnancy, arguing against the possibility of an
339 effect at the level of the embryo.

340 The implantation of the blastocyst into the endometrium is a complex process that involves
341 multiple molecular interactions between trophoblastic and endometrial cells, including
342 coagulation and fibrinolysis processes at the embryo-maternal interface^{40,41}. It is conceivable
343 that alteration of the functional activity of blood coagulation factors, related to diminished
344 MTHFR activity, could affect the likelihood of implantation. There have been a number of
345 publications reporting associations between inherited thrombophilic
346 mutations/polymorphisms and recurrent unsuccessful IVF cycles^{42,43}. It is undoubtedly true
347 that appropriate coagulation processes, both maternal and placental, are of vital importance
348 for pregnancy maintenance and are therefore of great relevance to miscarriage. This may be
349 relevant to the distorted genotype frequencies of *MTHFR* polymorphisms observed in patients
350 with recurrent pregnancy loss during this study. However, a role for coagulative processes at
351 the time of implantation seems less likely. Perhaps more relevant in this regard are studies
352 suggesting that folic acid concentration, modulated by MTHFR, has an important effect on
353 trophoblast invasion, one of the very first steps of embryo implantation⁴⁴. Another possibility,
354 potentially explaining increased rates of miscarriage and failure to implant, is that *MTHFR*
355 variants influence levels of embryo aneuploidy (discussed below). Aneuploidy is extremely
356 common in human preimplantation embryos and is believed to be the principal cause of
357 implantation failure in both natural and assisted reproductive cycles⁴⁵⁻⁴⁷.

358 Unlike the c.1298A>C polymorphism, investigation of the other common *MTHFR* variant
359 (c.677C>T) revealed no change in overall allele frequency for any of subfertile patient groups.
360 However, a striking and unexpected discovery was that the two *MTHFR* c.677C>T alleles were
361 not distributed in the expected proportions, resulting in a pronounced distortion of genotype
362 frequencies (i.e. a deviation from Hardy-Weinberg equilibrium). Closer examination of the data
363 revealed a deficit of *MTHFR* c.677C>T heterozygotes amongst patients with a history of
364 recurrent implantation failure or miscarriage. Again, this data was subsequently confirmed by
365 analysis of an independent set of samples using a different genotyping method. Interestingly,

366 within these patient groups, there was a four-fold overrepresentation of couples in which the
367 male and female had opposite homozygous genotypes (e.g. male homozygous for 677C and
368 female homozygous for 677T or vice versa). Clearly, such couples can only produce
369 heterozygous embryos, leading us to hypothesize that the two *MTHFR* c.677C>T alleles might
370 be incompatible at a molecular level, leading to the formation of defective MTHFR dimers
371 (essentially resulting in a heterozygote disadvantage)^{48, 49}. An alternative possibility is that
372 heterozygosity for c.677C>T is beneficial in terms of fertility and therefore less likely to be
373 observed in patients undergoing fertility treatments. However, since 677T alleles are known to
374 be associated with diminished MTHFR function, this seems doubtful.

375 Investigation of preimplantation embryos produced by infertile couple demonstrated that
376 deviation from expected c.677C>T genotype frequencies, mirroring those seen in the subfertile
377 adults, can also be observed just a few days after conception. This suggests that the impact of
378 the c.677C>T genotype must primarily be felt between fertilisation and the blastocyst stage.
379 During this time, a period of five days, approximately half of all human embryos undergo
380 developmental arrest and perish. It would be valuable to repeat the experiments described
381 here on embryos that ceased developing during the first few days of life, in order to determine
382 whether c.677C>T heterozygotes are at increased risk of developmental failure prior to
383 blastocyst formation.

384

385 ***Parental MTHFR genotype and embryo aneuploidy***

386 A significantly higher proportion of aneuploid embryos was found for patients carrying one or
387 more alleles associated with reduced MTHFR activity compared to those with none. Patients
388 with 677T and/or 1298C alleles showed a mean embryo aneuploidy rate 20% higher than that
389 of patients homozygous for the major (fully functional) *MTHFR* alleles. The association of
390 *MTHFR* genotype with embryo aneuploidy was more evident for female patients than males,

391 indicating that the elevated rate of chromosome abnormality is primarily related to meiotic
392 errors occurring during oogenesis. Results are suggestive of an influence in males as well, but
393 an increased sample size would be needed to confirm this statistically. The impact of *MTHFR*
394 genotype on the production of aneuploid embryos was most pronounced for women of
395 advanced reproductive age (>37 years) or those with a history of recurrent miscarriage. This
396 may be an indication that these patients are particularly sensitive to problems associated with
397 compromised MTHFR function and that an increased incidence of embryo chromosomal
398 abnormalities may be contributing to their difficulties achieving a viable pregnancy.

399 An influence of maternal *MTHFR* genotype on embryo viability was also suggested by Haggarty
400 et al.⁵⁰. In their study, women homozygous for the 1298C allele were less likely to have a live
401 birth after IVF compared to women homozygous for the more common 1298A allele. However,
402 no chromosome analysis was carried out, so it is unclear whether the reduced viability was
403 related to aneuploidy or other embryonic or maternal factors. Studies trying to establish an
404 association between folic acid metabolism and preimplantation embryo development have
405 shown that MTHFR is expressed in both human oocytes and embryos^{51, 52}. Folic acid is present
406 in the follicular fluid and its supplementation has been associated with improved oocyte
407 quality and the retrieval of larger numbers of mature eggs after ovarian stimulation,
408 suggesting that MTHFR plays an important role in oocyte maturation and development⁵³⁻⁵⁵.
409 Moreover, during maturation, human oocytes express receptors involved in folic acid
410 transport, while in *Xenopus* oocytes efficient movement of folate across their membrane has
411 been demonstrated^{52, 56}.

412 With regards to the specific question of chromosomal abnormality, several publications have
413 suggested the potential for a relationship between maternal *MTHFR* gene polymorphisms and
414 aneuploid pregnancy or birth. Some authors have reported an association between *MTHFR*
415 mutations and the risk of conceiving a child with Down syndrome^{27, 28, 57, 58}. The *MTHFR*

416 c.1298A>C polymorphism has been linked to chromosomal aneuploidy leading to Turner
417 Syndrome⁵⁹ and has also been proposed as an independent risk factor for spontaneous
418 abortion with fetal chromosomal abnormality. Kim et al.²⁶ reported a higher prevalence of
419 heterozygosity and homozygosity involving the *MTHFR* 1298C allele in women with
420 spontaneous abortions associated with fetal aneuploidy compared to those with miscarriages
421 of normal karyotype. However, some investigators have failed to confirm such a link^{60, 61}.
422 Hassold and co-workers²⁹ observed a significant increase in the *MTHFR* c.677C>T polymorphism
423 in mothers of trisomy 18 conceptuses but were unable to find associations with respect to
424 other chromosomes.

425 Data from the current study supports the notion that *MTHFR* mutations are associated with an
426 increased risk of aneuploid pregnancy and that this is mostly attributable errors occurring
427 during female meiosis. However, the underlying mechanism has not yet been elucidated. One
428 hypothesis is that *MTHFR* mutations/polymorphisms lead to aberrant DNA methylation caused
429 by abnormal folate metabolism and that this might increase the likelihood of meiotic
430 aneuploidy^{27, 29}. In the absence of sufficient folic acid, intracellular homocysteine
431 accumulates, methionine resynthesis is reduced and hence methylation reactions are
432 compromised⁶²⁻⁶⁴. Both clinical and experimental studies have shown that, in lymphocytes and
433 liver cells, genomic DNA hypomethylation can be induced by deficiency of dietary folate, as
434 well as other factors, and that this results in a variety of features such as abnormal gene
435 expression, DNA strand breaks, chromosomal instability and aneuploidy^{62, 65-67}.

436 To our knowledge, the current study is the first to look at the influence of maternal and
437 paternal *MTHFR* genotypes on the production of aneuploid preimplantation embryos. The
438 evaluation of such an early developmental stage is particularly important when considering
439 chromosomal abnormality because most aneuploid embryos are lost around the time of
440 implantation. In previous studies, chromosome analyses were performed during the first

441 trimester of pregnancy, or even later. This is already far removed from the primary aneuploidy
442 rate at conception.

443 ***Origin of MTHFR polymorphisms***

444 The combined analysis of both c.677C>T and c.1298A>C polymorphisms in patients, controls
445 and day-5 preimplantation embryos revealed linkage disequilibrium between the two loci. No
446 individuals carrying three or four low-activity *MTHFR* alleles were found in any of the
447 populations studied. These results are in agreement with several previous reports^{18, 21, 22, 68, 69}.
448 The fact that certain combinations of alleles were not detected indicates that the two
449 polymorphisms arose independently on two different wild type alleles. The close proximity of
450 these polymorphisms to one another (2.1kb) is such that recombination between them is
451 extremely unlikely to occur^{21, 70, 71}.

452 Although concordant with most publications, our results contradict those of Isotalo et al. who
453 found a considerable number of individuals homozygous for the *MTHFR* 677T allele and also
454 heterozygous for the c.1298A>C polymorphism and proposed that the presence of 3 or 4
455 mutant alleles might compromise fetal viability^{72, 73}. Results obtained in the present study do
456 not support this hypothesis. Combinations of 3-4 mutant alleles were not observed in any of
457 the 193 preimplantation embryos analysed, suggesting that such combinations of mutant
458 alleles are either very rare or non-existent. The most likely explanation for the conflicting
459 results found by Isotalo and colleagues is inaccuracy of the method for examining the
460 c.1298A>C polymorphism^{73, 74}. The *MbolI* RFLP method that they used has been criticised
461 because of the potential for interference, and genotyping errors, caused by a silent
462 polymorphism within the same exon of *MTHFR*. The problematic allele has a prevalence of
463 approximately 5% in the Canadian population that they studied²¹.

464

465 ***Embryo MTHFR genotype and chromosome abnormalities***

466 No significant differences in *MTHFR* c.677C>T and c.1298A>C genotype frequencies were seen
467 when preimplantation embryos with and without chromosomal abnormalities were compared.
468 This suggests that the increased frequency of aneuploidy observed in embryos derived from
469 mothers carrying 677T and 1298C alleles occurs during meiosis, due to an affect in the oocyte
470 or ovary rather than diminished MTHFR activity in the embryo itself. Complementary results
471 were obtained by Bae et al. ⁶⁹ who explored the prevalence of *MTHFR* c.677C>T and c.1298A>C
472 genotypes later in development, after a pregnancy had been established. Their analysis of
473 miscarriages indicated that the distribution of *MTHFR* c.677C>T and c.1298A>C genotypes was
474 the same regardless of whether the products of conception were euploid or aneuploid.

475 ***Embryo MTHFR genotype and implantation***

476 A significantly higher risk of implantation failure was observed in euploid embryos
477 homozygous for *MTHFR* 677T. This indicates that the embryonic *MTHFR* c.677C>T genotype
478 influences the ability of an embryo to form a successful pregnancy. Indeed, data from the
479 current study suggest that homozygosity for 677T could play a role in approximately 20% of
480 embryo implantation failures. Consequently, determination of the *MTHFR* genotype of IVF
481 embryos, prior to choosing which embryo will be transferred to the uterus, is likely to prove
482 highly advantageous for fertility treatments (e.g. IVF), maximising the probability that a viable
483 embryo will be transferred.

484 Defective folate metabolism has been shown to have negative effects on many essential
485 processes that could impact embryogenesis and implantation, such as synthesis of nucleotide
486 precursors for DNA synthesis and repair and cellular methylation reactions ^{5, 63, 64, 75}. Early
487 embryo development involves crucial cell division and differentiation, requiring coordinated
488 spatial and temporal changes in gene expression ⁷⁶. Disruption of DNA methylation, a
489 fundamental feature of transcriptional modulation, is likely to have serious consequences for

490 the developing embryo⁷⁷. Other than affecting gene expression, the embryo *MTHFR* genotype
491 could influence viability by introducing blood coagulation problems in the placenta, increasing
492 the risk of miscarriage. Recent studies have shown that folic acid is potentially important in a
493 number of crucial early stages of placental development, including extravillous trophoblast
494 (EVT) invasion and angiogenesis⁴⁴.

495 An important consideration, which warrants further research, is the possibility that the impact
496 of *MTHFR* deficiency on embryo implantation could be mitigated by dietary folic acid
497 supplementation (e.g. 500 µg daily as recommended for women that have had a fetus with a
498 neural tube defect)⁷⁸. This may be particularly valuable for patients with a history of
499 implantation failure following IVF treatment, especially if an adverse *MTHFR* genotype has
500 been detected. Furthermore, the link between *MTHFR* deficiency and elevated aneuploidy risk,
501 raises the intriguing question of whether increased folic acid intake in female carriers of
502 *MTHFR* 677T and 1298C alleles could help to reduce the incidence of chromosomally abnormal
503 embryos, thereby leading to improved outcomes of conception achieved naturally or using
504 ART.

505 Supplementation of embryo culture media, used during IVF treatments, should also be
506 considered. We speculate that this might help to support homocysteine remethylation to
507 methionine, assisting in the maintenance of the normal patterns of DNA methylation in
508 embryos with reduced *MTHFR* activity. Currently, preimplantation embryos are routinely
509 cultured in media that lack methyl donors. It would be valuable to determine whether folate-
510 supplemented media could reduce the risk of implantation failure, miscarriage or aneuploidy.
511 Consideration should also be given to the impact of folate-supplementation on the incidence
512 of imprinting disorders, associated with abnormal patterns of DNA methylation, which some
513 studies suggest may be elevated in the conceptions of patients undergoing infertility
514 treatments⁷⁹⁻⁸¹

515 In conclusion, a complex picture has emerged, in which *MTHFR* polymorphisms modulate
516 reproductive success on several distinct levels. The data suggests that *MTHFR* genotype
517 influences implantation, aneuploidy and the viability of euploid embryos. Multiple essential
518 processes are affected, including meiosis, embryogenesis and initiation of pregnancy. Based
519 upon the findings of this study, *MTHFR* joins a rare group of human genes displaying an
520 example of heterozygote disadvantage and another select group of genes with the ability to
521 influence aneuploidy rates. Given the relative ease with which embryonic *MTHFR* genotype
522 can be determined, the two polymorphisms may represent valuable new biomarkers for the
523 assessment of embryo competence, potentially enhancing IVF treatments by helping to reveal
524 the embryos most likely to produce a viable pregnancy. Another exciting possibility is that
525 cellular pathways dependent on *MTHFR* could be supported through maternal dietary
526 supplementation or, for embryos produced using ART, via the addition of folate to culture
527 medium. While this remains highly speculative, the potential benefits, in terms of reduced
528 risks of implantation failure, aneuploidy, abnormal imprinting and miscarriage, argue that
529 work to examine these possibilities should be encouraged as a matter of urgency.

530

531 **DESCRIPTION OF SUPPLEMENTAL DATA**

532 Supplemental Data include 3 tables and 4 figures.

533

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537

538 **WEB RESOURCES**

539 GENEPOP v.4.2 software <http://kimura.univ-montp2.fr/~rousset/Genepop.htm>.

540

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783

784 **FIGURE TITLES AND LEGENDS**

785 **Figure 1. Distribution of *MTHFR* c.677C>T and c.1298A>C genotypes in the study groups. (A)**
786 *MTHFR* c.677C>T genotype frequencies in subfertile and fertile control groups. (B) *MTHFR*
787 c.1298A>C genotype frequencies in subfertile and fertile control groups. χ^2 test was used to

788 estimate whether the genotypic frequencies differed significantly among the study groups.
789 Significantly different groups ($p < 0.05$) are highlighted with an asterisk.

790

791 **Figure 2. Distribution of *MTHFR* c.677C>T genotypes in chromosomally normal embryos with**
792 **successful and failed implantation.** χ^2 test was used to estimate whether the genotypic
793 frequencies differed significantly among the study groups. Significantly different groups
794 ($p < 0.05$) are highlighted with an asterisk.

795

796 **Figure 3. Implantation failure incidence associated to embryonic *MTHFR* c.677C>T genotype.**
797 The frequency of implantation failure in embryos presenting different *MTHFR* c.677C>T
798 genotypes is presented.

799 TABLES

800 **Table 1. *MTHFR* allele frequencies for fertile control and subfertile groups.** χ^2 test was used
801 to estimate whether the allelic frequencies differed significantly among the study groups.

<i>MTHFR</i> allele	Allele frequency	
	Fertile control group	Subfertile group
677C	0.696	0.725
677T	0.304	0.275
1298A	0.727	0.647*
1298C	0.273	0.353*

802 * Groups are significantly different to control, χ^2 test, $p < 0.05$

803 **Table 2. Individual *MTHFR* c.677C>T and c.1298A>T genotype frequencies for fertile control**
 804 **and subfertile groups according to the sex of the patient.** χ^2 test was used to estimate
 805 whether the genotypic frequencies differed significantly among the study groups.

genotype	Frequency		
	Fertile control group	Female subfertile group	Male subfertile group
677CC	0.516	0.620	0.500
677CT	0.360	0.272	0.326
677TT	0.124	0.109	0.174
1298AA	0.509	0.396*	0.444
1298AC	0.435	0.473	0.467
1298CC	0.056	0.132*	0.089

806 * Groups are significantly different to control, χ^2 test, $p < 0.05$

807 **Table 3. Individual *MTHFR* c.677C>T and c.1298A>C genotype frequencies for fertile control**
 808 **group and different indication groups of subfertile patients.** χ^2 test was used to estimate
 809 whether the genotypic frequencies differed significantly among the study groups. RIF:
 810 Repetitive implantation failure; RM: Recurrent miscarriage; AMA: advanced maternal age.

<i>MTHFR</i> genotype	Frequency			
	Fertile control group	RIF group	RM group	AMA group

677CC	0.516	0.525	0.588	0.588
677CT	0.360	0.275	0.275	0.353
677TT	0.124	0.200	0.137	0.059
1298AA	0.509	0.447	0.471	0.353
1298AC	0.435	0.316	0.451	0.588
1298CC	0.056	0.237*	0.078	0.059

811 * Groups are significantly different to control, X² test, p<0.05

812 **Table 4. Mean embryo aneuploidy rates (mean ± SEM) of patients with different *MTHFR***
813 **677/1298 genotypes.** T-test was used to assess whether the abnormality rates differed
814 significantly among genotypes within the study groups.

	Mean Embryo Aneuploidy Rate (%)		
	677CC/1298AA	Other genotypes (≥ 1 minor allele)	P-value
Subfertile group	58.76±6.3	70.22±2.19	0.036*
Female subfertile group	54.58±10.04	71.03±2.67	0.028*
Male subfertile group	64.74±5.57	69.21±3.65	0.514
AMA group	58.14 ± 9.41	75.00 ± 2.96	0.045*
RM group	51.65 ± 12.83	74.40 ± 4.52	0.048*
RIF group	62.67 ± 8.40	65.48 ± 3.51	0.721

815 * Groups are significantly different, T-test, p<0.05

816

817 **Table 4. Mean embryo aneuploidy rates (mean ± SEM) of patients with different *MTHFR***
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820 * Groups are significantly different, T-test, p<0.05

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