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 Alun D. Hughes (alun.hughes@ucl.ac.uk)
 J Davies (justindavies@heart123.com)
Principal Investigators: Je Davies (justin.davies@imperial.ac.uk)
 Ss Nijjer (s.nijjer@yahoo.co.uk)
 S Sen (sayan.sen@imperial.ac.uk)
 Dp Francis (d.francis@imperial.ac.uk)
Submitter: Alun D. Hughes (alun.hughes@ucl.ac.uk)

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1 **Estimation of coronary wave intensity analysis using non-invasive**
2 **techniques and its application to exercise physiology**

3 Christopher J. Broyd MBBS PhD*, Sukhjinder Nijjer MB ChB*, Sayan Sen MBBS PhD*, Ricardo
4 Petraco MD*, Siana Jones MSc BSc.‡, Rasha Al-Lamee MBBS*, Nicolas Foin PhD*, Mahmud
5 Al-Bustami MD⁺, Amarjit Sethi MBBS PhD⁺, Raffi Kaprielian MBBS MD⁺, Punit Ramrakha
6 PhD⁺, Masood Khan MB BChir, MA⁺, Iqbal S Malik MBBS PhD⁺, Darrel P. Francis MB BChir MA
7 MD*, Kim Parker PhD*, Alun D. Hughes PhD‡, Ghada W. Mikhail MBBS MD⁺, Jamil Mayet
8 MB ChB MD*, Justin E. Davies MBBS PhD*.

9 *Imperial College London, +Imperial College London NHS Trust, ‡University College London

10

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12 **Author for correspondence:**

13 Dr Christopher Broyd

14 The international centre for circulatory health

15 59-61 North Wharf Road

16 London

17 W21LA

18 c.broyd@imperial.ac.uk

19 Tel: +44 7859 072 797

20 Fax: +44 208 082 5109

21

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25 **Abstract**

26 **Introduction:** Wave intensity analysis (WIA) has found particular applicability in the coronary
27 circulation where it can quantify travelling waves that accelerate and decelerate blood flow. The
28 most important wave for the regulation of flow is the backward-travelling decompression wave
29 (BDW). Coronary WIA has hitherto always been calculated from invasive measures of pressure and
30 flow. However, recently it has become feasible to obtain estimates of these waveforms non-
31 invasively. In this study we set out to assess the agreement between invasive and non-invasive
32 coronary WIA at rest and measure the effect of exercise.

33 **Method and Results:** 22 patients (mean age 60) with unobstructed coronaries underwent invasive
34 WIA in the Left Anterior Descending artery (LAD). Immediately afterwards, non-invasive LAD flow
35 and pressure were recorded and WIA calculated from pulsed-wave Doppler coronary flow velocity
36 and central blood pressure waveforms measured using a cuff-based technique. Nine of these
37 patients underwent non-invasive coronary WIA assessment during exercise.

38 A pattern of 6 waves were observed in both modalities. The BDW was similar between invasive and
39 non-invasive measures (peak: 14.9 ± 7.8 vs $-13.8 \pm 7.1 \times 10^4 \text{ Wm}^{-2}\text{s}^{-2}$, concordance correlation coefficient
40 (CCC) 0.73, $p < 0.01$; cumulative -64.4 ± 32.8 vs $-59.4 \pm 34.2 \times 10^2 \text{ Wm}^{-2}\text{s}^{-1}$, CCC 0.66, $p < 0.01$), but smaller
41 waves were underestimated non-invasively. Increased left ventricular mass correlated with a
42 decreased non-invasive BDW fraction ($r = -0.48$, $p = 0.02$). Exercise increased the BDW: at maximum
43 exercise peak BDW was $-47.0 \pm 29.5 \times 10^4 \text{ Wm}^{-2}\text{s}^{-2}$ ($p < 0.01$ vs rest) and cumulative BDW $-19.2 \pm 12.6 \times 10^3$
44 $\text{Wm}^{-2}\text{s}^{-1}$ ($p < 0.01$ vs rest).

45 **Conclusion:** The BDW can be measured non-invasively with acceptable reliability potentially
46 simplifying assessments and increasing the applicability of coronary WIA.

47 **Key words:** artery, blood flow, physiology, hypertrophy, microcirculation

48 **Note and noteworthy**

49 Coronary wave-intensity analysis can be measured non-invasively potentially translating it from a
50 primary research tool to a clinical modality. Application to larger studies will permit exploration of its
51 potential predictor of outcome and facilitate examination of the effect of pharmacological (or other)
52 interventions on coronary wave-intensity in various sub-groups.

53

54 **Introduction**

55 Wave intensity analysis (WIA) provides a time-domain separation of up- and down-stream
56 wavefronts travelling at a single-point within a fluid medium and can elucidate the basis of temporal
57 blood flow velocity changes within the cardiovascular system. As the product of the first derivatives
58 of pressure (dP) and flow (dU) it is able to both qualify (in terms of direction) as well as quantify (in
59 terms of magnitude) energy transfer.

60 In humans it has been applied to many large arteries including the carotid and radial arteries(26, 43)
61 as well as the aorta(17), but has proven most useful in investigating the coronary circulation where
62 pressure gradients arising both proximally (aortic) and distally (myocardial) influence coronary
63 flow(7). The dominant wave driving coronary flow, the backward decompression wave (BDW),
64 originates distally from the myocardium at the onset of diastole and is generated by active
65 myocardial relaxation and resultant decompression of the intramyocardial microvasculature
66 producing a distal-to-proximal pressure gradient and thus a 'suction' effect. The backward
67 decompression wave is reduced in left ventricular hypertrophy(7), increased in severe aortic
68 stenosis(5), increases in response to pacing(40) or exercise(23) and can be used to predict
69 myocardial recovery after infarction(39).

70 Whilst many peripheral arteries are amenable to interrogation using non-invasive Doppler and
71 tonometric-based surrogates of pressure, until now the only way to perform coronary WIA is
72 invasively during angiography using intracoronary pressure- and flow-sensor tipped wires. However,
73 now it is possible to obtain acceptable surrogates of these measures non-invasively using
74 transthoracic Doppler ultrasound(34) and tonometry or cuff-based estimates of the central (aortic)
75 pressure waveform(16, 22, 24).

76 Therefore, we set out to perform a non-invasive measurement of coronary WIA using these
77 modalities in patients with normal coronary arteries. For further validation we assessed the

78 association of the magnitude of the backward decompression wave with two parameters that are
79 known to affect wave-intensity: exercise and left ventricular mass.

80

81

82 **Glossary**

83 c – wavespeed

84 CCC – Lin’s correlation coefficient of concordance

85 CFR – Coronary Flow Reserve

86 ECG – ElectroCardioGram

87 iFR – instantaneous wave-free ratio

88 LAD – Left Anterior Descending artery

89 Pa – Proximal pressure

90 Pd – Distal pressure

91 PdPa – Pd to Pa ratio

92 PRF – Pulse Repetition Frequency

93 SD – Standard Deviation

94 WIA – Wave Intensity Analysis

95 $WI_{+/-/NET}$ – Wave Intensity proximal / distal / net

96 ρ – density of blood (1050 kg m^{-3})

97 **Methods**

98 **Subjects**

99 Twenty-eight consecutive subjects were recruited from patients scheduled for coronary angiography
100 with typical or atypical chest pain and a positive functional test. Exclusion criteria included known
101 ischaemic heart disease, valvular pathology, evidence of regional wall motion abnormalities and
102 renal impairment (creatinine > 120 $\mu\text{mol/l}$). The study was approved by the Fulham-Local Research
103 Ethics Committee and all subjects gave written informed consent (11/LO/1454).

104 **Invasive pressure and flow measurements**

105 Cardiac catheterisation was performed via either the femoral or radial approach. After diagnostic
106 angiography, studies were closely inspected by 2 operators and only patients with angiographically
107 normal arteries proceeded to have haemodynamic measures recorded. All patients received
108 intravenous heparin (5,000 units) before insertion of the intracoronary pressure-flow wire. No other
109 drugs were administered during the procedure. A guide catheter was used to intubate the left
110 coronary system and a 0.014-inch diameter combined pressure- and flow-tipped wire (Combwire,
111 Volcano Therapeutics, Inc) passed into the mid-LAD and manipulated until an optimal flow and
112 pressure signal were obtained. Pressure and flow data was recorded using a Combomap console
113 (Volcano Therapeutics, Inc) over a period of one minute.

114 **Non-invasive pressure and flow measurements**

115 Immediately following completion of angiography and exit from the coronary catheter laboratory
116 non-invasive coronary flow and pressure waveforms were obtained. Echocardiography was
117 performed using either a Phillips ie33 (Amsterdam, Netherlands) or Esaote MyLabTwice (Genova,
118 Italy). The LAD was imaged initially in the parasternal long axis view with high wall filters, low pulse
119 Doppler filters and a color PRF typically in the range of 15-25cm/s, settings essential for this
120 technique(34). With the septum maintained centrally the probe was rotated clockwise and moved

121 laterally across the chest wall until the LAD was clearly in view with an angulation of less than 20° to
122 the probe. Pulse wave Doppler was applied with a sampling width of 7.5-10mm and multiple
123 coronary flow signals recorded. Data were exported as a high resolution image file. Simultaneously,
124 a suprasystolic waveform was recorded and calibrated with the brachial blood pressure using a cuff-
125 based device (Pulsecor, Auckland, New Zealand). The unprocessed data was exported as a Matlab
126 file. A full echocardiographic study was then undertaken including calculation of left ventricular
127 mass(19).

128 **Data Processing**

129 The central pressure waveform was estimated from the Pulsecor raw data using a modification of
130 the approach described by Lowe *et al*(24). A minimum of two suprasystolic recordings were made;
131 anything less than 'good' quality data (as recognised by the Pulsecor system's quality-control) was
132 repeated. Each waveform was then aligned according to the peak negative dP/dt before ensemble-
133 averaging in order to prevent over-smoothing of the early diastolic section of the pressure waveform
134 which is essential for the construction of the backward decompression wave.

135 Non-invasive pressure and flow data were aligned using the "foot" of the pressure waveform and
136 the ECG-QRS from echocardiography (mean number of cardiac cycles 21±9). Invasive data were
137 aligned according to ECG-gating accounting for the inherent Combomap flow-pressure offset (mean
138 number of cardiac cycles 63±58).

139 After alignment and beat selection, both invasive and non-invasive data were processed using the
140 same automated Matlab software which involved an identical Savitsky-Golay filter (polynomial order
141 3, window size 51). The non-invasive data were analysed by an observer blinded to the invasive
142 analysis results.

143 **Wave-intensity analysis**

144 Wavespeed (c) was calculated using the single-point method(8). Wave-intensity analysis was
145 calculated as previously described(7). Briefly, we used the product of the first time derivatives of
146 pressure (dP/dt) and velocity (dU/dt) so the results are independent of the sampling frequency used.
147 The waves can be separated into proximally (WI₊) and distally (WI₋) originating waves as well as net
148 wave intensity using:

$$WI_{+} = \frac{1}{4\rho c} \left[\frac{dP}{dt} + \rho c \left(\frac{dU}{dt} \right) \right]^2$$

$$WI_{-} = -\frac{1}{4\rho c} \left[\frac{dP}{dt} - \rho c \left(\frac{dU}{dt} \right) \right]^2$$

$$WI_{NET} = WI_{+}WI_{-} = \left(\frac{dP}{dt} \right) \left(\frac{dU}{dt} \right)$$

149 where ρ is the density of blood (taken as 1050 kg m⁻³)

150 To separate coincident waves from proximal and distal origins the change in pressure was separated
151 into its wave components: dP₊/dt(proximal) and dP₋/dt(distal).

$$\frac{dP_{+}}{dt} = 1/2 \left(\frac{dP}{dt} + \rho c \left(\frac{dU}{dt} \right) \right)$$

$$\frac{dP_{-}}{dt} = 1/2 \left(\frac{dP}{dt} - \rho c \left(\frac{dU}{dt} \right) \right)$$

152 Cumulative wave intensity (i.e. wave energy) was calculated for each wave by measuring the area
153 under the peak of the wave intensity versus time curve. The cumulative intensity of each individual
154 wave was also calculated as a proportion of the total cumulative wave intensity over the cardiac
155 cycle (termed 'wave energy fraction').

156 **Non-invasive coronary wave intensity analysis in exercise**

157 A subgroup of 10 patients went on to have non-invasive coronary wave-intensity calculated during
158 exercise. Patients were selected on the basis of optimal coronary flow windows and the physical
159 ability to perform an exercise regimen reliably. They were asked to withhold any rate-limiting
160 pharmacological agents in the 48 hours prior to attendance and avoid alcohol, nicotine or nitrates in
161 the preceding 24 hours.

162 Patients were exercised during echocardiography using a semi-recumbent ergometer exercise bike
163 (Ergoline, Stuttgart, Germany). Patients were positioned according to their optimal
164 echocardiographic windows, typically semi-recumbent at 45 degrees and towards their left lateral
165 side. Coronary flow and central aortic pressure were recorded during graded exercise according to a
166 pre-determined standardised incremental exercise protocol(32) based on the patient's weight and
167 age, typically starting at 25W and increasing by 20W each minute. Data was acquired when the heart
168 rate was 20 and 40bpm above resting following cessation of exercise. To preserve an optimal
169 pressure signal, an assistant held the patients arm static following exercise whilst Pulsecor data was
170 simultaneously acquired.

171 **Reproducibility**

172 For each patient, haemodynamic data was recorded before and after the conventional
173 echocardiographic study. The reproducibility of hemodynamic measurements was calculated by
174 examining separate 30-s non-invasive recordings of blood pressure, velocity and wave intensity for
175 each patient.

176 **Statistics**

177 The data was analysed using STATA 11 and Matlab R2015a. Continuous variables are reported as
178 mean \pm standard deviation (SD). The Bland-Altman method was used to quantify agreement
179 between non-invasive and invasive wave-intensity and to analyse reproducibility data. Lin's
180 correlation coefficient was used to express concordance (CCC), and the coefficient of variation (CV)
181 was calculated for reproducibility data as the ratio of the standard deviation of difference between
182 measures to the mean value of the measure. 2-dimensional cross-correlation coefficients were
183 used as a measure of similarity between non-invasive and invasive waveforms. Invasive and non-
184 invasive values were compared using a Wilcoxon matched-pairs signed-ranks test. Exercise
185 haemodynamic data was analysed using Cuzick's test for trend. Correlation was assessed with
186 Pearson's correlation coefficient. Fisher's r-to-z transformation was used to compare correlation
187 coefficients. A p value of less than 0.05 was deemed significant.

188

189 **Results**

190 **Patient Characteristics**

191 Of the 28 patients recruited, 23 had appropriate echocardiographic windows to allow coronary flow
192 analysis. In one patient it was impossible to obtain an adequate invasive Doppler signal for analysis.
193 The remaining 22 patients make up the study population. Mean age was 60 ± 12 (14 male). Systolic
194 function was preserved (mean ejection fraction 59%) with no significant valvular disorders. Risk
195 factors for coronary disease included hypercholesterolaemia (58%), hypertension (35%), diabetes
196 (6%) and smoking (6%) (Table 1). Echocardiographic data are displayed in Table 2.

197 **Haemodynamic data**

198 Maximum coronary flow velocities were similar in the invasive and non-invasive groups but
199 minimum velocity was higher by non-invasive methods (Table 3). Invasive systolic and mean
200 pressure was higher by invasive methods, although diastolic pressure did not differ (Table 3). Mean
201 cross-correlation coefficients between invasively measured Pa (aorta - catheter tip) and Pd (coronary
202 wire pressure) were 0.99 ± 0.00 . Mean resting Pd/Pa was 1.0 ± 0.03 and iFR 0.99 ± 0.03 . The minimum
203 dP/dt was higher invasively than non-invasively (-0.57 ± 0.21 vs. -0.36 ± 0.16 mmHg.s⁻¹; $p < 0.01$) but
204 with a favourable concordance (CCC=0.44, $p < 0.01$); additionally the mean cross-correlation
205 coefficient between invasive and non-invasive pressure waveforms was very high ($r = 0.99 \pm 0.01$).
206 The time of minimum dP/dt from the foot of the systolic aortic upstroke was 305ms invasively and
207 281ms non-invasively ($p = 0.02$).

208 As previously described, 6 different waves were identified in the cardiac cycle. Each wave was
209 characterized by origin and direction of travel (forward-traveling waves originating proximally and
210 backward-traveling waves originating distally), character (compression or decompression), and
211 effect on coronary blood flow velocity (acceleration or deceleration waves)(7). Focus was given to

212 the forward compression wave, forward decompression wave and backward decompression wave as
213 the waves of most physiological importance (Figure 1).

214 Peak backward decompression wave was $-14.9 \pm 7.8 \times 10^4 \text{ Wm}^{-2}\text{s}^{-2}$ invasively and $-13.8 \pm 7.1 \times 10^4$
215 $\text{Wm}^{-2}\text{s}^{-2}$ non-invasively and measures showed good concordance (CCC 0.73, $p < 0.01$). Cumulative
216 wave intensity was $-64.4 \pm 32.8 \times 10^2 \text{ Wm}^{-2}\text{s}^{-1}$ invasively compared to $-59.4 \pm 34.2 \times 10^2 \text{ Wm}^{-2}\text{s}^{-1}$ non-
217 invasively (CCC 0.66, $p < 0.01$) (Figure 2, Table 3).

218 The non-invasive measures of other waves underestimated their magnitude compared with invasive
219 measures (Table 3). This meant the fraction backward decompression wave intensity was higher in
220 the non-invasive group ($29.7 \pm 9.5\%$ non-invasively vs $22.1 \pm 5.4\%$ invasively, $p < 0.01$) but there was a
221 correlation between the two techniques ($r = 0.45$, $p = 0.04$). Wave speed was also lower by non-
222 invasive methods (Table 3). As previously reported(4), a correlation was also seen between the non-
223 invasive forward compression wave and the backward decompression wave ($r = -0.44$, $p = 0.04$), this
224 was almost identical to that seen invasively ($r = -0.44$, $p = 0.04$; Fisher's $z = 0$, $p > 0.99$).

225 **Reproducibility**

226 The mean \pm SD of the difference between the separate 30-s recordings of blood pressure was
227 $2.2 \pm 2.0 \text{ mmHg}$ (CV = 2.4%). The mean \pm SD of the difference between the separate 30-s recordings of
228 flow velocity was $0.7 \pm 0.7 \text{ cms}^{-1}$ (CV = 2.0%). The mean \pm SD of the difference between the separate
229 30-s recordings of the cumulative backward decompression wave was $-1.6 \pm 2.3 \times 10^2 \text{ Wm}^{-2}\text{s}^{-1}$ (CV =
230 2.0%). The mean \pm SD of the difference between the separate 30-s recordings of the peak backward
231 decompression wave was $-0.8 \pm 0.8 \times 10^{-4} \text{ Wm}^{-2}\text{s}^{-2}$ (CV = 4.4%).

232 **Left Ventricular mass**

233 Mean left ventricular mass was $163 \pm 37 \text{ g}$ with 5 patients meeting the definition of left ventricular
234 hypertrophy(19). There was a significant negative correlation between left ventricular mass and both
235 non-invasive ($r = -0.48$, $p = 0.02$, Figure 3) and invasive ($r = -0.49$, $p = 0.01$) backward decompression

236 wave fraction. No significant difference was found between the two correlation coefficients ($z =$
237 $0.21, p=0.83$). There was also a significant positive correlation between left ventricular mass and the
238 non-invasive forward compression wave fraction ($r=0.50, p=0.02$). No other correlations were noted
239 between mass and any of the other waves or their fractional energy.

240 **Exercise wave intensity**

241 One patient was excluded from this sub analysis because of technically-inadequate coronary flow
242 sampling during exertion. Peak coronary flow rose during exercise from 23.2 ± 8.2 cm/s to 42.2 ± 17.8
243 cm/s ($p < 0.01$ for trend) as did systolic pressure (120 ± 13.0 to 140 ± 23.4 mmHg, $p=0.07$ for trend).
244 Diastolic pressure rose only modestly from 82.3 ± 10.5 to 85.9 ± 11.0 mmHg ($p=0.68$ for trend).

245 Both peak and cumulative backward decompression wave demonstrated a progressive increase with
246 exercise. Peak was $-9.7 \pm 6.3 \times 10^4 \text{ Wm}^{-2}\text{s}^{-2}$ at baseline and increased to $-12.5 \pm 6.3 \times 10^4 \text{ Wm}^{-2}\text{s}^{-2}$ at
247 moderate exercise and $-47.0 \pm 29.5 \times 10^4 \text{ Wm}^{-2}\text{s}^{-2}$ at peak ($z=-3.33, p < 0.01$ for trend). Cumulative was -
248 $4.3 \pm 3.2 \times 10^3 \text{ Wm}^{-2}\text{s}^{-1}$ at rest and rose to $-6.6 \pm 3.3 \times 10^3 \text{ Wm}^{-2}\text{s}^{-1}$ at moderate exercise and $-19.2 \pm 12.6 \times$
249 $10^3 \text{ Wm}^{-2}\text{s}^{-1}$ at peak ($z=-3.80, p < 0.01$ for trend) (Figure 4 & 5, Table 4).

250

251

252 **Discussion**

253 We have demonstrated that the coronary wave intensity profile can be measured with reasonable
254 fidelity non-invasively using Doppler echocardiography and the central blood pressure waveform
255 estimated from a brachial blood pressure cuff device. The agreement between wave intensity
256 measured invasively and non-invasively was good, the correlation between the backward
257 decompression wave and LV mass was similar between invasive and non-invasive methods, and
258 exercise induced a graded increase in the backward decompression wave intensity demonstrating
259 the sensitivity of this non-invasive method to a physiological intervention.

260 **Non-invasive measures of flow, pressure and wave-intensity**

261 With the introduction of newer echocardiography machines with 2nd harmonic imaging and high
262 frequency transducers, it has become possible to obtain a very accurate coronary flow envelope that
263 shows equivalence with invasively derived measures(14, 34, 35). This technique is now able to
264 calculate coronary flow reserve (CFR) and has been used to predict outcome in a variety of disease
265 states(2, 3, 33).

266 Previous work has sought to use single, resting measures of coronary flow alone in order to assess
267 microvascular dysfunction with varying degrees of success. To that end, correlates have been
268 recognised between invasively measured systolic flow reversal(41), diastolic deceleration time(15,
269 20) and recovery after myocardial infarction and these markers have been adopted non-invasively as
270 well(29). Combining non-invasive pressure and flow data to calculate coronary wave intensity offers
271 a potentially valuable index of coronary haemodynamics.

272 The derivation of wave-intensity from pressure and flow tracings has previously been successfully
273 performed non-invasively in carotid(1, 28), brachial and radial arteries(43). This has resulted in a
274 large increase in its applicability providing insights into its relationship to outcomes(25) and the
275 differential effect of therapies(26). We have now shown that is also possible to measure wave

276 intensity in the left anterior descending artery using non-invasive measures of central pressure and
277 coronary flow. With this approach the backward decompression wave is equivalent to that seen in
278 previous invasive studies(7).

279 Our approach was focused on the three most clinically relevant waves within the cardiovascular
280 system, particularly the backward decompression wave as this provides insights regarding the
281 microcirculatory drive to coronary flow(5, 7, 11, 18). This wave has the largest magnitude and
282 therefore least potential for signal-to-noise errors. Additionally, we used the peak negative dP/dt of
283 the pressure waveform for alignment prior to ensembling and optimised the Doppler envelope for
284 this portion of the cardiac cycle. These factors may explain firstly why our measure of the backward
285 decompression wave was most accurate but also, in part, why the other waves were underestimated
286 since co-registration errors in the ensemble will tend to increase with distance from the fiducial
287 point. Nevertheless, a strong correlation between the forward compression wave and backward
288 decompression wave was seen as has been recognised invasively(7) implying an adequate
289 approximation over the whole cycle.

290 To test the validity of our measure of the backward decompression wave further, we examined it
291 under variable physiological and pathological environments and assessed whether it performed
292 similarly to reported invasive measures.

293 **Left Ventricular Mass**

294 Increased LV mass is associated with adverse cardiovascular outcomes(12, 21). CFR is reduced with
295 left ventricular hypertrophy(13), a feature which is reversible with therapy(27) so that despite an
296 increased muscle mass, the ability of the heart to regulate its own blood supply is attenuated in left
297 ventricular hypertrophy which reflects an inefficiency of myocardial function.

298 WIA can also provide an insight into this myocardial efficiency and can demonstrate the interplay
299 between myocardial structure and coronary flow despite similar coronary flow velocity rates(42).

300 Invasive studies have shown that left ventricular hypertrophy results in a reduction in the backward
301 decompression wave energy fraction(7). As such, coronary wave-intensity may be able to provide
302 prognostic information in a similar fashion to CFR and a technique, such as this one, to increase its
303 potential applicability would therefore be useful. In the present study we have confirmed the
304 relationship between invasively assessed backward decompression wave energy fraction and left
305 ventricular mass and gone on to show this relationship is detected using our non-invasive method
306 for measuring coronary wave intensity with acceptable accuracy.

307 Whilst only 5 patients from our cohort met a definition of left ventricular hypertrophy, the effect of
308 left ventricular mass on both coronary physiology(27) and mortality(12) is continuous as is wave-
309 intensity analysis. We would therefore anticipate a correlation between left ventricular mass and
310 wave-intensity even when LV mass is within the 'normal' range and this is indeed what we found.

311 **Exercise Physiology**

312 At rest, haemoglobin concentration and oxygen extraction of coronary flow are already at 70-80%
313 maximum capacity and therefore the resultant 5-fold increase in the oxygen requirements of the
314 myocardium during exercise is largely served by an increase in coronary blood flow. Accordingly,
315 peak values of coronary blood flow in dynamic exercise are 3-5 times the resting level(10), an
316 increase that is influenced by the interaction between the relaxing myocardium and decompression
317 of small intra-myocardial blood vessels which can be quantified using the backward decompression
318 wave. This has been described in animal models(40) and in humans(6, 23). We therefore sought to
319 demonstrate an appropriate response in non-invasive wave intensity with exercise.

320 We found that coronary wave-intensity can be assessed in most patients at moderate levels of
321 exertion; in a proportion of patients, it can even be gauged at more intense levels. With exercise,
322 there is an appropriate progressive increase in the magnitude of the backward decompression wave.
323 This reflects the increased 'suction' effect of the myocardium on the coronary circulation with

324 increasing oxygen demand. In turn, this results in a measurable increase in coronary flow rate and
325 blood supply. Peak and cumulative backward decompression wave intensity were increased 3-4
326 fold during exercise, which is similar in magnitude to that reported in other studies(5, 23).

327 **Applications**

328 The use of this technique has a wide variety of applications in disease states. With moderate
329 expertise, it can be measured in the majority of patients, carries no risk and requires no
330 pharmacological agents. Therefore, its key feature is in its ability to perform serial measurements in
331 the interrogation of patients who have insufficient clinical indication to undergo angiography, or for
332 follow-up in those whom have had invasive assessment.

333 Previous work has demonstrated that patients with aortic stenosis have a strikingly abnormal wave-
334 intensity profile that normalises immediately following valve implantation(5). Using non-invasively
335 derived measures of wave intensity may permit a further measure of myocardial burden to be
336 estimated in patients with mild or moderate aortic stenosis and thus aid the timing of intervention.
337 Similarly, in patients with other cardiomyopathies where non-invasively derived CFR can predict
338 outcome(3, 33) this marker may allow further risk stratification and monitoring of the effect of
339 therapy.

340 Given that non-invasively measured wave intensity is able to recognise subtle resting abnormalities
341 in myocardial function it is also possible that it may have potential as a pre-clinical screening tool in
342 patients with risk factors for cardiovascular disease. In those at risk with an abnormal resting wave-
343 intensity profile, treatment could be instigated early and followed to ensure normalisation.

344 The ability to apply this technique to patients undergoing exercise opens further avenues to assess
345 disease states during exertion. In particular, valve disorders or progressive cardiomyopathic
346 conditions could be serially assessed during exercise to allow timing of intervention. In patients
347 unable to exercise, a pharmacological stressor could be applied to provide similar results. The

348 majority of the technical difficulties in measuring non-invasive exercise coronary wave-intensity
349 were actually due to the movement of the body whilst pedalling particularly at higher levels of
350 exertion. Therefore, with use of handgrip isometric exercise, it may possible to make this assessment
351 easier and given the sensitivity of wave-intensity analysis, only a moderate heart rate increase may
352 be required.

353 **Disadvantages and Limitations**

354 In this study, the measurement of non-invasive WIA was not performed exactly at the same time as
355 the invasive assessment but rather was undertaken serially within 30 minutes of the procedure.
356 However, patients remained supine between these two recordings and there was no marked change
357 in heart rate, coronary flow rate or pharmacological state of the patient and they remained supine
358 on the bed.

359 We assumed aortic pressure would be an acceptable surrogate of the LAD pressure waveform. This
360 assumption is the basis of pressure-based assessment of moderate coronary lesions(31, 38) and we
361 demonstrated similar waveform shapes of non-invasive central and invasive aortic and coronary
362 pressure using cross-correlation coefficients. However, recent data(4, 30) have suggested a
363 systematic error in central pressure estimation due to the Pulsecor's calibration using brachial
364 pressures, and similar biases have been reported for other non-invasive devices(9). It has been
365 suggested that calibration to mean and diastolic pressure may minimize this bias(4) and this issue
366 should be addressed in future studies. Whilst wave intensity employs the derivatives of the pressure
367 and flow waveforms so the impact of a BP calibration error on estimated wave intensity is likely to
368 be modest, consistent with this we found that minimum dP/dt , peak and cumulative wave intensity
369 estimated non-invasively was lower than invasive measures. For the BDW the resultant difference in
370 wave intensity were small (~10%) but for other more minor waves the differences were larger. The
371 errors introduced by calibration to brachial pressures may also account for the lower wave speeds

372 measured non-invasively. These issues should therefore be considered in studies aiming to measure
373 coronary wave intensity using non-invasive methods.

374 There are several other alternative approaches to assess central pressure non-invasively including
375 tonometric techniques. These techniques are also hampered by some of the issues faced by
376 oscillometric devices(37) and appear to provide data of a similar accuracy. Despite this, future work
377 should also focus on establishing the potential role of these devices in the estimation of wave-
378 intensity.

379 No intra-coronary nitrates were used during invasive assessments in this study. Whilst the physical
380 presence of an intra-coronary wire may cause a degree of coronary spasm we felt the impact of
381 intra-coronary nitrates on wave speed and wave-intensity(7, 8, 36) would be more confounding as
382 this could not be replicated during the non-invasive assessment. Additionally, the presence of the
383 coronary wire itself will also affect flow, albeit modestly (ultimately favouring non-invasive coronary
384 wave intensity as the most accurate form of assessment). However, none of the patients included in
385 this study had any angiographic evidence of coronary spasm during intracoronary wire assessment.

386 The major technical limitation with the application of this technique is the fact that coronary imaging
387 by echocardiography requires training and is challenging to achieve in some patients as
388 demonstrated by the failure to accurately assess coronary flow in five of the recruited patients.
389 However, this is probably similar to the level of expertise required to use the invasive pressure-flow
390 wire and with practice, in the hands of a skilled echocardiographer, measurements can be reliably
391 made in the majority of patients(35) particularly with the widespread availability if used with
392 contrast.

393 Finally we recognise that whilst we were able to accurately measure the backward decompression
394 wave, the other waves in the cardiac cycle were underestimated. However, given the good
395 correlation between invasive and non-invasive backward decompression wave energy fraction,

396 relative changes in this value would remain clinically relevant. Additionally, the backward
397 decompression wave has consistently shown itself to be the most clinically relevant wave(6, 7, 18,
398 23, 39).

399 **Conclusion**

400 It is possible to measure coronary wave intensity in the left anterior descending artery using widely
401 available non-invasive technology. This method provides an acceptably accurate assessment of the
402 backward decompression wave under resting conditions. The technique has sufficient sensitivity to
403 detect changes associated with left ventricular hypertrophy and exercise. It enhances the
404 applicability of coronary wave intensity to larger cohort-based studies where invasive pressure and
405 flow would be unethical or unpractical to obtain to provide greater understanding of myocardial-
406 coronary interaction. It also provides an opportunity to conveniently and safely make repeated
407 measurements following a range of pharmacological (and other) interventions.

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414

415 **Disclosures**

416 The authors report no disclosures.

417

418 **References**

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578 **Tables**

579 Table 1. Baseline patient demographics of 22 patients undergoing combined invasive and non-
580 invasive LAD wave-intensity analysis

581 Table 2. Baseline echocardiographic data of 22 patients undergoing combined invasive and non-
582 invasive LAD wave-intensity analysis.

583 Table 3. Invasive versus non-invasive coronary haemodynamics.

584 Table 4. Coronary haemodynamic data during graded exercise in 9 patients

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DEMOGRAPHIC	VALUE
Age (yr)	60 ± 12
Male (%)	14 (64)
Height (cm)	171 ± 12
Weight (kg)	85.5 ± 17
BMI (kg/m ²)	29.1 ± 3.9
Hypertensive (%)	8 (36)
Cholesterol therapy (%)	12 (55)
DM (%)	1 (5)
Current smoker (%)	1 (5)
Pharmacological therapy	
Beta blocker (%)	3 (14)
Ace Inhibitor / Angiotensin Receptor Blocker (%)	6 (27)
Calcium channel antagonist (%)	4 (18)
Thiazide diuretic (%)	2 (9)
Alpha blocker (%)	1 (5)
Aspirin (%)	12 (55)
Statin (%)	12 (55)

595

596 **Table 1. Baseline patient demographics of 22 patients undergoing combined invasive and**
597 **non-invasive LAD wave-intensity analysis**

598

MEASUREMENT		
2D measurements (cm)	LVEDd	4.5 ± 0.5
	LVEDs	3.0 ± 0.7
	IVSd	1.04 ± 0.21
	PWd	1.02 ± 0.21
	IVSs	1.6 ± 0.43
	PWs	1.7 ± 0.43
Mitral inflow (cm/s)	E	65.5 ± 16
	A	68.5 ± 17
Mean tissue doppler (cm/s)	e'	9.4 ± 3.3
	s'	8.7 ± 3.1
	E/A	0.96 ± 0.22
	E/e'	7.0 ± 2.9
LV mass (g)		163 ± 37

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600 **Table 2. Baseline echocardiographic data of 22 patients undergoing combined invasive**
 601 **and non-invasive LAD wave-intensity analysis.**

602 Values are mean ± SD.

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		Non-invasive	Invasive	Difference	CCC*	p†	p‡
Heart rate(bpm)		60.4±9.0	63.9±9.5	3.5±4.5	0.74	<0.01	0.3
Wave speed		14.7±4.5	17.9±8.0	3.2±2.2	0.41	<0.01	0.01
Cumulative wave intensity $\times 10^2 \text{ Wm}^{-2}\text{s}^{-1}$	Forward compression wave	44.9±16.9	62.6±24.1	17.7±38.3	-0.01	0.9	0.04
	Forward decompression wave	3.6±5.2	25.6±19.0	22.0±16.0	-0.03	1.0	<0.01
	Backward decompression wave	-59.4±34.2	-64.4±32.8	5.0±3.4	0.66	<0.01	0.2
	Backward decompression wave energy fraction (%)	29.7±9.5	22.1±5.4	7.6±9.9	0.27	0.04	<0.01
Peak wave intensity $\times 10^4 \text{ Wm}^{-2}\text{s}^{-2}$	Forward compression wave	7.7±3.8	24.5±11.7	16.8±14.7	0.01	0.8	<0.01
	Forward decompression wave	4.6±5.2	9.8±7.4	5.2±5.7	0.05	0.9	<0.01
	Backward decompression wave	-13.8±7.1	-14.9±7.8	1.1±5.5	0.73	<0.01	0.9
Coronary flow velocity, cm/s	Peak velocity	29.2 ± 6.5	29.1 ± 10.1	0.1±0.1	0.49	<0.01	0.6
	Mean velocity	17.5 ± 4.3	17.2 ± 6.7	0.3±0.6	0.42	<0.01	0.1
	Minimum velocity	11.3 ± 3.1	7.45 ± 4.1	3.9±0.5	0.15	0.30	0.02
Central pressure, mmHg	Systolic mmHg	122 ± 16.2	127 ± 21.2	5.0±7.7	0.45	<0.01	<0.01
	Diastolic mmHg	77.4 ± 9.4	75.2 ± 9.4	2.2±2.3	0.65	<0.01	0.5
	Mean mmHg	91.1 ± 10.6	92.2 ± 12.1	1.1±1.0	0.37	<0.01	<0.01

609 **Table 3. Invasive versus non-invasive coronary haemodynamics.**

610 *Lin's concordance correlation coefficient (CCC) and †p value for the significance of this value. p values for direct comparison are also displayed (p‡).

611 Values are mean ± SD.

612

	Baseline	Mid-exercise	Peak-exercise	P value for trend
Heart Rate (bpm)	72.3 ± 9.8	84.8 ± 11.3	102.4 ± 9.7	<0.01
Systolic pressure (mmHg)	120 ± 13.0	128 ± 19.6	140 ± 23.4	0.07
Diastolic pressure(mmHg)	82.3 ± 10.5	86.7 ± 10.5	85.9 ± 11.0	0.68
Peak velocity (cm/s)	23.2 ± 8.2	31.2 ± 12.0	42.2 ± 17.8	<0.01
Minimum velocity (cm/s)	8.5 ± 2.4	10.3 ± 4.3	12.4 ± 4.5	0.07
Peak backward decompression wave (Wm ⁻² s ⁻² x10 ⁴)	-9.7 ± 6.3	-12.5 ± 6.3	-47.0 ± 29.5	<0.01
Cumulative backward decompression wave (Wm ⁻² s ⁻¹ x 10 ³)	-4.3 ± 3.2	-6.6 ± 3.3	-19.2 ± 12.6	<0.01

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614 **Table 4. Coronary haemodynamic data during graded exercise in 9 patients**

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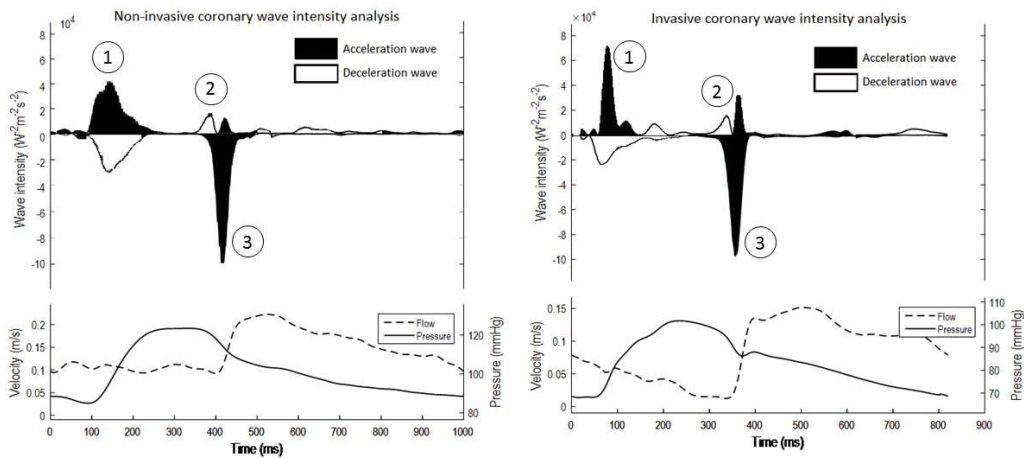


Figure 1. Invasive versus non-invasive wave intensity analysis. 6 waves were identified through both modalities.

The three most clinically relevant waves are identified: 1) the forward compression wave in early systole generated from ventricular contraction with an open aortic valve, 2) the forward decompression wave created from the slowing of ventricular contraction at the end of systole and 3) the backward decompression wave generated by the re-expansion of the intra-myocardial vessels that were compressed during systole. Whilst the other waves of the cardiac cycle are underestimated non-invasively a good concordance is seen with backward decompression wave.

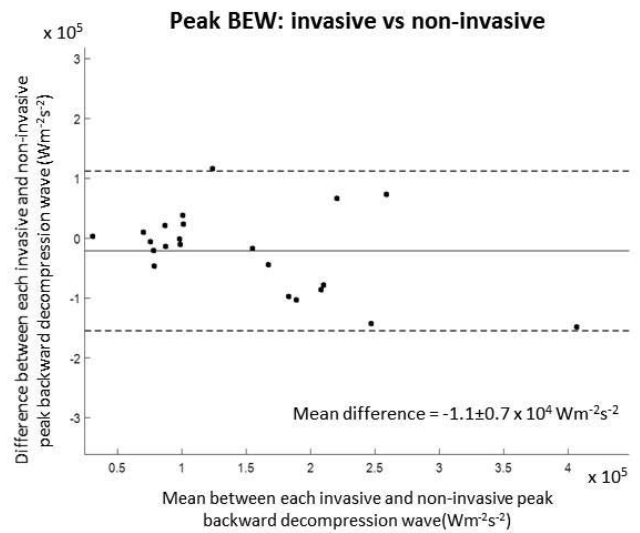
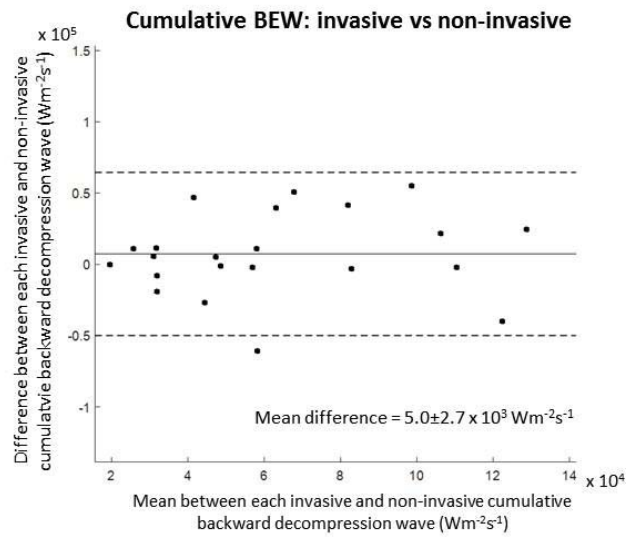


Figure 2. Bland Altman plot of invasive versus non-invasive backward decompression wave: peak (left) and cumulative (right),

Solid horizontal line represents mean difference and dashed lines the limit of agreement ($\pm 1.96 \times \text{SD}$).

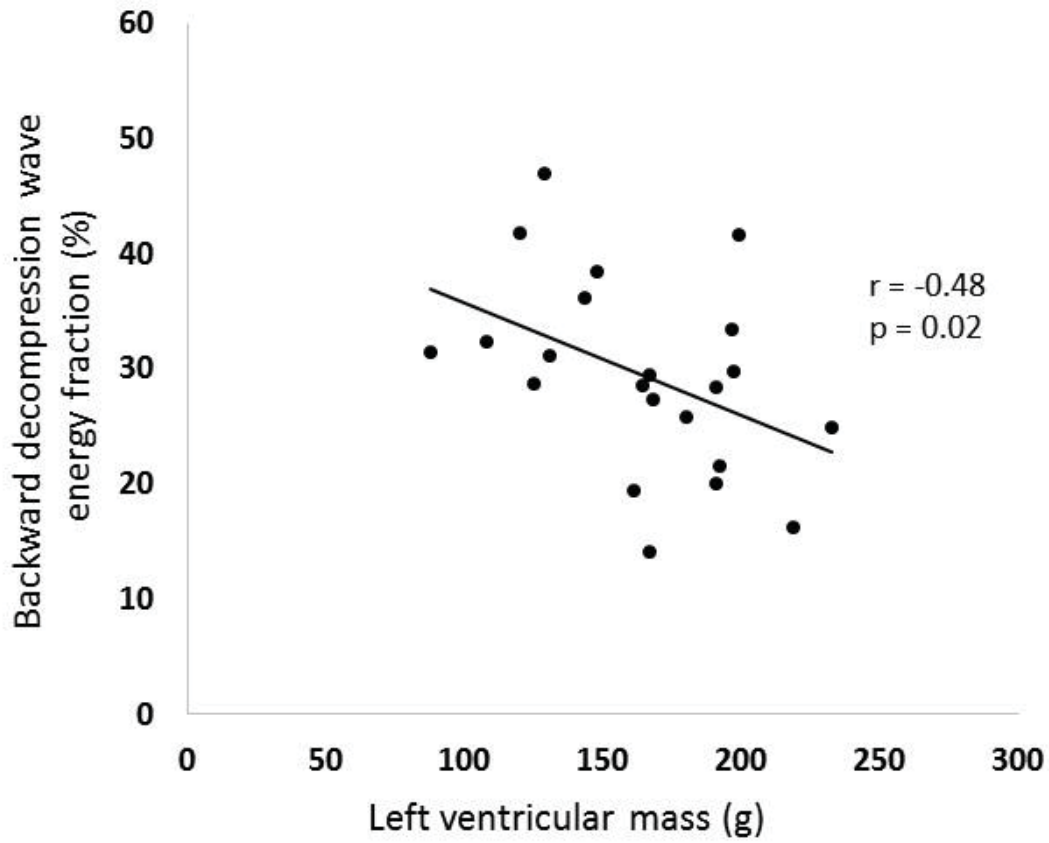


Figure 3. Scatterplot showing the relationship between the non-invasive backward decompression wave energy fraction and left ventricular mass.

The solid line represents the regression line and Pearson's correlation coefficient is shown.

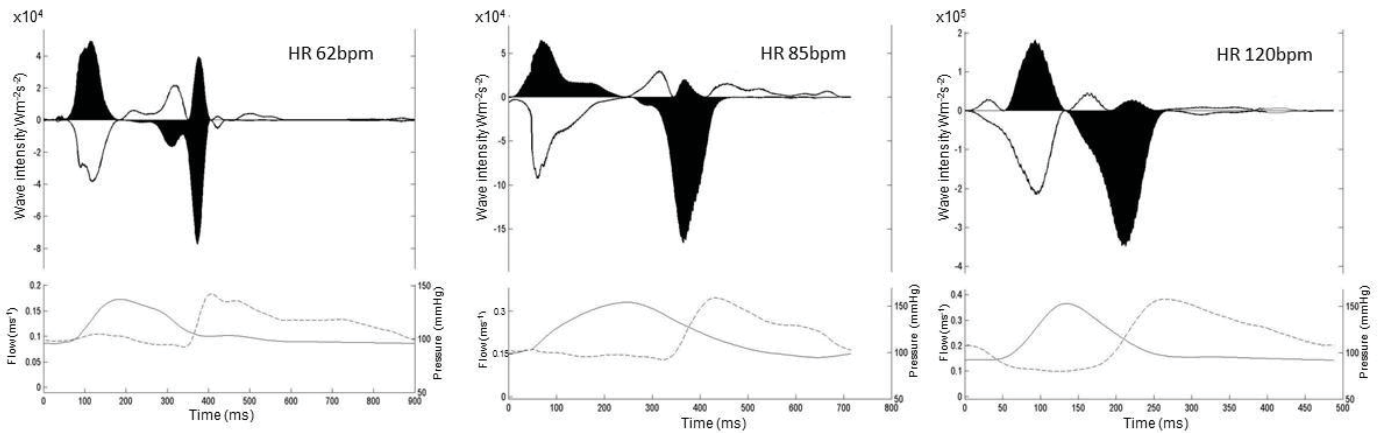


Figure 4. Coronary flow assessment and non-invasive wave-intensity analysis at increasing heart rates.

Rest is displayed on the left, mid-exertion centrally and maximum exertion on the right. With exercise and a resultant increasing heart rate a progressive increase is seen in the in size of the cumulative and peak backward decompression wave. This reflects a greater 'suction' effect from the myocardium resulting in higher coronary flow rates per cardiac cycle. HR = Heart Rate. Note, both flow, blood pressure

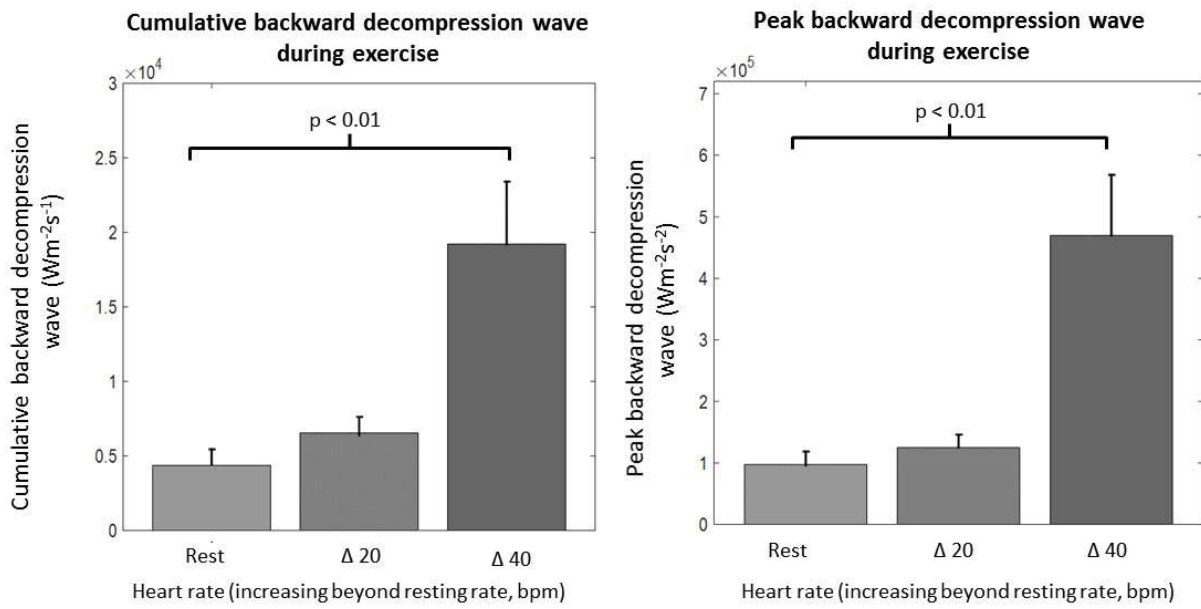


Figure 5. Peak and cumulative backward decomposition wave with exercise.

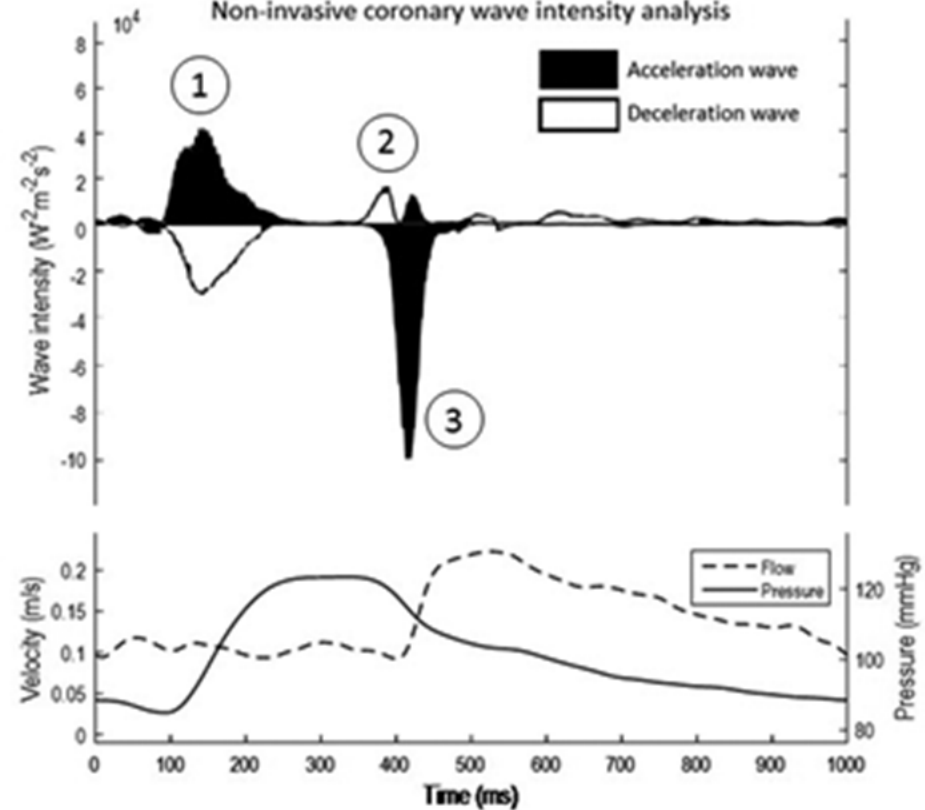
With exercise there is a progressive increase in the peak and cumulative backward decomposition wave (data displayed as mean and standard error of the mean with p value for trend).

Type of file: figure

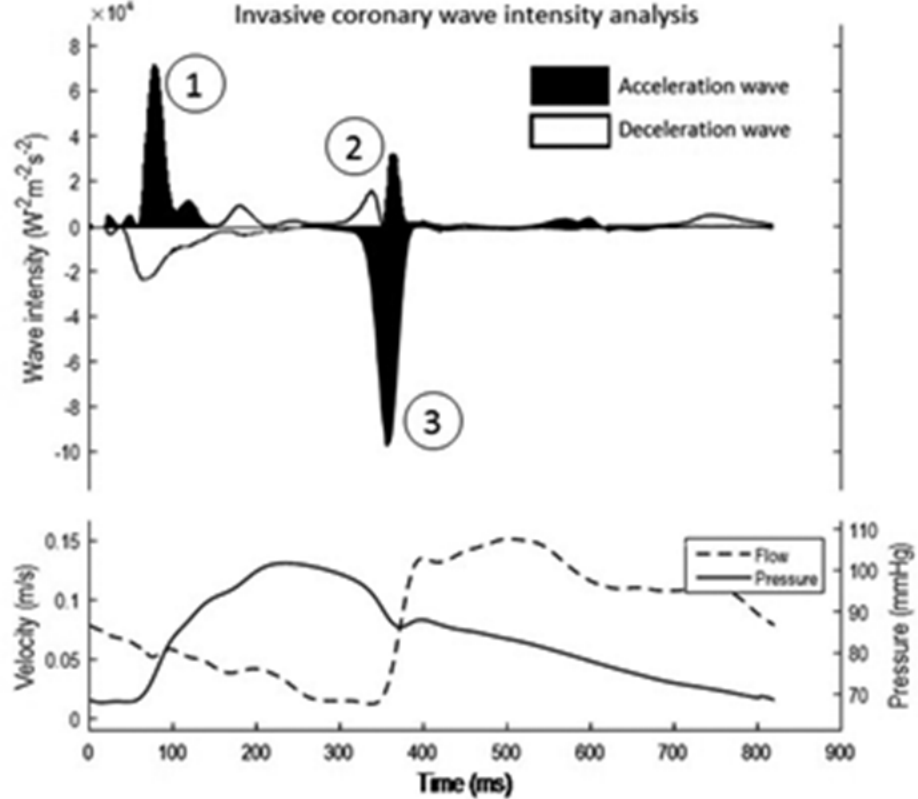
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Non-invasive coronary wave intensity analysis



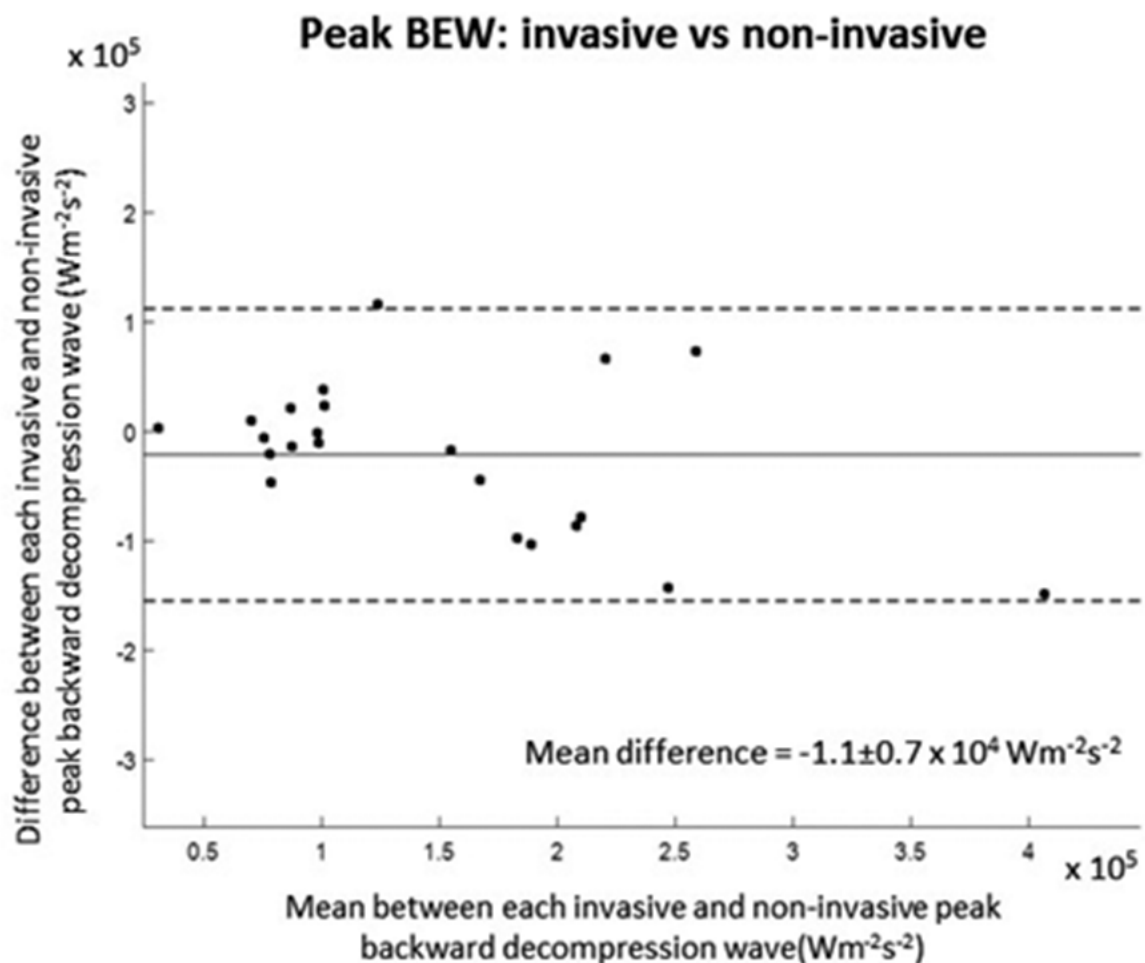
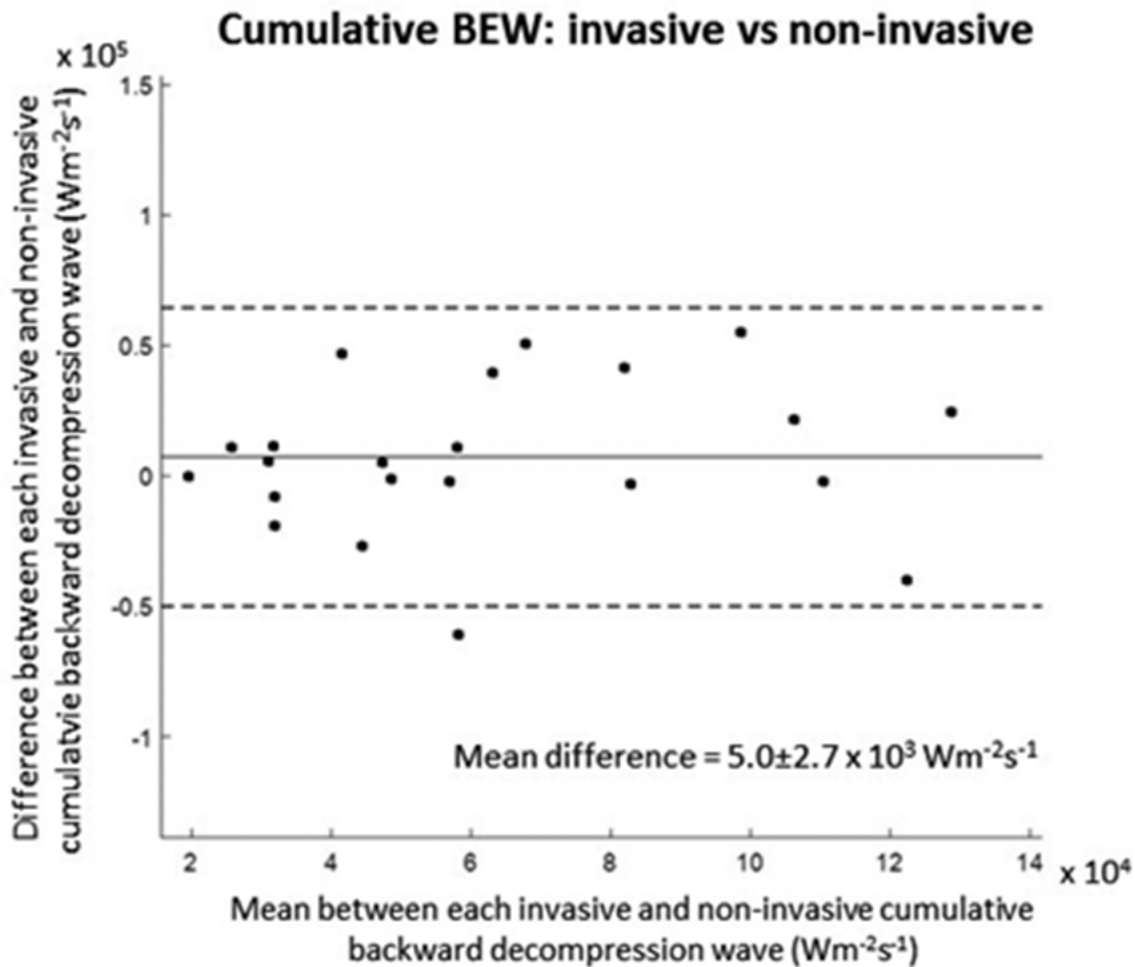
Invasive coronary wave intensity analysis



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Label: 2

Filename: Fig2.tif

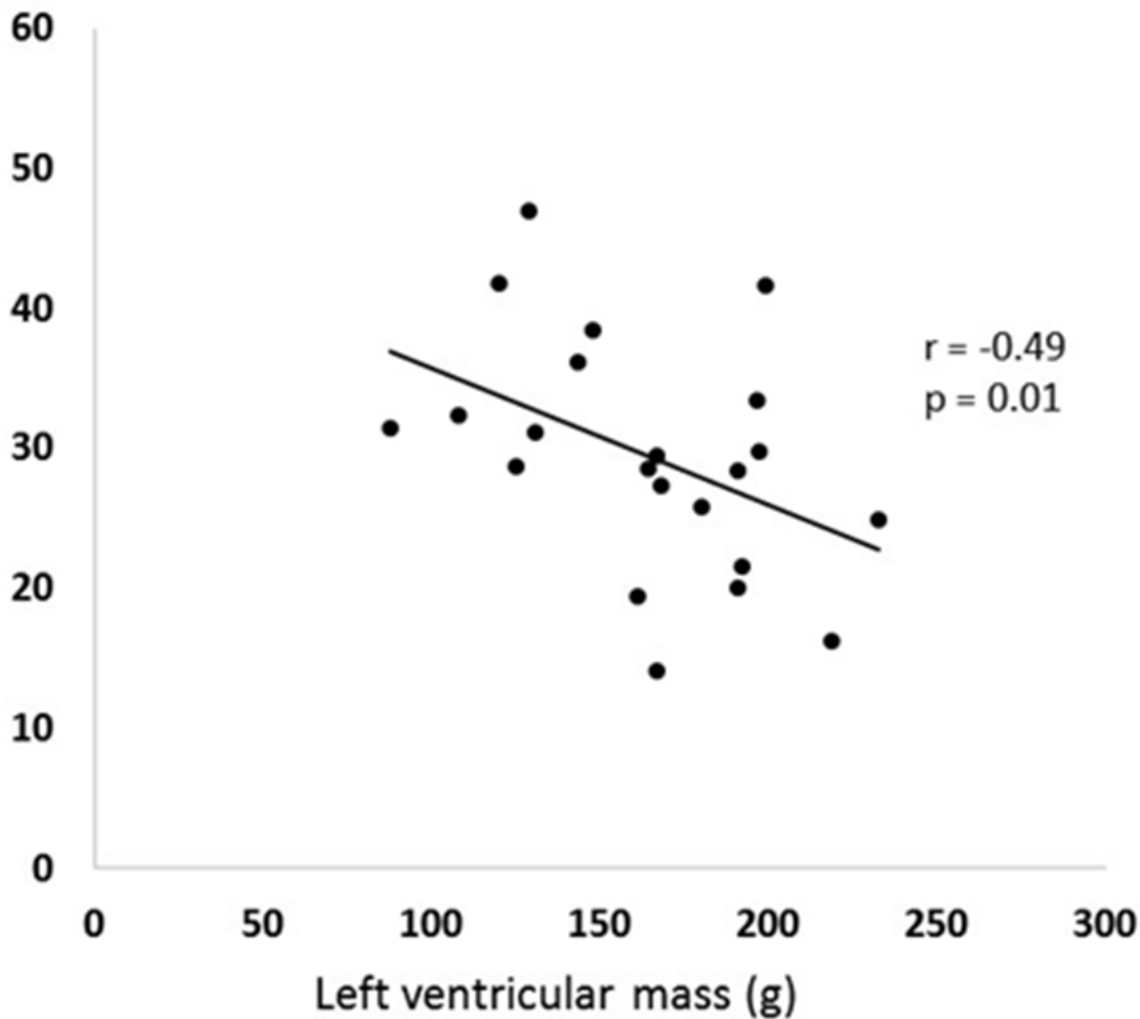


Type of file: figure

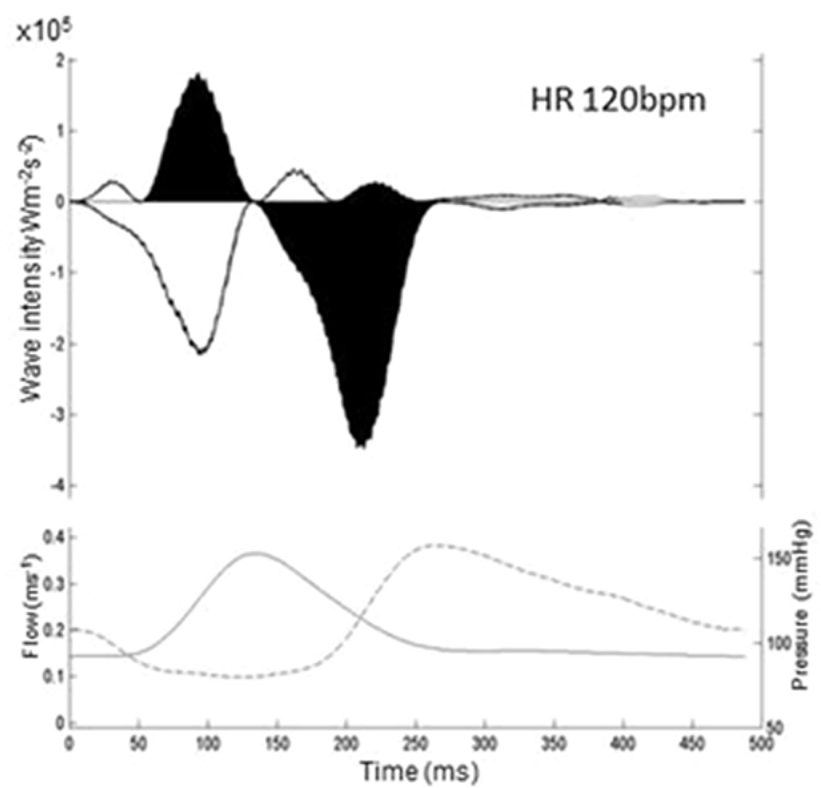
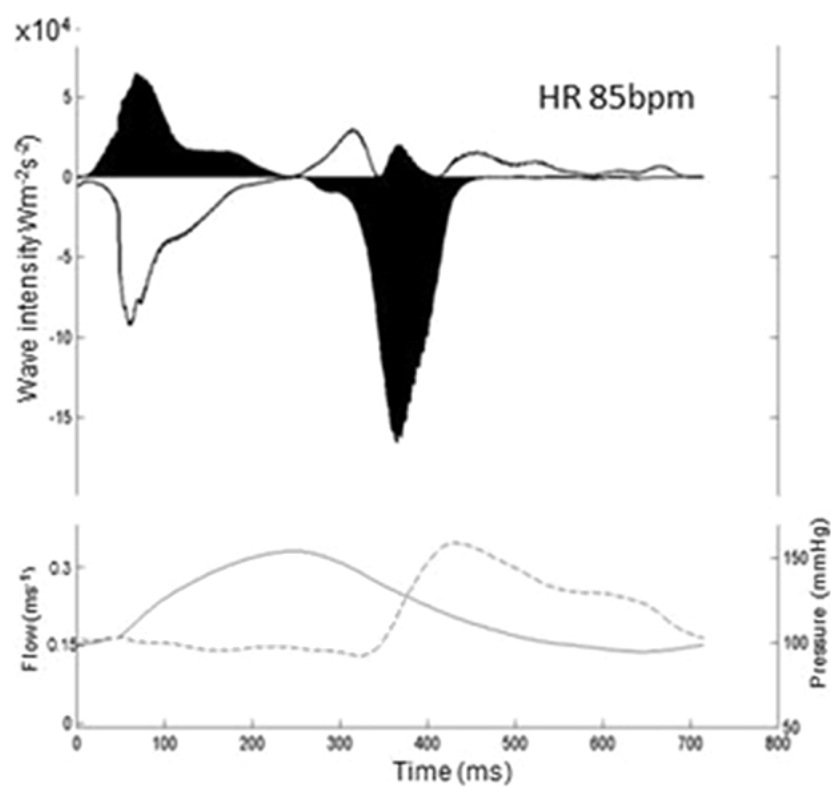
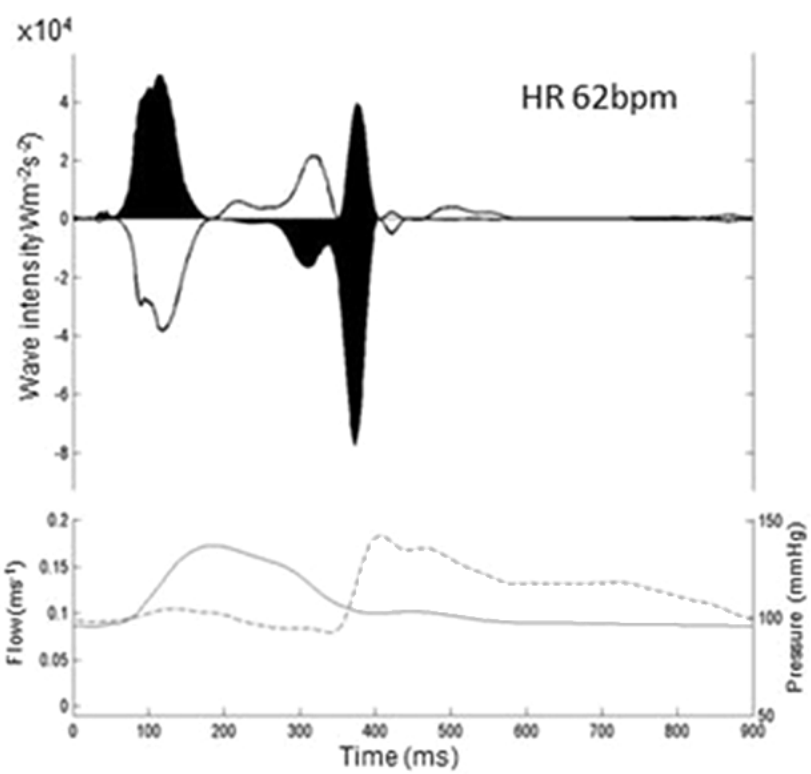
Label: 3

Filename: Fig3.tif

Backward decompression wave
energy fraction (%)

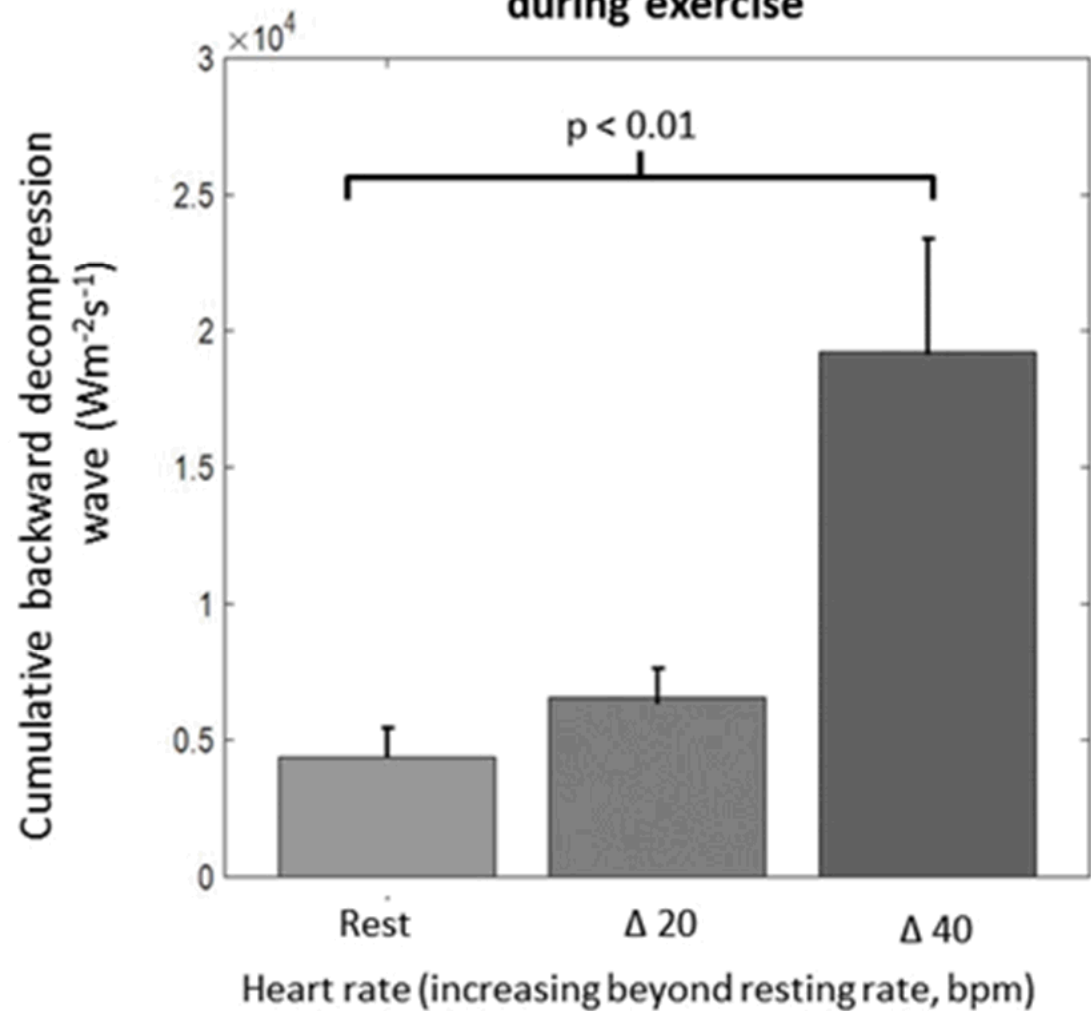


Type of file: figure
Label: 4
Filename: fig4.tif



Type of file: figure
Label: 5
Filename: fig5.tif

Cumulative backward decompression wave during exercise



Peak backward decompression wave during exercise

