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Citation: The Journal of Chemical Physics 145, 211925 (2016); doi: 10.1063/1.4966265

View online: http://dx.doi.org/10.1063/1.4966265

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# Overcoming time scale and finite size limitations to compute nucleation rates from small scale well tempered metadynamics simulations

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(Received 16 May 2016; accepted 14 October 2016; published online 7 November 2016)

Condensation of a liquid droplet from a supersaturated vapour phase is initiated by a prototypical nucleation event. As such it is challenging to compute its rate from atomistic molecular dynamics simulations. In fact at realistic supersaturation conditions condensation occurs on time scales that far exceed what can be reached with conventional molecular dynamics methods. Another known problem in this context is the distortion of the free energy profile associated to nucleation due to the small, finite size of typical simulation boxes. In this work the problem of time scale is addressed with a recently developed enhanced sampling method while contextually correcting for finite size effects. We demonstrate our approach by studying the condensation of argon, and showing that characteristic nucleation times of the order of magnitude of hours can be reliably calculated. Nucleation rates spanning a range of 10 orders of magnitude are computed at moderate supersaturation levels, thus bridging the gap between what standard molecular dynamics simulations can do and real physical systems. *Published by AIP Publishing*. [http://dx.doi.org/10.1063/1.4966265]

### I. INTRODUCTION

Nucleation is the event initiating first order phase transitions in which a small embryo of a thermodynamically stable phase appears within a parent metastable phase. The formation of gas bubbles in a liquid, liquid droplets in a vapour, or crystal particles in solution are all examples of nucleation events playing a key role in a variety of fields ranging from atmospheric physics to pharmaceutical manufacturing. The small length scale characterising nucleation events renders their direct experimental observation inherently challenging, while providing the ideal playground to apply and develop molecular modelling techniques. Despite extensive efforts in the investigation of nucleation phenomena with molecular simulations, the development of a systematic approach to the calculation of nucleation rates from first principles still remains a challenge due to the very nature of the nucleation phenomena.

In the context of the condensation of a liquid phase from a supersaturated vapour, the nucleation rate J is defined to be the number of liquid droplets formed per unit time and volume

The formation of a liquid droplet containing n molecules, in a system at constant volume (V) and temperature (T), is associated with a Helmholtz free energy change  $\Delta F(n)$ . The maximum of this quantity,  $\Delta F^*$ , corresponding to a critical number of molecules  $n_d^*$ , constitutes the energy barrier that the system has to overcome in order to undergo the nucleation

The free energy barrier  $\Delta F^*$  and the nucleation rate J depend on the thermodynamic driving force, which is typically expressed in terms of the supersaturation S, i.e., the ratio of the actual vapour pressure and the equilibrium vapour pressure. They depend also on temperature, T, and on system-specific properties, namely, the surface tension between the liquid and vapour phases, the molecular volumes of the two phases,  $v_\ell$  and  $v_g$ , and the specific surface of the newly formed droplets, i.e., 6/d for a spherical droplet of diameter d.

In a system of volume V, at supersaturation S, and temperature T, the characteristic time of nucleation  $\tau$  can be expressed as

$$\tau = \frac{1}{J(S,T)V}. (1)$$

In Eq. (1) it can be readily seen that, at any given condition of temperature and supersaturation,  $\tau$ , i.e., the average time necessary to observe a nucleation event, is inversely proportional to V. This relationship represents a constraint between two key factors determining the computational cost of MD simulations of nucleation events: the number of steps required to observe a nucleation event that needs to be of order of  $\tau/\delta t$ , where  $\delta t$  is the integration time step used in MD, and the number of degrees of freedom which is instead proportional to the number of atoms, and thus to the volume V when comparing systems at the same

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process. The very existence of this barrier determines the key features of nucleation, namely, that it is an activated process and a paradigmatic example of a rare event.

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density  $\rho = N/V$ . Such a constraint limits the range of conditions that could be directly investigated by small-scale MD simulations to regimes where  $J \ge 10^{22} - 10^{25}$  cm<sup>-3</sup> s<sup>-1</sup>. Up to now, overcoming such limitations has only been possible by using large scale simulations involving millions of atoms and requiring massive computational resources.<sup>1</sup>

Nucleation is the prototypical example of a rare event, inherently stochastic in nature. When explicitly considering the stochastic character of nucleation,  $\tau$  represents the expected time for the nucleation of the first liquid droplet from a supersaturated vapour. The *law of rare events* suggests that the first nucleation event can be interpreted as a Poisson process, where the survival probability  $P_0$ , i.e., the probability that at a given time t there are no droplets in volume V, is

$$P_0(t) = \exp\left(-\frac{t}{\tau}\right). \tag{2}$$

Eq. (2) highlights how in order to reliably estimate  $\tau$ , the stochastic nature of the nucleation process needs to be explicitly considered, while the reconstruction of the distribution of transition times is pivotal to reliably estimating J. In addition to the time scale issues, molecular simulations of nucleation processes suffer from intrinsic finite size effects that cause a systematic distortion of  $\Delta F(n)$  and impact rate calculations.<sup>3–8</sup>

In this work we propose a systematic approach for the calculation of nucleation rates from small-scale unseeded molecular simulations. The proposed approach is based on recent developments of Well Tempered Metadynamics (WTmetaD)<sup>9–11</sup> that enable the calculation of transition times distributions from biased simulations. Moreover we develop a systematic correction for the effect of finite size on rate calculations.

Our method is tested on the paradigmatic case of the nucleation of a liquid argon droplet from a supersaturated argon vapour in the NVT ensemble. The choice of argon has a twofold aim: the first is to analyse a simple and yet significant system, which has been the subject of both small and large scale nucleation studies and allows to benchmark our results against the existing literature. 12 The second is to point out that even in such a simple system, at realistic values of supersaturation nucleation time scales in small volumes rapidly grow out of reach of standard MD. The choice of the NVT ensemble also plays a key role in demonstrating the generality of our approach. At constant volume, the finite size effect induced by the coupling between nucleus size and pressure of the vapour phase<sup>3,4</sup> is analogous to the effect due to the coupling between nucleus size and chemical potential observed in nucleation from multicomponent liquid phases.<sup>6,7</sup> The approach developed here for the calculation of transition times and their correction for finite size effects is thus general and can be exported to systems of increased complexity and density, for which large scale approaches are impractically expensive.

The paper is structured as follows; at first the details of the application of WTmetaD to the calculation of nucleation rates are reported, then an analysis of finite size effects is carried out. WTmetaD and the finite size correction are then combined to outline a systematic strategy for the calculation of nucleation rates. Finally results are reported and commented upon. Unless otherwise noted, the subscript N will be used to refer to relevant quantities in finite size systems. Such subscript will be dropped whenever referring to their counterpart in macroscopic systems.

## **II. NUCLEATION RATES AND LONG TIME SCALES**

## A. From metadynamics to dynamics

In this work the acceleration effect associated to WTmetaD has been exploited in order to substantially reduce the simulation time required to observe a nucleation event while simultaneously maintaining the system size small, hence significantly diminishing the overall computational cost. WTmetaD is conventionally used to compute free energy surfaces in a variety of contexts. 10,11 Recently it has been shown that, taking inspiration from conformational flooding<sup>13</sup> and hyperdynamics,<sup>14</sup> transition times associated to activated events can be efficiently computed from WTmetaD simulations. 15 In WTmetaD the simulated system evolves in a transformed time coordinate,  $t_{WT}$ , due to the application of the history-dependent bias potential  $V_B(\xi,t)$  constructed as a function for the collective variable  $\xi$ . 10,15 As discussed in detail in Ref. 15, rate calculations via WTmetaD do not require a converged estimate of free energy profiles, being instead based on the systematic evaluation of the so-called acceleration factor, which represents the ratio between the physical time and the metadynamics time.

In the context of a nucleation problem, the specific transition time associated to a nucleation event  $t_n$  can be computed from the corresponding WTmetaD simulation time  $t_{n,WT}$  as

$$t_n = t_{n,WT} \langle \exp(\beta V_B(\xi, t)) \rangle_{WT}, \tag{3}$$

where the term  $\langle \exp{(\beta V_B(\xi,t))} \rangle_{WT}$  is the acceleration factor called  $\alpha$  in the following. Note that  $\beta=1/k_BT$ . Crucial to this procedure is the hypothesis of negligible bias deposition at the transition state. To comply with such hypothesis, the bias potential is constructed through the *infrequent* deposition of potential Gaussians, in a properly defined space of collective variables. The fulfilment of such condition can be checked a posteriori using the approach detailed in Ref. 16. When this is the case, the whole transition time distribution can be recovered from a set of WTmetaD simulations. This approach has been applied to several problems, thus allowing the computation of rates of activated processes such as DNA unfolding  $^{17}$  and protein-ligand unbinding.  $^{18-20}$ 

## B. A collective variable to describe liquid argon nucleation

In WTmetaD the bias potential  $V_B(\xi,t)$  is constructed as a function of a collective variable  $\xi$ .  $^{10,11,21}$  In this work we choose as collective variable n the total number of liquid argon atoms in the system. The collective variable (CV) n is a global coordinate defining the state of the entire simulation box and does not strictly correspond to the size

of the largest cluster, which is the typical reaction coordinate used in classical nucleation theory (CNT). We have opted for a global definition of n for two reasons. The first is computational efficiency. A definition of the largest cluster as a CV would in fact require a computationally expensive clustering and sorting procedure performed at each time step. The second reason is due to the system size: in this work we are specifically targeting small volumes, in which it is extremely unlikely to observe multiple nuclei simultaneously undergoing an irreversible transition to a stable droplet. Thus albeit n is defined globally, when a nucleation event unfolds in small volumes it tends to coincide with the size of the largest cluster.

In order to propagate the dynamics under the effect of the WTmetaD bias potential, bias forces need to be efficiently computed at each time step. To this aim, n is expressed as a continuous and differentiable function of the atomic coordinates. To compute n the ten Wolde-Frenkel definition 12,23 has been applied, in which atoms are considered liquid when they possess a coordination number larger than a threshold value  $c_{\ell}$ , that is chosen to be 5. The coordination number of each molecule in the system is defined in a continuous and differentiable form through the expression  $c_i = \sum_{j \neq i} f(r_{ij})$ , where  $r_{ij}$  is the Cartesian distance between atoms i and j and  $f(r_{ij})$  is the switching function,

$$f(r_{ij}) = \frac{1 - (r_{ij}/r_c)^6}{1 - (r_{ij}/r_c)^{12}}.$$
 (4)

The number of molecules possessing  $c_i \ge c_\ell$  is thus calculated using the same functional form as in Eq. (4),

$$n = \sum_{i=1}^{N} \frac{1 - (c_{\ell}/c_i)^6}{1 - (c_{\ell}/c_i)^{12}}.$$
 (5)

## C. Detecting nucleation events in WTmetaD simulations

The biased transition time  $t_{n,WT}$  is the simulation time associated with the occurrence of a nucleation event in a WTmetaD simulation. Hence to compute  $t_{n,WT}$ , it is necessary to reliably detect nucleation events. In our case we apply the approach described in Ref. 12 which is based on the fact that a clear time scale separation exists between the residence time in the supersaturated vapour state and the time necessary for a supercritical nucleus to grow in size. The latter phenomenon is orders of magnitude faster than the former, rendering nucleation a rare but fast event. It should be noted that the separation of time scales hypothesis holds in the same limit where our method proves to be effective, namely, when nucleation barriers are significantly larger than  $k_BT$  and residence times in the supersaturated vapour metastable state exceed typical MD time scales. We also note that such hypothesis holds even for the fastest nucleation events simulated in this work, namely, the simulation set  $S_1$  (see Table I). Such observation is consistent with the results reported in Ref. 12 for the same supersaturation conditions. As done in Ref. 12, the

TABLE I. WTmetaD simulation setup summary.

Label	T (K)	S	l (nm)	p (bars)	$n_{sim}$	δ	$\omega_0$ (kJ/mol)	$\Delta t$ (ps)	γ	$V_B^0(nl)$ (Y/N)
$\overline{S_1}$	80.7	11.4	10.5	4.86	100	0.5/1.0	0.01	25	5	N
$S_2$	80.7	8.68	11.5	3.70	100	0.5	0.01	25	5	N
$S_3$	80.7	6.76	12.5	2.88	50	1.0	0.02	25	5	N
$S_4$	80.7	6.01	13.0	2.56	50	0.5	0.01	25	5	Y
$S_5$	80.7	5.36	13.5	2.28	50	0.5	0.01	25	5	Y
$\overline{S_6}$	72.0	16.86	13.62	1.79	50	0.25	0.005	25	5	N
$S_7$	72.0	15.57	14.04	1.66	50	0.25	0.005	25	5	N
$S_8$	72.0	14.03	14.60	1.49	50	0.25	0.005	25	5	N
$S_9$	72.0	11.95	15.93	1.27	50	0.25	0.0075	25	5	Y

nucleation time  $t_{n,WT}$  can be directly calculated from the time evolution of n(t), as the simulation time needed to overcome a threshold size of the emerging liquid droplet  $\overline{n}$ . This approach remains valid as long as the threshold size chosen to define the transition criterion is larger than the width of reversible fluctuations characterising the supersaturated vapour metastable state. In such a case the transition time can be safely considered independent of the specific threshold value. 12

### D. Expected nucleation time and nucleation rates

As briefly mentioned in the Introduction, due to the activated nature of nucleation, its transition time probability distribution is described by the so-called *law of rare events*, and is thus expected to be exponential. The nucleation process, particularly the formation of the first nucleus, i.e., the event that matters at the scale of the MD simulation box, can in fact be modelled by a time-homogeneous Poisson process characterised by a survival probability  $P_0(t) = \exp(-t/\tau_N)$ . Due to its inherent stochasticity, an appropriate sampling of the nucleation times distribution is required to evaluate the expected characteristic nucleation time  $\tau_N$ . 12,24,25 In order to compute the expected nucleation time  $\tau_N$  in a finite size system, we perform a large number of independent WTmetaD NVT simulations and extract from each of them a nucleation time  $t_N$ . After this, the survival probability distribution constructed from the  $t_N$  values is analysed and its statistical compatibility with a Poisson process quantified. This allows us to check whether the conditions under which Eq. (3) is valid are satisfied. 16 The characteristic nucleation time fitted from the survival probability distribution  $\tau_N$ is thus used to compute the nucleation rate in the finite size system as  $J_N = (\tau_N V)^{-1}$ , where V is the system's volume. 12,24,25

## E. WTmetaD simulation details

Transition times were computed from NVT simulations of systems consisting of 512 argon atoms. A Lennard-Jones potential with  $\epsilon = 0.997\,97$  kJ/mol and  $\sigma = 0.3405$  nm was adopted to describe the interactions between argon atoms. <sup>12</sup> The time step for the integration of the equations of motion

was set to 5 fs. 12 Two set of simulations were carried out, with the aim of benchmarking our results with nucleation rates from both small-scale<sup>12</sup> and large scale<sup>1</sup> simulations. The first set of simulations  $(S_1-S_5, \text{ details in Table I})$  were designed to compare our results with those reported in Ref. 12 at temperature of 80.7 K. In this case the potential has been truncated, but not shifted, with a cutoff length of  $6.75 \sigma$ . With this first series of simulations we aimed at showcasing the range of supersaturation conditions that can be investigated with small-scale WTmetaD simulations. To carry out rate calculations in a wide supersaturation range we have followed the approach proposed in Ref. 12, carrying out a series of NVT simulations in cubic boxes of increasing volume, corresponding to supersaturation values ranging from 11.4 to 5.4. The equilibrium vapour pressure  $(p_e)$  of argon under these conditions is equal to 0.43 bars. 12,26 The second series of simulations (S<sub>6</sub>-S<sub>9</sub>, details in Table I) has been carried out at T = 72.0 K, in order to allow for a direct comparison with the large scale simulations of Ref. 1 in a range of temperature and supersaturation similar to those of Ref. 12. In this second series the potential was truncated at the slightly shorter cutoff length of  $5\sigma$  to conform with the setup of Ref. 1. For this series of simulations we aimed to highlighting the substantial gain in computational efficiency by providing a quantitative comparison between our small scale results and large scale simulations. In this case the simulation volume was defined in order to have the same number density of argon atoms as in our large scale benchmark. The equilibrium vapour pressure  $(p_e)$  of argon in these conditions is 0.1064 bars. We note that in Ref. 1 nucleation rates were computed for a wider range of temperatures. As a future work we will further extend the comparison of our small-scale approach with largescale simulations in regimes of low temperature and high supersaturation.

In Table I setup parameters of the nine sets of simulations, namely, the supersaturation level S, dimension of the simulation box edge l, initial pressure p, and number of independent simulations per supersaturation level  $n_{sim}$  have been reported. In Table I we also report the WTmetaD setup parameters, namely, width of the deposited Gaussians  $\delta$ , their initial height  $\omega_0$ , the deposition stride  $\Delta t$ , and the  $\gamma$  factor. For a detailed description of the metadynamics algorithm and parameters the interested reader is invited to check the Refs. 9–11. In the last column we indicate whether an initial bias potential  $V_B^0(n)$  was applied (Y) or not (N) (see Sec. V for a description of simulations with and without  $V_R^0(n)$ ). At T = 80.7 K the highest supersaturation at which we have performed simulations is S = 11.4, corresponding to the lowest supersaturation at which the standard simulations of Ref. 12 were performed. This allowed us to check that our simulation setup was correctly reproducing nucleation rates both in biased and unbiased simulations. For each supersaturation the survival probability distribution has been constructed by performing 50-100 independent nucleation simulations. At T = 72.0 K we have performed small scale simulations with the same number density of simulations. The values of the collective variable n and of the total bias  $V_B(n,t)$ have been collected every 100 steps. Temperature has been

controlled using the Bussi-Donadio-Parrinello thermostat,<sup>27</sup> with a time constant of 0.1 ps. WTmetaD simulations were performed with Gromacs 4.6.3<sup>28</sup> equipped with PLUMED 2.0.<sup>29</sup>

#### III. NUCLEATION RATES AND FINITE SIZE EFFECTS

The nucleation rate of droplets from a vapour can be explicitly derived within classical nucleation theory (CNT) as

$$J = A \left(\frac{p}{p_e}\right) \exp\left(-\beta \Delta F^*\right),\tag{6}$$

where A is a pre-exponential factor, p is the pressure in the vapour phase,  $p_e$  the equilibrium vapour pressure, and  $\Delta F^*$  the free energy barrier to nucleation.

Equation (6) can be viewed as the product of two distinct contributions: an energetic part, corresponding to the exponential term, and a kinetic one, related to the molecular collisions and given by the pre-exponential term. In order to derive a systematic correction to nucleation rates computed from small-scale molecular simulations, in the following we shall assess the impact of the finite size of the simulation box on both terms.

## A. Free energy of nucleation in a confined system

We define confinement as the impossibility of exchanging atoms between the system and the surrounding environment. Under this definition an NVT simulation box represents a prototypical confined system, as its total number of atoms N is by definition constant. As highlighted in several reference works,  $^{3-8}$  the free energy change associated with a nucleation process is affected by confinement. In Fig. 1, the comparison between nucleation free energies in finite size (blue) and macroscopic (red) conditions is illustrated together with the

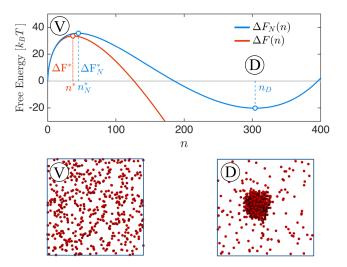


FIG. 1. Nucleation of a liquid argon droplet from supersaturated vapour. (top) Free energy profiles predicted by CNT in an infinitely large system at constant supersaturation ( $\Delta F(n)$ ) and for a finite size, confined system ( $\Delta F_N(n)$ ). Both free energy profiles refer to a system of 512 argon atoms, in a volume of 2197 nm³, at supersaturation S=6, with a surface energy  $\sigma a=9~k_BT$ . (bottom) Representation of the system in its vapour V and droplet D configurations.

representation of typical configurations of argon atoms in the vapour (V) and liquid droplet (D) states. Hereafter we shall summarise how such a distortion in the free energy profile affects nucleation rates.<sup>3</sup> We treat the argon vapour as an ideal gas hence the pressure of the vapour before droplet formation (initial state of the system) is

$$p_0(N, V, T) = \frac{Nk_B T}{V}. (7)$$

A liquid spherical embryo of n molecules has a volume  $V_d$ , and a surface  $A_d$ , that can be expressed as a function of n as

$$V_d = nv_\ell, \tag{8}$$

$$A_d = (an)^{2/3} \tag{9}$$

where  $v_{\ell}$  is the molecular volume in the liquid phase, and  $a = 6\pi^{1/2}v_{\ell}$ . After formation of such an embryo (the final state of the system) the vapour pressure attains the following value:

$$p(N, n, V, T) = \frac{(N - n) k_B T}{V - n v_\ell}.$$
 (10)

The Helmholtz free energy change,  $\Delta F_N$ , for the transition from the initial to the final state, i.e., for the formation at constant temperature T and volume V of a n-molecule droplet from a vapour consisting initially of N molecules, is given by<sup>4</sup>

$$\Delta F_N(N, n, V, T) = -n\beta^{-1} \ln\left(\frac{p}{p_e}\right) + \gamma (an)^{2/3}$$

$$+ N\beta^{-1} \ln\left(\frac{p_0}{p_e}\right) + n\left(\beta^{-1} - v_\ell p_e\right), \qquad (11)$$

where volume, surface, and pressure effects are accounted for. A typical  $\Delta F_N(n)$  profile is reported in Fig. 1(a) (blue). Both a local maximum and a local minimum can be identified along  $\Delta F_N(n)$ . The local maximum  $\Delta F_N^*$  represents the free energy barrier to nucleation in a finite size system.  $\Delta F_N^*$  is associated with a critical nucleus size  $n_N^*$ , which represents the liquid embryo in unstable equilibrium with the surrounding vapour. Such  $\Delta F_N^*$  value can be computed numerically. As extensively discussed in Ref. 3 for an argon vapour and in Refs. 7 and 8 for the case of crystal nucleation from solution, there exists a minimum value of the initial supersaturation,  $S_0 = p_0/p_e > 1$ , below which the function  $\Delta F_N(n)$  is monotonically increasing, hence no maximum is present. At N and T fixed such a condition defines an upper bound for the volume for which nucleation rates can be computed. In Fig. 2  $\Delta F_N(n)$  is plotted as a function of the system volume, highlighting the critical conditions associated with the transition to a monotonically increasing function. Contrary to the analysis so far, Classical Nucleation Theory (CNT) deals with infinitely large systems, where the formation of the liquid droplet has a negligible effect on the state of the surrounding phase. The corresponding Helmholtz free energy change,  $\Delta F(n)$ , for the formation of a *n*-molecule embryo at temperature T and supersaturation  $S_0$  can be obtained by taking the limit of Eq. (11) with V and N approaching infinity, and their ratio remaining constant. Under these conditions  $p = p_0$  and

$$\Delta F(n) = -nk_B T \ln S_0 + \gamma (an)^{2/3}.$$
 (12)

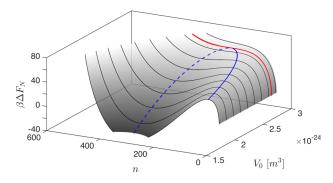


FIG. 2. Free energy of nucleation in a finite size system at constant N = 512, T = 80.7 K, as a function of the system volume V. The solid blue line represents the locus of the maxima of  $\Delta F_N$ , corresponding to the critical nuclei. The dashed blue line represents the locus of the local minima in of  $\Delta F_N$ , representing a stable argon droplet in a finite size induced equilibrium with the argon vapour. In solid red the  $\Delta F(n)$  is highlighted, corresponding to the threshold value of V above which  $\Delta F(n)$  becomes a monotonically increasing function even if  $S_0 > 1$ .

For an infinitely large system, in which Eq. (12) holds, the free energy barrier  $\Delta F^*$  can be computed analytically as<sup>30</sup>

$$\Delta F^* = -\frac{4\beta^3 \gamma^3 a^2}{27(\ln S_0)^2}.$$
 (13)

It is worth noticing that  $\Delta F_N^*$ , which is the nucleation free energy barrier in a confined system at the same conditions of T and  $S_0$ , is strictly larger than  $\Delta F^*$ .

## B. Macroscopic nucleation rates from finite size calculations

In order to compute a correction term associated to the confinement effect we follow the approach of Ref. 3, and define a factor  $\phi$  as the ratio between the nucleation rate in macroscopic conditions J and in a finite sized confined system  $J_N$ . In analogy with Eq. (6), the nucleation rate in a confined system  $J_N$  can be written as<sup>4</sup>

$$J_N = A_N S \exp\left(-\beta \Delta F_N^*\right). \tag{14}$$

Since the system supersaturation in a finite size simulation before a supercritical nucleus forms is essentially the same as in a macroscopic system,  $S = S_0$ ,  $\phi$  reduces to<sup>3</sup>

$$\phi = \frac{J}{J_N} = \frac{A}{A_N} \exp\left(\beta(\Delta F_N^* - \Delta F^*)\right). \tag{15}$$

Eq. (15) provides a working principle to obtain nucleation rates in macroscopic systems from finite size NVT simulations as

$$J = \phi J_N. \tag{16}$$

As reported in Ref. 3, due to the exponential dependence on the strictly positive quantity  $\Delta F_N^* - \Delta F^*$ , the dominating term in Eq. (15) is  $\exp(\beta(\Delta F_N^* - \Delta F^*))$ , whereas the pre-exponential term  $A/A_N$  in Eq. (15) is expected to play a secondary role. In order to identify key contributions to  $A/A_N$ , its dependence on finite size is discussed in the following.

Within the framework of CNT, A is typically expressed as  $^{12,30}$ 

$$A = Zf^* \frac{p_e}{k_B T},\tag{17}$$

where Z is the Zeldovich factor given by<sup>31</sup>

$$Z = \sqrt{\frac{\left|\frac{d^2 \Delta F(n)}{dn^2}\right|_{n=n^*}}{2\pi k_B T}}$$
 (18)

and  $f^*$  the rate of attachment of molecules to the critical cluster. Since nucleation of a droplet from its vapour is a process controlled by direct impingement,<sup>30</sup> the attachment rate  $f^*$  is derived from the kinetic theory of gases as<sup>24,30</sup>

$$f^* = c(n^*) \frac{p}{\sqrt{2\pi m k_B T}},\tag{19}$$

where  $c(n^*) = \sqrt[3]{(36\pi v_\ell^2)}(n^*)^{2/3}$  is the surface area of the critical cluster,  $v_\ell$  is the volume per molecule in the liquid phase, and p the pressure.

The attachment frequencies  $f^*$  and  $f_N^*$  differ due to two reasons. The first is that the critical nucleus size in finite size simulations  $n_N^*$  is strictly larger than the critical nucleus in the corresponding infinite case  $n^*$ .<sup>4</sup> The second reason is that the vapour pressure acting on the critical nucleus in finite size systems  $p_N^* = (N - n_N^*)/((V - n_N^* v_\ell) k_B T)$  is always smaller than its corresponding value for a system at macroscopic conditions  $p = N/(V k_B T)$ .

The Zeldovich factors Z and  $Z_N$  are instead expected to differ due to the fact that the curvature of the free energy profile in the region around its maximum is affected by finite size, see Fig. 1, for example. The extent of the contribution of the  $\exp\left(\beta(\Delta F_N^* - \Delta F^*)\right)$ ,  $f^*/f_N^*$ , and  $Z/Z_N$  terms to  $\phi$  is discussed in Sec. V.

The correction factor  $\phi$  depends on quantities that can be directly calculated from  $\Delta F(n)_N$  and  $\Delta F(n)$  such as:  $\Delta F_N^*$ ,  $\Delta F^*$ ,  $Z_N$ , Z,  $n_N^*$ , and  $n^*$ . Both  $\Delta F(n)$  and  $\Delta F(n)_N$  can be, respectively, computed from Eqs. (12) and (11), once the surface tension  $\gamma$  is known.

The surface tension  $\gamma$  is obtained by fitting Eq. (14), in which the pre-exponential term  $A_N$  is considered supersaturation-independent, on the  $J_N$  values obtained as a function of supersaturation.

#### IV. WORKFLOW SUMMARY

In Fig. 3 the workflow for the calculation of nucleation rates from small-scale finite size NVT simulations has been summarised. The calculation procedure can be outlined as follows:

- 1. A set of WTmetaD simulations is carried out for multiple supersaturation levels  $S_k$ . Supersaturation is imposed by defining the system volume while keeping constant the number of molecules N and the temperature T.
- 2. Applying the criterion for the identification of nucleation events proposed in Ref. 12, the WTmetaD transition time  $t_{WT}$  and the corresponding acceleration factor  $\alpha$  are calculated from each WTmetaD simulation.
- 3. The physical transition time associated to each nucleation event is computed using Eq. (3).
- 4. The transition times obtained for each supersaturation value are used to fit the survival probability distribution, and compute the average nucleation time  $\tau_N$  for each finite size system at volume V and supersaturation S.
- 5. Average nucleation times  $\tau_N$  are converted to finite size nucleation rates using  $J_N = 1/(\tau_N V)$ .<sup>12</sup>
- 6. The finite size nucleation rates are used to fit Eq. (14). The fitting parameter is the surface tension  $\gamma$ , which is used to compute  $\Delta F *, \Delta F_N^*, n^*, n_N^*, Z, Z_N$ , and thus the correction factor  $\phi$ .
- 7. Eq. (16) is used to compute the nucleation rate in macroscopic conditions J.

### V. RESULTS

### A. WTmetaD simulations

The time evolution of the number of liquid-like argon atoms n in a typical WTmetaD simulation is reported in Fig. 4, where the nucleation event can be clearly identified as the rapid transition from n values fluctuating close to zero to n values fluctuating around a positive value  $n_D$ . The final state corresponds to a finite sized droplet stabilised by finite size effects corresponding to the local minimum in free energy shown in Fig. 1 (blue curve). It can be seen that the lifetime of the supersaturated vapour state in the WTmetaD simulation is much larger than the transition time associated with the nucleation event driving the system into the stable state characterised by  $n = n_D$ . Such a difference becomes

$$S_k \longrightarrow \underbrace{\begin{pmatrix} \textbf{WImetaD simulations} \\ t_{i,WT} \\ \alpha(t_{i,WT}) \end{pmatrix}}_{l \in [1, n_{sim}(S_k)]} \longrightarrow \underbrace{\begin{matrix} \textbf{Fitting of the} \\ \textbf{Survival} \\ \textbf{Probability} \\ \tau_N(S_k) \end{matrix}}_{\textbf{Fitting of the}} \longrightarrow J_N(S_k) \longrightarrow \underbrace{\begin{matrix} \textbf{Calculation of the} \\ \textbf{finite size} \\ \textbf{correction} \\ \phi(S_k) \end{matrix}}_{\phi(S_k)} \longrightarrow J(S_k)$$

 $k \in [1, 5]$ 

FIG. 3. Workflow summary for the calculations of macroscopic nucleation rates from small-scale NVT WTmetaD nucleation simulations.  $S_k$  refers to a supersaturation level within a set of  $k \in [1, n]$  with n representing the total number of supersaturation levels considered at constant T. The scheme reported here refers to the series of simulations performed at T = 80.7, hence  $k \in [1, 5]$  (see Table I). The same scheme has been applied to both temperature considered in this work. The outcome of each step has been commented in Sec. IV.

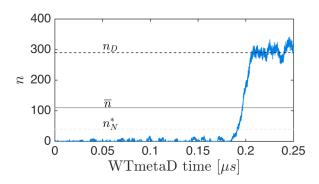
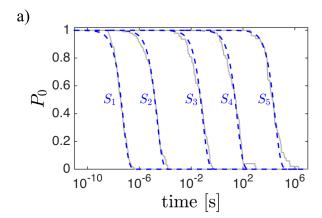


FIG. 4. Time series of the collective variable n(t) obtained from a typical simulation. The value of typical  $\overline{n}$ ,  $n_N^*$ , and  $n_D$  have been highlighted on the plot.

exponentially large when the WTmetaD time is rescaled to real time according to Eq. (3), 15 neatly highlighting the time scale separation characteristic of the nucleation problem. During WTmetaD simulations a repulsive bias potential is adaptively constructed with an infrequent deposition of Gaussians. 9,15 In order to speed up the adaptive construction of the bias for the two slowest cases ( $S_4$  and  $S_5$  in Table I), in addition to the WTmetaD bias  $V_B(n,t)$ , we apply a static bias  $V_B^0(n)$ constructed from a preliminary WTmetaD simulation. In Fig. 5 the total bias potential is reported for  $S_2$  and  $S_5$ , which are characterised by the absence and presence of an initial bias  $V_R^0(n)$ , respectively. In all cases in the region of the maximum of  $\Delta_N F(n)$ , the total bias applied  $V_B^{tot}(n)$  decays to values smaller than  $k_BT$ , in agreement with the hypotheses of negligible bias deposition at the transition state invoked to carry out rate calculations from WTmetaD. 15,16

## B. Survival probability distributions and average transition times in finite size conditions

As described in Section II, sets of 50–100 simulations were carried out at T = 80.7 at five different supersaturation levels  $S_1$ - $S_5$  (see Table I) and at T = 72.0 K for four supersaturation levels  $S_6$  –  $S_9$ . From each set of simulations an *empirical* survival probability (ESP) distribution has been constructed. The average transition time in finite size conditions  $\tau_N$  has been computed for each supersaturation level by a non-linear least square fitting of the ESP with



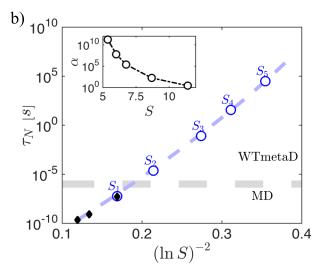


FIG. 6. (a) Survival probability distributions obtained at 80.7 K for four different supersaturation levels ( $S_1 = 11.4$ ,  $S_2 = 8.7$ ,  $S_3 = 6.8$ ,  $S_4 = 6.0$ ,  $S_5 = 5.4$ ). (b) Average nucleation times in finite size systems  $\tau_N$ . The average acceleration factor  $\alpha$  as a function of supersaturation is displayed as an inset.

the expression  $P_0 = \exp(-t/\tau_N)$ , hereafter referred to as the theoretical survival probability (TSP). Evaluating the statistical compatibility between the ESP and the TSP with the protocol described in Ref. 16 allowed ensuring that the crucial hypothesis of negligible bias deposition at the transition state has been satisfactorily fulfilled for all the simulation sets  $S_1$ - $S_9$ . In Fig. 6(a) both the ESP constructed from WTmetaD simulations and the fitted TSP are reported for

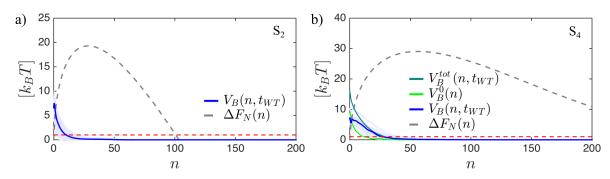


FIG. 5. (a) Simulation set  $S_2$ : WTmetaD bias potential at transition time,  $V_B(n, t_{WT})$ . (b) Simulation set  $S_4$ : initial static bias potential  $V_0(n)$ , WTmetaD bias potential at transition time  $V_B(n, t_{WT})$ , and total bias at transition time  $V_B^{tot}(n, t_{WT}) = V_B^0(n) + V_B(n, t_{WT})$ . For comparison nucleation free energy profiles  $\Delta F_N(n)$  have been reported and the  $k_BT$  level has been highlighted in red.

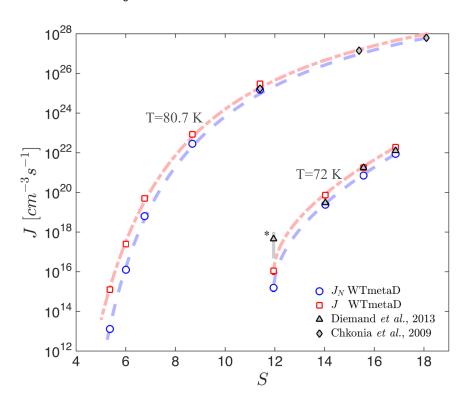


FIG. 7. Nucleation rates calculated from finite sized WTmetaD simulations  $(J_N)$  and rescaled to the macroscopic limit J. The blue dashed line represents the result of the fitting of the  $J_N$  data with Eq. (14). Computed values of J,  $J_N$ ,  $\tau_N$ , and their associated errorbars (not visible on the logarithmic scale) are reported in the Table II. The data-point from Diemand  $et\ al.$  marked with \* has been computed on the basis of a single nucleation event. Its 68% confidence interval has been highlighted as a gray solid line.\(^1\)

the set of simulations at T=80.7. For the same temperature in Fig. 6(b) the supersaturation-dependent values of the finite size transition time  $\tau_N$  is reported as a function of supersaturation, together with the average acceleration factor  $\alpha$  (in the inset). Fig. 6(b) highlights how the application of WTmetaD allows to directly simulate nucleation events characterised by transition times of the order of  $10^4$  s, thus significantly expanding the range of transition times that could be reached with small-scale standard MD simulation setup.

### C. Nucleation rates

As discussed in Section II D nucleation rates in the confined regime  $J_N$  can be directly computed as  $J_N = (\tau_N V)^{-1}$ . In Fig. 7 and Table II values of  $J_N$  and J are reported as a function of the supersaturation S for both the simulations series at T = 80.7 K and T = 72.0 K.

In Fig. 8, it can be seen that the finite size correction is negligible at high supersaturation ( $S \ge 11.4$ ), where the nucleation barriers  $\Delta F^*$  and  $\Delta F_N^*$  are almost indistinguishable. However, for lower supersaturation levels  $\phi$  reaches values accounting for up to two orders of magnitude of difference between J and  $J_N$ . A breakdown of the contributions of the terms appearing in  $\phi$  is also reported in Fig. 8. It can be seen that, as expected,<sup>3</sup> the contribution of the term  $f^*/f_N^*$  negligible over the entire supersaturation domain. Despite the term  $Z/Z_N$  having a slightly heavier impact on  $\phi$ , it can be seen that the finite size correction is substantially captured by considering only the exponential term in Eq. (15).<sup>3</sup> Nucleation rates in macroscopic conditions J are thus computed as

$$J = \phi J_N \simeq J_N \exp\left(\beta \left(\Delta F_N^* - \Delta F^*\right)\right) \tag{20}$$

and reported in Fig. 6(c). Nucleation rates rescaled explicitly accounting also for the term  $Z/Z_N$  are reported in the SI.

TABLE II. Nucleation time in the small scale simulations, nucleation rates extracted from WTmetaD simulation for both T = 80.7 and T = 72.0 K, and average acceleration factor  $\alpha$ . For the simulations series at T = 72.0 K the nucleation rate computed from large scale simulations  $J_{LS}^{-1}$  is reported for comparison.

Label	T (K)	$ au_N$ (s)	$J_N$ (cm <sup>-3</sup> s <sup>-1</sup> )	J (cm <sup>-3</sup> s <sup>-1</sup> )	$J_{LS}$ (cm <sup>-3</sup> s <sup>-1</sup> )	$\alpha$
$S_1$ $S_2$ $S_3$ $S_4$ $S_5$	80.7 80.7 80.7 80.7 80.7	$5.75 \pm 0.65 \times 10^{-8}$ $2.33 \pm 0.33 \times 10^{-5}$ $8.02 \pm 1.96 \times 10^{-2}$ $3.61 \pm 0.76 \times 10^{1}$ $3.13 \pm 0.84 \times 10^{4}$	$1.5 \pm 0.34 \times 10^{25}$ $2.8 \pm 0.82 \times 10^{22}$ $6.4 \pm 3.3 \times 10^{18}$ $1.26 \pm 0.56 \times 10^{16}$ $1.30 \pm 0.75 \times 10^{13}$	$3.04 \pm 0.70 \times 10^{25}$ $8.64 \pm 2.53 \times 10^{22}$ $5.09 \pm 2.65 \times 10^{19}$ $2.57 \pm 1.14 \times 10^{17}$ $1.35 \pm 0.78 \times 10^{15}$		$2.8  1.8 \times 10^{2}  2.4 \times 10^{5}  6.3 \times 10^{7}  1.7 \times 10^{11}$
S <sub>6</sub> S <sub>7</sub> S <sub>8</sub> S <sub>9</sub>	72.0 72.0 72.0 72.0 72.0	$4.52 \pm 0.87 \times 10^{-5}$ $5.08 \pm 1.11 \times 10^{-4}$ $1.34 \pm 0.36 \times 10^{-2}$ $1.60 \pm 0.51 \times 10^{2}$	$8.76 \pm 1.75 \times 10^{21}$ $7.11 \pm 1.64 \times 10^{20}$ $2.4 \pm 0.7 \times 10^{19}$ $1.55 \pm 0.54 \times 10^{15}$	$1.92 \pm 0.38 \times 10^{22}$ $1.77 \pm 0.41 \times 10^{21}$ $7.38 \pm 2.2 \times 10^{19}$ $1.12 \pm 0.39 \times 10^{16}$	$1.27 \pm 0.02 \times 10^{22}$ $1.79 \pm 0.09 \times 10^{21}$ $3.01 \pm 0.44 \times 10^{19}$ $5.7-84.2 \times 10^{16}$	$4.25 \times 10^{2}$ $3.0 \times 10^{3}$ $4.32 \times 10^{4}$ $8.36 \times 10^{8}$

FIG. 8. Simulations  $S_1$ - $S_5$ . Breakdown of the contributions to the finite size correction  $\phi$  of the factors  $\exp(\beta(\Delta F_N^* - \Delta F^*))$ ,  $f/f_N$ , and  $Z/Z_N$ .

The surface tension  $\gamma$  values obtained from the fitting of the  $J_N$  computed from WTmetaD at 80.7 K and 72.0 K correspond to  $\gamma=17.0$  and 20.0 mN/m, respectively. Both estimates nicely extrapolate the data of Goujon *et al.*<sup>32</sup> for the same system in the temperature range between 85 K and 135 K as shown in the supplementary Fig. S4 (supplementary material). The surface tension has been considered independent from S, as typically done in CNT. We have found that this choice allows to well describe the  $J_N$  data directly computed from simulations while keeping at a minimum the number of fitting parameters. We have also verified that considering  $\gamma$ , a linear function of supersaturation, does not noticeably improve the description of the WTmetaD data.

Nucleation rates computed at T=80.7 K clearly indicate that, as expected, in the limit of small acceleration factors our method coincides with the survival probability approach of Ref. 12. In contrast with the latter however we significantly extend the accessible nucleation time scale. This unlocks the possibility of computing nucleation rates for processes that are up to ten orders of magnitude slower than those typically accessible through MD simulations.  $^{12,24,25,33,34}$ 

A comparison with nucleation rates computed from largescale simulations<sup>1</sup> shows that the computational efficiency of small-scale simulations comes without significant sacrifices in accuracy. Our estimates of nucleation rates at T = 72.0 Kare fully consistent with those obtained from large scale simulations at the same temperature. Moreover, as expected on the basis of the analysis on finite size effects,  $^3$   $J_N$  values systematically underestimate rates obtained from large scale simulations, justifying the finite size correction  $\phi$ . Simulation sets  $S_6$ - $S_8$  display quantitative agreement with the estimates of Diemand et al. reported in Ref. 1 (see Table S1). It should be noted that in this range of conditions the finite size correction  $\phi$  is small and both J and  $J_N$  are within the same order of magnitude of large scale estimates. The comparison of results obtained for simulation set  $S_9$  deserves a separate discussion. In contrast with large-scale nucleation rates computed in conditions corresponding to simulation sets  $S_6$ - $S_8$ , the result reported in Ref. 1 for conditions corresponding to  $S_9$  is based on the observation of a single nucleation event. According to the discussion reported in Ref. 1 this allows only for a rough estimate of a quite large 68% confidence interval for the

nucleation rate. Remarkably, our finite size corrected estimate of J is of the same order of magnitude of the lower bound of the confidence interval, ensuring a reasonable agreement also in this case. It should be noted that, in contrast with the lack of reliable nucleation statistics for large scale simulations corresponding to S9, our approach allows to record tens of nucleation events thus providing meaningful statistics for the estimate of nucleation rates in conditions that have proven to be challenging even for large scale simulations.

## VI. CONCLUSION

To conclude, in this work we have shown that WTmetaD can be applied to the direct calculation of nucleation rates, proving to be particularly useful to tackle the time scale limitations that plague small-scale nucleation simulations. In the case of argon condensation this implies being able to simulate nucleation in fairly small systems, while efficiently reaching time scales of the order of 10<sup>4</sup> s with ordinary computational resources. This result is particularly relevant when contrasted with computationally expensive, large scale simulations. We have in fact shown that, within the range of temperature and supersaturation values investigated in this work, our approach yields results that are in quantitative agreement with those obtained from billionatoms simulations. Moreover, we have highlighted that rate calculations from small scale simulations require a systematic assessment of finite size effects. Being able to simultaneously address both time scale and finite size limitations allows to significantly extend the range of nucleation conditions that can be directly investigated with computationally efficient, small-scale molecular simulations.

## SUPPLEMENTARY MATERIAL

See supplementary material for additional details on transition times convergence, finite size correction, and surface tension estimates.

## **ACKNOWLEDGMENTS**

The authors acknowledge the computational resources provided by the Swiss Center for Scientific Computing (CSCS) and the Brutus Cluster at ETH Zurich, and the Legion cluster at University College London. M.P. acknowledges the European Union Grant No. ERC-2009-AdG-247075 and the NCCR MARVEL project for funding.

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