

1 **The role of Light in Measuring Ocular Biomechanics**

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13 **Running title:** (40 characters, with spaces)

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15 **Key words:** 3-6 keywords, i.e. cornea, biomechanics, interferometry, strain measurement

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17 This work was presented at the Cambridge Ophthalmological Symposium 2015.

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20

21 **Abstract**

22 The cornea is a highly specialised tissue with a unique set of biomechanical properties
23 determined by its complex structure. The maintenance of these mechanical properties is
24 fundamental to maintain clear vision as the cornea provides the majority of the focussing
25 power of the eye. Changes to the biomechanics of the cornea can occur during ageing,
26 disease, and trauma or as a result of surgery. Recently there has been increased interest in the
27 mechanical properties of the cornea as knowledge of these properties has significant
28 implications for the improvement of current ocular treatments including PRK, LASIK and
29 for the diagnosis and tracking of corneal diseases and therapy such as keratoconus and cross-
30 linking. Biomechanics are also important for the development of artificial corneal
31 replacements. This paper describes the use of a novel, non-destructive lateral electronic
32 speckle pattern shearing interferometry (ESPSI). The data generated via this technique gives
33 a full field view of the mechanical response of the cornea under simulated physiological
34 loading conditions, and enables strain and displacement to be determined in 3 planes. The
35 technique allows corneal stiffness to be quantified and enables changes and nonhomogeneties
36 that occur due to surgery or disease to be detected.

37

38 **Introduction**

39 The cornea is a complex highly specialised biological tissue with a unique structure that
40 exhibits both transparency and high tensile strength. It has evolved to allow light to pass
41 through and to focus on the retina. It provides a barrier to protect the eye from disease and
42 maintain shape whilst subject to the forces of intraocular pressure (IOP) and those of
43 fluctuating cardiac cycle.

44 Advances in static measurement techniques have resulted in a literature describing corneal
45 tissue architecture from a microscopic to a nanoscopic scale. The use of X-rays^[1], scanning
46 electron microscopy^[2], non-linear microscopy techniques^[3], transmission electron
47 microscopy (TEM)^[2] and polarisation sensitive optical coherence tomography^[4] amongst
48 others have enabled detailed imaging of the corneal collagen architecture. However, due to
49 the complexity of the structure, its anisotropy and its nonlinear response to loading^[5], it is not
50 possible to understand and/or predict the mechanical behaviour using these methods.

51 In comparison to static data, little information currently exists detailing the dynamic
52 behaviour of the cornea and there is still a lack of understanding as to the specific mechanical
53 properties that govern the corneal behaviour and how it deforms under physiological pressure
54 changes.

55

56 *Quantification of corneal mechanical properties*

57 Quantifying the corneal mechanical properties remains challenging, as like other biological
58 materials the cornea exhibits a viscoelastic and non-linear response to loading^[6]. The
59 response can differ dependent on the loading history, magnitude of the applied load, strain
60 rate, maximum strain, nature of loading (continuous or cyclical), recovery time between
61 loading cycles and tissue properties such as hydration levels, temperature, freshness and
62 storage conditions^[7].

63 Several *in vitro* attempts have been made to measure the mechanical response of the cornea
64 to loading and a number of mechanical parameters have been quantified including Young's
65 modulus^[8, 9], shear modulus^[10], and Brillouin modulus^[11]. Young's modulus is commonly
66 used assuming that the response of the cornea is governed by the tensile strength of the
67 collagen fibres. However, a large range of values of Young's modulus have been reported
68 varying from 0.1 - 57MPa^[12]. This can in-part be explained by the viscoelastic nature of the
69 cornea, however, there are many drawbacks to some of the techniques currently used such as
70 strip extensometry which affect the reliability of data and its usefulness when considering *in*
71 *vivo* behaviour.

72 Common problems with existing techniques include; exposing the cornea to pressure ranges
73 not representative of physiological pressures in magnitude, time base or direction. *In vivo* the
74 cornea is dome like and heterogenous in structure in both x, y and z planes^[13]. Clearly strip
75 extensometry involving isolation of discrete elements from the dome give little reality when
76 related to the mechanical properties of the *in vivo* system.

77

78 *Laser interferometry*

79 Electronic speckle pattern interferometry (ESPI) and ESPSI are versatile techniques that have
80 been used within the engineering industry for a number of years to quantify material
81 properties, detect structural non-homogeneities in composite structures^[14, 15] and predict
82 failure modes. They have also recently been used for *in vitro* testing of the loading response
83 of hard biological materials including the femur^[16] and the jaw^[17].

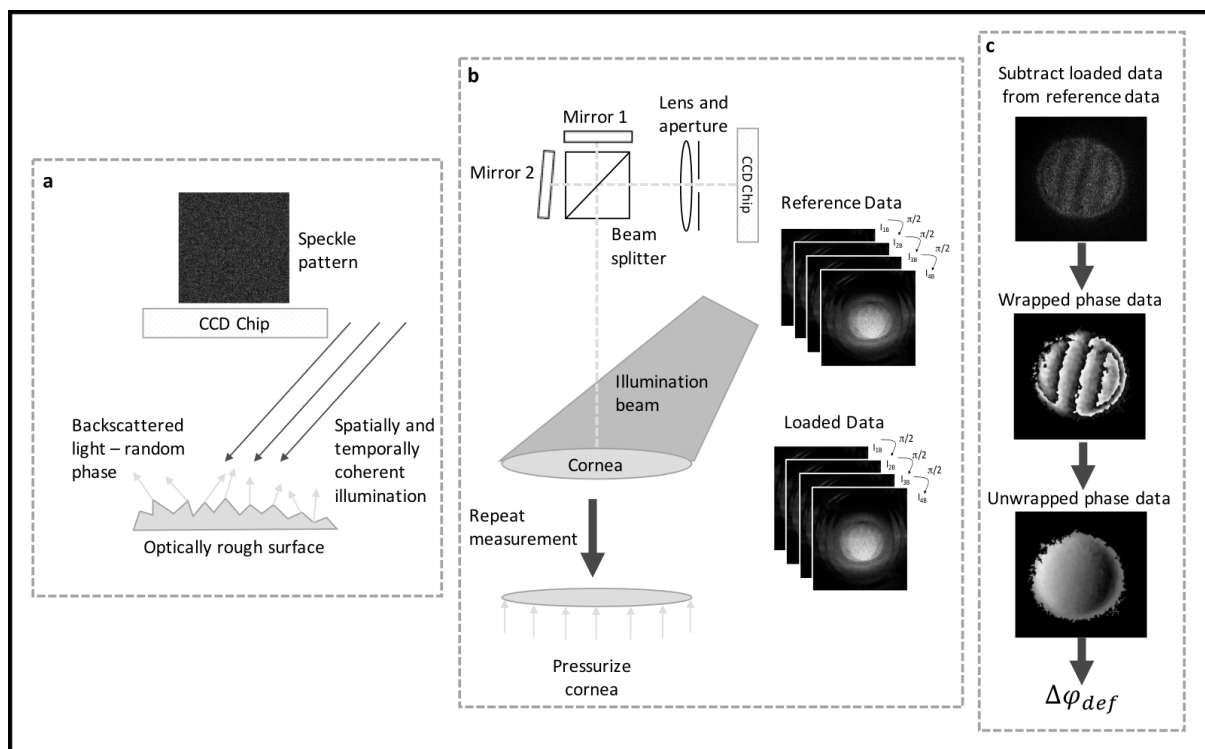
84 Laser shearing interferometry has many advantages regarding its use as a tool to quantify the
85 mechanical response of corneal tissue, this has been demonstrated in studies where radial
86 shear has been used to show the effect of using different parameters during LASIK surgery
87 such as depth and angles of incisions^[18]. It has also been used to determine the changes in

88 Young's modulus of the cornea during ageing^[19] and following crosslinking^[20]. It is non-
89 contact, non-destructive, provides data in real time and is highly sensitive; the sensitivity can
90 be optimized so the cornea is loaded at pressures within the physiological range. It is also a
91 full-field measurement technique therefore the corneal response to loading can be viewed
92 across the whole surface, enabling defects, non-homogeneities and irregularities in behaviour
93 (that may be missed using less sensitive, point based techniques) to be identified.
94 A specific lateral shearing interferometry technique capable of mapping the strain on the
95 surface of the cornea under hydrostatic loading is discussed in this paper.

96 *Lateral Electronic Speckle Pattern Shearing Interferometry (ESPSI)*

97 Lateral sensitivity was selected as opposed to radial that has been used in previous studies,
 98 because with radial shear data was not obtained for the central region of the measurement
 99 (ref) and therefore important information was lost for this area, with lateral shear uniform
 100 sensitivity is achieved across the entire surface.

101 The working principles for lateral ESPSI are summarized in **Figure 1**. Full details have been
 102 discussed elsewhere^[21].



103

104

105 Data generated using ESPSI gives the phase change due to deformation ($\Delta\phi_{def}$), this appears
 106 visually as a series of interference fringes and relates to the rate of displacement (strain) that
 107 has occurred in the object due to loading *via* the following equations^[22]:

108

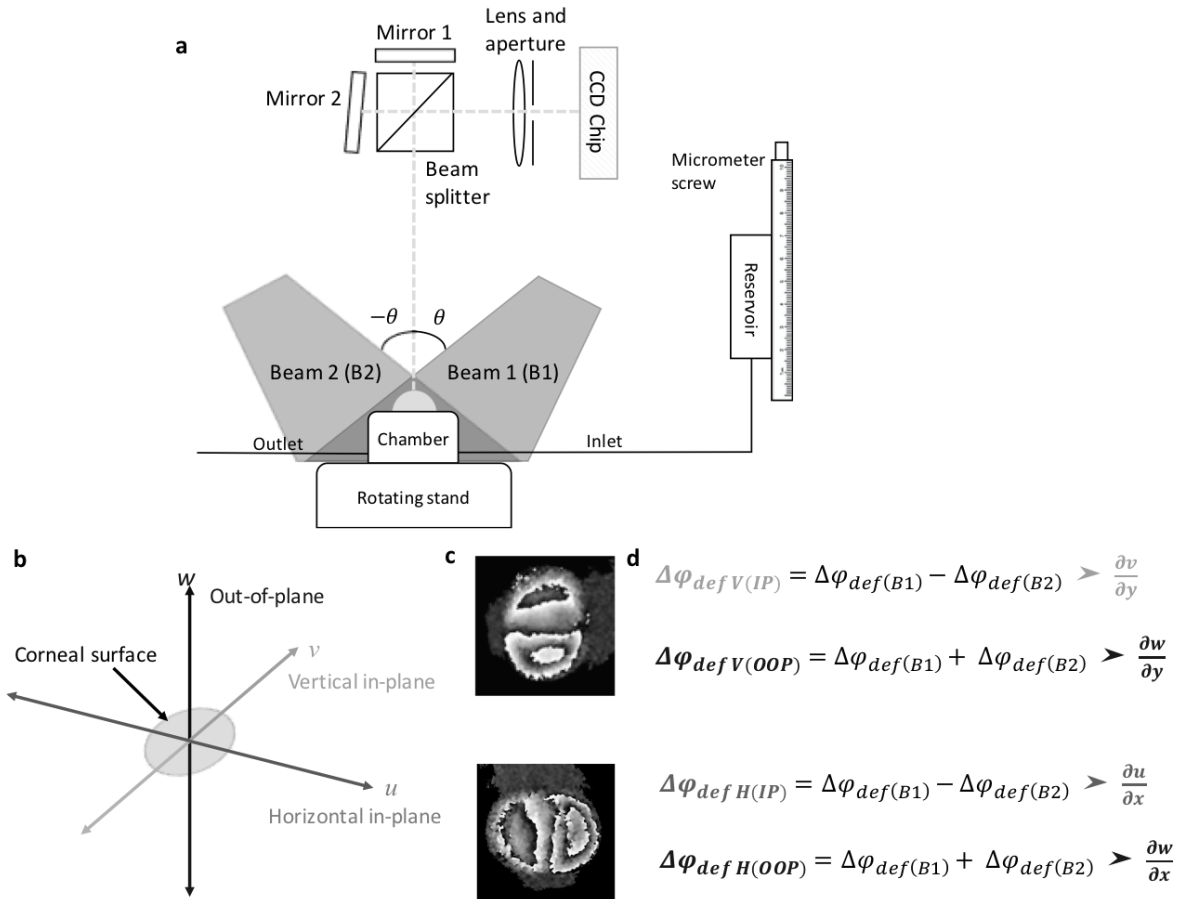
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$$\Delta\phi_{def} = -\frac{2\pi}{\lambda} \left[\frac{\partial u}{\partial x} \delta x(\sin \theta) + \frac{\partial w}{\partial x} \delta x(1 + \cos \theta) \right] \text{ (horizontal shear)}$$

110

111
$$\Delta\phi_{def} = -\frac{2\pi}{\lambda} \left[\frac{\partial v}{\partial y} \delta y(\sin \theta) + \frac{\partial w}{\partial y} \delta y(1 + \cos \theta) \right] \text{ (vertical shear)}$$

112

113 Where λ is the wavelength of the illumination source, θ is the illumination angle, $\frac{\partial u}{\partial x}$ and $\frac{\partial v}{\partial y}$
 114 are the in-plane (IP) strain components for horizontal and vertical shear respectively, $\frac{\partial w}{\partial x}$ and
 115 $\frac{\partial w}{\partial y}$ are the out-of-plane (OOP) strain components and δx and δy are the magnitudes of
 116 horizontal and vertical shear respectively. To quantify the components of IP and OOP strain a
 117 specific set-up (**Figure 2**) has been designed so the object can be illuminated at equal and
 118 opposite illumination angles^[23], manipulation of the data gathered from each of these
 119 illumination angles allows the IP and OOP strain components to be separated and
 120 quantified^[24].



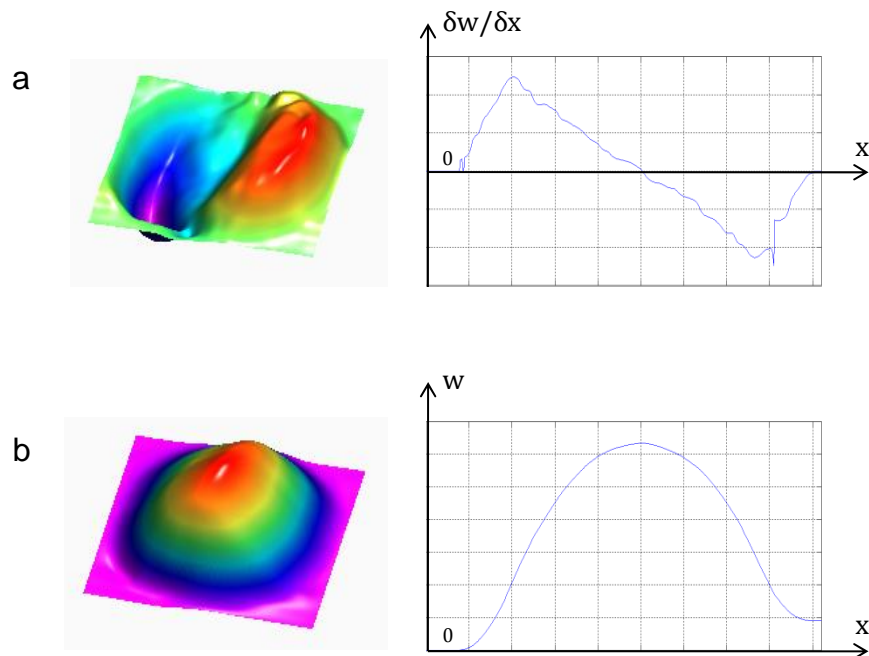
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123 The test rig (**Figure 2**) was designed so the object can rotate through 90° allowing the

124 sensitivity direction to be changed easily from horizontal to vertical without changing the

125 position of the camera or the illumination source. This enables the specific strain components
126 to be determined in three planes. Corneal displacement can also be determined in each of the
127 planes by integration of the phase data an example of this is given in **Figure 3**.



128
129

130 *ESPSI and loading rig set-up*

131 The interferometer consisted of a divergent square illumination beam ($\lambda = 532\text{nm}$), shaped
132 with holographic optics (Laser Optical Engineering, LOE Ltd, Derbyshire, UK) to provide
133 uniform illumination. A camera (XXXXXX) consisting of a 35 mm imaging lens
134 (magnification____) and an externally controlled shearing head able to adjust the magnitude
135 of shear was used to image the samples. Analysis software (Defect Detect LOE Ltd,
136 Derbyshire, UK) was used to capture the images and produce the phase data for each
137 measurement in real time. A wrapped phase data file was generated for each measurement
138 set.

139 A stainless steel artificial anterior chamber was used to clamp the corneal samples. The
140 chamber was filled from a tank the height of which was changed *via* a micrometre screw to
141 an accuracy of (0.005mm) measured using a digital gauge (Swiss Precision Instruments,

142 INC.TM). A pressure transducer was attached at the outlet and positioned at the same height as
143 the sample to measure the hydrostatic pressure in the chamber to an accuracy of 0.005
144 mmH₂O. Phosphate buffered saline (PBS, Sigma-Aldrich, UK, $\rho = 0.995\text{g/ml}$ at 25°C) was
145 used to pressurize the samples.
146 Corneal-scleral buttons were mounted into the chamber and set to an initial pressure of 16
147 mmHg (217.5 mmH₂O), which is within the average reported IOP for the human eye [25, 26],
148 for the eye for 30 min prior to recording of the first measurement to allow for any stress
149 relaxation to occur and ensuring that the samples were inflated to their natural curvature. 3-
150 7 μm glass spheres (Potters Industries LLC) were randomly distributed onto the sample
151 surface to ensure adequate backscattering of light while not interfering with the response of
152 the cornea. The height of the tank was changed by 1-5mm in 0.5mm increments and phase
153 data was recorded at each height. The small pressure change was selected as it is well within
154 the physiological pressure changes experienced by the cornea in-vivo and demonstrates the
155 high sensitivity of the technique while reducing the effects of any small changes that could
156 occur in the strain rate or relaxation time during experiments. The measurement process was
157 repeated 4 times at each height to collect data for horizontal and vertical sensitivity for each
158 of the illumination directions.

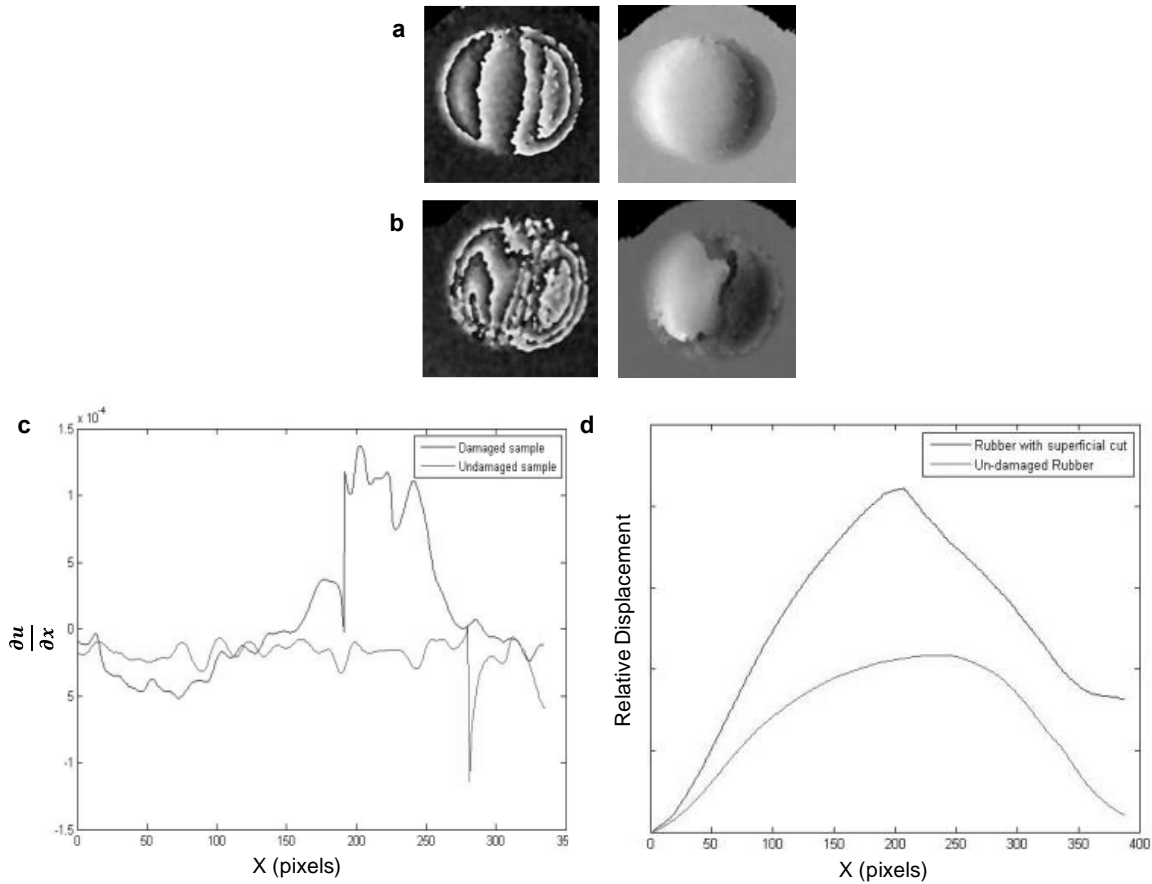
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160 **Data**

161 *Tests on rubber sample*

162 To demonstrate the suitability of the technique to detect defects and give an example of the
163 data that can be generated using this method and its validity, initial testing was done on a
164 simulation cornea made from cured rubber latex. Testing was first carried out on an
165 ‘undamaged’ rubber sample, a superficial cut was then made to the sample and the testing
166 procedure was repeated for the ‘damaged’ sample. The unwrapped and wrapped phase maps

167 from these tests are given in **Figure 4** along with plots giving the horizontal in-plane strain
 168 component along the central line and the relative displacement change between the two
 169 samples.



170
 171 Under a pressure increase of 29.4 Pa the interference fringes on the wrapped interferogram of
 172 the undamaged sample appeared relatively symmetrical in distribution (**Figure 4a**), this
 173 indicates uniform strain distribution, which is expected due to the isotropy of the sample.
 174 After a superficial cut was introduced the number of fringes on the wrapped interferogram
 175 increased (**Figure 4b**) and they became non uniform in distribution and direction with a
 176 higher concentration around the area of damage indicating higher strain in this area and non-
 177 uniform deformation with some twisting.
 178 The in-plane strain component ($\frac{\partial u}{\partial x}$) in the undamaged sample was constant across the
 179 sample indicating uniform deformation (**Figure 4c**). In the damaged sample $\frac{\partial u}{\partial x}$ was

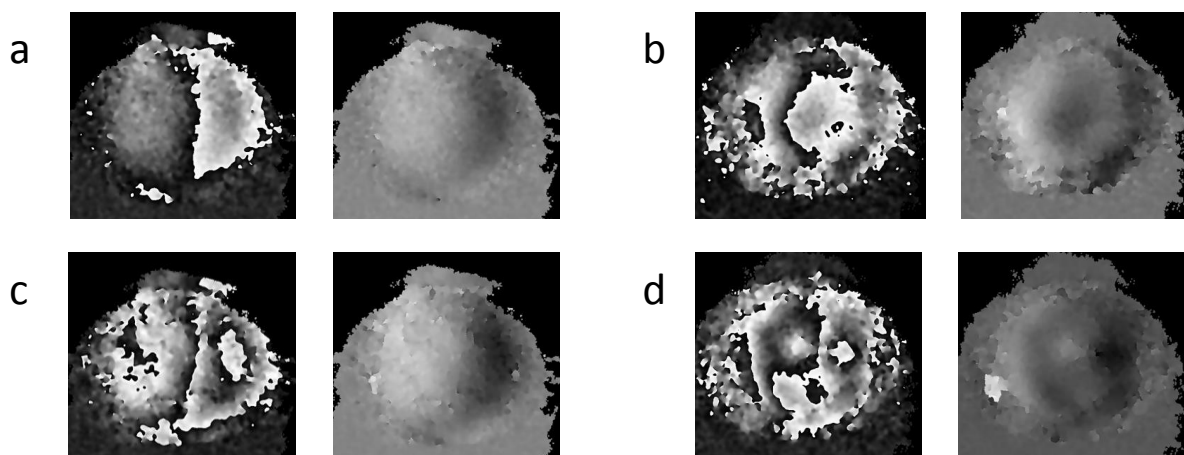
180 significantly higher in the area of damage indicating higher strain and therefore deformation
181 in the region where the cut had been introduced (**Figure 4c**).

182 The in-plane displacement map shows the displacement profile for the central line in the
183 sample (**Figure 4d**). In the undamaged sample the displacement profile is smooth and
184 represents uniform bulging (**Figure 4d**), In the damaged sample the displacement is much
185 greater with a steeper peak where the damage is (**Figure 4d**), indicating weakening of the
186 sample due to the presence of the damage.

187

188 *Tests on cornea*

189 The testing procedure was repeated on a fresh porcine cornea. Initially the undamaged
190 sample was tested. It was then removed from the chamber and a superficial cut was made on
191 the right side surface of the cornea at approximately the centre line from the edge towards the
192 centre point and the tests were repeated. The resulting wrapped and unwrapped
193 interferograms are shown in **Figure 5**.



194

195 Despite the low increase in pressure (less than a 10th of that experienced by the cornea
196 resulting from cardiac cycle) differences in the response of the cornea to loading could be
197 observed after the introduction of a superficial cut to the surface. For the undamaged cornea
198 (**Figure 5a, 5c**) the fringes in the wrapped image are relatively symmetrical and straight,
199 however, for the damaged cornea (**Figure 5b, 5d**) the fringes are non-uniform and not

200 symmetrical indicating non uniform deformation, which has occurred as a result of damage to
201 the surface.

202

203 **Conclusions**

204 The technique discussed is capable of tracking the dynamic response of the cornea to
205 physiological loads with pixel resolution and gives full field data regarding strain and
206 displacement that occurs on the corneal surface. The high sensitivity and full field nature of
207 the technique means it is capable of detecting changes in the biomechanical responses of the
208 cornea resulting from the structural damage associated with surgery. The xy location of such
209 changes are of great importance in determining points of weakness and their implications for
210 designing future surgery. In essence, it has the potential to increase understanding of the
211 mechanical behaviour of the normal cornea and how it changes with disease, and therefore
212 may help improve diagnosis and treatment. Secondly these measurements will be
213 fundamental in the development of computer simulated models of the cornea. Thirdly, such
214 measurements *in vivo* would allow the diagnosis of patients with highly elastic corneas who
215 should perhaps not undergo laser surgery as such procedures may result in ectasia in such
216 individuals. Finally, a detailed strain map of patients with pathological conditions, such as
217 Keratoconus would allow the development of topographic treatments using techniques such
218 as riboflavin and UV based cross-linking. The clinical environment is extremely receptive
219 and waiting for a clinical version of the device used in this study.

220

221 **Acknowledgments**

222 NIHR Biomedical Research Centre at Moorfields Eye Hospital NHS Foundation Trust and

223 UCL Institute of Ophthalmology

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226 **Declaration of Interest**

227 The authors report no conflict of interest. The authors alone are responsible for the content

228 and writing of the manuscript.

229

230 **References**

231

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300

301 **Figure legends**

302 **Figure 1:** Summary of the working principle of ESPSI; (a) Spatially and temporally coherent
303 light is used to illuminate the surface of the object, if the surface of the object is rough (height
304 variations $> \lambda/4$) the light scattered from the object has a random phase, constructive and
305 destructive interference of this light results in a speckle pattern which is captured on a
306 charge-coupled device (CCD) chip; (b) The object beam is split into two parts via a beam
307 splitter- one of these beams is transformed laterally and the beams are combined on the
308 surface of the CCD chip. A unique speckle pattern is captured from the object in its reference
309 state and stored, the object is then loaded and a second speckle pattern is captured, phase
310 stepping is used during data capture so quantitative data can be extracted; (c) The loaded
311 speckle phase data is subtracted from the reference speckle phase data in real time resulting
312 in subtraction fringes. A wrapped phase map is generated from the phase stepped data, this
313 wrapped data is then unwrapped to give the absolute phase change due to deformation ($\Delta\phi_{\text{def}}$)
314 for each pixel in the image plane.

315

316 **Figure 2:** Dual beam interferometry system to separate components of IP and OOP strain; (a)
317 A simplified version of test rig, data is collected individually from illumination with Beam 1
318 and then Beam 2 over two measurement cycles. The rotating stand enables rotation through
319 90° to obtain sensitivity in both the horizontal and vertical planes ensuring $\delta x = \delta y$. b) The
320 direction of different planes with reference to the corneal surface. (c) An example of wrapped
321 interference fringes for vertical and horizontal shear. (d) Equations demonstrating how phase
322 data from different illumination angles is manipulated to give separate components of strain.

323

324 **Figure 3** – a) Out of plane strain ($\frac{\delta w}{\delta x}$) surface plot and line plot along the x-axis for central y
325 axis position; b) Out of plane displacement (w) surface plot and line plot along the x-axis for
326 central y axis position.

327

328 **Figure 4** - Comparison of undamaged and damaged samples; (a) wrapped and unwrapped
329 phase maps of undamaged sample under hydrostatic pressure of 29.4 Pa; (b) wrapped and
330 unwrapped phase maps of sample with superficial cut under hydrostatic pressure of 29.4 Pa,
331 (c) Plot comparing horizontal in-plane strain $\frac{\partial u}{\partial x}$ for undamaged and damaged samples

332

333 **Figure 5** - a) Wrapped and unwrapped interferograms of an undamaged pigs cornea due to
334 9.8Pa hydrostatic pressure increase; b) Wrapped and unwrapped interferograms of a pigs
335 cornea with a superficial cut on the surface due to 9.8Pa hydrostatic pressure increase;
336 c) Wrapped and unwrapped interferograms of an undamaged pigs cornea due to 19.6Pa
337 hydrostatic pressure increase; d) Wrapped and unwrapped interferograms of a pigs cornea
338 with a superficial cut on the surface due to 19.6Pa hydrostatic pressure increase.

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