The Relationship between Cobalt/Chromium Ratios and the High Prevalence of Head-Stem Junction Corrosion in Metal-on-Metal Total Hip Arthroplasty

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26	Abstract
27	Background:
28	The size of the clinical impact of corrosion of the taper junction of metal-on-metal total hip
29	replacements (MOM-THRs) is unclear. Examination of a large number of retrieved MOM resurfacing
30	and total hip replacements can help us understand the role of taper corrosion in metal ion release.
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32	Methods:
33	We graded the severity of corrosion at the taper junction of 395 MOM-THRs and compared the pre-
34	revision whole blood metal ion levels of these hips with 529 failed MOM hip resurfacings.
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36	Results:
37	Virtually all MOM-THR hips (n=388) had evidence of corrosion of the head-stem taper junction and
38	graded as severe in 31% (n=124). The median Co/Cr ratio was 1.58 (0.01-13.82) and 1.08 (0-4.86) for
39	MOM-THR and MOM hip resurfacing respectively; this difference was significant (p<0.001). THR
40	hips with severely corroded tapers had the highest median Co/Cr ratio of 1.86 (0.01-10).
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42	Conclusions:
43	This study demonstrates the high prevalence of severe taper corrosion, which may be related to an
44	elevated Co/Cr ratio prior to revision.
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46	Keywords: Taper, Corrosion, Metal-on-Metal, Retrieval, Cobalt, Chromium
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53 Introduction

The size of the clinical impact of metal ions released from the taper junctions of metal-onmetal total hip replacements (MOM-THR) is unknown. Numerous studies have reported on the wide range of volumetric material loss that has been measured at the surface of the femoral head taper [1-3] and it is largely accepted that the mechanism of material loss may be due to mechanical wear, corrosion or a combination of both.

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The volume of material loss at the taper has been shown to be significantly moderately correlated with a well-published visual scoring scale for the severity of corrosion [4, 5]. It was found that virtually all tapers that had evidence of no, mild or moderate corrosion had volumetric material loss of less than 5mm³, however tapers that were visually severely corroded (score 4) revealed a large variation in material loss of between 1mm³ and over 25mm³.

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The black surface deposits associated with severe taper corrosion have been shown to be rich in chromium (Cr) with comparatively fewer cobalt (Co) ions [5]. It is speculated that as the severity of corrosion increases, an increase will also be detected in the whole blood Co/Cr ratio as more chromium will be retained on the taper surface whilst a greater concentration of cobalt ions will be released into the blood.

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It is proposed therefore that a greater focus on the analysis of severely corroded tapers may be key in understanding the role of the taper junction in implant failure. The magnitude of the clinical frequency of severe taper corrosion however is unclear. Recent studies that have reported on corrosion of failed contemporary hips have examined a relatively low number of components, ranging from 12 to 150 [4, 5, 6-12]. Goldberg et al. [5] reported evidence of

severe corrosion in 10% of 221 tapers however these were of hips explanted over a decade
ago. It remains unclear to what extent severe taper corrosion is present in a wider cohort of
failed modern MOM hips.

The purpose of this study therefore was to: (1) report on the prevalence and severity of corrosion in the largest study of retrieved MOM-THR hips of current designs (n=395) and (2) determine whether this damage mechanism can be detected prior to revision by comparing corrosion scores with pre-revision blood metal ion levels of the 395 MOM-THRs and a series of 529 failed MOM hip resurfacings.

103 Methods

This was a retrospective cohort study involving a consecutive series of 395 failed MOM-104 THR hip implants received at our retrieval centre that had an unobstructed female head taper 105 106 surface which could be visually assessed. Implants were collected from over 38 contributing hospitals during the period July 2009 to April 2014. Pre-revision cobalt and chromium blood 107 metal ion levels were collected, together with patient demographic data relating to gender, 108 age at primary surgery and time to revision, Table 1. The hip designs consisted of the Adept 109 (27), ASR XL (68), BHR (66), Conserve (10), Cormet (35), Magnum (50), Metasul (42), 110 111 Mitch (10), Pinnacle (66), Ultima (6) and others (15), with a median head diameter of 45mm (28-60); these consisted of 19 small heads (<36mm) and 376 large heads (>36mm). 112

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The implants were retrieved from 162 male and 233 female patients with a median age of 61 years (23-83) and a median time to revision of 50 months (7-200). Median whole blood cobalt (Co) and chromium (Cr) levels pre-revision were 7.02 ppb (0.47-212.4) and 3.93 ppb (0.2-111) respectively. The Co/Cr ratio was calculated individually for each patient; the median ratio was 1.58 (0.01-13.82).

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In order to assess the clinical significance of corrosion at the modular junctions of the MOM-THRs, we also considered in this study pre-revision whole blood metal ion levels of a series of 529 retrieved MOM resurfacing hips previously collected at our centre, Table 1. Median cobalt and chromium levels were 5.83 ppb (0-273.8) and 5.92 ppb (0.3-343) respectively; the median Co/Cr ratio was 1.08 (0-4.86). These implants had been retrieved from 216 male and 313 female patients with a median age of 55 years (16-74) and a median time to revision of 59 months (8-178). The median head diameter was 46mm (38-58).

128 Corrosion Assessment

Each head taper surface was inspected macroscopically and with the aid of a Leica M50 light 129 microscope [Leica Microsystems, Germany] at up to x40 magnification. A well-published 130 131 corrosion classification method [5] was used to grade each surface with a score of 1 (no corrosion), 2 (mild corrosion), 3 (moderate corrosion) or 4 (severe corrosion), with increasing 132 evidence of black debris, pitting and etching indicating greater corrosion. This method has 133 previously been demonstrated as being both repeatable and reproducible [4]. The statistical 134 significance of any differences between the corrosion scores in relation to (1) time to 135 revision; (2) head size; (3) Co and Cr blood metal ion levels; (4) age at primary were 136 examined. Following this the statistical significance of any differences in the Co/Cr ratios 137 between: (1) all resurfacing hips, (2) all THRs and (3) THR hips in each of the four corrosion 138 139 score categories was investigated. We also tested to see if there was a significant association between time to revision and Co/Cr ratios for both the resurfacing and total hips. 140

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The Shapiro-Wilk test for normality revealed that all the parameters under investigation were not normally distributed. Therefore Kruskal-Wallis non-parametric ANOVA tests were initially performed to detect the presence of significant differences and post-hoc analysis using Mann Whitney testing was used to identify which specific differences were significant.

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147 Source of Funding

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UK), and Stryker UK Ltd (Newbury, UK). This did not play a direct role in this investigation.

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155 **Results**

We found that that 98% (n=388) of retrieved head tapers showed evidence of corrosion and
31% (n=124) of tapers were severely corroded (Figure 1).

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There was a significant difference in the time to revision (months) between the corrosion 159 scores (p<0.001). Post hoc analysis confirmed that the time to revision for hips with 160 corrosion score 3 was significantly greater than score 2 (p<0.05) and the time to revision for 161 score 4 was significantly greater than scores 2 and 3 (p<0.05). There was no association 162 163 between head size and corrosion scores (p=0.141) and there was no statistically significant difference between the corrosion scores of small (<36mm) and large (>36mm) diameter 164 heads (p=0.685). We also examined the effect of categorising 36mm heads as small diameter; 165 there was again no significant difference in corrosions scores between heads <36mm and 166 >36mm (p=0.4106). Corrosion scores were not affected by patient age (p=0.998) and no 167 significant association was found with cobalt (p=0.286) or chromium (p=0.115) blood metal 168 ion levels. 169

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Figure 2 plots the distribution of Co/Cr ratios of the resurfacing and THR hips and also the subgroups of the THRs categorised by corrosion score. The data for hips with a corrosion score of 1 were omitted in the graph and subsequent statistical analysis due to their low numbers (n=7). The Kruskal-Wallis test revealed that there was a highly significant difference in the Co/Cr ratios between the groups (p<0.001). Post-hoc analysis showed that the median Co/Cr ratio of 1.58 (0.01-13.82) for the THR group was significantly greater than

177	the resurfacing group, which had a median ratio of 1.08 (0-4.86) (p<0.001). The median
178	CoCr ratios for the THR hips with corrosion scores 2, 3 and 4 were 1.30 (0.03-8.94), 1.67
179	(0.29-13.82) and 1.86 (0.01-10) respectively (Table 2); all three groups had significantly
180	greater CoCr ratios than the resurfacing hips (p<0.05). Comparison of median Co/Cr ratios
181	for THRs with different corrosion scores suggested that there was a trend towards greater
182	corrosion being associated with higher Co/Cr ratios. Statistical analysis showed that ratios for
183	corrosion scores 3 and 4 were significantly greater than for corrosion score 2 (p< 0.05)
184	however no significant differences were found between corrosion scores 3 and 4 (p=0.461),
185	Table 3. We did not find any significant associations between the time to revision and Co/Cr
186	ratios for the resurfacings (p=0.721) or total hips (p=0.808).
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202 Discussion

In a recent commentary by Jacobs and Wimmer [13], the importance of implant retrieval analysis by centres with access to large retrieval cohorts was emphasised as significant in understanding mechanisms of failure and also for developing future preclinical testing models. In this study we have presented the results of retrieval analysis of the largest number of failed MOM-THRs to date; we report findings on the corrosion of the taper junction of almost 400 MOM-THRs and have compared blood metal ion ratios of these hips with ratios of over 500 failed MOM hip resurfacings.

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We found that virtually all retrieved head tapers (98%) displayed visual evidence of 211 corrosion, with a statistically significant trend towards increasing severity with longer time to 212 213 revision. Surprisingly almost one-third of all tapers were severely corroded with considerable evidence of black debris and in many cases clear imprinting of the thread of the stem 214 trunnion. Analysis of Co/Cr ratios revealed that these were significantly greater for THRs 215 216 than resurfacings. When the THR hips were subdivided in relation to their taper corrosion scores, comparisons of median ratios appeared to suggest that greater corrosion was 217 correlated with higher ratios; statistical analysis however only found a significant difference 218 between the Co/Cr ratios of mildly corroded hips and moderately/severely corroded hips. 219 Whilst significance was not detected, the severely corroded group of THRs had the highest 220 221 median Co/Cr ratio of 1.86.

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The National Joint Registry of England, Wales and Northern Ireland [14] reports that revisions rate of MOM-THRs are approximately 50% greater than their equivalent resurfacing counterparts, which are absent of a taper junction. Our study demonstrates the

high prevalence of severe corrosion at this junction, which may help to explain theseaccelerated failure rates due to a greater release of metal debris.

Additionally, material may be lost at the taper junction through mechanical means such as 228 229 fretting or toggling of the implant due to incomplete engagement or differences in stemtrunnion tolerances [15]. Assessment of fretting was not performed in the current study as it 230 has previously been shown that visual scoring of this damage mechanism is an unreliable 231 method and is difficult to quantify accurately [4]. Increased modularity, such as with a neck-232 stem junction or the use of modular cups with interchangeable liners and shells, has been 233 234 shown to introduce additional regions of corrosion which are likely to contribute to elevated blood metal ions [7, 9, 10]. Another source of metal ions may be from the corrosion of 235 cemented stems; Bryant et al. [16] reported on considerable evidence of surface changes and 236 237 chromium rich black debris at the stem-cement interface of a series of retrieved CoCr stems.

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The trend between longer time to revision and increasing corrosion scores are to be expected and are in agreement with previous work [5]. This re-emphasises the importance of considering the effect of implantation time when interpreting data related to damage of the taper junction. There was however no association between time to revision and the Co/Cr ratio for either of the two hip groups; this may be due to metal ions being continuously excreted from the body rather than accumulating over time.

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It has been suggested that higher frictional torques due to increasing femoral head size can lead to greater corrosion at the taper junction [6, 17]. There is some debate over the classification of 36mm bearings as being of 'large' or 'small' diameter, however the majority of retrieval studies suggest that it should be considered as large head, with Dyrkacz et al. [6] reporting significantly higher corrosion in 36mm heads in comparison to 28mm heads. We

found that there was no significant difference in corrosion scores between large and small heads regardless of if 36mm was categorised as large or small diameter. Whilst these findings do not add to the debate of the classification of 36mm bearings, it does highlight that severe corrosion can occur in all hip designs and sizes.

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The absence of significant correlations between corrosion scores and either cobalt or 256 chromium blood metal ion levels are perhaps not surprising when considering the differences 257 in material loss between the taper and bearing surfaces that have previously been reported. 258 Matthies et al. [1] showed that up to 228mm³ and 194mm³ of volumetric material loss was 259 measured at the head and cup bearing surfaces respectively, whilst a maximum of 25mm³ 260 was lost at the corresponding head taper surface. The considerably greater amount of metal 261 262 ions released from the bearing surface are likely to mask the individual effect of the taper junction on increasing cobalt or chromium levels in the blood. 263

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Our findings in relation to Co/Cr ratios are however clinically significant. We have shown 265 that the Co/Cr ratios of the MOM-THRs were significantly greater than that of the 266 resurfacings, Figure 2. Whilst the bearing bulk alloy has a Co/Cr ratio of approximately 2, the 267 resurfacing hips had a median whole blood Co/Cr ratio closer to 1. This may be explained by 268 considering that Co ions are more soluble and readily excreted whereas Cr ions tend to be 269 270 retained in surrounding soft tissue, Figure 3. The increase in the median Co/Cr ratio for the MOM-THRs by approximately 50% must therefore be due to corrosion at the modular 271 junctions; a damage mechanism which results in much of the chromium ions being retained 272 in the black corrosive surface debris whilst much of the cobalt ions are released into the 273 blood, Figure 4. These findings are in agreement with the study by Cooper et al. [7] who 274 reported elevated Co/Cr ratios in modular neck hips with non-MOM bearings and evidence of 275

severe corrosion at the modular junctions. Hart et al. [18] also found evidence of considerably
more Co than Cr in their analysis of periprosthetic tissue of patients with problematic MOMTHRs that were found, after retrieval, to be substantially corroded. We acknowledge however
that some of the differences in the ratios may be explained by the finding that the resurfaced
hips have comparatively higher Cr levels than the total hips.

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We observed a clear positive trend between increasing taper corrosion score and increasing 282 median Co/Cr ratios, such that hips with severely corroded tapers had the highest median 283 284 ratio (almost 2) in comparison to all other groups. There was a significant difference between the Co/Cr ratio for hips with corrosion score 2 (mild) and those with a score of 3 (moderate) 285 or 4 (severe). This may be explained by the fact that tapers were scored as being mildly 286 287 corroded if there were signs of discolouration or surface dullness but if there was evidence of black corrosive debris, these tapers were classed as moderately or severely corroded, 288 according to Goldberg's scoring system [5]. Whilst hips with severely corroded tapers had a 289 290 higher median Co/Cr ratio than those with moderately corroded tapers, this difference was not significant. As discussed earlier, it is possible that high wear of the MOM bearing 291 surfaces may obscure the contribution of metal ions released from the taper, thus making it 292 difficult to distinguish between moderate and severe corrosion pre-revision. Indeed it has 293 been speculated that increased bearing surface wear and edge wearing of the cup are 294 295 associated with greater material loss at the head taper. Nevertheless we have shown that the severity of corrosion increases over time, therefore the Co/Cr ratio could be used as a 296 biomarker for monitoring the increase in taper junction corrosion over the course of regular 297 clinical follow ups. 298

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301 *Limitations*

We have reported metal ion data based on the last available blood test prior to revision; we acknowledge that the time between blood test and revision may not be consistent for all hips in this study. As metal ions are continuously excreted from the body, differences in the time of blood test may have influenced the ratios measured.

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307 *Future Work*

Future work continuing from this study will involve quantifying volumetric material loss at 308 309 the bearing (cup and head) and taper junction surfaces of hips identified in the current work as having severely corroded tapers. The comparatively higher wear rates of the bearing 310 surfaces may mask the true extent of the impact of taper damage; we will therefore seek to 311 312 isolate and further examine cases that have: (1) elevated metal ion levels or ratios, (2) severe taper corrosion and (3) low bearing surface wear rates. It will also be of great interest to 313 investigate differences observed from cross-sectional imaging between cases with elevated 314 Co/Cr ratios and those with comparatively lower ratios. A number of recent studies have 315 reported on the corrosion of modular neck junctions in THRs [7, 19, 20]. Future work from 316 our centre will also investigate the clinical impact of this increased modularity in the stem-317 neck junction relative to the neck-head junction. 318

319

320 *Conclusions*

This was the largest retrieval study to date to report on the corrosion of failed contemporary MOM-THRs. Almost all head tapers showed signs of corrosion and one-third were severely corroded. The greater Co/Cr ratios in the MOM-THRs in comparison to the MOM resurfacings support a mechanism of corrosion at the taper junction, which retains chromium on the surface and releases more cobalt into the blood. The results of our study suggest that

326	an elevated blood metal ion ratio could be used as a biomarker for detecting corrosion at the
327	modular junctions of MOM-THRs. However this may be masked by high bearing surface
328	wear, meaning that the absence of an elevated Co/Cr ratio may not necessarily mean the
329	absence of corrosion at the modular junction.
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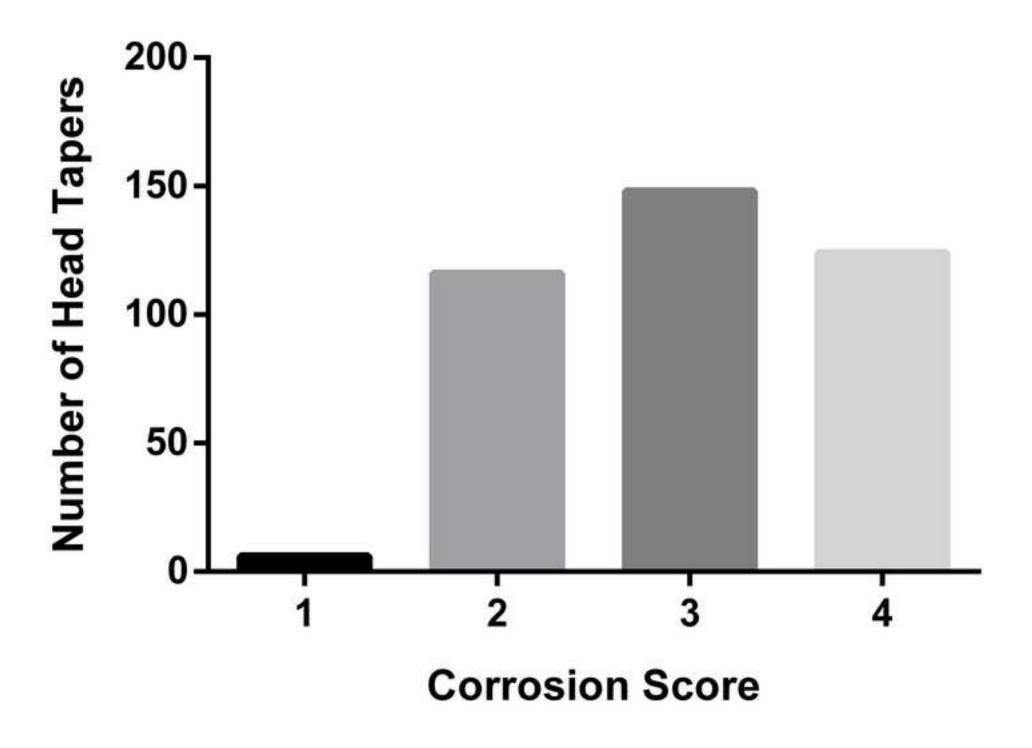
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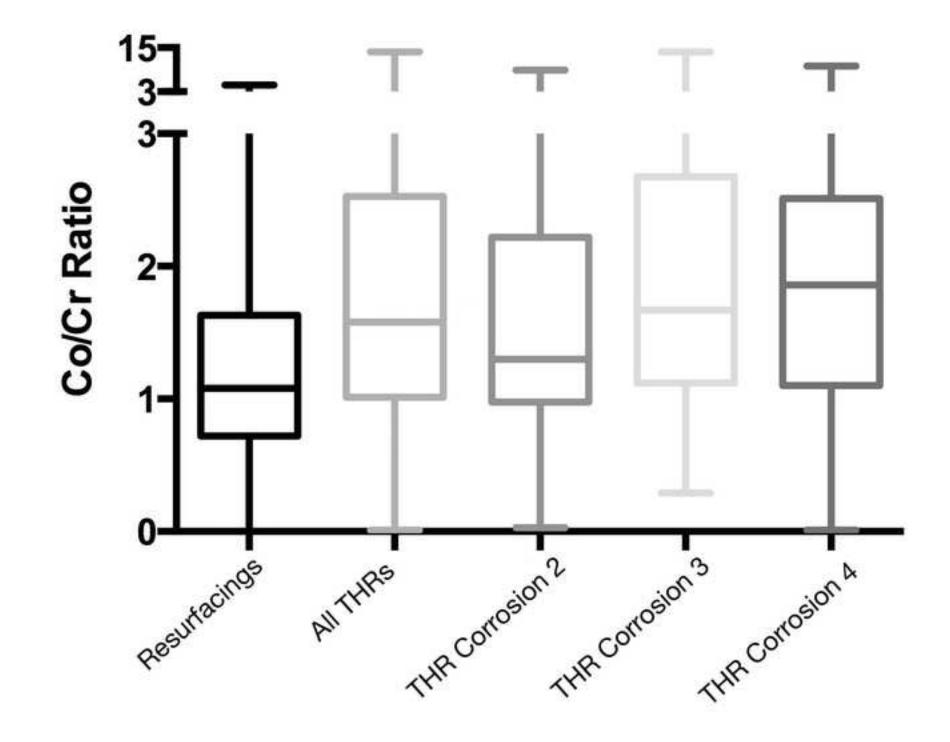
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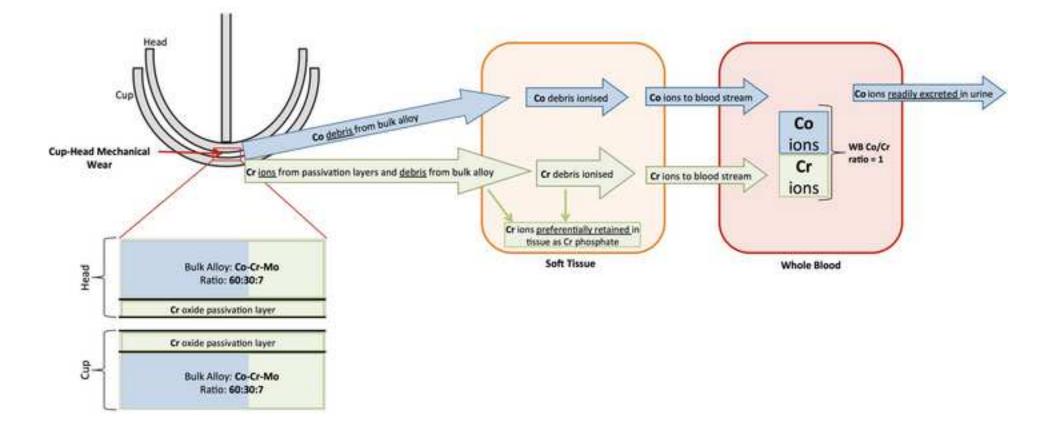
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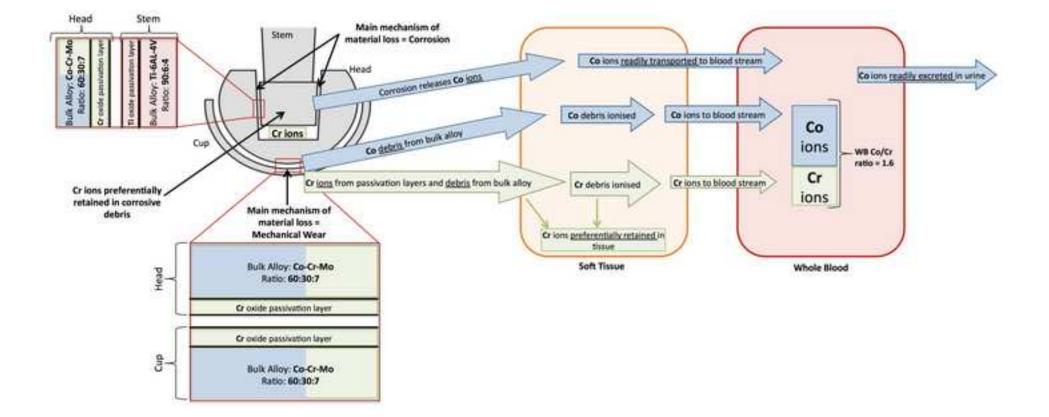


Figure Legends

Figure 1: Distribution of corrosion scores of the THR head tapers

Figure 2: Distribution of Co/Cr ratios between the resurfacing and all THR groups and the subgroups for THRs with a taper corrosion score of 2, 3 and 4 (THR Cr 4)

Figure 3: Schematic explanation of the Co/Cr ratio observed for resurfacing hips

Figure 4: Schematic explanation of the Co/Cr ratio observed for modular hips

		Number	THR Median (Range)	Resurfacing Median (Range)	p-Value
Gender (Mal	e : Female)		162 : 233	216:313	
	ry Surgery (years)	_	61(23-83)	55 (16-74)	< 0.01
0	sion (months)	-	50 (7-200)	59 (8-178)	< 0.01
	d Diameter (mm)	-	45 (28-60)	46 (38-58)	0.184
	Cobalt (ppb)	-	7.02 (0.47-212.4)	5.83 (0-273.8)	0.144
	Chromium (ppb)	-	3.93 (0.2-111)	5.92 (0.3-343)	< 0.01
Cobalt/Chro		-	1.58 (0.01-13.82)	1.08 (0-4.86)	< 0.01
	Biomet Magnum	50	-	-	-
	Corin Cormet	35	-	-	-
	DePuy ASR XL	68	-	-	-
	DePuy Pinnacle	66	-	-	-
THR	Finsbury Adept	27	-	-	-
Bearing	S&N BHR	66	-	-	-
Design	Stryker Mitch	10	-	-	-
	Wright Conserve	10	-	-	-
	Zimmer Metasul	42	-	-	-
	Others	21	-	-	-
	Corin Cormet	98	-	-	-
	DePuy ASR	35	-	-	-
	Finsbury Adept	29	-	-	-
Resurfacing	S&N BHR	304	-	-	-
Bearing Design	Stryker Mitch	12	-	-	-
Design	Wright Conserve	10	-	-	-
	Zimmer Durom	17	-	-	-
	Others	24	-	-	-

Table 1: Patient and implant data for the MOM-THRs and MOM Resurfacings

Нір Туре	Number of Hips	CoCr Ratio - median (range)	Absolute Co - median (range)	Absolute Cr - median (range)
Resurfacing	529	1.08 (0 - 4.86)	5.83 (0-273)	5.92 (0.3-343)
All THRs	395	1.58 (0.01 - 13.82)	7.02 (0.12-212)	3.93 (0.2-111)
THR Corrosion 1	7	1.58 (0.53-2.01)	27.67 (7.4-26.1)	15.1 (4.1-29.8)
THR Corrosion 2	116	1.30 (0.03 - 8.94)	6.5 (0.5-167)	4.1 (0.4-76)
THR Corrosion 3	148	1.67 (0.29 - 13.82)	7.3 (0.12-153)	4.1 (0.4-111)
THR Corrosion 4	124	1.86 (0.01 - 10)	6.8 (0.46-212)	3.7 (0.22-109)

 Table 2: Median (range) values for Co and Cr and Co/Cr ratios found for each group

Mann Whitney	Significant Difference in	<i>p</i> -Value
Comparison Test	Co/Cr Ratio?	_
Resurfacing vs All THRs	Yes	< 0.001
Resurfacing vs THR Cr 2	Yes	< 0.001
Resurfacing vs THR Cr 3	Yes	< 0.001
Resurfacing vs THR Cr 4	Yes	< 0.001
All THRS vs THR Cr 2	No	0.1247
All THRS vs THR Cr 3	No	0.4121
All THRS vs THR Cr 4	No	0.5227
THR Cr 4 vs THR Cr 3	No	0.9224
THR Cr 4 vs THR Cr 2	Yes	0.0434
THR Cr 3 vs THR Cr 2	Yes	0.0495

THR Cr 3 vs THR Cr 2Yes0.0**Table 3:** Summary of statistical analysis of differences in Co/Cr ratios

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