

Electrospun formulations of acyclovir, ciprofloxacin and cyanocobalamin for ocular drug delivery

Alexandra Baskakova,^{1,2,†} Sahar Awwad,^{2,†} Jennifer Quirós Jiménez,^{2,3} Hardyal Gill,² Oleg
Novikov,¹ Peng T. Khaw,⁴ Steve Brocchini,² Elena Zhilyakova,^{1,*} Gareth R. Williams^{2,*}

1. Department of Pharmaceutical Technology, Belgorod State University, 85 Pobeda Street,
Belgorod, 308001, Russia

2. UCL School of Pharmacy, University College London, 29 – 39 Brunswick Square, London,
WC1N 1AX, UK.

3. Department of Chemical Engineering, University of Alcalá, E-28871 Alcalá de Henares,
Madrid, Spain.

4. NIHR Biomedical Research Centre, Moorfields Eye Hospital and UCL Institute of
Ophthalmology, London, EC1V 9EL, UK

* Authors for correspondence. Email: ezhilyakova@bsu.edu.ru (EZ); g.williams@ucl.ac.uk
(GRW); Tel: +7 (4722) 301 427 (EZ); +44 (0) 207 753 5868 (GRW).

† These authors contributed equally to this work.

23 **Abstract**

24 Two series of fibers containing the active ingredients acyclovir, ciprofloxacin and
25 cyanocobalamin, and combinations of these drugs, were prepared by electrospinning. One set
26 used the hydrophilic poly(vinylpyrrolidone) (PVP) as the filament-forming polymer, while the
27 other used the slow-dissolving poly(ϵ -caprolactone) (PCL). The fibers were found to have
28 cylindrical morphologies, although there was evidence for solvent occlusion with the PVP
29 systems and for some drug particles in the PCL case. The active ingredients were generally
30 present in the amorphous physical form in the case of PVP, but evidence of crystallinity was
31 observed with PCL. The existence of intermolecular interactions between the drugs and
32 polymers was proven using simple molecular modeling calculations. Drug release from the
33 various fibers was tested in a validated *in vitro* outflow model of the eye, and the fiber
34 formulations found to be capable of extending drug release. We thus conclude that electrospun
35 matrices such as those prepared in this work have potential for use as intravitreal implants.

36

37 **Keywords**

38 Electrospinning, ocular drug delivery, *in vitro*, half-life, antivirals, posterior segment, fibers,
39 sustained release.

40

41 **Chemical compounds used in this work**

42 Acyclovir (Pubchem ID: 2022); ciprofloxacin (2764); cyanocobalamin (5311498).

43

44 **1. Introduction**

45 Cytomegalovirus (CMV) infections are a major clinical problem in patients with AIDS. Their main
46 manifestation in AIDS patients is retinitis, which accounts for 20-30% of all cases (Pollard,
47 1996). Common symptoms of CMV retinitis include visual field defects with decreased acuity,
48 the presence of floaters, and photophobia. Complications arise when retinitis is localized near
49 the macula, which can lead to loss of vision and to hemorrhages replaced eventually by thin
50 atrophic scar tissue and inflammation (Jouan and Katlama, 1999). Most ocular drugs when
51 given by topical administration are rapidly cleared by the aqueous humor flowing into the
52 anterior chamber and flushing the drug out via the trabecular meshwork, should they permeate
53 the cornea. The drug will often therefore fail to reach reproducible therapeutic levels near the
54 retina (Haghjou et al., 2013). Intravitreal (IVT) injection can provide adequate drug
55 concentrations in the posterior segment. Unfortunately drugs in solution can rapidly clear
56 within hours from the posterior cavity upon IVT injection. This reduces efficacy and frequent
57 injections are required, which can lead to undesirable side effects, and reduce patient
58 compliance (Short, 2008).

59

60 To solve the general problem of fast ocular clearance times, new strategies are being
61 investigated to deliver drugs as intravitreal implants specifically designed to prolong release.
62 One example is Vitrasert[®] (Bausch & Lomb Inc, Rochester, NY, USA), a ganciclovir loaded
63 intravitreal implant approved by the US Food and Drug Administration and administered for the
64 treatment of CMV retinitis. The implant is primarily made of ethylene-vinyl acetate and
65 polyvinyl alcohol, and the drug is released over a period of 6-8 months (Kuno and Fujii, 2011).

66

67 There are a number of drug candidates that can be used to treat ocular infections. Acyclovir
68 (ACY) is an effective antiviral agent but is only slightly soluble in water. This limits its use in the

69 eye since it is challenging to prepare a solution with sufficient solubility to exert a therapeutic
70 effect. Ciprofloxacin (CIP) is widely used to prevent and cure bacterial infections, and thus can
71 be used with ACY to provide broad-spectrum anti-pathogenic activity. It too has very poor
72 water solubility. Cyanocobalamin (vitamin B12) has been reported to repair damage to the eye,
73 and is used as a reparative agent in some eye drops (*e.g.* Sante Beautéye (Santen
74 Pharmaceutical Co, 2013)). A formulation containing all three ingredients, with solubility
75 enhancement for ACY and CIP, would thus be a useful addition to the gamut of treatments for
76 viral diseases of the eye.

77

78 Various strategies can be applied to increase the solubility and dissolution rate of poorly soluble
79 drugs; one popular approach is to prepare amorphous dispersions of the active pharmaceutical
80 ingredient (API) in a polymer matrix. The formation of such a solid solution or solid suspension
81 removes the lattice energy barrier to dissolution, and the polymer carrier additionally helps to
82 stabilize the amorphous form by preventing the API molecules from crystallizing. One method
83 which has been widely explored to generate such pharmaceutical composites is electrospinning
84 (Chakraborty et al., 2009; Williams et al., 2012). This approach is attractive in its simplicity. A
85 co-dissolving solution of a polymer and an API is first prepared in a volatile solvent. This is then
86 loaded into a syringe fitted with a metal needle (the spinneret). The polymer solution is ejected
87 from the syringe towards a metal collector plate at a constant rate, controlled using a syringe
88 pump. A high potential difference is applied between the spinneret (positive) and collector
89 (grounded), causing rapid evaporation of the solvent and the formation of a non-woven mesh
90 of polymer/API fibers, often with dimensions on the nanoscale.

91

92 Electrospinning has been used to prepare numerous formulations with enhanced
93 solubility/dissolution characteristics, and ACY, CIP and B12 have all been previously processed

94 in this manner. Only a single publication relating to B12 electrospinning could be found in the
95 literature, focused on preparing temperature-sensitive release systems based on poly(ethylene
96 oxide) and poly(isopropylacrylamide) (Song et al., 2011). A number of reports of CIP spinning
97 exist, focused primarily on wound dressings (El-Shanshory et al., 2015; Unnithan et al., 2012),
98 oral hygiene (Bottino et al., 2014) or urinary devices (Macocinschi et al., 2015): no studies have
99 been reported targeted at ocular drug delivery.

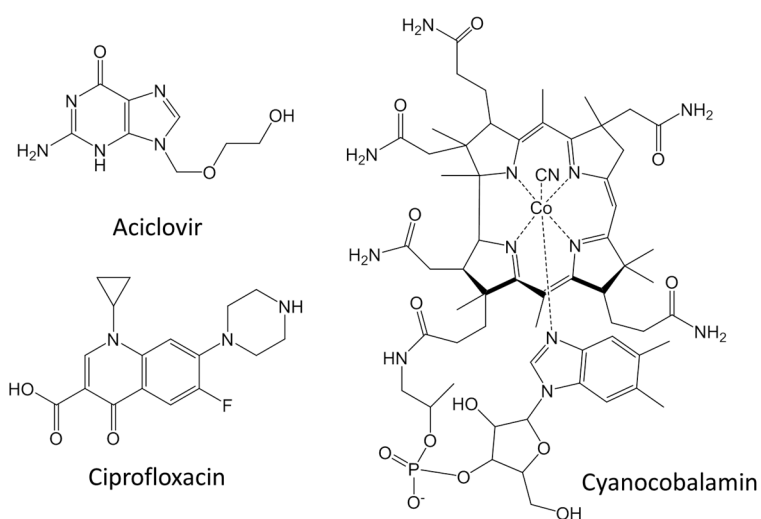
100

101 Yu et al. have undertaken several investigations into ACY fibers, using both slow and fast
102 dissolving polymers. Core/shell fibers of poly(vinyl pyrrolidone) (PVP) were prepared with a
103 shell containing PVP, sodium dodecyl sulfate (as a permeation enhancer) and sucralose (a flavor
104 enhancer), and a PVP/ACY core (Yu et al., 2011). These were designed for oral application, and
105 found to release all the incorporated drug within 1 minute. Analogous systems and results were
106 obtained using electrospraying, a technique closely related to electrospinning which produces
107 particles instead of fibers (Liu et al., 2014). Sustained release systems loaded with ACY have
108 also been prepared with poly(acrylonitrile) as the carrier polymer (Chen and Yu, 2010; Yu et al.,
109 2010).

110

111 All of the abovementioned systems were prepared with applications other than the ocular field
112 in mind. In this work, we sought to develop electrospun systems to allow effective healing of
113 viral eye infections. ACY, CIP and B12 (see Figure 1) were first spun individually before
114 combination formulations were prepared. In order to explore drug release in a representative
115 environment, functional performance assays were performed using the recently reported PK-
116 Eye model (Awwad et al., 2015), depicted in Figure 2. This aims to provide a reliable *in vitro*
117 proxy for drug dissolution and distribution in the eye. There is no model for the eye given in the
118 pharmacopoeia, and the few *in vitro* models reported in the literature are limited in that they

119 do not mimic the human eye in terms of scale and/or mass transfer. While *in vitro* models are
120 of course not completely representative of what occurs *in vivo*, they are widely used in
121 formulation to predict *in vivo* performance. The PK-Eye is currently being used to *develop in*
122 *vitro – in vivo* correlations for ocular formulations and the use of this model avoids the use of
123 animals, which are not generally predictive of human ocular pharmacokinetics. To plot a
124 reliable time-concentration curve for ocular pharmacokinetics at least 36 rabbits would be
125 required, which is unacceptable for drug screening and inconsistent with the 3Rs philosophy.
126 The PK-Eye is specifically designed as a two-compartment model scaled to human dimensions
127 and mimics the intraocular aqueous outflow through the anterior route. In this study, the API-
128 loaded fibers were tested in the posterior cavity of the PK-Eye to observe their release
129 behavior.

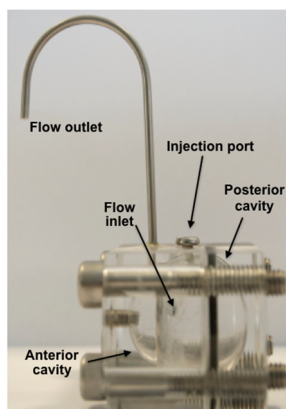


130

131 **Figure 1:** The chemical structures of acyclovir, ciprofloxacin and cyanocobalamin.

132

133



134

135 **Figure 2:** The PK-Eye model. Adapted from Awwad et al. 2015.

136

137 **2. Experimental section**

138 **2.1 Materials**

139 Polyvinylpyrrolidone K60 (PVP; molecular weight 360,000 Da), polycaprolactone (PCL;
140 molecular weight 70,000 Da), acyclovir (ACY), ciprofloxacin (CIP), chloroform, and N,N-
141 dimethylformamide (DMF) were procured from Sigma-Aldrich (Gillingham, UK), while
142 cyanocobalamin (vitamin B12) and methanol (AR grade) were sourced from Fisher Scientific
143 (Loughborough, UK).

144

145 **2.2 Electrospinning**

146 Solutions for electrospinning (ES) were prepared by dissolving the required amount of drug and
147 polymer in DMF/chloroform (for PVP fibers; 1:1 v/v) or chloroform/methanol (for PCL fibers;
148 1:1 v/v). The API was added to 10 mL of solvent in a clear glass vial and stirred at room
149 temperature (RT) for *ca.* 2 h until complete dissolution was achieved. After this, 1900 mg PVP
150 or 750 mg PCL was slowly added and the resultant solutions stirred overnight at RT. During
151 stirring, the glass vials were sealed with a rubber cup to avoid solvent evaporation.

152

153 For ES, the API/polymer solution was loaded into a 5 mL plastic syringe to which a stainless
 154 steel needle (spinneret; 18G, internal diameter 0.84 mm; Nordson EFD, Dunstable, UK) was
 155 attached. A high voltage DC power supply (HCP 35-35,000, FuG Electronic, Rosenheim,
 156 Germany) was employed to provide a high voltage between the spinneret and a metal collector
 157 plate. For all experiments, the applied voltage was 12 kV, the spinneret-to-collector distance 15
 158 cm and the flow rate 0.5 mL h⁻¹. All processes were conducted at ambient temperature and
 159 pressure (22 ± 3 °C, and 30 ± 5 % relative humidity). After manufacturing, samples were
 160 wrapped in aluminum foil and stored in a desiccator for at least 12 h prior to analysis. Silica gel
 161 was used as desiccant, maintaining the relative humidity inside the desiccator below 25 %.
 162 Details of the spinning solutions prepared and the resultant fiber compositions are given in
 163 Table 1.

164
 165 **Table 1:** Details of the spinning solutions and fibers prepared.

Polymer	Drug	Formulation ID	Polymer conc in solution (% w/v)	API conc in solution (% w/v)	Polymer conc in fibers (% w/w)	API conc in fibers (% w/w)
PVP	ACY	PVP-ACY	19	1	95	5
	CIP	PVP-CIP	19	0.3	98.4	1.6
	B12	PBP-B12	19	0.2	99.0	1.0
	ACY	PVP-ABC	19	1	92.7	4.9
	B12			0.3		1.5
	CIP			0.2		1.0
PCL	ACY	PCL-ACY	7.5	1	88.2	11.8
	CIP	PCL-CIP	7.5	0.3	96.2	3.8
	B12	PCL-B12	7.5	0.2	97.4	2.6
	ACY -CIP	PCL-AC	7.5	1	85.2	11.4
				0.3		3.4
	ACY-B12-CIP	PCL-ABC	7.5	1	83.3	11.1
0.3				3.3		
				0.2		2.2

166

167 **2.3 Characterization**

168 *2.3.1 Scanning electron microscopy*

169 Scanning electron microscopy (SEM) was used to investigate the morphology of the fibers
170 prepared. Samples were cut from the fiber mats and adhered onto aluminium SEM stubs using
171 carbon-coated double-sided tape. In order to make them electrically conductive, they were
172 then sputter coated with gold prior to imaging. Images were obtained using a Quanta 200F
173 instrument (FEI, Hillsboro, OR, USA). The fiber diameter was determined with the aid of the
174 ImageJ software (National Institutes of Health, Bethesda, MD, USA): at least 50 fibers were
175 measured, and the results are reported as mean \pm S.D.

176

177 *2.3.2 Differential scanning calorimetry*

178 Differential Scanning Calorimetry (DSC) was undertaken on a Q2000 DSC (TA Instruments, New
179 Castle, DE, USA). Samples were heated from 20 – 300 °C at 10 °C min⁻¹, under a 40 mL min⁻¹ flow
180 of N₂ gas. Data analysis was carried out using the TA Universal Analysis software.

181

182 *2.3.3 X-ray diffraction*

183 X-ray diffraction (XRD) patterns were collected using a Miniflex 600 diffractometer (Rigaku,
184 Tokyo, Japan). The instrument produces Cu K α radiation at 40 kV and 15 mA; patterns were
185 recorded in the 2 θ range 4 to 40 °C at 5° min⁻¹

186

187 *2.3.4 Infrared spectroscopy*

188 Infrared (IR) spectroscopy was performed on a Spectrum 100 FTIR spectrometer (Perkin Elmer,
189 Waltham, MA, USA) over the range 650 – 4000 cm⁻¹ and at a resolution of 1 cm⁻¹.

190

191 **2.3.4 Molecular modeling**

192 *In vacuo* molecular mechanics calculations were performed using HyperChem version 8.0
193 following the methodology described in the literature (Lopez et al., 2014). In brief, the
194 structures of the individual compounds were first drawn in ChemBioDraw Ultra 13.0. Decameric
195 PVP and PCL oligomers were selected as representative of the polymers. These were then
196 incorporated into HyperChem, and trial structures generated based on preset bond
197 angles/lengths, with all hydrogen atoms explicitly included. Initial minimisations were
198 performed using the MM+ forcefield, followed by a full energetic minimization in AMBER3. Full
199 details may be found in our previous work (Lopez et al., 2014).

200

201 **2.4 In vitro drug release assays**

202 **2.4.1 Preparation of the model for release studies**

203 The design and validation of the PK-Eye model has been previously reported (Awwad et al.,
204 2015). Briefly, the 2-compartment model (see Figure 2) is fabricated from polyacrylate with
205 anterior (200 μ L) and posterior (4.2 mL) cavities. A washer with a Visking membrane (molecular
206 weight cut off: 12 – 14 kDa) separates the two compartments. An inlet port into the posterior
207 cavity near the membrane allows a ocular mimicking flow to be established through the model.
208 An outlet port is present in the anterior cavity of the model which mimics ocular outflow and is
209 used for continuous sampling. An injection port is present in each cavity. Aliquots can be
210 collected from the outlet port of the anterior cavity or from the injection port of the posterior
211 cavity.

212

213 Prior to each experiment, the model was unscrewed and a washer with a fresh Visking
214 membrane placed inside the model. A sample (*ca.* 6 \times 7 mm; mass 7.0 \pm 2.1 mg (PVP) and 5.7 \pm
215 3.4 mg (PCL)) cut from the fiber mat was placed in the posterior cavity and the model was

216 reassembled. For these studies, both anterior and posterior cavities of the model were filled
217 with phosphate buffered saline (PBS; pH 7.4) through the injection port. The inlet port was
218 connected to a 16 channel peristaltic pump and PBS flowed through the model at a flow rate of
219 $2.0 \mu\text{L min}^{-1}$. Samples were collected from the anterior chamber and filtered through a $0.22 \mu\text{m}$
220 filter before analysis using high-performance liquid chromatography (HPLC).

221

222 2.4.2 Quantification of drug release

223 HPLC was used to determine the drug concentration in the aliquots collected from the PK-Eye
224 model. A calibration curve was first constructed for each drug, and the concentrations of
225 unknown samples were back calculated from these data. Samples from each time point were
226 evaluated in triplicate and the mean and standard deviation (S.D.) were determined. Three
227 independent experiments were conducted for each formulation, and the results are reported as
228 mean \pm S.D. Different HPLC methods were developed for each drug (Table 2), together with a
229 bespoke method to permit simultaneous analysis of all three active ingredients (Tables 2 – 4).
230 All analyses were undertaken using a Agilent 1200 series HPLC (Agilent Technologies Inc, Santa
231 Clara, CA, USA) equipped with Chemstation software (Agilent). The rate constants (k) and half-
232 life ($t_{1/2}$) of each drug were calculated by plotting the results in OriginPro. First-order kinetic
233 rate constants (k) were derived from the monoexponential curve and half-lives as $0.693/k$. The
234 rate constants of zero-order release profiles were calculated as concentration–time and half-
235 lives from the initial concentration $[A]$ using $[A]/2k$.

236

237

238 **Table 2:** The HPLC conditions used to analyse drug release.

Properties	ACY	CIP	B12	All 3 drugs
Molecular weight (g/mol)	225.2	331.3	1,355.3	N/A
Water solubility (mg/mL)	2.5 (37 °C) ^a	6.7 (20 °C) ^b	12.5 ^c	N/A
Calibration curve details	500 ug/mL serial diluted to 0.24 ug/mL R ² : 0.995 Slope: 58.3	500 ug/mL serial diluted to 0.49 ug/mL R ² : 0.991 Slope: 64.8	1000 ug/mL serial diluted to 0.49 ug/mL R ² : 0.999 Slope: 6.83	As per individual drugs
Column	Reverse phase Synergi Polar-RP Phenomenex 4 um, 15 cm column	Reverse phase Synergi Polar-RP Phenomenex 4 um, 15 cm column	Reverse phase Synergi Polar-RP Phenomenex 4 um, 15 cm column	Reverse phase Synergi Polar column 250 x 4.6 mm, 4 um, 15 cm column
Wavelength (nm)	254	280	278	See Table 3
Injection volume (µL)	10.0	10.0	10.0	10.0
Flow rate (mL/min)	1.0	1.0	1.0	1.0
Column temperature (°C)	30	30	30	40
Method	Isocratic	Isocratic	Isocratic	Gradient, see Table 4
Buffers	Buffer A: 0.1% ammonium acetate in water; Buffer B: acetonitrile. A:B 95:5 v/v	Buffer A: 2% aqueous acetic acid solution Buffer B: acetonitrile. A:B 84:16 v/v	Buffer A: 70% PBS, pH 7.4; Buffer B: 30% methanol A:B 70:30 v/v	Buffer A as 20 mM PBS, pH 4; Buffer B: acetonitrile See Tables 3-4
Retention time (mins)	3.1	4.7	2.13	ACY: 6.5 CIP: 14.1 B12: 13.5

239 Sources: ^a <http://www.drugbank.ca/drugs/DB00787>; ^b hydrochloride form, (Caco et al., 2008);

240 ^c <http://www.drugbank.ca/drugs/DB00115>

241

242 **Table 3:** The gradient method used to analyse acyclovir, ciprofloxacin and cyanocobalamin simultaneously in HPLC.

Time (mins)	% acetonitrile (v/v)
0	5
4	5
10	40
20	80
20.1	5
25	5

243

244

245 **Table 4:** UV absorbance wavelengths used for the gradient method detailed in Table 3.

Time (mins)	Wavelength (nm)
0	255
11	360
12.75	279

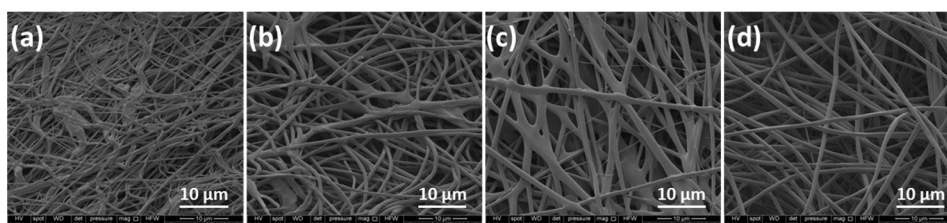
246

247 **3. Results and discussion**

248 **3.1 Fiber production**

249 *3.1.1 PVP fibers*

250 A range of optimization experiments were initially undertaken in which the processing
251 parameters (voltage, polymer concentration, solvent system) were systematically varied. As a
252 result, the conditions listed in Section 2.2 were adopted for further studies. These permitted
253 fibers to be prepared with PVP and all three active ingredients. SEM images of the PVP systems
254 are given in Figure 3, and details of the fiber sizes in Table 5.



255

256 **Figure 3:** SEM images of (a) PVP-ACY; (b) PVP-CIP; (c) PVP-B12; and (d) PVP-ABC (containing all three drugs).

257

258 In all cases, cylindrical fibers can be seen to have formed. For the single-drug fibers, there is
259 evidence for incomplete evaporation of solvent during the electrospinning process, in the form
260 of merged fibers. However, there appears to be no significant amounts of beading present, and
261 the PVP-ABC fibers with all three drugs having been loaded all have smooth cylindrical
262 morphologies with no evidence of any abnormalities.

263

264 No clear trends emerge from the diameter data: there is no obvious relationship between the
265 drug content in the fibers (PVP-ABC > PVP-ACY > PVP-CIP > PVP-B12) and their diameters (PVP-

266 ABC > PVP-B12 > PVP-CIP > PVP-ACY). It is presumed that both the amount of API present in
 267 solution and the effect each has on solution parameters such as viscosity and conductivity
 268 affect the diameter of the fibers obtained, thus leading to these complex patterns. Higher drug
 269 loadings appear to result in somewhat more homogenous distributions of fiber diameters.

270

271 **Table 5:** The diameters of the PVP and PCL fibers prepared.

Fiber	Fiber diameter (nm)	Diameter uniformity (% RSD)
PVP-ACY	267 ± 48	17.2
PVP-CIP	762 ± 159	20.8
PVP-B12	921 ± 232	25.2
PVP-ABC ^a	932 ± 137	14.7
PCL-ACY	623 ± 294	46.9
PCL-CIP	768 ± 210	27.3
PCL-B12	744 ± 267	35.9
PCL-AC ^b	478 ± 104	21.8
PCL-ABC ^a	631 ± 344	54.5

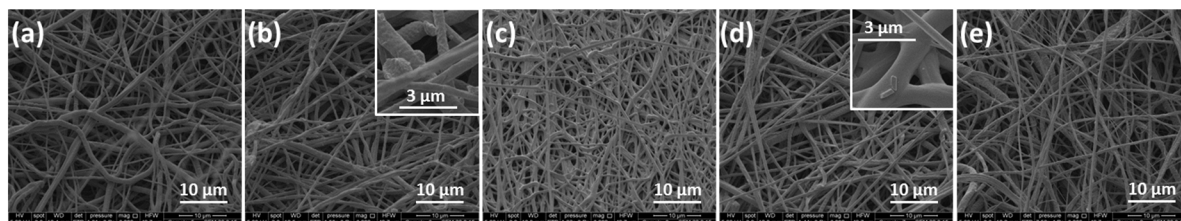
272 ^a Containing all three drugs; ^b containing ACY and CIP.

273

274 3.1.2 PCL fibers

275 PCL fibers could not be formed using the chloroform and DMF solvent system used with PVP
 276 because the resultant solution was too viscous, leading to clogging of the spinneret. A range of
 277 alternatives was explored, and 1:1 v/v chloroform/methanol was found to be suitable for PCL.

278 The fibers produced are depicted in Figure 4, and their diameters summarized in Table 5.



279

280 **Figure 4:** SEM images of (a) PCL-ACY; (b) PCL-CIP; (c) PCL-B12; (d) PCL-AB; and, (e) PCL-ABC

281

282 In all cases, the fibers can be seen to have cylindrical morphologies. The PCL-ACY fibers show
 283 rough and/or wrinkled surfaces in places, and high degrees of curvature. The PCL-CIP sample
 284 has similar morphology, and additionally some particles (presumably of CIP) can be seen on the

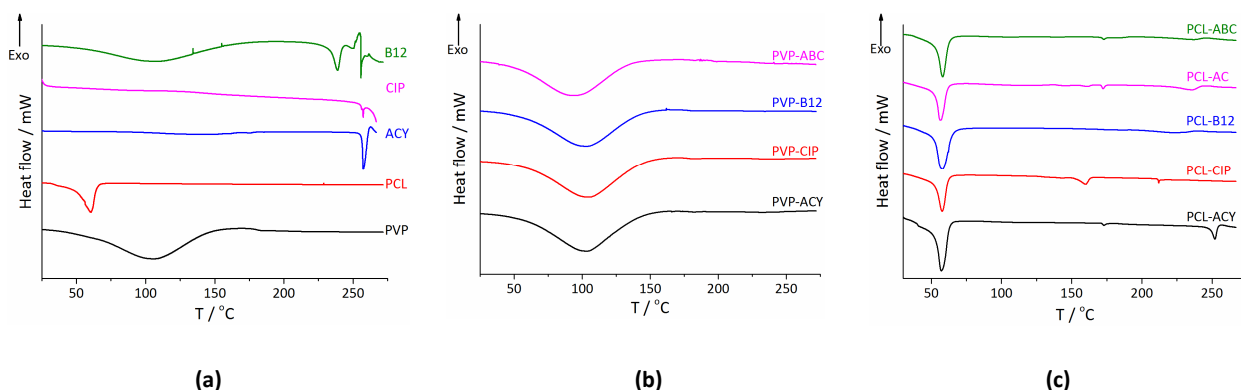
285 fiber surfaces (see Figure 3(b), inset). The PCL-B12 fibers are flattened owing to incomplete
286 solvent evaporation during electrospinning. The PCL-AB fibers are smooth but again some
287 particles can be seen on the fiber surface (Figure 3(d), inset), although these are much smaller
288 and less frequent than those seen with PCL-CIP. PCL-ABC comprises fibers with wrinkled
289 surfaces, presumably as a result of incomplete solvent evaporation during electrospinning. In
290 general, the multi-drug fibers have higher quality than the single-drug systems, being virtually
291 free of particles (a very few may be seen upon very close inspection of the PCL-AB
292 micrographs). As before, there is no direct correlation between the fibers' API content (PCL-ABC
293 > PCL-AC > PCL-ACY > PCL-CIP > PCL-B12) and their diameters (PCL-CIP > PCL-B12 > PCL-ABC >
294 PCL-ACY > PCL-AC). The same is true for the diameter distributions, and the homogeneity of the
295 fiber population cannot be directly related to the API present or the API concentration.

296

297 3.2 Physical form characterisation

298 The fiber sets were investigated using differential scanning calorimetry (DSC) and X-ray
299 diffraction (XRD) to probe the physical form of the drug in the fibers. DSC data are presented in
300 Figure 5.

301



302 **Figure 5:** DSC data for (a) the starting materials, and electrospun fibers of (b) PVP and (c) PCL. Data have been normalised to the
303 most intense peak in each trace for ease of comparison.

304

305 The raw polymers PVP and PCL are amorphous and semi-crystalline respectively. PVP shows a
306 broad endotherm below 150 °C in its thermogram, corresponding to dehydration. PCL exhibits a
307 clear melt at *ca.* 60 °C. The trace for ACY has a shallow endotherm below 175 °C, which might
308 be attributed to dehydration, and then a melt corresponding to the most stable form II (ACY is
309 known to exhibit complex polymorphism (Lutker et al., 2011)) is visible at *ca.* 257 °C. The latter
310 appears to be followed by an exotherm, which might indicate degradation. CIP is also a
311 crystalline material; melting is clearly visible at *ca.* 256 – 257 °C. The DSC data of B12 depict an
312 endothermic peak corresponding to water loss below 150 °C and a complex series of peaks
313 around 220 – 275 °C which are believed to correspond to degradation.

314

315 The drug-loaded PVP fibers are all amorphous: the only distinct features in the DSC traces are
316 broad endotherms corresponding to the loss of water. No melting events can be seen.
317 Considering the PCL systems, in all cases, the PCL melting endotherm is present at *ca.* 57 – 58
318 °C, reduced slightly from the pure polymer value as a result of the plasticizing influence of the
319 small-molecule APIs incorporated. A close inspection of all the DSC traces for the PCL fibers
320 suggests traces of crystallinity are present (see Figure 5(c)); a particularly marked endothermic
321 peak is present for the ACY fibers at 252 °C, indicating the possible presence of form VI of ACY
322 (Lutker et al., 2011).

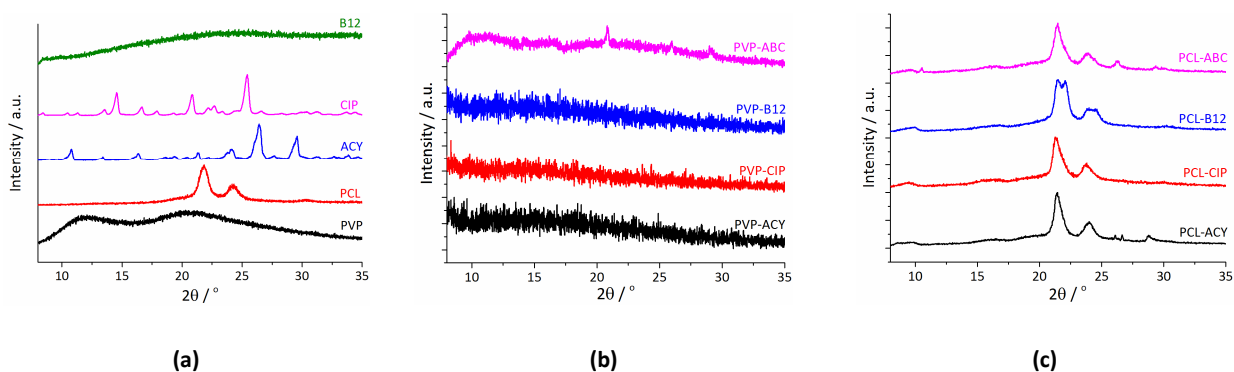
323

324 To investigate further the physical form of the drug in the fibers, X-ray diffraction (XRD)
325 measurements were undertaken (see Figure 6). The CIP and ACY starting materials are
326 evidently crystalline, while B12 is amorphous. PVP is also amorphous, and PCL is semi-
327 crystalline in nature. These observations all concur with the literature. The PVP fibers largely
328 appear to contain the drug in the amorphous physical form, as proven by a lack of Bragg
329 reflections in the patterns. For PVP-ABC however, there is evidence for some crystalline

330 material present, with small Bragg reflections (believed to correspond to ACY) clearly visible
331 between 20 and 30°. The XRD patterns of the PCL fibers show the distinctive reflections of PCL,
332 with some additional peaks also visible. These are few and low intensity, meaning that the
333 nature of these is not completely clear, but those in PCL-ABC correspond closely to those of the
334 ACY raw material. In any case, it is clear that some crystalline material is present, in good
335 agreement with the DSC data.

336

337



338 **Figure 6:** XRD patterns for (a) the starting materials, and the fibers of (b) PVP and (c) PCL produced. The intensities have been
339 normalised to the most intense reflection in each pattern for ease of comparison.

340

341 **3.3 Drug-polymer interactions**

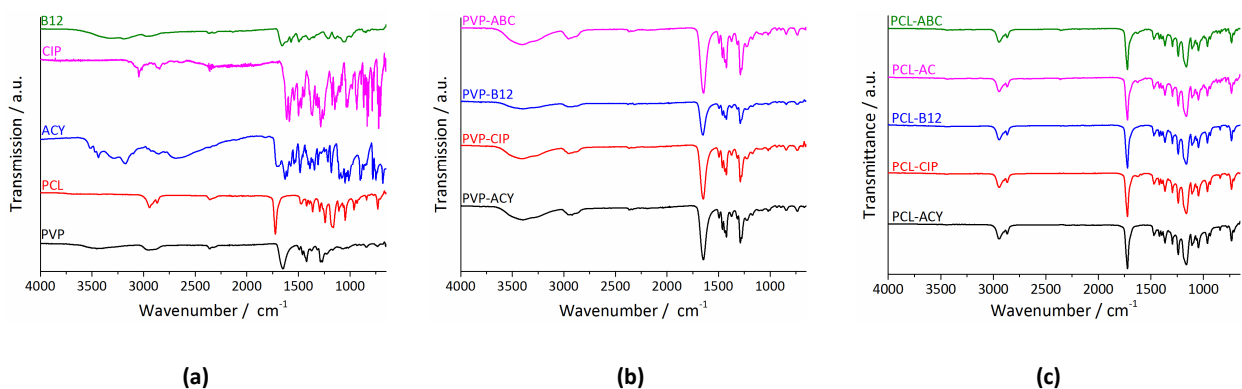
342 The interactions between the drug and polymer were investigated using both IR spectroscopy
343 and molecular modelling.

344

345 *3.3.1 IR spectroscopy*

346 IR spectra of the raw materials and fibers are presented in Figure 7.

347



348 **Figure 7:** IR spectra for (a) the starting materials, and electrospun fibers of (b) PVP and (c) PCL.

349

350 An inspection of the data in Figure 7 reveals the IR spectra of the fibers to be dominated by the
 351 features of the polymer carrier. The spectra in Figure 7(b) are indistinguishable from that of
 352 PVP, and similarly those in Figure 7(c) show no significant differences from the PCL spectrum.
 353 This is not surprising given that the diagnostic vibration bands for the APIs occur over the same
 354 wavenumber range as those of the polymers (see Figure 7(a)), and also in many cases the drug
 355 loading is low (< 5 % w/w). As a result, it is not possible to say from these data whether there
 356 are any interactions between the APIs and polymers, although from their chemical structures it
 357 is thought that such interactions are likely to arise. To probe these possibilities in more detail,
 358 simple molecular models were constructed.

359

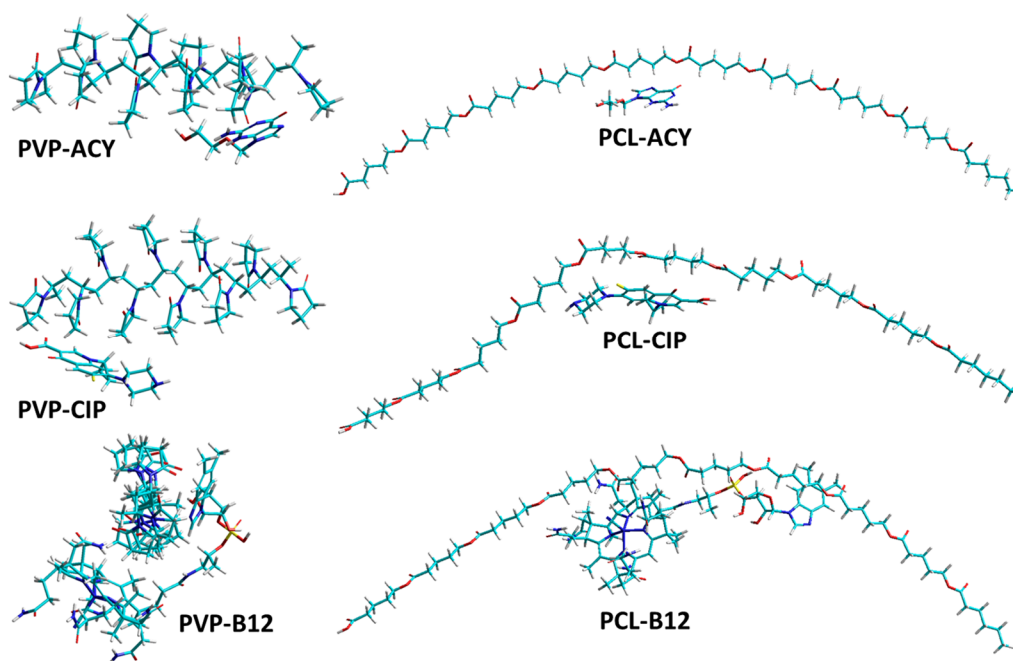
360 3.3.2 Molecular modeling

361 To evaluate the interactions between the drug molecules and the polymer in the fibers,
 362 molecular models of the APIs and polymers and the various combinations of drug and polymer
 363 were constructed using the HyperChem software. A PVP or PCL decamer (10-mer) were taken
 364 as representative of the polymers, and both these structure and those of the APIs were
 365 optimized. Suitable combinations of the energetically minimized structures were merged to
 366 create drug-polymer complexes. The drug-polymer composites were then themselves
 367 minimized, with the results shown in Figure 8.

368

369 The energetic contributions to the overall steric energy for both the drug-polymer complexes
370 and the individual API and PVP or PCL decamers are presented in Table 6. Stabilization of the
371 complexes is indicated by a negative difference (ΔE) between the total steric energy of the
372 complex and the sum of the total steric energies of the individual molecules. The data reveal
373 that all the drug/polymer complexes have negative ΔE values, demonstrating the possibility for
374 favorable secondary interactions to occur between the fiber components. The ΔE values for the
375 PVP systems are more negative than those with PCL, suggesting stronger interactions arise in
376 the former case.

377



378

379 **Figure 8:** Energy minimized structures of the polymer-drug complexes. The polymer is at the top of each image, and the drug at
380 the bottom.

381

382 **Table 6:** The energetics of the optimized geometries in the polymer-drug complexes.

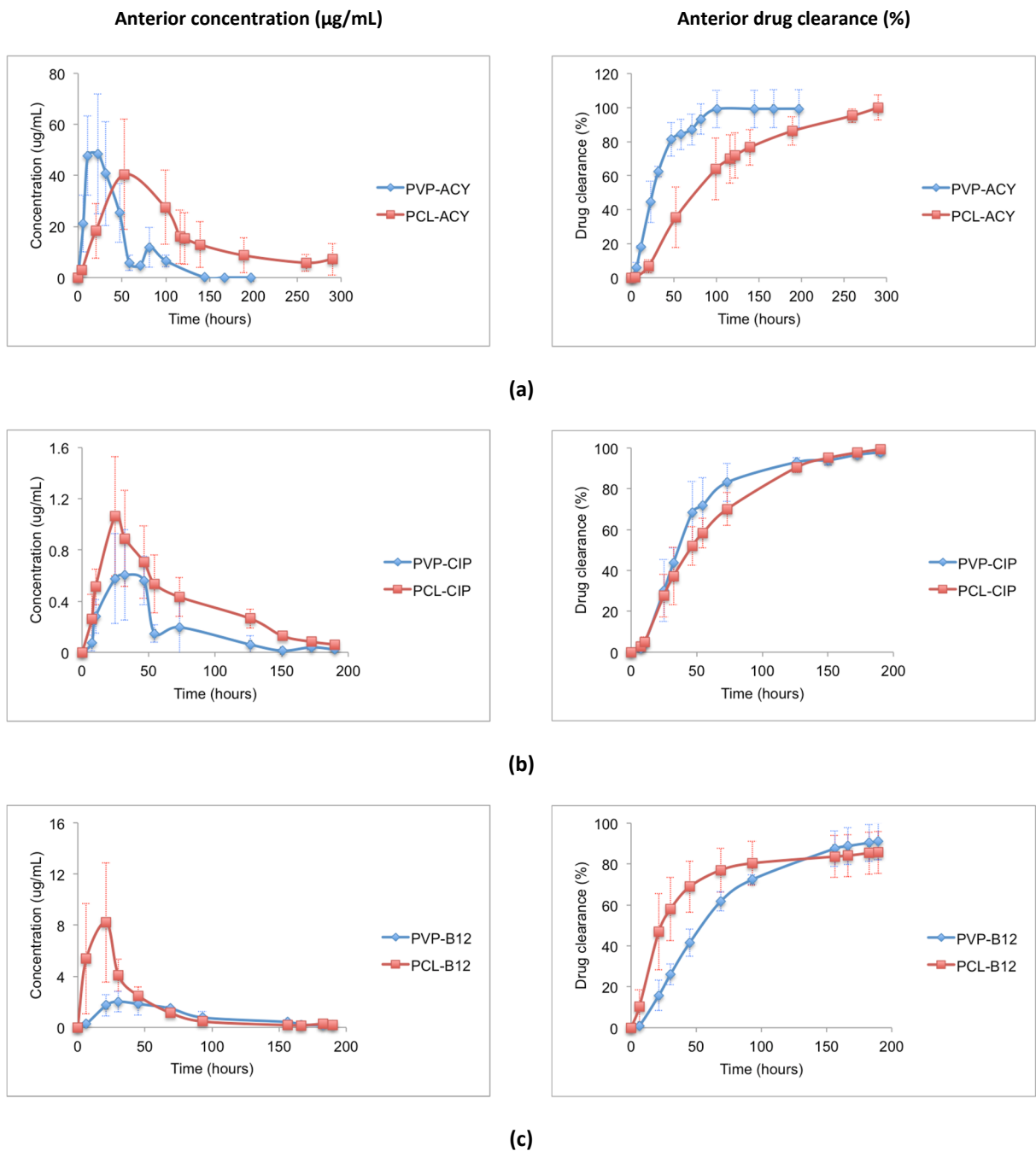
System	Minimised energy contributions / kcal mol ⁻¹						
	Bond stretching	Bond angle	Torsional	van der Waals	H-bonding	ΣE	ΔE
ACY	0.296	3.150	1.002	1.172	-0.051	5.569	-
CIP	0.988	99.988	9.139	8.057	-0.006	118.166	-
B12	7.047	174.957	58.893	0.739	-0.301	241.336	-
PVP	4.337	82.689	46.017	-12.788	0.000	120.255	-
PCL	0.675	2.911	0.012	8.780	0.000	12.378	-
PVP-ACY	4.550	84.337	47.984	-24.382	-0.094	112.395	-13.429
PVP-CIP	5.137	179.346	56.773	-17.580	-0.006	223.669	-14.753
PVP-B12	11.688	257.214	103.517	-39.641	-1.036	331.742	-29.848
PCL-ACY	0.922	6.304	1.100	2.305	-0.136	10.496	-7.451
PCL-CIP	1.547	102.621	10.114	2.693	-0.039	116.936	-13.609
PCL-B12	9.844	177.507	55.995	-11.361	-0.412	231.573	-22.141

383

384 **3.4 Drug release**

385 All experiments were performed using PBS (pH 7.4) as a vitreous substitute in the PK-Eye model
 386 to permit time-efficient screening of the various formulations. Samples were taken from the
 387 anterior cavity outflow, and the drug concentrations in these aliquots were used to calculate
 388 the content in the posterior cavity. A steady state condition is established relatively quickly for
 389 low molecular weight drugs so the solution drug concentrations are the same in both cavities.
 390 This assumption has been validated in a previous study (Awwad et al., 2015). The release data
 391 from the single drug-loaded fibers are given in Figure 9, and a summary of the calculated
 392 parameters for all the fibers can be found in Table 7.

393



394 **Figure 9:** Release kinetics of PVP and PCL fibers loaded with (a) ACY, (b) CIP, and (c) B12 in the PK-Eye. All results are displayed
 395 as mean \pm S.D. from three independent experiments. The anterior drug concentration is shown on the left, and the drug
 396 clearance on the right.

397

398 3.4.1 ACY release

399 ACY is a potent systemic antiviral that is used for acute retinal necrosis because of its selectivity
 400 against the herpes simplex virus and the varicella-zoster virus. Direct ocular application has
 401 been found to be beneficial in controlling this type of aggressive retinitis within the first 48

402 hours, when systemic ACY has not reached the required therapeutic levels in the retina (Damico
403 et al., 2012). Intravitreal anti-virals have been used since the early 1990s for the treatment of
404 CMV and have also been used in acute retinitis necrosis (Tam et al., 2012). The intravitreal
405 delivery of acyclovir is safe and well tolerated by rabbit retina, but its half-life *in vivo* is short
406 (Damico et al., 2012; John, 2007; Peyman et al., 2008).

407

408 When the ACY loaded PVP fibers were tested in the PK-Eye, the drug concentration displayed a
409 mono-exponential decrease following first order kinetics (Figure 9(a)). The fibers led to an ACY
410 residence time of 0.95 ± 0.12 days with a maximum concentration of 48.5 ± 23.5 $\mu\text{g}/\text{mL}$
411 observed after 23 hours. The PCL-ACY fibers gave a residence time of 3.67 ± 1.4 days, with the
412 highest concentration of 40.4 ± 21.6 $\mu\text{g}/\text{mL}$ seen after 88 h. When other anti-viral drugs (*e.g.*
413 ganciclovir) were tested in the preliminary stages of PK-Eye development, their half-life was
414 seen to be only a few hours (data not shown) which is consistent with human and animal data.
415 Once in solution, low molecular weight drugs are eliminated quickly from the human eye and
416 this is seen in the model. The data indicate the fibers prolong the release of acyclovir over 1 – 4
417 days. For both sets of materials, around 70 – 80 % of the theoretical loading is released. PVP is
418 more soluble than PCL in water, and thus might be expected to give much more rapid drug
419 release. However, in the PK-Eye model, the small volumes and flow rates involved mean that
420 the dissolution of PVP is slow, and it is to be presumed that a balance of polymer solubility and
421 the strength of the polymer/drug interactions (the latter are stronger for PVP) influence the
422 release kinetics.

423

424 3.4.2 CIP release

425 CIP has a wide spectrum of anti-Gram negative bactericidal activities and has been used in the
426 treatment of many clinical infections (Hui et al., 2004). It is considered effective for the

427 treatment of intraocular infections and prophylaxis, and has also been found to be non-toxic to
428 rabbit retina (Peyman et al., 2008). CIP has a molecular weight of 331.3 g/mol, and a terminal
429 half-life of 4 – 5 days in rabbit eyes has been reported (Haghjou et al., 2013; Liu et al., 1998;
430 Peyman et al., 2008). However, other studies report a half-life of 2.2 hours and 1 hour in
431 normal and aphakic eyes (from which the lens has been removed) respectively (Pearson et al.,
432 1993). Similar to ACY, CIP has poor water solubility at low pH. It is almost insoluble in neutral
433 pH, while its solubility increases with increasing pH value (approximately 30 mg/mL at pH 11).

434

435 Both the PVP-CIP and PCL-CIP fibers showed prolonged half-lives, with CIP clearance following
436 first order kinetics (Figure 9(b)). PVP-CIP displayed an ocular residence time of approximately
437 1.36 ± 0.4 days, with the highest drug concentration reaching 0.61 ± 0.35 $\mu\text{g/mL}$ at 32 hours. It
438 took about 190 hours (approx. 8 days) for no drug to be detectable in the outflow liquid by
439 HPLC. PCL-CIP showed a slight extension of half-life as compared to PVP-CIP, with a residence
440 time of 2.1 ± 0.68 days. The highest concentration observed was 1.06 ± 0.47 $\mu\text{g/mL}$ at 24 hours
441 and after around 190 hours release no further release was seen. Only 3.5 – 4 % of the
442 theoretical loading was seen to be released with both polymers. This can perhaps be ascribed
443 to the low solubility of CIP at pH 7.4, and the strong interactions between this API and both PVP
444 and PCL indicated by molecular modelling. As for with ACY, we believe that a balance of drug
445 and polymer solubility and drug/polymer interactions govern the release process.

446

447 *3.4.3 B12 release*

448 Deficiencies of cyanocobalamin, vitamin B12, have been connected with burning pain and
449 foreign body sensations in the eyes (Shetty et al., 2015) and visual acuity (Doan and Chao,
450 2014). B12 is also used in some eye drops (Santen Pharmaceutical Co, 2013). The PVP-B12 and
451 PCL-B12 fibers (Figure 9(c)) presented the highest concentration of drug after around 30 hours

452 (2.01 ± 0.79 µg/mL) and 21 hours (8.21 ± 4.7 µg/mL) respectively. B12 clearance from PK-Eye
453 with both sets of fibers followed first order kinetics, with a mono-exponential decrease. PVP-
454 B12 had a slightly slower rate of release than PCL-B12, with observed residence times of 2.32 ±
455 0.78 days and 0.80 ± 0.21 days respectively. This can possibly be ascribed to the stronger
456 drug/polymer interactions calculated with PVP. Around 25% of the theoretical loading was
457 released into solution.

458

459 3.4.4 Multidrug systems

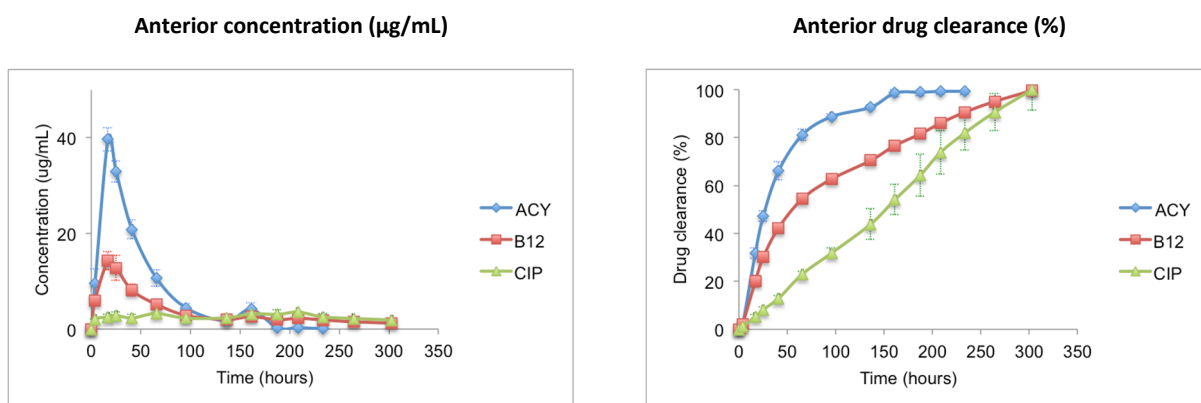
460 The PCL-ABC fibers were also tested in the PK-Eye (Figure 10). The highest concentrations of
461 ACY, B12 and CIP detected in the model were around 39.7 ± 2.4 µg/mL (17 hours), 14.3 ± 1.9
462 µg/mL (17 hours) and 3.6 ± 0.12 µg/mL (208 hours) respectively. With these fibers, both ACY
463 and B12 release followed first order kinetics while CIP followed zero order kinetics. The
464 residence times for ACY, B12 and CIP were 1.4 ± 0.08 days, 6.16 ± 0.6 days and 2.99 ± 0.19 days.
465 In terms of the theoretical loading, *ca.* 33 %, 50 % and 100 % of ACY, CIP, and B12 were
466 released respectively. The PCL-ABC fibers release a greater percentage of their B12 and CIP
467 loadings than the single-drug analogues, but rather less of the ACY loading. The percentages
468 released correlate with the API solubilities (B12 > CIP > ACY), but inversely with the strength of
469 interactions between the API and PCL. The reasons for this are not entirely clear, but it may be
470 that the presence of multiple APIs in the fibers diminishes the importance of the PCL/API
471 interactions and causes the solubility factor to become dominant in determining the amount
472 released.

473

474

475

476



477 **Figure 10:** Drug release kinetics from (a) PVP-ABC and (b) PCL-ABC in the PK-Eye. All results are displayed as mean \pm S.D. from
 478 three independent experiments.

479

480 **Table 7:** A summary of the kinetic parameters determined using the PK-Eye model.

System	Cumulative mass released (μg)	Rate constant (h^{-1})	Half-life (d)	% of theoretical loading released
PVP-ACY	248.4 ± 27.7	0.031 ± 0.004	0.95 ± 0.12	71.0
PVP-CIP	3.82 ± 0.05	0.022 ± 0.008	1.36 ± 0.4	3.51
PVP-B12	19.1 ± 1.9	0.014 ± 0.005	2.32 ± 0.8	26.2
PCL-ACY	543.7 ± 40.4	0.009 ± 0.004	3.67 ± 1.4	81.7
PCL-CIP	8.15 ± 0.1	0.015 ± 0.005	2.02 ± 0.7	3.75
PCL-B12	34.3 ± 4.1	0.038 ± 0.010	0.80 ± 0.2	23.3
PCL-ABC				
ACY	208.7 ± 12.4	0.021 ± 0.02	1.4 ± 0.08	33.2
CIP	94.0 ± 8.01	0.32 ± 0.03	6.16 ± 0.6	49.8
B12	125.6 ± 0.8	0.01 ± 0.0006	2.99 ± 0.19	99.9

481

482 **4. Conclusions**

483 Two series of electrospun fibers were successfully prepared for ocular drug delivery
 484 applications. These were loaded with the acyclovir, ciprofloxacin, and cyanocobalamin, or
 485 combinations of these active ingredients. One set of fibers was prepared with
 486 poly(vinylpyrrolidone) (PVP) and the other with poly(caprolactone) (PCL). The fibers formed had
 487 generally cylindrical morphologies, although there was some evidence of residual solvent being
 488 present in the PVP systems, and for drug particles in the case of PCL. The PVP fibers appeared
 489 to contain the drug in the amorphous physical form, while some crystalline nature was retained
 490 in the PCL materials. The active ingredients and polymers can form favourable intermolecular

491 bonds when combined. Drug release studies were performed in a representative *in vitro* model
492 of the eye, and the fiber formulations found to result in half-lives of up to 6 days. These are
493 much greater than those of pure small molecule drug solutions in the same model, thus
494 suggesting that the strategy adopted in this work is a viable one for the generation of
495 intravitreal implants.

496 **5. Acknowledgements**

497 AB thanks the Russian Government for the award of a Presidential Scholarship permitting her to
498 study at UCL. JJQ would like to thank the University of Alcalá for the award of a grant in the
499 Research Training Framework, and SA gratefully acknowledges funding from the UCL Overseas
500 Research Student Fund. We are further thankful for funding from: the National Institute of
501 Health Research (NIHR) Biomedical Research Centre at Moorfields Eye Hospital NHS Foundation
502 Trust and UCL Institute of Ophthalmology; Moorfields Special Trustees; the Helen Hamlyn Trust
503 (in memory of Paul Hamlyn); the Medical Research Council; Fight for Sight; and, the
504 Freemasons Grand Charity. S.B. is grateful for funding from the UK Engineering & Physical
505 Sciences Research Council (EPSRC) for the EPSRC Centre for Innovative Manufacturing in
506 Emergent Macromolecular Therapies (EP/I033270/1). Financial support from the consortium of
507 industrial and governmental users for the EPSRC Centre is also acknowledged. Finally, we thank
508 Mr John Frost for all his advice and help to fabricate prototypes of the PK-Eye and Mr David
509 McCarthy for SEM images.

510

511 **6. References**

512 Awwad, S., Lockwood, A., Brocchini, S., Khaw, P.T., 2015. The PK-Eye: A novel in vitro ocular
513 flow model for use in preclinical drug development. *J. Pharm. Sci.* 104, 3330-3342.

514 Bottino, M.C., Arthur, R.A., Waeiss, R.A., Kamocki, K., Gregson, K.S., Gregory, R.L., 2014.
515 Biodegradable nanofibrous drug delivery systems: Effects of metronidazole and ciprofloxacin on
516 periodontopathogens and commensal oral bacteria. *Clin. Oral Invest.* 18, 2151-2158.

517 Caco, A.I., Varanda, F., Pratas de Melo, M.J., Dias, A.M.A., Dohrn, R., Marrucho, I.M., 2008.
518 Solubility of antibiotics in different solvents. 1. Hydrochloride forms of tetracycline,
519 moxifloxacin, and ciprofloxacin. *Ind. Eng. Chem. Res.* 47, 8083-8089.

520 Chakraborty, S., Liao, I.C., Adler, A., Leong, K.W., 2009. Electrohydrodynamics: A facile
521 technique to fabricate drug delivery systems. *Adv. Drug Del. Rev.* 61, 1043-1054.

522 Chen, H.-M., Yu, D.-G., 2010. An elevated temperature electrospinning process for preparing
523 acyclovir-loaded PAN ultrafine fibers. *J. Mater. Process. Tech.* 210, 1551-1555.

524 Damico, F.M., Scolari, M.R., Ioshimoto, G.L., Takahashi, B.S., Cunha-Jr, A.S., Fialho, S.L., Bonci,
525 D.M., Gasparin, F., Ventura, D.F., 2012. Vitreous pharmacokinetics and electroretinographic
526 findings after intravitreal injection of acyclovir in rabbits. *Clinics* 67, 931-937.

527 Doan, T., Chao, J.R., 2014. A woman with bilateral maculopathy and acquired vitamin B12
528 deficiency. *Eye* 28, 905-906.

529 El-Shanshory, A.A., Chen, W., El-Hamshary, H.A., Al-Deyab, S.S., Mo, X., 2015. Antibacterial
530 ciprofloxacin hydrochloride incorporated PVA/regenerated silk fibroin nanofibers composite for
531 wound dressing applications. *J. Controlled Release* 213, E8-E9.

532 Haghjou, N., Abdekhodaie, M.J., Cheng, Y.-L., 2013. Retina-choroid-sclera permeability for
533 ophthalmic drugs in the vitreous to blood direction: quantitative assessment. *Pharm. Res.* 30,
534 41-59.

535 Hui, M., Kwok, A.K.H., Pang, C.P., Cheung, S.W., Chan, R.C.Y., Lam, D.S.C., Cheng, A.F.B., 2004.
536 An in vitro study on the compatibility and precipitation of a combination of ciprofloxacin and
537 vancomycin in human vitreous. *Br. J. Ophthalmol.* 88, 218-222.

538 John, B., 2007. Intravitreal injections. *Kerala J. Ophthalmology* 200, 46-57.

539 Jouan, M., Katlama, C., 1999. Management of CMV retinitis in the era of highly active
540 antiretroviral therapy. *Int. J. Antimicrob. Agents* 13, 1-7.

541 Kuno, N., Fujii, S., 2011. Recent advances in ocular drug delivery systems. *Polymers* 3, 193-221.

542 Liu, W., Feng, Q., Perkins, R., Drusano, G., Louie, A., Madu, A., Mian, U., Mayers, M., Miller,
543 M.H., 1998. Pharmacokinetics of sparfloxacin in the serum and vitreous humor of rabbits:
544 Physicochemical properties that regulate penetration of quinolone antimicrobials. *Antimicrob.*
545 *Agents Chemother.* 42, 1417-1423.

546 Liu, Z.P., Cui, L., Yu, D.G., Zhao, Z.X., Chen, L., 2014. Electrospayed core-shell solid dispersions
547 of acyclovir fabricated using an epoxy-coated concentric spray head. *Int. J. Nanomedicine* 9,
548 1967-1977.

549 Lopez, F.L., Shearman, G.C., Gaisford, S., Williams, G.R., 2014. Amorphous formulations of
550 indomethacin and griseofulvin prepared by electrospinning. *Mol. Pharm.* 11, 4327-4338.

551 Lutker, K.M., Quinones, R., Xu, J., Ramamoorthy, A., Matzger, A.J., 2011. Polymorphs and
552 hydrates of acyclovir. *Journal of pharmaceutical sciences* 100, 949-963.

553 Macocinschi, D., Filip, D., Vlad, S., Cernatescu, C., Tuchilus, C.G., Gafitanu, C.A., Dumitriu, R.P.,
554 2015. Electrospun/electrospayed polyurethane biomembranes with ciprofloxacin and clove oil
555 extract for urinary devices. *J. Bioact. Compat. Polym.* 30, 509-523.

556 Pearson, P.A., Hainsworth, D.P., Ashton, P., 1993. Clearance and distribution of ciprofloxacin
557 after intravitreal injection. *Retina* 13, 326-330.

558 Peyman, G.A., Lad, E.M., Moshfeghi, D.M., 2008. Intravitreal injection of therapeutic agents. .
559 *Retina* 29, 875-912.

560 Pollard, R., 1996. CMV retinitis: ganciclovir/monoclonal antibody. *Antiviral Res.* 29, 73-75.

561 Santen Pharmaceutical Co, L., 2013. <http://www.santen.com/en/news/20130509.pdf>.

562 Shetty, R., Deshpande, K., Ghosh, A., Sethu, S., 2015. Management of ocular neuropathic pain
563 with Vitamin B12 supplements: A case report. *Cornea* 34, 1324-1325.

564 Short, B., 2008. Safety evaluation of ocular drug delivery formulations: techniques and practical
565 considerations. *Tox. Path.* 36, 49-62.

566 Song, F., Wang, X.L., Wang, Y.Z., 2011. Poly (N-isopropylacrylamide)/poly (ethylene oxide) blend
567 nanofibrous scaffolds: thermo-responsive carrier for controlled drug release. *Colloids Surf. B* 88,
568 749-754.

569 Tam, P.M.K., Hooper, C.Y., Lightman, S., 2012. Antiviral selection in the management of acute
570 retinal necrosis. *Clin. Ophthalmol.* 4, 11-20.

571 Unnithan, A.R., Barakat, N.A.M., Pichiah, P.B.T., Gnanasekaran, G., Nirmala, R., Cha, Y.-S., Jung,
572 C.-H., El-Newehy, M., Kim, H.Y., 2012. Wound-dressing materials with antibacterial activity from
573 electrospun polyurethane-dextran nanofiber mats containing ciprofloxacin HCl. *Carbohydrate*
574 *Polym.* 90, 1786-1793.

575 Williams, G.R., Chatterton, N.P., Nazir, T., Yu, D.-G., Zhu, L.-M., Branford-White, C.J., 2012.
576 Electrospun nanofibers in drug delivery: recent developments and perspectives. *Therap. Del.* 3,
577 515-533.

578 Yu, D.-G., Branford-White, C., Li, L., Wu, X.-M., Zhu, L.-M., 2010. The compatibility of acyclovir
579 with polyacrylonitrile in the electrospun drug-loaded nanofibers. *J. Appl. Polym. Sci.* 117, 1509-
580 1515.

581 Yu, D.G., Zhu, L.M., Branford-White, C.J., Yang, J.H., Wang, X., Li, Y., Qian, W., 2011. Solid
582 dispersions in the form of electrospun core-sheath nanofibers. *Int. J. Nanomedicine* 6, 3271-
583 3280.

584