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Influence of deposition temperature of thermal ALD deposited Al₂O₃ films on silicon surface passivation

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The effect of deposition temperature (T_{dep}) and subsequent annealing time (t_{anl}) of atomic layer deposited aluminum oxide (Al₂O₃) films on silicon surface passivation (in terms of surface recombination velocity, SRV) is investigated. The pristine samples (as-deposited) show presence of positive fixed charges, Q_F . The interface defect density (D_{it}) decreases with increase in T_{dep} which further decreases with t_{anl} up to 100s. An effective surface passivation (SRV < 8 cm/s) is realized for $T_{\text{dep}} \geq 200$ °C. The present investigation suggests that low thermal budget processing provides the same quality of passivation as realized by high thermal budget process (t_{anl} between 10 to 30 min). © 2015 Author(s). All article content, except where otherwise noted, is licensed under a Creative Commons Attribution 3.0 Unported License. [<http://dx.doi.org/10.1063/1.4922267>]

I. INTRODUCTION

Silicon (Si) is the most widely used substrate for solar cell applications due to its well established technology.^{1,2} The solar cell efficiency is limited due to several losses such as lattice thermalisation loss, sub-band gap loss, losses associated with electrical contacts, recombination loss etc. The recombination losses occur both in the bulk region as well as at the semiconductor surface (due to dangling bonds).³ To make the solar cell economically viable, one way is to reduce the Si wafer thickness (in terms of gm/W usages and simultaneously increase in device efficiency). In thinner wafers, both surfaces are close to area of carrier generation and their collection and therefore, are prone to enhanced recombination losses which have detrimental effect on device performance. The losses at the semiconductor interfaces or surfaces can be suppressed by surface passivation for which two strategies exist and are well appraised in literature. The first strategy is based on the reduction of the density of electronic states at the surface and the second is based on significant reduction of one type of charge (electron/hole) carrier from the surface by an internal electric field.⁴⁻¹⁰ The interface defect density can be reduced by chemical route where atomic hydrogen or a halogen atom is, generally, attached with the unsaturated Si (dangling) bonds. Consequently surface is passivated¹¹ and this process is referred as chemical passivation. On the other hand, fixed charges (both in bulk and at interface) present in a dielectric layer repel the charges of same polarity at the Si interface deep into the bulk Si and consequently the recombination of the photo-generated carriers reduces. This is called field-effect passivation. An excellent surface passivation is a prerequisite for realization of next-generation high efficiency silicon solar cells. Minority carrier lifetime is the measure of surface passivation effectiveness and is generally quantified in terms of surface recombination velocity (SRV) which can be deduced from the measured minority carrier lifetime (τ_{eff}).

Thermally grown silicon di-oxide (SiO₂) is most widely used for silicon surface passivation which requires high temperature processing. In this situation, bulk lifetime degradation associated with high thermal budget cannot be ruled out.¹² Besides SiO₂, other dielectric layers such Si_xN_y:H, SiC and a-Si:H are also used for surface passivation.¹³ Recently, aluminum oxide (Al₂O₃)



films show high quality surface passivation for both n- and p-Si.^{5-7,9} Al₂O₃, a dielectric, has large band gap (8.8 eV) and its films have excellent thermal and chemical stability and good adhesion with silicon.¹⁴⁻¹⁶ Al₂O₃ films can be deposited by several techniques such as molecular beam epitaxy (MBE),¹⁷ chemical vapor deposition (CVD),¹⁸⁻²⁰ plasma enhanced chemical vapor deposition (PECVD),^{21,22} sputtering²³ and atomic layer deposition (ALD).^{4-7,9,24} ALD being a conformal deposition process provides high-quality thin films with precise control over the thickness.^{9,25}

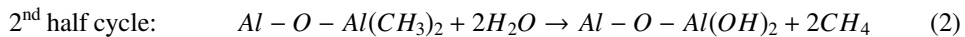
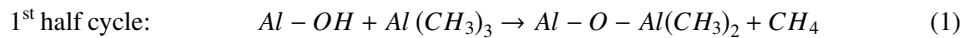
The best reported SRV values for Al₂O₃ films deposited by ALD process are made by suitable combination of deposition temperature; T_{dep} ≥ 200 °C and post deposition annealing temperature (T_{ani}) between 400-450 °C with annealing time (t_{ani}) in the range 10 to 30 min.⁴⁻⁷ However, these studies are scattered and are made on different type and quality materials with high thermal budget. However, literature in low thermal budget annealing process using RTP with less annealing time is very few.^{9,26}

In this paper, a systematic study is made wherein Al₂O₃ films are deposited using thermal ALD process at different deposition temperature. The influence of T_{dep} on silicon surface passivation properties is investigated. Low thermal budget rapid thermal process (RTP) is used to optimize the post deposition annealing time at a fixed annealing temperature (= 400 °C) and the minority carrier lifetime is measured to see the role of the process parameters on surface passivation. Capacitance-voltage (C-V) and X-ray photoelectron spectroscopy (XPS) measurements are performed to understand the mechanism of surface passivation.

II. EXPERIMENTAL DETAILS

Al₂O₃ films are grown on float zone (FZ) chemically mechanically polished (CMP) <100> oriented n-type single crystalline silicon of 5 ± 0.5 Ωcm resistivity having 325 ± 10 μm thickness. To ensure identical surface, electronic and optical properties; the samples are cut from the 100 mm diameter silicon wafer using laser scribe (YAG-50 series, M/s Argus, China). Each sample is a quarter of the larger wafer. The samples are cleaned in piranha solution (H₂SO₄:H₂O₂ :: 4:1 by volume) for 15 min followed by dip in 5% HF solution for 2 min.

The films are deposited in thermal ALD reactor (Model: R200, M/s Picosun, Finland) using trimethylaluminum [TMA, Al(CH₃)₃] (M/s SAFC, Hitech, UK) and H₂O as precursors for aluminum and oxygen sources respectively. Each deposition cycle consists of two half cycles; one TMA pulse and one H₂O pulse and each step is separated by a nitrogen purge to remove un-reacted excessive precursors. The desired layer thickness can be obtained simply by repeating the number of ALD cycles. The surface chemistry during the first and the second half cycles of thermal ALD process can be described by the following equations respectively.²⁷



Al₂O₃ films are deposited on one side (for C-V) as well as on both the sides (for lifetime) of the wafer for a fixed number of precursors cycles (= 300) with the deposition temperature variation (= 100 °C, 200 °C and 300 °C; and the samples are denoted as S₁₀₀, S₂₀₀ and S₃₀₀ respectively). The films are annealed at 400 °C for different annealing time (t_{ani} = 90 s, 105 s and 120 s) in nitrogen (N₂) ambient using rapid thermal process (RTP Model: AS-one 150, M/s Annealsys, France).

The thickness (d_{Al₂O₃}) and refractive index (n_{Al₂O₃}) of the films are measured by spectroscopic ellipsometer (Model: M2000, M/s J.A. Wollam Co. Inc., USA). Minority carrier lifetime is measured by two different techniques; Sinton's lifetime tester (Model: WCT-120, M/s Sinton Inc., USA) and microwave photoconductance decay technique (μ-PCD, Model: WT 2000 PV, M/s Semilab Zrt, Hungary). In the first technique, injection level dependence (Δn) of τ_{eff} in a large range (10¹³ < Δn < 10¹⁶ cm⁻³) is measured that gives an average value of the parameter whereas μ-PCD operates at a fixed injection level (~ 1.2 × 10¹³ cm⁻³). However, the advantage of μ-PCD is that it gives the τ_{eff} map, from which the uniformity of lifetime across the sample surface could be seen. Both the systems give almost comparable τ_{eff} provided the injection levels are matched.¹⁰ Fourier transform infrared spectroscopy (FTIR, Model 2000, M/s Perkin Elmer spectrometer, USA) is used

to know the different bonding configurations in the film. The spectra are recorded in absorption mode. C-V measurements are carried out to find out the interface properties of Al₂O₃/Si and the data is used to evaluate flat-band voltage (V_{FB}), fixed charge density (Q_F) and interface defect density (D_{it}). For C-V measurements, the metal-insulator-semiconductor (MIS) structure is made by depositing aluminum dots (area $\sim 0.02\text{cm}^2$) through a shadow mask on the front side and fully covered back side using an e-beam evaporation system (M/s Hind Hivac, India) and the measurements are done with an impedance/gain phase analyzer (Model: 1260, M/s Solartron, UK) at 1 MHz. XPS measurements (Model: 0520, M/s Omicron nanotechnology) are carried out to do surface analysis and to get information about the bonding that may provide vital information about the surface passivation mechanism. The system is operated at a base pressure of 10^{-11} mbar, where spectra are recorded using Mg-K $_{\alpha}$ as an X-ray source.

III. RESULTS AND DISCUSSIONS

Film thickness and Refractive index

The film thickness and refractive index of as-deposited samples S₁₀₀, S₂₀₀ and S₃₀₀ are given in Table I. To check the thickness homogeneity, $d_{\text{Al}_2\text{O}_3}$ are measured at different locations on the samples. The variation in $d_{\text{Al}_2\text{O}_3}$ across the sample (from edge to center) is less than $\pm 1\%$. This shows that the films are highly uniform. Table I show the $d_{\text{Al}_2\text{O}_3}$ and $n_{\text{Al}_2\text{O}_3}$ of as-deposited samples deposited at different T_{dep} for fixed 300 cycles along with the estimated errors. It can be seen that $d_{\text{Al}_2\text{O}_3}$ increases with T_{dep} . This is due to the dependence of the reaction kinetics on deposition temperature. At low T_{dep} , growth rate is slow due to slower reaction kinetics primarily associated with thermal activation barrier.^{28,29} The refractive index also increases from 1.50 to 1.62 with increase in the deposition temperature. Increase in $n_{\text{Al}_2\text{O}_3}$ may be due to the dense and compact films at higher temperature. The refractive index of S₁₀₀ is low in comparison to the films S₂₀₀ and S₃₀₀. It is reported that at lower deposition temperature, the hydroxyl surface coverage on Al₂O₃ surface is high which may results in the lower density of the film.²⁷ The low refractive index of a film as compared to its bulk counterpart may be either due to small film thickness or low mass density or the combination of the two. At lower temperature, the decrease in $n_{\text{Al}_2\text{O}_3}$ may be attributed to decreased density and to increased impurity levels in films.³⁰ It is to be noted that in thinner Al₂O₃ films (~ 7 nm), deposited by ALD at 300 °C, $n_{\text{Al}_2\text{O}_3} \sim 1.5$ is observed.⁹ This indicates that the lower $n_{\text{Al}_2\text{O}_3}$ of S₁₀₀ vis-à-vis S₂₀₀ or S₃₀₀ is primarily due to lower mass density.

Minority carrier lifetime

As mentioned earlier that the minority carrier lifetime is the key parameter to know the quality of passivation. The measured effective carrier lifetime (τ_{eff}) value has contributions from the bulk (τ_{bulk}) and the two wafer surfaces (τ_s) of the silicon sample and these two parameters are related with τ_{eff} as^{31,32}

$$\frac{1}{\tau_{\text{eff}}} = \frac{1}{\tau_{\text{bulk}}} + \frac{1}{\tau_s} \quad (3)$$

where, $\tau_s = W^2/(D\chi_m)$. χ_m are the roots of the transcendental equation $\chi_m \tan(\chi_m) = S_{\text{eff}}W/D$ where the subscript m represents the mth root and; D and W are the diffusion constant and thickness of

TABLE I. Thickness ($d_{\text{Al}_2\text{O}_3}$) and refractive index ($n_{\text{Al}_2\text{O}_3}$) of as deposited samples: S₁₀₀, S₂₀₀ and S₃₀₀. These values have $\sim 1\%$ measurement uncertainty.

Sample	T_{dep} (°C)	$d_{\text{Al}_2\text{O}_3}$ (nm)	$n_{\text{Al}_2\text{O}_3}$
S ₁₀₀	100	24.0	1.50
S ₂₀₀	200	28.4	1.59
S ₃₀₀	300	30.1	1.62

TABLE II. Measured minority carrier lifetime (τ_{eff}) and surface recombination velocity (SRV) values for as-deposited and annealed samples of S_{100} , S_{200} and S_{300} , at an injection level, $\Delta n = 1 \times 10^{15} \text{cm}^{-3}$. The measurement uncertainty in τ_{eff} (μs) and SRV (cm/s) values is $\sim 4\%$.

Sample	Annealed							
	As-deposited		$t_{\text{anl}}=90\text{s}$		$t_{\text{anl}}=105\text{s}$		$t_{\text{anl}}=120\text{s}$	
	τ_{eff}	SRV	τ_{eff}	SRV	τ_{eff}	SRV	τ_{eff}	SRV
S_{100}	584	27.8	440	36.9	360	45.1	304	53.4
S_{200}	588	27.6	1398	11.6	2274	7.1	1856	8.7
S_{300}	824	19.7	1696	9.6	2063	7.9	1853	8.8

the wafer, respectively. S_{eff} is surface recombination velocity (SRV). Amongst the various modes associated with Eq. (3), the fundamental mode ($m=1$) is the most significant and the contribution of the higher modes is significantly small and could not be detected experimentally. In this case the above equation reduces to where $\tau_s = W/2S_{\text{eff}}$. The factor 2 accounts for the contribution of both surfaces. For very large bulk lifetime, $\tau_{\text{eff}} \cong \tau_s$ and in this case the upper limit of SRV ($S_{\text{eff,max}}$) can be estimated using the following relation:

$$S_{\text{eff,max}} = \frac{W}{2\tau_{\text{eff}}} \quad (4)$$

For τ_{eff} measurements, symmetrically passivated samples are prepared by depositing Al_2O_3 films of the same thickness on both sides of the substrates. In Table II, measured τ_{eff} values and corresponding $S_{\text{eff,max}}$ of as-deposited and annealed samples of S_{100} , S_{200} and S_{300} at $\Delta n = 1 \times 10^{15} \text{cm}^{-3}$ are given. In case of annealed samples, annealing time is varied ($t_{\text{anl}} = 90 \text{ s}$, 105 s and 120 s) whereas the annealing temperature is fixed at $400 \text{ }^\circ\text{C}$. It is observed that the τ_{eff} values of as-deposited samples of S_{100} and S_{200} is almost the same ($584 \mu\text{s}$ and $588 \mu\text{s}$ respectively) within the measurement uncertainty but in S_{300} , τ_{eff} is increased to $824 \mu\text{s}$ with increase in T_{dep} . A systematic decrease in τ_{eff} values with the rise in t_{anl} is observed in S_{100} . This decrease in τ_{eff} may be due to the lower density ($T_{\text{dep}} = 100 \text{ }^\circ\text{C}$) structure of the as-deposited film that may not be an effective passivation layer and therefore, results in low value of τ_{eff} after annealing. This observation can be corroborated with the C-V data of the annealed film discussed later. On the other hand, τ_{eff} increases with t_{anl} from 90 s to 105 s in S_{200} and S_{300} and with further increase in t_{anl} ($\sim 120 \text{ s}$), τ_{eff} decreases from its maximum. It can be seen from Table II that maximum τ_{eff} ($\sim 2274 \mu\text{s}$) is observed in S_{200} with $t_{\text{anl}} = 105 \text{ s}$. This corresponds to SRV $\sim 7 \text{ cm/s}$ which is close to S_{300} sample within measurement error. All these results demonstrate that deposition temperature and post-deposition annealing both play important role for surface passivation.³³⁻³⁸ Figure 1 shows the SRV (at $\Delta n = 1 \times 10^{15} \text{cm}^{-3}$) as a function of t_{anl} of as-deposited and annealed ($t_{\text{anl}} = 90 \text{ s}$, 105 s , 120 s) samples of S_{100} , S_{200} and S_{300} . The minimum SRV value ($\sim 7 \text{ cm/s}$) obtained using low thermal budget RTP process is quite comparable to the values realized with high thermal budget.⁴⁻⁷ Though, similar annealing time is required to achieve SRV $\sim 7 \text{ cm/s}$ for S_{200} and S_{300} but the thermal effective budget of S_{300} is more than S_{200} due to higher T_{dep} (for the fixed t_{anl} and T_{anl}).

Figure 2 shows the injection level dependent τ_{eff} for as-deposited and annealed samples of S_{200} for different t_{anl} . The minority carrier lifetime results illustrate quality surface passivation in the entire range of Δn . Figure 3 shows the τ_{eff} map of the as-deposited and annealed samples (S_{200}) where the four samples (quarter pieces) are put together for the mapping using μ -PCD in order to provide an idea about the uniformity of passivation in the samples.

FTIR Spectroscopy

Figure 4(a) shows the FTIR spectra of as-deposited samples (S_{100} , S_{200} and S_{300}) in absorption mode. All the absorption bands are marked with arrows. The broad absorption band in the range of $400\text{-}750 \text{ cm}^{-1}$ is attributed to the mixed contributions of Al-O bending and stretching modes

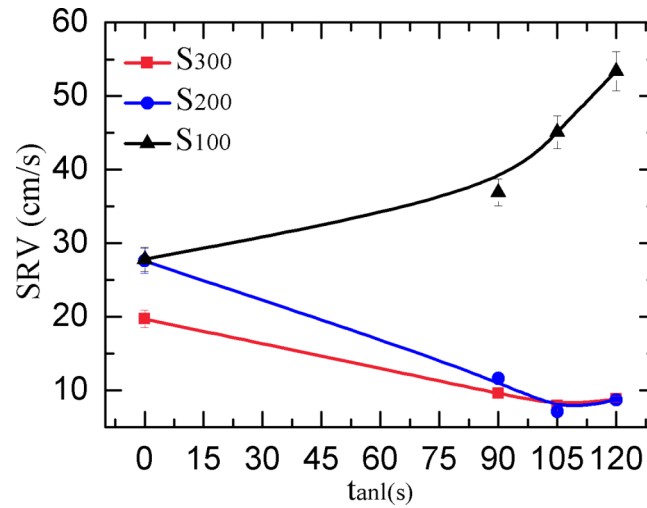


FIG. 1. Calculated surface recombination velocity (SRV) as a function of annealing time (t_{anl}) for as-deposited and annealed samples ($0 \leq t_{\text{anl}} \leq 120$ s) of S₁₀₀, S₂₀₀ and S₃₀₀.

for both AlO₄ and AlO₆.³⁹ The absorption peak at 513 cm⁻¹ is attributed to Al-O stretching mode of condensed AlO₆.⁴⁰ Absorption peaks at 653 cm⁻¹ and 700 cm⁻¹ are also dominated by O-Al-O bending and Al-O stretching for AlO₆, respectively.³⁹ Katamreddy *et al.*⁴¹ have reported that the absence of the peak at 530 cm⁻¹ due to Al-O stretching in condensed AlO₆ octahedra indicates the amorphous structure of the film. Similar observations are made in our samples also. A small hump observed in the range 1075-1150 cm⁻¹ may be attributed to Si-O-Si symmetric stretching mode.^{40,41} This indicates towards the formation of ultra thin layer of SiO₂ at the Al₂O₃/Si interface. The appearance of absorption peak at ~1395 cm⁻¹ owe their existence to Al=O stretch bands.⁴² The absorption bands seen in the range 2340-2360 cm⁻¹ are due to carbonate species in the films. The band at ~2360 cm⁻¹ is assigned to CO₂, bonded to strong Lewis sites of alumina.⁴³

Figure 4(b) shows the FTIR spectra of as-deposited and annealed samples of S₂₀₀ at different t_{anl} in the range of 400-2500 cm⁻¹. The absorption peak at 513 cm⁻¹ in the as-deposited film broadens after annealing at different temperatures ($t_{\text{anl}} = 90$ s, 105 s and 120 s). The peak observed at 675 cm⁻¹ in as-deposited sample is shifted to 710 cm⁻¹ in case of annealed samples which is attributed to Al-O stretching for AlO₆. The annealed films also show absorption peak at 1395 cm⁻¹.

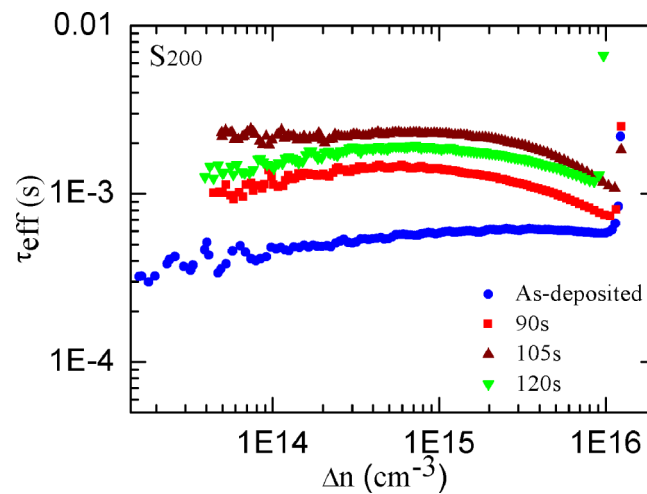


FIG. 2. Measured minority carrier lifetime (τ_{eff}) as a function of injection level (Δn) of as-deposited and annealed ($t_{\text{anl}} = 90$, 105 and 120 s; $T_{\text{anl}} = 400$ °C) sample of S₂₀₀.

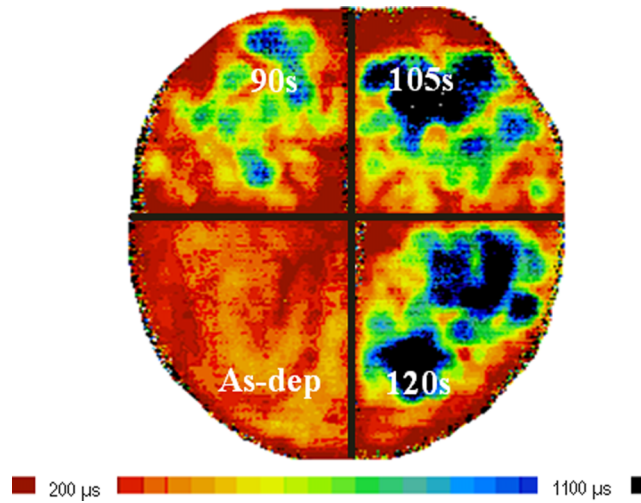


FIG. 3. The map of minority carrier lifetime of as-deposited and annealed ($T_{\text{ani}} = 400\text{ }^{\circ}\text{C}$) samples for different time duration ($t_{\text{ani}} = 90, 105$ and 120 s) of S_{200} .

In the annealed films, the peaks at 2340 cm^{-1} and 2360 cm^{-1} is less intense as compared to as-deposited films. Similar results are also obtained for S_{100} and S_{300} .

Capacitance-Voltage (C-V) measurements

Capacitance-Voltage (C-V) measurement is generally used to assess the dielectric property of a material. C-V measurements are performed on MIS structure at 1 MHz frequency. The applied bias voltage (V_G) is varied from negative to positive which shifts the C-V curve from inversion to accumulation region (forward sweep). These measurements are performed to extract the fixed charge polarity and density (Q_F), which is responsible for field effect passivation. Figure 5(a) shows the normalized C-V curves for as-deposited samples. The C-V curves for the as-deposited samples shift towards negative voltages with decrease in deposition temperature. The magnitude of shifting increases with decrease in deposition temperature which suggests that fixed charges also increases with reducing T_{dep} . The fixed charge density is calculated using eq. (5)

$$Q_F = (\varphi_{ms} - V_{FB})C_{OX} \quad (5)$$

where φ_{ms} is the work function difference between metal and semiconductor, V_{FB} is flat band voltage which is extracted from C-V curve and C_{OX} is oxide capacitance of the film. The Q_F of

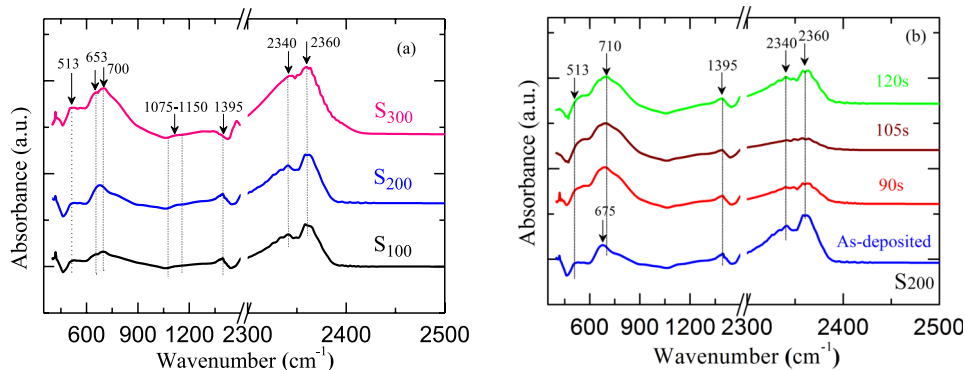


FIG. 4. (a) FTIR spectra of as-deposited samples of S_{100} , S_{200} and S_{300} . (b) FTIR spectra of as-deposited and annealed sample S_{200} , ($t_{\text{ani}} = 90, 105$ and 120 s ; $T_{\text{ani}} = 400\text{ }^{\circ}\text{C}$).

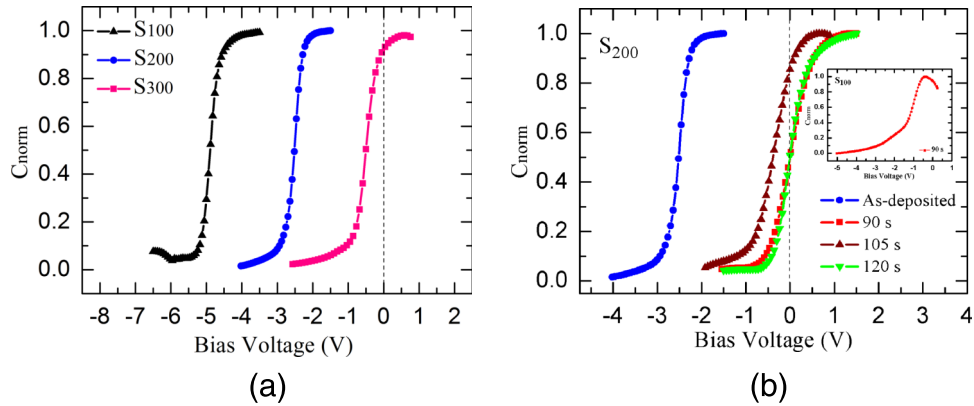


FIG. 5. (a) Normalized C-V curves for as-deposited samples of S₁₀₀, S₂₀₀ and S₃₀₀ at 1MHz frequency. (b) Normalized C-V curves for as-deposited and annealed samples of S₂₀₀ at 1MHz frequency ($t_{\text{anl}} = 90, 105$ and 120 s; $T_{\text{anl}} = 400$ °C). Inset shows normalized C-V curve of annealed ($t_{\text{anl}} = 90$ s) film of S₁₀₀.

as-deposited films is positive which are listed in Table III. Rafi *et al.*³⁶ also observed positive fixed charges in the Al₂O₃ films deposited at 100 °C.

Figure 5(b) shows the normalized C-V curves for as-deposited and annealed samples (at $t_{\text{anl}} = 90$ s, 105 s, 120 s) of S₂₀₀. A shift towards less negative voltages in C-V curves with respect to its as-deposited curve is observed with annealing. The calculated values of Q_F are negative (Table III) which confirms that there is an activation of negative fixed charges in these films. The density and polarity of fixed charges are related to the deposition process of oxide films and is associated with local non-stoichiometry or structural defects.^{4,9,24,44} It can be seen that with increase in t_{anl} from 90 s to 105 s, value of Q_F decreases and thereafter for $t_{\text{anl}} = 120$ s, the values start increasing. Inset of Fig. 5(b) shows a representative C-V curve of annealed ($t_{\text{anl}} = 90$ s) film of S₁₀₀. The drop in capacitance value in accumulation region and stretching of the curve indicates towards degradation in the film quality after annealing. This observation is rather surprising and needs further probing. The interface defect density (D_{it}) at Al₂O₃/Si interface can be extracted from the conductance measurements (C-f) and the calculated values (for all as-deposited and annealed samples of S₂₀₀) are listed in Table III. It is observed that the value of D_{it} decreases with the increase in T_{dep} . The lower value of D_{it} for as-deposited sample S₃₀₀ is primarily responsible for the high value of τ_{eff} . It is well established that in thermal ALD, chemical passivation is dominant which is quantized in terms of D_{it} .⁴⁵ Further, the D_{it} value decreases with annealing from 4.2×10^{12} to 1.3×10^{12} eV⁻¹cm⁻² for t_{anl} from 90 s to 105 s for S₂₀₀. Henceforth for an increase in annealing duration to 120 s, D_{it} increases. The lowest D_{it} value is observed for sample S₂₀₀ annealed at $t_{\text{anl}} = 105$ s. It is known that the surface passivation is governed primarily by both Q_F and D_{it} . The effective surface passivation requires high Q_F and low D_{it} values. As the two parameters have opposite trend, a trade-off between the two decodes the lowest SRV. In the present case, the maximum τ_{eff} or minimum SRV are realized at $t_{\text{anl}} = 105$ s (S₂₀₀). C-V measurements reveal that the Al₂O₃/Si

TABLE III. Fixed charge density (Q_F) and interface defect density (D_{it}) of as deposited samples (S₁₀₀, S₂₀₀ and S₃₀₀) and annealed samples of S₂₀₀ ($t_{\text{anl}} = 90$ s, 105 s and 120 s; $T_{\text{anl}} = 400$ °C).

Sample	$Q_F(\times 10^{12}\text{cm}^{-2})$	$D_{\text{it}}(\times 10^{12}\text{states/eVcm}^2)$
S ₁₀₀	5.42	5.1
S ₂₀₀	2.10	4.2
S ₃₀₀	0.36	3.0
S ₂₀₀ ($t_{\text{anl}}=90\text{s}$)	-1.27	2.4
S ₂₀₀ ($t_{\text{anl}}=105\text{s}$)	-0.35	1.3
S ₂₀₀ ($t_{\text{anl}}=120\text{s}$)	-0.92	1.9

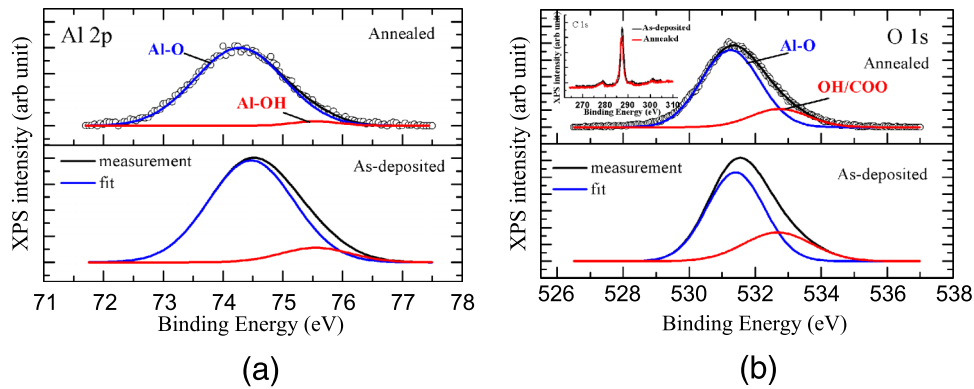


FIG. 6. (a) Deconvoluted Al 2p core level spectra of as-deposited and annealed sample of S_{200} . ($t_{\text{anl}} = 105$ s, $T_{\text{anl}} = 400$ °C). The peak decomposition into Al-O (blue) and Al-OH (red) are indicated. The measured data is shown by black (hollow) circles and the resulting fitted curve is shown by black line. (b) Deconvoluted O 1s core level spectra of as-deposited and annealed sample of S_{200} . ($t_{\text{anl}} = 105$ s, $T_{\text{anl}} = 400$ °C). The peak decomposition into Al-O (blue) and OH/COO (red) are indicated. The measured data and the resulting fitted curve are shown by black (hollow) circles and by black line respectively. Inset shows the C 1s core level spectra of as-deposited and annealed ($t_{\text{anl}} = 105$ s, $T_{\text{anl}} = 400$ °C) sample of S_{200} .

yields a high level of chemical passivation after annealing that can be attributed to moderate D_{it} ($= 1.3 \times 10^{12}$ states $\text{eV}^{-1}\text{cm}^{-2}$).

X-ray photoelectron Spectroscopy (XPS)

XPS being a surface sensitive technique is used to get both qualitative and quantitative information of the stoichiometry and chemical states at the surface. The results of representative as-deposited and annealed ($t_{\text{anl}} = 105$ s, $T_{\text{anl}} = 400$ °C) samples of S_{200} is given here. The survey spectra depict mainly Al and O contributions except carbon contamination (spectra not shown). It is attuned to the C 1s peak position to 285 eV for correction. Figures 6(a) and 6(b) show the Al 2p and O 1s core level spectra respectively of as-deposited and annealed films. These core level spectra are de-convoluted into their Gaussian components to extract the bonding information. In Fig. 6(a), peaks at 74.47 eV and 75.55 eV confirm the presence of Al-O and Al-OH bonds, respectively. The absence of peak at 73 eV which otherwise represents the Al-Al bond suggests that the entire Al is oxidized by the surface-saturation reaction.⁴⁶

In Fig. 6(b), peaks at 531.40 eV and 532.68 eV correspond to Al-O and OH/COO bonds respectively. The existence of OH/COO bonds in thermal Al_2O_3 films are due to the usages of H_2O as oxidant.⁴⁷ The elemental ratio of oxygen to aluminum is determined from the peak areas of the Al-O contributions within O 1s and Al 2p core levels. In this case, the contributions from COO and OH groups are not considered. For thicker films, a sensitivity factor is required to calibrate the O/Al ratio from XPS.¹³ The resulting $\text{O}1s_{\text{Al-O}}/\text{Al}2p_{\text{Al-O}}$ ratio for the as-deposited and annealed film ($t_{\text{anl}} = 105$ s) are 1.15 and 1.28 respectively. This shows that the annealed film becomes more oxygen rich than the as-deposited state. The deconvoluted peak areas of Al-O in O 1s and Al 2p spectra of as-deposited and annealed ($t_{\text{anl}} = 105$ s) film of S_{200} are given in Table IV. These values are used to calculate the $\text{O}1s_{\text{Al-O}}/\text{Al}2p_{\text{Al-O}}$ ratio. Inset of Fig. 6(b) shows the C 1s core level spectra of

TABLE IV. Deconvoluted peak area of Al-O in O 1s and Al 2p spectra of as-deposited and annealed ($t_{\text{anl}} = 105$ s, $T_{\text{anl}} = 400$ °C) sample of S_{200} .

Peak	As-deposited	Annealed
$\text{O}1s_{\text{Al-O}}$	5749	5100
$\text{Al}2p_{\text{Al-O}}$	1394	1111

as-deposited and annealed ($t_{\text{ani}} = 105$ s) sample of S_{200} . This spectra show that the intensity of carbon is less for annealed sample w.r.t. its as-deposited state. This observation is also supported by the decrease in FTIR peak intensity at 2340 cm^{-1} observed in annealed samples w.r.t. its as-deposited state (S_{200} ; Fig. 4(b)). The O-H bonds which are present in neutral charge state⁴⁸ decreases in annealed film in O 1s spectra which signifies that there is an increase in oxygen dangling bonds (O_{db}) in annealed state than as-deposited state. Al-OH bond contribution in Al 2p spectra decreases with the annealing which is also support this observation. The increase in O_{db} may suppress the positive fixed charges and activate negative fixed charges in the annealed film. This observation is also supported by the presence of negative fixed charges found in the annealed films estimated using C-V data.

IV. CONCLUSIONS

Silicon surface passivation is studied by thermal ALD deposited Al_2O_3 films deposited at different temperature and annealed for varying time duration. S_{100} film show low refractive index value than S_{200} and S_{300} . For as-deposited films, the lifetime value is comparable for S_{100} and S_{200} whereas τ_{eff} increases for S_{300} . The τ_{eff} value of S_{100} decreases with annealing whereas τ_{eff} increases for S_{200} and S_{300} . The lowest SRV value is realized for films S_{200} and S_{300} which are annealed at $400\text{ }^\circ\text{C}$ for 105 s using RTP. However, the total thermal budget of S_{300} is more as compared to S_{200} for the same annealing time and temperature due to higher deposition temperature. The best optimized low thermal budget conditions in terms of deposition temperature & annealing conditions are obtained for S_{200} . C-V measurements show lowest D_{it} under these annealing conditions. C-V measurement of annealed films of the sample (S_{200}) deposited at $200\text{ }^\circ\text{C}$ show that there is an activation of negative fixed charges in these films. XPS study shows that there is a decrease in OH bonds in the O 1s spectra after annealing. This suggests an increase in the oxygen dangling bonds which is responsible for negative charge activation.

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