



Multi-model simulations of aerosol and ozone radiative forcing for the period 1990-2015

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Abstract. Over the past decades, the geographical distribution of emissions of substances that alter the atmospheric energy balance has changed due to economic growth and pollution regulations. Here, we show the resulting changes to aerosol and ozone abundances and their radiative forcing, using recently updated emission data for the period 1990-2015, as simulated by seven global atmospheric composition models. The models broadly reproduce the large-scale changes in surface aerosol and ozone based on observations (e.g., -1 to -3%/yr in aerosols over US and Europe). The
30 global mean radiative forcing due to ozone and aerosols changes over the 1990-2015 period increased by about +0.2 Wm⁻², with approximately 1/3 due to ozone. This increase is stronger positive than reported in IPCC AR5. The main



reason for the increased positive radiative forcing of aerosols over this period is the substantial reduction of global mean SO₂ emissions which is stronger in the new emission inventory compared to the IPCC, and higher black carbon emissions.

1. Introduction

5 Over the last decades, global temperature has been forced by a range of both natural and anthropogenic drivers (Schmidt et al., 2014b; Solomon et al., 2011). Relative to the period 1984-1998, which ended with a strong El Niño, the period 1998-2012 saw a reduced rate of global warming. A wide range of studies have discussed possible causes of this slowdown (Fyfe et al., 2016; Marotzke and Forster, 2015; Nieves et al., 2015) including discussions of the temperature trend itself (Karl et al., 2015). A record surface temperature over the instrumental period was however
10 reached in 2014 (Karl et al., 2015) with another new record in 2015. Understanding the reasons behind periods with weaker or stronger temperature changes superimposed on the long-term trend in temperature that is continually forced by increased greenhouse gas concentrations is an integral part of the general study of the climate system.

The Intergovernmental Panel on Climate Change (IPCC) Fifth Assessment Report (AR5) had to rely on a limited number of studies for the 1998-2011 period with regard to radiative forcing of short-lived components (Flato et al.,
15 2013; Myhre et al., 2013b). The short-lived components, notably ozone and atmospheric aerosols, are more difficult to quantify in terms of abundance and radiative forcing through atmospheric measurements than the greenhouse gases with lifetimes in the order of decades or longer. Abundances of short-lived components depend on location of emission, and are inhomogeneously distributed in the atmosphere with variability in time, geographical distribution and altitude.

The short-lived compounds of particular importance in terms of radiative forcing include ozone and atmospheric
20 aerosols. Over the last decades, large changes in regional emissions of ozone and aerosol precursors have occurred, with reductions over the US and Europe in response to air quality controls, and a general increase over South and East Asia (Amann et al., 2013; Crippa et al., 2016; Granier et al., 2011; Klimont et al., 2013). The available emission data for various aerosol types differ in magnitude across regions (Wang et al., 2014b). The net effect of these emission changes in terms of changes in the Earth's radiative balance, is not obvious. In addition to a change in the geographical
25 location of the emissions that emphasizes more chemically active, low-latitude regions; different types of aerosols have different impacts on the radiative balance. Some are purely scattering, while others enhance absorption of solar radiation. They may also affect cloud formation, albedo and lifetime through a range of mechanisms (Boucher et al., 2013; Kaufman et al., 2002). Since the net aerosol forcing is negative (cooling), a reduction in anthropogenic primary aerosol emissions and emissions of aerosol precursors implies a positive forcing over the time period of emission
30 reductions.

The aerosols have a variety of types and composition and involve several different forcing mechanisms, specifically aerosol-radiation interactions (previously denoted direct aerosol effect and semi-direct effect when allowing for rapid adjustments) and aerosol-cloud interactions (Boucher et al., 2013). Their forcing over the industrial era has substantial uncertainties, quantified in terms of a total aerosol forcing of -0.9 (-1.9 to -0.1) W m⁻² (Boucher et al., 2013). The
35 IPCC AR5 mainly relied on Shindell et al. (2013a) for changes over the last 1-2 decades for the total aerosol forcing,



in addition to one study for the direct aerosol effect based on satellite data (Murphy, 2013). The model studies available for the 2000-2010 period based on the results in Shindell et al. (2013a) were few, compared to what was available for earlier time periods. These studies revealed large regional changes in the aerosol forcing over the last decades, but in terms of global mean changes the values were small in magnitude. The clear sky direct aerosol effect over the period 2000-2012 showed small global mean forcing based on the changes in aerosol abundance from MISR satellite data (Murphy, 2013). The total aerosol forcing over the period 1990-2010 and 2000-2010 in IPCC AR5 was quantified as -0.03 and +0.02 W m⁻², respectively (Myhre et al., 2013b). Tropospheric ozone forcing was estimated to be +0.03 W m⁻² over the 1990-2010 period. Kuhn et al. (2014) simulated a weak direct aerosol effect forcing of +0.06 W m⁻² over the 1996-2010 period, but with a much stronger forcing of +0.42 W m⁻² for the total aerosol effect.

At present aerosol forcing is diagnosed using a wide range of methods, with various degrees of sophistication of the aerosol-radiation and aerosol-cloud interactions included. To span this range and take different approaches into account, we encouraged the modelling groups participating in this study to perform aerosol and ozone forcing simulations over the 1990-2015 period with their standard configuration, but using updated emission inventories and more consistent diagnostics. Here, we present the resulting evolution of aerosol and ozone abundances at the regional level, and the resulting radiative forcing.

2. Methods

The seven global models participating in the present study are described in Table 1. The model setup to derive forcing varies between the models; from fixed meteorology, to one meteorological year, to fixed sea surface temperatures. All models use identical anthropogenic emission data from the EU project ECLIPSE¹ for the 1990 to 2015 period (Stohl et al., 2015). Several updates and improvements compared to earlier emission data sets were included in this inventory (Stohl et al., 2015). All models simulated the main anthropogenic components sulphate, black carbon (BC) and primary organic aerosols (OA). Further, some models include secondary organic aerosols and nitrate. Five of the models simulated ozone changes over the period. The same offline radiative transfer code used for calculating the radiative forcing for OsloCTM2 was adopted for the atmospheric abundance changes from the EMEP model.

The forcing calculations are quantified at the top of the atmosphere for aerosols and at the tropopause for ozone and follow definitions made in IPCC AR5 (Boucher et al., 2013; Myhre et al., 2013b). The consideration of rapid adjustments associated with aerosols for the various models are described in Table 1.

All the aerosol and ozone forcings shown here are absolute changes (W m⁻²) relative to the 1990 value of each model. Thus all the plots show forcing starting at 0.0 in 1990.

¹ Evaluating the Climate and Air Quality Impacts of Short-Lived Pollutants (ECLIPSE); European Union Seventh Framework Programme (FP7/2007-2013) under grant agreement no 282688.



3. Results

3.1 Surface trends in aerosol and ozone

Six models simulated changes in annually averaged $PM_{2.5}$ (particulate matter with aerodynamic diameters less than $2.5 \mu m$) over the 1990-2015 period. A model-mean linear trend is fitted and shown as a function of latitude and longitude, see Figure 1a. Regional changes in the model-mean range from 2 to 3%/yr reductions over much of the US and Europe to 1 to 2%/yr increases over much of South and East Asia. The intermodel variation is small, as the models simulate broadly similar geographical patterns. Observations of changes in $PM_{2.5}$ based on the atmospheric networks EMEP (Europe) and IMPROVE (US) are available for selected time periods. The $PM_{2.5}$ trends from observations and model mean results are compared in Table 2. The model results have been derived at the model grid of the observational sites. Over Europe the observed trend is limited to the decade 2000-2010 and is -0.5 %/yr larger (more negative) than the model mean (see Tørseth et al. (2012) for description, site selection, and trend methods). Over the US we have two decades of $PM_{2.5}$ data, 1998-2008 (Hand et al. (2011), Hand et al. (2014)). We compare with the 2000s decade for consistency with the EMEP comparison, and with the 1989-2008 observations for a longer record. The US record shows that greater % reductions occurred in the second decade, and this is matched by the models simulation. Consistent with the EU record, the observations are -0.2 %/yr more negative than models over either period. Thus our simulation appears to slightly underestimate the reductions in $PM_{2.5}$ over the US and EU. In Figure 1b the aerosol optical depth (AOD at 550 nm) is shown as model mean trend in absolute AOD similar to $PM_{2.5}$ in Figure 1a. Maximum reduction in AOD are of 0.30 (absolute AOD) over Europe and maximum increases are 0.25 over East Asia.

Five models simulated surface ozone changes based on the prescribed emissions of precursors including methane. The resulting annual mean surface ozone change (absolute, in ppb) from 1990 to 2015 is shown in Figure S1. The pattern of ozone change is similar among the models, but with some differences in magnitude. The regional changes in surface ozone have many similarities with the surface $PM_{2.5}$ changes (Fig. 1). Surface ozone increases are seen along maritime shipping routes due to increased NO_x emissions. Figures S2 and S3 and Table S1 show the surface changes (ppb decade⁻¹) from the models compared to observations over the US and EU. Extensive networks of surface ozone measurements, using the full 2,000 or so air quality sites in both the US and EU, are available from 1993 (US) and 1997 (EU) up to the cutoff date of 2013 (see Schnell et al. (2014); Schnell et al. (2015) for networks and methods). These gridded observations identify small-scale variations in the geographic pattern of ozone trends, which is only partially captured in these simulations. Some of the models capture some of the main seasonal shifts (e.g., decrease in summer peak ozone with increase in winter ozone over the eastern US and Europe).

3.2 Direct aerosol effect

The total global, annual mean radiative forcing of the change since 1990 in direct aerosol effect is shown in Figure 2, for seven models, together with the estimate given in IPCC AR5. The model mean is very close to the IPCC AR5 value, but the model spread is large. The model mean direct aerosol effect has a positive forcing in the periods 1995-2000 and 2005-2010, with the forcing over the other 5 year periods being negative or consistent with zero.



The model range for the direct aerosol effect due to changes in sulphate concentrations is smaller than that for the total direct aerosol effect, see Figure 3a. The range for sulphate forcing is a factor of two, slightly lower than the model range from other recent multi-model studies (Myhre et al., 2013a). The differences in sulphate burdens between a much larger group of models in IPCC AR5 was greater (Prather et al., 2013). In all of multi-model analyses, differences are not simply proportional to burden because radiative forcing is calculated with different assumptions of optical properties and the radiative transfer calculations. The IPCC AR5 estimate for direct aerosol effect of sulphate was close to zero for the whole 1990-2010 period, whereas the multi-model mean here is around $+0.04 \text{ W m}^{-2}$ in year 2010 with further increase to $+0.05 \text{ W m}^{-2}$ in 2015. A main reason for this difference is that in the new ECLIPSE emission inventory, global sulphate precursor emissions show stronger reductions for this period than previous estimates. The ECLIPSE SO_2 emission change over the 1990-2015 period is about -20%, including international shipping (Stohl et al., 2015). Despite the overall positive direct aerosol forcing of sulphate over the 1990-2015 period from a global reduction of sulphate, it is negative in the intermediate five-year period 2000-2005.

The model-mean global mean radiative forcing of BC direct aerosol effect increases over the 1990-2010 period by $+0.07 \text{ W m}^{-2}$ (see Fig. 3b), with values about 20% lower than in IPCC AR5. Between 2010 and 2015 the multi model-mean drops by 25%. The model spread for BC is generally somewhat larger than for sulphate, where differences in the modeled BC vertical profile are the main contributor (Hodnebrog et al., 2014; Samset et al., 2013). The BC emission increases from 1990 to 2015 are 10% in the global sum, but the increase in radiative forcing is relatively larger, and thus BC radiative forcing does not respond linearly to emissions. BC is generally a more efficient absorber over regions of South and East Asia (increasing emissions) than over Europe and US (decreasing emissions), see Haywood and Ramaswamy (1998).

Figures 4a and 4b show the geographical distribution of the multi-model mean 1990-2015 radiative forcing of the direct aerosol effect for sulphate and BC, respectively. Sulphate forcing changes by $+1$ to $+2 \text{ W m}^{-2}$ over the southeastern US and central Europe due to reduced abundances; it changes by -0.5 to -1.5 W m^{-2} over most of South and East Asia. In other regions, the changes are minimal. The changes in the direct aerosol effect of BC are smaller in magnitude and opposite in sign: as much as -0.3 W m^{-2} over the US and EU; as much as $+0.3$ to $+1.0 \text{ W m}^{-2}$ over a broad region of the northern tropics and sub-tropics from Africa to East Asia. The multi-model direct aerosol effect forcing of OA is very similar to IPCC AR5 over the 1990-2010 period, and generally small in magnitude (Figure 3c). To a small degree, the OA forcing acts to offset the positive forcing from BC and sulphate over the period 1990-2015. Secondary OA are included in a few models with forcing values over the 1990-2015 period generally of smaller magnitudes than primary OA. Three of the models have nitrate aerosols included, with a large range in the forcing over the period (Figure 3d). The model range in nitrate forcing is presently larger than for other aerosol compounds (Myhre et al., 2013a; Shindell et al., 2013a). The strong nitrate forcing in the GISS model, which is likely too strong (Shindell et al., 2013a), explains the weak and negative total direct aerosol effect found here. On the other hand, NorESM, showing the highest total direct aerosol forcing, is without nitrate aerosols. That model also shows the strongest BC forcing among the models in this study.



3.3 Aerosol-cloud interaction and total aerosol effect

A subset of five models were able to diagnose the forcing from aerosol-cloud interaction, with four models having a weak or slightly positive forcing and one model having a large positive forcing, see Figure 5a. In three of the models rapid adjustments associated with aerosol-cloud interactions are simulated (i.e., in IPCC AR5 terms, they simulate an effective radiative forcing, or ERF), whereas in the two models OsloCTM2 and EMEP the RF (changes only to the cloud albedo) was simulated. The differences in direct aerosol effect found here can largely be explained by differences in the individual aerosol components, but a disentangling of aerosol-cloud interaction is more complex and average differences across the models are not readily attributed (Boucher et al., 2013).

The forcing of the total aerosol effect based on five models, excluding CESM-CAM5 and ECHAM, are shown in Figure 5b. CESM-CAM5 and ECHAM have both direct aerosol effect very close to the model-mean. All five models have a positive total aerosol effect at the end of the 1990-2015 time period, but the magnitudes vary substantially from near zero to $+0.2 \text{ W m}^{-2}$. The direct aerosol effect causes part of this spread, but the aerosol-cloud interaction is the major cause. Using the ECLIPSE emission data, we find a range similar to earlier studies, from weak to strongly positive total aerosol forcing (Kuhn et al., 2014), but that differs from the assessment of IPCC AR5, which had a negative total aerosol effect. Here, all models show a positive total aerosol forcing with a model-mean of around $+0.1 \text{ W m}^{-2}$ ($0.11 \pm 0.07 \text{ W m}^{-2}$ with the uncertainty given as one standard deviation) for the 1990-2015 period. The semi-direct effect of BC and, absorbing OA, is included in the total aerosol effect for all the models. For two of the models (EMEP and OsloCTM2) the semi-direct effect of BC is quantified to be -0.01 and -0.03 W m^{-2} in 2015 and slightly stronger in 2010. These estimates have been derived by the same method as in Hodnebrog et al. (2014); Samset and Myhre (2015). The spatial distribution of the mean multi-model total aerosol forcing from aerosol changes over the 1990-2015 period is shown in Figure 5c. The positive forcing dominates over most regions from a general reduction in the aerosol abundance reaching a maximum of 4.0 W m^{-2} over Europe. Over South and East Asia aerosol increases over the 1990-2015 period have led to a negative forcing of -3.0 W m^{-2} .

3.4 Ozone forcing

The subset of five models that simulated ozone changes and their resulting radiative forcing all show positive RF over the entire time period. The multi-model mean forcing is twice the IPCC AR5 estimate, see Figure 6. Three models that used fixed meteorology simulate a relatively stable ozone forcing increase, while the other two models show that interannual variability contributed noise to the calculation of this forcing. For the period from 1990 to 2015 the model-mean forcing is $+0.06 \text{ W m}^{-2}$, with a model range of the order of 50% around this value.

4 Summary and conclusions

A suite of models have simulated ozone and aerosol forcing over the 1990-2015 period, using new emission data from the EU project ECLIPSE (Stohl et al., 2015). In areas where there are good and harmonized measurement network (US and EU), the models generally reproduce observed large scale surface trends in both compounds. Our key findings



based on the updated model simulations are stronger positive radiative forcing of aerosols and ozone over the past 25 years than is reported in IPCC AR5. The global average total, multi-model ozone and aerosol forcing over the period 1990 to 2015 is almost $+0.2 \text{ Wm}^{-2}$. However, uncertainties are large, and the model diversity of aerosol-cloud interaction is especially pronounced. The model range in the direct aerosol effect can be explained by the individual
5 aerosol components and the diversity in modelling these processes. The model range in the forcing of the direct aerosol effect of nitrate aerosols is large and needs further investigations. The model range in the direct aerosol effect of BC is also large, but recent progress on BC lifetime (Samset et al., 2014) and improved understanding of the importance of high resolution modelling for reproducing surface BC measurements (Wang et al., 2014a) are likely to provide more constrained BC forcing estimates in the future. In a similar way, the aerosol-cloud interaction needs
10 observational constraints for reduced model spread. The regional forcing of aerosol changes over the 1990-2015 period is large with maximum values over Europe ($+4.0 \text{ Wm}^{-2}$) and South East Asia (-3.0 Wm^{-2}).

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**Table 1:** Model description.

Models	Resolution	Fixed-met or fixed-SST	Rapid adjustment	Anthropogenic aerosol components included	References
CESM (CAM5, MAM3, MOZART)	1.9° x 2.5° L30	Fixed-SST (climatological)	N/A (direct effect only)	Sulphate, BC, OA, SOA	(Liu et al., 2012; Neale et al., 2010; Wang et al., 2013)
ECHAM6-HAM2	T63 (1.8X1.8), L31	Fixed SST and sea ice extent (climatological)	Included for semi-direct effect, cloud-aerosol interactions on liquid water clouds (no parameterised effects on ice clouds or convective clouds)	Sulphate, BC, OC	(Stevens et al., 2013; Zhang et al., 2012)
EMEP	0.5° x 0.5°	2010 met	NA	Sulphate, nitrate, primary PM (BC, POM remaining), anthropogenic SOA	(Simpson et al., 2012)
GISS	2.0° x 2.5° L40	2000 met	yes	Sulphate, BC, OA, SOA, nitrate (dust also influenced by other anthro aerosols)	(Schmidt et al., 2014a; Shindell et al., 2013b)
NorESM1	1.9° x 2.5° L26	Fixed SST and sea ice extent (climatological)	yes	Sulphate, BC, OA (SOA included in OA)	(Bentsen et al., 2013; Iversen et al., 2013; Kirkevåg et al., 2013)



OsloCTM2	T42 2.8° x 2.8° L60	2010 met	Included for semi-direct effect of BC (CESM-CAM4)	Sulphate, BC, OA, SOA, nitrate	(Myhre et al., 2009; Skeie et al., 2011)
SPRINTARS	1.125° x 1.125° L56	1990 SST	Included	Sulphate, BC, OA, SOA	(Takemura et al., 2009; Takemura et al., 2005)



Table 2: Change in PM_{2.5} given in %/yr over Europe and US for observations and multi-model mean. Values in parenthesis are standard deviations of the observed trends.

	# sites	Observations (%/yr)	Mean-models (%/yr)
Europe 2000-2010, based on EMEP network*	13	-2.9 (1.5)	-2.4
US 2000-2009, based on IMPROVE network **	153	-2.1 (2.07)	-1.9
US 1989-2009, based on IMPROVE network**	59	-1.5 (1.25)	-1.3

*Modified from Tørseth et al. (2012) by extending one additional year. Same trend methods are used.

5 **Adapted from Hand et al. (2011).

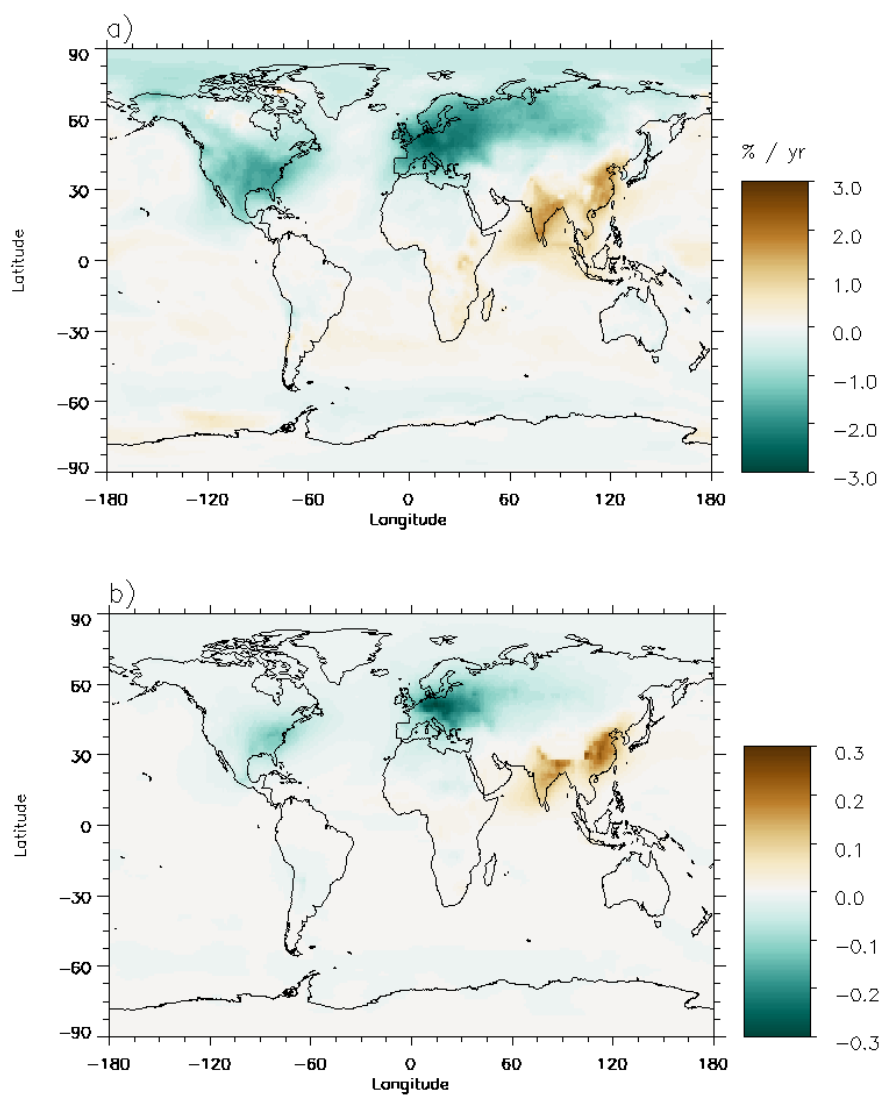


Figure 1: Multi-model mean of change in surface $PM_{2.5}$ (a) and aerosol optical depth (AOD) at 550 nm (b),
5 over the 1990-2015 period, simulated by the six models GISS, OsloCTM2, NorESM, CESM-CAM5, EMEP,
and SPRINTARS.

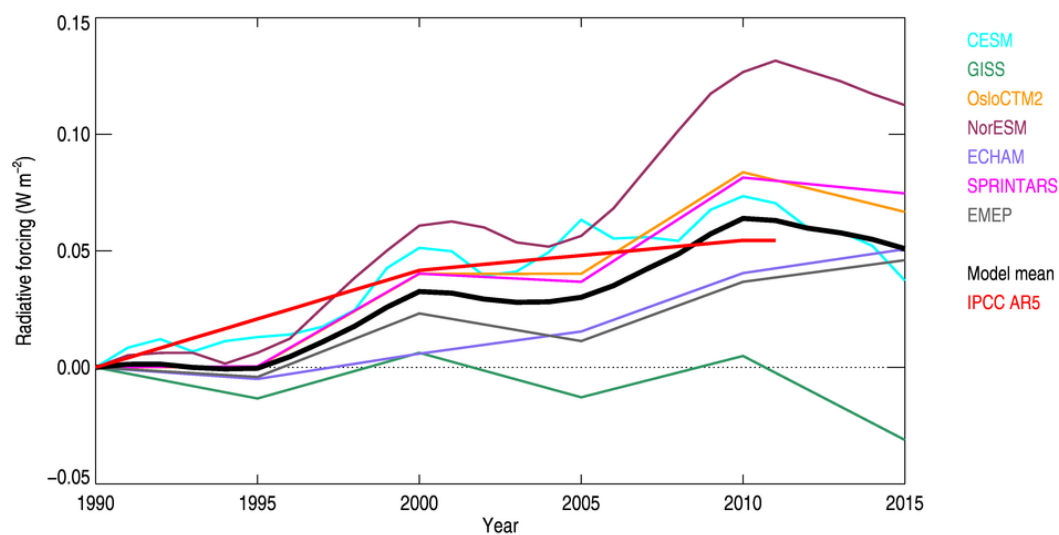


Figure 2: Radiative forcing (W m^{-2}) of the direct aerosol effect over the period 1990 to 2015 given for seven models (legend lists the models), the multi-model mean is shown in black and estimate the provided in IPCC AR5 is included in red.

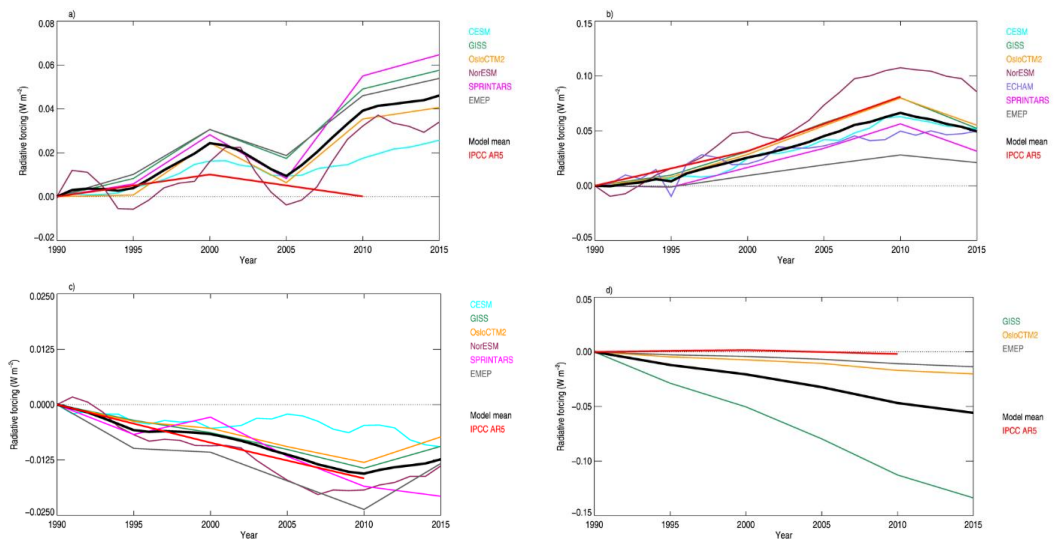


Figure 3: Radiative forcing (W m^{-2}) of the direct aerosol effect by aerosol component (sulphate, a; BC, b; OA, c; nitrate, d) over the period 1990-2015.

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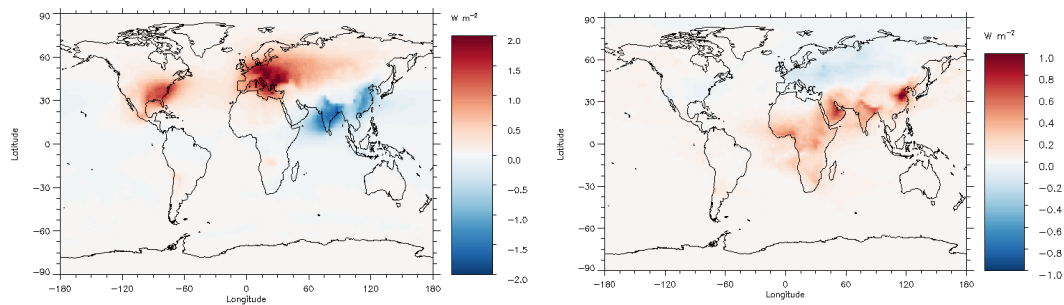


Figure 4: Geographical distribution of the 1990-2015 radiative forcing (W m^{-2}) of the multi-model mean direct aerosol effect sulphate (left) and BC (right) as driven by emission changes.

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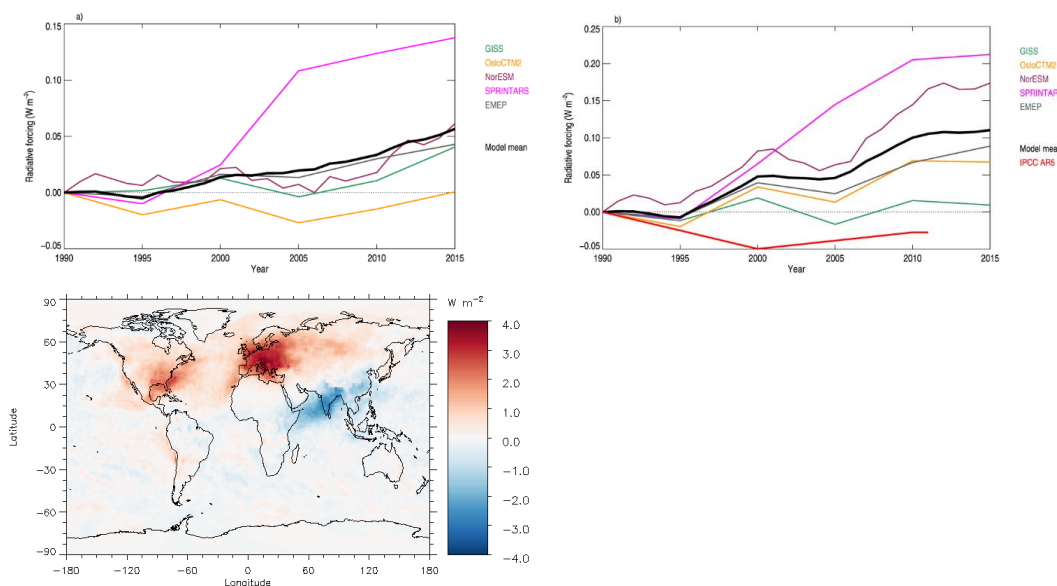


Figure 5: Radiative forcing (W m^{-2}) over the period 1990-2015 of the aerosol-cloud interaction for a subset of the models (a) and total aerosol effect (b). The lower panel shows the geographical distribution of radiative forcing (W m^{-2}) of the multi-model mean total aerosol effect.

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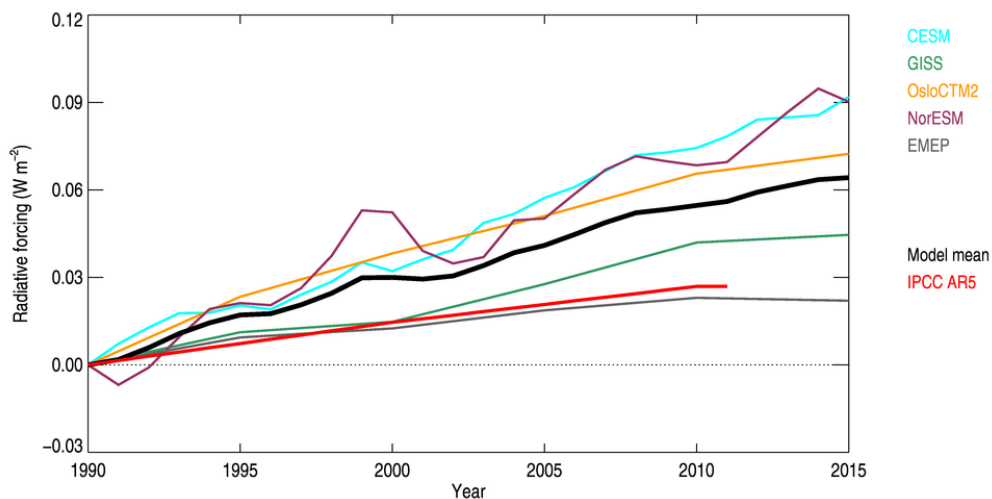


Figure 6: Radiative forcing (W m^{-2}) due to the change in ozone over the period 1990-2015.