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Crystalline and electronic structure of single-layer TaS₂

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Single-layer TaS_2 is epitaxially grown on Au(111) substrates. The resulting two-dimensional crystals adopt the 1H polymorph. The electronic structure is determined by angle-resolved photoemission spectroscopy and found to be in excellent agreement with density functional theory calculations. The single-layer TaS_2 is found to be strongly n doped, with a carrier concentration of 0.3(1) extra electrons per unit cell. No superconducting or charge density wave state is observed by scanning tunneling microscopy at temperatures down to 4.7 K.

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Single-layer (SL) transition metal dichalcogenides (TMDCs) share many fascinating properties with graphene. The electronic properties of the SL differ in subtle but important ways from those of the parent compounds [1–4]. Most recent research on SL TMDCs has focused on semiconducting materials, because of the possibility to exploit spin and valley degrees of freedom [5].

Metallic SL TMDCs are interesting for other reasons: Their quasi-two-dimensional (2D) parent compounds host a wide range of symmetry-breaking electronic instabilities, such as charge density waves (CDWs), superconductivity (SC), and Mott states [6,7], and it is an open question how these would change in the SL limit. For CDWs driven by electronic correlations or nesting, one might expect an increased transition temperature in the SL limit; but, since the CDW physics in the bulk is often complex, the opposite effect could occur, or an altogether different CDW periodicity might be found. This has been explored theoretically [8–11] and, very recently, experimentally for SL TiSe₂ [12,13] and SL NbSe₂ [14,15]. In SL NbSe₂, a strongly increased CDW transition temperature has been observed by optical techniques [14], whereas atomically resolved scanning tunneling microscopy (STM) measurements reveal a similar transition temperature as in the bulk [15]. Such discrepancies might have several reasons. One is the possible role of contamination in studies that are not performed in ultrahigh vacuum (UHV). Another is the role of the substrate (silicon oxide in the case of Ref. [14] and bilayer graphene in Ref. [15]). For semiconducting TMDCs, the substrate can strongly modify the size of the SL TMDC's band gap via screening [16,17]. This is probably less important for metallic TMDCs but the substrate can still give rise to doping and strain, two factors that significantly influence the formation of CDWs [18,19].

A major challenge in the study of thin TaS₂ and other metallic TMDCs is sample preparation. In contrast with some other TMDCs, the material is air sensitive—particularly in the atomically thin limit [20]—and is therefore difficult to prepare by exfoliation. Studies have been carried out on TaS₂ flakes exfoliated in air or in glove boxes [20–22], and on flakes isolated by intercalation [23], with results that have been partly contradictory, in particular for the case of very thin films.

We overcome the reactivity issue by epitaxially growing SL TaS₂ on Au(111) under UHV conditions. This allows for the preparation of atomically clean samples, along with a precise control of layer thickness. Additionally, it results in a well-defined crystalline orientation in the TaS2 with respect to the orientation of the underlying Au(111) substrate. One question that arises is which of two possible structural phases—trigonal prismatic (hereafter referred to as "1H") or octahedral ("1T")—will be adopted by SL TaS₂ (see the insets in Fig. 3). This distinction is important, since the electronic properties and electronic instabilities of the 2H and 1T bulk analogs are entirely distinct from one another [6,7]. A critical question is whether the electronic instabilities observed in the bulk will also occur in the SL [11]. We find that it is the 1H phase that is adopted in SL TaS₂ on Au(111) and that, surprisingly, neither CDW nor SC states are observable at temperatures as low as 4.7 K.

The samples were synthesized using methods that are well established for the growth of semiconducting SL TMDCs such as MoS₂ and WS₂; these methods are essentially based on the evaporation of a transition metal in an atmosphere of H₂S onto a clean Au(111) surface that has been sputtered and annealed to exhibit the regular herringbone reconstruction [24–27]. Samples were grown and analyzed *in situ* with angle-resolved photoemission spectroscopy (ARPES), low-energy electron diffraction (LEED), and STM at the SGM3 endstation of the ASTRID2 synchrotron radiation facility [28]. The sample temperature was 95 K for ARPES and LEED measurements. Low-temperature STM and scanning tunneling spectroscopy (STS) measurements were performed at 4.7 K in a separate chamber, to which the samples were transferred without breaking vacuum.

Density functional theory (DFT) calculations for free-standing SL TaS_2 were performed using the VASP code [29–31]. The valence electrons were described by plane-wave basis sets with a kinetic energy threshold of 415 eV. The interaction between the valence and frozen core electrons was accounted for by means of the projector augmented wave method [32]. The Perdew-Burke-Ernzerhof (PBE) approximation to the exchange-correlation functional was used [33]. The optimized lattice parameter for the 1H and 1T phases of SL TaS_2 was found to be 3.337 and 3.372 Å, respectively. The TaS_2 SLs were modeled by single (1 × 1) unit cells and the reciprocal space was sampled with a $(20 \times 20 \times 1)$ mesh of k points.

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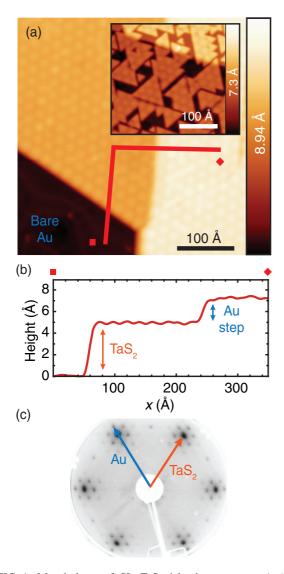


FIG. 1. Morphology of SL TaS₂ islands grown on Au(111). (a) STM scans showing submonolayer coverage for typical samples grown under different conditions to yield large areas (main panel) or smaller ordered islands (inset) of SL TaS₂. (Main panel: $I_{\rm set}=105$ pA, $V_S=440$ mV; inset: $I_{\rm set}=199$ pA, $V_S=1.0$ V.) (b) Line profile taken along the line marked in (a). Square and diamond symbols in (a) mark the start and end of the line. The Au(111) step height and the apparent height of the TaS₂ SL are indicated. (c) LEED data ($E_{\rm kin}=65.3$ eV) from a sample at submonolayer coverage. Au and TaS₂ diffraction patterns are both visible, along with the pattern derived from the moiré superstructure. Higher-order moiré spots can also be seen—in particular, halfway between the integer-order spots.

Electron density was self-consistently converged with an energy threshold of 10^{-6} eV. Atomic positions were relaxed until the forces on all atoms were smaller than 0.01 eV ${\rm \mathring{A}}^{-1}$. Spin-orbit coupling has been taken into account for all calculations.

Figure 1(a) shows the morphology of SL TaS₂ measured by STM for two samples of submonolayer coverage. For the main panel, the growth conditions have been chosen such as to produce large islands, whereas the inset shows conditions that give rise to many smaller and triangular clusters. The

large-scale hexagonal structure visible on the islands in Fig. 1(a) is caused by a moiré superstructure, similar to that observed for MoS₂/Au(111) [25] and WS₂/Au(111) [26], with a superstructure lattice constant of 23.1(4) Å. The moiré's structure is more clearly seen in the atomically resolved STM image in Fig. 4(a), and leads to satellite spots in the LEED image of Fig. 1(c). LEED and STM results consistently give an in-plane atomic lattice constant of 3.3(1) Å for the TaS₂ layer, which is the same as in the bulk compounds [6].

Note that it is difficult to distinguish between the 1H and 1T phases from such data, since both phases have hexagonal structures with similar lattice constants [35]. It is evident from the LEED pattern that the TaS_2 overlayer possesses a well-defined orientation with respect to the underlying substrate. This orientation still permits the existence of two rotational domains (rotated by 180° with respect to each other). The uppointing and down-pointing triangles in the inset to Fig. 1(a) are distinguished by these two orientations [36].

Although a nontrivial interaction between the TaS_2 and the Au(111) is suggested by the well-defined crystalline orientation of the overlayer relative to the substrate, triangular islands are nevertheless observed to readily cross step edges, as in the inset to Fig. 1(a). This growth mode has been identified previously in graphene on certain substrates, such as Ir(111) [37], and has been interpreted as a consequence of weak substrate-overlayer interaction.

The ARPES data in Fig. 2 reveal the electronic band structure close to the Fermi level. In addition to the features arising from the exposed Au substrate [in particular, the Au surface state at $\bar{\Gamma}$ and the Au sp states closer to the edge of the Brillouin zone (BZ)], the TaS₂ overlayer exhibits a Fermi contour [Fig. 2(a)] consisting of two distinct features. The first is an apparently hexagonal contour around the BZ center $\bar{\Gamma}$. The second consists of two concentric rings around the \bar{K} point.

The structure of the SL, 1H or 1T, can readily be determined by comparison to the DFT calculations for free-standing SL TaS₂ shown in Fig. 3. While both the 1H and 1T structures give rise to a metallic SL, the actual band structures are very different. Clearly, the band structure for the 1H modification gives better agreement with the experimental data because it contains the same Fermi contour features, while the Fermi contour for the 1T phase is very different [38]. Indeed, even quantitative agreement can be obtained when the calculated bands are shifted by 0.12(2) eV to a higher binding energy to account for electron doping. This is shown in Fig. 2(c) (cf. the region enclosed with a dashed orange box in Fig. 3), where the shifted calculated bands are superimposed on the data. Thus, the structural phase preferred by epitaxial TaS₂ on Au(111) is 1H, rather than 1T. As can be seen by inspection of the calculated 1H band structure in Fig. 3, the features at the Fermi surface stem from the same band, which is spin degenerate near $\bar{\Gamma}$ but strongly split near \bar{K} . An inspection of the dispersion along different high-symmetry directions of the BZ [Figs. 2(b) and 3] shows that all Fermi contour features are hole pockets. A comparison to the calculated Fermi contour [38] reveals that the finite but unresolved splitting of the bands near the Fermi contour around $\bar{\Gamma}$ is responsible for the apparently hexagonal shape of this hole pocket, even though the individual bands do not have hexagonal Fermi contours.

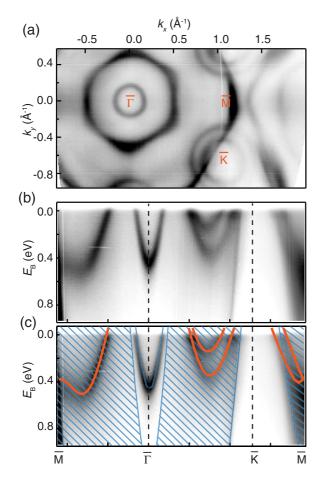


FIG. 2. Electronic structure of SL TaS₂ measured with ARPES. (a) Photoemission intensity at the Fermi energy ($h\nu = 30$ eV). (b) Photoemission intensity along high-symmetry directions of the 2D BZ ($h\nu = 30$ eV). (c) Data in (b) with the calculated 1H-TaS₂ band structure superimposed in orange. The calculated bands have been shifted by 0.12 eV to higher binding energy. The Au surface state and projected bulk bands of Au(111) are indicated with blue, as guides to the eye [34].

While the band structure of the bulk 2H parent compound can be considered to be quasi-2D, the truly 2D situation in the SL manifests important differences from the quasi-2D bulk case. Particularly relevant are the modifications to the single band forming the Fermi contour of the SL. In the SL, the band is twofold degenerate near $\bar{\Gamma}$ and spin split near \bar{K} . In the 2H bulk, on the other hand, the spin degeneracy is never lifted because of the structure's inversion symmetry. Still, the interaction of the two layers in the unit cell splits the band into two twofold degenerate bands near Γ while it remains fourfold degenerate at the BZ border point H. This causes a rather strong dispersion perpendicular to the TaS_2 layers, giving rise to a deviation from 2D behavior [39]. Naively, one might thus expect a stronger tendency for the formation of CDW states in the SL, at least for nesting-driven CDWs.

We address the question of whether the sample exhibits CDW or SC by inspecting STM/STS data taken at 4.7 K. In bulk 2H-TaS₂, the superconducting critical temperature is $T_C = 600$ mK [40], and a CDW of (3×3) periodicity sets in below $T_{\rm CDW} = 75$ K [6], with an accompanying lattice

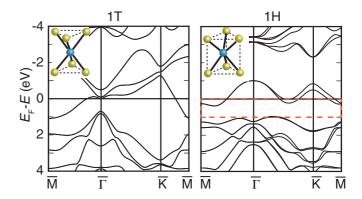


FIG. 3. Calculated electronic band structures of SL 1T- and 1H-TaS₂. The atomic structures of the two phases are shown schematically in the insets. In the 1H band structure, the calculated spin splitting at \bar{K} is 0.348 eV. The orange dashed rectangle marks the energy and k range studied in Figs. 2(b) and 2(c).

distortion that is clearly visible as a periodic superstructure in the STM data [41] (the same is true for SL NbSe₂ [15]). The low-temperature STM data in Fig. 4(a), on the other hand, show no indication of any additional periodicities apart from the lattice as such and the moiré superstructure. This is confirmed by an inspection of the Fourier transformation of the image, which only shows these two periodicities [see Fig. 4(b)]. STS measurements made at 4.7 K show a strong feature at approximately 430 meV above the Fermi energy, consistent with results obtained from NbSe₂, where this has

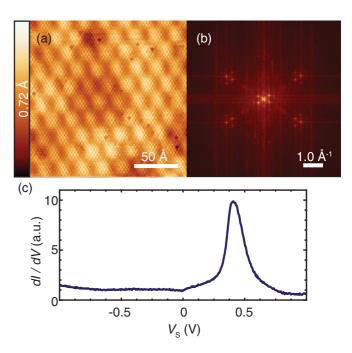


FIG. 4. Absence of CDW state, revealed by STM/STS data acquired at 4.7 K. (a) High-resolution STM image, showing the atomic lattice and moiré superstructure. ($I_{\rm set}=500$ pA, $V_S=4.3$ mV.) (b) Fast Fourier transform of data in (a). (c) Representative STS point spectrum ($I_{\rm stab}=500$ pA, $V_S=1.0$ V, $V_{\rm mod}=5$ mV, $f_{\rm mod}=4.423$ kHz).

been associated with the top of the valence band at $\bar{\Gamma}$ [15]. The spectra give no indications of a SC gap.

It is not surprising that SC is not observed, since the $T_C =$ 600 mK is much lower than the measurement temperatures used in the present study. One set of recent studies has suggested that T_C in thin flakes might be higher than the bulk value [20,22]—in contrast to what has been seen for the case of NbSe₂, where T_C is suppressed in the SL limit [14,15]—but even the highest proposed temperatures for thin TaS₂ are considerably smaller than the measurement temperatures of the present study.

The absence of a CDW, however, is surprising. The onset of CDW instability at 75 K in bulk 2H-TaS $_2$, though it is below the temperature at which ARPES and LEED data were collected here, is significantly above that at which STM and STS data were acquired. In the related material NbSe $_2$, there have been conflicting findings for the CDW onset temperature in the SL with respect to the bulk. A strongly increased $T_{\rm CDW}$ was reported for SL NbSe $_2$ on silicon oxide [14], whereas a minor decrease was observed for SL NbSe $_2$ in UHV on bilayer graphene [15].

In this context, it is interesting to compare the Fermi vector $2k_F = 0.96(2) \text{ Å}^{-1}$ measured across the hole pocket at $\bar{\Gamma}$ in the present study with that which would be required if a (3×3) CDW state were driven by nesting: In the nesting-driven case, the nesting vector would need to be 0.73 Å⁻¹. Clearly, this value matches poorly to the experimentally derived value of $2k_F$; however, this disagreement is not sufficient to explain the absence of a CDW, since simple nesting cannot explain the CDW in the bulk parent materials, either [8,42-44].

The most likely explanation for the lack of CDWs is doping of the TaS_2 by the Au substrate. As already seen in Fig. 2(c), the calculated bands have to be shifted to higher energy by 0.12 eV to match the observed dispersion, suggesting that the SL is electron doped. A rigorous determination of the Fermi contour areas gives a carrier concentration of approximately 0.3(1) extra electrons per unit cell [38]—i.e., an occupation of 1.3(1) electrons in the uppermost valence band, in contrast

with the single electron that one would expect for the undoped material. Previous studies of alkali-intercalation compounds [18] have shown that the CDW can already be suppressed at more modest electron doping, suggesting that this plays a decisive role.

The CDW transition might also be influenced by other factors, e.g., reduced dimensionality; substrate interactions other than doping, such as screening [16,17]; chemical bonding [26]; or strain [19]. In the present case, the uncertainty in the measurement of the atomic lattice puts an upper limit of $\approx 3\%$ on the in-plane strain and Figs. 2 and 3 show that the substrate has only a minor influence on the band structure of SL TaS₂, apart from the doping. However, these factors might still play a minor role in suppressing CDW formation [9].

In summary, we have successfully used an epitaxial approach to fabricate monolayer TaS₂ on Au(111) substrates. We have investigated band structure and crystallinity *in situ* using ARPES, STM, and LEED. We have used low-temperature STM/STS to obtain detailed information on the growth mode and to measure the density of states close to the Fermi level. Comparing our band structure measurements to calculations by DFT, we have determined that our samples are in the 1*H* phase. We do not see evidence of SC or a CDW state at temperatures down to 4.7 K. It remains, of course, possible that CDW or SC transitions are observable at lower temperatures.

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