

**Original citation:**

Haywood, Kirstie L., Wilson, Roger, Staniszewska, Sophie and Salek, Sam. (2016) Using PROMs in healthcare. Who should be in the driving seat - policy makers, health professionals, methodologists or patients? *Patient: Patient-Centered Outcomes Research*, 9 (6). pp. 495-498.

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**Publisher's statement:**

"The final publication is available at Springer via <http://dx.doi.org/10.1007/s40271-016-0197-5> "."

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# The Patient: Patient–Centered Outcomes Research

## Using PROMs in healthcare. Who should be in the driving seat - Policy makers, health professionals, methodologist or patients?

--Manuscript Draft--

<b>Manuscript Number:</b>	
<b>Full Title:</b>	Using PROMs in healthcare. Who should be in the driving seat - Policy makers, health professionals, methodologist or patients?
<b>Article Type:</b>	Current Opinion (Invited)
<b>Funding Information:</b>	
<b>Abstract:</b>	Editorial - therefore no abstract.
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<b>Author Comments:</b>	Dear Sir/Madam,  Many thanks for the invitation to submit an editorial highlighting the current challenges for PROM development.  We hope that the editorial is of interest and will be accepted for inclusion in 'The Patient'.  With thanks on behalf of my fellow co-authors,  Yours faithfully,  Kirstie Haywood
<b>Suggested Reviewers:</b>	Susan Bartlett susan.bartlett@mcgill.ca PROM methodologist - extensive experience in PROM development, application and evaluation. And with PPI/PE in PROM development.  Amye Leong amy@healthmotivation.com Extensive experience as patient research partner with OMERACT and beyond - has extensive knowledge re PROM and Core Outcome Set development.  Anne Lyddiatt lyddiatt@lyddiatt.ca Extensive experience as patient research partner with OMERACT and the Cochrane Collaboration - has significant experience with PROM and Core Outcome Set



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1 The recent Cancer Strategy for England (2016) highlights that by March 2017 NHS England will have  
 2 agreed an approach for data collection which includes patient-reported outcome measures (PROMs)  
 3 as a means for assessing long-term quality of life (QoL) for cancer patients [1]. Moreover, it indicates  
 4 that “people affected by cancer (and clinical leaders) ... will be in the driving seat for improving  
 5 quality across cancer pathways” ([1] page 18).  
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 9 For this to work and be sustained requires all stakeholders and end-users - including policy makers,  
 10 health professionals, methodologists and patients - to contribute to the co-construction of a process  
 11 (including PROM selection) that is relevant and fit for purpose. Several key questions must drive the  
 12 process: What do the different stakeholders need from the QoL data? (*What to measure?*); How will  
 13 these needs be reflected in the choice of PROM? (*How to measure?*); When should QoL be assessed?  
 14 (*When to measure?*); and How can agreement between stakeholders be achieved? (*What does*  
 15 *consensus look like?*). Additionally, consideration must be given to the education and support  
 16 required to support data interpretation (*What does the data mean?*). Strong collaborative  
 17 relationships between key players will be crucial throughout the process – from question definition  
 18 to implementation - to ensure buy-in for the recommendations [2] and to ensure consistency in the  
 19 way data are interpreted.  
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i. *What to measure, when and achieving consensus:*

Historically, the defining of outcomes has largely been driven by the perspectives of health professionals and methodologists. For example, a recent review of clinical trials in resuscitation science highlighted the (not surprising) focus on short-term survival and clinician-reported outcome, but also the (surprising) failure to include the survivors’ perspective or longer-term assessment of QoL [3]. Whilst such short-term outcomes are of clear importance to clinicians and health care providers, they lack both relevance and trajectory for patients. For example, how meaningful is a clinician-based assessment of function at hospital discharge? What is important to patients – in both seeking to communicate ‘how well they survive’ and in seeking to understand the benefits (and side effects) of treatment – is their quality of life, over time, and not at a solitary point in time (particularly when so much changes following hospital discharge).

Growing awareness of the discrepancies that exist between outcomes defined by a non-patient population versus those defined by patients living with a health condition has resulted in a move towards the greater involvement of patients in the identification of important outcomes [4,5,6]. The importance (and challenges) of engaging with multiple perspectives – including patients - in defining what to measure in clinical trials and routine practice settings is increasingly evident in the development of core outcome sets (COS) [7][[www.comet-initiative.com](http://www.comet-initiative.com)]. Achieving consensus in

1 outcome reporting – defining a common standard for outcomes for specific conditions and across  
2 specific settings - reduces variation in outcome reporting and supports data comparison across  
3 clinical trials, research and registry databases, and routine practice [7,8,9]. In the era of ‘Big Data’ it  
4 also supports data linkage, providing evidence which, for example, can contribute to a developing  
5 picture of different cancer pathways [10,11]. A clear and accepted standard for what must be  
6 reported also reduces concerns over potential reporting bias [12,13]: if the outcome has been clearly  
7 defined, the results should be analysed consistently and communicated transparently.

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12 The 2016 Cancer Strategy describes a Cancer Dashboard that will include a long-term QoL metric  
13 that will “*serve as the single version of the truth on cancer outcomes*” ([1] p18). Clarity in the aspects  
14 of QoL that are important is therefore crucial – and essential to informing the choice of metric or  
15 method of assessment (*how to measure*). QoL is a multi-faceted, broad-ranging construct, including  
16 both health and non-health related concepts [14]. What ‘quality of life’ means to a clinician – and  
17 indeed, what information the clinician needs from this information - may differ significantly to the  
18 way in which QoL is defined by a patient or by a healthcare provider – and the information that they  
19 need to inform their individual decision-making about access to or provision of that treatment.  
20 Hence, the acceptability and validity of the metric which purports to measure QoL may differ  
21 between stakeholders.  
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31 *ii. How to measure and understand the data:*

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34 Once the question of ‘what to measure’ has been resolved, a method of assessment is required. The  
35 growing focus on seeking to better understand the patient perspective, coupled with developments  
36 in measurement science, have resulted in a significant growth in the availability of PROMs [15]. Well-  
37 developed PROMs are questionnaires, often containing multiple items, which seek to provide a  
38 patient-derived assessment of how patients feel, what they can and cannot do both physically and  
39 psychosocially, and hence how well they live their life, as a consequence of their health and  
40 associated healthcare. They should, ideally, include the outcomes that really matter to patients.  
41 However, not all PROMs do this equally well.  
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49 First, PROMs may be simply classified as generic – broad ranging and suitable for completion by the  
50 general population and population groups – or specific [15,16]. Specific measures may be specific to  
51 a condition (for example, breast cancer), a population (for example, children), to an aspect of health  
52 (for example, fatigue), or to an intervention (for example, hip replacement). Whilst generic measures  
53 may lack specificity, they are important in supporting health state comparisons across population  
54 and patient groups [15]. Moreover, several measures – such as the ubiquitous, generic preference-  
55 based measure, the EuroQol EQ-5D [17; <https://www.euroqol.org/about-eq-5d.html>] – contribute to  
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cost-effectiveness analyses and are widely used in Health Technology Assessments (HTAs) to inform NICE decision-making [18,19]. Well-developed specific measures should be more relevant to patient groups than their generic counterparts, and hence better able to detect the changes in health that really matter [15,20]. Good practice guidance suggests that generic and specific PROMs provide complementary information and that both should be used in healthcare assessment [20].

Second, the crafting of PROMs has, historically, been largely driven by the perspectives of health professionals and methodologists. Whilst the resulting ‘legacy’ measures - such as the EuroQoL EQ-5D – often have acceptable measurement properties in certain population groups [19,20], for many end-users such measures present a ‘crude’ illustration of the impact of an illness and have a limited role to play in supporting individual decision-making [12]. Moreover, where there is a perception that measures have been poorly developed and decision-making is based upon evidence from flawed tools, their application may evoke a degree of hostility, disbelief and/or resistance amongst health professionals, payors and patients [5,21].

A growing awareness of the limitations of existing PROMs and discrepancies that exist between the outcomes defined by patients living with a health condition and those defined by others, has resulted in a move towards the greater involvement of patients – as participants or collaborators - in PROM development and selection [5,22-24]. This can be witnessed in the greater transparency and auditable contribution of patients as participants in PROM development – for example, in qualitative research to inform the conceptual underpinning, associated item generation and use of language that resonates with patients [24,25]. This approach seeks to improve the relevance, face and content validity of measures [26] and has been embraced by major regulatory authorities and HTA agencies, in particular the FDA [24] and NICE [18.19].

The move towards the greater involvement of patients as research partners or active collaborators seeks to ensure that patients are co-drivers through *all* stages of PROM development, selection, implementation and evaluation [5,22,27-29]. The resulting co-production, or co-selection, of a PROM seeks to ensure that the measure has greater resonance with all stakeholders, the data is taken seriously and that it is, indeed, fit for purpose. Moreover, growing evidence highlights that patient involvement has its greatest value when structured early in study development, and its least when added as if an afterthought [2,22,23,26,29]; this was recognised early in the development of the National Institute for Health Research (NIHR) [30].

A further essential consideration is data interpretation. The qualitative meaning of PROM scores is not intuitively apparent [31], and ensuring that PROMs are used to their best advantage requires additional support for data interpretation. Two statistically generated values are important in this

1 context: the smallest detectable change (SDC) – a change that is greater than measurement error;  
2 and the minimal important change (MIC) – the smallest change in score that patients perceive as  
3 important [31,32]. Determination of these values is often used to underpin the graphic illustration of  
4 scores – and more specifically change in scores – supporting data interpretation. Working together  
5 with patients and/or health professionals, there are examples of embedding PROMs within e-health  
6 systems and linking the interpretation of change scores to ‘traffic lights’ [33], to clinical vignettes and  
7 decision-trees [34].  
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12 With patients firmly positioned as co-drivers, the time has come for PROMs to move into the fast  
13 lane of healthcare. Evidence of the scientific rigour of PROMs as measures of explicit, meaningful  
14 variables is essential to ensuring that PROM data is high quality [15,21,32]. To ensure this rigour,  
15 there have been calls for clinicians to be formally trained in the science of health measurement [21].  
16 We now suggest a further evolution to this call – the need for more patients to be formally involved  
17 as research partners throughout all stages of PROM co-construction, selection and implementation  
18 of PROMs [5].  
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22 But, PROMs are not the answer to everything – and provide only a patient’s perspective of the  
23 ‘truth’. It is important that we understand what PROMs can and cannot do. High quality, relevant  
24 and acceptable PROMs will enhance our understanding of the impact of ill-health, raise our  
25 awareness of patient needs, and hence inform the provision of more tailored health and social care  
26 – with the ultimate goal of improving health and well-being. Moreover, well-developed PROMs  
27 should facilitate dialogue and communication between health professionals and patients, leading to  
28 better joint decision moving forward [34-36].  
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33 However, PROMs are not intended to be used in isolation or indeed to replace clear clinical  
34 judgement; their judicious integration into practice must recognise the philosophical underpinning  
35 of patient-reported assessment and its role within patient-centred care. England’s Cancer Strategy  
36 2016 must seek to facilitate the transparent integration of patient values captured within a PROM,  
37 with appropriate research evidence and clinical expertise to enable a complete understanding of the  
38 truth on cancer outcomes – and hence the evidence to underpin access to new medicines. For those  
39 conditions where less attention has been afforded to seeking to better understand the outcomes  
40 that really matter to patients, a similar model of integration and co-construction which seeks to  
41 make the truth about outcomes more transparent is recommended.  
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10 ***Compliance with Ethical Standards:***

11  
12 Financial assistance in support of the submitted editorial was not provided.  
13

14  
15 ***Conflict of Interest:***

16  
17 ***Compliance with Ethical Standards:***

18  
19 ***Funding:*** This editorial did not receive any financial support.  
20

21  
22 ***Conflict of Interest:*** Author Kirstie L Haywood is co-founder, past co-chair and current chair-elect of  
23 the International Society for Quality of Life research (ISOQOL) Patient Engagement Special Interest  
24 Group. Author Roger Wilson declares that he has no conflict of interest. Author Sophie Staniszewska  
25 declares that she has no conflict of interest. Author Sam Salek is co-founder, past co-chair and  
26 current chair of the ISOQOL Patient Engagement Special Interest Group and has received Educational  
27 and Travel grants from the European Hematology Association, Shire Pharmaceuticals and Agios.  
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33 ***Ethical approval:*** This editorial does not contain any studies with human participants or animals  
34 performed by any of the authors.  
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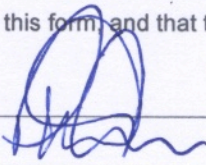
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