

Kent Academic Repository

Full text document (pdf)

Citation for published version

Guimarães Sá Correia, Mariana and Briuglia, Maria L. and Niosi, Fabio and Lamprou, Dimitrios A. (2016) Microfluidic manufacturing of phospholipid nanoparticles: Stability, encapsulation efficacy, and drug release. *International Journal of Pharmaceutics*, 516 (1-2). pp. 91-99. ISSN 0378-5173.

DOI

<https://doi.org/10.1016/j.ijpharm.2016.11.025>

Link to record in KAR

<http://kar.kent.ac.uk/59860/>

Document Version

Author's Accepted Manuscript

Copyright & reuse

Content in the Kent Academic Repository is made available for research purposes. Unless otherwise stated all content is protected by copyright and in the absence of an open licence (eg Creative Commons), permissions for further reuse of content should be sought from the publisher, author or other copyright holder.

Versions of research

The version in the Kent Academic Repository may differ from the final published version.

Users are advised to check <http://kar.kent.ac.uk> for the status of the paper. **Users should always cite the published version of record.**

Enquiries

For any further enquiries regarding the licence status of this document, please contact:

researchsupport@kent.ac.uk

If you believe this document infringes copyright then please contact the KAR admin team with the take-down information provided at <http://kar.kent.ac.uk/contact.html>

1 **Microfluidic manufacturing of phospholipid nanoparticles: stability,**
2 **encapsulation efficacy, and drug release**

3 **Mariana Guimarães Sá Correia¹, Maria L. Briuglia², Fabio Niosi³, Dimitrios A.**
4 **Lamprou^{1, 2, 4*}**

5 ¹Strathclyde Institute of Pharmacy and Biomedical Sciences (SIPBS), University of
6 Strathclyde, 161 Cathedral Street, G4 0RE Glasgow, United Kingdom.

7 ²National EPSRC Centre for Innovative Manufacturing in Continuous Manufacturing and
8 Crystallisation (CMAC), University of Strathclyde, Technology and Innovation Centre, 99
9 George Street, G1 1RD Glasgow, United Kingdom.

10 ³School of Chemistry, Trinity College Dublin, College Green, Dublin 2, Ireland Centre for
11 Research on Adaptive Nanostructures and Nanodevices (CRANN), Trinity College Dublin,
12 College Green, Dublin 2, Ireland.

13
14 ⁴New Address: Medway School of Pharmacy, University Kent, Medway Campus, Anson
15 Building, Central Avenue, Chatham Maritime, Chatham, ME4 4TB Kent, United Kingdom.

16
17 *Corresponding author. E-mail Address: D.Lamprou@kent.ac.uk. Tel.: +44(0) 1634 20 2947

18
19 **Abstract**

20 Liposomes have been the centre of attention in research due to their potential to act as drug
21 delivery systems. Although its versatility and manufacturing processes are still not scalable and
22 reproducible. In this study, the microfluidic method for liposomes preparation is presented.
23 DMPC and DSPC liposomes containing two different lipid/cholesterol ratios (1:1 and 2:1) are
24 prepared. Results from this preparation process were compared with the film hydration method
25 in order to understand benefits and drawbacks of microfluidics. Liposomes characterisation
26 was evaluated through stability studies, encapsulation efficacy and drug release profiles of
27 hydrophilic and lipophilic compounds. Stability tests were performed during 3 weeks and the
28 liposomes properties of the most stable formulations were determined using Infrared

29 Microscopy and Atomic Force Microscopy. Microfluidic allows loading of drugs and assembly
30 in a quick single step and the chosen flow ratio for liposomes formulation plays a fundamental
31 role for particle sizes. One hydrophilic and one lipophilic compound were incorporated
32 showing how formulation and physic-chemical characteristics can influence the drug release
33 profile.

34 **Keywords:** liposomes, microfluidics, encapsulation efficacy, controlled release.

35

36 **1. Introduction**

37 Liposomes are lipid structures that can be self-assembled naturally or prepared with natural or
38 synthetic lipids [Immordino et al., 2006; Yadav et al., 2011]. These molecules present an
39 amphipathic environment, which allows hydrophobic and hydrophilic drugs incorporation,
40 thus providing an excellent structure for drug delivery systems [Immordino et al., 2006; Pattai
41 et al., 2015]. The stability of the liposomal product depends on chemical, physical, and
42 biological properties. Changes can occur during storage and modify important features
43 correlated to the drug delivery process. Chemical transformations for example can influence in
44 vivo fate of the liposome by affecting its loading and releasing properties [Heurtault, 2003].

45 Liposome production aims to achieve predictable and reproducible particle size distributions
46 [Kreuter, 1994]. Commonly used methods for liposome formulation include hydration of lipids
47 in aqueous buffer, freeze-thaw cycling, film hydration, reversed phase evaporation, normal
48 phase integration, detergent depletion, and pH adjustment [Jahn et al., 2007]. All of these are
49 conducted through the mixing of bulk phases. Traditional bulk methods of preparing liposomes
50 are often characterised by heterogeneous and poorly controlled chemical and/or mechanical
51 conditions that often result in liposomes poly-disperse in size and lamellarity [Jahn et al., 2004,
52 2007].

53 Due to these difficulties, microfluidics techniques overcome reproducibility problems. They
54 work with small volume of fluids (10^{-9} to 10^{-8} litres) within channels with dimensions of 10
55 to 100 micrometres [Whitesides, 2006]. Many advantages come with usage of these techniques,
56 such as more thoughtful use of sample and reagent resources, possibility to carry out
57 separations and detections with higher resolution and sensitivity, lower cost of the whole
58 procedure, quicker analysis, and small footprints for the analytical devices [Squires and Quake,
59 2005; Whitesides, 2006]. Microfluidic systems step up in the area of drug delivery with
60 promising features that allow control of particle size and stability of the final liposome product,
61 during preparation with simple steps like applying different flow rate ratios (FRR) and total
62 flow rate (TFR); Fig. 1.

63 One of the aims of this study is to compare quality and properties of microfluidics formulations
64 with liposomes generated through the hydration method in a previous study from our group
65 [Briuglia et al., 2015]. In this current study, we changed the lipid:cholesterol ratio depending
66 on the different applied FRR and TFR. The best formulation was chosen, and Atomic Force
67 microscopy (AFM) studies were performed in order to evaluate liposome morphology. Finally,
68 drug delivery studies with the same hydrophobic and hydrophilic drugs used by Briuglia et al.
69 were encapsulated in order to investigate possible analogies or differences in terms of drug
70 release. In our previous study, the most stable liposome composition was 2:1 as
71 lipid:cholesterol ratio. The encapsulation efficacy was of 90% for atenolol (AT) and 88 % for
72 quinine (Q) and the release profiles showed faster results for the hydrophilic molecule. In this
73 paper, we compared hydration method with microfluidics formulation, and underline the
74 benefits of microfluidics for industrial liposomes production.

75

76 **2. Materials and Methods**

77 2.1. Materials

78 The synthetic 1,2-dimyristoyl-sn-glycero-3-phosphocholine (DMPC) ($\geq 98\%$) and 1,2-
79 distearoyl-sn-glycero-3-phosphocholine (DSPC) ($\geq 98\%$) were a gift from Lipoid GmbH (Fig.
80 2). Cholesterol (CH) ($\geq 99\%$), Tablets of phosphate-buffered saline (PBS, pH 7.4), atenolol
81 (AT) ($\geq 98\%$) and quinine (Q) ($\geq 98\%$) were all obtained from Sigma-Aldrich.

82

83 2.2. Preparation of liposomes

84 Liposomes were prepared using a microfluidic micro-mixer, which through hydrodynamic
85 flow enables nano precipitation of lipids. The system known as NanoAssemblr™ (Benchtop,
86 Precision NanoSystems Inc., Vancouver, Canada) contains a microfluidic cartridge (52 mm
87 thick and 36 mm height with moulded channels of 300 μm in width and 130 μm in height with
88 staggered herringbone structures). The Nanoassemblr mixing chips have two stream inlets that
89 merge into a micro-channel (Fig. 1). The two inlets used correspond to the lipid mixtures,
90 which were dissolved in an organic solvent (ethanol), and the aqueous buffer (PBS, pH 7.4).
91 Both fluids were pumped into the two inlets of the microfluidic micro-mixer using disposable
92 syringes. The staggered herringbone structure of the micro-mixer enhances the advection and
93 diffusion of the fluids flowing through the micro-channel [Belliveau et al., 2012]. By inducing
94 rotational flow, the fluid streams get wrapped around each other, allowing the introduction of
95 chaotic flow profile, that results in faster mixing of fluids [Belliveau et al., 2012]. The
96 NanoAssemblr™ allowed the control of TFR (1, 6, 20 $\text{mL}\cdot\text{mL}^{-1}$) and the FRR (1:1, 3:1 and 5:1
97 ratio of the aqueous: solvent) between the two inlet streams through computerised syringe
98 pumps. An increase at FRR (e.g. 1:1 to 1:3 aqueous/ethanol) was reported to cause a decrease
99 of mean size of liposomes along with the increase of polydispersity index (PDI) [Kastner et al.,
100 2014, 2015]. Additionally, TFR did not show significant effects on the liposome size, zeta (ζ)
101 potential and polydispersity index (PDI) [Kastner et al., 2014, 2015].

102 Two different ratios of lipid/cholesterol were used in the experiment, 1:1 and 2:1. For the
103 encapsulation studies AT and Q were dissolved in PBS (at a concentration of 10 mg ml⁻¹ for
104 AT and 0.3 mg ml⁻¹ Q).

105

106

107 2.3 Stability Studies

108 The stability tests were conducted for three weeks after the liposome formulations. The samples
109 were divided into two batches and stored in controlled temperature rooms at 4°C and 37 °C.
110 Size, PDI and ζ-potential were measured three times every week. Particles morphology was
111 investigated using Atomic Force Microscopy (AFM) at week 0 for the four most stable
112 formulations.

113

114 2.4 Liposomes Physicochemical Characterisation

115 2.4.1 Dynamic Light Scattering (DLS)

116 The size distribution (mean diameter and PDI) of the liposomes was measured by dynamic
117 light scattering (DLS) on a Zetasizer Nano-ZS (Malvern Instruments Ltd., UK), which enabled
118 to obtain the mass distribution of particle size as well as the electrophoretic mobility.
119 Measurements were made at 20 °C with a fixed angle of 137 ° in a dilution of 1/100 using PBS
120 pH 7.4. Sizes quoted are the z-average mean (dz) for the liposomal hydrodynamic diameter
121 (nm). Moreover, the same equipment was used to measure the ζ-potential for all formulations.

122

123 2.4.2 Fourier Transform Infrared Spectroscopy (FTIR)

124 The characterisation of the liposome formulations using FTIR was performed in order to
125 understand if the CH interaction with phospholipids was changed by the microfluidic method.
126 The pellets formulations were scanned in an inert atmosphere over a wave number range of

127 3000-1500 cm^{-1} over 128 scans at a resolution of 4 cm^{-1} and an interval of 1 cm^{-1} . All FT-IR
128 spectra were recorder on BRUKER tensor II FT-IR Spectrometer and the background was
129 subtracted from each spectrum.

130

131 2.4.3 Atomic Force Microscopy (AFM)

132 A volume of 5 μl from each formulation was placed on a freshly cleaved mica surface (1.5 cm
133 x 1.5 cm; G250-2 Mica sheets 1" x 1" x 0.006"; Agar Scientific Ltd., Essex, UK). The sample
134 was then air-dried for ~30 min and imaged at once by scanning the mica surface in air under
135 ambient conditions using a Bruker MultiMode 8 Scanning Probe Microscope (Digital
136 Instruments, Santa Barbara, CA, USA) operated on Peak Force QNM mode. The AFM
137 measurements were obtained using ScanAsyst-air probes; the spring constant was calibrated
138 by thermal tune (Nominal 0.4 N m^{-1}) and the deflection sensitivity calibrated using a silica
139 wafer. AFM scans were acquired at a resolution of 512 x 512 pixels at scan rate of 1 Hz, and
140 produced topographic images of the samples in which the brightness of features increases as a
141 function of height. AFM images were collected from random spot surface sampling.

142

143 2.5 Dialysis dynamic experiment

144 Dynamic dialysis is one of the most commonly used methods for the determination of release
145 kinetics from nanoparticles [Modi and Anderson, 2013]. Prior to the addition of the mixture,
146 the dialysis tube [cellulose membrane avg. flat width 10 mm (0.4 in.), Sigma] was placed in
147 boiling water for 30 min and rinsed with a copious amount of water. Liposome mixtures were
148 transferred to dialysis tubing and both ends were tied. This was added against 7 ml of PBS (pH
149 7.4) [Kriwet and Müller-Goymann, 1995], for removal of non-encapsulated drug for 1 h.

150

151 2.6 Drug release experiment

152 The 7 ml of PBS were removed and replace with fresh PBS and drug release profiles was
153 analysed by extraction of 500 μ L aliquots of the immersion medium at intervals of 30 min, 1,
154 2, 3, 4, 15, 24, 48, 72 h and 8, 16 days at 37 °C. Each time the extracted volume was replaced
155 with fresh PBS pre-equilibrated at 37°C making it possible to determine diffusion parameters.
156 The amount of drug released at each time point was determined by UV-Vis using a Varian 50
157 bio UV-visible spectrophotometer at room temperature. The concentration of the drug released
158 from the dialysis tube was determined using a calibration curve of the pure drugs in PBS
159 solutions at the wavelength where showed the maximum absorbance (AT - 275 nm [Lalitha et
160 al., 2013] and Q – 330 nm [Frosch et al., 2007]). The absorbance was converted into percentage
161 release using a standard curve and experiments were performed in triplicates in order to ensure
162 accuracy.

163

164 2.7 Data fitting and Mathematical Model

165 A previously studied mathematical model was used with the results obtained from this study
166 [Peppas and Sahlin, 1989; Joguparthi et al., 2008]. The equation considered for the fitting
167 model was:

$$168 \quad \frac{Mt}{M_{\infty}} = k_1 \cdot t^m + k_2 t^{2m} \quad (1)$$

169 where t represents time, and k_1 , k_2 and m are constants. $\frac{Mt}{M_{\infty}}$ represents the Fickian diffusional
170 contribution considering the amount of drug released at time t and infinite time. These
171 parameters were used as the initial input in Igor Pro 6.34A in order to refine estimations using
172 an optimization method. Several assumptions were made in order to obtain the mathematical
173 model. Some of the assumptions were: the analysis of the data was based on one-dimensional
174 diffusion; the suspended drug is in a fine state, so particles are much smaller in diameter than
175 the thickness of the system; the diffusivity of the drug is constant; perfect sink conditions were

176 maintained during drug release experiment; the appearance of drug in the aqueous buffer is a
177 result of the diffusion of the nanoparticles followed by diffusion across the dialysis membrane,
178 although being generally treated as a first order process.

179

180 2.7 Statistical analysis

181 All experiments were performed in triplicates with calculation of means and standard
182 deviations. Two-way analysis of variance (ANOVA) was used for multiple comparisons along
183 with Tukey's multiple comparing test, followed by T-test to access statistical significance for
184 paired comparisons. Significance was acknowledged for p values lower than 0.05. All
185 calculations were made in GraphPad Prism version 6.0 (GraphPad Software Inc., La Jolla, CA).

186

187 **3. Results and Discussion**

188 3.1 Preparation of Liposomes at different FRR and TFR values

189 Different TFR and FRR were investigated. Lower TFR and FRR produce larger liposomes
190 (Fig. 3). The combination between the decrease of liposome size due to increase of FRR
191 confirms previous studies in the literature [Jahn et al., 2010; Kastner et al., 2014, 2015].
192 Although no significant differences were detected in mean size distribution of particles
193 manufactured from 1 to 6 and 6 to 20 ml min⁻¹. Particles fabricated at 20 ml min⁻¹ are smaller
194 than the ones obtained at 1 ml min⁻¹. Furthermore, particles formed with 1:1 lipid/cholesterol
195 ratios were smaller. In Fig. 4 the distribution of particle sizes for different TFR and FRR can
196 be observed. For example when comparing the DMPC and DSPC formulations prepared at
197 FRR 1 of ~200 nm, sizes of 137 nm and 98 nm were obtained for formulations prepared from
198 1:1 DMPC at TFR20 and FRR of 3:1 and 5:1 respectively. For 1:1 DSPC formulations prepared
199 at TFR20 and FRR of 3:1 and 5:1 values of 85 nm and 76 nm respectively. This occurs since
200 the fluid mixing is much faster, thus shear stress forces increase, which leads to the assembling

201 of smaller particles. Although some literature states that TFR does not significantly influence
202 mean particle size [Jahn et al., 2007; Kastner et al., 2014, 2015], this study shows that TFR
203 impact on particle size can be seen for higher values as 6 and 20 ml min⁻¹. When comparing
204 the formulations with different lipid to CH ratios, for both DMPC and DSPC 2:1 formulations
205 (Fig. 3b and 3d) presented the higher size values, such as 729 nm for 2:1 DMPC TFR1 FRR
206 3:1, 549 nm 2:1 DMPC TFR1 FRR 5:1, 1043 nm for 2:1 DSPC TFR1 FRR 3:1 and 375 nm for
207 2:1 DSPC TFR1 FRR 5:1 (Fig. 3). Overall 1:1 liposome formulations for both DMPC and
208 DSPC, presented lower mean size and lower PDI values. For the 1:1 DMPC and DSPC
209 formulations, although differences in size were not significant, TFR of 6 and 20 mL ml⁻¹, and
210 FRR of 5:1 presented the smaller size vesicles. The size of liposomes produced out of 1:1
211 lipid/cholesterol ratio ranged from ~70 nm to ~200 nm. The highest values of mean size are
212 seen for 2:1 DMPC and 2:1 DSPC were the liposomes were formed at lower TFR (1) and FRR
213 (3:1). When compared with our previous study [Briuglia et al., 2015], microfluidic allows the
214 production of liposomes with smaller mean particle size by altering TFR and FRR. The zeta
215 potential of the liposomes formed did not suffer significantly alterations despite differences in
216 flow rates. Ratios with the liposomes had a negative zeta potential of around 0 and -10 mV.

217

218 3.2 Effect of Manufacturing on stability and encapsulation efficacy

219 According to our stability tests, following microfluidics procedure, the more stable liposomes
220 result with 1:1 lipid/cholesterol ratio. This is the first major difference compared to our
221 previous study [Briuglia et al., 2015], where the best formulation was 2:1. The formulations
222 prepared at low TFR (1 ml min⁻¹) present high standard deviations for the particle size
223 distribution. Our results show that the more stable formulations were DMPC/CH 1:1 TFR20
224 ml min⁻¹ FR5:1, DMPC/CH 2:1 TFR6 ml min⁻¹ 5:1, DSPC/CH 1:1 TFR6 ml min⁻¹ 3:1, and
225 DSPC/CH 2:1 TFR20 ml min⁻¹ 5:1. These results are accordingly to literature, which states that

226 a 50 % mol/mol ratio for lipid and cholesterol is ideal for liposome stability [Gregoriadis and
227 Davis, 1979; Kirby et al., 1980]. Additionally, liposome formulations became more stable with
228 the increase of TFR (6 and 20 ml ml⁻¹) and with the increase of FRR (5:1). The graphs obtained
229 from the stability studies of the most stable formulations can be found in Fig. 4. These were
230 the ones used in further studies of AFM, IR, and drug release.

231 Encapsulation efficiency (EE) values are very important as they give an insight on whether the
232 production method can be applicable to the industrial background or not. EE data can be found
233 on Table 1. Values of EE proved to be higher for ATL formulations. These results are in
234 accordance to our previous study [Briuglia et al., 2015], where ATL showed overall higher
235 values of encapsulation efficiency than Q, even though they were produced by a different
236 method.

237 Hydrophilic and hydrophobic drugs will be loaded into the liposomes on different sites.
238 Entrapment of hydrophilic molecules occurs in the aqueous compartments of the vesicle while
239 hydrophobic drugs have higher affinity to the lipid bilayers of the vesicle [Kulkarni et al.,
240 1995]. Hydrophilic encapsulation for example is also influenced by liposome size, being that
241 encapsulation efficiencies achieved are higher for large unilamellar vesicles. Additionally,
242 charged vesicles improve hydrophilic loading [Kulkarni et al., 1995]. Although loading values
243 of hydrophobic molecules are not majorly affected by liposome size, and multilamellar
244 vesicles seem to be the most suitable [Kulkarni et al., 1995]. Furthermore, the characteristics
245 of lipids used during manufacturing and presence of cholesterol are key players for
246 hydrophobic encapsulation [Kulkarni et al., 1995]. Higher CH concentrations will have a
247 decreasing effect on membrane permeability. Hydrophobic loading will be highly dependent
248 on lipid bilayer characteristics, and higher results seem to be achieved for fluid membranes
249 [Kulkarni et al., 1995]. Furthermore, CH seems to present a competitive action with the
250 hydrophobic drug during the assembly and encapsulation for packing space in the lipid bilayer

251 [Ali et al., 2010]. Formulations that present lower CH contents were expected to show better
252 encapsulation efficacy. This did not occur for the incorporation of quinine in the 2:1 DMPC
253 formulation prepared at TFR 6 ml ml⁻¹ FR 5:1 when compared to 1:1 DMPC prepared at TFR
254 20 ml ml⁻¹ and FRR of 5:1. This may indicate that a higher mixing rate could cause better
255 encapsulation. Studies show that liposome size, and lipid size and composition, play a crucial
256 part in dictating drug release profiles and encapsulation efficiency [Betageri and Parsons,
257 1992]. Manufacturing methods may also influence EE [Kulkarni et al., 1995]. EE values of the
258 two drugs were very similar to our previous study where film hydration method was used
259 [Briuglia et al., 2015]. In general, the results obtained from this study indicate that the
260 microfluidic manufacturing process allows for high EE results of ~100 % for ATL and of ~70
261 % for Q. Also, this study shows that microfluidic technique is a faster method of encapsulating
262 drugs into liposomal products. This was to be expected since recent studies [Kastner et al.,
263 2014, 2015], also using the Nanoassemblr, describe the ability of merging liposome
264 manufacturing and drug encapsulation in a single process step, as well as flexibility and ease
265 of applying lab-on-a-chip technique. These characteristics would have great impact in industry
266 [Kastner et al., 2014, 2015].

267

268 3.3 AFM

269 Atomic force microscopy is a fast and easy to perform method, which allows to evaluate
270 liposomes morphology. The most stable formulations that were mentioned in the previous
271 section (DMPC/CH 1:1 TFR20 ml min⁻¹ FR5:1, DMPC/CH 2:1 TFR6 ml min⁻¹ 5:1, DSPC/CH
272 1:1 TFR6 ml min⁻¹3:1, and DSPC/CH 2:1 TFR20 ml min⁻¹ 5:1) were used for the AFM studies.
273 AFM results are presented in figure 5.

274 The diameter acquired by AFM measurements is comparable to DLS acquired data, where
275 some differences can be spotted. The liposomes present sizes of around 200-300 nm among

276 the different formulations which can be seen in Table 2. Differences can be seen when
277 compared with DLS size results. Reasoning behind these can be liposomes composition
278 between formulations, causing changes in the structures left after they dry and collapse on the
279 mica surface, and also deformations caused by the tip of AFM probe [Ruozi et al., 2007].
280 Despite this, results obtained are concordant with literature that describes liposome images as
281 asymmetrical flattened structures described as planar vesicles [Ruozi et al., 2007]. These are
282 also according to our previous study, where presence of cholesterol improved the shape of
283 liposomes by stabilizing them [Briuglia et al., 2015].

284

285 3.4 FT-IR

286 IR spectroscopy is a complex method, which is especially useful since the resulting spectrum
287 acts as a fingerprint for compounds. This analysis was performed on the four formulations that
288 were the most stable (DMPC/CH 1:1 TFR20 ml min⁻¹ FR5:1, DMPC/CH 2:1 TFR6 ml min⁻¹
289 5:1, DSPC/CH 1:1 TFR6 ml min⁻¹3:1, and DSPC/CH 2:1 TFR20 ml min⁻¹ 5:1). Medium
290 intensity bands near 3000 cm⁻¹ DMPC and DSPC spectrum represent C-H single-bond
291 stretching motions (Fig. 6). C-H scissoring or bend can be seen at 1330-1500 cm⁻¹. The other
292 important bond present occurs at 1680 to 1750 cm⁻¹ and represents the carbonyl group of the
293 ester bond [Larkin, 2011]. The IR spectrum of the different ratios of lipids and CH can be seen
294 in Fig. 3. The major difference between both DMPC and DSPC spectrum, comparing the
295 different ratios, is the intensity of the peaks. The spectrums of the 2:1 lipid ratios show strongest
296 peaks. When CH is present at higher concentrations, as it happens in the 1:1 formulation, it
297 interacts with the phospholipids provoking steric hindrance that ultimately results in weaker
298 peaks. This is more noticeable in the DMPC spectrums. Such results are in accordance to our
299 previous results [Briuglia et al., 2015], using the film hydration method. This indicates that the

300 microfluidic technique does not alter the composition of the lipids not the way in which CH
301 interacts with the phospholipids.

302 3.5 Drug release

303 Drug release studies are mainly influenced by liposome size, lipid composition, and lipids chain
304 length. The drug release profile will depend on where the drug is accommodated within the
305 liposome. A hydrophilic drug will be dissolved in the aqueous space inside the vesicles and
306 hydrophobic compounds are accommodated within the lipid bilayer. Q and AT drug release
307 profile graph can be seen in Fig. 7. According with literature [Hua, 2014], all of the different
308 formulations showed an initial burst of drug release. AT showed similar release profiles for the
309 different formulations. In our previous paper, each time liposomes were formulated by
310 hydration method, AT release resulted in faster release than Q.

311 In this study, there is not a distinct behaviour between AT and Q. AT presents a faster release
312 profile for DMPC formulations. On the contrary, Q shows a faster release for DSPC
313 formulations, especially for 1:1 DSPC that was prepared at TFR of 20 ml min⁻¹ and FRR 5:1.
314 This may be related to the fact that DSPC has an increased lipid chain length, and there seems
315 to be a tendency for an increase of loading and encapsulation efficiency with increasing lipid
316 chain length [Mohammed et al., 2004]. Furthermore, different values of TFR and FRR can
317 form liposomes slightly different structured compared to the formulations through film
318 hydration method. For example, if the liposomes produced with microfluidics are unilamellar
319 vesicles, this leads to higher stability and encapsulation of hydrophilic vesicles. Consequently,
320 release profile of ATL would be slower. Since hydrophobic molecules have higher affinity for
321 multilamellar vesicles, Q encapsulation values achieved would be lower, ultimately leading to
322 a faster release of the drug.

323 Formulations which contained 2:1 lipid/cholesterol contents, presented faster release profiles
324 with higher maximum releases, when compared with formulations with 1:1 lipid/cholesterol
325 ratio. According to previous studies with hydrophobic drugs, higher retention rates are seen
326 with the increase of CH content in the formulation. As CH is present in increasing contents, it
327 stabilizes liposomes but it obstructs the leakage of hydrophobic drugs [Ali et al., 2010]. Despite
328 this, 1:1 DSPC formulation encapsulated with Q, showed the fastest release.

329 From these results, we can see that microfluidic manufacturing may alter the way drugs are
330 loaded within the liposomes formed, ultimately causing changes in release profile of a specific
331 drug. This method allows different outcome possibilities accordingly to the lipid and drug in
332 question. When comparing these results with our previous study, we can see that altering
333 microfluidic parameters influences the drugs interaction with the liposomes, making it possible
334 to achieve faster release profiles for Q.

335 The developed fitting model, based on Eq. 1, can be seen in Fig. 8 and values for the constants
336 of k_1 , k_2 and m can be found in Table 3. From Fig. 8, a good correlation between drug release
337 profiles obtained in this study and the predicted values can be observed. These results validate
338 the obtained mathematical model.

339 **4. Conclusions**

340 In this study, we showed the applicability of microfluidic manufacturing method as a simpler
341 and faster way for liposomes production. Liposomes can be adjusted and manipulated by
342 changing different parameters during assembly, such as TFR and FRR. It is possible to obtain
343 smaller and more stable liposomes increasing or reducing the TFR or the FRR. The versatility
344 of microfluidic is very promising and it provides a suitable alternative method to film
345 hydration. Microfluidic simplifies the encapsulation step, without losing encapsulation
346 efficiency, making it much faster than traditional manufacturing methods. Moreover, by

347 microfluidics, the scale-up for particle production will be possible by manufacturing a scale-
348 up system that can be mainly used for clinical-size batches, with all-in-one scale-up system and
349 not by having multiple steps as in film hydration method. However, the production of large
350 scale (industrial) materials using current microfluidic technologies can be a challenge (Carugo
351 et al., 2016).

352 **Acknowledgements**

353 The authors would like to thank the EPSRC Centre in Continuous Manufacturing and
354 Crystallisation (EPSRC) for access to equipment and the ERASMUS programme for the
355 mobile scholarship.

356 **References**

- 357 Ali, M. H., Kirby, D. J., Mohammed, A. R., and Perrie, Y., 2010. Solubilisation of drugs
358 within liposomal bilayers: Alternatives to cholesterol as a membrane stabilising agent. *J.*
359 *Pharm. Pharmacol.* 62, 1646–1655.
- 360 Belliveau, N. M., Huft, J., Lin, P. J., Chen, S., Leung, A. K., Leaver, T. J., Wild, A. W., Lee,
361 J. B., Taylor, R. J., Tam, Y. K., Hansen, C. L., and Cullis, P. R., 2012. Microfluidic
362 Synthesis of Highly Potent Limit-size Lipid Nanoparticles for In Vivo Delivery of
363 siRNA. *Mol. Ther. — Nucleic Acids* 1, e37.
- 364 Betageri, G. V., and Parsons, D. L., 1992. Drug encapsulation and release from multilamellar
365 and unilamellar liposomes. *Int. J. Pharm.* 81, 235–241.
- 366 Briuglia, M.-L., Rotella, C., McFarlane, A., and Lamprou, D. a., 2015. Influence of
367 cholesterol on liposome stability and on in vitro drug release. *Drug Deliv. Transl. Res.*
368 231–242.
- 369 Carugo, D., Bottaro, E., Owen, J., Stride, E., and Nastruzzi, C, 2016. Liposome production by
370 microfluidics: potential and limiting factors. *Sci. Rep.* 6, Article number: 25876,
371 doi:10.1038/srep25876
- 372 Frosch, T., Schmitt, M., and Popp, J., 2007. In situ UV resonance Raman micro-
373 spectroscopic localization of the antimalarial quinine in cinchona bark. *J. Phys. Chem. B*
374 111, 4171–4177.
- 375 Gregoriadis, G., and Davis, C., 1979. Stability of liposomes invivo and invitro is promoted by
376 their cholesterol content and the presence of blood cells. *Biochem. Biophys. Res.*
377 *Commun.* 89, 1287–1293.

- 378 Heurtault, B., 2003. Physico-chemical stability of colloidal lipid particles. *Biomaterials* 24,
379 4283–4300.
- 380 Hua, S., 2014. Comparison of in vitro dialysis release methods of loperamide-encapsulated
381 liposomal gel for topical drug delivery. *Int. J. Nanomedicine* 9, 735–744.
- 382 Immordino, M. L., Dosio, F., and Cattel, L., 2006. Stealth liposomes: Review of the basic
383 science, rationale, and clinical applications, existing and potential. *Int. J. Nanomedicine*
384 1, 297–315.
- 385 Jahn, A., Vreeland, W. N., Gaitan, M., and Locascio, L. E., 2004. Controlled Vesicle Self-
386 Assembly in Microfluidic Channels with Hydrodynamic Focusing. *J. Am. Chem. Soc.*
387 126, 2674–2675.
- 388 Jahn, A., Vreeland, W. N., Devoe, D. L., Locascio, L. E., and Gaitan, M., 2007. Microfluidic
389 directed formation of liposomes of controlled size. *Langmuir* 23, 6289–6293.
- 390 Jahn, A., Stavis, S. M., Hong, J. S., Vreeland, W. N., Devoe, D. L., and Gaitan, M., 2010.
391 Microfluidic mixing and the formation of nanoscale lipid vesicles. *ACS Nano* 4, 2077–
392 2087.
- 393 Joguparthi, V., Xiang, T., and Anderson, B. D., 2008. Liposome transport of hydrophobic
394 drugs: Gel phase lipid bilayer permeability and partitioning of the lactone form of a
395 hydrophobic camptothecin, DB-67. *J. Pharm. Sci.* 97, 400–420.
- 396 Kastner, E., Kaur, R., Lowry, D., Moghaddam, B., Wilkinson, A., and Perrie, Y., 2014. High-
397 throughput manufacturing of size-tuned liposomes by a new microfluidics method using
398 enhanced statistical tools for characterization. *Int. J. Pharm.* 477, 361–368.
- 399 Kastner, E., Verma, V., Lowry, D., and Perrie, Y., 2015. Microfluidic-controlled manufacture

- 400 of liposomes for the solubilisation of a poorly water soluble drug. *Int. J. Pharm.* 485,
401 122–30.
- 402 Kirby, C., Clarke, J., and Gregoriadis, G., 1980. Effect of the cholesterol content of small
403 unilamellar liposomes on their stability in vivo and in vitro. *Biochem. J.* 186, 591–598.
- 404 Kreuter, J., 1994. *Colloidal Drug Delivery Systems*. - Taylor & Francis.
- 405 Kriwet, K., and Müller-Goymann, C. C., 1995. Diclofenac release from phospholipid drug
406 systems and permeation through excised human stratum corneum. *Int. J. Pharm.* 125,
407 231–242.
- 408 Kulkarni, S. B., Betageri, G. V, and Singh, M., 1995. Factors affecting microencapsulation of
409 drugs in liposomes. *J. Microencapsul.* 12, 229–246.
- 410 Lalitha, K. V., Kiranjyothi, R., and Padma, B., 2013. Uv Spectrophotometric Method
411 Development and Validation for the Determination of Atenolol and Losartan Potassium
412 By Q-Analysis. *Int. Bull. Drug Res.* 3, 54–62.
- 413 Larkin, P., 2011. *Infrared and Raman Spectroscopy; Principles and Spectral Interpretation*. -
414 Elsevier Science.
- 415 Modi, S., and Anderson, B. D., 2013. Determination of drug release kinetics from
416 nanoparticles: overcoming pitfalls of the dynamic dialysis method. *Mol. Pharm.* 10,
417 3076–3089.
- 418 Mohammed, A. R., Weston, N., Coombes, A. G. A., Fitzgerald, M., and Perrie, Y., 2004.
419 Liposome formulation of poorly water soluble drugs: Optimisation of drug loading and
420 ESEM analysis of stability. *Int. J. Pharm.* 285, 23–34.
- 421 Pattni, B. S., Chupin, V. V., and Torchilin, V. P., 2015. *New Developments in Liposomal*

- 422 Drug Delivery. Chem. Rev. 150526165100008.
- 423 Peppas, N. A., and Sahlin, J. J., 1989. A simple equation for the description of solute release.
- 424 III. Coupling of diffusion and relaxation. Int. J. Pharm. 57, 169–172.
- 425 Ruozi, B., Tosi, G., Leo, E., and Vandelli, M. A., 2007. Application of atomic force
- 426 microscopy to characterize liposomes as drug and gene carriers. Talanta 73, 12–22.
- 427 Squires, T. M., and Quake, S. R., 2005. Microfluidics Fluid physics at the nanoliter. in press.
- 428 Whitesides, G. M., 2006. The origins and the future of microfluidics. Nature 442, 368–73.
- 429 Yadav, A. V., Murthy, M. S., Shete, A. S., and Sakhare, S., 2011. Stability aspects of
- 430 liposomes. Indian J. Pharm. Educ. Res. 45, 402–413.
- 431

432 **Figures legend:**433 **Fig. 1** Representation of microfluidic chip for Nanoassemblr.

434 **Fig. 2** Chemical structures of the compounds used: (a) 2-dimyristoyl-sn-glycero-3-
 435 phosphocholine (DMPC), (b) cholesterol (CH), (c) 1,2-distearoyl-sn-glycero-3-
 436 phosphocholine (DSPC), (d) atenolol (ATL) and (e) quinine (Q).

437 **Fig. 3** Average particle size of all the formulations under different TFR and FRR, (a)
 438 DMPC/CH 1:1 , (b) DMPC/CH 2:1, (c) DSPC/CH 1:1, and (d) DSPC/CH 2:1, where $p \leq 0.05$
 439 comparing with week 1; ** - $p \leq 0.01$ comparing with week 1; *** - $p \leq 0.001$ comparing with
 440 week 1; **** - $p \leq 0.0001$ comparing with week 1; # - $p \leq 0.05$ comparing with week 2; ## - p
 441 ≤ 0.01 comparing with week 2; ### - $p \leq 0.001$ comparing with week 2; #### - $p \leq 0.0001$
 442 comparing with week 2; + - $p \leq 0.05$ comparing with week 3; ++ - $p \leq 0.01$ comparing with
 443 week 3; +++ - $p \leq 0.001$ comparing with week 3; ++++ - $p \leq 0.0001$ comparing with week 3.

444 **Fig. 4** Average particle size of the most stable formulations from week 1 to week 3 (* - $p \leq$
 445 0.05 comparing with TFR1 FRR 3:1; ** - $p \leq 0.01$ comparing with TFR1 FRR 3:1; *** - $p \leq$
 446 comparing with TFR1 FRR 3:1; **** - $p \leq 0.0001$ comparing with TFR1 FRR 3:1; # - $p \leq 0.05$
 447 comparing with TFR1 FRR 5:1; ## - $p \leq 0.01$ comparing with TFR1 FRR 5:1; ### - $p \leq 0.001$
 448 comparing with TFR1 FRR 5:1; #### - $p \leq 0.0001$ comparing with TFR1 FRR 5:1; ° - $p \leq 0.05$
 449 comparing with TFR6 FRR 3:1; °° - $p \leq 0.01$ comparing with TFR6 FRR 3:1; °°° - $p \leq 0.001$
 450 comparing with TFR6 FRR 3:1; °°°° - $p \leq 0.0001$ comparing with TFR6 FRR 3:1; ‘ - $p \leq 0.05$
 451 comparing with TFR6 FRR 5:1; ‘’ - $p \leq 0.01$ comparing with TFR6 FRR 5:1; ‘’’ - $p \leq 0.001$
 452 comparing with TFR6 FRR 5:1; ‘’’’ - $p \leq 0.0001$ comparing with TFR6 FRR 5:1; x - $p \leq 0.05$
 453 comparing with TFR20 FRR 3:1; xx - $p \leq 0.01$ comparing with TFR20 FRR 3:1; xxx - $p \leq$
 454 0.001 comparing with TFR20 FRR 3:1; xxxx - $p \leq 0.0001$ comparing with TFR20 FRR 3:1);
 455 red lines represent results at 4°C and blue dotted lines represent results at 37°C.

456 **Fig. 5** FTIR spectra of (a) DMPC/CH 1:1 TFR20 ml min⁻¹ FR5:1, (b) DMPC/CH 2:1 TFR6 ml
457 min⁻¹ 5:1, (c) DSPC/CH 1:1 TFR6 ml min⁻¹ 3:1, and (d) DSPC/CH 2:1 TFR20 ml min⁻¹ 5:1

458 **Fig. 6** AFM images of (a) DMPC/CH 1:1 TFR20 ml min⁻¹ FR5:1, (b) DMPC/CH 2:1 TFR6 ml
459 min⁻¹ 5:1, (c) DSPC/CH 1:1 TFR6 ml min⁻¹3:1, and (d) DSPC/CH 2:1 TFR20 ml min⁻¹ 5:1

460 **Fig. 7** Drug release graphs of (a) quinine, and (b) atenolol.

461 **Fig. 8** Fitting model obtained from drug release data of (a) quinine, and (b) atenolol.

462 **Table 1.**

Lipid/cholesterol ratio	TFR	FR	Atenolol (%EE)	Quinine (%EE)
DMPC 1:1	20	5:1	99.95	71.88
DMPC 2:1	6	5:1	99.95	51.54
DSPC 1:1	6	3:1	99.96	75.60
DSPC 2:1	20	5:1	99.95	77.81

463

464 **Description:**

465 Table 1 shows results of Encapsulation Efficiency (%EE) for Atenolol and Quinine using the

466 best formulations obtained from previous experiences.

467 **Table 2.**

Lipid/cholesterol ratio	TFR	FR	Size/nm
DMPC 1:1	20	5:1	363.67± 39.78
DMPC 2:1	6	5:1	217.83± 18.33
DSPC 1:1	6	3:1	251.83± 46.55
DSPC 2:1	20	5:1	266.83± 48.43

468

469 **Description:**

470 Table 2 shows liposome sizes for AFM images.

471 **Table 3.**472 **FITTING MODEL**

473 (a)

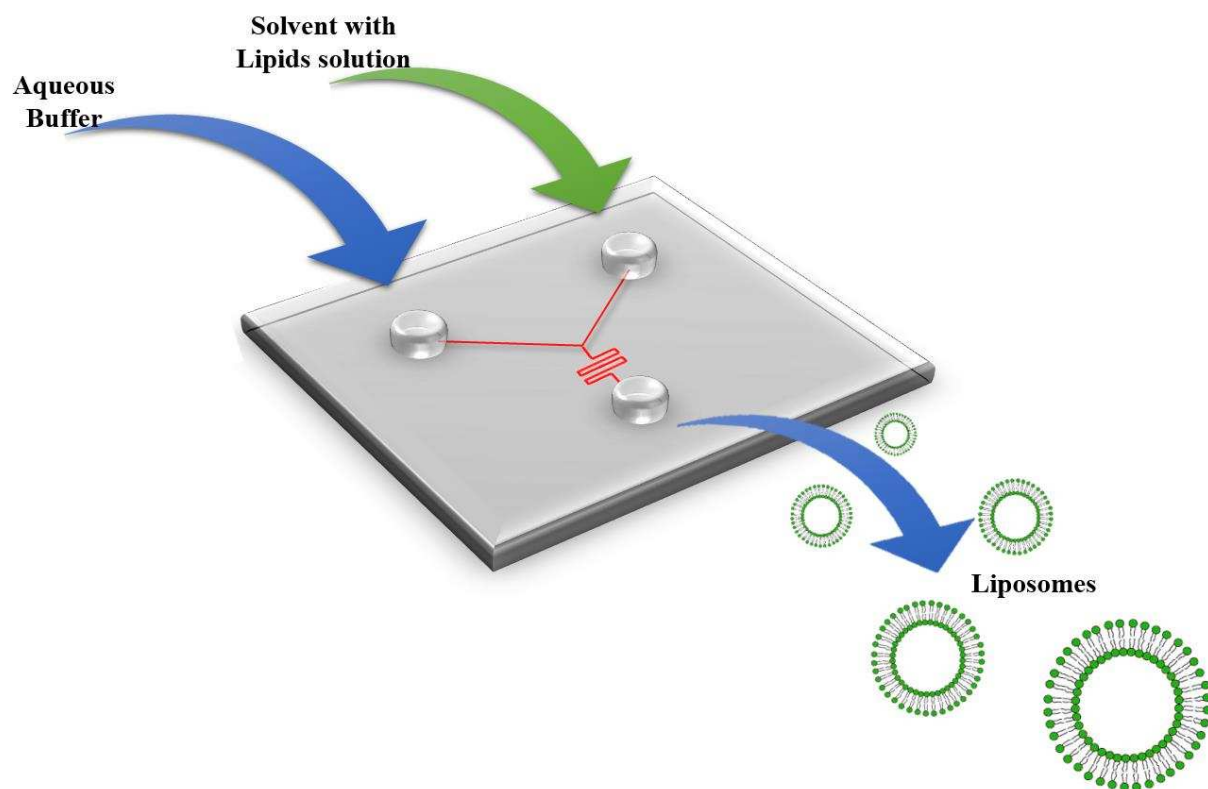
(a)	1:1 DMPC TFR20 FRR 5:1 Atenolol	2:1 DMPC TFR6 FRR 5:1 Atenolol	1:1 DSPC TFR6 FRR 3:1 Atenolol	2:1 DSPC TFR20 FRR 5:1 Atenolol
k_1	10.832 ± 0.973	15.528 ± 1.45	14.883 ± 1.23	12.747 ± 0.885
k_2	-0.51233 ± 0.995	-0.91178 ± 0.713	-1.1181 ± 0.185	-0.24065 ± 1.01
m	0.21311 ± 0.0669	0.24234 ± 0.0599	0.30372 ± 0.0297	0.23711 ± 0.056
(b)				
k_1	2.4543 ± 0.614	11.748 ± 2.12	12.507 ± 1.9	9.9142 ± 1.91
k_2	-0.094561 ± 0.0492	-0.73843 ± 0.288	-0.48886 ± 0.152	-0.3902 ± 0.156
m	0.45703 ± 0.0666	0.3952 ± 0.0481	0.44776 ± 0.0413	0.45255 ± 0.0515

474

475 **Description:**476 Table 2 Values of the fitting constants: k_1 , k_2 and m for (a) atenolol and (b) quinine.

477

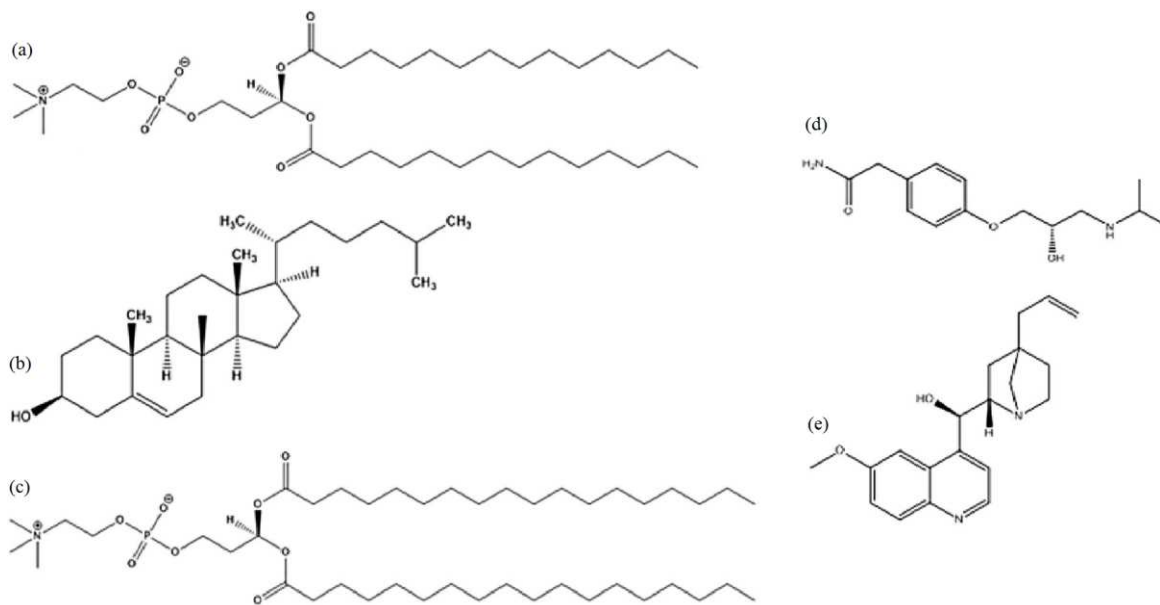
478 **Figure 1.**



479

480

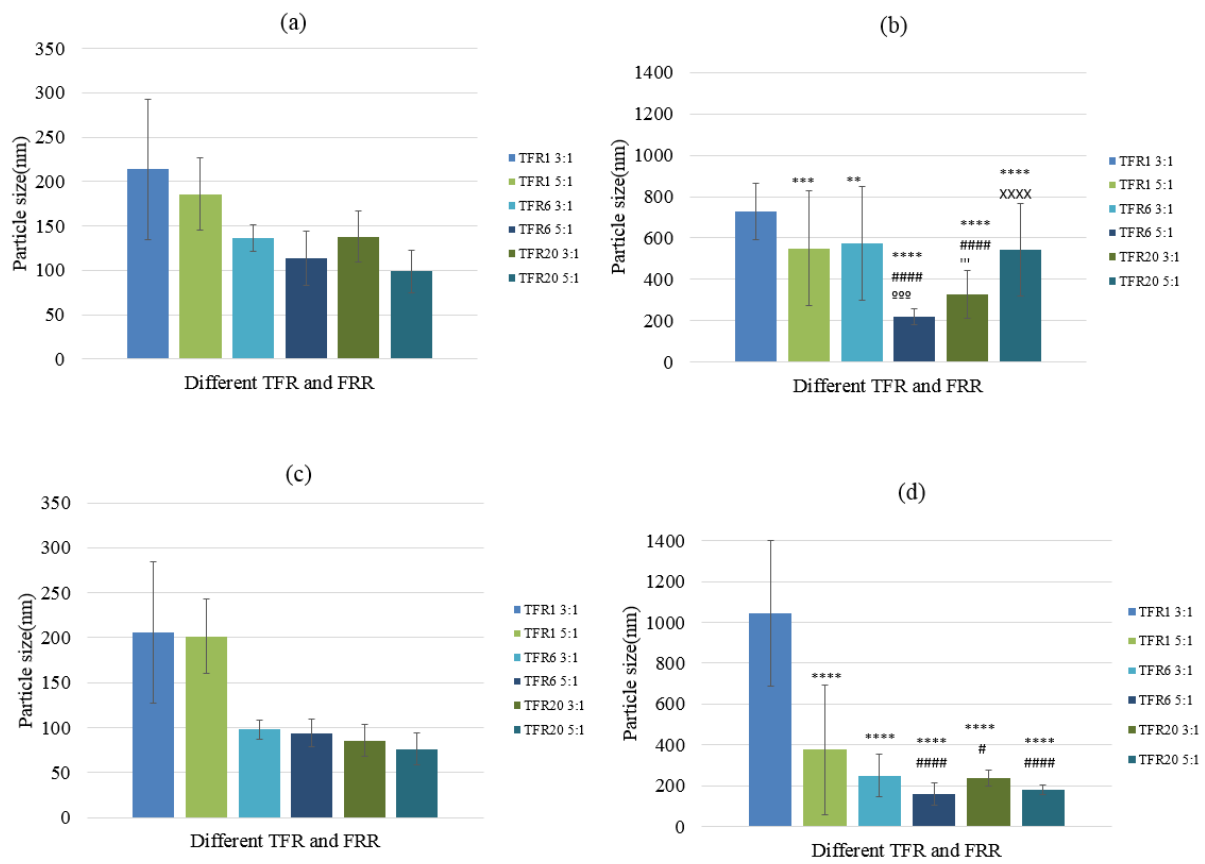
481 **Figure 2.**



482

483

484 **Figure 3.**

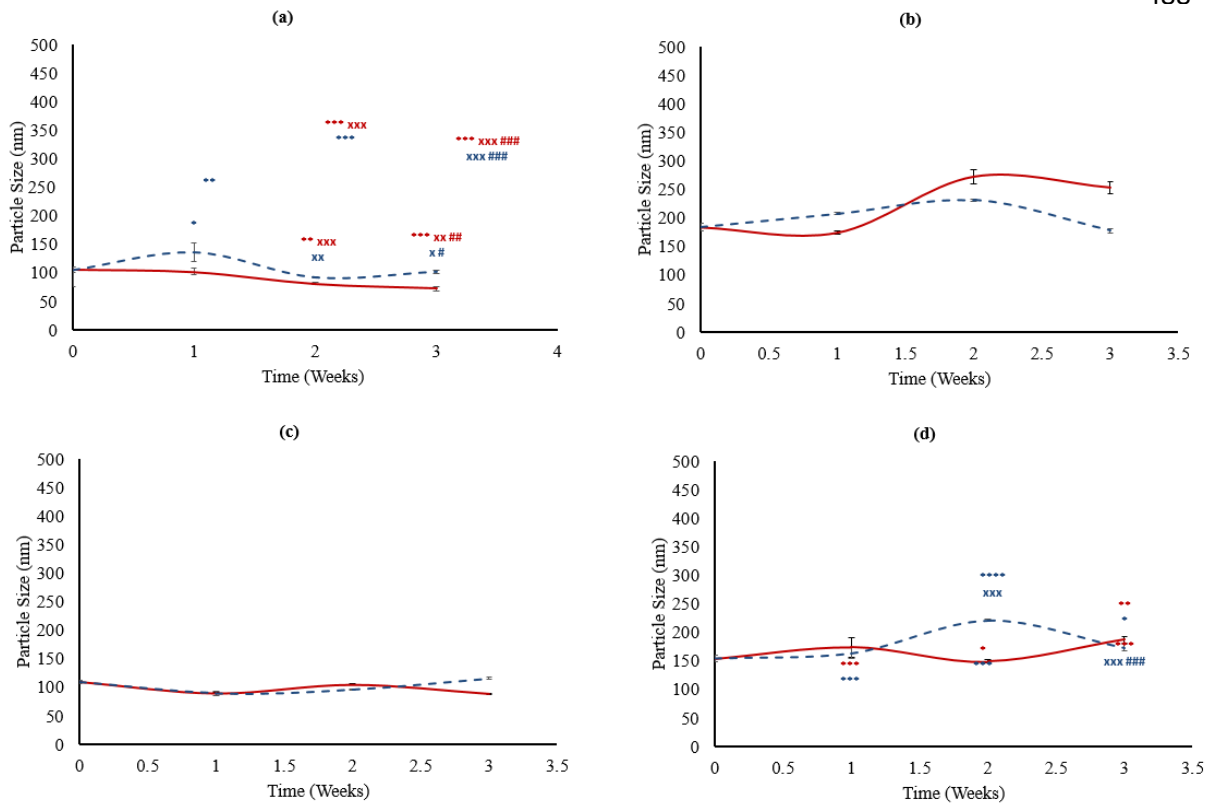


485

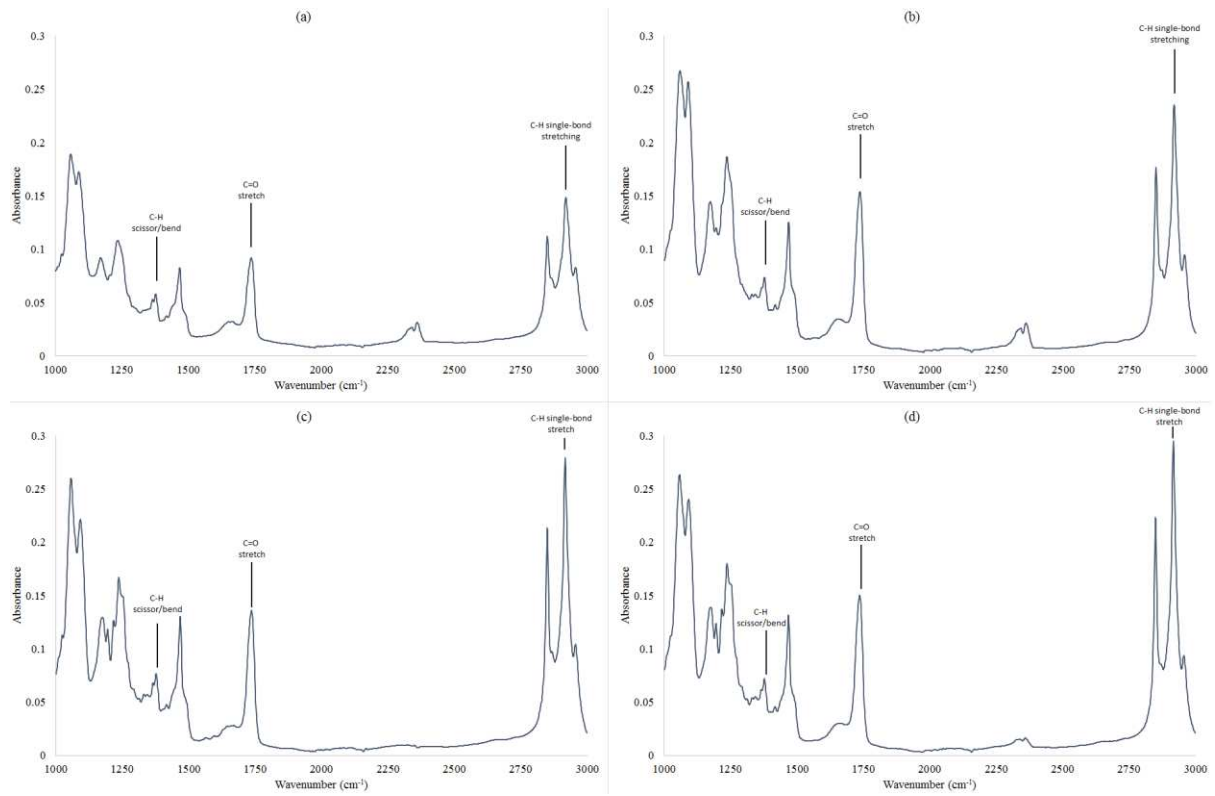
486

487 **Figure 4.**

488



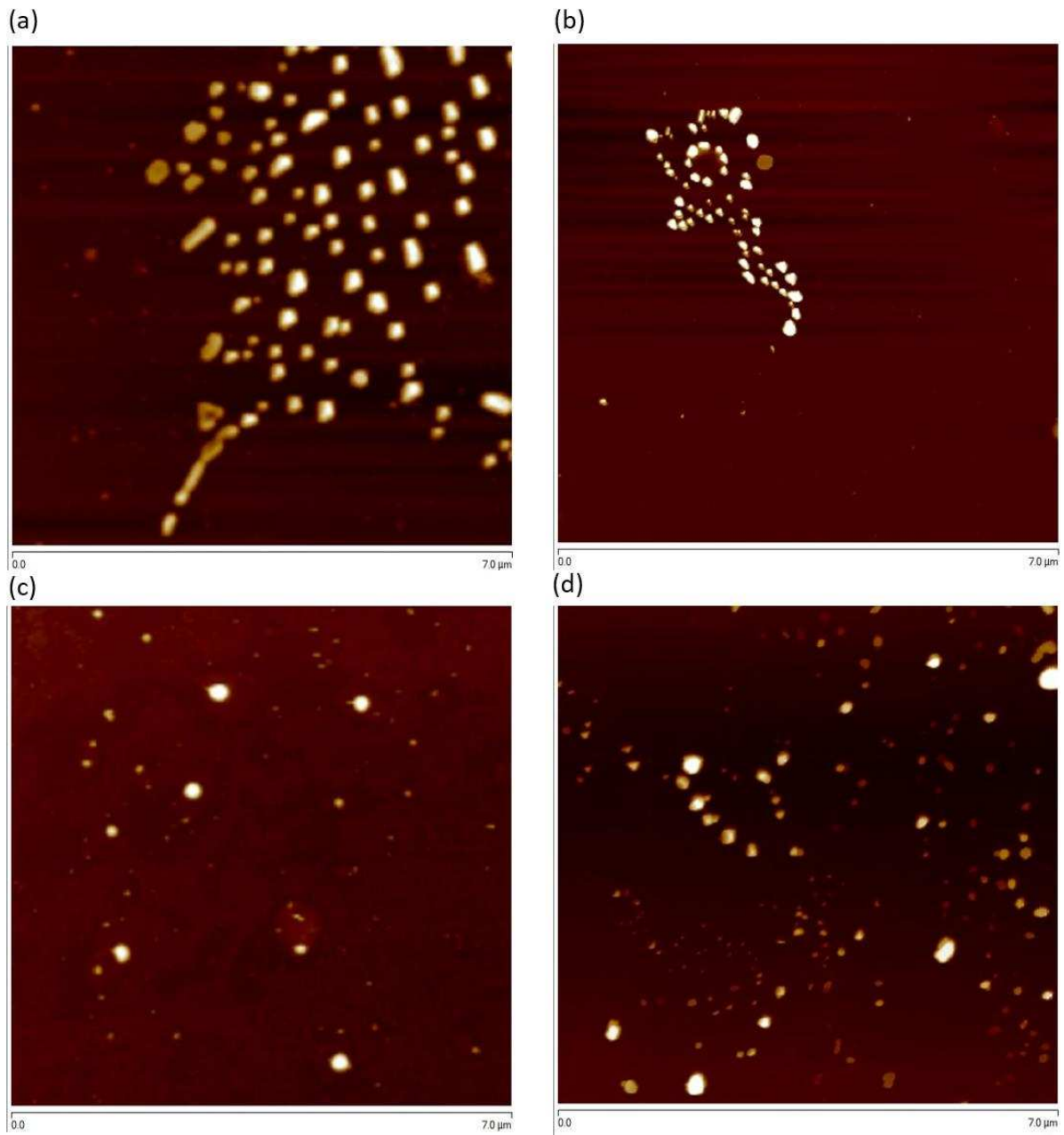
490 **Figure 5.**



491

492

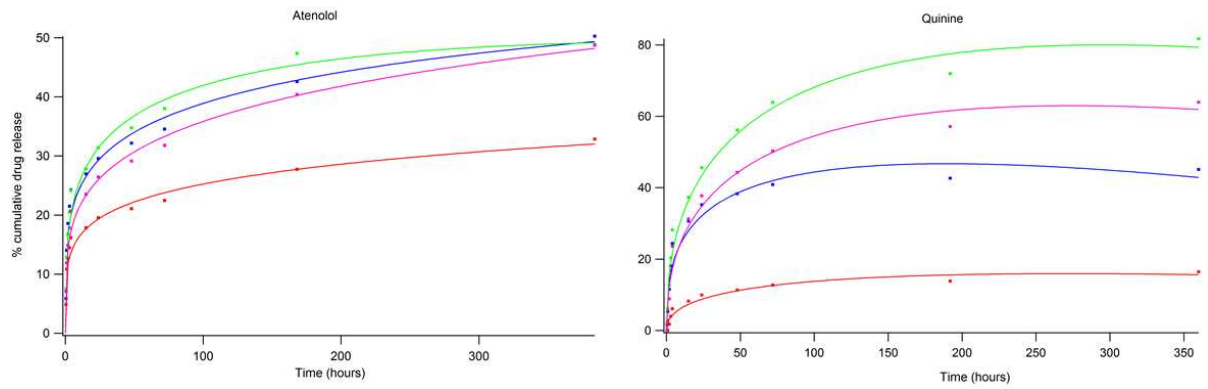
493 **Figure 6.**



494

495

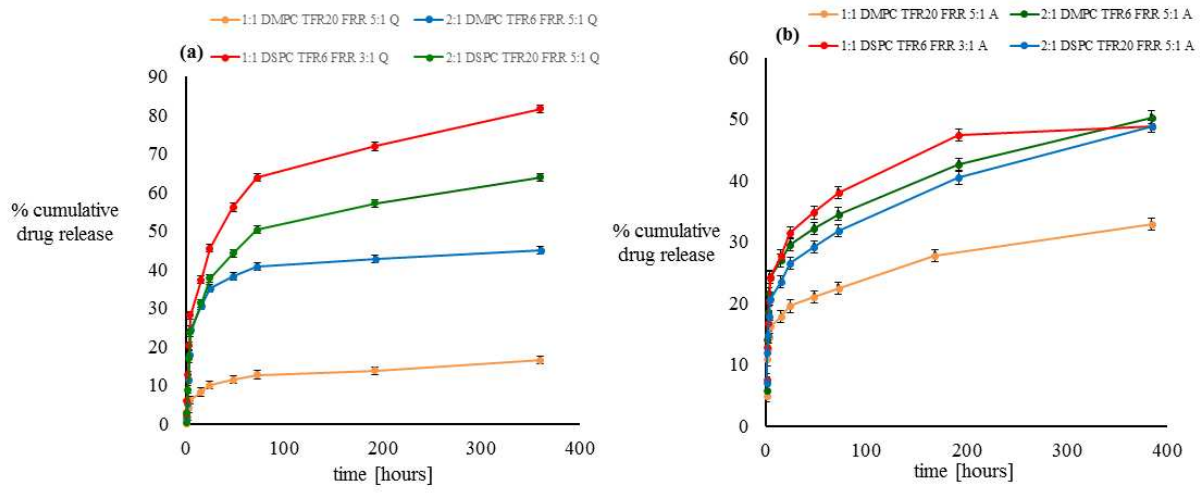
496 **Figure 7.**



497

498

499 **Figure 8.**



500

501