



The University of Manchester

The University of Manchester Research

All authors must now supply ORCID identifiers

DOI:

10.1007/s10853-017-0919-7

Document Version

Accepted author manuscript

Link to publication record in Manchester Research Explorer

Citation for published version (APA): Blanford, C. F. (2017). All authors must now supply ORCID identifiers. *Journal of Materials Science*, *52*(11), 6147-6149. https://doi.org/10.1007/s10853-017-0919-7

Published in:

Journal of Materials Science

Citing this paper

Please note that where the full-text provided on Manchester Research Explorer is the Author Accepted Manuscript or Proof version this may differ from the final Published version. If citing, it is advised that you check and use the publisher's definitive version.

General rights

Copyright and moral rights for the publications made accessible in the Research Explorer are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

Takedown policy

If you believe that this document breaches copyright please refer to the University of Manchester's Takedown Procedures [http://man.ac.uk/04Y6Bo] or contact uml.scholarlycommunications@manchester.ac.uk providing relevant details, so we can investigate your claim.



Editorial: All authors must now supply ORCID identifiers

C. Barry Carter^{1,*} and Christopher F. Blanford²

¹Department of Materials Science & Engineering and Department of Chemical & Biomolecular Engineering, University of Connecticut, Storrs, CT 06239, USA

²School of Materials and Manchester Institute of Biotechnology, University of Manchester, 131 Princess Street, Manchester, M1 7DN, UK

*Address correspondence to cbarrycarter@gmail.com

Wei Zhang has published over 13,000 research articles, according to Clarivate Analytics' Web of Science, making him one of the most prolific researchers in the world [1]. His papers from 2015 and 2016 have already been cited more than 9,000 times. His impressive metrics are helped, of course, by his name being shared by about 300,000 others in China. This is an extreme example, but it's worth remembering that even if you're one in a million, there are another 7,000 like you.

Uniquely identifying researchers is part of the reason that the Journal of Materials Science has joined other leading journals in requiring authors to use the Open Researcher and Contributor iD (ORCID) system. Before submitting a manuscript to the Journal, corresponding authors must be registered with ORCID. The employment and publications ('works') sections must be publicly viewable. And before we can accept a manuscript, we require ORCID information for *every* author on the paper. Practicing what we preach, we Editors all have a valid ORCID (Table 1).

It's straightforward to registering for an ORCID iD. (We are aware of the redundancy in the phrase; it's like 'PIN numbers' and 'ATM machines'.) Signing up at orcid.org takes less than a minute. It's easy to then populate your list of publications by linking your profile to existing systems like the ResearcherID, Scopus Author ID and Google Scholar.

Since there are already several author identifier services available, why have we chosen to require ORCID iDs? Many of the benefits for authors are already well argued in a 2012 Nature news article [1], the opening of which we shamelessly aped in this editorial. First, ORCID is run by a not-for-profit company, in contrast to the existing systems we noted, with a rotating board and more than 600 member organisations, including our publisher. Second, it saves researchers time by getting rid of the need to re-enter the same data on many websites. The data in ORCID can be linked with other systems and workflows through their application programming interface (API). Third, linking together multiple systems creates a 'researcher-centric view of science' [1] and can be used to collate article-level and 'alternative' impact metrics like television appearances and tweets. (This is something we hinted at in a previous editorial on journal impact factors [2].)

Now to the main reason that we, the Editors of the Journal of Materials Science, are requiring an ORCID iD from every author: like our plagiarism checking [3], it's part of our commitment to ethical scientific publishing.

We have known for many years now that fictitious authors are sometimes included in the list of authors. Our most notorious case came from a large well-known North American university. The author's address was actually in the Athletics Department, rather than Materials Science and Engineering, and the current Dean can find no record of his ever attending the university, let alone that Department. We have a list of the papers that he published (fortunately journals other than the Journal of Materials Science). All the co-authors of those papers will know that he is a fiction, as do the authors who recommended him as a

reviewer! And yes, 'Mike', those papers are all online and your co-authors should know that this blot on their reputations is known, and will one day bite them.

Would the ORCID system have caught such a fraud? Well, 'Mike' could have registered and obtained an ORCID iD and populated it in a believable way. The hope is that the 'co-authors' would be reluctant to go the extra step of registering such a fictitious 'colleague'. ORCID do not curate or control the data, but they do have published transparent procedures to verify and correct their data, including suspending accounts [4].

We hope that widespread use of the ORCID system will further ethical publication in two more ways.

First, this journal and others are increasingly seeing suspicious changes in the list of authors between 'revision' and 'acceptance'. These can be red flags for purchased authorship. A post on Retraction Watch last year listed seven indicators, such as 'an absence of previous publications by one or more co-authors in the field of the manuscript' [5]. Requiring ORCIDs will make this this fraudulent behavior easier to police. Changes in authorship in at revision (and after acceptance) are scrutinized by the editorial office, may lead to 'acceptance' changing to 'rejection', and may be escalated to our publisher's ethics team.

Second—and this will take more time to correct—our fictitious friend 'Mike' was also regularly suggested as a referee! Peer-review rings and cases of authors reviewing their own work under an alias are rife [6]. We won't use alberteinstein1403@gmail.com as a referee but you can readily imagine variations on this theme. When reviewers are also required to have an ORCID iD there will be even more protection.

ORCID is the *de facto* standard unique researcher identifier. It clearly has reached 'critical mass' with over 3 million identifiers already registered, including over 300 Wei Zhangs. The Journal of Materials Science is proud embrace this tool.

Table 1. The ORCID identifiers for the Journal's editors.

Editor	ORCID
Mark Aindow	0000-0001-6617-1409
Pamir Alpay	0000-0003-4480-1558
Raymundo Arroyave	0000-0001-7548-8686
Mark Bissett	0000-0002-8908-7960
Chris Blanford	0000-0002-0112-7818
Pedro Camargo	0000-0002-7815-7919
Dave Cann	0000-0003-2138-1933
Barry Carter	0000-0003-4251-9102
Chris Cornelius	0000-0002-1401-6711
Steve Eichhorn	0000-0003-4101-273X
Jan Evans-Freeman	0000-0002-3946-086X
Jaime Grunlan	0000-0001-5241-9741
Kevin Jones	0000-0003-0195-5477
Maude Jimenez	0000-0002-0372-1503
Doug Medlin	0000-0002-4613-1335
Philip Nash	0000-0003-2975-8512
Grant Norton	0000-0003-0434-0064
Ravi Ravishankar	0000-0003-0012-046X
Annela Seddon	0000-0002-5794-8500
Brian Sheldon	0000-0002-9593-891X
Greg Rutledge	0000-0001-8137-1732

References

- 1. Butler D (2012) Scientists: your number is up Nature 485:564 doi:10.1038/485564a
- 2. Blanford CF (2016) Impact factors, citation distributions and journal stratification J Mater Sci 51: 10319–10322. doi:10.1007/s10853-016-0285-x
- 3. Carter CB and Blanford CF (2016) Plagiarism and detection J Mater Sci 51: 7047–7048. doi:10.1007/s10853-016-0004-7
- 4. Haake, L (2012) ORCID dispute procedures https://orcid.org/orcid-dispute-procedures (accessed 2017-02-08)
- 5. Mason P and Bernardez Sarria MS (2016) 7 signs a scientific paper's authorship was bought http://retractionwatch.com/2016/10/24/seven-signs-a-paper-was-for-sale/ (accessed 2017-02-08)
- 6. Ferguson C, Marcus A and Oransky I (2014) Publishing: The peer-review scam Nature 515:480–482 doi:10.1038/515480a