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1 **Contrastive Study of Flow and Heat Transfer Characteristics in a**
2 **Helically Coiled Tube under Uniform Heating and One-side Heating**

3

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10

11 **Abstract:** One-side heated helically coiled tubes, which are generally applied in
12 various industrial applications such as the water cooled wall in power plant boilers
13 though, have not been thoroughly studied. To investigate the flow and heat transfer
14 characteristics in this case, numerical simulation of the flow in a helically coiled tube
15 is performed under uniform and non-uniform (heating on the inner coil side wall) heat
16 flux boundary conditions for both laminar and turbulent flows. Temperature
17 distributions, secondary flow distributions, average Nusselt number variation with
18 respect to Reynolds number and local Nusselt number along the periphery on the wall
19 in the fully developed section are discussed contrastively under the two different
20 heating conditions. It is found that the secondary flow distributions are hardly affected
21 by changing heating method, however, a larger temperature gradient can be found for
22 one-side heating condition. The average Nusselt numbers are close for laminar flow
23 under the two heating methods, but one-side heating shows 7%-10% lower average
24 Nusselt numbers than uniform heating for turbulent flow, thus a new correlation of
25 average Nusselt number for turbulent flow and one-side heating is proposed.
26 Furthermore, a special point on the inner wall where the local Nusselt numbers are
27 almost the same when carrying out different heating conditions in laminar and turbulent
28 flows is found, which should be useful for measuring unknown parameters.

29 **Keywords:** helically coiled tube; flow and heat transfer characteristics; one-side
30 heating condition

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Nomenclature			
A	Area (m ²)	<i>Greek symbols</i>	
b	Coil pitch (mm)	δ	Curvature ratio
C_p	Specific capacity (J· kg ⁻¹ ·	μ	Viscosity (kg· m ⁻¹ · s ⁻¹))

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	K^{-1})		
d	Tube diameter (mm)	ρ	Density ($\text{kg} \cdot \text{m}^{-3}$)
D	Coil diameter (mm)	τ	Shear stress ($\text{kg} \cdot \text{m}^{-1} \cdot \text{s}^{-2}$)
De	Dean number, $Re\sqrt{\delta}$	Ψ	Circumferential angle
f	Friction factor	ω	Mass flux ($\text{kg} \cdot \text{m}^{-2} \cdot \text{s}^{-1}$)
k	Thermal conductivity ($\text{W} \cdot \text{m}^{-1} \cdot \text{K}^{-1}$)	<i>Subscripts</i>	
N	Grid number	av	Average
Nu	Nusselt number	bu	Bulk
Pr	Prandtl number	lo	Local
q	Heat flux ($\text{W} \cdot \text{m}^{-2}$)	one	One-side heating
Re	Reynolds number	uni	Uniform heating
T	Temperature (K)	w	Wall
V	Velocity ($\text{m} \cdot \text{s}^{-1}$)		

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36 1. Introduction

37 It is known that due to the existence of secondary flow, curved tubes perform better in
38 heat transfer compared with straight tubes [1]. In addition, owing to the compact
39 structure, it requires smaller room for installation, and the less welding lines make it
40 safer [2]. Therefore the helically coiled tubes are widely used in solar energy equipment
41 [3], nuclear equipment [4], GSHPs [5] and so on and so forth as heat exchangers [6].
42 Most researches on the flow and heat transfer characteristics in a helically coiled tube,
43 conducted experimentally or numerically, were focused or based on uniform heating by
44 giving a constant wall temperature or constant heat flux boundary condition. However,
45 plenty of helically coiled tubes are applied in industrial engineering with non-uniform
46 heating conditions. Such utilizations are commonly seen in water cooled wall in power
47 plant boilers, the cooling pipe in fusion reactors, some particular heat exchangers for
48 chemical reaction process and solar energy systems, as long as the heat source is in one
49 side of the coil. Just a few studies on the non-uniformly heated helically coiled tube can
50 be found in previous literatures. Therefore, it is necessary to investigate into flow and
51 heat transfer characteristics in a helically coiled tube heated non-uniformly.

52

53 Secondary flow is the flow perpendicular to the mainstream direction. Although the
54 velocity magnitude order of secondary flow is much smaller than that of the mainstream
55 in a helically coiled tube, it can significantly affect the heat transfer rate [7]. In the cross
56 section of a helically coiled where the flow is fully developed, the secondary flow is
57 shown as two nearly symmetrical vortex cells, as shown in Figure 1, and the main
58 reason for such phenomenon is the centrifugal force caused by the tube bending [8].
59 Whether the change of heating method has influence on the secondary flow and further
60 on the heat transfer is the main point to study in this paper.

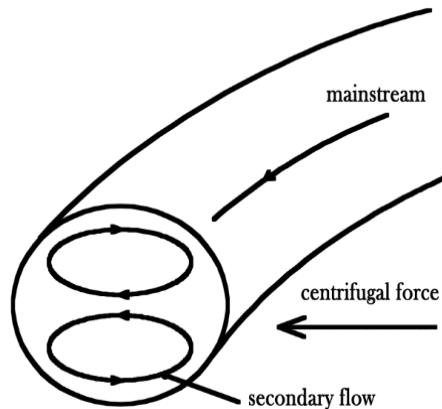


Figure 1 Secondary flow in the cross section of a helically coiled tube

Flow and heat transfer characteristics in a helically coiled tube have been studied numerically, experimentally and theoretically in a great number of literatures [9]. Dean firstly studied the secondary flow in a helical tube theoretically and presented the flow characteristics in helical tubes with a mathematic model [10]. Ferng [11] numerically studied the heat transfer characteristic variation with respect to Dean number and pitch size in a helically coiled tube. Berger et al. [12], Shah and Joshi [13] and Naphon and Wongwises [14], who reviewed the flow and heat transfer characteristics respectively, comprehensively presented most of the previous work on curved tubes. Fsadni et al. [15] reviewed the pertinent literature on frictional pressure drop reduction for laminar and turbulent flow in helically coiled tubes, which provided the summary of the relevant correlations of the frictional pressure drop with drag reducing additives in coiled tubes. Most of the researches concerned with single phase flow are based on uniform heating conditions, and just a few of them are related to non-uniform heating. Jensen and Bergles [16] studied the CHF of the flow in a helical coil with non-uniform heating, but they did not investigate the heat transfer coefficient. Niu et al. [17] numerically studied single phase turbulent flow and multiphase flow in a one-side heating helically coiled tube and found the different heating conditions, uniform heating and one-side heating have slight influence on the secondary flow. The main variable for Nusselt number in their work was heat flux.

Numerical simulation of the flow and heat transfer in a helically coiled tube was conducted under different heating conditions, the uniform heating condition and one-side heating condition, for both laminar and turbulent flows. Secondary flow distributions, temperature profiles, average Nusselt number variation with respect to Reynolds number and local Nusselt numbers along the periphery on the wall in the fully developed section are discussed contrastively under the two different heating conditions.

2. Methodology

2.1 Characteristics of helically coiled tubes

Figure 2 presents the geometrical parameters of a helically coiled tube, and the curvature ratio δ can be expressed by the ratio of tube diameter and coil diameter:

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 δ

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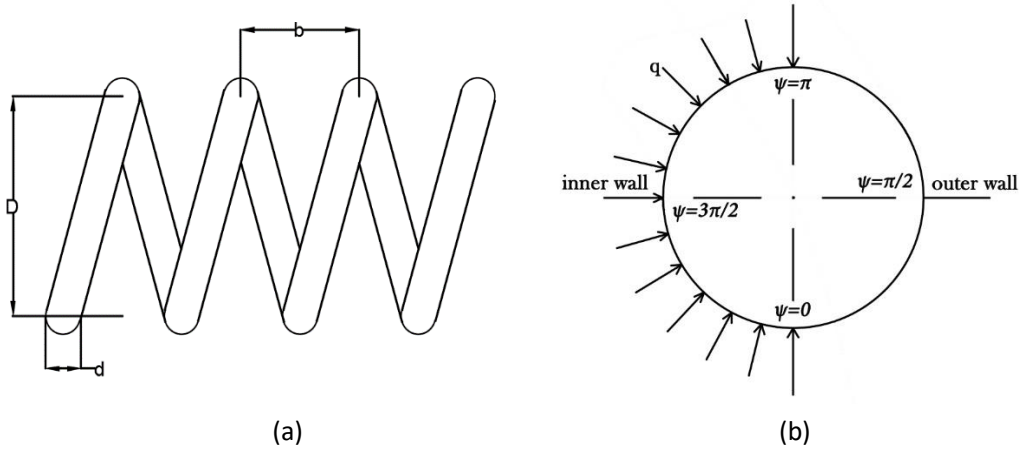
$$= \frac{d}{D}$$

(1)

97

98 In this paper, d is fixed at 10mm, D is 315mm for laminar flow ($\delta = 0.032$) and 100mm
 99 for turbulent flow ($\delta = 0.05$), and b is 100mm for laminar flow while for turbulent flow,
 100 it is set as 20mm. Different tube parameters are used in the modeling process for
 101 validation with different correlations proposed in previous literatures. The non-uniform
 102 heating is simplified in this model, with uniform heating in the inner coil side as shown
 103 in Figure 2(b). It is assumed the inner wall is uniformly heated with constant heat flux
 104 q , and the outer wall is adiabatic. The heat flux q is 5kW/m² and 20kW/m² for laminar
 105 and turbulent flow separately. In such cases, the temperature rises in the fully developed
 106 region are less than 10K, so that the fluid properties would not change significantly.

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Figure 2 The helically coiled tube geometry (a) the coil geometry (b) the peripheral geometry of the tube with one-side heating

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The working fluid is water with the inlet temperature 307.15K. Viscosity, density, thermal conductivity and specific capacity are estimated by the following equations [18]:

$$\begin{aligned} &= 2.1897 \cdot 10^{-11}T^4 - 3.055 \cdot 10^{-8}T^3 + 1.6028 \cdot 10^{-5}T^2 - 0.0037524T + 0.33158 \end{aligned} \quad \mu(T)$$

$$\rho(T) = -1.5629 \cdot 10^{-5}T^3 + 0.011778 \cdot 10T^2 - 3.0726T + 1227.8 \quad (3)$$

$$k(T) = 1.5362 \cdot 10^{-8}T^3 - 2.261 \cdot 10^{-5}T^2 + 0.010879T - 1.0294 \quad (4)$$

$$Cp(T) = 1.1105 \cdot 10^{-5}T^3 - 3.1078 \cdot 10^{-3}T^2 - 1.478T - 4631.9 \quad (5)$$

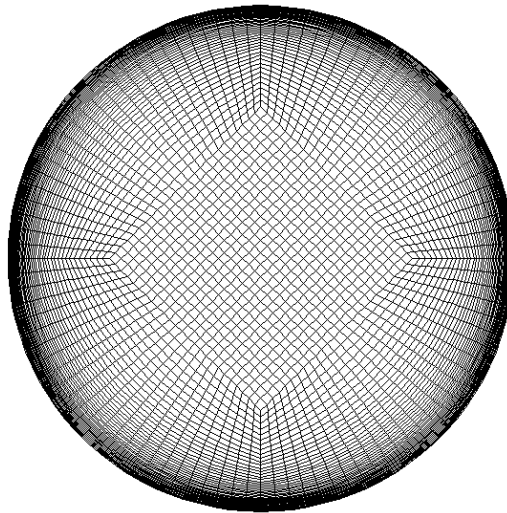
Experimental findings indicate that after two turns of a helically coiled tube, the flow becomes fully developed [19]. Therefore all the data obtained to calculate the Nusselt numbers or friction factors are from the cross section after 2.5th turns.

129 On account of the existence of secondary flow, the critical Reynolds number for a
 130 helical tube is higher than that in a straight tube. Details can be found in Jayakumar et
 131 al. [18], which summarized correlations from Ito [20], Schmidt [21], Srinivasan et al.
 132 [22], and Janssen et al. [23] with regard to the critical Reynolds number in a helical
 133 tube. All the data tested in this paper are in the Reynolds number range for both laminar
 134 and turbulent flows.

135

136 2.2 Numerical approach

137 The numerical simulation for studying flow and heat transfer characteristics in a helical
 138 tube is carried out using Gambit 2.4.6 and Fluent 15.0. Structured grid with 1350,000
 139 cells in 3 loops of helically coiled tube are used in the model, where the grid number of
 140 cross section is 4500, as shown in Figure 3. Grid independence is tested for both laminar
 141 and turbulent flow: the errors of average Nusselt number of the fully developed section
 142 after refreshing a denser grid are less than 0.5% for the selected grid, while mass and
 143 energy errors do not decrease in any appreciable way. Table 1 shows the results of the
 144 grid independence study for turbulent flow, which is complemented from the
 145 considerations of axial grid and cross section grid respectively.



146

147

Figure 3 Grid in the cross section

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149 Table 1 Grid independence results for $Re = 41300$

$N_{sec} = 4500$		$N_{axi} = 300$	
N_{axi}	Nu	N_{sec}	Nu
300	305.34	1500	308.48
500	305.34	3000	306.17
700	305.34	4500	305.34
900	305.35	6000	304.68

150

151 Velocity-inlet with uniform velocity and pressure-outlet of 0 Pa are used in the model
152 as the boundary conditions. Both inner wall and outer wall are treated as no-slip
153 boundary conditions, and the difference is that the thermal boundary condition for inner
154 wall is constant heat flux while the outer wall is specified as adiabatic wall. In addition,
155 intensity and hydraulic diameter are chosen as turbulence inlet boundary condition, and
156 realizable k- ϵ turbulent model with enhanced wall treatment is used, which has been
157 reported to perform well in simulating flows involving rotation [24]. SIMPLEC scheme
158 is used for pressure-velocity coupling. Convergence criteria for continuity, momentum
159 equations are 1e-06, and 1e-08 for energy equation.

160

161 Nusselt number is one of the most important dimensionless number for evaluation of
162 the heat transfer characteristic in flowing fluids. Average Nusselt number is taken into
163 consideration in a specific cross section in the fully developed section of the helically
164 coiled tube. Friction factors are also calculated in order to validate the model with
165 correlations from other researchers. Following are the equations for computing average
166 Nusselt number and average friction factor.

167 average Nusselt number:

168 Nu_{av}

$$169 = \frac{dq}{k(T_{w, av} - T_{bu})} \quad (6)$$

170 local Nusselt number:

171 $Nu_{lo} = \frac{dq}{k(T_{w, lo} - T_{bu})} \quad (7)$

172

173 where T_{bu} is the fluid bulk temperature computed by the following equation:

174 T_{bu}

$$175 = \frac{\int_0^A \omega T dA}{\int_0^A \omega dA} \quad (8)$$

176 average friction factor:

177 f_{av}

$$178 = \frac{\tau_{w, av}}{\frac{1}{2} \rho V^2} \quad (9)$$

179

180 3. Results and discussion

181 3.1 Validation of the model

182 In this paper, the model is validated by comparing the results under uniform heating
183 condition with correlations from previous works. Correlations proposed by Xin and
184 Ebadian [19], Jayakumar [18] and Ito [25] cited by Piazza [26] are used for comparison,

185 as shown in Table 2:

186

187 Table 2 Correlations of previous works for validation

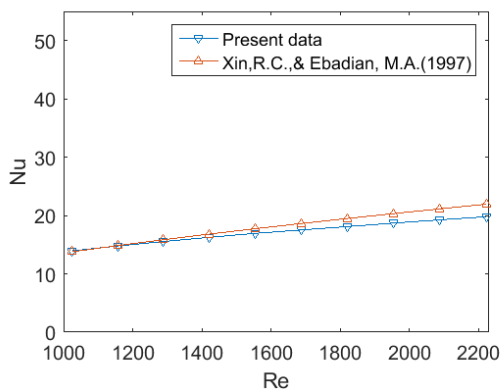
Author	Range of parameters	Correlation	Remarks
Xin and Ebadian (1997)	$20 < De < 2000$ $0.7 < Pr < 175$ $0.0267 < d/D < 0.0884$	$Nu = (2.153 + 0.318De^{0.643})Pr^{0.177}$	Nusselt number, laminar flow
Jayakumar (2010)	$14000 < Re < 70000$ $3 < Pr < 5$ $0.05 < \frac{d}{D} < 0.2$	$Nu = 0.116Re^{0.71}Pr^{0.4} \left(\frac{d}{D}\right)^{0.11}$	Nusselt number, turbulent flow
Ito (1959)	$13.5 < De < 2000$ $5 \cdot 10^{-4} < \frac{d}{D} < 0.2$	$f = \frac{64}{Re} \cdot \frac{21.5De}{(1.56 + \log_{10} De)^{5.73}}$	Friction factor, laminar flow
Ito (1959)	$0.034 < Re \left(\frac{d}{D}\right)^2 < 300$ $5 \cdot 10^{-4} < \frac{d}{D} < 0.2$	$f = 0.304Re^{-0.25} + 0.029\sqrt{\delta}$	Friction factor, turbulent flow

188

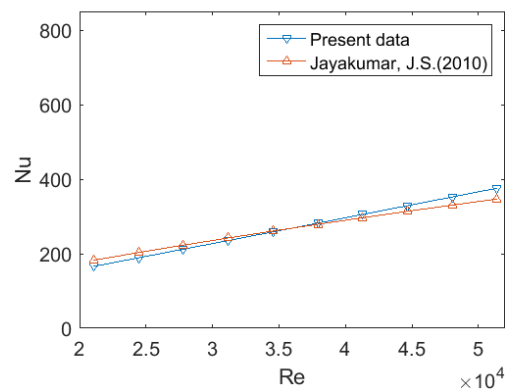
189

190 Figure 4 shows the comparison between the present data and the correlations data. From
 191 the figures it can be seen that the maximum deviations of the Nusselt numbers and
 192 friction factors between the simulation result and the predicted data from correlations
 193 are 9.80% and 3.01%, respectively, which means the simulation work is in good
 194 agreement with previous works and the numerical model can be used to study the one-
 195 side heating situation.

196



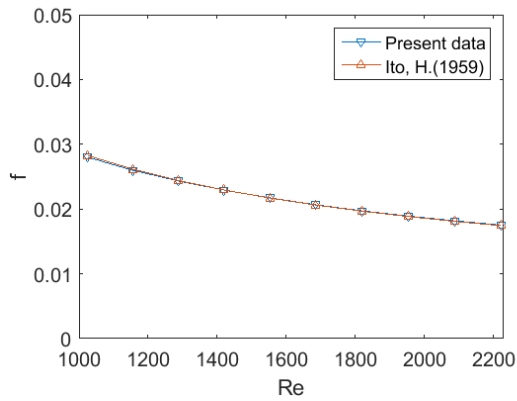
(a)



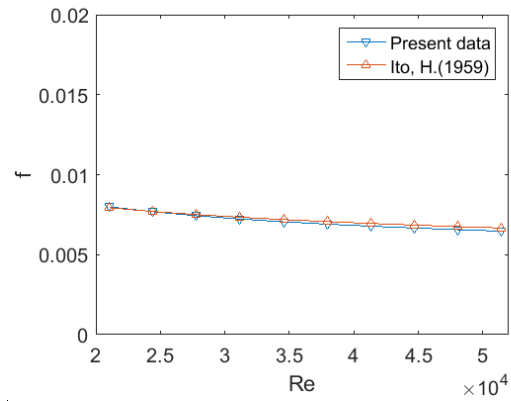
(b)

197

198



(c)



(d)

199

200

201

202 Figure 4 Comparison between the present results with correlations for (a) laminar

203 flow, Nusselt number (b) turbulent flow, Nusselt number (c) laminar flow, friction

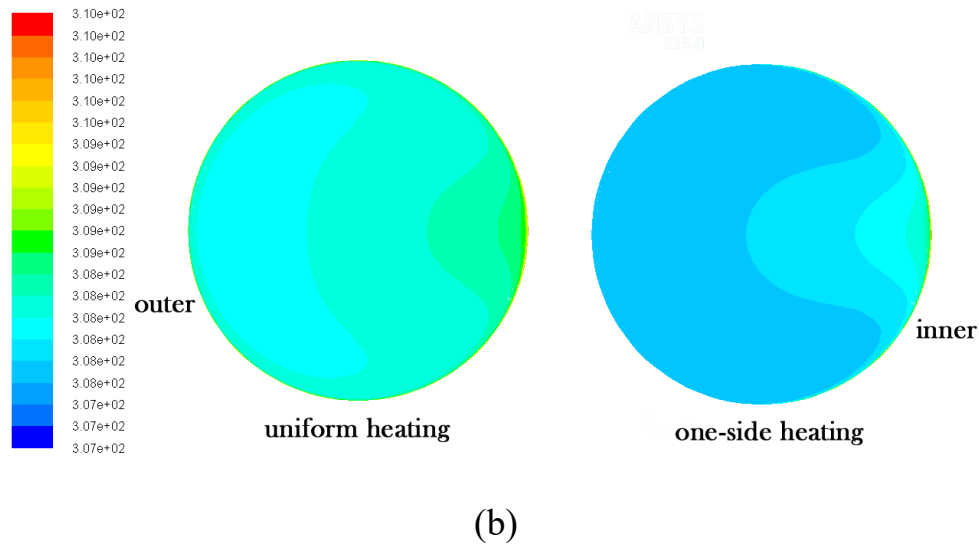
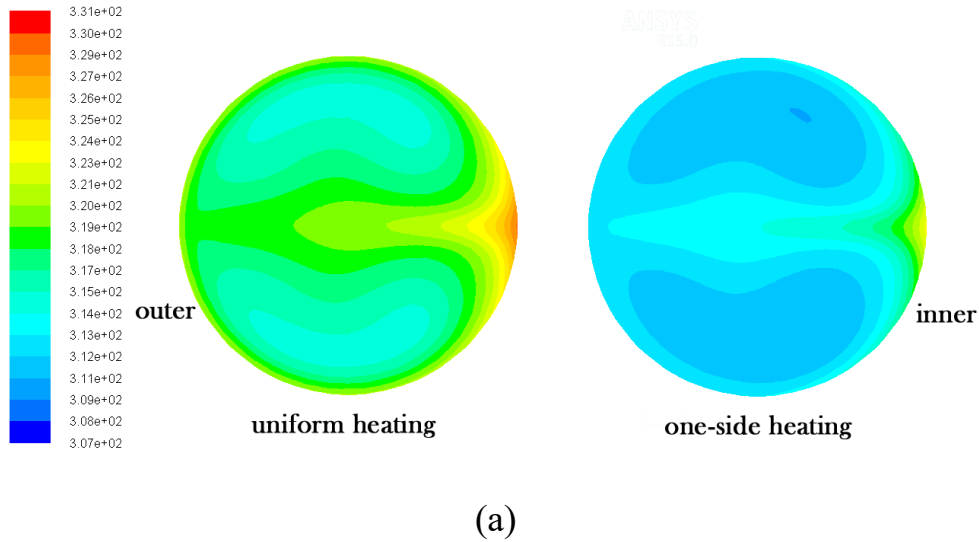
204 factor (d) turbulent flow, friction factor

205

206 **3.2 Flow and heat transfer in the helically coiled tube**207 **3.2.1 Temperature distributions**

208 The first property that should be considered to be affected by change of heating methods
 209 is the temperature distribution, which is directly related to heating conditions. Figure 5
 210 shows the temperature distributions in fully developed sections for laminar flow and
 211 turbulent flow under different heating conditions. It can be seen from the figures that
 212 for both flow states, temperature profiles are similar under different heating conditions,
 213 while the differences are the temperature gradients: one-side heating causes a larger
 214 temperature gradient. Due to the existence of secondary flow, most of the heat
 215 transferred from the heating surface moves along the wall and gathers near the midpoint
 216 of the inner wall, then moves towards the interior region following the fluid flow,
 217 causing the highest temperature at the innermost area. In addition, two distinct rolling-
 218 cells can be seen for laminar flow while not for turbulent flow, which means the
 219 secondary flow affects heat transfer more for laminar flow.

220



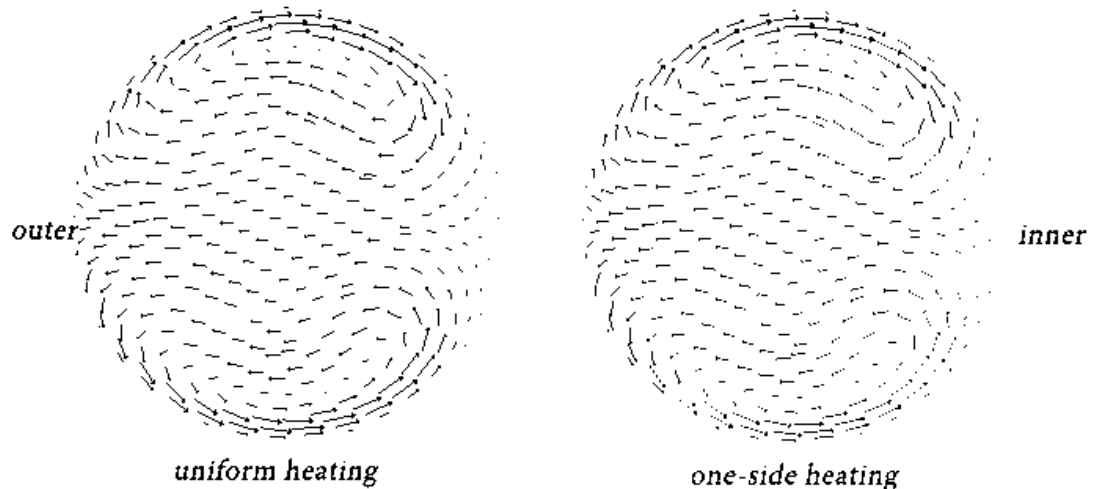
225 Figure 5 Comparison of temperature distributions for (a) laminar flow, $Re=1954$ (b)
 226 turbulent flow, $Re=41300$

227

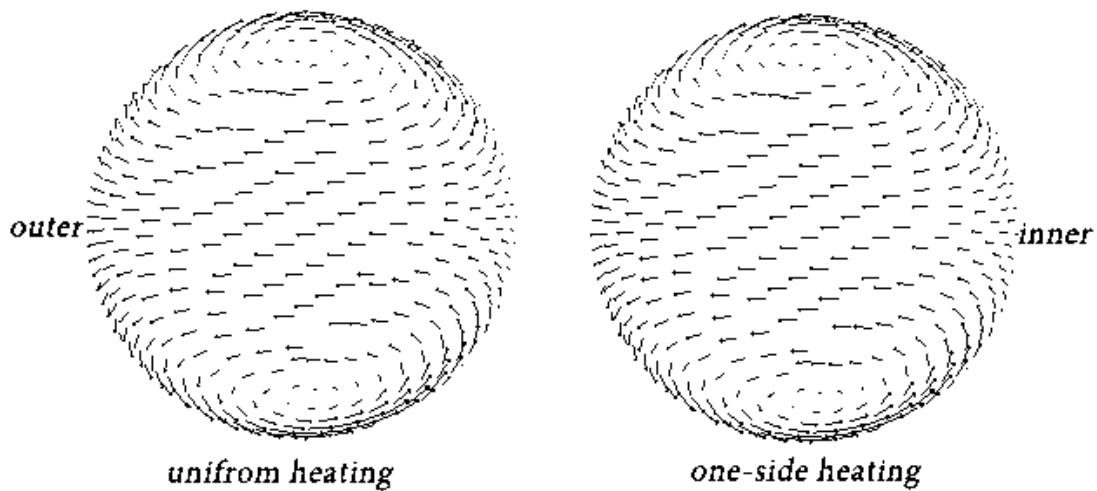
228 **3.2.2 Secondary flow**

229 Compared to straight tubes, secondary flow is the most specific flow character in curved
 230 tubes playing a significant role in enhancing heat transfer. The influence of heating
 231 condition on secondary flow is investigated in this section. Figure 6 shows the
 232 secondary flow distributions in the cross section under different heating conditions for
 233 laminar flow and turbulent flow, respectively. From the figures it can be seen that no
 234 matter what the flow state is, there are no obvious differences in the secondary flow
 235 distributions when using different heating conditions, uniform heating or one-side
 236 heating. This means the water properties change induced by temperature differences
 237 between the outer side and the inner side walls are not significant enough to make a
 238 difference to the secondary flow distributions. The velocity magnitude order of

239 secondary flow is much smaller than the main stream, and meanwhile the difference of
240 convection flow caused by density and viscosity changes, which have an effect on the
241 centrifugal force and the flow boundary layer, is even much smaller than the secondary
242 flow.



(a)



(b)

247 Figure 6 Comparison of secondary flow distributions for (a) laminar flow, $Re=1954$
248 (b) turbulent flow, $Re=41300$

249

250 3.2.3 Average Nusselt number variation with respect to Reynolds number

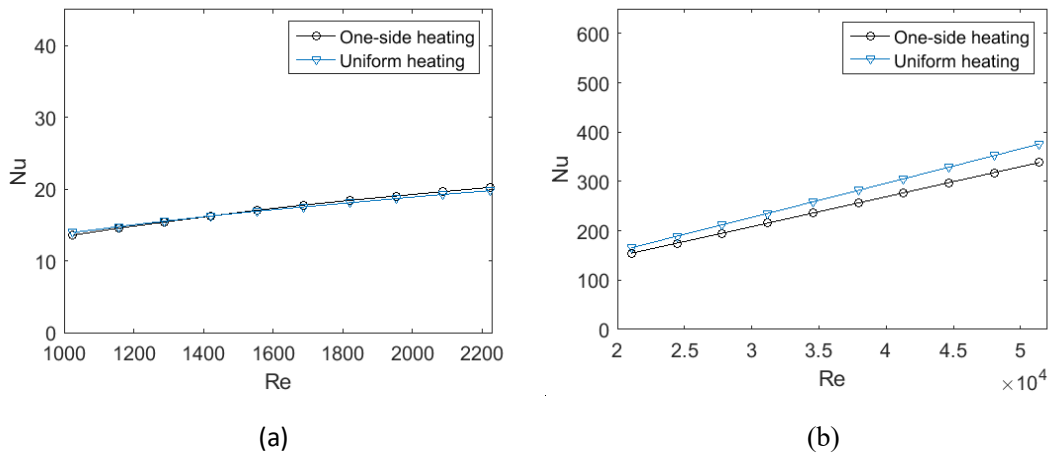
251 The average Nusselt number at the fully developed section is discussed in this section.
252 Figure 7 shows the average Nusselt numbers variation with respect to Reynolds number
253 for both laminar and turbulent flows. Although the main factor influencing heat transfer
254 characteristic, the secondary flow distributions, are similar under different heating

255 conditions, average Nusselt numbers are different. As the secondary flow distributions
 256 are quite close, the heat transfer characteristic should also be close. The main reason
 257 for the difference of Nusselt numbers is that under different heating conditions, the
 258 definitions of Nusselt number are not on the same standard; in another word, the water
 259 bulk temperature should not be used as the same reference temperature for comparison.
 260 However, there is no such a standard reference temperature that can be used for both
 261 two heating conditions, so the Nusselt numbers from different heating conditions are
 262 not comparable. Therefore new correlations should be proposed for one-side heating
 263 condition. From Figure 8(a) it can be seen the average Nusselt numbers under one-side
 264 heating are close to that under uniform heating for laminar flow, thus the correlations
 265 predicted in previous works to calculate Nusselt numbers for uniform heating can be
 266 used in one-side heating cases for laminar flow. However, with regard to turbulent flow,
 267 as shown in Figure 7(b), the difference of Nusselt numbers between the two heating
 268 conditions are larger, and a new correction to calculate Nusselt numbers for turbulent
 269 flow under one-side heating is proposed in this paper. The proposed correlation matches
 270 well with the present data, with the maximum deviation of 1.16%, as shown in Figure
 271 8.

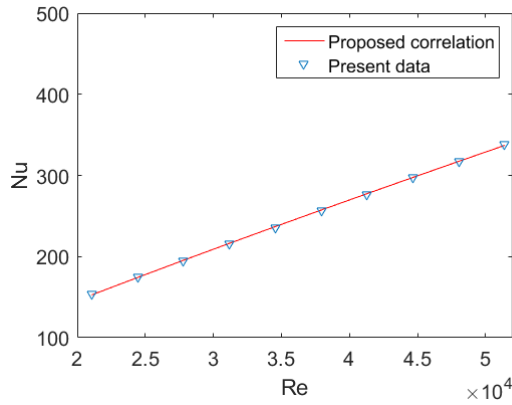
272
$$Nu = 0.0163Re^{0.8875}Pr^{0.4} \left(\frac{d}{D}\right)^{0.11} \quad 21061 < Re < 51406, 4.75 < Pr < 4.98, \frac{d}{D}$$

 273
$$= 0.05$$

 274



277 Figure 7 Comparison of average Nusselt numbers for (a) laminar flow (b) turbulent
 278 flow



279

280

Figure 8 Proposed correlation for turbulent flow with one-side heating

281 3.2.4 Comparison of local Nusselt numbers

282 The local Nusselt numbers are studied as well. Figure 7 shows the comparison of local
 283 Nusselt numbers along the periphery of the fully developed cross section calculated by
 284 equation 5. Both laminar and turbulent flows are simulated for uniform and one-side
 285 heating conditions with three groups of heat fluxes. From the figures it can be seen that
 286 for both laminar and turbulent flow states, the local Nusselt numbers on the inner wall
 287 are higher when conducting one-side heating, while the difference decreases as it is
 288 closer to the midpoint of the inner wall. Interestingly, at the midpoint where $\psi = 90^\circ$,
 289 the local Nusselt number curves are almost coincident, as shown in the figures. In
 290 addition, heating flux variation has little influence on Nusselt numbers. Therefore
 291 formula (9) can be easily concluded from the Nusselt equation. This should be very
 292 useful in engineering applications since all the temperatures in this formula can be
 293 easily measured, thus if one of the heat fluxes is unknown it can be estimated by the
 294 corresponding heat flux.

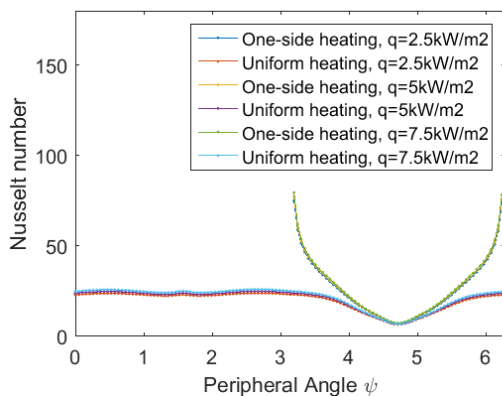
295

$$\frac{q_{uni}}{k_{uni}(T_{w,uni} - T_{bo,uni})} \quad (11)$$

296

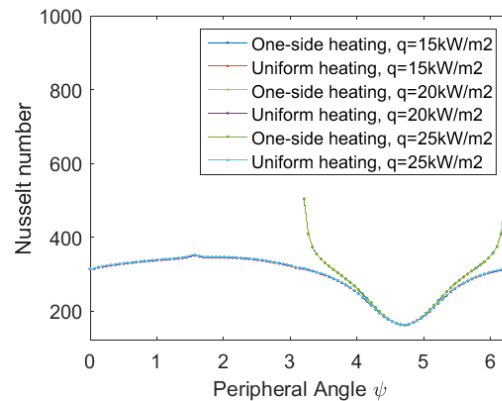
$$\approx \frac{q_{one}}{k_{one}(T_{w,one} - T_{bo,one})}$$

297



298

(a)



299

(b)

300

Figure 9 Comparison of local Nusselt numbers for (a) laminar flow, $Re=1954$
(b) turbulent flow, $Re=41300$

To explain this phenomenon, one specific case can be considered to help understand. Assuming that $q_{uni} = 2q_{one}$ and the flow states are the same for both heating conditions, the bulk fluid temperatures at the same fully developed cross section should be almost the same, namely $T_{bo,uni} = T_{bo,one}$, because the heating area of one-side heating is half of that for uniform heating and the total heat transferred to the bulk fluid does not change. According to the temperature and secondary flow distributions in figure 5 and figure 6, most of the heat obtained from the wall transfers along the wall from the outer side wall to the inner side wall and then to the interior of the bulk fluid after gathering at the vicinity of midpoint of the inner wall, where the temperature is the highest. For one-side heating, the route for heat convection from the heating area to the vicinity of the midpoint of the inner wall is a half of that for uniform heating, which can also be construed as the heat transfer efficiency to the innermost region for one-side heating is twice as much as that for uniform heating. Thus the temperature rise $T_{w,uni} - T_{bo,uni}$ should be approximately a half of $T_{w,one} - T_{bo,one}$ at the same cross section, then the formula can be achieved for this case. Moreover, as Nusselt numbers are hardly affected by changing heating flux as shown in Figure 9, the validity of the formula can be extended to cases that $q_{uni} \neq 2q_{one}$.

4. Conclusions

In this paper, flow and heat transfer characteristics in a helically coiled tube under one-side heating condition are investigated numerically, using water as the working fluid. Both laminar flow ($1025 < Re < 2222$) and turbulent flow ($21061 < Re < 51406$) are studied. The numerical model is validated by comparing the uniform heating condition with previous works, and the present data is in good agreement with the existing correlations. The results of simulation for one-side heated helically coiled tube are contrastively studied with that under uniform heating condition. Conclusions can be drawn as follows:

1. Regardless of the flow states, laminar flow or turbulent flow, the secondary flow distributions are hardly affected by changing the heating condition; while the temperature distributions are quite different: a larger temperature gradient can be found for one-side heating.
2. The average Nusselt numbers are close for laminar flow under different heating conditions, while for turbulent flow, it shows 7%-10% smaller Nusselt numbers for one-side heating than uniform heating. A new correlation for calculating average Nusselt numbers for turbulent flow under one-side heating condition is proposed in this work.
3. For both laminar and turbulent flows, the midpoint of the inner wall shows an interesting phenomenon for the local Nusselt number calculation. At this point of the fully developed section, the local Nusselt numbers are almost the same when using different heating flux or different heating conditions. This characteristic can be applied to calculate the unknown heat flux for one heating condition with the other known one

344 for the corresponding heating condition.

345

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351

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