**Title:** Investigating the mechanism of action of the Tetraflex 'accommodative' intraocular lens

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**Presentations** The data have been presented, in part, at the Refractive and Wavefront Conference, Alicante, Spain 2009.

**Financial Support:** No financial support was received for this study. The School of Life and Health Sciences at Aston University, however, does receive financial support from a variety of intraocular lens companies including Lenstec. None of the authors have any commercial interest in any of the products or companies mentioned in this manuscript.

## ABSTRACT

- 1 **PURPOSE:** To investigate the mechanism of action of the Tetraflex (Lenstec Kellen KH-
- 2 3500) 'accommodative' intraocular lens (IOL).

3 **METHOD:** Thirteen eyes of eight patients implanted with the Tetraflex 'accommodating' IOL

4 at least two years previously had an assessment of their objective amplitude-of-

- 5 accommodation by autorefraction, anterior chamber depth and pupil size with optical
- 6 coherence tomography and IOL flexure with aberrometry, each viewing a target at 0.0 to 4.0
- 7 D of accommodative demand.
- 8 **RESULTS:** Pupil size decreased by 0.62 ± 0.41 mm on increasing accommodative demand,
- 9 but the Tetraflex IOL was relatively fixed in position within the eye. The ocular aberrations of
- 10 the eye changed with increased accommodative demand, but not in a consistent manner
- 11 between individuals. Those aberrations that appeared to be most affected were defocus,
- 12 vertical primary and secondary astigmatism, vertical coma, horizontal and vertical primary
- 13 and secondary trefoil and spherical aberration.
- 14 **CONCLUSIONS:** Some of the reported near vision benefits of the Tetraflex 'accommodating'
- 15 IOL appear to be due to changes in the optical aberrations due to flexure of the IOL on
- 16 accommodative effort rather than forward movement within the capsular bag.
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18 The Tetraflex (KH3500, Lenstec, St Petersburg, Florida, USA) intraocular lens (IOL) is one 19 of the currently marketed 'accommodating' IOLs, whose original proposed principal action 20 was an anterior shift on contraction of the ciliary muscle.<sup>1</sup> However, the lens is designed to 21 move as a whole in the capsular bag rather than through the hinge optics of IOLs such as the 1CU (1 component unit, HumanOptics AG, Erlangen, Germany).<sup>1</sup> Saunders and 22 23 Saunders described the Tetraflex IOL as having "extremely flexible 5° angulated closed-loop 24 haptics", finding the lens to provide enhanced near vision with good distance vision 6 months 25 after surgery, although no control group was examined.<sup>2</sup> The same authors found the 26 Tetraflex allowed most of their subjects (88%) to read newspaper and telephone directory print compared to 7% of those implanted with a monofocal IOL.<sup>3</sup> Our prior study on the 27 28 Tetraflex IOL showed  $0.39 \pm 0.53$  D of physiological objective accommodation at 3 weeks 29 after implantation, although this decreased a little by 6 months.<sup>1</sup>

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31 The mechanism of action of the first generation 'accommodating' IOLs is not fully 32 understood. To address this issue, Marcini and colleagues studied patients implanted 6 33 months previously with the Crystalens AT-45 'accommodative' IOL (Bausch and Lomb, Rochester, NY).<sup>4</sup> The range of eve focus that allowed corrected distance visual acuity to be 34 35 maintained (on average 1.1 D) on 3.3 D stimulation of the contralateral eye was correlated 36 with a decrease in anterior chamber depth (r=0.40) and the ciliary-scleral process angle (r =37 0.77).<sup>4</sup> However, the Crystalens IOL differs substantially from the Tetraflex, such as having 38 grooves in the surface of the plate adjacent that act as hinges. The authors also noted the 39 possible contribution of gravity to the findings as ultrasound biomicroscopy was performed 40 with the patient supine. Most studies with these first generation IOLs have found a forward shift on average with pharmacologically induced accommodation.<sup>5</sup> However, the results are 41 42 variable with some eyes showing a backwards shift despite apparently good distancecorrected near visual acuity, particularly with the Crystalens AT-45.<sup>5</sup> Also the Tetraflex has 43 44 not been examined. In addition, pharmacologically induced lens movement has been shown to overestimate the anterior segment changes that can be utilised physiologically.<sup>6</sup> 45

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47 Most aberrometers have a closed field-of-view and a fixed focal length target designed to 48 relax accommodation to measure the distance viewing wavefront. Hence they are unable to 49 investigate any changes in wavefront with accommodative effort. An adapted instrument 50 (dynamic stimulation aberrometry, Optana, attached to a WASCA; Carl Zeiss meditec AG) 51 has recently been used to demonstrate changes in aberrations over a range of focal 52 distances in 8 patients, one of whom was implanted with a dual-optic accommodating IOL (Synchrony, Visiogen, Irvine, CA).<sup>7</sup> Unlike autorefractors and IOL biometry techniques, 53 54 aberrometers offer the potential to investigate the optical effects of IOL flexure in-vivo to 55 attempts to focus at near.

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57 This study examines the objective accommodation achieved in eyes implanted with an 58 'accommodating' IOL (Lenstec Tetraflex KH-3500), compared to changes in pupil size, 59 anterior chamber depth and ocular aberrations.

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### 61 **METHODS**

62 This study consisted of physiological measurements of patients previously implanted with 63 the Tetraflex IOL. Informed consent was obtained from the subjects prior to inclusion in the 64 study after explanation of the nature and possible consequences of the study. The research 65 followed the tenets of the Declaration of Helsinki and was approved by the Solihull Local 66 Research Ethics Committee. The enrolment criteria were patients who had undergone 67 routine cataract surgery to remove a lenticular opacity affecting the vision of the patient, no 68 other eye disease or previous ocular surgery, no ocular surface problems or dry eye, no 69 medication with known accommodative effects, and had been implanted with the Tetraflex 70 IOL for two years or more.

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The Tetraflex 'accommodating' IOL is a single-piece, spherical optic, acrylic IOL with a
refractive index of 1.46. The central optic portion is 5.75 mm and the overall size 11.5 mm in
diameter. Its design is shown in figure 1.

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76 Thirteen eyes of eight unselected patients aged 45-81 years (mean  $68.4 \pm 11.7$  years) were 77 assessed. Five had been implanted with the Tetraflex IOL binocularly and 3 monocularly. 78 Retinoscopy and subjective refraction (maximum plus correction without a drop in visual 79 acuity) was performed and all subsequent measures were taken with an optimum distance 80 correction. Objective accommodative responses were assessed using the open-field 81 NVisionK-5001(NVision-K; Shin-Nippon Commerce Inc., Tokyo, Japan) through undilated pupils.<sup>8</sup> Zernike polynomial aberrations up to 8<sup>th</sup> order were measured using a Shack-82 83 Hartmann aberrometer (KR9000-PW; Topcon, Tokyo, Japan), modified to include a Badal optical system<sup>9</sup> and Maltese cross target. Dilation would have affected the accommodative 84 85 response of subjects and no subject had pupils < 3 mm, therefore aberrations were 86 interpreted over a standardised 3 mm pupil. Subjects were asked to blink before 87 measurements to minimise potential tear film effects. Movement of the IOL (anterior 88 chamber depth) and pupil size with attempted accommodation was determined with optical coherence tomography (Visante, Zeiss, Oberkochen, Germany).<sup>10</sup> With each instrument, 89

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90 subjects viewed a static 90% contrast Maltese cross located at 0.00, 0.50, 1.00, 2.00, 3.00

91 and 4.00 D accommodative demand through a Badal optical system.

- 92
- 93 To allow for individual differences between eyes, Pearson's correlation (r) of accommodative
- 94 demand compared to Zernike coefficients, pupil size and anterior chamber depth were
- 95 calculated for each eye and averaged across the 13 eyes. Repeated measure ANOVAs
- 96 were applied to the 10 repeated aberration Zernike coefficients at each accommodative
- 97 demand for each eye to determine changes with accommodative effort.

#### 98 **RESULTS**

- 99 The average time since implantation of the Tetraflex lens in the subjects was  $2.2 \pm 0.2$  years 100 (mean  $\pm$  standard deviation), range 2.0 - 2.8 years. As accommodative demand increased,
- 101 pupil size decreased (mean correlation  $\pm$  standard deviation; r = -0.51  $\pm$  0.55; by 0.62  $\pm$  0.41
- 102 mm) and anterior chamber depth increased (r =  $0.36 \pm 0.68$ ; by  $0.02 \pm 0.05$  mm.). Maximal
- 103 objective accommodation achieved over the accommodative demand range was 0.2  $\pm$  0.3 D
- 104 (range 0.0D to 1.0D) as measured with the autorefractor.

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- 106 The mean correlation across subjects for each of the Zernike coefficients from 2<sup>nd</sup> to 8<sup>th</sup>
- 107 order over a 3mm standard pupil size with increasing accommodative demand is displayed
- 108 in Table 1. Those aberrations that on average were significantly correlated with
- 109 accommodative demand were defocus  $(Z_{2}^{0})$ , vertical trefoil  $Z_{3}^{3}$ , vertical and horizontal
- 110 secondary astigmatism ( $Z^{-2}_4$ ,  $Z^2_4$ ), vertical pentafoil ( $Z^{-5}_5$ ), vertical secondary coma ( $Z^{-1}_5$ ),
- 111 secondary spherical aberration ( $Z_{6}^{0}$ ), vertical secondary pentafoil ( $Z_{7}^{-5}$ ), vertical secondary
- hexafoil ( $Z^{-6}_{8}$ ), vertical tertiary quadrafoil( $Z^{-4}_{8}$ , tertiary spherical aberration ( $Z^{0}_{8}$ ), and vertical
- 113 and horizontal quaternary astigmatism ( $Z_{8}^{-2}$ ,  $Z_{8}^{2}$ ).
- 114
- Those aberrations that changed systematically with increased accommodative demand (mean across all subjects r > 0.30) were defocus ( $Z_{2}^{0}$  r = -0.42 ± 0.48), vertical astigmatism ( $Z_{2}^{2}$  r = -0.38 ± 0.61), horizontal trefoil ( $Z_{3}^{3}$  r = -0.48 ± 0.42), vertical secondary astigmatism ( $Z_{4}^{2}$  r = 0.35 ± 0.63) and horizontal secondary trefoil ( $Z_{5}^{3}$  r = 0.30 ± 0.60). Those aberrations that changed significantly at any level of accommodative effort in over 60% of eyes were vertical astigmatism ( $Z_{2}^{2}$ ), horizontal and vertical trefoil ( $Z_{3}^{3}$ ;  $Z_{3}^{3}$ ), vertical coma ( $Z_{3}^{1}$ ), horizontal and vertical secondary trefoil ( $Z_{4}^{-2}$ ;  $Z_{4}^{2}$ ) and spherical aberration ( $Z_{4}^{0}$ ).

**Table 1:** Correlation of the average aberrations with increasing accommodative demand for Zernike polynomial coefficients between  $2^{nd}$  and  $8^{th}$  order in eyes implanted with the Tetraflex 'accommodating' intraocular lens. A negative correlation indicates the Zernike polynomial decreases with accommodative demand. n=13 eyes. A negative Zernike sign indicates vertical direction and a positive Zernike sign indicates horizontal direction. \* p<0.05, \*\* p<0.01.

Zernik	e Term	Description	Correlation (r)	Significance
	-2	Astigmatism	-0.027	0.959
2	0	Defocus	-0.913	0.011*
	2	Astigmatism	-0.670	0.145
3	-3	Trefoil	-0.954	0.003**
	-1	Coma	0.143	0.788
	1	Coma	-0.308	0.553
	3	Trefoil	0.593	0.215
4	-4	Quadrafoil	0.570	0.237
	-2	Secondary Astigmatism	0.929	0.007**
	0	Spherical Aberration	-0.680	0.138
	2	Secondary Astigmatism	0.881	0.020*
	4	Quadrafoil	0.017	0.975
5	-5	Pentafoil	-0.821	0.045*
	-3	Secondary Trefoil	0.614	0.194
	-1	Secondary Coma	-0.948	0.004**
	1	Secondary Coma	0.200	0.703
	3	Secondary Trefoil	0.678	0.139
	5	Pentafoil	0.121	0.820
6	-6	Hexafoil	0.519	0.291
	-4	Secondary Quadrafoil	0.014	0.979

	-2	Tertiary Astigmatism	-0.449	0.372
-	0	Secondary Spherical Aberration	-0.973	0.001**
-	2	Tertiary Astigmatism	-0.788	0.063
-	4	Secondary Quadrafoil	-0.135	0.799
-	6	Hexafoil	0.426	0.399
	-7	Heptafoil	-0.351	0.495
-	-5	Secondary Pentafoil	-0.832	0.040*
	-3	Tertiary Trefoil	0.601	0.207
-	-1	Tertiary Coma	-0.795	0.059
7	1	Tertiary Coma	0.548	0.260
	3	Tertiary Trefoil	-0.633	0.177
	5	Secondary Pentafoil	-0.703	0.119
	7	Heptafoil	-0.583	0.225
8	-8	Septafoil	-0.280	0.591
	-6	Secondary Hexafoil	0.881	0.020*
	-4	Tertiary Quadrafoil	0.969	0.001**
	-2	Quaternary Astigmatism	-0.928	0.008**
	0	Tertiary Spherical Aberration	-0.973	0.001**
	2	Quaternary Astigmatism	0.886	0.019*
	4	Tertiary Quadrafoil	-0.085	0.872
	6	Secondary Hexafoil	0.700	0.121
	8	Septafoil	-0.244	0.642

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#### 124 **DISCUSSION**

125 Determining the mechanism of action of 'accommodating' IOLs when they only provide a 126 small objective benefit in near performance is limited by the resolution of the techniques 127 available to assess optical and biometric changes. It is further complicated by targets within 128 the subjective depth of focus, resulting from the pupil aperture and static optical aberrations, 129 providing no drive to accommodation. Also the accommodative system is principally driven 130 by high frequency, high contrast targets.<sup>11</sup> Therefore, measured accommodation will 131 increase within the range of objective optical change in focus available to the eye (once the 132 depth of focus has been exceeded), but may decrease or become more variable above this 133 level due to the resulting image blur. The analysis performed in this study used objective, 134 sensitive techniques and examined both systematic effects over a range of accommodative 135 demands and significant changes between these demands, regardless of accommodative 136 level at which they occurred, to minimise these limitations.

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138 Previous studies have noted a decrease in objective accommodation with time after implantation.<sup>1,6,12-15</sup> At two years post implantation, the Tetraflex 'accommodating' IOL 139 140 appears to be relatively fixed in position within the eye, moving backwards on increasing 141 accommodative demand from 3.23 ± 1.31 mm to 3.27 ± 1.33 mm. Pupil size decreased 142 from 4.5 ± 1.7 mm to 3.9 ± 1.6 mm over the same increase in accommodative demand, but the depth of focus of the eye is relatively constant with pupil sizes greater than 2.5 mm.<sup>16,17</sup> 143 144 The ocular aberrations of the eye changed with increased accommodative demand, but not 145 in a consistent manner between individuals. As well as the defocus Zernike term, which 146 correlated with objective eye focus as determined by the autorefractor (mean across all 147 subjects r = 0.44), those aberrations that appeared to be most commonly affected by the 148 accommodative demand of the stimulus viewed were vertical primary and secondary 149 astigmatism, vertical coma, horizontal and vertical primary and secondary trefoil and 150 spherical aberration. These ocular aberrations may be particularly beneficial to a patient's 151 near vision as vertical astigmatism and coma aberrations in eye implanted with IOLs have previously been found to linked with spectacle independence.<sup>18</sup> 152

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- 154 In conclusion, flexure changes to the optics of the Tetraflex 'accommodating' IOL do appear
- 155 to occur with accommodative effort and could be responsible for some of the previously
- 156 shown near visual benefit of this IOL.

## ACKNOWLEDGEMENTS

The authors wish to thank Mark Benson, Ian Cunliffe and Tristan Reuser for access to their patients at the Midland Eye Institute.

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# Figure 1: The Tetraflex intraocular

lens.

