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### 1 Introduction

2 Cryptosporidium is a common protozoan parasitic cause of diarrhoea in children 3 worldwide. In those with profound T-cell immunodeficiency, including haematopoietic 4 stem cell transplant (HSCT) recipients, it can cause protracted disease which may be 5 fatal<sup>1,2</sup>. Its role in sclerosing cholangitis in patients with dedicator of cytokinesis 8 6 (DOCK 8) deficiency has been highlighted<sup>3</sup>. Specific treatment options are limited, 7 with no licensed treatment in the EU; in the US, treatment with nitazoxanide is 8 licensed for immunocompetent patients. There is no evidence for its efficacy in 9 immunocompromised patients<sup>4</sup>. Some young children display asymptomatic *Cryptosporidium* carriage<sup>5,6</sup> which may precede symptomatic disease in vulnerable 10 11 groups<sup>2</sup>. Detecting asymptomatic carriage and some symptomatic cases may require 12 more sensitive methods than microscopy of stained smears, such as PCR, 13 immunofluorescence microscopy (IFM), or immuno-magnetic separation (IMS)-14 IFM<sup>2,6,7</sup>, methods used by very few carriage studies.

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16 This report describes a prospective cohort study of children with primary 17 immunodeficiencies undergoing HSCT in the UK. The study objectives were to use 18 highly sensitive methods to investigate the extent of carriage of *Cryptosporidium* and 19 its clinical significance in this high-risk group of patients.

### 21 Methods

Over a two-and-a-half year period, all children <18 years old with primary immunodeficiencies undergoing HSCT at the paediatric bone-marrow transplant (BMT) units at the Royal Victoria Infirmary, Newcastle-upon-Tyne and Great Ormond Street Children's Hospital, London were eligible for inclusion in the study. Between them, these two centres perform the vast majority of BMTs in this patient group for the UK and Ireland.

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29 Informed consent to participate in the study was obtained from patients and/or their 30 guardians. Clinical patient data was supplied by the clinical team caring for the 31 patients by means of a structured questionnaire. Possible risk factors for exposure 32 were obtained from families, who filled in a questionnaire which asked about the 33 following risk factors: travel history, number of children living in same household, 34 water supply at home (mains supply, private supply or group water scheme if in 35 Ireland), whether drinking water had been boiled, swimming, pets, farm visits, nature 36 and duration of childcare and school attendance. Stools from all study participants 37 were collected prior to transplant and tested by routine microscopy (with modified 38 Ziehl-Neelsen or Auramine phenol staining) in the local diagnostic laboratory and 39 then in all cases by specialist tests at the national *Cryptosporidium* Reference Unit as 40 follows: IFM (Crypto-Cel, Cellabs); PCR (SSU rRNA gene)<sup>8</sup>; IMS-IFM (Isolate, TCS) 41 Biosciences; Crypto-Cel, Cellabs)<sup>7</sup>. In stools found to be Cryptosporidium-positive 42 the species and subtype was confirmed by sequencing PCR products amplified from 43 the SSU rRNA and gp60 genes<sup>8</sup>. Repeat samples were tested at 2 months post-44 transplant, and again at 3 months after the end of immunosuppression (to give the 45 patient a chance to clear carriage). Specimens were also tested on clinical grounds 46 whenever a patient had symptoms consistent with cryptosporidiosis. Clinical and 47 patient follow-up data were collected.

#### 49 **Results**

50 Forty-two patients undergoing BMT for primary immune deficiency were recruited: 34 51 from the UK, 7 from the Republic of Ireland and one from Norway. The age range 52 was 1 month to 17 years; median 2.5 years, mean 7.4 years (10 children aged <1 53 year, 8 children aged 1-2 years, 8 children aged 2-5 years, 16 children aged 7-17 54 vears). The underlying diagnoses were: Severe Combined Immune Deficiency 55 (SCID) (8 children), Chronic Granulomatous Disease (7), CD40 ligand deficiency (5), 56 Hemophagocytic lymphohistiocytosis (3), DOCK 8 deficiency (2), combined 57 immunodeficiency syndrome (2), Omenn's syndrome (2), immune dysregulation, 58 polyendocrinopathy, enteropathy, X-linked (IPEX)-like syndrome (2), one with each of 59 Cartilage Hair Hypoplasia, X-linked lymphoproliferative (XLP)-like syndrome, 60 immunodeficiency, centromeric region instability, and facial anomalies (ICF) 61 syndrome, Fas-associated death domain protein (FADD) deficiency, osteopetrosis, 62 Wiskott-Aldrich syndrome, 2 with complex autoimmune disease, 3 unclassified.

63

64 Three patients were found to be infected with Cryptosporidium. The presentation and 65 clinical impact of the disease in these three cases were very different from one 66 another. One patient (case 1) was infected with Cryptosporidium parvum (subtype 67 IIaA19G4R1). This case was a 17 year old male from Ireland who had first presented 68 at the age of 5 years. The presentation and course of his undefined combined 69 immunodeficiency resembled CD40 Ligand deficiency although this was excluded. At 70 the age of 8 years he had developed hepatosplenomegaly, diarrhoea and 71 cholangiohepatitis. At that time his stools were consistently negative for 72 Cryptosporidium by microscopy at his local microbiology laboratory. However a liver 73 biopsy revealed histological evidence of Cryptosporidium and advanced liver 74 disease.

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76 Eventually three years later C. parvum (subtype IIaA19G4R1) was detected in a 77 small bowel aspirate and subsequently was detectable intermittently in stool 78 samples. He suffered severe disease attributable to the infection, leading to 79 cholangitis and liver cirrhosis. At the age of 14 years he underwent a liver transplant. 80 Six weeks later HSCT was performed. His first liver was rejected but a second 81 transplant at age 15 was successful. He was treated with nitazoxanide and 82 azithromycin throughout (unlicensed indications). His stools remained positive for 83 Cryptosporidium a few months after his second liver transplant and he continued on 84 nitazoxanide for almost two years after that, in view of his immunosuppressant 85 treatment, and concern about the new liver becoming infected. Long term

azithromycin was continued as part of his routine post-HSCT antibacterial
 prophylaxis. He had a number of risk factors for *Cryptosporidium* infection, most
 notably drinking unboiled water from the household private water supply, and living
 on a farm where he came into direct contact with cows and sheep.

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91 Two cases had Cryptosporidium hominis but, surprisingly, did not appear to suffer 92 clinical disease. One (case 2) was an 11 year old girl from the UK and the other 93 (case 3) a 7 year old boy from Ireland, both with DOCK 8 deficiency. In both, stool 94 screening by microscopy pre-transplant was negative. In case 2, stool screening was 95 positive for C. hominis at seven weeks post-HSCT when her CD4 count was 102 96 cells/mm<sup>3</sup>, but the patient was asymptomatic. She was nonetheless treated with 97 azithromycin and nitazoxanide for 1 week (unlicensed indication). Treatment was 98 then discontinued and the patient's CD4 counts rose to 586 cells/mm<sup>3</sup> within two 99 more weeks. Her risk factors for C. hominis included contact with three younger 100 siblings and infrequent use of swimming pools. She drank unboiled tap water from a 101 mains supply. Four weeks after the first positive sample, stool microscopy was 102 negative although still positive for Cryptosporidium by PCR. In case 3, routine 103 screening locally by microscopy revealed presence of Cryptosporidium (identified as 104 C. hominis) four weeks post-transplant when CD4 count was 387 cells/mm<sup>3</sup>. This boy 105 was also asymptomatic. He was treated for one week with azithromycin (unlicensed 106 indication). CD4 count reached 479 cells/mm<sup>3</sup> two weeks later. Stool remained 107 positive for Cryptosporidium by both microscopy and PCR for eight weeks after 108 infection but became negative after ten and fifteen weeks respectively. He had been 109 in the UK for four months, during which time he drank only boiled/filtered water. Risk 110 factors for *C. hominis* included one younger sibling, using swimming pools (though 111 not in the year prior to stool sampling), and attendance at day nursery and 112 childminder for two years before starting school.

113

114 Typically one would expect to find more severe disease in this vulnerable group but 115 the identification of these cases may indicate that asymptomatic carriage is more 116 common than currently believed, and is perhaps under-detected. These two cases 117 presented within one month of each other in the same transplant unit. However, 118 different gp60 subtypes were identified: IbA10G2 and IfA13G1, a finding which did 119 not support the occurrence of transmission between these two patients within the 120 unit. Increased observation and testing of the 9 patients on the unit at that time 121 detected no further Cryptosporidium cases either clinically or microbiologically by 122 testing stools using sensitive methods.

123 124

# 125 **Discussion**

126 Three of the cases (3/42; 7%) were found to be infected with Cryptosporidium, more 127 than 5 times the proportion detected using the same techniques among healthy 128 children in a UK study of young children attending day-care settings<sup>6</sup>. All three cases 129 occurred in children in the older age range (8, 11 and 7 years at first presentation). In 130 developed countries, infants and children aged less than 2 years may be less likely to 131 have been exposed to Cryptosporidium, particularly if they have presented with 132 immune deficiency at a very young age and provided with precautionary advice. If 133 cases aged <2 are excluded from the analysis, 3/24 (12.5%) were infected.

134

In a previous study by Mclauchlin et al<sup>2</sup>, 12 of 25 (48%) children with primary 135 136 immunodeficiencies tested prospectively were reported positive by PCR (but not 137 microscopy) for Cryptosporidium – a much higher proportion than found in our study. 138 They were of a relatively older age group than our series but nonetheless the 139 proportion infected was about four times that in our cohort even after excluding the 140 under-twos. Mclauchlin's cases were studied 10-15 years prior to our study when UK 141 drinking water supply quality was not as good, and there was lower awareness of the 142 risk of *Cryptosporidium* to this patient group. Since that time, the Water Supply 143 (Water Quality) Regulations of 2000 were introduced and an associated decline in 144 cryptosporidiosis has been demonstrated<sup>9</sup>. Additionally, these high risk patients have been managed with strict advice on avoiding Cryptosporidium<sup>10</sup>. In Mclauchlin's 145 146 cohort the children became sicker during transplant as a result of cryptosporidiosis. 147 This might at least partly be explained by changes in the intensity of chemotherapy 148 conditioning. However the underlying diagnosis may also be relevant. In 149 McLaughlin's study, nearly half (46%) had CD40 ligand deficiency; in ours it was only 150 5/42 (12%). Of our three cases, the case resembling CD40 ligand deficiency was 151 most severely affected. The worst affected child also had C. parvum infection whilst 152 the other two were infected with C. hominis, although the numbers in this study are 153 too small to draw any conclusion regarding prognosis by infecting species of 154 Cryptosporidium.

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156 Whilst overall only 1/34 of study patients from the UK were infected with 157 *Cryptosporidium*, 2/7 of those from Ireland were affected. The numbers in this study 158 are small, however a study including more patients would be lengthy, since given the 159 rarity of these conditions our patients took two years to recruit. Regulations

supporting the European Drinking Water Directive and water safety plan approachare now being implemented in both countries.

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### 163 **Conclusions**

This study provides an indication of the current frequency and presentation of cryptosporidiosis within this patient group, and of geographical issues to consider as to a patient's origin during initial assessment. Screening may be justified for patients from some locations; however specialist pre-HSCT stool screening did not result in any change in patient management in this series. Although patients are at risk of infection post-transplant, lower intensity conditioning may have limited the clinical significance provided the immune system is recovering.

171

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### 175 Ethical Approval

This study was carried out with the ethical approval of the relevant UK NHS Research Ethics Committee and all required NHS R&D permissions. Informed consent was obtained from all individual participants included in the study and/or their guardians.

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