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1	Methane Production in Oxic Lake Waters Potentially
2	Increases Aquatic Methane Flux to Air
3	
4	Kam W. Tang ^{1*} , Daniel F. McGinnis ² , Danny Ionescu ³ , Hans-Peter Grossart ^{3,4}
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6	¹ Department of Biosciences, Swansea University, Swansea, SA2 8PP, UK
7	² Institute FA. Forel, Earth and Environmental Sciences, University of Geneva, CH-
8	1211, Geneva 4, Switzerland
9	³ Leibniz-Institute of Freshwater Ecology and Inland Fisheries, 16775 Stechlin, Germany
10	⁴ Institute of Biochemistry and Biology, Potsdam University, 14476 Potsdam, Germany
11 12 13 14 15 16 17 18	*E-mail: <u>k.w.tang@swansea.ac.uk;</u> +44 01792606269
19	
20	Active methane production in oxygenated lake waters challenges the long-standing
21	paradigm that microbial methane production occurs only in anoxic conditions, and
22	forces us to rethink the ecology and environmental dynamics of this powerful
23	greenhouse gas. Methane production in the upper oxic water layers places the methane
24	source closer to the air-water interface, where convective mixing and microbubble
25	detrainment can lead to a higher methane efflux than previously assumed.
26	Microorganisms may produce methane in oxic environments by being equipped with
27	enzymes to counteract the effects of molecular oxygen during methanogenesis, or using
28	alternative pathways that do not involve oxygen-sensitive enzymes. As this process
29	appears to be influenced by thermal stratification, water transparency and primary
30	production, changes in lake ecology due to climate change will alter methane formation
31	in oxic water layers, with far-reaching consequences for methane flux and climate
32	feedback.
33	

34 Introduction

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36 As a powerful greenhouse gas, methane is projected to have 28 times the warming potential of CO_2 in the coming century.¹ Constraining the global methane budget, 37 however, has been difficult due to uncertainties in its sources and sinks.^{2,3} Methane 38 sources can be broadly classified as biogenic, thermogenic and pyrogenic.⁴ Among the 39 40 biogenic sources, the prevailing paradigm is that microbial methanogenesis occurs strictly under anaerobic conditions.^{5,6} Consequently, studies of methane dynamics often 41 42 focus on anoxic and hypoxic habitats. This paradigm has recently been questioned due to the findings that terrestrial fungi,⁷ plants^{8,9} and other eukaryotes¹⁰ can produce 43 44 significant amounts of methane under oxic conditions. This novel production may substantially contribute to the total atmospheric methane and may even further increase 45 with global warming.¹¹ 46

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48 Bound by the prevailing paradigm, research on aquatic methane production has 49 often ignored the upper oxic layers. For example, methane sampling in Lake Hallwil, Switzerland over the past decades had been limited to the hypolimnion, but recent 50 51 measurements revealed a distinct methane peak in the oxic 7-9 m layer (McGinnis, unpublished data). A methane peak has also been recently observed in the surface 52 53 waters of Lake Geneva (McGinnis, unpublished data). Likewise, decades of methane sampling in Lake Stechlin, Germany had been restricted to the sediment and bottom 54 water, and the methane peak in the oxic metalimnion was not discovered until 2010.¹² 55 Nevertheless, many researchers have reported inexplicable oversaturation of dissolved 56 57 methane in the upper oxic waters, a phenomenon known as the "methane paradox" because methane production and accumulation are not supposed to occur in well-58 oxvgenated waters.² Conventional explanations for this paradox include input from 59 nearby anoxic sediments and shorelines,^{13,14} and production within micro-anoxic zones 60 such as detritus and animals' gut.^{15,16,17} Considering the new findings of methane 61 formation in oxic environments on land, a revision to our fundamental understanding of 62 the aquatic methane dynamics is needed. 63

65 Discovery of 'oxic methane production'

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Keppler et al.⁸ first reported that terrestrial vegetation actively releases methane under 67 oxic conditions, and the findings were intensely debated.^{18,19} Additional research further 68 reported methane formation in oxic environments independent of methanogenic 69 microbes.^{20,21} Those studies suggest that eukaryotic methane production involves 70 71 methionine⁹ and other methylated precursors, and is related to environmental stressors such as reactive oxygen species.^{20,21} Additionally, Angel et al.²² showed that desert soil 72 73 methanogens actively produced methane under oxic condition by overexpressing oxygen detoxifying genes. Others reported that microbes use methylated metabolites 74 from phytoplankton to produce methane within oxic seawaters.²³⁻²⁷ Collectively these 75 findings show that methanogenesis extends beyond the traditionally perceived anoxic 76 boundaries. 77

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While the biochemical mechanisms behind this novel methane production remain largely unclear, the mere ability of organisms to do so forces us to re-examine the environmental dynamics of methane in aquatic ecosystems. For the purpose of this paper, we describe this as 'oxic' methane production without inferring whether or not the biochemical pathway itself requires oxygen. We review the evidence, its importance for methane flux, and the implications for microbial ecology.

85

86 **Observations in aquatic systems**

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88 Tables 1 and 2 list reports of over-saturated methane concentrations in oxic sea and 89 lake waters. While not exhaustive, the lists clearly show that the methane paradox is widespread. The reported maximum concentrations are usually much higher in 90 freshwater (high nanomolar to micromolar levels) than in seawater (low nanomolar 91 92 levels), which is consistent with the fresh-marine "dilution curve" for dissolved methane.⁴⁹ Globally, lakes cover ca. 3.7% of land⁵⁰ or 0.9% of Earth, whereas oceans 93 cover ca. 70% of Earth. Freshwater oxic methane peaks tend to be nearly 1000-fold 94 95 higher than marine oxic methane peaks, whereas the average oxic methane layer

thickness in lakes vs. oceans is ca. 1:10. Simple extrapolation suggests that the total
amount of oxic freshwater methane is roughly equal to that of oxic marine methane.

One challenge in studying oxic methane production is potential interference from 99 100 nearby anoxic sources. Mesocosms allow the study of the wax and wane of oxic 101 methane production in a more controlled manner and, depending on the mesocosm 102 design, potentially free of influences from the littoral zone and sediment. The IGB 103 LakeLab facility in Lake Stechlin consists of 24 mesocosms (each 9 m diameter x ca. 20 104 m deep). Observed methane oversaturation within the oxygen-rich mesocosm water indicated that oxic methane production was independent of input from the littoral zone 105 (Table 3), consistent with an earlier report.⁴⁷ The mesocosm bottom did not become 106 107 anoxic; hence, one can rule out methane seepage from anoxic bottom as an 108 explanation for the observations. Similar oxic methane production was observed in smaller mesocosms installed in Lac Cromwell, Canada.⁴⁶ Furthermore, all four of the 109 110 monitored mesocosms developed oxic-water methane oversaturation despite their 111 different phytoplankton compositions (based on pigments; Table 3), suggesting that oxic 112 methane production was not dependent on a specific phytoplankton taxon.

113

Lake Stechlin (max. 70 m) is home to one of the longest-running limnological 114 115 monitoring programs (>65 years) in north-eastern Germany. Methane production in the upper oxic layer has been repeatedly observed since 2010,^{12,47} coinciding with the 116 117 phytoplankton growth season, and methane concentration within the upper 25 m was linearly correlated with primary production.⁴⁷ Positive correlations between oxic-water 118 119 methane and chlorophyll concentrations in several seas and lakes have also been reported.^{46,51} Together, these observations suggest that the oxic methane production is 120 121 associated with primary production.

122

Methane can be rapidly oxidized by methanotrophs to CO_2 in the presence of oxygen, as often seen in the water layer overlying anoxic sediment. Using molecular markers, Grossart et al.¹² detected the presence of methane oxidizers only below the thermocline but not within the oxic methane peak in Lake Stechlin. Murase and Sugimoto⁵² incubated Lake Biwa waters under different light intensities and reported
 lower oxidation rates in the light. Similar photoinhibition effects were also found in Lake
 Stechlin⁴⁷ (Table 4). The absence or photoinhibition of methane oxidizers thereby allows
 for the accumulation of methane in the upper oxic water column.

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132 Implications for lake-to-air methane flux

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Diffusive methane flux F_i from water to the atmosphere is determined by the methane concentration at the surface water C_{w} , the atmospheric saturation concentration C_{sat} (~3 nM) and the physical processes driving the water-air exchange coefficient k (m d⁻¹):⁵³

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 $F_i = k (C_w - C_{sat})$

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In the case of anoxic bottom methane production in deep stratified lakes, the
thermocline acts as a barrier that 1) physically limits the upward flux from bottom water,
and 2) allows methanotrophs to oxidize methane within the oxic zone subsequently
fortifying that barrier. Hence, significant exposure of dissolved methane to the
atmosphere is limited to periods of deep convective mixing or complete lake turnover.
However, even in the latter case there is still uncertainty as to how much methane will
reach the atmosphere and how much is oxidized.^{54,55}

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148 With the methane source located in the upper oxic layer instead of the bottom (Fig. 1), methane only needs to be transported over a much shorter distance to reach 149 150 the water-air interface. Additionally, shallow water mixing (convection), which often occurs diurnally, both exposes higher methane concentrations to the air-water interface 151 and enhances k^{53} . These fluxes would be particularly important during periods of colder 152 153 weather and higher winds during the stratified season, and would be further elevated by microbubbles.⁵⁴ These additional mechanisms for releasing methane from the surface 154 are not considered in conventional Fickian diffusion (k) calculations.^{54,56} 155

- Bastviken et al.⁵⁷ estimates that freshwaters contribute 103.3 Tg CH₄ yr⁻¹ to the 157 atmosphere. Of this, they attribute 9.5% to diffusive fluxes with an average of 0.51 mmol 158 $m^{-2} d^{-1}$ covering arctic to tropical lakes (n = 397). The data, however, rarely included 159 160 night-time measurements when convection was strongest, and had very limited 161 seasonal studies. Most diffusive fluxes for their budget estimate relied on parameterizations for k based on wind speed, 58,59 which tend to underestimate surface 162 163 diffusive fluxes, particularly during convective mixing due to surface cooling that strongly drives k values⁵³ or microbubble flux enhancement.⁵⁴ Convection-driven k can increase 164 the flux as much as five times over the wind parameterization.⁶⁰ 165
- 166

167 The near-surface oxic methane sources combined with more realistic estimates for transport will increase the estimated contribution to the global budget. As an 168 illustrative example, fluxes from Lake Stechlin ranged from 0.95 mmol m⁻² d⁻¹ in July 169 2014 (avg. surface CH₄ 0.28 μ mol L⁻¹, wind speed 1.9 m s⁻¹, our unpublished data) to 170 2.7 mmol m⁻² d⁻¹ in August 2013 (avg. surface CH₄ 0.37 μ mol L⁻¹, wind speed 4.2 m s⁻¹ 171 ¹).⁵⁴ These values are 1.9-5.3 times higher than Bastviken et al.'s estimates, suggesting 172 173 that diffusive emissions from lakes, particularly due to the oxic methane peak, could be doubled (~18.5 Tg yr^{-1}) or even higher. 174

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176 Implications for aquatic microbial ecology

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How microbes produce methane under oxic condition is unclear. We consider two
possibilities: 1) They use conventional biochemical pathways but are also equipped with
ways to counteract the effects of oxygen; 2) They use biochemical pathways that do not
involve oxygen-sensitive enzymes as described for the conventional pathways.

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In the conventional pathways, the carbon-borne precursor molecules act as
electron acceptors in a series of redox reactions releasing methane as the end product.
Although this process is supposedly wide-spread in the oxygen-free ancient ocean, it is
wasteful because the energy-rich methane is lost. With the advent of oxygenic
photosynthesis, oxygen becomes the preferred electron acceptor as more energy can

188 be generated. This 'switch' from a fully anaerobic metabolism to an exclusively aerobic 189 metabolism requires major changes in the cell's genetic blueprint and biochemical 190 machinery, and leads to an evolutionary divergence of aerobes from their anaerobic ancestors.⁶ Anaerobic organisms became marginalized over time to the remaining 191 192 anoxic fringe habitats in lakes and oceans. However, some ancestral anaerobes, without committing themselves to whole-sale changes, may have developed ways to 193 194 neutralize the negative effects of oxygen and continue to occupy the vast but 195 increasingly oxygenated environment. Many oxygen-tolerant microorganisms have the antioxidant enzyme catalase, which can be coded for by a single gene.^{61,62} This strategy 196 appears to be employed by desert soil methanogens.²² 197

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Alternatively, microbes may use pathways not affected by oxygen (Fig. 2). Karl et 199 al.²³ suggest that microbes in the equatorial Pacific break down methylphosphonate 200 201 (MPn) and release methane as a by-product. The process requires enzymatic cleavage of the C-P bond and is not oxygen sensitive. The operon for the C-P lyase enzyme 202 PhnJ responsible for breaking the C-P bond⁶³ is widespread across the bacterial 203 domain.⁶⁴ Accordingly, this reaction can be catalysed by numerous phosphorus 204 205 scavenging microorganisms, and supports the notion that MPn is a main phosphorus source for microbes in oligotrophic waters.^{65,66} While biological phosphonate production 206 is common within the bacterial domain,⁶⁷ currently only one methylphosphonate 207 synthase (mpnS) has been identified originating from the marine Thaumarchaeota.68 208 209 These organisms are abundant in the ocean, although they have been reported in some freshwater lakes as well.⁶⁹ Another probable source in freshwater are the 210 Actinobacteria, which produce a large diversity of phosphonate compounds.^{70,71} The 211 212 high abundance of freshwater Actinobacteria coupled with known C-P lyase activity of cvanobacteria⁷² may explain the correlation between oxic methane formation and 213 cyanobacteria bloom in Lake Stechlin.¹² Damm et al.²⁵ suggest that arctic microbes 214 215 metabolize dimethylsulfoniopropionate (DMSP) (requiring enzymatic cleavage of the C-S bond) for energy production and release methane as a by-product, which would 216 217 require a final step of methyl reduction. However, the methyl reductase Mcr gene 218 complex has not been found in any non-methanogenic genome and has no known

structural homolog in *Bacteria*. To allow the process to occur in oxic water, Damm et
al.⁷³ theorize that DMSP-utilizing bacteria maintain an anoxic cytoplasm through
respiration, although empirical evidence is still missing.

222

223 From an energetic standpoint it is hardly favourable to discard methane as a by-224 product; nevertheless, the implication of the earlier work is that oxic methane production could be driven by microbes equipped with C-P lyase or C-S lyase,^{23,25} which are 225 common among heterotrophic microbes capable of metabolizing C-1 compounds.⁷⁴ A 226 227 comparative genomics analysis shows that the majority of enzymes in the various 228 methanogenic pathways are present in non-methanogenic organisms including Bacteria 229 (Fig. 2). This along with the presence of several C1 carriers (tetrahydrofolate and tetrahydromethanoptrin)⁷⁵ among *Bacteria* allows us to speculate that upon 230 231 demethylation of C-1 compounds, the methyl group bound to a C1-carrier or an 232 unknown Coenzyme-M homolog is reduced to methane by cellular reductases, for which the methyl-reductase function has not been identified (Fig. 2). Alternative sources 233 234 of reducing power potentially include: 1) Electron bifurcation that has been described for anaerobic methanogenesis⁷⁶ but not yet for oxic methane production; 2) reducing power 235 236 dumping by photosystems (in cyanobacteria) or proteorhodopsin (in *Bacteria*), especially under nutrient limitation. 237 238

There is emerging evidence that some microalgal species may directly produce methane by demethylation, completely by-passing the involvement of heterotrophic microbes.⁷⁷ Organosulfur compounds such as methionine, dimethyl sulfoxide and DMSP are commonly produced by algae. It has been reported that, under ambient atmospheric condition, several organosulfur compounds can be chemically converted to methane.⁹ If similar processes are confirmed in algae, methane production in oxic waters would be much more pervasive than previously imagined.

247 Implications for climate and future research directions

Cyanobacteria blooms are on the rise due to eutrophication and climate change.⁷⁸
Given that strong oxic methane production has been associated with cyanobacteria
blooms,¹² this could result in a positive greenhouse feedback. Meanwhile, the fate of the
oxic methane source is influenced by stratification pattern and surface mixing events,
but these processes may not be fully captured by climate models, especially for small
lakes.⁷⁹

255

256 Methane has long been the focus in ecological and climate research, but the 257 current view of its global dynamics is biased by the conventional exclusion of oxic habitats and processes.^{3,4} In light of the new findings discussed here, it is necessary to 258 259 revisit the century-old understanding of aquatic microbial methane production and 260 address several urgent research areas: 1) More research is needed on the precise 261 biochemical pathway(s) behind oxic methane production, and the use of stable isotopes 262 and tracers can shed light into the different precursor compounds and pathways; 2) 263 Further investigation is warranted on the fate of this novel methane source, including 264 water-to-air exchange and internal consumption via methanotrophy; 3) Isolation and cultivation of the responsible organisms will be needed for detailed physiological 265 266 studies; 4) It is necessary to revisit the global methane budget by including oxic methane sources, and the role they may play in future climate. 267

268

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Location	Observations	Reference
Western	 CH₄ oversaturation in the upper 300 m 	Scranton and
subtropical N.	 Max. 3.5 nM CH₄ overlapping thermocline 	Brewer ²⁸
Atlantic	 Physical transport could not explain observed CH₄ peak 	
North Atlantic	 CH₄ oversaturation in the upper 1000 m; max. ~4 nM CH₄ 	Conrad and
35°S to 50°N	 CH₄ conc. not correlated with chlorophyll or hydrogen 	Seiler ²⁹
Southern	 CH₄ peak (ca. 8 nM) overlapping thermocline and oxycline 	Ward and
California Bight, U.S.	 Almost no CH₄ oxidation in the upper 100 m 	Kilpatrick ³⁰
Western North	 High CH₄ (2.2-3.4 nM) within 0-200 m 	Watanabe et al. ³¹
Pacific	 CH₄ poorly correlated with chlorophyll in upper 100 m 	
California coast,	 Max. 5.42 nM CH₄ in upper 200 m 	Tilbrook and
U.S.; VERTEX	 Zooplankton guts and sinking particles were suggested as 	Karl ³²
stations	the source	
Arabian Sea	 Weakly developed CH₄ max. in the upper 50 m 	Jayakumar et al. ³³
	• More pronounced CH ₄ max. (up to 8.5 nM) at 150-200 m	
Monterey Bay	• CH, accumulated at thermocline (100-200 m)	Rehder et al ³⁴
US	CH ₄ accumulated at thermoenine (100 200 m)	McGinnis et al ³⁵
Western	 Up to 12% CH, oversaturation in the upper 100 m 	Sasakawa et al ³⁶
subarctic gyre of	 Sinking particles were suggested as the source 	Ousakawa et al.
N. Pacific	• Onliking particles were suggested as the source	
Fram Strait	 High CH₄ (7-9 nM) overlapping high O₂ (380-390 µmol l⁻¹) in 	Damm et al. ³⁷
lanan Sea	Average 2.6 pM CH at surface: max 14 pM at 50 m	Vereshchagina et
Japan Sea	 Sediment CH₄ was unlikely the source 	al. ³⁸
Central Chile	 125-550% CH₄ saturation at 0-30 m with >100% O₂ 	Florez-Leiva et
upwelling system	• CH ₄ oversaturation coincided with seasonal upwelling, high	al. ³⁹
	chlorophyll and high DMSP levels.	
ALOHA station	 CH₄ oversaturation down to 175 m 	Del Valle and
	• Max. ~3.6 nM CH ₄ coincided with max. 226 μ M O ₂	Karl ²⁴

500 Table 1. Some examples of studies reporting over-saturated methane concentrations in oxic seawaters.

504 Table 2. Some examples of studies reporting over-saturated methane concentrations in oxic lake waters.

Location	Observations	Reference
Lake 227	• CH, decreased from 282.5 µM at 9 m to ~0.5 µM at 7.5 m	Rudd et al 40
Canada	Both CH ₄ and oxidation remained low in surface layer	
Lake St. George.	• CH, peak (\sim 5 µM) at 6 m overlapping thermocline NH, and	Bedard and
Canada	NO_2 peaks: oxidation activity not detectable	Knowles ⁴¹
Lake Biwa, Japan	• Station A: max \sim 175 nM CH ₄ coinciding with thermocline	Murase et al. ¹³
, • • p •	and ~250 μ mol O ₂ Γ^1	
	• Station B: max. 205 nM CH₄ coinciding with thermocline and	
	~188 μ mol O ₂ I ⁻¹	
	• River runoff, littoral and sublittoral sediments were suggested	
	as the source	
Lakes in south	 High CH₄ (~140 μM) near sediment (11 m) 	Sundh et al.42
central Sweden	 No upper CH₄ peak was observed 	
	 High CH₄ oxidation activity below 5 m. 	
Lake Paul and	• CH ₄ was nearly zero at thermocline and oxygen peak at 5 m,	Bastviken et al. ⁴³
Lake Peter, USA	then increased to 4 μ M in the surface layer	
10 boreal lakes in	• High CH ₄ (> 1 μ M) in surface layer with 60 to >100% O ₂	Juutinen et al.44
Finland	saturation in some of the lakes	
Sakinow Lake,	• CH ₄ was low (0.02-0.1 μ M) at thermocline depth (~20 m),	Vagle et al. ⁴⁵
Canada	then increased to ~0.35 μ M at the surface	
	 CH₄ bubbles from sediment could not explain high CH₄ in 	
	surface water	4.4
Lake Constance,	 High CH₄ (1.5 μM) above thermocline 	Hofmann et al. ¹⁴
Germany	 Lateral transport from littoral zone was suggested as the 	
	source	10
Lake Stechlin,	• Low CH ₄ (<0.2 μ M) in hypolimnion	Grossart et al. ¹²
Germany	• Max. ~1.4 μ M CH ₄ in metalimnion overlapping oxygen peak	
	 Methanotrophs absent in metalimnion 	
	 Experiments confirmed active CH₄ production in oxic water 	10
Lac Cromwell,	• High CH ₄ (0.10-0.53 μ M) in mesocosms under oxic condition	Bogard et al.46
Canada	$(45.6-128.6\% O_2 \text{ saturation})$	
Nino lakos in NE	• CH positively correlated with Q in surface waters	Tang of al ⁴⁷
Germany	• Child positively correlated with O ₂ in surface waters	Tang et al.
Connarty	• Explosit zone Chi4 positively correlated with primary	
	Ehullition from sediment was unlikely the source	
lake Lugano	• High CH. (up to 180 pM) in the upper oxic layer in	Blees et al ⁴⁸
Switzerland	stratification season	Bioos of al.
	Vertical profiles suggest excess CH, from a near-surface	
	source	

Table 3. Methane measurements in four mesocosms within the LakeLab in September, 2012. The mesodom deep with a thermocline at ca. 8 m, and were dominated by different phytoplankton based on pig methane concentration, maximum and minimum methane concentrations and the corresponding discondepths are shown. Pigments were measured by BBE (Kiel) probe; temperature and oxygen were measured (Weilheim) submersible probe; CH_4 of discrete depth water samples was measured by standard heads method.^{12,47}

Dominant phytoplankton	Surface CH₄ (µM)	Max. CH ₄ (µM)	D.O. (mg l ⁻¹)	Depth (m)	Min. CH₄ (µM)	D.O. (mg l ⁻¹)
Green algae	0.11	0.11	11.6	3	0.05	8.7
Chryptophyte and cyanobacteria	0.11	0.12	11.6	3	0.03	8.7
Green algae and diatoms	0.10	0.12	6.9	15	0.06	8.0
Green algae	0.23	0.23	9.13	0	0.13	8.3

Location	Methane oxidation rate (nmol L ⁻¹ d ⁻¹)		Reference	
	Light	Dark		
Lake Biwa (thermocline)	0.33	2.67	Murase and Sugimoto ⁵²	
Lake Biwa (hypolimnion)	26	55		
Lake Stechlin	89	103	Tang et al.47	

Table 4. Methane oxidation rates under light and dark conditions. Values for Lake Biwa are calculated for the first 3 days from Fig. 2B and 2C of Murase and Sugimoto.⁵²

Figure Legend

Fig. 1. Comparison of two scenarios of methane dynamics in a stratified water column; a: The traditional scenario where methane is produced in the anoxic sediments, transported upward by diffusion and ebullition, and is rapidly consumed by methane oxidation in the hypolimnion, resulting in no or little methane outflux from the system; b: The alternative scenario where oxic methane production occurs in the surface layer. Convective mixing, microbubble detrainment and diffusion in the epilimnion result in higher methane outflux from the system. Downward diffusion also fuels methane oxidation in the hypolimnion. Thermocline is indicated by the dashed line.

Fig. 2. Known and hypothetical methanogenesis pathways. EC numbers for catalysing enzymes marked in green are found in genome annotation of non-methanogenic organisms including *Bacteria* (i.e. non Archaea; based on KEGG taxonomy and PATRIC). Precursor compounds reported for oxic methane production are marked in blue (authors' unpubl. data marked by *). Pathways known to require anoxic environments are grouped in black frames. Known and hypothesized pathways that occur in oxic conditions are grouped within solid or dashed red frames, respectively. See text for details.



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Methane Production in Oxic Lake Waters Potentially Increases Aquatic Methane Flux to Air

Kam W. Tang, Daniel F. McGinnis, Danny Ionescu, Hans-Peter Grossart

