



Swansea University
Prifysgol Abertawe



Cronfa - Swansea University Open Access Repository

This is an author produced version of a paper published in :

Climate Dynamics

Cronfa URL for this paper:

<http://cronfa.swan.ac.uk/Record/cronfa23984>

Paper:

Hafner, P., McCarroll, D., Robertson, I., Loader, N., Gagen, M., Young, G., Bale, R., Sonninen, E. & Levani, T. (2014). A 520 year record of summer sunshine for the eastern European Alps based on stable carbon isotopes in larch tree rings. *Climate Dynamics*, 43(3-4), 971-980.

<http://dx.doi.org/10.1007/s00382-013-1864-z>

This article is brought to you by Swansea University. Any person downloading material is agreeing to abide by the terms of the repository licence. Authors are personally responsible for adhering to publisher restrictions or conditions. When uploading content they are required to comply with their publisher agreement and the SHERPA RoMEO database to judge whether or not it is copyright safe to add this version of the paper to this repository.

<http://www.swansea.ac.uk/iss/researchsupport/cronfa-support/>

1 **A 520 year record of summer sunshine for the eastern European**
2 **Alps based on stable carbon isotopes in larch tree rings.**

3
4 Polona Hafner, Danny McCarroll, Iain Robertson, Neil J. Loader, Mary Gagen, Giles HF
5 Young, Roderick J. Bale, Eloni Sonninen, Tom Levanič

6
7
8
9 **Addresses:**

10
11 Polona Hafner and Tom Levanič. Department of Yield and Silviculture, Slovenian Forestry
12 Institute, Večna pot 2, 1000 Ljubljana, Slovenia

13
14 Danny McCarroll, Iain Robertson, Neil J. Loader, Mary Gagen, Giles HF Young. Department
15 of Geography, Swansea University, Swansea SA2 8PP, UK

16
17 Roderick J. Bale. Department of Archaeology, University of Wales, Trinity Saint David,
18 Lampeter SA48 7ED, UK

19
20 Eloni Sonninen. Dating Laboratory, Finnish Museum of Natural History, University of
21 Helsinki, P.O. Box 64, 00014 Helsinki, Finland

22
23 *Corresponding author: Danny McCarroll Department of Geography, Swansea University,
24 Swansea SA2 8PP, UK. (d.mccarroll@swansea.ac.uk)

25
26
27 **Key points**

- 28
29 • The first summer sunshine reconstruction for the European Alps

32 **Abstract**

33

34 A 520-year stable carbon isotope chronology from tree ring cellulose in high altitude larch
35 trees (*Larix decidua* Mill.), from the eastern European Alps, correlates more strongly with
36 summer temperature than with summer sunshine hours. However, when instrumental records
37 of temperature and sunshine diverge after AD 1980, the tree ring time series does not follow
38 warming summer temperatures but more closely tracks summer sunshine trends. When the
39 tree ring stable carbon isotope record is used to reconstruct summer temperature the
40 reconstruction is not robust. Reconstructed temperatures prior to the 20th century are higher
41 than regional instrumental records, and the evolution of temperature conflicts with other
42 regional temperature reconstructions. It is concluded that sunshine is the dominant control on
43 carbon isotope fractionation in these trees, via the influence of photosynthetic rate on the
44 internal partial pressure of CO₂, and that high summer (July-August) sunshine hours is a
45 suitable target for climate reconstruction. We thus present the first reconstruction of summer
46 sunshine for the eastern Alps and compare it with the regional temperature evolution.

47 **Key words**

48 Carbon isotopes; dendrochronology; climate change; cloud cover

49

50 **1. Introduction**

51 The ring widths of larch (*Larix decidua* Mill) trees growing high in the eastern European
52 Alps tend to be sensitive to the temperature of June, and to a lesser extent July (Hafner et al.
53 2011). Stable carbon isotope ratios measured on cellulose from the same tree rings correlate
54 with the temperature of July and August. In a pilot study Hafner et al. (2011) suggested that
55 combining these two proxies might provide a strong record of past changes in temperature for
56 the entire summer season, June to August. However, recent work in northern Fennoscandia
57 has raised concerns about using stable carbon isotopes in tree rings to reconstruct
58 temperature, even when correlation and verification with local temperature data is very strong
59 (Young et al. 2010; Gagen et al. 2011).

60 The ratio of ^{13}C to ^{12}C in wood cellulose, expressed relative to a standard using the delta
61 notation ($\delta^{13}\text{C}$), is strongly linked to the concentration of carbon dioxide inside the (leaves or)
62 needles averaged over the growing season (McCarroll and Loader 2004). Air (containing
63 carbon dioxide) enters the needles via the stomata and the carbon dioxide is enzymatically
64 fixed during photosynthesis to produce carbohydrates, which are used to supply all of the
65 needs of the growing tree, including the formation of wood cells. The main controls on the
66 carbon isotope ratio of the resulting photosynthates are the relative rates at which CO_2 enters
67 (stomatal conductance) and is removed (photosynthetic rate) from the internal leaf spaces. In
68 dry environments stomatal conductance dominates the $\delta^{13}\text{C}$ signal, recording changes in air
69 humidity and soil moisture availability, linked to antecedent precipitation. In cool, high
70 altitude or high latitude settings, where moisture stress is less common, the dominant signal
71 in $\delta^{13}\text{C}$ is likely to be the rate of photosynthesis. Photosynthetic rate is linked to temperature,
72 via the rate of production of the photosynthetic enzyme, but more commonly the limiting
73 factor is the supply of energy in the form of photon flux, or sunlight (Beerling 1994) which
74 drives the photosynthetic reaction. The $\delta^{13}\text{C}$ of tree rings measured at sites that do not

75 experience frequent moisture stress is theoretically controlled more strongly by sunlight than
76 by temperature.

77 Although sunlight is, in theory, the strongest control on carbon isotope fractionation under
78 cool moist conditions, in practice $\delta^{13}\text{C}$, in the recent calibration period, often correlates most
79 strongly with summer temperature. A likely explanation is that temperature and sunshine are
80 very strongly correlated at the inter-annual scale and temperature is measured precisely and
81 accurately, whereas long records of photosynthetically active radiation flux are rarely
82 available, forcing reliance on less direct measures such as hours of sunshine or percentage
83 cloud cover.

84 If summer sunshine and summer temperature remained strongly and uniformly covarying
85 over long timescales it would not matter which one was used for calibration and
86 reconstruction, and this was the assumption in some earlier work (McCarroll and Pawellek
87 2001; McCarroll et al. 2003). In northern Finland, for example, $\delta^{13}\text{C}$ of pine trees correlated
88 more strongly with summer temperature than either tree ring widths or densities and also
89 gave better verification statistics using local meteorological data (McCarroll et al. 2011).
90 However, when this proxy was used to reconstruct summer temperature back to AD1640
91 (Gagen et al. 2007) it was clear that temperature estimates prior to the calibration period were
92 too high. The offset was demonstrated by comparison with the long early instrumental
93 temperature records from Tornedalen (Gagen et al. 2011). Similar results were obtained in
94 north-west Norway, but in this case there was a period in the instrumental measurements
95 where there was a clear divergence between summer temperature and percentage cloud cover,
96 indicating that temperature and sunshine may not remain tightly coupled at non-interannual
97 timescales (Young et al. 2010). In this case when temperature and cloud cover diverged, the
98 $\delta^{13}\text{C}$ values followed the cloud cover (sunshine) rather than temperature (Young et al. 2010).
99 The long $\delta^{13}\text{C}$ records from pine trees in northern Fennoscandia have now been robustly

100 reinterpreted as records of past summer sunshine or cloud cover, depending on the
101 availability of local meteorological data for calibration and verification (Gagen et al. 2011;
102 Young et al. 2012; Loader et al. 2013). In this area there are very clear offsets between the
103 tree ring $\delta^{13}\text{C}$ records and high quality reconstructions of summer temperature (Melvin et al.
104 2012; McCarroll et al. 2013), pointing to long periods of divergence between temperature and
105 sunshine, perhaps indicating large scale changes in circulation in the past (Loader et al.
106 2013). Similar divergence between summer temperature and sunshine, at decadal or multi-
107 decadal timescales, has also been found within a General Circulation Model (Gagen et al.
108 2011).

109 In the European Alps, as elsewhere, tree ring $\delta^{13}\text{C}$ has generally been interpreted either as an
110 indicator of moisture stress, in drier areas, or of summer temperature in higher, cooler ones.
111 For example, Kress et al. (2010) measured carbon isotope ratios in larch trees from the
112 Lötschental in the Valais; one of driest regions in Switzerland, and found very strong
113 correlations with a drought index based on temperature and precipitation amount. In the same
114 valley Treydte et al. (2001) reported strong correlations between $\delta^{13}\text{C}$ in spruce tree rings and
115 temperature, precipitation and relative humidity, concluding that relative humidity is a
116 suitable target for reconstruction. In the French Alps, Daux et al. (2011) also report strong
117 correlations between larch $\delta^{13}\text{C}$ and summer relative humidity. Strong correlations with
118 parameters linked to moisture stress have also been reported for beech trees (Saurer et al.
119 1997) and for pines growing at dry Alpine sites (Gagen et al. 2004, 2006). On the more moist
120 southern side of the Swiss Alps, Reynolds-Henne et al. (2007) report a low but consistent
121 correlation between $\delta^{13}\text{C}$ in Scots pine trees and summer temperature. Alpine tree ring $\delta^{13}\text{C}$
122 chronologies have not, thus far, been interpreted in terms of past variations in sunshine.

123 The aim of this paper is to use a 520 year $\delta^{13}\text{C}$ chronology from the eastern Alps to test the
124 hypothesis that, based on first principles, the dominant control on isotopic fractionation is

125 summer sunshine rather than temperature in this region. Given the paucity of sunshine
126 records over this region, this is tested by critically assessing the veracity of temperature
127 reconstructions by comparing them with both long instrumental series and temperature
128 reconstructions, based on other lines of evidence.

129 **2. Sites and data description**

130 Tree ring samples for isotopic analysis were obtained by sub-sampling 12 trees from a *Larix*
131 *decidua* tree ring chronology built using living trees from two alpine (1700 m a.s.l.) sites;
132 Dleskovška plateau (46°21'N, 14°42'E, close to the border with Austria) and Vršič (46°26'N,
133 13°43'E, close to the border with Italy) in the Slovenian Alps (see Hafner et al. 2011 for more
134 details of site selection). The chronology also includes some roof timbers from St. George's
135 church in the coastal region of Slovenia (Piran, 45°31'N, 13°34'E) and two of these were
136 included to extend and strengthen the early part of the isotope chronology. The building
137 timbers originate from 'alpine sites near the border between Slovenia and Italy' (Levanič et
138 al. 1997).

139

140 From each tree or beam, 12-mm cores were extracted, air-dried, absolutely dated and then
141 divided into annual tree rings using a scalpel under magnification. The youngest 50 tree rings
142 of each tree were excluded from further analysis to avoid potential "juvenile trends" in the
143 $\delta^{13}\text{C}$ chronology (Gagen et al. 2007), although recent work on larch suggests that this might
144 not be necessary (Daux et al. 2011). Tree rings were not separated into early and late-wood
145 components because the rings were often too narrow to provide enough α -cellulose and
146 because it has been shown that for conifers the isotopic ratios of early and late wood are
147 strongly correlated and good results are obtained when using the whole ring (Kress et al.
148 2009). For each tree ring the α -cellulose was isolated using standard techniques (Loader et al.
149 1997; Rinne et al. 2005). The purified samples were homogenized using a Hielscher

150 ultrasonic probe (Laumer et al. 2009) and freeze-dried for at least 48 hours prior to
151 measurement of $\delta^{13}\text{C}$ ratios.

152

153 Carbon isotope analysis, using samples of 0.30–0.35 mg of α -cellulose, was performed using
154 a mixture of combustion and pyrolysis techniques. Pyrolysis allows both carbon and oxygen
155 isotope ratios to be measured on the same sample (Young et al. 2011a; Woodley et al. 2012),
156 which is advantageous when dealing with thin rings, as in this study. For combustion the
157 samples were weighed into tin capsules and combusted over chromium(III) oxide and
158 copper(II) oxide at 1,000°C. For pyrolysis, samples were weighed into silver capsules and
159 pyrolysed over glassy carbon at 1,090°C. In both cases work was conducted in the Swansea
160 University stable isotope laboratory using a PDZ Europa ANCA GSL elemental analyzer
161 interfaced to a PDZ Europa 20–20 stable isotope ratio mass spectrometer using the methods
162 described by Young et al. (2011a). For comparison, samples from one tree were pyrolysed at
163 higher temperature (1,330°C) in a Finnigan TC/EA high temperature elemental analyzer
164 interfaced (by ConFloIII) to a ThermoFinnigan DeltaPlus Advantage isotope ratio mass
165 spectrometer at the University of Helsinki. Analytical precision was typically ± 0.1 per mille
166 ($\delta^{13}\text{C}$). The $\delta^{13}\text{C}$ values obtained by pyrolysis and combustion on the same samples were very
167 similar (mean values -22.98‰ for combustion and -23.11‰ for pyrolysis, correlation $r =$
168 0.94 , $n = 512$). The small differences in mean and variance were removed by scaling the
169 pyrolysis values to match those of combustion following the procedure described by Young
170 et al. (2011a).

171

172 After scaling, the $\delta^{13}\text{C}$ values were corrected first to remove the atmospheric decline in the
173 $\delta^{13}\text{C}$ values of atmospheric carbon dioxide, by simple addition using an extrapolation of the
174 values provided by McCarroll and Loader (2004), and then for changes in the response to the

175 rising carbon dioxide content of the atmosphere using the pre-industrial (PIN) correction
176 proposed by McCarroll et al. (2009). The effect of the two corrections is shown in Hafner et
177 al. (2011). The PIN correction is based on the physiological constraints on a plastic response
178 to rising carbon dioxide levels and makes no attempt to fit the isotope data to any climatic
179 signal, thus preserving the independence of the meteorological data sets to be used for
180 calibration. The effect of the PIN correction on the reconstruction was checked by including
181 and excluding the period that is affected (discussed later).

182

183 Examination of the individual tree $\delta^{13}\text{C}$ series suggests that the temporal evolution of the
184 mean isotope curve is not an artifact of changes in sample depth or the mixture of different
185 tree cohorts, and the longer tree series follow the trend of the mean. With only 14 trees,
186 including cohorts of similar age, it is difficult to test conclusively for the presence of age
187 trends. Much larger data sets for Scots pine in Fennoscandia show no evidence for an age
188 trend after a short (<50yrs) juvenile period (Gagen et al. 2008; Young et al. 2011b) and a
189 similar study would be required for Alpine larch, but there are insufficient published data at
190 present. However, the trend of a linear correlation between $\delta^{13}\text{C}$ and ring number provides
191 some indication of the extent of age related trends in these trees, and seven of the trees show
192 a rise over their series length, of which four are statistically significant ($p < 0.05$), whilst the
193 other seven show a decline, of which three are significant. There is certainly no evidence to
194 suggest a consistent age trend in larch $\delta^{13}\text{C}$ that might confound any climatic interpretation of
195 the mean series.

196

197 Of the five calendar centuries covered by the mean $\delta^{13}\text{C}$ record (Fig. 1), the highest century-
198 mean occurs in the 18th (-22.64‰) followed by the 17th (-22.78‰) and the lowest in the 19th
199 (-22.92‰). Mean values of the 16th and 20th centuries are almost the same (-22.88‰ and -

200 22.89‰). Taking half centuries, the mean value for the period 1951 to 2000 (-22.79‰) is the
201 fourth highest, with higher values covering the period AD 1651 to 1750. The lowest is the
202 first half of the 20th century, followed by the first half of the 17th century.

203

204 **3. Calibration and verification of the climate signal**

205

206 A pilot study (Hafner et al. 2011) calibrated carbon isotopes from this chronology, together
207 with other potential paleoclimate proxies for the last 100 years, using meteorological data
208 from Villacher Alps meteorological station in the Austrian Alps, which is part of the
209 HISTALP network (Auer 2007). Strong positive correlations were reported with the mean
210 temperatures of July and August, weaker but significant correlations with September
211 temperatures but not with June. Sunshine hours in July and August also gave strong
212 correlations, with insignificant values for June and September. Significant negative
213 correlations were reported for the precipitation totals of July and August. The data presented
214 here give very similar results, but we are able to extend the correlations back to AD1884,
215 which is the length of the sunshine data (Table 1). In all cases combining the meteorological
216 data from July and August improves the correlation with $\delta^{13}\text{C}$.

217

218 Over the period for which both temperature and sunshine data are available (AD1884 to
219 2006), $\delta^{13}\text{C}$ correlates more strongly with July to August (JA) temperature than with JA
220 sunshine, and these two climatic variables are strongly correlated with each other ($r = 0.73$,
221 $p < 0.001$). Since JA temperature gives the highest correlation, in the absence of other
222 information that would be the logical choice as a target for reconstruction. However, some
223 care is required when comparing sunshine and temperature correlations, because temperature
224 is measured with greater accuracy and precision than sunshine hours and also because

225 temperature changes smoothly across space, whereas sunshine (cloudiness), and precipitation
226 variations are more spatially variable. This means that the inter-annual temperature variations
227 experienced in the sampled forest and measured at a distant meteorological station are likely
228 to be more similar than the same values for sunshine, so if the real influence of temperature
229 and sunshine on a proxy are equally strong, temperature will likely give higher correlation
230 values due to the superior instrumental robustness and spatial homogeneity of the temperature
231 variable.

232

233 Split-period verification tests conducted over the common period for which local temperature
234 and sunshine data are available show that temperature may not be the best target for
235 reconstruction (Fig. 2). The squared correlation (R^2) values over the two halves of the
236 instrumental data are very similar for sunshine, but for temperature the correlation over the
237 recent period (AD1946 to 2006) is much lower than that over the earlier half (AD1884 to
238 1945). Also, although the Reduction of Error (RE) values for temperature are higher than
239 those for sunshine, this is not true for the more challenging Coefficient of Efficiency (CE)
240 statistic. Low CE results indicate an offset in the absolute values of the measured and
241 predicted temperatures. In this case the offset occurs because the temperature and sunshine
242 records diverge after 1983 and the isotope values follow the evolution of the sunshine record,
243 rather than rising with summer temperatures.

244

245 There are two reasonable explanations for the offset between summer temperature and stable
246 carbon isotope ratios over the last few decades. One possibility is that the offset reflects the
247 direct influence of increasing carbon dioxide concentrations on fractionation of carbon by
248 these trees. A correction has already been made for this effect, but the PIN correction used
249 (McCarroll et al. 2009) only removes any decline in the isotope values that could be

250 accounted for by rising CO₂. Treydte et al. (2009) have proposed an alternative correction
251 that effectively tunes the stable isotope values to the target climate variable. Applying this
252 procedure would certainly remove the offset but the calibration and verification would be
253 compromised because the isotope and temperature data would no longer be independent. The
254 alternative explanation is that the dominant control on photosynthetic rate, and therefore on
255 stable carbon isotope fractionation, is photon flux rather than temperature and the divergence
256 between $\delta^{13}\text{C}$ and summer temperature represents the divergence between temperature and
257 sunshine. If the strong correlation between temperature and $\delta^{13}\text{C}$ is indirect, via photon flux,
258 and recent warming is due to increased greenhouse gas concentrations, then a divergence
259 between temperature and sunshine, and between temperature and $\delta^{13}\text{C}$, is precisely what
260 would be expected.

261

262 Given the available sunshine data, it is not possible to conclude definitively, using correlation
263 analysis, whether the dominant signal in the $\delta^{13}\text{C}$ of these larch trees is summer temperature
264 or summer sunshine. Apart from the last few decades, where steeply rising atmospheric CO₂
265 is a confounding factor, there are no prolonged periods of divergence between temperature
266 and sunshine at Villacher Alps.

267

268 The veracity of a JA temperature reconstruction based on the correlation with $\delta^{13}\text{C}$ can be
269 investigated to some extent using longer gridded temperature data (Böhm et al. 2010) that is
270 available for the Eastern Alps (43° - 49° N and 12° - 19°E). Over the common period
271 AD1851 to 2006 the Villacher Alps and eastern Alpine temperatures are very strongly
272 correlated ($r = 0.93$ $p < 0.001$) and over that period they each give the same correlation with
273 the $\delta^{13}\text{C}$ results ($r = 0.56$ $p < 0.001$). However, the eastern Alpine series extends back to
274 AD1763, allowing much longer periods for calibration and verification.

275

276 Using longer calibration and verification periods (122 years each) should reduce the impact
277 of the recent short period of clear offset, but even so, when $\delta^{13}\text{C}$ values calibrated over the
278 recent period, AD1885 to 2006, are used to predict the temperatures for the earlier period,
279 AD1763 to 1884, there is a clear overestimate of summer temperatures, resulting in a CE
280 value close to zero (Fig. 3). If the period of offset after AD1983 is removed, and the $\delta^{13}\text{C}$
281 values are calibrated over AD1885 to 1983, the offset remains and in this case the verification
282 statistics are even worse, because there is then no difference between the average
283 temperatures over the calibration and verification periods, so that RE and CE become
284 equivalent.

285

286 Although comparison with the long eastern Alps summer temperature record produces
287 verification statistics that are above zero, it indicates a clear problem with interpreting $\delta^{13}\text{C}$ as
288 a record of temperature. Even if the last few decades, when rapidly rising CO_2 causes some
289 uncertainty in the $\delta^{13}\text{C}$ values, are ignored, it is clear that $\delta^{13}\text{C}$ will tend to overestimate the
290 temperature of the past. The reason is simply that although the mean JA temperature for the
291 period AD1760 to 1884 is the same as that for AD1885 to 1983, the mean $\delta^{13}\text{C}$ values for the
292 earlier period are higher than those for the recent period. Unfortunately, meteorological
293 records are not sufficiently long to determine whether there is a similar long-term offset
294 between temperature and sunshine or cloud cover.

295

296 Comparisons of the $\delta^{13}\text{C}$ chronology with other reconstructions of summer temperature for
297 the Alpine region also suggest that temperature is not the appropriate interpretation (Fig. 4).
298 Documentary evidence provides perhaps the most powerful proxy measure of past
299 temperature (Brázdil et al. 2005, 2010) and reconstructions with monthly resolution, based

300 mainly on data from Switzerland, Germany and the Czech lands, have been provided by
301 Dobrovolný et al. (2010). When the $\delta^{13}\text{C}$ chronology is scaled to the mean documentary-
302 based JA temperature values there are many clear anomalies and long periods where the two
303 records disagree, despite being forced to the same mean value over the common period
304 AD1500 to AD1854. The isotopes underestimate temperatures between AD1630 and 1660,
305 but generally overestimate between AD1660 and 1840. Very high over-estimates occur in
306 AD1600 and 1601 (3.75 and 3.95°C) and between AD1692 and 1697 (3.24°C in 1694). A
307 similar comparison with the longer reconstruction of JJA temperature by Trachsel et al.
308 (2012), although scaled over a different period (AD1486 to 1996) shows a similar pattern of
309 prolonged offsets. In particular, whereas the Trachsel et al. (2012) record shows a long-term
310 increase between about AD 1700 and 1950, the isotope record shows a long-term decline.
311 The period between AD1825 and 1996 is almost continuously negative.

312

313 An alternative and arguably more reasonable interpretation of the long-term evolution of the
314 $\delta^{13}\text{C}$ chronology is that it represents mainly changes in sunshine. If this is true then
315 comparing the $\delta^{13}\text{C}$ curve with temperature reconstructions may reveal periods when
316 sunshine and temperature were less coupled than they appear to be over the period for which
317 sunshine records are available. Using both the documentary (Dobrovolný et al. 2010) and
318 multi-proxy based reconstructions (Trachsel et al. 2012) there are several clear periods where
319 temperature and $\delta^{13}\text{C}$ (interpreted for sunshine) behave in-phase, but several where they
320 diverge (Fig. 4, Fig 5). The period between about AD1570 and 1590 stands out as particularly
321 cold and cloudy, whereas the years around AD1600 were sunny. Between AD1625 and 1650
322 it was not cold but very cloudy and as temperatures declined in the second half of the 17th
323 century it become increasingly sunny, culminating in the sunniest period in the record in the
324 first decade of the 18th century, when it was also warm. Most of the remainder of the 18th

325 century is unremarkable, with both temperature and sunshine oscillating close to the values
326 experienced in the latter half of the 20th century, apart from the AD1790s, which were sunny.
327 From AD1800 to 1825 it is both cold and cloudy. Between AD1825 and 1875 there is a rise
328 in temperature but a drop in sunshine, so that compared to the last half millennium, the period
329 between AD1825 and 1940 is relatively warm but cloudy. It is notable that when the $\delta^{13}\text{C}$
330 record is scaled over a very long period, to match the Trachsel et al. (2012) reconstruction,
331 and expressed in anomalies relative to the 20th century, the offset between temperature and
332 sunshine of the last few decades does not look unusual at all.

333

334 **4. Sunshine reconstruction based on $\delta^{13}\text{C}$**

335 Given the long term evolution of the $\delta^{13}\text{C}$ chronology, and the reasonably good correlation
336 with measured values, we propose that July/August sunshine is the most appropriate target
337 for climate reconstruction using stable carbon isotope ratios in larch trees growing at high
338 elevation in the southeastern Alps. Climate reconstructions based on regression (inverse
339 calibration; using the proxy to predict climate) always underestimate the variability of climate
340 in the past, the magnitude of the effect being proportional to the amount of unexplained
341 variance (McCarroll et al. 2013). Given a correlation of $r=0.55$ with the total hours of
342 sunshine for July and August combined, a regression-based reconstruction grossly
343 underestimates the variability of sunshine over the period of measurement, so the
344 reconstruction has been scaled to match the mean and variance of the meteorological data
345 (Fig. 6).

346 It must be stressed that this sunshine reconstruction needs to be interpreted with caution. It is
347 based upon a small sample of trees from only two sites and the uncertainty around the annual
348 values is very large. Ignoring uncertainty in the estimate of the mean isotope values, two

349 standard errors of the prediction gives ± 102 hours of sunshine. However, it is the first such
350 reconstruction for the Alpine region and when considered alongside reconstructions of
351 temperature and precipitation may provide a more synoptic view of changes in climate over
352 time.

353 Three years stand out as having very high isotope and predicted sunshine values: AD2006,
354 AD1911 and AD1705. The autumn of AD2006 was exceptionally warm and dry (Luterbacher
355 et al. 2007). The summer of AD1911 was both hot and sunny and it also had the lowest
356 July/August rainfall in all the available records. The scaled reconstruction over-estimates
357 sunshine and this probably represents increased stomatal control on fractionation due to very
358 dry conditions. Similar conditions would explain the very high value for AD1705, and in the
359 summer (July-August) temperature reconstruction of Dobrovolný (2010) AD1705 is strongly
360 positive, and is warmer than AD1706. AD1706 in the Casty et al. (2005) reconstructions is
361 listed as one of the warmest and driest summers, but those records also include June. Other
362 very sunny summers include: AD1696, 1719, 1600 and 1601.

363 Two summers have anomalously low isotope values: AD1840 and 1913. The summer of
364 1913 was very wet and cold and although sunshine measured at Villacher Alps was not
365 anomalously low, it may have been considerably cloudier at the field site. The summer of
366 AD1840 was cold but is not listed by Casty et al. (2005) as particularly wet regionally. Other
367 summers inferred to be very cloudy include: AD1804, 1580, 1868, 1582, 1630 and 1850.

368 **5. Discussion and conclusions**

369 Although $\delta^{13}\text{C}$ from high altitude larch trees in the Slovenian Alps correlate most strongly
370 with mid to late summer temperature, we conclude that the dominant control, and most
371 suitable target for reconstruction is actually mid- to late-summer sunshine. This argument is
372 based on four lines of evidence:

- 373 1. Mechanistic models of carbon isotopic fractionation by trees suggest that the
374 dominant control can be either stomatal conductance or photosynthetic rate.
375 Photosynthetic rate is controlled more strongly by sunshine (photon flux) than it is by
376 temperature.
- 377 2. Since the 1980s there has been an increase in summer temperature but not in hours of
378 summer sunshine. The $\delta^{13}\text{C}$ results follow the stable sunshine data rather than the
379 rising temperature record.
- 380 3. Even if the recent period of divergence between summer temperature and sunshine is
381 ignored, when $\delta^{13}\text{C}$ is calibrated over the last century it tends to overestimate the
382 measured summer temperatures of the past. This is not the case when sunshine is the
383 reconstruction target.
- 384 4. If $\delta^{13}\text{C}$ is calibrated to temperature it produces a time-series that conflicts with other
385 temperature reconstructions for the Alpine region that are based on strong evidence.

386 The summer sunshine reconstruction that we provide is the first for the Alpine region, but it
387 needs to be treated with caution. It is calibrated using the best available data, which is total
388 hours of sunshine of July and August, which is not the same as the mechanistic control on
389 fractionation, which we suggest would be photosynthetically active radiation (PAR). Given a
390 correlation between carbon isotope ratios and hours of summer sunshine of 0.55, only about
391 30% of the variance in the isotope chronology is explained by the available sunshine record.
392 We would normally avoid using isotopes for climate reconstruction unless about half of the
393 variance is explained (McCarroll et al. 2003), but since this is a novel interpretation of this
394 proxy in an Alpine setting it is presented to encourage discussion and critique. A more
395 reliable reconstruction would require greater replication and sampling of trees from several

396 different sites, but even then the quality of the calibration might be compromised because of
397 the paucity of suitable meteorological data.

398 If the $\delta^{13}\text{C}$ chronology is interpreted in terms of changes in sunshine rather than temperature,
399 it likely provides a more synoptic view of changing climate over the last 500 years. Perhaps
400 the harshest period of the Little Ice Age in the Alps, between AD1560 and 1600 is the largest
401 negative anomaly in terms of sunshine, and this is followed by another cloudy period in the
402 first half of the 17th century. The second half of the 17th century sees sunshine increase and
403 the 18th century is generally sunnier than the 20th. Between AD1800 and 1950 there is a
404 general decline in sunshine followed by a recovery in the last 60 years.

405 There is no reason to expect sunshine records in northern Fennoscandia to correlate with
406 those in the Alps, but there are some similarities that are worthy of note. In both areas the 18th
407 and 19th centuries were generally sunnier than the 20th century and in both areas there are
408 cold intervals that were relatively sunny and warmer intervals that were cloudy, indicating
409 divergence of temperature and sunshine at multi-decadal timescales. However, just as the
410 periods of most extreme warm and cold summers do not coincide between the two regions, so
411 the sunniest and cloudiest periods are also out of phase.

412 If temperature and sunshine have not remained strongly coupled at multi-decadal timescales
413 in the Alpine region it has implications for understanding and modelling climate change. The
414 influence of changing temperatures on clouds, and therefore on sunshine, is the greatest
415 source of uncertainty in the modeling of climate change (Trenberth and Fasullo 2009; Gagen
416 et al. 2011). Reliable records of the changing relationship between temperature and sunshine
417 over a long period would provide a powerful test of the ability of general circulation models
418 to deal effectively with temperature/cloud feedbacks. The European Alps is an area with
419 unrivalled evidence of changes in past temperature (Dobrovolný et al. 2010; Trachsel et al.

420 2012) but given that the sunshine reconstruction is based on a single $\delta^{13}\text{C}$ chronology, it
421 would be imprudent to use the relationship between temperature and sunshine presented here
422 for model evaluation. Greater replication and addition of different field sites would
423 strengthen the record, but will not deal with the problem of calibration. Perhaps the most
424 powerful test of the hypothesis that tree ring $\delta^{13}\text{C}$ chronologies can represent changes in
425 sunshine would be an independent reconstruction of a sunshine parameter based on the
426 wealth of documentary sources that have been collected for this region (Brázdil et al. 2010).
427 A reconstruction of past changes in circulation, using stable oxygen isotopes in tree rings,
428 might also help to explain periods of divergence between sunshine and temperature and also
429 account for the temporal offsets in climate extremes in different regions.

430 **Acknowledgements**

431 This work was conducted as part of the EU-funded Millennium project 017008. We
432 acknowledge Program and Research group Forest Biology, Ecology and Technology (P4-
433 0107), EU-Forinno, C3W and the Slovenian research agency - Young researcher program
434 (PH). We thank Dave Frank and Matthias Trachsel for helpful advice and two anonymous
435 reviewers for their very constructive criticism.

436

437

438

439 **References**

- 440 Auer, I., et al. (2007), HISTALP - historical instrumental climatological surface time series
441 of the Greater Alpine Region, *International Journal of Climatology*, 27(1), 17-46.
- 442 Beerling, D. J. (1994), Predicting leaf gas-exchange and delta-c-13 responses to the past
443 30000 years of global environmental-change, *New Phytologist*, 128(3), 425-433.
- 444 Böhm, R., Jones, P.D., Hiebl, J., Brunetti, M., Frank, D., Maugeri, M., 2010. The early
445 instrumental warm bias: a solution for long central European temperatures series 1760 -
446 2007. *Climatic Change* 101, 41-67.
- 447 Brázdil, R., C. Pfister, H. Wanner, H. Von Storch, and J. Luterbacher (2005), Historical
448 climatology in Europe - The state of the art, *Climatic Change*, 70(3), 363-430.
- 449 Brázdil, R., P. Dobrovolný, J. Luterbacher, A. Moberg, C. Pfister, D. Wheeler, and E. Zorita
450 (2010), European climate of the past 500 years: new challenges for historical climatology,
451 *Climatic Change*, 101(1-2), 7-40.
- 452 Briffa, K. R., T. S. Bartholin, D. Eckstein, P. D. Jones, W. Karlen, F. H. Schweingruber, and
453 P. Zetterberg (1990), A 1,400-year tree-ring record of summer temperatures in
454 fennoscandia, *Nature*, 346(6283), 434-439.
- 455 Casty, C., H. Wanner, J. Luterbacher, J. Esper, and R. Bohm (2005), Temperature and
456 precipitation variability in the european Alps since 1500, *International Journal of*
457 *Climatology*, 25(14), 1855-1880.
- 458

459 Daux V, Edouard JL, Masson-Delmotte V, Stievenard M, Hoffmann G, Pierre M, Mestre O,
460 Danis PA, Guibal F (2011) Can climate variations be inferred from tree-ring parameters and
461 stable isotopes from *Larix decidua*? Juvenile effects, budmoth outbreaks, and divergence
462 issue. *Earth and Planetary Science Letters* 309:221-233

463 Dobrovolný, P., et al. (2010), Monthly, seasonal and annual temperature reconstructions for
464 Central Europe derived from documentary evidence and instrumental records since AD
465 1500, *Climatic Change*, 101(1-2), 69-107.

466 Farquhar, G. D., M. H. O'Leary, and J. A. Berry (1982), On the relationship between carbon
467 isotope discrimination and the inter-cellular carbon-dioxide concentration in leaves,
468 *Australian Journal of Plant Physiology*, 9(2), 121-137.

469 Gagen, M., D. McCarroll, and J. L. Edouard (2004), Latewood width, maximum density,
470 and stable carbon isotope ratios of pine as climate indicators in a dry subalpine environment,
471 French Alps, *Arctic Antarctic and Alpine Research*, 36(2), 166-171.

472 Gagen, M., D. McCarroll, and J. L. Edouard (2006), Combining ring width, density and
473 stable carbon isotope proxies to enhance the climate signal in tree-rings: An example from
474 the southern French Alps, *Climatic Change*, 78(2-4), 363-379.

475 Gagen, M., D. McCarroll, N. J. Loader, L. Robertson, R. Jalkanen, and K. J. Anchukaitis
476 (2007), Exorcising the 'segment length curse': Summer temperature reconstruction since AD
477 1640 using non-detrended stable carbon isotope ratios from pine trees in northern Finland,
478 *Holocene*, 17(4), 435-446.

479 Gagen, M., D. McCarroll, I. Robertson, N. J. Loader, and R. Jalkanen (2008), Do tree ring
480 delta C-13 series from *Pinus sylvestris* in northern Fennoscandia contain long-term non-
481 climatic trends?, *Chemical Geology*, 252(1-2), 42-51.

482 Gagen, M., E. Zorita, D. McCarroll, G. H. F. Young, H. Grudd, R. Jalkanen, N. J. Loader, I.
483 Robertson, and A. Kirchhefer (2011), Cloud response to summer temperatures in
484 Fennoscandia over the last thousand years, *Geophysical Research Letters*, 38.

485 Hafner, P., I. Robertson, D. McCarroll, N. J. Loader, M. Gagen, R. J. Bale, H. Jungner, E.
486 Sonninen, E. Hiltunen, and T. Levanič (2011), Climate signals in the ring widths and
487 stable carbon, hydrogen and oxygen isotopic composition of *Larix decidua* growing at the
488 forest limit in the southeastern European Alps, *Trees-Structure and Function*, 25(6), 1141-
489 1154.

490 Kress, A., G. H. F. Young, M. Saurer, N. J. Loader, R. T. W. Siegwolf, and D. McCarroll
491 (2009), Stable isotope coherence in the earlywood and latewood of tree-line conifers,
492 *Chemical Geology*, 268(1-2), 52-57.

493 Kress, A., M. Saurer, R. T. W. Siegwolf, D. C. Frank, J. Esper, and H. Bugmann (2010), A
494 350 year drought reconstruction from Alpine tree ring stable isotopes, *Global*
495 *Biogeochemical Cycles*, 24.

496 Laumer, W., L. Andreu, G. Helle, G. H. Schleser, T. Wieloch, and H. Wissel (2009), A novel
497 approach for the homogenization of cellulose to use micro-amounts for stable isotope
498 analyses, *Rapid Communications in Mass Spectrometry*, 23(13), 1934-1940.

499 Levanič, T., K. Čufar, J. Hudolin, B. Benko-Mächtig (1997), Dendrokronološka analiza
500 strešne konstrukcije župne cerkve sv. Jurija v Piranu (občina Piran, Slovenija) =

501 Dendrochronological analysis of the roof construction of the church of st. George in Piran
502 (municipality of Piran, Slovenia). *Ann, Ser. hist. sociol.* 10, 43-52. [COBISS.SI-ID [168073](#)]

503 Loader, N. J., I. Robertson, A. C. Barker, V. R. Switsur, and J. S. Waterhouse (1997), An
504 improved technique for the batch processing of small wholewood samples to alpha-
505 cellulose, *Chemical Geology*, 136(3-4), 313-317.

506 Loader, N. J., G. H. F. Young, H. Grudd, and D. McCarroll (2013), Stable carbon isotopes
507 from Tornetrask, northern Sweden provide a millennial length reconstruction of summer
508 sunshine and its relationship to Arctic circulation, *Quaternary Science Reviews*, 62, 97-113.

509 Luterbacher, J., M. A. Liniger, A. Menzel, N. Estrella, P. M. Della-Marta, C. Pfister, T.
510 Rutishauser, and E. Xoplaki (2007), Exceptional European warmth of autumn 2006 and
511 winter 2007: Historical context, the underlying dynamics, and its phenological impacts,
512 *Geophysical Research Letters*, 34(12), 6.

513 McCarroll, D., and N. J. Loader (2004), Stable isotopes in tree rings, *Quaternary Science*
514 *Reviews*, 23(7-8), 771-801.

515 McCarroll, D., and F. Pawellek (2001), Stable carbon isotope ratios of *Pinus sylvestris* from
516 northern Finland and the potential for extracting a climate signal from long Fennoscandian
517 chronologies, *Holocene*, 11(5), 517-526.

518 McCarroll, D., R. Jalkanen, S. Hicks, M. Tuovinen, M. Gagen, F. Pawellek, D. Eckstein, U.
519 Schmitt, J. Autio, and O. Heikkinen (2003), Multiproxy dendroclimatology: a pilot study in
520 northern Finland, *Holocene*, 13(6), 829-838.

521 McCarroll, D., M. H. Gagen, N. J. Loader, I. Robertson, K. J. Anchukaitis, S. Los, G. H. F.
522 Young, R. Jalkanen, A. Kirchhefer, and J. S. Waterhouse (2009), Correction of tree ring

523 stable carbon isotope chronologies for changes in the carbon dioxide content of the
524 atmosphere, *Geochimica Et Cosmochimica Acta*, 73(6), 1539-1547.

525 McCarroll, D., M. Tuovinen, R. Campbell, M. Gagen, H. Grudd, R. Jalkanen, N. J. Loader,
526 and I. Robertson (2011), A critical evaluation of multi-proxy dendroclimatology in northern
527 Finland, *Journal of Quaternary Science*, 26(1), 7-14.

528 McCarroll, D., N.J Loader, R. Jalkanen, M. H. Gagen, H. Grudd, B. E. Gunnarson, A. J.
529 Kirchhefer, M. Friedrich, H. W. Linderholm, M. Lindholm, T. Boettger, S. O. Los, S.
530 Remmele, Y. M. Kononov, Y. H. Yamazaki, G. H. F. Young, E. Zorita (2013). A 1200-year
531 multi-proxy record of tree growth and summer temperature at the northern pine forest limit
532 of Europe. *The Holocene* 23, 471-484. DOI: 10.1177/0959683612467483

533 Melvin, T., H. Grudd, K. R. Briffa (2012) Potential bias in 'updating' tree ring chronologies
534 using regional curve standardisation: Re-processing 1500 years of Torneträsk density and
535 ring-width data *The Holocene*, 23, 364-373. doi:10.1177/0959683612460791.

536 Reynolds-Henne, C. E., R. T. W. Siegwolf, K. S. Treydte, J. Esper, S. Henne, and M. Saurer
537 (2007), Temporal stability of climate-isotope relationships in tree rings of oak and pine
538 (Ticino, Switzerland), *Global Biogeochemical Cycles*, 21(4).

539 Rinne, K. T., T. Boettger, N. J. Loader, I. Robertson, V. R. Switsur, and J. S. Waterhouse
540 (2005), On the purification of alpha-cellulose from resinous wood for stable isotope (H, C
541 and O) analysis, *Chemical Geology*, 222(1-2), 75-82.

542 Saurer, M., S. Borella, F. Schweingruber, and R. Siegwolf (1997), Stable carbon isotopes in
543 tree rings of beech: Climatic versus site-related influences, *Trees-Structure and Function*,
544 11(5), 291-297.

545 Trachsel, M., et al. (2012), Multi-archive summer temperature reconstruction for the
546 European Alps, AD 1053-1996, *Quaternary Science Reviews*, 46, 66-79.

547 Trenberth, K. E., and J. T. Fasullo (2009), Global warming due to increasing absorbed solar
548 radiation, *Geophysical Research Letters*, 36.

549 Treydte, K., G. H. Schleser, F. H. Schweingruber, and M. Winiger (2001), The climatic
550 significance of delta C-13 in subalpine spruces (Lotschental, Swiss Alps) - A case study with
551 respect to altitude, exposure and soil moisture, *Tellus Series B-Chemical and Physical*
552 *Meteorology*, 53(5), 593-611.

553 Treydte, K. S., D. C. Frank, M. Saurer, G. Helle, G. H. Schleser, and J. Esper (2009), Impact
554 of climate and CO2 on a millennium-long tree-ring carbon isotope record, *Geochimica et*
555 *Cosmochimica Acta*, 73(16), 4635-4647.

556 Woodley, E. J., N. J. Loader, D. McCarroll, G. H. F. Young, I. Robertson, T. H. E. Heaton,
557 M. H. Gagen, and J. O. Warham (2012), High-temperature pyrolysis/gas
558 chromatography/isotope ratio mass spectrometry: simultaneous measurement of the stable
559 isotopes of oxygen and carbon in cellulose, *Rapid Communications in Mass Spectrometry*,
560 26(2), 109-114.

561 Young, G. H. F., D. McCarroll, N. J. Loader, and A. J. Kirchhefer (2010), A 500-year
562 record of summer near-ground solar radiation from tree-ring stable carbon isotopes,
563 *Holocene*, 20(3), 315-324.

564 Young, G. H. F., N. J. Loader, and D. McCarroll (2011a), A large scale comparative study
565 of stable carbon isotope ratios determined using on-line combustion and low-temperature
566 pyrolysis techniques, *Palaeogeography Palaeoclimatology Palaeoecology*, 300(1-4), 23-28.

567 Young, G. H. F., J. C. Demmler, B. E. Gunnarson, A. J. Kirchhefer, N. J. Loader, and D.
568 McCarroll (2011b), Age trends in tree ring growth and isotopic archives: A case study of
569 *Pinus sylvestris* L. from northwestern Norway, *Global Biogeochemical Cycles*, 25.

570 Young, G. H. F., D. McCarroll, N. J. Loader, M. H. Gagen, A. J. Kirchhefer, and J. C.
571 Demmler (2012), Changes in atmospheric circulation and the Arctic Oscillation preserved
572 within a millennial length reconstruction of summer cloud cover from northern
573 Fennoscandia, *Climate Dynamics*, 39(1-2), 495-507.

574

575 **Tables**

576 **Table 1.** Pearson's correlation coefficients and statistical significance (p) of the correlation
577 between climate variables and $\delta^{13}\text{C}$ over the common period AD1884-2006.

578

Month(s)	Temperature °C	p	Sunshine hours	p
June	-0.05	0.57	0.05	0.60
July	0.51	0.00	0.48	0.00
August	0.48	0.00	0.37	0.00
September	0.26	0.00	0.20	0.03
June/July	0.29	0.00	0.36	0.00
July/August	0.62	0.00	0.55	0.00
June to August	0.45	0.00	0.46	0.00

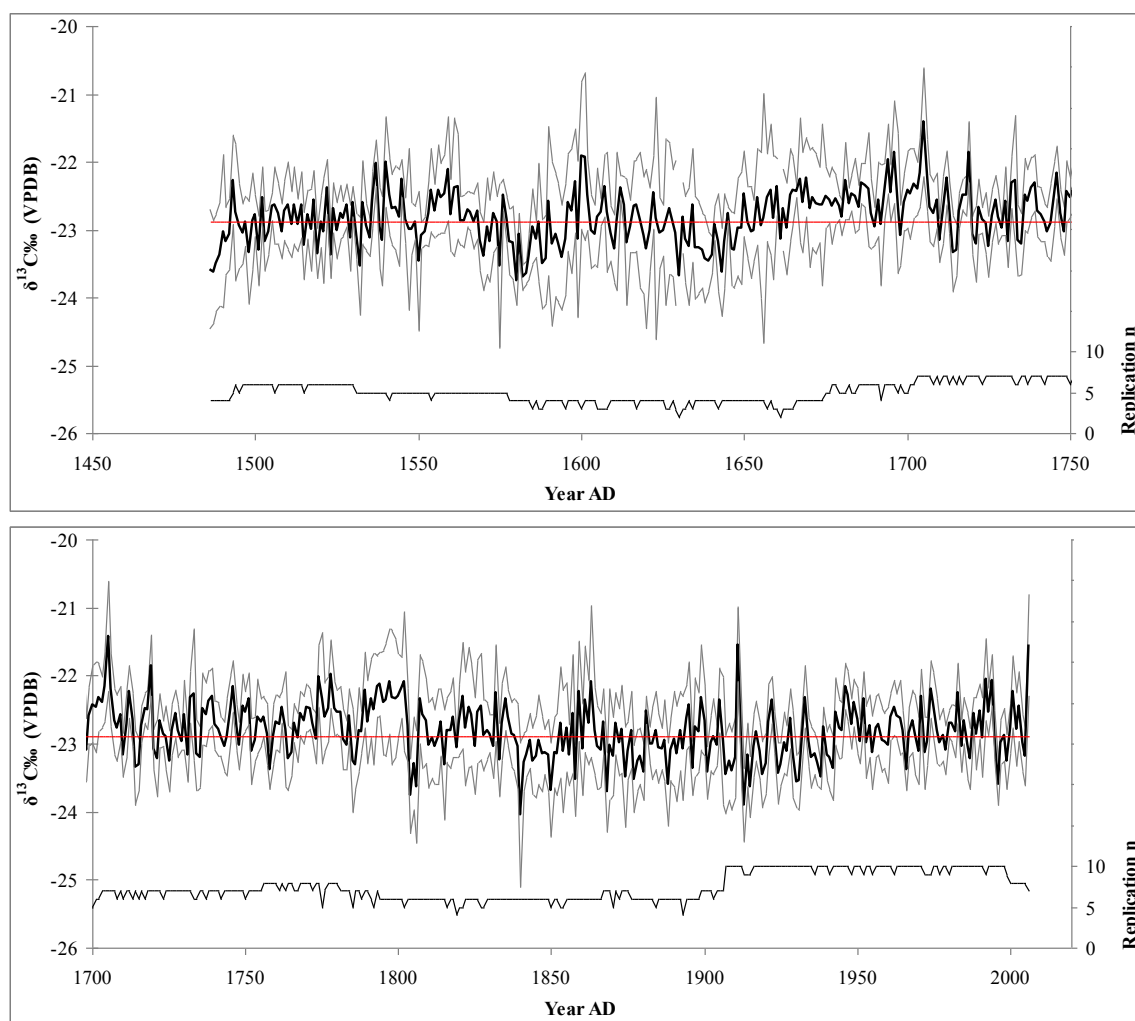
579

580

581

582

583 **Figures**



584

585

586

587 **Figure 1.** The mean isotope chronology (black) with 95% confidence limits (grey) and

588 replication. The horizontal (red) line is the mean of the 20th century.

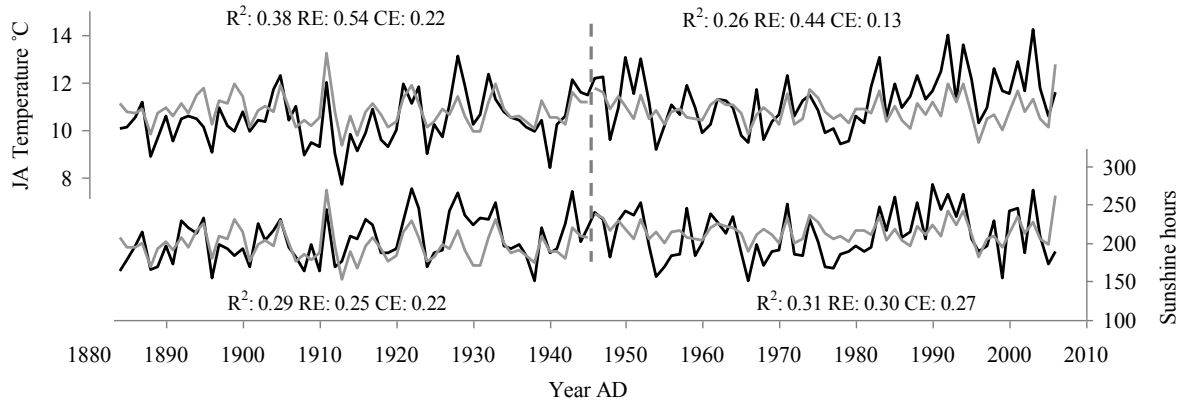
589

590

591

592

593



594

595

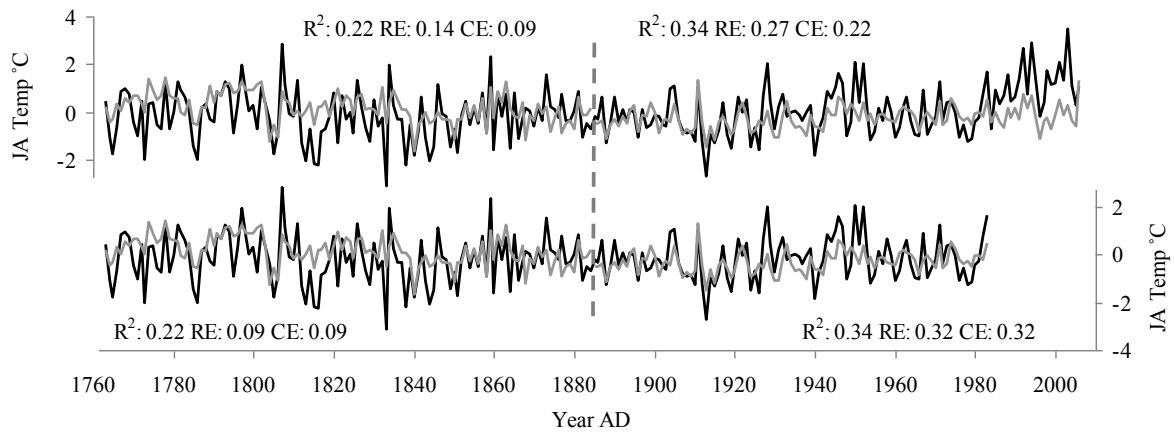
596 **Figure 2.** Measured (black) and predicted (grey) values for July/August temperature and
597 sunshine hours using split-period calibration and verification. R^2 is the squared correlation
598 between predicted and measured values, RE and CE are Reduction of Error and Coefficient
599 of Efficiency statistics.

600

601

602

603



604

605 **Figure 3.** Measured (black) and predicted (grey) values for July/August temperature

606 anomalies (relative to AD1901 to 2000) using split-period calibration and verification. R^2 is

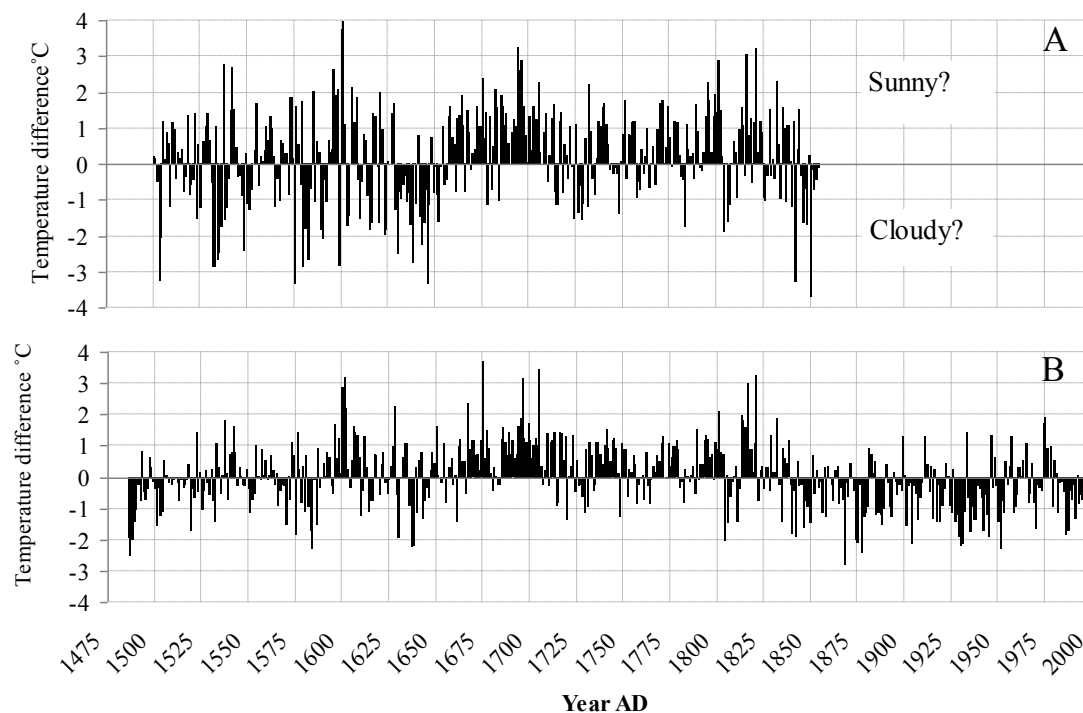
607 the squared correlation between predicted and measured values, RE and CE are Reduction of

608 Error and Coefficient of Efficiency statistics. In the lower graph the modern part of the

609 records has been truncated at AD1983 to check the effect of removing the period of clear

610 offset.

611



613

614

615 **Figure 4.** Difference in temperature reconstructed by scaling the $\delta^{13}\text{C}$ chronology to fit the

616 mean and variance of two summer temperature reconstructions for (A) Central Europe

617 (Dobrovolný et al. 2010) and (B) the Greater Alpine Region (Trachsel et al. 2012). The

618 shorter Central European record is based on documentary evidence and represents the mean

619 JA temperature relative to AD1961 to 1990. The longer Greater Alpine Region record is a

620 multi-proxy reconstruction of JJA temperature relative to the period AD1901 to 2000.

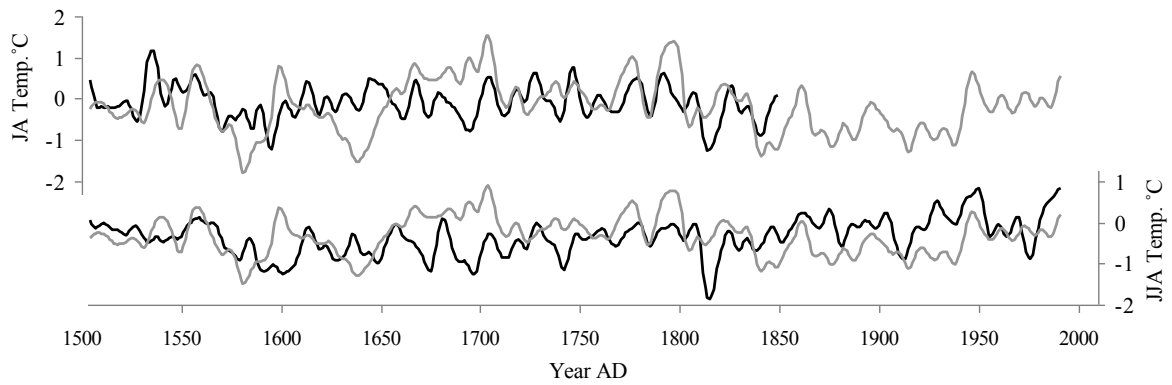
621 Positive values indicate that $\delta^{13}\text{C}$ would over-estimate temperature. An alternative

622 explanation is that the positive and negative values indicate periods of high and low sunshine.

623

624

625



626

627

628 **Figure 5.** Temperature reconstructions (upper) for Central Europe (July/August: Dobrovolný

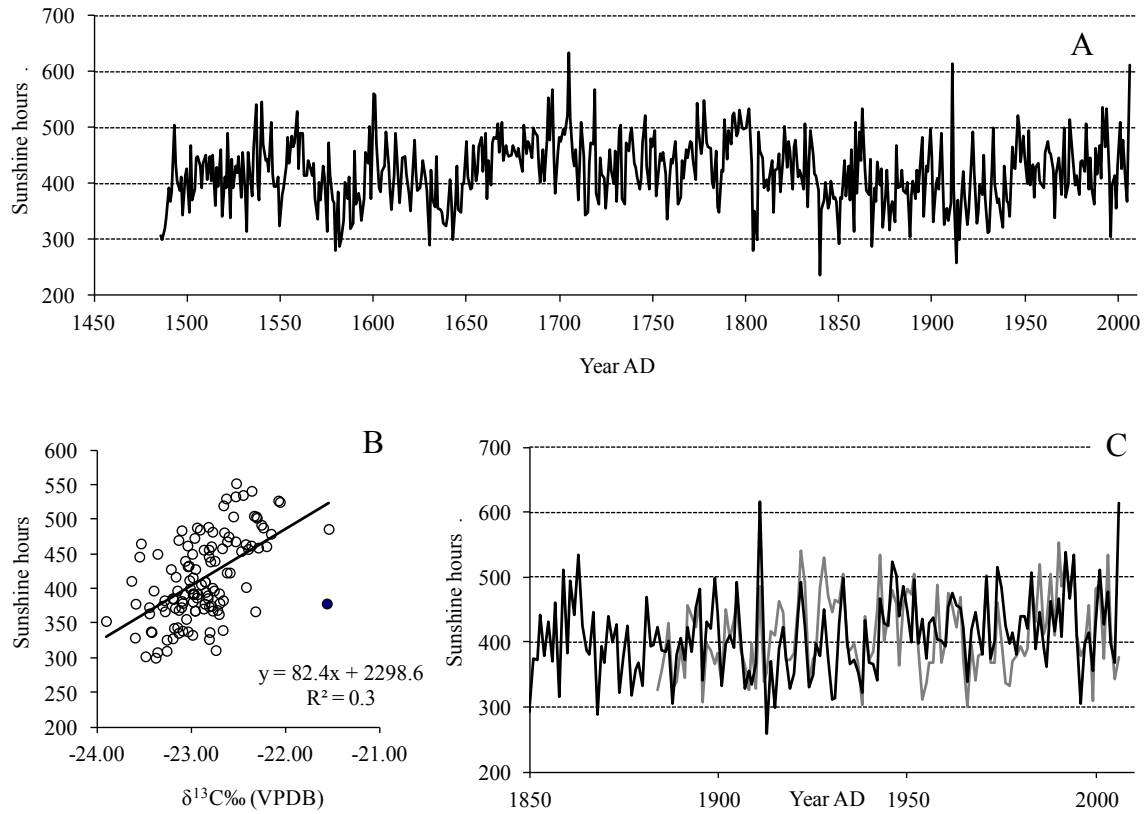
629 et al, 2010) and (lower) for the Greater Alpine Region (June to August: Trachsel et al. 2012)

630 compared with the $\delta^{13}\text{C}$ chronology (in grey) scaled to match their mean and variance. Values

631 are temperature anomalies relative to AD1961 to 1990 (upper) and to AD1901 to 2000

632 (lower) smoothed with a 10yr Gaussian filter.

633



634

635 **Figure 6.** July and August total sunshine hours reconstruction based on $\delta^{13}\text{C}$ from high
 636 altitude larch trees (A) and a scatter plot (B) and line-graph (C) showing the fit with sunshine
 637 hours measured at Villacher Alps in the Austrian Alps (measured series is the shorter grey
 638 line). Note that AD2006 is a clear outlier (filled dot on B) possibly reflecting the extreme dry
 639 conditions experienced during this year.

640