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## Paper:

Ferreira, C., Walsh, R., Steenhuis, T., Shakesby, R., Nunes, J., Coelho, C. & Ferreira, A. (2015). Spatiotemporal variability of hydrologic soil properties and the implications for overland flow and land management in a peri-urban Mediterranean catchment. *Journal of Hydrology, 525*, 249-263.

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Title: Spatiotemporal variability of hydrologic soil properties and the implications for overland flow and land management in a peri-urban Mediterranean catchment

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Abstract: Planning of semi-urban developments is often hindered by a lack of knowledge on how changes in land-use affect catchment hydrological response. The temporal and spatial patterns of overland flow source areas and their connectivity in the landscape, particularly in a seasonal climate, remain comparatively poorly understood. This study investigates seasonal variations in factors influencing runoff response to rainfall in a peri-urban catchment in Portugal characterized by a mosaic of landscape units and a humid Mediterranean climate. Variations in surface soil moisture, hydrophobicity and infiltration capacity were measured in six different landscape units (defined by land-use on either sandstone or limestone) in nine monitoring campaigns at key times over a one-year period. Spatiotemporal patterns in overland flow mechanisms were found. Infiltration-excess overland flow was generated in rainfalls during the dry summer season in woodland on both sandstone and limestone and on agricultural soils on limestone due probably in large part to soil hydrophobicity. In wet periods, saturation overland flow occurred on urban and agricultural soils located in valley bottoms and on shallow soils upslope. Topography, water table rise and soil depth determined the location and extent of saturated areas. Overland flow generated in upslope source areas potentially can infiltrate in other landscape units downslope where infiltration capacity exceeds rainfall intensity. Hydrophilic urban and agricultural-sandstone soils were characterized by increased infiltration capacity during dry periods, while forest soils provided potential sinks for overland flow when hydrophilic in the winter wet season. Identifying the spatial and temporal variability of overland flow sources and sinks is an important step in understanding and modelling flow connectivity and catchment hydrologic response. Such information is important for land managers in order to improve urban planning to minimize flood risk.

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Dear Professor Konstantine Georgakakos, editor of the Journal of Hydrology,

I am enclosing herewith a manuscript entitled "Spatiotemporal variability of hydrologic soil properties and the implications for overland flow and land management in a peri-urban Mediterranean catchment" for evaluation and possible publication in Journal of Hydrology. The manuscript is a research paper prepared by Carla Ferreira, Rory Walsh, Tammo Steenhuis, Richard Shakesby, João Nunes, Celeste Coelho and António Ferreira. The submission includes four files: the main manuscript file which comprise 10021 words, a Figures file containing 10 figures (3 figures in colour), a Tables file containing 3 tables, and a Highlights file which presents the bullet points and main findings of the manuscript.

The manuscript presents field data as regards to the annual variability of soil moisture, hydrophobicity and soil matrix infiltration capacity in different landscape features of a periurban Mediterranean catchment. The results show the different behaviour of distinct landscape features as regards to the monitored hydrologic properties. The implications of the temporal and spatial variability of the soil properties on overland flow processes and flow connectivity are discussed, as well as the importance of its knowledge for hydrological modelling and urban planning, in order to mitigate flood hazards. We believe these findings and discussion will be of interest to the readers of your journal.

All the authors have directly participated in the planning, execution or analysis/discussion of the work, and have read and agree with the version of the manuscript submitted. The contents of this manuscript have not been copyrighted or published previously, and are not under consideration for publication elsewhere.

Any query should be addressed to the corresponding author, Carla Sofia Santos Ferreira - email: <u>carla.ssf@gmail.com</u>, <u>cferreira@esac.pt</u>, phone: 00351 932213748 (address is presented in the top of this letter).

The authors hope you find our manuscript suitable for publication and look forward to hearing from you.

Caala Sofia Santos Feareira

Carla Sofia Santos Ferreira (PhD student) Centro de Estudos do Ambiente e do Mar (Signature of corresponding author on behalf of all authors) 23<sup>rd</sup> May 2014

# Highlights

- Variability of soil moisture, hydrophobicity and soil matrix infiltration capacity;
- Focus in a periurban Mediterranean catchment;
- Distinct landscape units show different temporal patterns;
- Understand how soil properties vary and its implications for flow connectivity;
- Implications for hydrological modelling and urban planning are discussed.

# **Replies to the Editors and Reviewers**

Thank you for the comments. They helped to improve the manuscript greatly. The revised version of the manuscript addresses the points made by the reviewers. Most change has been made to the discussion, which Reviewer #2 felt needed some change and clarity. Major changes were made to Section 5.1 by re-organizing the information to present the landscape unit characteristics, rather than an explanation of the soil properties, as in the first version.

A few references were added to support some of the comments made views.

English improvements were also performed over the manuscript.

In the next section we respond to each of comments. We first indicate what the comment is and this is followed by our response.

**SUMMARY OF COMMENTS OF DR KONSTANTINE P. GEORGAKAKOS, EDITOR** Please consider the reviews to see if revision would be feasible. Should you wish to resubmit you should explain how and where each point of the reviewers' comments has been incorporated. For this, use submission item "Revision Notes" when uploading your revision. Also, indicate the changes in an annotated version of the revised manuscript (submission item "Revision, changes marked"). Should you disagree with any part of the reviews, please explain why. To facilitate further review, add line numbers in the text of your manuscript.

## **RESPONSE:**

In response to the editor, formatting corrections were made, particularly to the list of reference and to some of the figures. The fonts of the text of figures 1 and 2 were changed to accord with the rest of the manuscript. In the legend of figures 5 and 9, information was added in order to present all the graphs included within these figures. Formatting of affiliations with a lower-case superscript letter was also corrected.

# COMMENTS OF THE ASSOCIATE EDITOR

The authors presented their research on the impact of variability in hydrologic soil properties on runoff and land management. The authors did a good job presenting their results. The discussion needs further work. The authors need to focus more on providing insights on the implications (as the paper title suggests). Such insights would be quite helpful to the readers.

#### **RESPONSE:**

In order to provide insights we revised section "5. Discussion" through a re-organization of the information with emphasis on the landscape units characteristics. This restructuration involved the division of the "Discussion" in two sections: "5.1 Characteristics of the landscape units and their influence on overland flow", which includes the information of section 5.1 and 5.2 of the previous version of the manuscript, and "5.2 Implications for catchment runoff delivery and land management", which is basically the previous 5.3 section. In the revised manuscript version, the new 5.1 section was sub-divided in four sections: "5.1.1 Woodland landscape units", "5.1.2. Urban landscape units", "5.1.3 Agricultural landscape units" and "5.1.4 Synthesis: the influences of lithology, topography and land-use factors on overland flow and temporal variation in its distribution within the Ribeira dos Covões catchment". The initial

three sub-sections focus on individual soil properties within each landscape unit, how they vary over the year and how they influence overland flow. Section 5.1.4 synthetize the relevant aspects of lithological, topographic and land-use factors influencing overland flow generation processes within the study catchment.

# COMMENT:

The authors should address the detailed comments provided by the two reviewers and revise the manuscript accordingly.

# **RESPONSE:**

The points made by the reviewers are addressed individually below.

# **COMMENTS OF REVIEWER #1**

# COMMENT:

The manuscript presented spatiotemporal variability of hydrologic soil properties in detail and the implications for overland flow based on the observations in the peri-urban Mediterranean catchment. Understanding how the spatial and temporal variability in overland flow generation in a catchment with varied land use/cover, geology and soils is very important for predicting flood hazards, development of the physically based rainfall runoff models, understanding the runoff processes, etc. This kind of data and discussions in the manuscript are very important topic in Hydrology, and spatial and temporal variation data of overland flow in the catchments should be published and shared with the other readers for better understanding of overland flow characteristics. So, I strongly recommend the manuscript be published in Journal of Hydrology. However, minor problems written in below should be revised before publication.

# **RESPONSE:**

Thank you for the endorsement of publishing our manuscript in the Journal of Hydrology.

# COMMENT:

# Figure 1

Why did you focus on the two periods of 1941-1970 and 1971-2000 in Figure 1?? Please make a simple comment for this such as checking climate change or heat island effect. Otherwise, it may bring some confusions for the readers

# **RESPONSE:**

Figure 1 has been changed to show the average values of the entire 1941-2000 period, instead of mean values of two periods:



"Figure 1 - Average monthly rainfall and temperature at Coimbra (Bencanta weather

station), calculated from data regarding to the period 1941-2000 (INMG, 1941-2000)."

# COMMENT:

Figure 2

Plots of measurement locations in Figure 2 are difficult to see the differences. Please make these plots bigger ones.

# **RESPONSE:**

In Figure 2b, the symbols representing measurement sites have been enlarged.



# COMMENT:

# Figure 4

Where is the location of the observation station?? Please specify the location and distance from your target catchment. Otherwise, we cannot judge this data is appropriate for the reference of your target catchment

# **RESPONSE:**

Information regarding temperature and rainfall source has been provided with an additional sentence added in the research design section (lines 144-146), as follows

"Temperature and rainfall data during the study period were provided by the national meteorological weather station 12G/02UG, located 0.5 km north of the study catchment."

# COMMENT:

Figure 5

Please write and specify (a), (b), (c),...,(f) in Figure 5.

# **RESPONSE:**

In figure 5, sub-legends a), b)... have been inserted, as recommended:



"Figure 5 – Temporal variability of surface hydrophobicity for individual landscape units: a) woodland-sandstone, b) woodland-limestone, c) agricultural-sandstone, d) agricultural-limestone, e) urban-sandstone, f) urban-limestone."

# COMMENT:

pp.28, Line 616

If possible, please add a reference, data or something describe for 80, 50, and 10 years ago flood events.

# **RESPONSE:**

The sentence presented on p.28, Line 650, has been re-written in order to clarify that the information about previous flood events was provided by local citizens during interviews, and so, no specific source can be added:

"According to interviews with older citizens, flooding events were already experienced about 80, 50 and 10 years ago, when the urban area was considerably less extensive than currently."

# **COMMENTS OF REVIEWER #2**

# SUMMARY COMMENT

The authors measured hydrological properties in a peri-urban catchment. Sampling points were located in woodland, agricultural and urban settings, underlain by sandstone and limestone bedrock. The implications for hydrologic function and land management were

#### discussed.

Recommendation: Accept with moderate revisions

Major Comments

I found the Methods and results sections to be the best written and clearest that I have read in quite a while. What you did and how you was very well laid out and explained. The figures were similarly clear and helpful. I did not find the Discussion to be as clear, however. Throughout the Discussion, you explain possible explanations for your findings, but often without exploring what they mean. I agree that soil OM variability in woodlands could be due to management and tree type, but what are the implications for this? The entirety of Section 5.1 felt like a long list of the soil properties and explanations for every detail uncovered in the results section. By the time you discussed infiltration capacity of the woodland soils, I had forgotten what you said about the bulk density, and it was hard to see the big picture. Perhaps this is reflecting my bias as a catchment scale hydrologist, but I went into this paper excited to see how your analyses of these different landscape units would shed light on how land management affected larger scale hydrologic function (as laid out in your abstract). My suggestion would be to reorganize section 5.1 so that you move through the landscape units, describing how your findings explain their behavior. For instance, "Woodland environments have these properties, explained by this reason, resulting in this behavior. Sandstone substrate vs. Limestone results in this change in properties and behavior. If we move to an agricultural setting, these properties change due to this reason, resulting in this change in behavior. Etc..." This would include a lot of the same information that is included in Section 5.1 and 5.2, but organized in a way that allows the reader to see the bigger picture. I think this would also flow into section 5.3 on implications for land management.

#### **RESPONSE:**

Section 5.1 has been extensively changed in accordance with the reviewer's suggestion to clarify the different soil properties of each landscape unit, and explain how they interact in order to understand the temporal pattern of the infiltration capacity. The implications of soil properties in overland flow processes were also included in this section, based on an integration of previous sections 5.1 and 5.2 of the original manuscript version. The changes were as following:

# **"5.1 Characteristics of the landscape units and their influence on overland flow 5.1.1 Woodland landscape units**

Woodland environments showed the highest soil organic matter content over the catchment. The high variability of this soil property within woodland areas may be due to differences in tree species and management practices affecting the litter layer thickness. The lower organic matter of eucalypt than other woodlands may reflect (a) periodic understorey clearance to help prevent wildfires and (b) low understorey vegetation caused by reduced water availability (DeBano, 2000). The generally low values of soil bulk density in woodland units may be the outcome of higher organic matter in woodland soils than in soils of the other landscape units and the denser root systems associated with a tree cover. Reduced bulk density is also characteristic of soils with greater organic matter, since it helps the formation of soil aggregates and structure (Celik et al., 2010).

The greatest soil hydrophobicity of woodland units can be linked to the species involved and their organic matter produced. Seasonal changes in hydrophobicity, with high values in summer and predominant disappearance in winter, was more pronounced in woodland than other landscape units and is in accordance with previous studies (e.g. Dekker and Ritsema, 1994; Doerr et al., 2000; Martínez-Zavala and Jordán-López, 2009). Within woodland, however, hydrophobicity was more extensive, severe and persistent in sites overlying sandstone than limestone (Figures 5a and 5b) Thus in woodland-sandstone areas a larger number of rainfall events was required for the soil to become hydrophilic, and even during the wettest periods, hydrophobicity persisted at a few sites. This is probably because sandstone areas are mainly dominated by eucalypt and pine plantations, whereas on limestone, oak is more dominant. The types of resins, waxes and aromatic oils produced by eucalypt (Doerr et al., 1998; Jordán et al., 2008) are thought to have caused hydrophobicity in eucalypt stands able to persist following rainfall of as much as 200 mm in 2 months (Ferreira, 1996; Doerr and Thomas, 2000). In contrast, in woodland-limestone areas, hydrophobicity was less severe and soil more easily switched to a hydrophilic state because oak, which is not usually associated with hydrophobic soil (Zavala et al., 2009), is the dominant vegetation.

Generally, woodland areas were also characterized by a more rapid re-establishment of hydrophobic conditions after rainfall events compared with the other landscape units, particularly in eucalypt plantations. The rate of re-establishment depends on the biological productivity of the ecosystem (Doerr and Thomas, 2000; Hardie et al., 2012), the type of hydrocarbon substances produced and microbial activity (Keizer et al., 2008). Santos et al. (in press) also report greater dynamism and more frequent hydrophobic conditions in eucalypt than in pine.

Nevertheless, differences in soil hydrophobicity between sandstone and limestone may also be linked to differences in particle size, given the statistically significant (albeit weak) positive correlation found between hydrophobicity and the sand fraction. This correlation has also been recorded elsewhere (e.g. DeBano, 1991; McKissock et al., 2000), although a few studies have reported hydrophobicity in relatively fine-textured soils (e.g. Doerr and Thomas, 2000).

The higher evapotranspiration associated with a forest cover (e.g. Holden, 2008) may explain the low soil moisture contents recorded during dry periods in woodland, compared with the other land-uses (Figure 7), though shading by ground vegetation and litter can reduce soil moisture loss in warm, sunny conditions. The more intense hydrophobic conditions in eucalypt and pine woodland, by hindering infiltration (Dekker and Ritsema, 1994; Doerr and Thomas, 2000), might also help to explain the lower soil moisture results recorded in woodlandsandstone compared with limestone at times of transition from dry to wet conditions (15/10/2010 and 02/11/2011).

Despite the inverse correlation found between hydrophobicity and soil moisture content in the woodland units, no soil moisture threshold seems to determine the switching pattern between hydrophobic and hydrophilic soil properties. This accords with the inconsistent results recorded elsewhere. Thus in field experiments in Portugal, Leighton-Boyce et al. (2005) reported no threshold for up to 50% soil moisture content, whereas Doerr and Thomas (2000) found one at 28%. Reports of thresholds outside Portugal vary from 21% for medium-textured soils in SE Spain (Soto et al., 1994), to 38% for Dutch clayey peats (Dekker and Ritsema, 1994) and 50% for some organic-rich Swedish soils (Berglund and Persson, 1996).

The seasonal changes in soil hydrophobicity in woodland areas would explain the seasonal contrast in infiltration capacity. Thus, in summer when the woodland soil was at its driest and hydrophobicity was widespread, measured infiltration capacity was minimal, whereas in wettest weather in winter, the limited spatial extent of hydrophobicity allowed infiltration capacity to attain its highest values within *Ribeira dos Covões*. Nevertheless, the low inverse correlation coefficient found between infiltration capacity and hydrophobicity, despite being statistically significant, may have arisen because infiltration may sometimes have been delayed

by repellency, but on other occasions have commenced with switching to hydrophilic conditions by the end of the final 10 minutes of the 30 minutes measurement period.

Organic matter arguably plays a dual role in explaining the seasonal contrast in infiltration capacity in woodland units. Thus, although it is associated with hydrophobic conditions and low infiltration capacities in dry and transitional weather, in wet periods in winter, when hydrophobicity has largely disappeared, the same high levels of organic matter promote structured soils of high matrix infiltration capacity, representing the more typical situation of forest soils (e.g. Costa, 1999; Mouri et al., 2011).

The variations in hydrophobicity, soil moisture and infiltration capacity linked to geological and land-use controls and seasonal climatic influences discussed above result in spatiotemporal patterns of overland flow that differ seasonally and between woodland-sandstone and woodland-limestone areas. In storms following summer dry periods (e.g. following 30/09/2010 and 13/06/2010), drought-induced hydrophobicity in eucalypt and pine areas and the resulting very low matrix infiltration capacity make the woodland-sandstone areas particularly susceptible to infiltration-excess overland flow generation. In contrast, the less hydrophobic nature of the mainly oak vegetation of woodland-limestone areas means that they are less prone to infiltration-excess overland flow. Prolonged or repeated rainfall events led to partial switching of woodland soils to a hydrophilic state and reductions in spatial extent and severity of hydrophobicity. Hydrophobicity in eucalypt stands is more resistant to breakdown, requiring longer and/or a greater number of rainfall events. Because of this, infiltration capacity generally remained low in woodland sandstone areas (Figure 9a), and therefore prone to generate overland flow during transitions from dry to wet conditions, as recorded on 15<sup>th</sup> October 2010. In prolonged wet weather of the winter season, hydrophobicity largely disappeared even in woodland-sandstone areas, and no infiltration-excess overland flow occurred. Even under the wettest winter conditions, woodland areas showed relatively low soil moisture and high infiltration capacities and saturation overland flow was rare.

The potential for infiltration-excess overland flow in woodland landscape units in dry summer conditions was confirmed by rainfall simulation experiments, when a 43 mm  $h^{-1}$  simulated rainfall produced runoff coefficients of 20-83% in a small plot (0.25 m<sup>2</sup>) in extremely hydrophobic woodland soil (slope: 5-36<sup>o</sup>) (Ferreira et al., 2012b).

On larger runoff plots (16m<sup>2</sup>) in woodland, however, under extremely hydrophobic conditions, overland flow did not exceed 3% even for a 23mm natural rainfall event (Ferreira et al., 2012a), mainly because of infiltration bypassing the hydrophobic soil matrix via macropores that can be provided by root-holes, invertebrate activity and high concentrations of stones (e.g. Urbanek and Shakesby, 2009; Hardie et al., 2011). Such bypass (preferential) flow is viewed as an important mechanism not only in extremely hydrophobic soils (Doerr and Thomas, 2000), but also in dry loamy soils with high clay and silt contents (Yang and Zhang, 2011; Bracken and Croke, 2007). Certainly, cracks in clay soils were observed in dry conditions during fieldwork in the catchment study.

#### 5.1.2 Urban landscape units

In contrast to woodland, areas of urban landscape units in the *Ribeira dos Covões* catchment are characterized by the lowest soil organic matter content. This is probably linked to the reduced and patchy vegetation cover and, in some locations, either loss or re-deposition of surface soil. The higher bulk density may be largely due to compaction by people and vehicles (Silva et al., 1997), as a result of vehicle access and parking in the discontinuous urban fabric. Soil bulk densities measured (1.07-1.72 g cm<sup>-3</sup>) were similar to those (1.19-1.62 g cm<sup>-3</sup>)

reported in Nanjing, China, where lowest values were recorded in greenbelt areas and highest in parking zones (Yang and Zhang, 2011).

In the *Ribeira dos Covões* catchment, the dominance of bare surfaces and sparse grass and shrub vegetation is the main cause of the recorded widespread hydrophilic conditions throughout the year. Only at particularly well vegetated sites was hydrophobicity recorded during the driest periods. Bare soil sites, mainly found on sandstone, being more susceptible to evaporation (Nunes et al., 2011), may have led to the low soil moisture content recorded particular in dry-wet transitional periods, such as in the southwest of the catchment on 02/11/2010 and 21/03/2011 (Figure 8).

The generally hydrophilic conditions found in urban soil would help to explain the high soil matrix infiltration capacity values recorded particularly after prolonged dry weather (Figure 9), despite the high bulk density, which elsewhere has been noted to be associated with lower infiltration capacities (e.g. Dornauf and Burghardt, 2000; Yang and Zhang, 2011). The very low and in some cases zero values of soil matrix infiltration capacity recorded during wet periods may be linked to a decline in the suction force and then saturation of the soil. The inverse correlation recorded between soil moisture and infiltration capacity was also found in Tasmania by Hardie et al. (2012), where the application of dye tracer showed infiltration to an average depth of 1.03 m (with a wetting front velocity of 1160 mm  $h^{-1}$ ) in low antecedent soil moisture conditions, compared with a depth of 0.35 m (and a wetting front velocity of 120 mm  $h^{-1}$ ) with wet antecedent conditions.

In urban landscape units, overland flow is readily generated on impervious paved and tarmac surfaces, but for urban soils it varies in importance both seasonally and between urbansandstone and urban-limestone areas. In dry summer conditions, the generally hydrophilic soils of greater infiltration capacity (Figures 9 and 10) lead to little or no overland flow and make these areas overland flow sinks. In contrast, after larger winter storm events, soil saturation or near-saturation was identified at urban-limestone sites (Figures 7 and 8) associated with a near-surface water table (on the valley floor) and shallow soils of low water storage capacity (on hillslopes). In both situations, saturation overland flow was at least being generated locally. In contrast, in urban soils on sandstone, moisture levels recorded in winter were much lower than on limestone (Figure 7) and infiltration capacities (Figure 9) varied from low (on bare soil) to relatively high (on uncompacted, vegetated sites); the result was patchy Hortonian overland flow, mostly on the bare soil areas, with some of the vegetated patches acting as overland flow sinks.

The potential for overland flow generation in urban soils was demonstrated by runoff coefficients of 59-99% recorded on hydrophilic urban soils (slope:  $6-30^{\circ}$ ) in 43 mm h<sup>-1</sup> rainfall simulations on small plots (0.25 m<sup>2</sup>) at the field sites, though it was unclear whether the overland flow was infiltration-excess or saturation in nature (Ferreira et al., 2012b).

#### 5.1.3 Agricultural landscape units

In agricultural landscape units, different land-use/land management types led to major differences on surface cover and soil properties. The agricultural types on sandstone (mainly pasture, small gardens and olive plantations) may explain the low organic matter content and high bulk density results of that landscape unit compared with the agricultural-limestone unit, where abandoned fields undergoing natural vegetation succession are dominant. This greater vegetation cover with higher soil organic matter content for agricultural-limestone would also explain the unit's enhanced spatial extent and severity of hydrophobicity than on sandstone. Nevertheless, hydrophobicity at agricultural-limestone sites was less severe than in woodland, and fewer rainfall events were required to accomplish switching from hydrophobic to

hydrophilic conditions, and hydrophobicity re-establishment in wet to dry transitions was also slower than for woodland (Figure 5). In a previous study of a partly urbanized Mediterranean catchment, Fernández and Ceballos (2003) only recorded lower hydrophobicity persistence when conditions were changing from dry to wet.

The generally higher soil moisture values of agricultural compared with other landscape units, despite the absence of irrigation, may be explained by the lower vegetation cover of the agricultural-limestone sites together with their low hydrophobicity, particularly when compared with woodland. In addition, high surface roughness associated with tillage in agricultural-sandstone fields may enhance surface water retention and lead to higher soil moisture (Álvares-Mozos et al., 2009), especially when compared with untilled urban soils.

Soil moisture, however, was slightly higher at agricultural-limestone than agriculturalsandstone sites, despite most of the former being abandoned. This may be a consequence of the marly nature of the limestone, resulting in a higher proportion of fine material. However, the small soil moisture difference may reflect the fact that most sandstone agricultural sites are on valley floors (Figure 8), and thus often generally moist, whereas limestone sites are mainly on upper slopes, where the soil is shallow (generally <40 cm depth) and often dry, though in the wettest periods some saturation was observed here.

Differences in particle size distribution and land management practices, particularly wheeling, may explain higher soil porosity on abandoned limestone than on ploughed sandstone fields. Nevertheless, a coarser particle size distribution and relatively weak hydrophobicity may explain greater soil matrix infiltration capacity on sandstone compared with limestone agricultural areas in dry periods.

Increasing soil moisture content during the wet season, however, could reduce soil matrix infiltration capacity in agricultural areas, which was mostly apparent on sandstone fields. In agricultural-limestone sites, matrix infiltration capacity was relatively constant during the year. In this landscape unit, the slight infiltration capacity increase during early autumn, possibly due to soil hydrophobicity reduction, gives way to a decreasing capacity in later autumn and winter seasons, as a result of soil moisture increase. Throughout spring, with soil moisture decreasing, infiltration capacity first tends to increase but later, possibly as a result of hydrophobicity remergence at some sites, then reduces once more. The development of hydrophobic conditions in the agricultural soils, however, was clearly slower than in woodland (Figure 5).

In response to the contrasts in soil moisture, hydrophobicity and infiltration capacity and their seasonal dynamics discussed above, overland flow generation varied between agricultural-sandstone and agricultural-limestone landscape units. In the former, high infiltration capacities associated with continuously hydrophilic sandy soils meant that overland flow was absent in summer and in winter was only generated in big events or following very wet weather. In contrast, the greater vegetation of the abandoned fields on limestone led to hydrophobic soils in summer and a degree of proneness to infiltration-excess overland flow. Despite partial switching in transition periods and total switching to hydrophilic conditions in winter wet periods, the relatively low infiltration capacities and high soil moisture resulting from the marly limestone lithology meant that the agricultural limestone areas were more prone in winter to saturation overland flow than the sandstone areas.

Unlike on urban and woodland soil sites, no infiltration-excess overland flow was recorded in 43 mm h<sup>-1</sup> rainfall simulation experiments on hydrophilic agricultural-sandstone land (slope gradients, 15-40<sup>o</sup>) in the study area (Ferreira et al., 2012b).

# **5.1.4** Synthesis: the influences of lithology, topography and land-use factors on overland flow and temporal variation in its distribution within the *Ribeira dos Covões* catchment

Lithology seems to play an important role in controlling spatiotemporal dynamics of overland flow in the *Ribeira dos Covões* catchment via its influence on particle size distribution, soil moisture and infiltration capacity variability over the catchment. Generally, the greater sand fractions and deeper soils of the sandstone areas promote greater infiltration capacity and water storage capacity, and lower soil moisture, leading to reduced proneness to both Hortonian and saturation overland flow. In contrast, the higher silt-clay content and shallower nature of soils on the marly limestone result in greater soil moisture, lower infiltration and water storage capacities and hence greater proneness to saturation overland flow than on sandstone, These effects are in line with reports elsewhere of the influence on overland flow of shallow soils (Easton et al., 2007, Hardie et al., 2011) and variations in particle size (Rahardjo et al., 2008; Yang and Zhang, 2011).

Local topographic characteristics represent a second important influence on overland flow dynamics. Saturation was observed at urban soil sites near streams (Figure 8) caused either by (1) lateral subsurface flows from upslope (Aryal et al., 2005) or (2) groundwater table rise, as recorded at a woodland-sandstone site near to an active spring on 24<sup>th</sup> January 2011 (Figure 8). In a small cultivated Mediterranean catchment in the Pyrenees, Latron and Gallart (2007) also related the saturation pattern to the extent and height of the water table. The locations and extents of the wettest areas in the *Ribeira dos Covões* catchment varied temporally, a feature also reported elsewhere within agricultural hillslope (Walter et al., 2000) and mixed agricultural and forested (Easton et al., 2007) areas.

Land-use and land management constitute the third and perhaps most important influence on differences in overland flow between and within landscape units. This influence is exerted through the effects of different percentage ground covers, management practices and other human activities on degrees of soil compaction, soil moisture levels and soil permeability and via the effects of different plant species on hydrophobicity severity, switching dynamics and seasonality. Overland flow is consequently of greatest significance in urban landscape units, particularly in winter, when urban soils are often either saturated or bare and compacted, whereas in summer overland flow from impervious or bare areas is reduced by hydrophilic soil patches. Overland flow in the woodland units is in general greatly reduced by vegetation effects on infiltration, but is seasonally enhanced in storms following summer dry periods in eucalypt and pine woodland-sandstone areas because of their severe soil hydrophobicity, but absent in woodland-limestone areas because of the oak woodland land-use. The agriculturalsandstone landscape unit produces very little overland flow because of high infiltration capacities resulting from a combination of land-use and land management practices that do not result in compaction, but mostly because of the sandy soils. In converse fashion, the abandoned field land-use of agricultural-limestone areas probably has the effect of reducing overland flow responses from what they would otherwise be with active cultivation, although for lithology-related reasons responses can still be significant particularly in winter wet weather.

Differences in temporal variability of soil hydrological properties between landscape units led to spatial fluctuation in overland flow sources and sinks. In wet winter conditions, overland flow is greatest from the urban landscape units and also significant from the agriculturallimestone unit, but comparatively little is generated on the hydrophilic and permeable agricultural-sandstone and woodland units except in the wettest weather. During transitions from wettest to dry conditions, the spatial pattern of response to rainstorms is reversed, with decreasing susceptibility to saturation overland flow as soil moisture declines (particularly in agricultural- and urban-limestone areas) and increasing vulnerability to infiltration-excess overland flow, enhanced by hydrophobicity re-establishment (particularly in woodland but also agricultural-limestone units). In summer, overland flow is comparatively low but still greatest in urban-limestone areas and to a lesser extent is also significant in the woodland and agricultural-limestone units because of their hydrophobic condition, but urban-sandstone and agricultural-sandstone areas produce comparatively little overland flow, because of at least locally hydrophilic and permeable surface soils providing overland flow sinks. Finally, in the dry to wet transition of autumn, patterns of overland flow are broadly similar to the wet-to-dry transition, with hydrophobicity (and overland flow responses) becoming most rapidly reestablished in eucalypt areas of the woodland-sandstone landscape unit.

Spatial variability of soil properties *within* the same landscape unit, such as particle size and hydrophobicity, provides heterogeneous infiltration capacities, where this particularly applies to (a) the partly bare urban-sandstone unit and (b) the woodland and agricultural-limestone units in transitional periods (Figure 9). Soil spots with matrix infiltration capacity lower than rainfall intensity will lead to local infiltration-excess overland flow, which may be infiltrated in surrounding soil spots of greater infiltration capacity. Not all landscape units provided spots with sufficient permeability throughout the year. Urban and agricultural landscape units showed more sites of high permeability after dry periods, while even in the wettest conditions, woodland provided sites of high infiltration capacity. Nevertheless, even the most permeable soil patches could not cope with the maximum rainfall intensity of 15.6 mm h<sup>-1</sup> recorded in the rainstorm of 2<sup>nd</sup> November 2011. Thus infiltration-excess overland flow would be expected to occur widely during particularly intense storms in all landscape units."

## MINOR COMMENTS

Re-writing of section 5.1 involved moving some text to locations in other parts of the manuscript. In order to clarify the changes made, the new lines are presented.

#### COMMENT:

Line 58. I would spell out which factors you are talking about, as this is the first sentence in a paragraph

#### **RESPONSE:**

The sentence was repositioned in the previous paragraph in order to avoid repetition of the long list of factors, as in the original version:

"...Variations in surface soil moisture, hydrophobicity and infiltration capacity were measured in six different landscape units (defined by land-use on either sandstone or limestone) during nine monitoring campaigns at key times over a one-year period.

Spatiotemporal patterns in overland flow mechanisms were found. Infiltration-excess overland flow was generated in rainfalls during the dry summer season in woodland on both sandstone and limestone and on agricultural soils on limestone due probably in large part to soil hydrophobicity."

#### COMMENT:

Line 68. Mediterranean climates or locations?

#### **RESPONSE:**

The word "climate" has been added to the sentence in order to clarify the idea.

"Although there have been many studies of soil hydrophobicity and its impacts on infiltration and overland flow in a range of seasonal and sub-humid environments (e.g. Glenn and Finley, 2010; Carrick et al., 2011; Orfánus et al., 2014), in areas of Mediterranean climate they have been mainly focussed on forested terrain..."

# COMMENT:

Line 71. I would replace "Relatively little, furthermore, is..." with "Furthermore, relatively little is..."

#### **RESPONSE:**

The beginning of the sentence has been re-written:

"Furthermore, relatively little is known about..."

## COMMENT:

Line 80. The term peri-urban is not in common usage everywhere (i.e. USA) - I would give a short definition.

# **RESPONSE:**

Additional information has been added to clarify the term peri-urban:

"This is even truer of peri-urban areas, which represent the transition zone between urban and rural environments."

## COMMENT:

Line 102. I would remove "only"

## **RESPONSE:**

Word "only" has been removed:

"...hot and dry summers (8% of rainfall in the months June-August)..."

## COMMENT:

Line 134. Mainly or entirely?

# **RESPONSE:**

Word "mainly" has been deleted twice, since the descriptions applied to all the sites:

"... 4 on sandstone (bare soil sites associated with construction and open spaces with ground vegetation between houses) and 5 on limestone (derelict spaces between houses and houses and roads)."

# COMMENT:

Line 236. I would replace "Overall rainfall and temperature during..." with "Rainfall and temperature patterns during..."

# **RESPONSE:**

The suggested improvement has been made:

"Rainfall and temperature patterns during the monitoring period..."

#### COMMENT:

Line 244. The % symbol seems unnecessary - frequency implies the %...

# **RESPONSE:**

% symbol removed:

"Soil hydrophobicity varied greatly in severity and frequency both..."

# COMMENT:

Line 280. 2010 instead of 3010 **RESPONSE:** The year was corrected:

"...periods (30/09/2010 and 13/06/2011), soil..."

# COMMENT:

Line 284. It is unclear what the vice versa refers to - the two land uses, or the wet/dry transitions.

# **RESPONSE:**

The sentence has been re-written in order to clarify that *vice versa* was referring to the wet/dry transition:

"Soil moisture was generally lower in urban sandstone soils throughout the year, but also on woodland sandstone in winter and in dry-wet and wet-dry transition periods."

## COMMENT:

Line 320. I would replace "variable" with "high variability"

# **RESPONSE:**

"high variability" has been adopted. It is now presented on line 331: "The high variability of this soil property..."

## COMMENT:

Line 322-323. Be consistent with spelling of understory (understorey). My understanding is both spellings are correct.

## **RESPONSE:**

The spelling has been unified as recommended. It is now presented on line 332-335.

"The lower organic matter of eucalypt than other woodlands may reflect (a) periodic understorey clearance to help prevent wildfires and (b) low understorey vegetation caused by reduced water availability (DeBano, 2000)."

## COMMENT:

Line 359. Mostly or only?

#### **RESPONSE:**

The sentence has been fully re-written. It is now presented on line 442-445:

"In the Ribeira dos Covões catchment, the dominance of bare surfaces and sparse grass and shrub vegetation is the main cause of the recorded widespread hydrophilic conditions throughout the year. Only at particularly well vegetated sites was hydrophobicity recorded during the driest periods."

# COMMENT:

Line 362 & 364. The phrase "break down" feels awkward. Perhaps simply remove in first sentence, and replace "easier to break down" with resistant in the second.

# **RESPONSE:**

Re-writing of the section has led to significant changes to the sentence. However, the term "breakdown" has been avoided in all the manuscript. For example:

"...hydrophobicity was less severe and soil more easily switched to a hydrophilic state ..." (line 355)

"...when hydrophobicity has largely disappeared..." (line 398)

#### COMMENT:

Line 378. Replace "...correlation, although weak..." with "...weak correlation..." if true **RESPONSE:** 

The word "weak" has been deleted, since despite being weak, the correlation is statistically significant, and other studies have reported stronger significant correlations. The sentence was re-written as below, as can be seen on line 367-368 of the revised version:

"Nevertheless, differences in soil hydrophobicity between sandstone and limestone may also be linked to differences in particle size, given the statistically significant (albeit weak) positive correlation found between hydrophobicity and the sand fraction. This correlation has also been recorded elsewhere (e.g. DeBano, 1991; McKissock et al., 2000), although a few studies have reported hydrophobicity in relatively fine-textured soils (e.g. Doerr and Thomas, 2000)."

## COMMENT:

Line 380. Missing a "can." **RESPONSE:** 

The sentence was removed in the new version of the manuscript.

#### COMMENT:

Lines 384-390. I am not sure what the point of this review was - did you see a different threshold? How does this threshold impact your findings?

#### **RESPONSE:**

The sentence has been re-written in order to clarify that our findings do not show a soil moisture threshold for changing hydrophobic properties, and that other studies have also considered this issue. The relevant text can now be found on line 378-381 in the revised version:

"Despite the inverse correlation found between hydrophobicity and soil moisture content in the woodland units, no soil moisture threshold seems to determine the switching pattern between hydrophobic and hydrophilic soil properties. This accords with the inconsistent results recorded elsewhere. ..."

#### COMMENT:

Line 398. Remove "mainly"

## **RESPONSE:**

The sentence has been re-written. It can be found on line 442-444 of the new version of the manuscript:

"...the dominance of bare surfaces and sparse grass and shrub vegetation is the main cause of the recorded widespread hydrophilic conditions throughout the year..."

### COMMENT:

Line 400. "such as in the urban landscape units"

## **RESPONSE:**

The sentence has been re-written. It can be seen on line 445 of the new version of the manuscript:

"Bare soil sites, mainly found on sandstone, being more susceptible to evaporation ..."

#### COMMENT:

Line 406. "Woodlands are..."

## **RESPONSE:**

All the sentence has been re-written. Please see line 395-400.

"Thus, although it is associated with hydrophobic conditions and low infiltration capacities in dry and transitional weather, in wet periods in winter, when hydrophobicity has largely disappeared, the same high levels of organic matter promote structured soils of high matrix infiltration capacity, representing the more typical situation of forest soils (e.g. Costa, 1999; Mouri et al., 2011)."

# COMMENT:

Line 432. Higher clay and silt content, rather than nature, correct? **RESPONSE:** 

Sentence re-written to clarify the idea. Please see now line 499:

"This may be a consequence of the marly nature of the limestone, resulting in a higher proportion of fine material."

# COMMENT:

Line 433. I would expect lower clay content soils to have higher infiltration capacity simply due to soil texture as well (sand > clay).

# **RESPONSE:**

The sentence has been re-written as follows (lines 541-546):

". In contrast, the higher silt-clay content and shallower nature of soils on the marly limestone result in greater soil moisture, lower infiltration and water storage capacities and hence greater proneness to saturation overland flow than on sandstone, These effects are in line with reports elsewhere of the influence on overland flow of shallow soils (Easton et al., 2007, Hardie et al., 2011) and variations in particle size (Rahardjo et al., 2008; Yang and Zhang, 2011)."

# COMMENT:

Line 457-461. The purpose of this paragraph is unclear - to explain the correlation, or to explain the weakness of the correlation.

# **RESPONSE:**

The idea was clarified by re-writing of the first part of the sentence (See line 455-456 in the new version):

"The inverse correlation recorded between soil moisture and infiltration capacity for urban soils was also found in Tasmania..."

# COMMENT:

Line 464. I would qualify the statement about hydrophobicity, aa this is an inference. "...organic matter content, most likely due to increased hydrophobicity."

# **RESPONSE:**

The sentence relating the organic matter content with hydrophobicity was re-written in the new version of the manuscript (lines 340-341):

"The greatest soil hydrophobicity of woodland units can be linked to the species involved and their organic matter produced."

# COMMENT:

Line 432. I would move the citation earlier in this paragraph (end of first sentence) to make it clear that this is a citation and not new results. **RESPONSE:** 

The sentence has been re-written to make it clear that it was a citation and not a result (lines 552-555):

"The locations and extents of the wettest areas in the Ribeira dos Covões catchment varied temporally, a feature also reported elsewhere within agricultural hillslope (Walter et al., 2000) and mixed agricultural and forested (Easton et al., 2007) areas."

## COMMENT:

Line 452-456. This needs a citation

## **RESPONSE:**

The sentence has been re-written, but a reference to "Costa, 1999" has been included (line 398-400):

"when hydrophobicity has largely disappeared, the same high levels of organic matter promote structured soils of high matrix infiltration capacity, representing the more typical situation of forest soils (e.g. Costa, 1999; Mouri et al., 2011)."

## and added to the reference section:

"Costa, J.B., 1999. Caracterização e constituição do solo, 6ª edição. Fundação Calouste Gulbenkian, Lisboa."

## COMMENT:

Line 457. "...correlation between hydrophobicity..."

## **RESPONSE:**

The role canopy storage and aerodynamic conductance on water losses has been clarified and rewritten (lines 619-620):

"...Valente et al. (1997) reported relatively high interception losses of 17% in *Pinus pinaster* forest and 11% in eucalypt stands and attributed them to the greater canopy storage and, aerodynamic roughness (and hence higher evaporation rates) of forest covers."

# COMMENT:

Line 558. Compared not comparing

# **RESPONSE:**

The suggestion to replace "comparing" by "compared" was implemented (line 621):

"...greater litter density and frequency of root holes compared with..."

# COMMENT:

Line 560. Despite or because of? **RESPONSE:** 

The sentence was re-written (see on line 630):

"Vegetation is widely considered as a key factor interrupting hydrological connectivity ..."

# COMMENT:

Line 562. Positive is a biased word - I would remove.

## **RESPONSE:**

The sentence has been removed in the new manuscript version.

#### COMMENT:

Line 575. I think you could find many references here, not limited to Pennsylvania. The work of Tromp-van Meerveld, Uchida, Woods, Graham etc... come to mind. **RESPONSE:** 

Two additional references have been included (line 636-638):

"preferential flow via macropores can reach streams relatively quickly, and thus contribute to the flood peak, as reported in other areas of the world (Uchida et al., 1999; van Schaik et al., 2008; Yu et al., 2014)"

# and included in the list of References section:

"Uchida, T., Kosugi, K., Miizuyama, T., 1999. Runoff characteristics of pipeflow and effects of pipeflow on rainfall-runoff phenomena in a mountainous watershed. J. Hydrol. 222(1-4), 18-36."

"van Schaik, N.L.M.B., Schnabel, S., Jetten, V.G., 2008. The influence of preferential flow on hillslope hydrology in a semi-arid watershed (in the Spanish Dehesas). Hydrol. Process. 22(18), 3844-3855."

# COMMENT:

Line 604. "together"

# **RESPONSE:**

"together" has been replaced by "such as". It is now presented on line 666-668:

"Even if urban soils surrounding impermeable surfaces (e.g. roofs and roads) cannot act as sinks, obstructions (such as buildings and walls) may delay overland flow transfer."

# COMMENT:

Line 672. "...vegetation, litter and surface..."

# **RESPONSE:**

"...vegetation, litter and surface..." change was implemented (now on line 736):

"Despite the generally low soil matrix infiltration capacity across the catchment, macropores, vegetation, litter and surface roughness..."

# COMMENT:

Figure 1. Why is the precip split between pre and post 1970? I don't recall discussion in the text...

# **RESPONSE:**

Figure 1: The figure has been changed to show the average values of the entire 1941-2000 period, as explained also to reviewer #1.

# COMMENT:

Figure 3. The capitalization is not consistent (Coarse sand v Coarse Sand; Bulk Density v Landscape unit)

# **RESPONSE:**

Use of upper case letters has been standardized:



#### COMMENT:

Figure 3/7. I would be consistent with either WS notation or W/S between figures **RESPONSE:** 



Reference to landscape units has now been standardized, as recommended:

# COMMENT:

Figure 8. Remove or adjust dashed line - it does not really show the pattern. On the WL site, for instance, the dashed line diverges greatly from the measured ranges on 21/11/2010,

24/1/2011, etc... I would allow the reader to see the pattern themselves, or put a dashed line that connects median values.

# **RESPONSE:**

We believe that the comment was referring to Figure 9 instead of Figure 8. The idea of the dashed lines had been to indicate the overall pattern between dry and wet seasons, but it was not properly explained in the legend. However, agreeing with the reviewer comment, since there are quite differences between the measured values and the dashed lines, we have followed the suggestion to remove them from the figure, and allow the reader to observe the pattern. Additional letters to refer each component figure have been inserted and are included within the legend:



"Figure 9 – Box plots of temporal variability of matrix soil infiltration capacity for each landscape unit: a) woodland-sandstone, b) woodland-limestone, c) agricultural-sandstone, d) agricultural-limestone, e) urban-sandstone, f) urban-limestone".

# COMMENT:

Figures 6, 8 and 10. I would say that the data is distributed using the Thiessen Polygon method. **RESPONSE:** 

Reference to the Thiessen Polygon method has been added to the legend of figure 10. As regards figures 6 and 8, the reference to the method was already presented in the legends.

"Figure 6 – Spatial variation of median soil hydrophobicity at the measurement dates, based on the Thiessen polygon method."

"Figure 8 – Spatial distribution in median soil moisture content for each the measurement date, using the Thiessen polygon method."

"Figure 10 - Spatial variation in median matrix soil infiltration capacity at each measurement date, using the Thiessen Polygon method."

# COMMENT:

Table 1. Add .0 for whole numbers in total rainfall column (66.0, 97.0, 37.0), unless instrument precision changed for that period.

# **RESPONSE:**

The decimal numbers have been adjusted as suggested:

Measurement date	Total rainfall between	Antecedent rainfall (mm)			Mean temperature	
	measurements (mm)	2 days	5 days	10 days	30 days	during previous 5 days (°C)
30/09/2010	-	0.0	0.0	0.0	5.0	18.9
15/10/2010	72.6	0.0	0.2	53.8	72.6	16.7
02/11/2010	77.2	1.2	75.4	77.2	131.6	14.1
23/11/2010	66.0	0.4	9.6	49.0	141.8	11.4
03/01/2011	161.5	0.5	26	30.2	131.5	12.3
24/01/2011	82.8	0.7	2.6	12.3	112.5	6.9
21/03/2011	97.0	0.2	0.2	15.8	19.8	13.1
09/05/2011	72.3	0.2	3.1	12.5	47.2	16.3
13/06/2011	37. <mark>0</mark>	0.0	0.0	0.0	37.0	18.1

2	Spatiotemporal variability of hydrologic soil properties and the implications for
3	overland flow and land management in a peri-urban Mediterranean catchment
4	
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# 21 ABSTRACT

22 Planning of semi-urban developments is often hindered by a lack of knowledge on how 23 changes in land-use affect catchment hydrological response. The temporal and spatial 24 patterns of overland flow source areas and their connectivity in the landscape, particularly 25 in a seasonal climate, remain comparatively poorly understood. This study investigates 26 seasonal variations in factors influencing runoff response to rainfall in a peri-urban 27 catchment in Portugal characterized by a mosaic of landscape units and a humid 28 Mediterranean climate. Variations in surface soil moisture, hydrophobicity and infiltration 29 capacity were measured in six different landscape units (defined by land-use on either 30 sandstone or limestone) in nine monitoring campaigns at key times over a one-year period.

31 Spatiotemporal patterns in overland flow mechanisms were found. Infiltration-excess 32 overland flow was generated in rainfalls during the dry summer season in woodland on 33 both sandstone and limestone and on agricultural soils on limestone due probably in large 34 part to soil hydrophobicity. In wet periods, saturation overland flow occurred on urban and 35 agricultural soils located in valley bottoms and on shallow soils upslope. Topography, 36 water table rise and soil depth determined the location and extent of saturated areas. 37 Overland flow generated in upslope source areas potentially can infiltrate in other 38 landscape units downslope where infiltration capacity exceeds rainfall intensity. 39 Hydrophilic urban and agricultural-sandstone soils were characterized by increased 40 infiltration capacity during dry periods, while forest soils provided potential sinks for 41 overland flow when hydrophilic in the winter wet season. Identifying the spatial and 42 temporal variability of overland flow sources and sinks is an important step in 43 understanding and modelling flow connectivity and catchment hydrologic response. Such

44 information is important for land managers in order to improve urban planning to minimize

45 flood risk.

Keywords: soil moisture, soil hydrophobicity, infiltration capacity, Mediterranean, spatial
and temporal variability, landscape units, overland flow, flow connectivity, urban
hydrology.

49

# 50 **1.** Introduction

51 Land-use changes associated with urbanization strongly affect hydrological processes. 52 Research into the hydrological effects of urbanization has focused on its impact on runoff 53 processes, but conclusions have proved difficult to extrapolate because of the complex 54 interplay of such parameters as climatic setting (Boyd et al., 1993; Costa et al., 2003), 55 geologically-controlled topography (Wilson et al., 2005), soil properties (López-Vicente et 56 al., 2009; Hardie et al., 2011), vegetation and land-use (Mallick et al., 2009), including 57 land-use change history, and the percentage of impervious surfaceand its spatial 58 arrangement (e.g. Konrad and Booth, 2005). Variation in the combined effect of these 59 factors is arguably the main reason for observed differences in impact of urban land-use 60 change on hydrology.

Soil moisture, linked to storage capacity, is recognized as a major runoff-controlling factor, particularly in a Mediterranean climate (Cerdà, 1997). Its seasonal variability can mean that greater rainfall intensity is required for overland flow initiation in summer than in winter (Cammeraat, 2002). When saturation overland flow mechanisms are involved, the influence of soil moisture is more varied and not entirely understood, particularly in urbanizing

66 catchments where its spatial and temporal variabilities are rarely reported (Easton et al.,67 2007).

68 Although there have been many studies of soil hydrophobicity and its impacts on 69 infiltration and overland flow in a range of seasonal and sub-humid environments (e.g. 70 Glenn and Finley, 2010; Carrick et al., 2011; Orfánus et al., 2014), in areas of 71 Mediterranean climate they have mainly focussed on forested terrain (e.g. Doerr et al., 72 1996, 1998, 2000; Varela et al., 2005; Keizer et al., 2008; Neris et al., 2013; Nyman et al., 73 2014). Furthermore, relatively little is known about 'switching' between hydrophobic and 74 hydrophilic conditions in dry and wet periods respectively and the net effects on catchment 75 hydrological response in areas affected seasonally by soil hydrophobicity (Leighton-Boyce 76 et al., 2005). In hydrological modelling of urbanizing areas, the phenomenon has not even 77 been considered.

78 The seasonal and spatial variability of soil moisture and hydrophobicity on heterogeneous 79 landscapes affects overland flow sources and sinks, and is critical in understanding flow 80 transfer between different landscape units (Kirkby et al., 2002; Bull et al., 2003). Relatively 81 little research into such hydrological effects has been carried out in Mediterranean 82 environments, so the impact of marked seasonal changes on runoff processes is not well 83 understood. This is even truer of peri-urban areas, which represent the transition zone 84 between urban and rural environments on the outskirts of cities and which often comprise a 85 mosaic of land-use types. Here, better understanding of the interplay between these factors 86 would help in the prediction of the flow response and estimation of the overland flow 87 amount reaching any point in a catchment (Borselli et al., 2008).

88 This paper focuses on temporal and spatial variations in key soil hydrological properties 89 (soil moisture, hydrophobicity and infiltration capacity) in different land-uses in a small, 90 peri-urban, partly limestone, partly sandstone catchment in central Portugal. The catchment 91 has changed rapidly from agricultural land and forest to a discontinuous urban fabric, with 92 urban patches interrupting both woodland and semi-abandoned agricultural terrain. The 93 urban areas comprise a complex mosaic of tarmac, gardens and walls, in addition to 94 buildings and derelict ground. The distinctive mosaic pattern of the catchment is typical of 95 Portuguese urbanization. Specific aims of the paper are to: 1) assess spatial and temporal 96 variability of hydrological soil properties in different land-uses/lithology landscape units in 97 the catchment; 2) identify seasonal changes in overland flow sources; 3) evaluate the 98 impact of landscape units (characterized by different land-uses and lithologies) on flow 99 connectivity and streamflow response; and 4) explore implications of urbanizing mosaics 100 for landscape management and urban planning, especially with respect to streamflow 101 regimes and flood risk.

102

# 103 2. Study area

The study site is the S-N elongated *Ribeira dos Covões* catchment (40°13'N, 8°27'W; 6.2 km<sup>2</sup>) in the suburbs of Coimbra, the largest city of central Portugal. The climate (as recorded at Bencanta, 0.5 km north of the catchment boundary) is humid Mediterranean, with a mean annual temperature of 15°C, a mean annual rainfall of 892 mm (INMG, 1941-2000), hot and dry summers (8% of rainfall in the months June-August) and wet winters (Figure 1). The main watercourse is perennial, supplied by several springs, and there are several smaller ephemeral tributaries (Figure 2). The geology (Figure 2a) comprises

Jurassic dolomitic and marly limestone in the east (49% of the catchment area), and Cretaceous and Tertiary sandstones, conglomerates and mudstones in the west (47% of the area), with some Pliocene-Quaternary sandy-conglomerate (colluvium) and alluvial deposits (4% of the area) in the main valleys. Soils are generally deep (>3m) Cambisols and Podzols (Tavares et al., 2012). Only on steeper slopes in the northwest is soil depth less than 40 cm. Altitude ranges from 29m to 201m. The average slope is 9°, but a few slopes reach up to 46°.

118 The catchment, totally rural until 1972, underwent discontinuous urbanization in 1973 -119 1993, followed by urban consolidation after 1993 (Tavares et al., 2012). The agricultural 120 area, mainly olives and arable land, declined from 48% in 1958 to 4% of the catchment in 121 2009. Woodland increased from 46% to 66% over the same period, changing also in nature 122 from Quercus suber and mixed woodland to large commercial plantations of pine (Pinus 123 pinaster) and eucalypt (Eucalyptus globulus) (Tavares et al., 2012). Urban land-use 124 increased from 6% in 1958 to 30% in 2009 (Figure 2b), of which 14% comprised 125 impervious surfaces and 16% urban soil. The result was a mosaic of older urban cores, with 126 detached houses and gardens, and newer apartment blocks. There are also a few small 127 industrial premises, recreational areas and an enterprise park begun in 2009. Urban storm 128 runoff (from roofs, streets and concrete paved areas) is either piped to tributaries or flows 129 directly towards the stream network. Where urban buildings and derelict urban land are 130 surrounded by fields, however, stormwater is not controlled.

131

# 132 **3. Methodology**

# 133 **3.1 Research design**

134 A network of 31 representative sites was established in the catchment to assess 135 hydrological properties of the six different land-use/lithology combinations or "landscape 136 units" (Figure 2b). There were: 1) 11 sites in woodland, 9 being on sandstone (dominated 137 by eucalypt, pine and mixed deciduous forest), and 2 on limestone (in small areas of oak 138 and mixed deciduous woodland); 2) 11 sites on agricultural fields, including 5 on sandstone 139 (dominated by light grazing pasture, small olive groves and minor cultivated patches) and 6 140 on limestone (in olive groves and abandoned fields undergoing natural succession); and 3) 141 9 sites on uncultivated urban soil, 4 on sandstone (bare soil sites associated with 142 construction and open spaces with ground vegetation between houses) and 5 on limestone 143 (derelict spaces between houses and between houses and roads).

At each site, soil moisture content, hydrophobicity and soil matrix infiltration capacity were monitored 9 times between September 2010 and June 2011, to cover a representative range of antecedent weather and seasonal conditions, including prolonged periods of wet weather and long dry spells. Temperature and rainfall data during the study period were provided by the national meteorological weather station 12G/02UG, located at Bencanta, 0.5 km north of the study catchment.

Replicate measurements of soil hydrological properties, spaced approximately 1m apart, were carried out at each site. In total, 558 measurements of each parameter were obtained. Three soil samples (c. 100 g each) were collected on the nine occasions at each site to assess surface soil moisture (0-5 cm depth). Additional soil samples were taken at all sites on  $23^{rd}$  November 2010 to determine dry bulk density, rock fragment content, organic matter and particle size distribution. The excavation method (15×15 cm and 10 cm depth) was used for bulk density and rock fragment analyses (three samples per location) (Dane and Topp, 2002). Composite samples were also collected at depths of 0-5 cm and 5-10 cm
for organic matter and particle size distribution analyses. Each composite sample comprised
17 sub-samples collected at 15 cm intervals along a 2.4 m transect at each site.

160

# 161 **3.2 Field methods and procedure**

162 Soil matrix infiltration capacity was measured using a Minidisk Tension Infiltrometer 163 (Decagon Devices; 4.5 cm diameter and pressure head of -3.0 cm). Before measurements, 164 ground vegetation was trimmed and surface litter carefully removed. Following preliminary 165 trials, measurements were taken over 30 minutes by which time steady-state conditions 166 were assumed to have been reached. Unsaturated hydraulic conductivity was calculated 167 using published guidelines (Zhang, 1997; Li et al., 2005; Decagon, 2007). Infiltration 168 capacity, however, was calculated from the final 10 minutes of data (i.e. when the values 169 were judged to have stabilized). Taking all measurements as recommended by Decagon 170 (2007) would have given spurious values due both to initially high infiltration in 171 hydrophilic soils and to delayed infiltration when soils were hydrophobic.

Near each infiltrometer location, soil hydrophobicity was assessed at depths of 0, 2 and 5 cm using the Molarity of an Ethanol Droplet (MED) technique (Doerr et al., 1998). Fifteen drops of distilled water and then progressively higher concentrations of ethanol were applied until the lowest concentration was identified at which at least 8 out of 15 drops were absorbed within 5 seconds. Ethanol concentrations of 0, 3, 5, 8.5, 13, 18, 24 and 36 percent by volume were used. The soil was considered wettable (hydrophilic) when distilled water drops infiltrated within 5 seconds. The classes of levels of hydrophobicity used were: low for 3 and 5% ethanol, moderate for 8.5 and 13%, severe for 18 and 24%,
and extreme for 36% (Doerr et al., 1998).

181

# 182 **3.3 Laboratory methods**

183 Soil physical properties (bulk density, rock fragment, organic matter content and particle 184 size) were analysed using standard methods (Dane and Topp, 2002). Bulk density was 185 obtained from undisturbed samples dried at 105°C. Disturbed soil samples were oven-dried 186 at 38 °C until a constant weight was reached, and the <2mm fraction extracted. The >2mm187 rock fragment content was calculated as a percentage of the total dry soil sample weight. 188 The organic matter content was analyzed by oxidation at 600°C and detected by close infra-189 red, using SC-144DR equipment (Strohlein Instruments). Porosity was calculated from the 190 dry bulk density and the organic matter content according to methods recommended by Dane and Topp (2002), assuming a soil mineral particle density of 2.65 g cm<sup>-3</sup> and organic 191 matter bulk density of 0.90 g cm<sup>-3</sup>. The particle size distribution of the minerogenic 192 193 component of the soil samples was determined where organic matter content was > 2%194 either by: 1) oxidation using hydrogen peroxide (6%), for samples with organic matter 195 contents of 2-4%; or 2) heating to 550°C for samples with higher values. The samples were 196 then dispersed using Na-hexametaphosphate and the ultrasonic method (Dane and Topp, 197 2002). Particle size distribution was subsequently determined using a combination of 198 sieving, gravity sedimentation and pipette analysis. Soil texture classes were based on the 199 ISSS international classification (Soil Survey Division Staff, 1993).

200 Soil moisture content was assessed on each measurement occasion by the 201 thermogravimetric method following oven-drying at 105°C. Soil saturation was than 202 estimated by dividing the volumetric water content (estimated from gravimetric water 203 content and bulk density) by porosity.

204

# 205 **3.4 Data analysis**

206 The statistical significance of soil property differences between the land-use/lithology 207 landscape units was investigated first using the non-parametric Kruskal-Wallis H test 208 (SPSS 17.0). Where significant differences between units were identified, the Least 209 Significant Difference (LSD) Post-Hoc test was applied to identify distinct units or groups 210 of units. The same tests and procedure were applied to differences in soil hydrological 211 properties between measuring dates. A 95% level of significance (p < 0.05) was used. In 212 addition, Pearson-r correlation coefficients were calculated to assess linear relationships 213 between: 1) soil properties (organic matter content, bulk density and particle size) and soil 214 moisture, soil hydrophobicity and infiltration capacity (n=64); and 2) antecedent weather 215 and soil hydrological properties on each monitoring occasion. Principal Component 216 Analysis was used to quantify the infiltration variance explained by the correlated variables. 217 Although the data were not normally distributed, it was considered useful to apply this 218 technique for explorative purposes to improve understanding of the controls on overland 219 flow. Spatial patterns of hydrological soil properties were analyzed using geostatistical 220 methods, based on Thiessen Polygons, carried out using ArcGIS 9.3 software.

221

## 222 **4. Results and analysis**

## **4.1 Soil properties**

Soil organic matter was generally higher and more consistent for surface (0-5 cm) than subsurface soil (5-10 cm) (Figures 3a and 3b). For both soil depths, organic matter content increased from urban (1-3%) to agricultural (3-9%) and woodland soils (averaging 7% and 14% on sandstone and limestone, respectively). In the woodland and agricultural-limestone landscape units, organic matter was highly variable, but greater than in agriculturalsandstone and urban soils (p<0.05).

Bulk density increased from woodland (0.7 g cm<sup>-3</sup>) to agricultural (1.0 g cm<sup>-3</sup>) and to urban soils (1.2 g cm<sup>-3</sup>) (Figure 3c). In woodland and urban soils, bulk density was similar on both lithologies (p>0.05), but it was higher for agricultural-sandstone than agriculturallimestone soils (median values of 1.1 g cm<sup>-3</sup> and 0.9 g cm<sup>-3</sup>) (p<0.05). Values for the latter were similar to woodland, whereas agricultural-sandstone values were similar to urban soils (p>0.05). Bulk density decreased with as soil organic matter increased (r=-0.341, p<0.001).

Soil porosity ranged from 40 to 65% (Figure 3d) with generally lower values for urban soils, despite no significant difference (p>0.05). Greater heterogeneity was found for agricultural soils, with higher values on limestone than sandstone (p<0.05). Rock fragment content ranged from 14 to 57% and was similar amongst landscape units (p>0.05). Particle size varied between individual sites (Figure 3e and 3f), but not between landscape unit averages (p>0.05), with sandy-loam and loamy-sand textures dominating. Particle size distribution affected bulk density, which increased with larger coarse sand (r=0.189, 243 p<0.001) and clay fractions (r=0.115, p<0.001), and diminished with larger fine sand (r=-

244 0.287, p<0.001) and silt fractions (r=-0.190, p<0.001).

245

# 246 **4.2 Antecedent weather conditions**

Rainfall and temperature patterns during the monitoring period are shown in Figure 4 and antecedent conditions for each measurement date are summarized in Table 1. Antecedent 30-day rainfall ranged from 5.0 mm (30/09/2010) to 141.8 mm (23/11/2010). Antecedent 5day rainfall ranged from rainless (prior to 30/09/2010 and 13/06/2011) or trace (0.2 mm prior to 15/10/2010 and 24/01/2011) to 26.0 mm (prior to 03/01/2011) and 75.4 mm (prior to 02/11/2010).

253

# 254 **4.3 Soil hydrophobicity**

255 Soil hydrophobicity varied greatly in severity and frequency both between landscape units 256 and with season and antecedent weather (Figures 5 and 6). Surface (0 cm) and subsurface 257 (2 cm and 5 cm) soil (results not shown) exhibited similar spatial and temporal trends. 258 Hydrophobicity increased with temperature (r=0.337, p<0.001) and decreased with 259 antecedent 2- and 30-day rainfall (r=-0.298 and -0.373 respectively, p<0.001). The area 260 affected by hydrophobicity was larger in summer (50% of all measurement sites) and 261 hydrophobicity was more severe in summer than in winter. It disappeared in late November 262 and January, except at woodland-sandstone sites (<20% of all sites).
263 Hydrophobicity was of greater severity and spatial extent in woodland, where after dry 264 spells it required several rainfall events to lessen its impact, particularly on sandstone 265 (Figures 5a and 5b). At agricultural sites especially on limestone (Figures 5c and 5d), 266 hydrophobicity was also present in dry periods but was less severe than on woodland and 267 rapidly decreased in percentage frequency following rainstorms and disappeared in wetter 268 periods. Urban soil was mostly hydrophilic (Figures 5e and 5f), with hydrophobicity only 269 affecting a minority of sites even in the driest periods. Re-establishment of hydrophobic 270 conditions in dry weather also varied with land-use, being rapid in woodland, particularly 271 on sandstone where it re-appeared by 24 January 2011, but far slower on agricultural and 272 urban soils, where it was absent until March 2011. Significant differences between 273 woodland and urban soils were found (p < 0.05).

274 A positive correlation was identified between hydrophobicity severity and organic matter content (r=0.308 for surface and 0.345 for subsurface soil, p<0.001). Hydrophobicity was 275 276 correlated with particle size, increasing with surface fine sand (r=0.197, p<0.001) and 277 decreasing with subsurface clay fraction (r=-0.226, p<0.001). This was reflected also in a 278 negative correlation with bulk density (r=-0.240, p<0.001). Hydrophobicity was also found 279 to be inversely correlated with soil moisture (r=-0.363, p<0.001, n=558). Nevertheless, 280 hydrophilic conditions were recorded at least at some locations in all agricultural and urban 281 landscape units over the range of soil moisture contents recorded, whereas in woodland 282 soil was invariably hydrophobic at contents below 20%. There seemed to be no particular 283 moisture threshold, although at 75% of the measurement sites, at least low hydrophobicity 284 was characteristic below 45% soil moisture. Hydrophobicity, however, was recorded at a 285 few woodland sites with 70% soil moisture.

286

# 287 **4.4 Soil moisture**

Surface soil moisture varied with antecedent weather (Figures 7 and 8), increasing after rainfall (although correlations were weak: r=0.375, 0.168, 0.258 and 0.541 with 2-, 5-, 10and 30-day antecedent rainfall, respectively, p<0.001) and declining with higher temperature (r=-0.593 with values in previous 5 days, p<0.001). During summer and after long rain-free periods (30/09/2010 and 13/06/2011), soil became dry (<20% moisture) across the catchment.

294 Land-uses responded differently to rainfall and limestone areas generally had higher soil moisture than sandstone areas. This was very pronounced on 2<sup>nd</sup> November 2010 (Figure 295 296 7). Soil moisture was generally lower in urban sandstone soils throughout the year, but also 297 on woodland sandstone in winter and in dry-wet and wet-dry transition periods. Indeed, the 298 lowest post-summer (30/09/2010) median soil moisture content was recorded in woodland 299 sandstone areas, where it persisted until late autumn (23/11/2010). Conversely, agricultural 300 and urban limestone soils generally exhibited higher moisture contents, especially in the 301 wettest periods, when soil saturation occurred at a few valley-floor sites near streams 302 (Figure 8). Nevertheless, the locations and sizes of wettest areas in Ribeira dos Covões 303 changed through time, and high soil moisture values were recorded occasionally at a 304 minority of woodland sandstone sites in winter. In general, soil moisture content increased 305 with greater silt (r=0.220, p<0.001) and clay (r= 0.163, p<0.001) fractions.

306

#### 307 **4.5 Infiltration capacity**

308 Soil matrix infiltration capacity in the Ribeira dos Covões catchment was generally low, 309 despite occasional higher values (Figures 9 and 10). In general, sandstone soils recorded 310 greater permeability than limestone soils. Land-use also affected infiltration capacity but 311 differences varied with season and weather (Figure 9). Generally, woodland recorded 312 higher values in wet than dry periods (p < 0.05), with median values increasing from 0.1 -0.2 mm h<sup>-1</sup> on 13/06/2011 and 30/09/2010 to 2.8 mm h<sup>-1</sup> on 03/01/2010. Nevertheless, after 313 314 the summer, higher infiltration capacity in woodland occurred earlier on limestone than 315 sandstone. Urban soils showed the opposite trend (p<0.05), with median infiltration capacity diminishing from 2.6 mm  $h^{-1}$  on 13/06/2011 and 3.1 mm  $h^{-1}$  on 30/09/2010 to 1.4 316 mm  $h^{-1}$  on 03/01/2010, with slightly higher values on sandstone than on limestone. In 317 agricultural areas, the fall in median infiltration capacity (from 2.5 mm h<sup>-1</sup> on 30/09/2010 to 318  $0.8 \text{ mm h}^{-1}$  on 03/01/2010) was not statistically significant. 319

Infiltration capacity increased with sand content (r=0.228 and r=0.201 for surface and subsurface soil respectively, p<0.001), but decreased with clay fraction (r=-0.140 for subsurface soil, p<0.001) and organic matter (r=-0.149, p<0.001). Statistically significant correlations were also found between infiltration capacity and hydrophobicity (r=-0.314 and -0.111 at 0 cm and 2 cm depth respectively, p<0.001), as well as soil moisture (r=-0.117, p<0.001).

Generally, infiltration capacity was significantly correlated with hydrophobicity and soil moisture, but the lower correlation coefficients may be because infiltration capacity was only calculated during the last 10 minutes, and hydrophobicity and soil moisture were measured separately on adjacent soil. Nevertheless, Principal Component Analysis (PCA) showed that despite the complex interaction between hydrophobicity and soil moisture, these variables together explain 63% of total infiltration capacity variance (Table 2). When particle size characteristics (surface and subsurface coarse sand and silt fractions, and subsurface clay) and organic matter content (surface and subsurface) are considered, the three component variables together explain 76% of infiltration variance (Table 3). However, the results of PCA must be interpreted as only indicative, since the variables do not follow the normal distribution that is strictly required by the approach.

337

338 5. Discussion

## **5.1** Characteristics of the landscape units and their influence on overland flow

#### 340 **5.1.1 Woodland landscape units**

341 Woodland environments showed the highest soil organic matter content over the catchment. 342 The high variability of this soil property within woodland areas may be due to differences 343 in tree species and management practices affecting the litter layer thickness. The lower 344 organic matter of eucalypt than other woodlands may reflect (a) periodic understorey 345 clearance to help prevent wildfires and (b) low understorey vegetation caused by reduced 346 water availability (DeBano, 2000). The generally low values of soil bulk density in 347 woodland units may be the outcome of higher organic matter in woodland soils than in soils 348 of the other landscape units and the denser root systems associated with a tree cover. 349 Reduced bulk density is also characteristic of soils with greater organic matter, since it 350 helps the formation of soil aggregates and structure (Celik et al., 2010).

The greatest soil hydrophobicity of woodland units can be linked to the species involved and their organic matter produced. Seasonal changes in hydrophobicity, with high values in

353 summer and predominant disappearance in winter, was more pronounced in woodland than 354 other landscape units and is in accordance with previous studies (e.g. Dekker and Ritsema, 355 1994; Doerr et al., 2000; Martínez-Zavala and Jordán-López, 2009). Within woodland, 356 however, hydrophobicity was more extensive, severe and persistent in sites overlying 357 sandstone than limestone (Figures 5a and 5b) Thus in woodland-sandstone areas a larger 358 number of rainfall events was required for the soil to become hydrophilic, and even during 359 the wettest periods, hydrophobicity persisted at a few sites. This is probably because 360 sandstone areas are mainly dominated by eucalypt and pine plantations, whereas on 361 limestone, oak is more dominant. The types of resins, waxes and aromatic oils produced 362 by eucalypt (Doerr et al., 1998; Jordán et al., 2008) are thought to have caused 363 hydrophobicity to be more extensive and resilient than in the other woodland stands, with 364 hydrophobicity in eucalypt stands able to persist following rainfall of as much as 200 mm 365 in 2 months (Ferreira, 1996; Doerr and Thomas, 2000). In contrast, in woodland-limestone areas, hydrophobicity was less severe and soil more easily switched to a hydrophilic state 366 367 because oak, which is not usually associated with hydrophobic soil (Zavala et al., 2009), is 368 the dominant vegetation.

Generally, woodland areas were also characterized by a more rapid re-establishment of hydrophobic conditions after rainfall events compared with the other landscape units, particularly in eucalypt plantations. The rate of re-establishment depends on the biological productivity of the ecosystem (Doerr and Thomas, 2000; Hardie et al., 2012), the type of hydrocarbon substances produced and microbial activity (Keizer et al., 2008). Santos et al. (in press) also report greater dynamism and more frequent hydrophobic conditions in eucalypt than in pine. Nevertheless, differences in soil hydrophobicity between sandstone and limestone may also be linked to differences in particle size, given the statistically significant (albeit weak) positive correlation found between hydrophobicity and the sand fraction. This correlation has also been recorded elsewhere (e.g. DeBano, 1991; McKissock et al., 2000), although a few studies have reported hydrophobicity in relatively fine-textured soils (e.g. Doerr and Thomas, 2000).

382 The higher evapotranspiration associated with a forest cover (e.g. Holden, 2008) may 383 explain the low soil moisture contents recorded during dry periods in woodland, compared 384 with the other land-uses (Figure 7), though shading by ground vegetation and litter can 385 reduce soil moisture loss in warm, sunny conditions. The more intense hydrophobic 386 conditions in eucalypt and pine woodland, by hindering infiltration (Dekker and Ritsema, 387 1994; Doerr and Thomas, 2000), might also help to explain the lower soil moisture results 388 recorded in woodland-sandstone compared with limestone at times of transition from dry to 389 wet conditions (15/10/2010 and 02/11/2011).

390 Despite the inverse correlation found between hydrophobicity and soil moisture content in 391 the woodland units, no soil moisture threshold seems to determine the switching pattern 392 between hydrophobic and hydrophilic soil properties. This accords with the inconsistent 393 results recorded elsewhere. Thus in field experiments in Portugal, Leighton-Boyce et al. 394 (2005) reported no threshold for up to 50% soil moisture content, whereas Doerr and 395 Thomas (2000) found one at 28%. Reports of thresholds outside Portugal vary from 21% 396 for medium-textured soils in SE Spain (Soto et al., 1994), to 38% for Dutch clayey peats 397 (Dekker and Ritsema, 1994) and 50% for some organic-rich Swedish soils (Berglund and 398 Persson, 1996).

399 The seasonal changes in soil hydrophobicity in woodland areas would explain the seasonal 400 contrast in infiltration capacity. Thus, in summer when the woodland soil was at its driest 401 and hydrophobicity was widespread, measured infiltration capacity was minimal, whereas 402 in wettest weather in winter, the limited spatial extent of hydrophobicity allowed 403 infiltration capacity to attain its highest values within Ribeira dos Covões. Nevertheless, the low inverse correlation coefficient found between infiltration capacity and hydrophobicity, 404 405 despite being statistically significant, may have arisen because infiltration may sometimes 406 have been delayed by repellency, but on other occasions have commenced with switching 407 to hydrophilic conditions by the end of the final 10 minutes of the 30 minutes measurement 408 period.

Organic matter arguably plays a dual role in explaining the seasonal contrast in infiltration capacity in woodland units. Thus, although it is associated with hydrophobic conditions and low infiltration capacities in dry and transitional weather, in wet periods in winter, when hydrophobicity has largely disappeared, the same high levels of organic matter promote structured soils of high matrix infiltration capacity, representing the more typical situation of forest soils (e.g. Costa, 1999; Mouri et al., 2011).

The variations in hydrophobicity, soil moisture and infiltration capacity linked to geological and land-use controls and seasonal climatic influences discussed above result in spatiotemporal patterns of overland flow that differ seasonally and between woodlandsandstone and woodland-limestone areas. In storms following summer dry periods (e.g. following 30/09/2010 and 13/06/2010), drought-induced hydrophobicity in eucalypt and pine areas and the resulting very low matrix infiltration capacity make the woodlandsandstone areas particularly susceptible to infiltration-excess overland flow generation. In

422 contrast, the less hydrophobic nature of the mainly oak vegetation of woodland-limestone 423 areas means that they are less prone to infiltration-excess overland flow. Prolonged or 424 repeated rainfall events led to partial switching of woodland soils to a hydrophilic state and 425 reductions in spatial extent and severity of hydrophobicity. Hydrophobicity in eucalypt 426 stands is more resistant to breakdown, requiring longer and/or a greater number of rainfall 427 events. Because of this, infiltration capacity generally remained low in woodland sandstone 428 areas (Figure 9a), and therefore prone to generate overland flow during transitions from dry to wet conditions, as recorded on 15<sup>th</sup> October 2010. In prolonged wet weather of the winter 429 430 season, hydrophobicity largely disappeared even in woodland-sandstone areas, and no 431 infiltration-excess overland flow occurred. Even under the wettest winter conditions, 432 woodland areas showed relatively low soil moisture and high infiltration capacities and 433 saturation overland flow was rare.

The potential for infiltration-excess overland flow in woodland landscape units in dry summer conditions was confirmed by rainfall simulation experiments, when a 43 mm h<sup>-1</sup> simulated rainfall produced runoff coefficients of 20-83% in a small plot (0.25 m<sup>2</sup>) in extremely hydrophobic woodland soil (slope: 5-36°) (Ferreira et al., 2012b).

On larger runoff plots (16m<sup>2</sup>) in woodland, however, under extremely hydrophobic conditions, overland flow did not exceed 3% even for a 23mm natural rainfall event (Ferreira et al., 2012a), mainly because of infiltration bypassing the hydrophobic soil matrix via macropores that can be provided by root-holes, invertebrate activity and high concentrations of stones (e.g. Urbanek and Shakesby, 2009; Hardie et al., 2011). Such bypass (preferential) flow is viewed as an important mechanism not only in extremely hydrophobic soils (Doerr and Thomas, 2000), but also in dry loamy soils with high clay and silt contents (Yang and Zhang, 2011; Bracken and Croke, 2007). Certainly, cracks in clay
soils were observed in dry conditions during fieldwork in the catchment study.

447

#### 448 **5.1.2 Urban landscape units**

449 In contrast to woodland, areas of urban landscape units in the Ribeira dos Covões 450 catchment are characterized by the lowest soil organic matter content. This is probably 451 linked to the reduced and patchy vegetation cover and, in some locations, either loss or re-452 deposition of surface soil. The higher bulk density may be largely due to compaction by 453 people and vehicles (Silva et al., 1997), as a result of vehicle access and parking in the discontinuous urban fabric. Soil bulk densities measured  $(1.07-1.72 \text{ g cm}^{-3})$  were similar to 454 455 those  $(1.19-1.62 \text{ g cm}^{-3})$  reported in Nanjing, China, where lowest values were recorded in 456 greenbelt areas and highest in parking zones (Yang and Zhang, 2011).

In the *Ribeira dos Covões* catchment, the dominance of bare surfaces and sparse grass and shrub vegetation is the main cause of the recorded widespread hydrophilic conditions throughout the year. Only at particularly well vegetated sites was hydrophobicity recorded during the driest periods. Bare soil sites, mainly found on sandstone, being more susceptible to evaporation (Nunes et al., 2011), may have led to the low soil moisture content recorded particular in dry-wet transitional periods, such as in the southwest of the catchment on 02/11/2010 and 21/03/2011 (Figure 8).

The generally hydrophilic conditions found in urban soil would help to explain the high soil matrix infiltration capacity values recorded particularly after prolonged dry weather (Figure 9), despite the high bulk density, which elsewhere has been noted to be associated with

467 lower infiltration capacities (e.g. Dornauf and Burghardt, 2000; Yang and Zhang, 2011). 468 The very low and in some cases zero values of soil matrix infiltration capacity recorded 469 during wet periods may be linked to a decline in the suction force and then saturation of the 470 soil. The inverse correlation recorded between soil moisture and infiltration capacity was 471 also found in Tasmania by Hardie et al. (2012), where the application of dye tracer showed infiltration to an average depth of 1.03 m (with a wetting front velocity of 1160 mm  $h^{-1}$ ) in 472 473 low antecedent soil moisture conditions, compared with a depth of 0.35 m (and a wetting front velocity of 120 mm  $h^{-1}$ ) with wet antecedent conditions. 474

475 In urban landscape units, overland flow is readily generated on impervious paved and 476 tarmac surfaces, but for urban soils it varies in importance both seasonally and between 477 urban-sandstone and urban-limestone areas. In dry summer conditions, the generally 478 hydrophilic soils of greater infiltration capacity (Figures 9 and 10) lead to little or no 479 overland flow and make these areas overland flow sinks. In contrast, after larger winter 480 storm events, soil saturation or near-saturation was identified at urban-limestone sites 481 (Figures 7 and 8) associated with a near-surface water table (on the valley floor) and 482 shallow soils of low water storage capacity (on hillslopes). In both situations, saturation 483 overland flow was at least being generated locally. In contrast, in urban soils on sandstone, 484 moisture levels recorded in winter were much lower than on limestone (Figure 7) and 485 infiltration capacities (Figure 9) varied from low (on bare soil) to relatively high (on 486 uncompacted, vegetated sites); the result was patchy Hortonian overland flow, mostly on 487 the bare soil areas, with some of the vegetated patches acting as overland flow sinks.

The potential for overland flow generation in urban soils was demonstrated by runoff coefficients of 59-99% recorded on hydrophilic urban soils (slope: 6-30°) in 43 mm h<sup>-1</sup> rainfall simulations on small plots (0.25 m<sup>2</sup>) at the field sites, though it was unclear whether the overland flow was infiltration-excess or saturation in nature (Ferreira et al., 2012b).

492

# 493 **5.1.3 Agricultural landscape units**

494 In agricultural landscape units, different land-use/land management types led to major 495 differences on surface cover and soil properties. The agricultural types on sandstone 496 (mainly pasture, small gardens and olive plantations) may explain the low organic matter 497 content and high bulk density results of that landscape unit compared with the agricultural-498 limestone unit, where abandoned fields undergoing natural vegetation succession are 499 dominant. This greater vegetation cover with higher soil organic matter content for 500 agricultural-limestone would also explain the unit's enhanced spatial extent and severity of 501 hydrophobicity than on sandstone. Nevertheless, hydrophobicity at agricultural-limestone 502 sites was less severe than in woodland, and fewer rainfall events were required to 503 accomplish switching from hydrophobic to hydrophilic conditions, and hydrophobicity re-504 establishment in wet to dry transitions was also slower than for woodland (Figure 5). In a 505 previous study of a partly urbanized Mediterranean catchment, Fernández and Ceballos 506 (2003) only recorded lower hydrophobicity persistence when conditions were changing 507 from dry to wet.

The generally higher soil moisture values of agricultural compared with other landscape units, despite the absence of irrigation, may be explained by the lower vegetation cover of the agricultural-limestone sites together with their low hydrophobicity, particularly when compared with woodland. In addition, high surface roughness associated with tillage in agricultural-sandstone fields may enhance surface water retention and lead to higher soil moisture (Álvares-Mozos et al., 2009), especially when compared with untilled urban soils.

Soil moisture, however, was slightly higher at agricultural-limestone than agriculturalsandstone sites, despite most of the former being abandoned. This may be a consequence of the marly nature of the limestone, resulting in a higher proportion of fine material. However, the small soil moisture difference may reflect the fact that most sandstone agricultural sites are on valley floors (Figure 8), and thus often generally moist, whereas limestone sites are mainly on upper slopes, where the soil is shallow (generally <40 cm depth) and often dry, though in the wettest periods some saturation was observed here.

521 Differences in particle size distribution and land management practices, particularly 522 wheeling, may explain higher soil porosity on abandoned limestone than on ploughed 523 sandstone fields. Nevertheless, a coarser particle size distribution and relatively weak 524 hydrophobicity may explain greater soil matrix infiltration capacity on sandstone compared 525 with limestone agricultural areas in dry periods.

526 Increasing soil moisture content during the wet season, however, could reduce soil matrix 527 infiltration capacity in agricultural areas, which was mostly apparent on sandstone fields. In 528 agricultural-limestone sites, matrix infiltration capacity was relatively constant during the 529 year. In this landscape unit, the slight infiltration capacity increase during early autumn, 530 possibly due to soil hydrophobicity reduction, gives way to a decreasing capacity in later

autumn and winter seasons, as a result of soil moisture increase. Throughout spring, with soil moisture decreasing, infiltration capacity first tends to increase but later, possibly as a result of hydrophobicity re-emergence at some sites, then reduces once more. The development of hydrophobic conditions in the agricultural soils, however, was clearly slower than in woodland (Figure 5).

536 In response to the contrasts in soil moisture, hydrophobicity and infiltration capacity and 537 their seasonal dynamics discussed above, overland flow generation varied between 538 agricultural-sandstone and agricultural-limestone landscape units. In the former, high 539 infiltration capacities associated with continuously hydrophilic sandy soils meant that 540 overland flow was absent in summer and in winter was only generated in big events or 541 following very wet weather. In contrast, the greater vegetation of the abandoned fields on 542 limestone led to hydrophobic soils in summer and a degree of proneness to infiltration-543 excess overland flow. Despite partial switching in transition periods and total switching to 544 hydrophilic conditions in winter wet periods, the relatively low infiltration capacities and 545 high soil moisture resulting from the marly limestone lithology meant that the agricultural 546 limestone areas were more prone in winter to saturation overland flow than the sandstone 547 areas.

548 Unlike on urban and woodland soil sites, no infiltration-excess overland flow was recorded 549 in 43 mm h<sup>-1</sup> rainfall simulation experiments on hydrophilic agricultural-sandstone land 550 (slope gradients, 15-40°) in the study area (Ferreira et al., 2012b).

552 5.1.4 Synthesis: the influences of lithology, topography and land-use factors on
553 overland flow and temporal variation in its distribution within the *Ribeira dos Covões*554 catchment

555 Lithology seems to play an important role in controlling spatiotemporal dynamics of 556 overland flow in the Ribeira dos Covões catchment via its influence on particle size 557 distribution, soil moisture and infiltration capacity variability over the catchment. 558 Generally, the greater sand fractions and deeper soils of the sandstone areas promote 559 greater infiltration capacity and water storage capacity, and lower soil moisture, leading to 560 reduced proneness to both Hortonian and saturation overland flow. In contrast, the higher 561 silt-clay content and shallower nature of soils on the marly limestone result in greater soil 562 moisture, lower infiltration and water storage capacities and hence greater proneness to 563 saturation overland flow than on sandstone, These effects are in line with reports 564 elsewhere of the influence on overland flow of shallow soils (Easton et al., 2007, Hardie et al., 2011) and variations in particle size (Rahardjo et al., 2008; Yang and Zhang, 2011). 565

566 Local topographic characteristics represent a second important influence on overland flow 567 dynamics. Saturation was observed at urban soil sites near streams (Figure 8) caused either 568 by (1) lateral subsurface flows from upslope (Aryal et al., 2005) or (2) groundwater table rise, as recorded at a woodland-sandstone site near to an active spring on 24<sup>th</sup> January 2011 569 570 (Figure 8). In a small cultivated Mediterranean catchment in the Pyrenees, Latron and 571 Gallart (2007) also related the saturation pattern to the extent and height of the water table. 572 The locations and extents of the wettest areas in the Ribeira dos Covões catchment varied 573 temporally, a feature also reported elsewhere within agricultural hillslope (Walter et al., 574 2000) and mixed agricultural and forested (Easton et al., 2007) areas.

575 Land-use and land management constitute the third and perhaps most important influence 576 on differences in overland flow between and within landscape units. This influence is 577 exerted through the effects of different percentage ground covers, management practices 578 and other human activities on degrees of soil compaction, soil moisture levels and soil 579 permeability and via the effects of different plant species on hydrophobicity severity, 580 switching dynamics and seasonality. Overland flow is consequently of greatest 581 significance in urban landscape units, particularly in winter, when urban soils are often 582 either saturated or bare and compacted, whereas in summer overland flow from impervious 583 or bare areas is reduced by hydrophilic soil patches. Overland flow in the woodland units is 584 in general greatly reduced by vegetation effects on infiltration, but is seasonally enhanced 585 in storms following summer dry periods in eucalypt and pine woodland-sandstone areas 586 because of their severe soil hydrophobicity, but absent in woodland-limestone areas 587 because of the oak woodland land-use. The agricultural-sandstone landscape unit produces 588 very little overland flow because of high infiltration capacities resulting from a combination 589 of land-use and land management practices that do not result in compaction, but mostly 590 because of the sandy soils. In converse fashion, the abandoned field land-use of agricultural-limestone areas probably has the effect of reducing overland flow responses 591 592 from what they would otherwise be with active cultivation, although for lithology-related 593 reasons responses can still be significant particularly in winter wet weather.

594 Differences in temporal variability of soil hydrological properties between landscape units 595 led to spatial fluctuation in overland flow sources and sinks. In wet winter conditions, 596 overland flow is greatest from the urban landscape units and also significant from the 597 agricultural-limestone unit, but comparatively little is generated on the hydrophilic and 598 permeable agricultural-sandstone and woodland units except in the wettest weather. During

599 transitions from wettest to dry conditions, the spatial pattern of response to rainstorms is 600 reversed, with decreasing susceptibility to saturation overland flow as soil moisture 601 declines (particularly in agricultural- and urban-limestone areas) and increasing 602 vulnerability to infiltration-excess overland flow, enhanced by hydrophobicity re-603 establishment (particularly in woodland but also agricultural-limestone units). In summer, 604 overland flow is comparatively low but still greatest in urban-limestone areas and to a 605 lesser extent is also significant in the woodland and agricultural-limestone units because of 606 their hydrophobic condition, but urban-sandstone and agricultural-sandstone areas produce 607 comparatively little overland flow, because of at least locally hydrophilic and permeable 608 surface soils providing overland flow sinks. Finally, in the dry to wet transition of autumn, 609 patterns of overland flow are broadly similar to the wet-to-dry transition, with 610 hydrophobicity (and overland flow responses) becoming most rapidly re-established in 611 eucalypt areas of the woodland-sandstone landscape unit.

612 Spatial variability of soil properties within the same landscape unit, such as particle size 613 and hydrophobicity, provides heterogeneous infiltration capacities, where this particularly 614 applies to (a) the partly bare urban-sandstone unit and (b) the woodland and agricultural-615 limestone units in transitional periods (Figure 9). Soil spots with matrix infiltration capacity 616 lower than rainfall intensity will lead to local infiltration-excess overland flow, which may 617 be infiltrated in surrounding soil spots of greater infiltration capacity. Not all landscape 618 units provided spots with sufficient permeability throughout the year. Urban and 619 agricultural landscape units showed more sites of high permeability after dry periods, while 620 even in the wettest conditions, woodland provided sites of high infiltration capacity. 621 Nevertheless, even the most permeable soil patches could not cope with the maximum rainfall intensity of 15.6 mm h<sup>-1</sup> recorded in the rainstorm of 2<sup>nd</sup> November 2011. Thus 622

623 infiltration-excess overland flow would be expected to occur widely during particularly624 intense storms in all landscape units.

625

### 626 **5.2 Implications for catchment runoff delivery and land management**

The changing nature of overland flow sources and sinks within the catchment can be expected to affect flow connectivity over the hillslope and influence storm runoff delivery to the stream network. Under hydrophobic conditions, infiltration-excess overland flow generated in relatively extensive woodland on steep slopes and on shallow upstream agricultural-limestone soils, may reach the stream network directly or be delivered to the urban cores situated downslope (Figure 2b).

633 Vegetation is widely considered as a key factor interrupting hydrological connectivity (e.g. 634 Bracken and Croke, 2007; Appels et al., 2011). Greater vegetation interception provided by woodland and agricultural-limestone areas, compared with the other land-uses, tends to 635 636 reduce overland flow, though the effect will be marginal in large storm events, when 637 percentage interception is small. The more important effect of interception is in helping 638 (together with transpiration) to reduce antecedent soil moisture levels prior to rainfall 639 events. In central Portugal, Valente et al. (1997) reported relatively high interception losses 640 of 17% in *Pinus pinaster* forest and 11% in eucalypt stands and attributed them to the 641 greater canopy storage and, aerodynamic roughness (and hence higher evaporation rates) 642 of forest covers. In addition, greater litter density and frequency of root holes compared 643 with the other landscape units may lead to enhanced water interception, retention and 644 infiltration, particularly in smaller storm events after dry spells. Surface roughness also 645 enhances water retention and reduces overland flow rates, and promotes discontinuities

646 between overland flow source areas (Rodríguez-Caballero et al., 2012). These 647 infiltration/retention processes operating at larger scales, as well as preferential flow via 648 root-holes and cracks, considerably reduce the risk that overland flow from low permeable 649 soil sites might reach downslope contiguous urban areas and/or the stream network. Although urban soils may provide overland flow sinks, the impermeable tarmac and paved 650 surfaces allow little infiltration, restricting the capacity of these areas to deal with rainfall 651 652 and overland flow from upslope landscape units. Observations in Ribeira dos Covões over 653 three years suggest that only small amounts of overland flow were generated in woodland 654 and agricultural limestone areas, mainly after dry conditions. Nevertheless, preferential 655 flow via macropores can reach streams relatively quickly and thus contribute to the flood peak, as reported in other areas of the world (Uchida et al., 1999; van Schaik et al., 2008; 656 657 Yu et al., 2014).

658 Although not recorded during this study, clear-felling in woodland would cause increased 659 overland flow and water connectivity by providing bare, compacted areas and reducing 660 interception, transpiration and surface roughness. Thus the size and location of clear-felled 661 areas require planning to ensure that most overland flow is intercepted by downslope 662 woodland area sinks in order to reduce flood hazard. Clear-felling should also be timed to 663 avoid storms of early autumn rainy seasons, in view of the greater extent and location of 664 hydrophobic areas at that time (Figure 6). In addition, if forest managers select tree species 665 that release less hydrophobic substances, overland flow may be correspondingly reduced 666 (e.g. Ferreira et al., 2012a).

667 Under wet winter conditions, saturation overland flow becomes more likely in urban and 668 agricultural land-uses, but saturated areas may be more influenced by topography and soil

669 depth than by land-use (Figure 8). Overland flow generated in these landscape units would 670 be delivered mostly to the stream network, but also to downslope woodland and urban 671 cores in the case of upslope saturated shallow soils (Figures 2b and 8). Previous studies 672 reported higher runoff coefficients in shallow soils affecting hillslope runoff connectivity 673 (Kirkby et al., 2002; Easton et al., 2007; Hopp and McDonnell, 2009). In agricultural areas, 674 however, overland flow paths would depend on land management. Land drains, ditches, 675 wheel ruts and roads may enhance flow connectivity, particularly if they are aligned 676 downslope, whereas terracing and stone boundary walls can form traps for water, 677 enhancing infiltration and disrupting flow pathways. Overland flow transfer from 678 agricultural and urban areas to downslope woodland soils when hydrophilic may be 679 dissipated by enhanced infiltration and surface retention. Furthermore, although much of 680 the overland flow from impermeable urban surfaces located in upslope positions (Figure 681 2b) is collected by the urban drainage system and delivered directly into the stream, some 682 reaches nearby soil.

683 Because of the generally low infiltration capacity or saturated condition of downslope 684 urban soil areas, saturation overland flow reaching such areas may be problematic, although 685 this can be offset by spatial differences in modified and unmodified soil properties 686 providing a mosaic of different infiltration capacities. Even if urban soils surrounding 687 impermeable surfaces (e.g. roofs and roads) cannot act as sinks, obstructions (such as 688 buildings and walls) may delay overland flow transfer. This will depend on urbanization 689 style, since extended impermeable surfaces will enhance landscape connectivity, whereas 690 detached houses surrounded by gardens and walls can provide sinks and flow discontinuity.

691 The susceptibility of urban core areas located in topographic lows (Figure 2b) to saturation 692 overland flow and stream flooding may represent a real flood hazard for the inhabitants, 693 particularly considering the scale of recent urban consolidation in the Ribeira dos Covões 694 catchment. This risk may be enhanced by 1) additional overland flow resulting from greater 695 connectivity with upslope areas subject to soil moisture increase and water table rise, and 2) 696 the rapid transfer of most overland flow from upslope impermeable surfaces directly into 697 the stream via the urban drainage system. These may be particularly important in larger 698 storm events, considering the generally low soil permeability across the catchment. 699 According to interviews with older citizens, flooding events were already experienced 700 about 80, 50 and 10 years ago, when the urban area was considerably less extensive than 701 now.

702 Analyses of storm hydrographs of the outlet stream (results not shown) suggest that the 703 actual landscape mosaic of Ribeira dos Covões catchment, comprising extensive woodland 704 areas and large urban areas near the catchment outlet, together with numerous smaller 705 urban areas mainly along ridges and dispersed agricultural fields (Figure 2b), may be 706 sufficient to promote discontinuities to the infiltration-excess overland flow generated by 707 soil hydrophobicity. Thus, in dry settings, rainstorms of 2.8 mm (average) and 14.4 mm (large), recorded on 6<sup>th</sup> August and 1<sup>st</sup> September 2011, promoted runoff coefficients for 708 709 the Ribeira dos Covões stream of only 5% and 2% respectively and peak streamflows of only 0.041 mm h<sup>-1</sup> and 0.036 mm h<sup>-1</sup>, compared with maximum 5-minute rainfall intensities 710 of 2.4 mm h<sup>-1</sup> and 9.6 mm h<sup>-1</sup> respectively. Thus, hydrophobicity over the catchment does 711 712 not translate into catchment-scale overland flow, presumably due to infiltration into sinks 713 downslope. In wet conditions, however, enhanced soil moisture levels seem to increase 714 flow connectivity over the catchment. Thus rainstorms of 2.8 mm and 15.0 mm registered

on 11<sup>th</sup> February and 28<sup>th</sup> March 2011, led to 10% and 9% storm runoff coefficients and 715 peak flows of 0.079 and 0.370 mm h<sup>-1</sup>, compared with maximum rainfall intensities of 9.6 716 mm  $h^{-1}$  in both cases. Although lag times from peak rainfall to peak streamflow are short, 717 718 ranging between 25 and 35 minutes, and probably a direct result of urban surface runoff 719 and the urban drainage system, the overriding feature is the small size of the storm runoff 720 coefficients both during dry and wet times of the year, which shows how little of the rain 721 falling on the peri-urban mosaic actually reaches the stream network. This may reflect in 722 part the ridge location of much of the urban expansion to date and in part a rather high 723 proportion of infiltration into urban soil within the urban units and adjacent landscape units.

The short lag times between rainfall and streamflow peaks in urban areas, however, mean that future urban consolidation and the construction of new urban cores, already proposed, must be planned carefully in order to minimize urban flood hazard. From the hydrological point of view, instead of extending the existing urban cores, it would be better to establish new dispersed urban cores far from the stream network. The maintenance of a patchy mosaic of dispersed landscape units would reduce overland flow and river flood peak responses.

731

## 732 **5** Conclusions

The peri-urban *Ribeira dos Covões* catchment is covered by soils of relatively low matrix infiltration capacity, but of greater permeability on sandstone than limestone, due to the marly nature of the latter. The different landscape units, associated with different land-uses and lithologies, display varying responses of soil hydrological properties to season and to antecedent rainfall with complex consequences for spatial patterns of overland flow and itsflow connectivity. The main findings are:

739 1) In dry conditions, severe hydrophobicity in eucalypt and pine (but not oak) 740 woodland and limestone-agricultural areas (abandoned fields) considerably reduces 741 soil matrix infiltration capacity. In contrast, agricultural-sandstone soils (mainly 742 covered by olives, pasture and gardens) and urban soils remain mostly hydrophilic, 743 and have relatively high infiltration capacities. Under wet conditions, 744 hydrophobicity in woodland and agricultural-limestone areas breaks down and infiltration capacity increases, reaching 6 mm h<sup>-1</sup>. In contrast, on urban and 745 746 agricultural sites, a rise in soil moisture leads to a decline in infiltration capacity, 747 with soil saturation in areas of shallow soils and high water tables on hillslopes, in 748 topographic lows and in valley bottoms.

749 2) Temporal variability of soil hydrological properties indicates that, in dry conditions, 750 hydrophobicity-related infiltration-excess overland flow may be generated in 751 woodland and agricultural-limestone areas, while in wet conditions saturation is 752 likely in some locations on urban and agricultural soils. Nevertheless, soil property 753 heterogeneity and the distinct temporal pattern of infiltration capacity indicate that 754 much overland flow must be infiltrating before reaching the stream network in 755 patches of unsaturated soil of relatively high permeability, either within the same 756 landscape unit or on adjacent landscape units.

757 3) Despite the generally low soil matrix infiltration capacity across the catchment, 758 macropores, vegetation, litter and surface roughness play important roles in surface 759 water retention and facilitating infiltration. Nevertheless, these processes are 760 influenced by the different landscape units, which provide overland flow sinks with 761 differing temporal regimes. Because of this, a patchy mosaic comprising fragmented 762 and dispersed land-uses, and the tendency for much of recent urbanization to have 763 occurred along ridges, have to date led to relatively low flow connectivity over 764 hillslopes, thereby attenuating river discharge peaks.

Understanding how the spatial and temporal variability in overland flow generation and infiltration affect flow connectivity in a catchment with varied land-use, geology and soils is vital for predicting flood hazards. Landscape managers and urban planners should employ a mosaic of different land-uses, where impermeable surfaces are joined hydrologically to infiltration-promoting "green" areas, in order to prevent or reduce downstream flooding. There need to be informed decisions about the precise spatial arrangement of different land-uses.

772

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Figure 1 – Average monthly rainfall and temperature at Coimbra (Bencanta weather station), calculated from data regarding to the period 1941-2000 (INMG, 1941-2000).



Figure 2 - Ribeira dos Covões catchment: (a) topography, lithology and streams; (b) land-

use in 2009 and location of the study sites.



Figure 3 – Soil properties in different landscape units: a) organic matter content at the surface (0-5cm) and b) subsurface (5-10cm), c) bulk density (0-10cm), d) porosity (0-10cm), e) particle size distribution of surface (0-5cm), and f) subsurface soil (5-10cm) (W: woodland, A: agricultural, U: urban, S: sandstone, L: limestone).



Figure 4 – Daily rainfall and mean daily temperature during the monitoring period September 2010 – May 2011 with dates of field measurements.


Figure 5 – Temporal variability of surface hydrophobicity for individual landscape units: a) woodland-sandstone, b) woodland-limestone, c) agricultural-sandstone, d) agricultural-limestone, e) urban-sandstone, f) urban-limestone.



Figure 6 – Spatial variation of median soil hydrophobicity at the measurement dates, based on the Thiessen polygon method.



Figure 7 – Box-plots of soil moisture content for the different landscape units for the study period (W: woodland, A: agricultural, U: urban, S: sandstone, L: limestone). Horizontal dashed lines represent median soil moistures across the catchment, for the 9 measurement dates.



Figure 8 – Spatial distribution in median soil moisture content for each the measurement date, using the Thiessen polygon method.



Figure 9 – Box plots of temporal variability of matrix soil infiltration capacity for each landscape unit: a) woodland-sandstone, b) woodland-limestone, c) agricultural-sandstone,d) agricultural-limestone, e) urban-sandstone, f) urban-limestone.



Figure 10 - Spatial variation in median matrix soil infiltration capacity at each measurement date, using the Thiessen Polygon method.

	Total rainfall	A	Anteceden	t rainfall (n	nm)	Maan temperatura
Measurement	between					
date	measurements	2 days	5 days	10 days	30 days	during previous 5
	(mm)		e aajs	10 44,5	20 44 5	days (°C)
30/09/2010	-	0.0	0.0	0.0	5.0	18.9
15/10/2010	72.6	0.0	0.2	53.8	72.6	16.7
02/11/2010	77.2	1.2	75.4	77.2	131.6	14.1
23/11/2010	66.0	0.4	9.6	49.0	141.8	11.4
03/01/2011	161.5	0.5	26	30.2	131.5	12.3
24/01/2011	82.8	0.7	2.6	12.3	112.5	6.9
21/03/2011	97.0	0.2	0.2	15.8	19.8	13.1
09/05/2011	72.3	0.2	3.1	12.5	47.2	16.3
13/06/2011	37.0	0.0	0.0	0.0	37.0	18.1

Table 1 – Rainfall and mean temperature in the days prior to measurement dates.

Table 2 – Principal Component Analysis results considering only hydrophobicity at different depths and soil moisture variables.

Factors	FC 1
Hydrophobicity (0cm)	0.780
Hydrophobicity (2cm)	0.894
Hydrophobicity (5cm)	0.893
Soil moisture (0-5cm)	-0.595
Cumulative variance explained (%)	64.0

Table 3 - Principal Component Analysis results including hydrophobicity, soil moisture and soil properties at different depths.

Factors	FC 1	FC 2	FC 3
Hydrophobicity (0cm)	-0.108	0.772	-0.230
Hydrophobicity (2cm)	-0.297	0.809	-0.214
Hydrophobicity (5cm)	-0.298	0.777	-0.314
Soil moisture (0-5cm)	0.378	-0.342	0.518
Organic matter content (0-5 cm)	0.044	0.622	0.627
Organic matter content (5-10 cm)	0.247	0.580	0.652
Coarse sand (0-5 cm)	-0.831	-0.163	-0.075
Coarse sand (5-10 cm)	-0.907	-0.150	0.169
Silt (0-5 cm)	0.870	0.183	0.006
Silt (5-10 cm)	0.906	0.170	-0.173
Clay (5-10 cm)	0.714	-0.100	-0.454
Cumulative variance explained (%)	36.3	61.9	76.0

2	Spatiotemporal variability of hydrologic soil properties and the implications for
3	overland flow and land management in a peri-urban Mediterranean catchment
4	
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#### 21 ABSTRACT

22 Planning of semi-urban developments is often hindered by a lack of knowledge on how 23 changes in land-use affect catchment hydrological response. The temporal and spatial 24 patterns of overland flow source areas and their connectivity in the landscape, particularly 25 in a seasonal climate, remain comparatively poorly understood. This study investigates 26 seasonal variations in factors influencing runoff response to rainfall in a peri-urban 27 catchment in Portugal characterized by a mosaic of landscape units and a sub-humid 28 Mediterranean climate. Variations in surface soil moisture, hydrophobicity and infiltration 29 capacity were measured in six different landscape units (defined by land-use on either 30 sandstone or limestone) induring nine monitoring campaigns at key times over a one-year period. 31

32 Spatiotemporal patterns in overland flow mechanisms were found. Infiltration-excess 33 overland flow was generated in rainfalls during the dry summer season in the 34 forestwoodland on both sandstone and lime-stone and on agricultural soils on limestone, 35 due probably in large part to soil hydrophobicityto soil hydrophobicity. In wet periods, saturation excesssaturation overland flow occurred on urban and agricultural soils located 36 37 in valley bottoms and on shallow soils upslope. Topography, water table rise and soil depth 38 determined the location and extent of saturated areas. Overland flow generated in upland 39 upslope source areas potentially can infiltrate in other landscape units downslopehill where 40 with infiltration capacity exceedsin excess of the rainfall intensityies. Hydrophilic urban 41 and agricultural-sandstone soils were characterized by increased infiltration capacity during 42 dry periods, while forest soils provided potential sinks for overland flow when hydrophilic 43 in the winter wet season. Identifying the spatial and temporal variability of overland flow

sources and sinks is an important step in understanding and modelling flow connectivity
and catchment hydrologic response. Such information is important for land managers in
order to improve urban planning to minimize flood risk.

Keywords: soil moisture, soil hydrophobicity, infiltration capacity, Mediterranean, spatial
and temporal variability, landscape units, overland flow, flow connectivity, urban
hydrology.

50

## 51 **1.** Introduction

52 Land-use changes associated with urbanization strongly affect hydrological processes. 53 Research into the hydrological effects of urbanization has focused on its impact on runoff 54 processes, but conclusions have proved difficult to extrapolate because of the complex 55 interplayaction of such parameters likeas climatic setting (Boyd et al., 1993; Costa et al., 2003), geologically-controlled topography (Wilson et al., 2005), soil properties (López-56 57 Vicente et al., 2009; Hardie et al., 2011), vegetation and land-use (Mallick et al., 2009), 58 including land-use change history, and the percentage of impervious terrain-surface and its 59 spatial arrangement (e.g. Konrad and Booth, 2005). Variation in <u>Tthe combined effect of</u> 60 these factors is arguably the main reason for observed differences inone of the most important factors related to impact of urban land-use change-impacts on hydrology. 61 62 The combined effect of these factors is one of the most important factors related to land-use

ehange impacts on hydrology. In addition, sSoil of the most important factors related to hard use
 ehange impacts on hydrology. In addition, sSoil moisture, linked to storage capacity, is
 recognized as a major runoff-controlling factor, particularly in a Mediterranean climate
 (Cerdà, 1997). Its seasonal variability can mean that greater rainfall intensity is required for

overland flow initiation in summer than in winter (Cammeraat, 2002). When saturationexcesssaturation overland flow mechanisms are involved, the influence of soil moisture is
more varied and not entirely understood, particularly in urbanizing catchments where its
spatial and temporal variability are rarely reported (Easton et al., 2007).

70 Although Tthere have been many studies of soil hydrophobicity and its impacts on 71 infiltration and overland flow in a range of seasonal and sub-humid environments (e.g. 72 Glenn and Finley, 2010; Carrick et al., 2011; Orfánus et al., 2014), but-in areas of Mediterranean climate they have been mainly focussedeonfined to on forested terrain 73 74 locations (e.g. Doerr et al., 1996, 1998, 2000; Varela et al., 2005; Keizer et al., 2008; 75 Shakesby, 2011; Neris et al., 2013; Nyman et al., 2014). Furthermore, rRelatively little, 76 however, is known about 'switching' between hydrophobic and hydrophilic conditions in 77 dry and wet periods respectively and the net effects on catchment hydrological response in 78 areas affected seasonally by soil hydrophobicity (Leighton-Boyce et al., 20022005). In 79 hydrological modelling of urbanizing areas, the phenomenon has not even been considered.

80 The seasonal and spatial variability of soil moisture and hydrophobicity on heterogeneous 81 landscapes affects overland flow sources and sinks, and is critical in understanding flow 82 transfer between different landscape units (Kirkby et al., 2002; Bull et al., 2003). Relatively 83 little research into such hydrological effects has been carried out in Mediterranean environments, so the impact of marked seasonal changes on runoff processes is not well 84 understood. This is even truer of peri-urban areas, which represent the transition zone 85 86 between urban and rural environements on the outskirts of cities and which often comprise 87 a mosaic of land-use types. Here, better understanding of the interplay between of these factors would help in the prediction of to predict the flow response and estimation of
estimate the overland flow amount reaching any point in a catchment (Borselli et al., 2008).

90 This paper focuses on temporal and spatial variations in key soil hydrological properties 91 (soil moisture, hydrophobicity and infiltration capacity) in different land-uses in a small, 92 peri-urban, partly limestone, partly sandstone catchment in central Portugal. The catchment 93 has changed rapidly from agricultural land and forestry to a discontinuous urban fabric, 94 with urban patches interrupting both woodland and semi-abandoned agricultural terrain. 95 The urban areas comprise a complex mosaic of tarmac, gardens and walls, in addition to 96 buildings and derelict ground. The distinctive mosaic pattern of the catchment is typical of 97 Portuguese urbanization. Specific aims of the paper are to: 1) assess spatial and temporal 98 variability of hydrological soil properties in different land-uses/lithology landscape units in 99 the catchment; 2) identify seasonal changes in overland flow sources; 3) evaluate the 100 impact of landscape units (characterized by different land-uses and lithologies) on flow 101 connectivity and streamflow response; and 4) explore implications of urbanizing mosaics 102 for landscape management and urban planning, especially with respect to streamflow 103 regimes and flood risk.

104

#### 105 2. Study area

The study site is the S-N elongated *Ribeira dos Covões* catchment (40°13'N, 8°27'W; 6.2 km<sup>2</sup>) in the suburbs of Coimbra, the largest city of central Portugal. The climate (as recorded at Bencanta, 0.5 km north of the catchment boundary) is humid Mediterranean, with a mean annual temperature of 15°C, a mean annual rainfall of 892 mm (INMG, 1941-2000), hot and dry summers (only 8% of rainfall in the months June-August) and wet 111 winters (Figure 1). The main watercourse is perennial, supplied by several springs, and 112 there are several smaller ephemeral tributaries (Figure 2). The geology (Figure 2a) comprises Jurassic dolomitic and marly limestone in the east (49% of the catchment area), 113 114 and Cretaceous and Tertiary sandstones, conglomerates and mudstones in the west (47% of the area), with some Pliocene-Quaternary sandy-conglomerate (sediment colluvium) and 115 116 alluvial deposits (4% of the area) in the main valleys. Soils are generally deep (>3m) 117 Cambisols and Podzols (Tavares et al., 2012). Only on steeper slopes in the northwest is soil depth less than  $\leq 40$  cm. Altitude ranges from 29m to 201m. The average slope is 9°, but 118 119 with a few slopes reaching up to 46°.

120 The catchment, totally rural until 1972, underwent discontinuous urbanization in 1973 -121 1993, followed by urban consolidation after 1993 (Tavares et al., 2012). The agricultural 122 area, mainly olives and arable land, declined from 48% in 1958 to 4% of the catchment in 123 2009. Woodland increased from 46% to 66% over the same period, changing also in nature 124 from Quercus suber and mixed woodland to large commercial plantations of pine (Pinus 125 pinaster) and eucalyptuseucalypt (EucalyptusEucalypt globulus) (Tavares et al., 2012). 126 Urban land-use increased from 6% in 1958 to 30% in 2009 (Figure 2b), of which 14% comprised impervious surfaces and 16% urban soil. The result was a mosaic of resulting in 127 128 older urban cores, with detached houses and gardens, and newer apartment blocks. There 129 are also a few small industrial premises, recreational areas and an enterprise park begun in 130 2009. Urban storm runoff (from roofs, streets and concrete paved areas) is either piped to 131 tributaries or flows directly towards the stream network. Where urban buildings and derelict 132 urban land are surrounded by fields, however, stormwater is not controlled.

133

#### 134 **3. Methodology**

#### 135 **3.1 Research design**

136 A network of 31 representative sites was established in the catchment to assess 137 hydrological properties of the six different land-use/lithology combinations or "landscape 138 units" (Figure 2b). There were: 1) 11 sites in woodland, 9 being on sandstone (dominated 139 by eucalyptuseucalypt, pine and mixed deciduous forest), and 2 on limestone (in small 140 areas of oak and mixed deciduous woodland); 2) 11 sites on agricultural fields, including 5 141 on sandstone (dominated by light grazing pasture, small olive groves and minor cultivated 142 patches) and 6 on limestone (in olive groves and abandoned fields undergoing natural 143 succession); and 3) 9 sites on uncultivated urban soil, 4 on sandstone (mainly-bare soil sites 144 associated with construction and open spaces with ground vegetation between houses) and 145 5 on limestone (mainly derelict spaces between houses and between houses and roads).

At each site, soil moisture content, hydrophobicity and soil matrix infiltration capacity were monitored 9 times between September 2010 and June 2011, to cover a representative range of antecedent weather and seasonal conditions, including prolonged periods of wet weather and long dry spells. <u>Temperature and rainfall data during the study period were provided by</u> the national meteorological weather station 12G/02UG, located at Bencanta, 0.5 Kkm <u>Nnorth of the study catchment.</u>

Replicate measurements of soil hydrological properties, spaced approximately 1m apart,
were <u>carried outperformed</u> at each site. In total, 558 measurements of each parameter were
obtained.

155 Three soil samples (c. 100g each) were collected on the nine occasions at each site to assess 156 surface soil moisture (0-5cm depth). Additional soil samples were taken at all sites on 23<sup>rd</sup> November 2010 to determine dry bulk density, rock fragment content, organic matter and 157 158 particle size distribution. The excavation method (15×15cm and 10cm depth) was used for 159 bulk density and rock fragment analyses (three samples per location) (Dane and Topp, 160 2002). Composite samples were also collected at depths of 0-5cm and 5-10cm for organic 161 matter and particle size distribution analyses. Each composite sample comprised 17 sub-162 samples collected at 15cm intervals along a 2.4m transect at each site.

163

#### 164 **3.2 Field methods and procedure**

165 Soil matrix infiltration capacity was measured using a Minidisk Tension Infiltrometer (Decagon Devices; 4.5cm diameter and pressure head of -3.0cm). Before measurements, 166 ground vegetation was trimmed and surface litter carefully removed. Following preliminary 167 168 trials, measurements were taken over 30 minutes by which time steady-state conditions 169 were assumed to have been reached. Unsaturated hydraulic conductivity was calculated 170 using published guidelines (Zhang, 1997; Li et al., 2005; Decagon, 2007). Infiltration 171 capacity, however, was calculated from the final 10 minutes of data (i.e. when the values 172 were judged to have stabilized). Taking all measurements as recommended by Decagon 173 (2007) would have given spurious values due both to initially high infiltration in 174 hydrophilic soils and to delayed infiltration when soils were hydrophobic.

Near each infiltrometer location, soil hydrophobicity was assessed at depths of 0, 2 and
5cm using the Molarity of an Ethanol Droplet (MED) technique (Letey, 1969; Doerr et al.,

8

177 1998). Fifteen drops of <u>pure distilled</u> water and then progressively higher concentrations of
ethanol were applied until the lowest concentration was identified at which at least 8 out of
179 15 drops were absorbed within 5 seconds. Ethanol concentrations of 0, 3, 5, 8.5, 13, 18, 24
and 36 percent by volume were used. The soil was considered wettable (<u>hydrophilic</u>) when
pure distilled water drops infiltrated within 5 seconds. <u>The</u>; <u>hydrophobicity</u> classes <u>of levels</u>
of <u>hydrophobicity</u> used were: low for 3 and 5% ethanol, moderate for 8.5 and 13%, severe
for 18 and 24%, and extreme for 36% (Doerr <u>et al.</u>, 1998).

184

## 185 3.3 Laboratory methods

186 Soil physical properties (bulk density, rock fragmenteontent, organic matter content and 187 particle size) were analysed using standard methods (Dane and Topp, 2002). Bulk density 188 was obtained from undisturbed samples dried at 105°C. Disturbed soil samples were ovendried at 38 °C until a constant weight was reached, and the <2mm fraction extracted. The 189 190 >2mm rock fragment content was calculated as a percentage of the total dry soil sample 191 weight. The organic matter content was analyzed by oxidation at 600°C and detected by 192 close infra-red, using SC-144DR equipment (Strohlein Instruments). Porosity was 193 calculated from the dry bulk density and the organic matter content according to methods recommended by Dane and Topp (2002), assuming a soil mineral soil-particlebulk density 194 of 2.65 g cm<sup>-3</sup> and organic matter bulk density of 0.90 g cm<sup>-3</sup>. The particle size distribution 195 196 of the minerogenic component of the soil samples was determined where organic matter 197 content was > 2% either by: 1) by oxidation using hydrogen peroxide (6%), for samples with organic matter contents of -2-4%; or 2) heating to 550°C for samples with higher 198

values. The samples were then dispersed using Na-hexametaphosphate and the ultrasonic
method (Dane and Topp, 2002). Particle size distribution was subsequently determined
using a combination of sieving, gravity sedimentation and pipette analysis. Soil texture
classes were based on the ISSS international classification (Soil Survey Division Staff,
1993).

Soil moisture content was assessed on each measurement occasion by the thermogravimetric method following oven-drying at 105°C. Soil saturation was than estimated by dividing the volumetric water content (estimated from gravimetric water content and bulk density) by porosity.

208

#### 209 **3.4 Data analysis**

210 The statistical significance of soil property differences between the land-use/lithology 211 landscape units was investigated first using the non-parametric Kruskal-Wallis H test 212 (SPSS 17.0). Where significant differences between units were identified, the Least 213 Significant Difference (LSD) Post-Hoc test was applied to identify distinct units or groups 214 of units. The same tests and procedure were applied to differences in soil hydrological 215 properties between measuring dates. A 95% level of significance (p<0.05) was used. In 216 addition, Pearson-r correlation coefficients were calculated to assess linear relationships 217 between: 1) soil properties (organic matter content, bulk density and particle size) and soil 218 moisture, soil hydrophobicity and infiltration capacity (n=64); and 2) antecedent weather 219 and soil hydrological properties on each monitoring occasion. Principal Component Analysis was used to quantify the infiltration variance explained by the correlated variables. Although the data were not normally distributed, it was considered useful to apply this technique for explorative purposes to improve understanding of the controls on overland flow. Spatial patterns of hydrological soil properties were analyzed using geostatistical methods, based on Thiessen Polygons, carried out using ArcGIS 9.3 software.

225

## 226 4. Results and analysis

#### 227 4.1 Soil properties

Soil organic matter was generally higher and more consistent for surface (0-5cm) than subsurface soil (5-10cm) (Figures 3a and 3b). For both soil depths, organic matter content increased from urban (1-3%) to agricultural (3-9%) and woodland soils (averaging 7% and 14% on sandstone and limestone, respectively). In the woodland and agricultural-limestone landscape units, organic matter was highly variable, but greater than in agriculturalsandstone and urban soils (p<0.05).

Bulk density increased from woodland (0.7 g cm<sup>-3</sup>) to agricultural (1.0 g cm<sup>-3</sup>) and to urban soils (1.2 g cm<sup>-3</sup>) (Figure 3c). In woodland and urban soils, bulk density was similar on both lithologies (p>0.05), but it was higher for agricultural-sandstone than agriculturallimestone soils (median values of 1.1 g cm<sup>-3</sup> and 0.9 g cm<sup>-3</sup>) (p<0.05). Values for the latter were similar to woodland, whereas agricultural-sandstone values were similar to urban soils (p>0.05). Bulk density decreased with <u>as</u> soil organic matter <u>increased</u> (r==-0.341, p<0.001). 241 Soil porosity ranged <u>-frombetween</u> 40 andto 65% (Figure 3d) with generally lower values 242 for urban soils, despite no significant difference (p>0.05). Greater heterogeneity was found for  $\frac{1}{100}$  agricultural soils, with higher values on limestone than sandstone (p<0.05). Rock 243 244 fragment content ranged from 14 to 57% and was similar amongst landscape units (p>0.05). Particle size varied between individual sites (Figure 3e and 3f), but not between 245 246 landscape unit averages (p>0.05), with sandy-loam and loamy-sand textures dominating. 247 Particle size distribution affected bulk density, which increased with larger coarse sand (r=0.189, p<0.001) and clay fractions (r=0.115, p<0.001), and diminished with larger fine 248 249 sand (r=-0.287, p<0.001) and silt fractions (r=-0.190, p<0.001).

250

## 251 **4.2 Antecedent weather conditions**

Overall rRainfall and temperature patterns\_during the monitoring period are shown in
Figure 4 and antecedent conditions for each measurement date are summarized in Table 1.
Antecedent 30-day rainfall ranged from 5.0mm (30/09/2010) to 141.8mm (23/11/2010).
Antecedent 5-day rainfall ranged from rainless (prior to 30/09/2010 and 13/06/2011) or
trace (0.2mm prior to 15/10/2010 and 24/01/2011) to 26.0mm (prior to 03/01/2011) and
75.4mm (prior to 02/11/2010).

258

## 259 4.3 Soil hydrophobicity

260 Soil hydrophobicity varied greatly in severity and %-frequency both between landscape 261 units and with season and antecedent weather (Figures 5 and 6). Surface (0cm) and 262 subsurface (2cm and 5cm) soil (results not shown) exhibited similar spatial and temporal trends. Hydrophobicity increased with temperature (r=0.337, p<0.001) and decreased with antecedent 2- and 30-day rainfall (r=-0.298 and -0.373 respectively, p<0.001). The area affected by hydrophobicity was larger in summer (50% of all measurement sites) and <u>hydrophobicity was more severe in summer</u> than in winter. <u>if</u> t disappeared in late November and January, except at woodland-sandstone sites (<20% of all sites).

268 Hydrophobicity was of greater severity and spatial extent in covered larger areas of 269 woodland, where after dry spells it required several rainfall events to lessen its impact, particularly on sandstone (Figures 5a and 5b). At agricultural sites especially on limestone 270 271 (Figures 5c and 5d), hydrophobicity was also present in dry periods but was less severe 272 than on woodland and rapidly decreased in percentage<sup>46</sup> frequency following rainstorms 273 and disappeared vanished in wetter periods. Urban soil was mostly hydrophilic wettable 274 (Figures 5e and 5f), with hydrophobicity only affecting a minority of sites even in the driest 275 periods. Re-establishment of hydrophobic conditions in dry weather also varied with land-276 use, being rapid in woodland, particularly on sandstone where it re-appeared by 24 January 277 2011, but far slower on agricultural and urban soils, where it was absent until March 2011. 278 Significant differences between woodland and urban soils were found (p<0.05).

A positive correlation was identified between hydrophobicity severity and organic matter content (r=0.308 for surface and 0.345 for subsurface soil, p<0.001). Hydrophobicity was correlated with particle size, increasing with surface fine sand (r=0.197, p<0.001) and decreasing with subsurface clay fraction (r=-0.226, p<0.001). This was<sub>7</sub> reflected also in a negative correlation with bulk density (r=-0.240, p<0.001). Hydrophobicity was also found to be inversely correlated increased with decreased soil moisture (r=-0.363, p<0.001, n=558). Nevertheless, hydrophilicwettable conditions were recorded at least at some locations in all agricultural and urban landscape units over the rangeat all of soil moisture
contents recorded, whereasexcept in woodland where soil was invariably hydrophobic at
contents below 20%. There seemed to be no particular moisture threshold, although at 75%
of the measurement sites, at least low hydrophobicity was characteristic below 45% soil
moisture. Hydrophobicity, however, was recorded at a few woodland sites with 70% soil
moisture.

292

## 293 4.4 Soil moisture

Surface soil moisture varied with antecedent weather (Figures 7 and 8), increasing after rainfall (although correlations were weak: r=0.375, 0.168, 0.258 and 0.541 with -2\_, 5-, 10and 30\_-day antecedent rainfall, respectively, p<0.001), and declining with higher temperature (r=-0.593 with values in previous 5 days, p<0.001). During summer and after long rain-free periods (30/09/<del>3010-2010</del> and 13/06/2011), soil became dry (<20% moisture) across the catchment.

300 Land-uses responded differently to rainfall, and limestone areas generally had higher soil moisture than sandstone areas. This was very pronounced on 2<sup>nd</sup> November 2010 (Figure 301 302 7). Soil moisture was generally lower in urban sandstone soils throughout the year, but also on woodland sandstone -in winter and in, dry-wet and as well as wet-dry transition and in 303 304 dry to wet transition periods and vice versa. Indeed, the lowest post-summer (30/09/2010) 305 median soil moisture content was recorded in woodland -sandstone areas, where it persisted 306 until late autumn (23/11/2010). Conversely, agricultural and urban limestone soils generally 307 exhibited higher moisture contents, especially in the wettest periods, when soil saturation 308 occurred at a few valley-floor agricultural and urban soil-sites near streams (Figure 8). Nevertheless, the locations and sizes of wettest areas in *Ribeira dos Covões* changed
through time, and few high soil moisture values were recorded occasionally at a minority of
woodland sandstone sites in winter. In general, soil moisture content increased with higher
greater silt (r=0.220, p<0.001) and clay (r= 0.163, p<0.001) fractions.</li>

313

## 314 4.5 Infiltration capacity

315 Soil matrix infiltration capacity in the Ribeira dos Covões catchment was generally low, 316 despite occasional higher values (Figures 9 and 10). In general, sandstone soils recorded greater permeability than limestone soils. Land-use also affected infiltration capacity but 317 318 differences varied with season and weather (Figure 9). Generally, woodland recorded higher values in wet than dry periods (p < 0.05), with median values increasing from 0.1 -319 0.2 mm h<sup>-1</sup> on 13/06/2011 and 30/09/2010 to 2.8 mm h<sup>-1</sup> on 03/01/2010. Nevertheless, after 320 321 the summer, higher infiltration capacity in woodland occurred earlier on limestone than 322 sandstone. Urban soils showed the opposite trend (p<0.05), with median infiltration capacity diminishing from 2.6 mm h<sup>-1</sup> on 13/06/2011 and 3.1 mm h<sup>-1</sup> on 30/09/2010 to 1.4 323 mm  $h^{-1}$  on 03/01/2010, withand showing slightly higher values on sandstone than on 324 limestone. In agricultural areas, the fall in median infiltration capacity (from 2.5 mm h<sup>-1</sup> on 325 30/09/2010 to 0.8 mm h<sup>-1</sup> on 03/01/2010) was not n-statistically significant. 326

Infiltration capacity increased with sand content (r=0.228 and r=0.201 for surface and subsurface soil respectively, p<0.001), but decreased with clay fraction (r=-0.140 for subsurface soil, p<0.001) and organic matter (r=-0.149, p<0.001). Statistically significant correlations were also found between infiltration capacity and hydrophobicity (r=-0.314 and -0.111 at 0cm and 2cm depth respectively, p<0.001), as well as soil moisture (r=-0.117,</li>
p<0.001).</li>

333	Generally, infiltration capacity was significantly correlated with hydrophobicity and soil
334	moisture, but the lower correlation coefficients may be because infiltration capacity was
335	only calculated during the last 10 minutes, and hydrophobicity and soil moisture were
336	measured separately on adjacent soil. Nevertheless, Principal Component Analysis (PCA)
337	showed that despite the complex interaction between hydrophobicity and soil moisture,
338	these variables together explain 63% of total infiltration capacity variance (Table 2). When
339	particle size characteristics (surface and subsurface coarse sand and silt fractions, and
340	subsurface clay) and organic matter content (surface and subsurface) are considered, the
341	three component variables together explain 76% of infiltration variance (Table 3).
342	However, the results of PCA must be interpreted as only indicative, since the variables do
343	not follow the normal distribution that is strictly required by the approach.
344	

# 345 **5.** Discussion

346 347	5.1 Characteristics of the landscape units and their influence on overland flow
348	Interpretation of soil properties
349 350	5.1.1 Organic matter, bulk density and particle size <u>Woodland landscape units</u>
351 352	Woodland environments showed the highest soil organic matter content over the catchment.
353	The high variability of this soil property within woodland areas may be due to differences
354	in tree species and management practices, affecting the litter layer thickness. The lower
355	organic matter of Eeeucalypt-dominated than other woodlands-areas tended to have
356	relatively low organic matter, possibly may reflecting (a) periodic understorey clearance to

357	help prevent wildfires and (b), but also low understorey vegetation caused by reduced water
358	availability (DeBano, 2000). The denser root system associated with larger vegetation cover
359	may favoured low values of soil bulk density. The generally low values of soil bulk density
360	in woodland units may be the outcome of higher organic matter in woodland soils than in
361	soils of the other landscape unitswoodland units may the outcome of the higher organic
362	matter than of other landscape units and the denser root systems associated with a tree
363	cover. Reduced bulk density is also characteristic of was reported in soils with greater
364	organic matter, since it helps the formation of soil aggregates and structure (Celik et al.,
365	<u>2010).</u>
366	Denser vegetation cover, however, provided The greatest soil hydrophobicity of woodland
367	units can be linked to the species involved and their organic matter produced. Despite all
368	the land uses revealed greaterSeasonal changes in hydrophobicity, with high values in
369	summer and considerable disappearance in winter, this seasonal variability was more
370	pronouncedevident in woodland areas. than other landscape units and is in accordance This
371	seasonal pattern of hydrophobicity accords with previous studies (e.g., Dekker and
372	Ritsema, 1994; Doerr et al., 2000; Martínez-Zavala and Jordán-López, 2009). Nonetheless,
373	<u>wWithin woodland, however, hydrophobicity was more extensive, and severe and</u>
374	persistent in sites overlaying sandstone than limestone (Figures 5a and 5b). Thus in
375	woodland-sandstone areasaareas a larger number of rainfall events were required for the
376	soil to become hydrophilic, and even during the wettest periods, hydrophobicity persisted in
377	a few soil sites. This is probably because Vegetation density and type is apparently
378	important in accounting for differences in spatiotemporal patterns of hydrophobicity, since
379	sandstone areas were mainly dominated by eucalypt and pine plantations, whereas on
380	limestone, oak is more dominantand pine were more representative. In the woodland

381	sandstone areas, larger number of rainfall events were also required for the soil became
382	hydrophilic, and even during the wettest settings, hydrophobicity persisted in few soil
383	spots. Hydrophobicity is caused, notably, by the hydrophobic substances released by
384	vegetation. The type of resins, waxes and aromatic oils of produced by eucalypt (Doerr et
385	al., 1998; Jordán et al., 2008) woodland-is thought to have caused hydrophobicity to be
386	more extensive and resistant than in the other woodland stands, with hydrophobicity -
387	Previous studies reported hydrophobicity, particularly in eucalypt stands, was able to
388	persist following rainfall of as much as 200mm in 2 months (Ferreira, 1996; Doerr and
389	Thomas, 2000). In contrast, Iin woodland-limestone areas, hydrophobicity was less severe
390	and easier to switch to hydrophilic conditions because -oak, which is not usually associated
391	with hydrophobic soil (Zavala et al., 2009), is the dominant vegetation.
392	Generally, woodland areas were also characterized by a quicker re-establishment of
393	hydrophobic conditions after rainfall events, comparing with the other landscape units,
394	particularly under eucalypt plantations. The rate of re-establishment would depend on the
395	biological productivity of the ecosystem (Doerr and Thomas, 2000; Hardie et al., 2012), the
396	type of hydrocarbon substances produced and microbial activity (Keizer et al., 2008).
397	Santos et al. (in press) report greater dynamism, and more frequent hydrophobic conditions
398	in eucalypt than in pine.
399	Results from Ribeira dos Covões showed a positive correlation between hydrophobicity
400	severity and organic matter content, which may also explain the greater hydrophobicity
401	within woodland areas. This tallies with findings elsewhere (e.g. Dekker and Ritsema,
402	2000), but organic matter type and quality are more important than amount as demonstrated
403	by the differences between woodland species.

404	Nevertheless, differences in hydrophibicityparticle size between sandstone and limestone,
405	may also be linked towith differences in particle size, hydrophobic conditions, considering
406	given the statistically significant (albeit weak) positive correlation found between
407	hydrophobicity and sand-fraction. Thise correlation has was-also been recordedobserved
408	elsewhere-by other authors (e.g. DeBano, 1991; McKissock et al., 2000), although a few
409	studies andhave reported hydrophobicity inunder finer-textured soils (e.g. Doerr and
410	<u>Thomas, 2000).</u>
411	The higher evapotranspiration associated with a forest Greater vegetation cover (e.g.
412	Holden, 2008)-and particularly trees, are accomplished with high evapotranspiration, may
413	explaining the lowest soil moisture contents recordedobserved during dry periods in
414	woodland, compareding with in the other land-uses (Figure 7)-, Greater interception
415	provided by woodland would be particular importance, in percentage terms, in small
416	rainfall events (Holden, 2008). Between transition periods of dry to wet settings and vice
417	versa, though shading byand ground vegetation and litter covers can reduces soil moisture
418	loss in warm, sunny conditions. The Mmore intenseover, hydrophobic conditions in
419	eucalyptuseucalypt and pine woodland, by hindering infiltration, can cause lower soil
420	moisture (Dekker and Ritsema, 1994; Doerr and Thomas, 2000), might also possibly help to
421	explaining the lower soil moisture results recorded in woodland-sandstone compared with
422	limestone when changing at times of transition from dry to wet conditions (15/10/2010 and
423	02/11/2011). The weak, albeit significant correlation found between hydrophobicity and
424	soil moisture can be attributed to spatial heterogeneity and the unavoidable separation of
425	hydrophobicity and moisture measurement points (since ethanol drops would affect
426	moisture content).

427	Despite the inverse correlation found between hydrophobicity and soil moisture content in
428	the woodland units, no soil moisture threshold seems to determine the switching pattern
429	between hydrophobic and hydrophilic soil properties. This accords with Previous studies
430	elsewhere also showed the inconsistent results recorded elsewhere. and denoted that the
431	existence of a threshold may be illusive, despite useful to understand hydrophobicity and
432	their potential impacts on hydrological processes. Thus Iin field experiments in Portugal,
433	Leighton-Boyce et al. (2005) reported no threshold for up to 50% soil moisture content,
434	whereas Doerr and Thomas (2000) found one at 28%. Reports of thresholds outside
435	Portugal vary from 21% for medium-textured soils in SE Spain (Soto et al., 1994), to 38%
436	for Dutch clayey peats (Dekker and Ritsema, 1994) and 50% for some organic-rich
437	Swedish soils (Berglund and Persson, 1996).
129	The sessenal changes lower water effinity provided by greatest in hydrophobicity of
430	The seasonal changes tower water anning provided by greatest in injurophobicity of
439	woodland areas would explain seasonal contrast in could have led to limited infiltration
440	capacity during dry periods. UThus, under driest conditions, when hydrophobicity is
441	widespread on woodland soil, and measured infiltration capacity wasis minimal, whereas.
442	However, in wettest conditions, the limited spatial extent of hydrophobicity allowed
443	infiltration capacity of woodland sites to attain the highest values within Ribeira dos
444	Covões. Nevertheless, the low inverse correlation coefficient found between infiltration
445	capacity and hydrophobicity, despite being statistically significant, may have arisenbe
446	because infiltration sometimes may sometimes have been is delayed by repellency, but on
447	other occasions have commenced with switching to hydrophilic conditions by the end of
448	the final 10 minutes of theand the soil may not have reached steady state infiltration rate
449	eonditions after 30 minutes measurement period.

450	Organic matter arguably plays a dual role in explaining seasonal contrast in infiltration
451	capacity in woodland units. Thus, although it is associated with hydrophobic conditions and
452	low infiltration capacities in dry and transitional weather, in wet periods in winter, when
453	hydrophobicity has largely disappeared, the same high evels of organic matter -is usually
454	promote-associated with structured soils of high matrix infiltration capacity, representing
455	the more typical situation of forest soils (e.g. Costa, 1999; Mouri et al., 2011.).
456	Nevertheless, with hydrophobicity banishment through autumn and winter seasons, as a
457	result of increasing rainfall, matrix infiltration capacity of woodland areas raised, attaining
458	the highest values in January, and denoting the high permeability usually associated with
459	forest soils (Mouri et al., 2011).
460	The variations in hydrophobicity, soil moisture and infiltration capacity linked to geological
461	and land-use controls and seasonal climatic influences discussed above result in
462	spatiotemporal patterns of overland flow that differ seasonally and between woodland-
463	sandstone and woodland-limestone areas. In storms following summer dry periods (e.g.
464	following 30/09/2010 and 13/06/2010), drought-induced hydrophobicity in
465	eucalyptuseucalypt and pine areas and resultant very low matrix infiltration capacity makes
466	the woodland-sandstone areas particularly susceptible to infiltration-excess overland flow
467	generationThe less hydrophobic nature of the predominantly oak vegetation of woodland-
468	limestone areas means that they are less prone to infiltration-excess overland flow.
469	Following dry periods (30/09/2010 and 13/06/2010), soil dryness was widespread and
470	hydrophobicity was dominant and most severe mainly in woodland and agricultural
471	limestone areas, because of vegetation density and type. Drought induced hydrophobicity
472	promoted very low matrix infiltration capacity, making these landscape units susceptible to

473	infiltration excess overland flow generation in succeeding rainstorms. In urban and
474	agricultural sandstone areas, greater infiltration capacity under the same conditions (Figure
475	10) made these areas overland flow sinks. In woodland and agricultural limestone areas,
476	however, p Prolonged or repeated rainfall events lead to partial switching of woodland soils
477	to a hydrophilic state, and reductions in hydrophobicity severity and spatial extent and
478	severity of hydrophobicity, and enhancement of infiltration capacity. Hydrophobicity in
479	eucalyptuseucalypt stands is more resistant to break down, requiring longer and/or a greater
480	number of rainfall events. Because of this, infiltration capacity generally remained low in
481	woodland sandstone areas (Figure 9a), and therefore prone to generate overland flow during
482	transitions from dry to wet conditions, as recorded on 15th October 2010 (Figure 9). In
483	prolonged wet weather of the winter wet season, hydrophobicity largely disappeared even
484	in woodland-sandstone areas, and no infiltration-excess overland flow occurredEven
485	under the wettest winter conditions, woodland areas showed relatively low soil moisture
486	and high infiltration capacities and saturation overland flow was rare.
197	
407	
488	In prolonged wet weather, hydrophobicity disappeared and infiltration capacity increased
489	even in woodland,
490	The potential for infiltration-excess overland flow in <del>urban and</del> woodland landscape
491	units <del>soils</del> in dry summer conditions was confirmed by rainfall simulation experiments.
492	performed in the study area, but not on agricultural soils. Hour-long experiments simulating
493	when a 43 mm h <sup>-1</sup> simulated rainfall (a typical maximum reached over several years) in a
404	amell plot (0.25 $m^2$ ) produced gunoff coefficients of of 50.00% or wettable when soils
474	1 <del>Sman Dior (0.2.3111)</del> Diouuced fution coefficients of <del>01 37-77% of weitable utball solls</del>

495	(slope: 6 30°), 20-83% in a small plot (0.25 m <sup>2</sup> ) in extremely hydrophobic woodland	
496	(slope: 5-36°) <del>, but 0% on wettable agricultural land (slope 15-50°)</del> (Ferreira et al., 2012eb).	
497	Under natural rainfall, however, in larger runoff plots (16m <sup>2</sup> ) in woodland, however,	
498	installed in woodland areas showed that even under extremely hydrophobic conditions,	
499	overland flow did not exceed 3% even for a 23mm rainfall event (Ferreira et al., 2012a),	
500	mainly because of. High water infiltration bypassing thein a hydrophobic soil matrix-may	
501	be explained by preferential flow via macropores that can be provided by, for example,	
502	root-holes, invertebrate activity and high concentrations of stones (e.g. Urbanek and	
503	Shakesby, 2009; Hardie et al., 2011), Such bypass (preferential) flowand is viewed as an	
504	important mechanism not only in both extremely hydrophobic soils (Doerr and Thomas,	
505	2000), but also in dry loamy soils with high clay and silt contents (Yang and Zhang, 2011;	
506	Bracken and Croke, 2007). Cracks in clay soils were observed in dry conditions during	
507	fieldwork in the catchment study.	
508	Nevertheless, in Ribeira dos Covões, even under the wettest winter conditions, woodland	
509	areas showed relatively low soil moisture and high infiltration capacities, indicating their	
510	potential to act as sinks in absorbing overland flow from upslope.	
511	5.21.2. Urban landscape units	
512	In contrast Opposing to woodland, soil areas of urban landscape unitsenvironments in the	
513	<u>Ribeira dos Covoões catchment</u> are characterized by lowest soil organic matter content.	
514	This is probably, possibly linked to the reduced and patchy vegetation cover and, in some	
515	locations, either loss or deposition of surface soil and/or deposition of mineral soil. The	
516		
	higherGreater bulk density-was observed, most likely may be largely due to compaction by	

518	access and parking in the discontinuous urban fabric. Soil bulk densities measured-in-urban
519	areas (1.07-1.72 g cm <sup>-3</sup> ) were similar to those (1.19-1.62 g cm <sup>-3</sup> ) reported in Nanjing,
520	China, of 1.19 1.62 g cm <sup>-3</sup> in different urban functional zones where lowest minimum values
521	were recorded in greenbelt areas and maximum ones in parking zones (Yang and Zhang,
522	<u>2011).</u>

523	In the Ribeira dos Covões catchment, urban areas were the dominance ofted by bare
524	surfaces-or reduced and sparse grass and sparse-shrub vegetation. This reduced vegetation
525	eover is likely to foment is the main cause of the recorded widespread hydrophilic
526	conditions throughout over-the year. Only at particularlyin the well vegetated sites was
527	hydrophobicity recorded was observed during the driest periods. Bare soil sites, such as in
528	the urban landscape units, mainly found on sandstone, being moreis also susceptible to
529	evaporation (Nunes et al., 2011), which-may have led to the low soil moisture content
530	recorded - particularly duringin dry-wetthe transitional periods, such as between dry and
531	wet settings (for example, in the southwestSW of the catchment between on 02/11/2010
532	and 21/03/2011; (Figure 8). On the other hand, the minor rainfall interception during storms
533	would enhance soil moisture content over wet conditions.
534	The generally hydrophilic conditions found in over-urban soilenvironments would help to

551	The generally hydrophine conditions found in over aroun some moniments would help to
535	explainfavour the high soil matrix infiltration capacity values isty and may explain the great
536	values recorded particularly after prolonged dry weatherover dry settings (Figure 9),
537	despite. High infiltration capacity of the urban soils was not expected considering the upper
538	the high bulk density,, despite no significant correlation was found between both variables.
539	which elsewhere has been noted to be associated with Llower infiltration capacitiesy
540	associated with higher bulk density linked to urban activities has been noted elsewhere (e.g.

541	Dornauf and Burghardt, 2000; Yang and Zhang, 2011). Nevertheless, with increasing soil		
542	moisture content over the wet periods, The very low and in some cases zero values of so		
543	matrix infiltration capacity was reduced and attained even null values in few spot		
544	recorded -Decreasing infiltration capacity under- during wet periodswet setting is becau		
545	of the suction force and then saturation of the often thin section force and then saturation of the often thin section force and then saturation of the often thin section force and then saturation of the often thin section force and then saturation of the often thin section force and then saturation of the often thin section force and then saturation of the often thin section force and then saturation of the often thin section force and then saturation of the often thin section force and then saturation of the often thin section force and then saturation of the often thin section force and then saturation of the often thin section force and then saturation of the often thin section force and the saturation of the often thin section force and the saturation of the often thin section force and the saturation of the often thin section force and the saturation of the often thin section force and the saturation of the often thin section force and the saturation of the often thin section force and the saturation of the often thin section force and the saturation of the often thin section force and the saturation of t		
546	(Costa, 1999). The inverse correlation recorded between soil moisture and infiltratio		
547	capacity for urban soils these variables was also foundreported in Tasmania, Australia		
548	where the application of dye tracer in low antecedent soil moisture showed infiltration to a		
549	average depth of 1.03 m (with a wetting front velocity of 1160 mm h <sup>-1</sup> ) in low antecedent		
550	soil moisture conditions, compared with a depth of 0.35 m (and a wetting front velocity of		
551	120 mm h <sup>-1</sup> ) with wet antecedent conditions (Hardie et al., 2012).		
552	In urban landscape units, overland flow is readily generated on paved and tarmac		
553	impervious surfaces, but for urban soils it varies in importance both seasonally and between		
554	urban-sandstone and urban-limestone areas. In dry summer conditions, the generall		
555	hydrophilic soils of greater infiltration capacity -(Figures 9 and 10) lead to little or no		
556	overland flow and make these areas overland flow sinks. In contrast, after larger winter		
557	storm events, soil saturation or near-saturation was identified at urban-limestone sites		
558	(Figures 7 and 8), associated with a near-surface water table (on the valley floor) and		
559	shallow soils of low water storage capacity (on hillslopes). In both situations saturation		
560	overland flow was at least locally being generated. In contrast, in urban soils on sandstone,		

561 soil moisture levels recorded in winter were much lower than on limestone (Figure 7) and

562 infiltration capacities (Figure 9) varied from low (on bare soil) to relatively high (on

563	uncompacted, vegetated sites); the result was patchy Hortonian overland flow, mostly on	
564	the bare soil areas, with some of the vegetated patches acting as overland flow sinks.	
565	Easton et al. (2007), in different land uses with permeable soil, also found higher runoff	
566	coefficients on shallow soils, and Buttle et al. (2004) considered soil thickness to be the	
567	most important control on runoff delivery, and stated that slopes with average soil	
568	thicknesses of <0.2 m consistently produced overland flow once surface storage capacity	
569	was achieved.	
570	The potential for infiltration excess overland flow generation in urban-and woodland soils	Formatted: Space After: 2.4 line
571	was demonstrated by runoff coefficients of 59-99% recorded on hydrophilic urban soils	
572	(slope: 6-30°) inconfirmed by rainfall simulation experiments performed in the study area,	
573	but not on agricultural soils. Hour long experiments simulating a 43 mm h <sup>-1</sup> rainfall	
574	simulations(a typical maximum reached over several years) in a on small plots (0.25m <sup>2</sup> ) at	
575	the field sites, though it was unclear whether the overland flow was infiltration-excess or	
576	saturation excesssaturation in nature produced runoff coefficients of 59 99% on wettable	
577	urban soils (slope: 6-30°), 20-83% in extremely hydrophobic woodland (slope: 5-36°), but	
578	0% on wettable agricultural land (slope 15 50°) (Ferreira et al., 2012eb).	
579	5.1.3 Agricultural landscape units	
580	In agricultural landscape units <del>areas</del> , different stinctland-use/land management types lead	
581	to imprint major differences on surface cover and soil properties. The Aggricultural	
582	types <del>fields</del> on <del>verlaying</del> sandstone include (mainly nasture, small gardens and olive tree	
583	plantations). This agricultural practices may explain the low organic matter content and the	
505	planations). This agreement presides may explain the form of game matter content and the	

584	high bulk density results of that landscape unit compared with the agricultural-limestone	
585	unit, wheren compared with the contrasting abandoned fields undergoing natural vegetation	
586	succession are, dominanton limestone, with vegetation following the natural succession.	
587	This greater vegetation cover with higher soil organic matter content forunder agricultural-	
588	limestone would also explain the unit's enhanced hydrophobic properties, linked to high	
589	spatial extent and severity than on sandstoneagricultural sandstone soil	
590	NeverthelessHowever, considering the lower vegetation cover and the dominance of mor	
591	Mediterranean herbaceous and scrub species, hydrophobicity atin agricultural-limestone	
592	sites was lessnot so severe asthan in woodland, and fewerless rainfall events were required	
593	to accomplishstimulate the switching pattern betweenfrom hydrophobic toand hydrophilic	
594	conditionsharacteristics. and In agricultural-limestone fields, the hydrophobicity re-	
595	establishment induring wet to dry transitions was also slower than for woodland (Figure 5).	
596	In a previous study of a partly urbanized Mediterranean catchment, Fernández and Ceballos	
597	(2003) only recorded lower hydrophobicity persistencestability when conditions were	
598	changing from dry to wet.	
599	In The generally, agricultural areas showed greater soil moisture valuescontent of	
600	agricultural when compared with the other landscape unitsland-uses, despite the	
601	absencelack of irrigation,- This-may be explained by the lower vegetation cover of the	
602	agricultural-limestone sites and the low hydrophobicity, particularly when compared with	
603	woodland. In addition, high surface roughness associated with tillage in agricultural-	
604	sandstone fields, mostly favoured by tillage practices, may enhance surface water retention	
605	and lead to higher soil moisture (Álvares-Mozos et al., 2009), especially when compared	

606 with untilled urban soils.
607	Soil moisture, however, was only slightly higher at agricultural-limestone than agricultural-
608	sandstone sites, despite most of the former being abandoned. This may be could possibly be
609	a consequence of the marly nature of the limestone, which leads tosoil properties
610	differences, coupled with greater fractions of fine material, inn agricultural limestone areas.
611	Furthermore, ThatHowever, the small soil moisture difference is small-may reflect the fact
612	that most sandstone agricultural sites are on valley floors (Figure 8),, whereas limestone
613	sites are mainly on upper slopes, where the soil is shallow (generally <40cm depth), though
614	in the wettest periods some saturation was observed here.
615	Differences in particle size distribution and land management practices, particularly
616	wheeling, may explain higher soil porosity on abandoned limestone than on ploughed
617	sandstone fields. Nevertheless, coarser particle size distribution and minor hydrophobicity
618	is likely to provide may explain greater soil matrix infiltration capacity on sandstone
619	compared with limestone agricultural areas in dry periods.
620	However, rising soil moisture content through the wet season, could restrict soil matrix
621	infiltration capacity over agricultural areas, mostly noticed on sandstone fields. In
622	agricultural-limestone sites, matrix infiltration capacity was relatively constant over the
623	year. In this landscape unit, the slight infiltration capacity increase during early autumn,
624	possibly due to soil hydrophobicity shrinkage, gives place to a decreasing capacity in later
625	autumn and winter seasons, as a result of soil moisture increase. Throughout spring, with
626	soil moisture decrease, infiltration capacity tend to increase, but possibly with
627	hydrophobicity re-emergence, infiltration capacity was limited again. The development of
628	hydrophobic conditions in the agricultural soils was clearly slower than woodland (Figure
629	<u>5).</u>

630	Overland flow generation, in response to the contrasts in soil moisture, hydrophobicity and
631	infiltration capacity and their seasonal dynamics discussed above, differed between the
632	agricultural-sandstone and agricultural-limestone landscape units. In agricultural-sandstone
633	areas, high infiltration capacities associated with hydrophilic soils throughout the year and
634	with sandy particle size meant that overland flow was absent in summer and in winter was
635	only generated in big events or following very wet weather. In contrast, the greater
636	vegetation of the abandoned fields on limestone led to hydrophobic soils in summer and a
637	degree of proneness to infiltration-excess overland flow. Despite partial switching in
638	transition periods and total switching to hydrophilic conditions in winter wet periods, the
639	relatively low infiltration capacities and high soil moisture resulting from the marly
640	limestone lithology meant that the agricultural limestone areas were more prone in winter to
641	saturation overland flow than the sandstone areas.
642	but iIn urban limestone and agricultural areas. Increased soil moisture led to reduced
643	infiltration capacity enhancing their potential to generate Hortonian overland flow. After
644	larger winter storm events soil saturation or near-saturation was identified at a few
645	agricultural sandstone and urban limestone sites and at one woodland sandstone spot
646	(Figure 9) associated with a pear-surface water table (on the valley floor) and shallow soils
647	of low water storage capacity (on hillslopes). Easton at al. (2007) in different land uses
047	or tow water storage capacity (on misiopes). Laston et al. (2007), in different land uses

with permeable soil, also found higher runoff coefficients on shallow soils, and Buttle et al.
 (2004) considered soil thickness to be the most important control on runoff delivery, and
 stated that slopes with average soil thicknesses of <0.2 m consistently produced overland</li>

651 <u>flow once surface storage capacity was achieved.</u>

652	The potential for Unlike on urban and woodland soil sites, no infiltration-excess overland
653	flow in urban and woodland soils was confirmed by was recorded in 43 mm h <sup>-1</sup> rainfall
654	simulation experiments performed in the study area, but not on agricultural soils. Hour long
655	experiments simulating a 43 mm h <sup>-1</sup> -rainfall (a typical maximum reached over several
656	years) in a small plot (0.25m <sup>2</sup> ) produced runoff coefficients of 59 99% on wettable urban
657	soils (slope: 6 30°), 20 83% in extremely hydrophobic woodland (slope: 5 36°), but 0% on
658	wettable on hydrophilic agricultural land (slope 15-50°) in the study area (Ferreira et al.,
659	<u>2012eb).</u>
660	Generally, infiltration capacity was significantly correlated with hydrophobicity and soil
661	moisture, but the lower correlation coefficients may be because infiltration capacity was
662	only calculated during the last 10 minutes, and hydrophobicity and soil moisture were
663	measured separately on adjacent soil. Nevertheless, Principal Component Analysis (PCA)
664	showed that despite the complex interaction between hydrophobicity and soil moisture,
665	these variables together explain 63% of total infiltration capacity variance (Table 2). When
666	particle size characteristics (surface and subsurface coarse sand and silt fractions, and
667	subsurface clay) and organic matter content (surface and subsurface) are considered, the
668	three component variables together explain 76% of infiltration variance (Table 3).
669	However, the results of PCA must be interpreted as only indicative, since the variables do
670	not follow the normal distribution that is strictly required by the approach.
671	5.1.4 Synthesis: the influences of lithology, topography and land-use factors on
672	overland flow and temporal variation in its distribution <del>controls</del> within the Ribeir <del>oa</del>
673	dos Covõ <del>o</del> es catchment

674	Lithology seems to play an important role in controlling spatiotemporal dynamics of
675	overland flow in the Ribeiroa dos Covoões catchment via its influence on particle size
676	distribution, which may explain soil moisture and infiltration capacity variability over the
677	catchment. Generally, the greater sand fractions and deeper soils of the sandstone areas,
678	characterized by greatest sand fractions, provide limited water storage capacity, linked to
679	lower soil moisture content, and promote greater infiltration capacity and water storage
680	capacity, and lower soil moisture, leading to reduced proneness to both Hortonian and
681	saturation overland flow. OIn contrastn the other hand, the higher silt-clay content and
682	shallower nature of soils on the marly limestone exposed result in greater soil moisture, and
683	lower infiltration and water storage capacitiesy and hence greater proneness to saturation
684	overland flow than on sandstone, possibly due to higher silt and clay, because of the marly
685	limestone nature, and shallower depth of the soils These are in line with reports elsewhere
686	of the influence of shallow soils (Easton et al., 2007, Hardie et al., 2011) and variations in
687	particle size. Infiltration capacity enlargement with decreasing clay and increasing sand
688	contents have also been reported elsewhere (Rahardjo et al., 2008; Yang and Zhang, 2011)
689	on overland flow. Reduced infiltration capacity with increasing clay content may be due not
690	only to its expansion properties but also to surface crust development under dry conditions
691	(Yang and Zhang, 2011). However, lithology had no consistent effect on organic matter,
692	bulk density and soil porosity.
693	Secondly local topographic characteristics also seem to be an important driver. Saturation
694	was observed at urban soil sites near streams (Figure 8) caused either by (1) lateral
695	subsurface flows from upslope (Aryal et al., 2005) or (2) groundwater table rise, as
696	recorded at a woodland-sandstone site near to an active spring on 24 <sup>th</sup> January 2011 (Figure
697	8). In a small cultivated Mediterranean catchment, Latron and Gallart (2007), also

698	explained the saturation pattern with extent and height of the water table. The locations and
699	extents of the wettest areas in the Ribeira dos Covões catchment varied temporally, a
700	feature also reported elsewhere within agricultural hillslope (Walter et al., 2000) and mixed
701	agricultural and forested (Easton et al., 2007) areas.

703	Land-use and land management constitutes the third and perhaps most important influence
704	on differences in overland flow between and within landscape units. This influence is
705	exerted through the effects of different percentage ground covers, management practices
706	and other human activities on degrees of soil compaction, soil moisture levels and soil
707	permeability and via the effects of different plant species on hydrophobicity severity,
708	switching dynamics and seasonality. In fact, these soil properties seems to be particularly
709	affected by the land use and management practices, which lead to the division of the l
710	Overland flow is consequently of greatest significance in urban landscape units, particularly
711	in winter, when urban soils are often either saturated or bare and compacted, whereas in
712	summer overland flow from impervious or bare areas is reduced by hydrophilic soil
713	patchesOverland flow in the woodland units is in general greatly reduced by vegetation
714	effects on infiltration, but is seasonally enhanced in storms following summer dry periods
715	in eucalyptuseucalypt and pine woodland-sandstone areas because of their severe soil
716	hydrophobicity, but absent in woodland-limestone areas because of the oak woodland land-
717	use. The agricultural-sandstone landscape unit produces very little overland flow because of
718	high infiltration capacities resulting from a combination of land-use and land management
719	practices that do not result in compaction, but mostly because of the sandy soils. In
720	converse fashion, the abandoned field land-use of agricultural-limestone areas probably has

the effect of reducing overland flow responses from what they would otherwise be with
active cultivation, but which for lithology-related reasons can be significant particularly in
winter wet weather.

724 Differences in temporal variability of soil hydrological properties between landscape units 725 led to spatial fluctuation in overland flow sources and sinks, In wet winter conditions, 726 overland flow is greatest from the urban landscape units and also significant from the 727 agricultural-limestone unit, but comparatively little from the hydrophilic and permeable 728 agricultural-sandstone and woodland units except in the wettest weather. --During 729 transitions from wettest to dry conditions, the spatial pattern of response to rainstorms is reversed, with decreasing susceptibility to saturation excesssaturation overland flow as soil 730 731 moisture declined (mainly associated with agricultural- and urban-limestone areas) and 732 increasing vulnerability to infiltration-excess overland flow, enhanced by hydrophobicity 733 re-establishment (particularly in woodland but also on agricultural-limestone).---- In 734 summer, overland flow is comparatively low but still greatest in urban-limestone areas and 735 to a lesser extent is also significant in the woodland and agricultural-limestone units 736 because of their hydrophobic condition, but urban-sandstone and agricultural-sandstone 737 areas produce comparatively little overland flow, because of locally or more widespread 738 hydrophilic and permeable surface soils providing overland flow sinks. Finally, in the dry 739 to wet transition of autumn, patterns of overland flow are broadly similar to the wet-to-dry 740 transition, with hydrophobicity (and overland flow responses) becoming most rapidly re-741 established in eucalyptuseucalypt parts of the woodland-sandstone landscape unit. 742 Spatial variability of soil properties within the same landscape unit, such as particle size

743 and hydrophobicity, provides heterogeneous infiltration capacities, where this particularly

744	applies to the partly bare urban-sandstone unit and woodland and agricultural-limestone
745	units in transitional periods -(Figure 9). Soil spots with matrix infiltration capacity lower
746	than rainfall intensity will lead to infiltration-excess overland flow, which may be
747	infiltrated in surrounding soil spots with greater infiltration capacity. Only the few most
748	permeable soil patches found in the landscape units could cope with a rainfall intensity of
749	<u>5.4 mm h<sup>-1</sup>, the mean hourly rainfall intensity of storm events <math>\geq</math>5mm recorded in the years</u>
750	2010 2011. Not all the landscape units provided spots with sufficient permeability
751	throughout the year. Urban and agricultural landscape units showed more sites of high
752	permeability after dry periods, while even in wettest conditions, woodland provided sites of
753	high infiltration capacity. The generally higher permeability of sandstone than limestone
754	areas highlights the former's lower potential for infiltration-excess overland flow
755	generation. Nevertheless, even the most permeable soil patches could not cope with the
756	maximum rainfall intensity of 15.6 mm h <sup>-1</sup> recorded in the rainstorm of 2 <sup>nd</sup> November 2011.
757	Thus infiltration-excess overland flow would be expected to occur widely during
758	particularly intense storms in all landscape units.
759	
760	andscape units in two groups: (1) woodland areas on both sandstone and limestone and
761	agricultural limestone sites, and (2) urban soils and agricultural sandstone sites, both
762	subject to more human pressure than the first group.
763	Despite the spatiotemporal variability as regards to the land-use and lithology impacts on
764	soil moisture, local topographic characteristics seems to be an important driver. Saturation
765	was observed at urban soil sites near streams (Figure 8) caused either by (1) lateral
766	subsurface flows from upslope (Aryal et al., 2005) or (2) groundwater table rise, as

767	recorded at a woodland sandstone site near to an active spring on 24th January 2011
768	(Figure 8). In a small cultivated Mediterranean catchment, Latron and Gallart (2007), also
769	explained the saturation pattern with extent and height of the water table. The locations and
770	sizes of the wettest areas in the Ribeira dos Covões catchment varied temporally, a feature
771	also reported elsewhere within agricultural hillslope (Walter et al., 2000) and mixed
772	agricultural and forested areas (Easton et al., 2007).
773	
774	The variable soil organic matter in woodland may be due to different tree types and
775	management affecting the litter layer thickness. EucalyptusEucalypt-dominated areas
776	tended to have relatively low organic matter, possibly reflecting periodic understory
777	clearence to help prevent wildfires but also low understorey vegetation caused by reduced
778	water availability (DeBano, 2000). Lower soil organic matter on pasture, small gardens and
779	olive tree plantations on sandstone than on agricultural limestone soils may reflect the
780	effect of agricultural practices. The very low organic matter contents recorded for urban
781	soils may be linked to their reduced vegetation cover and, in some locations, loss of surface
782	soil and/or deposition of mineral soil.
783	Vegetation and its root system are also linked to lower soil bulk density, notably in
784	woodland and abandoned agricultural limestone fields. The higher bulk density of
785	agricultural sandstone and urban soils is most likely due to vehicular traffic (Silva et al.,
786	1997), linked to wheeling in agricultural fields and human trampling as well as car access
787	and parking in the discontinuous urban fabric. Soil bulk densities in urban areas (1.07-1.72
788	g cm <sup>-3</sup> ) were similar to those reported in Nanjing, China, of 1.19-1.62 g cm <sup>-3</sup> -in different
789	urban functional zones, where minimum values were recorded in greenbelt areas and

790	maximum ones in parking areas (Yang and Zhang, 2011). Reduced bulk density was
791	reported in soils with greater organic matter, since it helps the formation of soil aggregates
792	and structure (Celik et al., 2010).
793	In woodland and urban soils, lithology had no consistent effect on organic matter, bulk
794	density and soil porosity. Land management, particularly tillage, however, may explain
795	higher soil porosity on abandoned limestone than on ploughed sandstone fields.
796	Despite rock fragment and particle size distribution not varying significantly between
797	landscape units, considering organic matter, bulk density and soil porosity together, two
798	landscape unit groups can be identified: (1) woodland areas on both sandstone and
799	limestone and agricultural limestone sites, and (2) urban soils and agricultural sandstone
800	sites, both subject to more human pressure than the first group.
801 802 803	5.1.2 Soil hydrophobicity
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801 802 803 804 805 806 807	5.1.2 Soil hydrophobicity         Extensive hydrophobic areas in summer and widespread disapperarence in winter accords         with previous studies (e.g., Dekker and Ritsema, 1994; Doerr et al., 2000; Martínez-Zavala         and Jordán López, 2009), but landscape units showed considerable differences both in         hydrophobicity extent and switching speed during dry to wet transition periods and vice
801 802 803 804 805 806 807 808	<b>5.1.2 Soil hydrophobicity</b> Extensive hydrophobic areas in summer and widespread disapperarence in winter accords         with previous studies (e.g., Dekker and Ritsema, 1994; Doerr et al., 2000; Martínez-Zavala         and Jordán López, 2009), but landscape units showed considerable differences both in         hydrophobicity extent and switching speed during dry to wet transition periods and vice         versa. In contrast, in a previous study of a partly urbanized Mediterranean catchment,
801 802 803 804 805 806 807 808 809	<b>5.1.2 Soil hydrophobicity</b> Extensive hydrophobic areas in summer and widespread disapperarence in winter accords         with previous studies (e.g., Dekker and Ritsema, 1994; Doerr et al., 2000; Martínez-Zavala         and Jordán López, 2009), but landscape units showed considerable differences both in         hydrophobicity extent and switching speed during dry to wet transition periods and vice         versa. In contrast, in a previous study of a partly urbanized Mediterranean catchment,         Fernández and Ceballos (2003) only recorded lower hydrophobicity stability when
801 802 803 804 805 806 807 808 809 810	5.1.2 Soil hydrophobicity Extensive hydrophobic areas in summer and widespread disapperarence in winter accords with previous studies (e.g., Dekker and Ritsema, 1994; Doerr et al., 2000; Martínez-Zavala and Jordán López, 2009), but landscape units showed considerable differences both in hydrophobicity extent and switching speed during dry to wet transition periods and <i>vice</i> <i>versa</i> . In contrast, in a previous study of a partly urbanized Mediterranean catchment, Fernández and Ceballos (2003) only recorded lower hydrophobicity stability when conditions were changing from dry to wet.
<ul> <li>801</li> <li>802</li> <li>803</li> <li>804</li> <li>805</li> <li>806</li> <li>807</li> <li>808</li> <li>809</li> <li>810</li> <li>811</li> </ul>	<ul> <li>5.1.2 Soil hydrophobicity</li> <li>Extensive hydrophobic areas in summer and widespread disapperarence in winter accords with previous studies (e.g., Dekker and Ritsema, 1994; Doerr et al., 2000; Martínez-Zavala and Jordán López, 2009), but landscape units showed considerable differences both in hydrophobicity extent and switching speed during dry to wet transition periods and <i>vice versa</i>. In contrast, in a previous study of a partly urbanized Mediterranean catchment, Fernández and Ceballos (2003) only recorded lower hydrophobicity stability when conditions were changing from dry to wet.</li> <li>Vegetation density and type is apparently important in accounting for differences in</li> </ul>

813 areas, where mostly only well vegetated sites in the driest periods were affected. On 814 sandstone, release of resins, waxes and aromatic oils (Doerr et al., 1998; Jordán et al., 2008) in eucalyptuseucalypt woodland is thought to have caused hydrophobicity to be more 815 extensive and resistant to break down than in pine stands (Figure 5a). Hydrophobicity, 816 particularly in eucalyptuseucalypt stands, was able to persist following rainfall of as much 817 818 as 200mm in 2 months (Ferreira, 1996; Doerr and Thomas, 2000). It was less severe and easier to break down in the woodland limestone areas because oak, not usually associated 819 with hydrophobic soil (Zavala et al., 2009), is the dominant vegetation. 820

821 Vegetation type can influence hydrophobicity re establishment after rainfall by affecting 822 the input of water repellent substances (Doerr and Thomas, 2000; Hardie et al., 2012). The 823 rate of re establishment would depend on the biological productivity of the ecosystem 824 (Doerr and Thomas, 2000), which depends on the biological productivity of the ecosystem 825 (Doerr and Thomas, 2000), the type of hydrocarbon substances produced and microbial activity (Keizer et al., 2008). This may explain the rapid re establishment on woodland, 826 827 particularly in eucalyptuseucalypt and pine stands, although Santos et al. (in press) report 828 greater dynamism, and more frequent hydrophobic conditions, in eucalypt than in pine.

The positive correlation found between hydrophobicity severity and organic matter content
tallies with findings elsewhere (e.g. Dekker and Ritsema, 2000), but organic matter type
and quality are more important than amount as demonstrated by the differences between
woodland species. The correlation, although weak, found between hydrophobicity and
sand fraction is similar to that found in other studies (e.g. DeBano, 1991; McKissock et al.,
2000), although finer textured soils also be hydrophobic (e.g. Doerr and Thomas, 2000).

835	The weak, albeit significant correlation found between hydrophobicity and soil moisture is
836	attributed to spatial heterogeneity and the unavoidable separation of hydrophobicity and
837	moisture measurement points (since ethanol drops would affect moisture content). Many
838	studies have reported low water contents corresponding to high hydrophobicity persistence
839	and severity and vice versa, but defining a universal soil moisture 'switching' threshold has
840	proved elusive. In field experiments in Portugal, Leighton Boyce (2002) reported no
841	threshold for up to 50% soil moisture content, whereas Doerr and Thomas (2000) found
842	one at 28%. Reports of thresholds outside Portugal vary from 21% for medium textured
843	soils in SE Spain (Soto et al., 1994), to 38% for Dutch clayey peats (Dekker and Ritsema,
844	1994) and 50% for some organic rich Swedish soils (Berglund and Persson, 1996).
845	
846	5.1.3 Soil moisture
846 847	5.1.3 Soil moisture Generally, limestone showed greater soil moisture than sandstone, possibly due to higher
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846 847 848 849	5.1.3 Soil moisture Generally, limestone showed greater soil moisture than sandstone, possibly due to higher silt and clay, because of the marly limestone nature, and shallower depth of the limestone soils (Easton et al., 2007, Hardie et al., 2011).
<ul><li>846</li><li>847</li><li>848</li><li>849</li><li>850</li></ul>	5.1.3 Soil moisture         Generally, limestone showed greater soil moisture than sandstone, possibly due to higher         silt and clay, because of the marly limestone nature, and shallower depth of the limestone         soils (Easton et al., 2007, Hardie et al., 2011).         Landscape units all had similarly low soil moisture contents in long, dry periods, but
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<ul> <li>846</li> <li>847</li> <li>848</li> <li>849</li> <li>850</li> <li>851</li> <li>852</li> </ul>	5.1.3 Soil moisture         Generally, limestone showed greater soil moisture than sandstone, possibly due to higher         silt and clay, because of the marly limestone nature, and shallower depth of the limestone         soils (Easton et al., 2007, Hardie et al., 2011).         Landscape units all had similarly low soil moisture contents in long, dry periods, but         differed most during transitional periods. At these times, soil moisture was low in urban         soils, mainly on sandstone, probably due to bare surfaces or reduced mainly grass and
<ul> <li>846</li> <li>847</li> <li>848</li> <li>849</li> <li>850</li> <li>851</li> <li>852</li> <li>853</li> </ul>	5.1.3 Soil moisture         Generally, limestone showed greater soil moisture than sandstone, possibly due to higher         silt and clay, because of the marly limestone nature, and shallower depth of the limestone         soils (Easton et al., 2007, Hardie et al., 2011).         Landscape units all had similarly low soil moisture contents in long, dry periods, but         differed most during transitional periods. At these times, soil moisture was low in urban         soils, mainly on sandstone, probably due to bare surfaces or reduced mainly grass and         sparse shrub vegetation cover (for example, in the SW of the catchment between
<ul> <li>846</li> <li>847</li> <li>848</li> <li>849</li> <li>850</li> <li>851</li> <li>852</li> <li>853</li> <li>854</li> </ul>	5.1.3 Soil moisture         Generally, limestone showed greater soil moisture than sandstone, possibly due to higher         silt and clay, because of the marly limestone nature, and shallower depth of the limestone         soils (Easton et al., 2007, Hardie et al., 2011).         Landscape units all had similarly low soil moisture contents in long, dry periods, but         differed most during transitional periods. At these times, soil moisture was low in urban         soils, mainly on sandstone, probably due to bare surfaces or reduced mainly grass and         sparse shrub vegetation cover (for example, in the SW of the catchment between         02/11/2010 and 21/03/2011; Figure 8). Bare soil, such as urban, is susceptible to
<ul> <li>846</li> <li>847</li> <li>848</li> <li>849</li> <li>850</li> <li>851</li> <li>852</li> <li>853</li> <li>854</li> <li>855</li> </ul>	5.1.3 Soil moisture         Generally, limestone showed greater soil moisture than sandstone, possibly due to higher         silt and clay, because of the marly limestone nature, and shallower depth of the limestone         soils (Easton et al., 2007, Hardie et al., 2011).         Landscape units all had similarly low soil moisture contents in long, dry periods, but         differed most during transitional periods. At these times, soil moisture was low in urban         soils, mainly on sandstone, probably due to bare surfaces or reduced mainly grass and         sparse shrub vegetation cover (for example, in the SW of the catchment between         02/11/2010 and 21/03/2011; Figure 8). Bare soil, such as urban, is susceptible to         evaporation (Nunes et al., 2011), while shading and ground vegetation and litter covers
<ul> <li>846</li> <li>847</li> <li>848</li> <li>849</li> <li>850</li> <li>851</li> <li>852</li> <li>853</li> <li>854</li> <li>855</li> <li>856</li> </ul>	S.1.3 Soil moisture         Generally, limestone showed greater soil moisture than sandstone, possibly due to higher         silt and clay, because of the marly limestone nature, and shallower depth of the limestone         soils (Easton et al., 2007, Hardie et al., 2011).         Landscape units all had similarly low soil moisture contents in long, dry periods, but         differed most during transitional periods. At these times, soil moisture was low in urban         soils, mainly on sandstone, probably due to bare surfaces or reduced mainly grass and         sparse shrub vegetation cover (for example, in the SW of the catchment between         02/11/2010 and 21/03/2011; Figure 8). Bare soil, such as urban, is susceptible to         evaporation (Nunes et al., 2011), while shading and ground vegetation and litter covers         provided by woodland reduces soil moisture loss in warm, sunny conditions. Vegetation,

858	important in percentage terms in small rainfall events (Holden, 2008). Interception and
859	transpiration may explain the low soil moisture at woodland and agricultural sandstone
860	sites, particularly on 30 <sup>th</sup> September 2010. Woodland is also usually associated with more
861	permeable soils (Mouri et al., 2011), causing slightly lower soil moisture than in the other
862	land uses, even on limestone (Figure 7). Moreover, hydrophobic conditions, by hindering
863	infiltration, can cause lower soil moisture (Dekker and Ritsema, 1994; Doerr and Thomas,
864	2000), possibly explaining lower woodland sandstone compared with limestone soil
865	moisture values in changing dry to wet conditions (15/10/2010 and 02/11/2011).

The higher overall soil moisture on agricultural land, despite the lack of irrigation on 866 abandoned fields, pastures or olive groves, is possibly linked to low hydrophobicity and 867 high surface roughness (Álvares Mozos et al., 2011) (especially on tilled soils). Soil 868 moisture, however, was only slightly higher at agricultural limestone than agricultural-869 870 sandstone sites, despite most of the former being abandoned. On the other hand, most 871 sandstone agricultural sites are on valley floors (Figure 8), whereas limestone sites are 872 mainly on upper slopes, where the soil is shallow (generally <40cm depth), though in the 873 wettest periods some saturation was observed here.

Saturation was also observed at urban soil sites near streams (Figure 8) caused either by (1)
lateral subsurface flows from upslope (Aryal et al., 2005) or (2) groundwater table rise, as
recorded at a woodland sandstone site near to an active spring on 24<sup>th</sup> January 2011 (Figure
8). In a small cultivated Mediterranean catchment, Latron and Gallart (2007), found a linear
relationship between saturated area extent and baseflow discharge, with water table height
also being important in explaining the saturation pattern. The locations and sizes of the
wettest areas in the *Ribeira dos Covões* catchment varied temporally, a feature also noted in

881 agricultural (Walter et al., 2000) and mixed agricultural and forested (Easton et al., 2007)
882 areas, both in New York State, USA.

883

## 884 **5.1.4 Infiltration capacity**

885 The lower infiltration capacities recorded at limestone than sandstone sites are probably due
886 to the marly higher clay and silt nature of limestone. An infiltration capacity increase with
887 sand content has also been reported elsewhere (Rahardjo et al., 2008; Yang and Zhang,
888 2011), while the reduction with increasing clay content may be due not only to its
889 expansion properties but also to surface crust development under dry conditions (Yang and
890 Zhang, 2011).

891	The variation in infiltration capacity values between landscape units and measurement dates
892	seems to reflect spatiotemporal variability of hydrophobicity and soil moisture. In dry
893	conditions, soil hydrophobicity restricted infiltration capacity at woodland and agricultural
894	limestone sites, whereas higher infiltration capacities (up to 12.9 mm h <sup>-1</sup> ) were reached on
895	urban and agricultural sandstone soils, mostly under hydrophilic and relatively weak
896	hydrophobic conditions that would have switched quickly during the infiltration capacity
897	experiments. After the first recorded rainfall events, on 15 <sup>th</sup> October 2010, the considerable
898	decrease in hydrophobic severity at woodland-limestone sites promoted increased
899	infiltration capacity, whereas the same rain had a more modest effect on agricultural
900	limestone and particularly woodland-sandstone soils, due to hydrophobicity persistence
901	(Figure 9). Nevertheless, eventual switching during continued wet conditions led to
902	increased infiltration capacity, attaining 6.8 mm h <sup>-1</sup> -in woodland on 3 <sup>rd</sup> January 2011.

903	Conversely, on predominantly hydrophilic urban and agricultural sandstone sites, increased
904	soil moisture throughout wet periods led to reduced or even zero infiltration capacities
905	because of a decline in the suction force and then saturation of the soil. Infiltration capacity
906	increased with decreased antecedent soil moisture. This was also found in Tasmania,
907	Australia, where the application of dye tracer in low antecedent soil moisture showed
908	infiltration to an average depth of 1.03 m (with a wetting front velocity of 1160 mm $h^4$ )
909	compared with a depth of 0.35 m (at a wetting front velocity of 120 mm h <sup>-1</sup> ) with wet
910	antecedent conditions.
911	The significant but not strong correlations with hydrophobicity and soil moisture may be
912	because infiltration capacity was only calculated during the last 10 minutes, and
913	hydrophobicity and soil moisture were measured separately on adjacent soil. In addition,
914	since infiltration is delayed by repellency, the soil may not have reached steady state
915	infiltration rate conditions after 30 minutes.
916	Although organic matter is usually associated with structured soils of high infiltration
917	capacity, in Ribeira dos Covões infiltration capacity was inversely related to organic matter
918	content because of hydrophobicity. No significant correlation was found between
919	infiltration capacity and bulk density, but there was some evidence of low individual values
920	on urban soils attributable to higher bulk density. Lower infiltration capacity associated
921	with higher bulk density linked to urban activities has been noted elsewhere (e.g. Dornauf
922	and Burghardt, 2000; Yang and Zhang, 2011).

923	Principal Component Analysis (PCA) showed that despite the complex interaction between
924	hydrophobicity and soil moisture, these variables together explain 63% of total infiltration
925	capacity variance (Table 2). When particle size characteristics (surface and subsurface
926	coarse sand and silt fractions, and subsurface clay) and organic matter content (surface and
927	subsurface) are considered, the three component variables together explain 76% of
928	infiltration variance (Table 3). However, the results of PCA must be interpreted as only
929	indicative, since the variables do not follow the normal distribution that is strictly required
930	by the approach.

932	Temporal fluctuations in overland flow over landscape units
933 934	Differences in temporal variability of soil hydrological properties between landscape units
935	led to spatial fluctuation in overland flow sources and sinks. Following dry periods
936	(30/09/2010 and 13/06/2010), soil dryness was widespread and hydrophobicity was
937	dominant and most severe mainly in woodland and agricultural-limestone areas, because of
938	vegetation density and type. Drought-induced hydrophobicity promoted very low matrix
939	infiltration capacity, making these landscape units susceptible to infiltration-excess
940	overland flow generation in succeeding rainstorms. In urban and agricultural sandstone
941	areas, greater infiltration capacity under the same conditions (Figure 10) made these areas
942	overland flow sinks. In woodland and agricultural-limestone areas, however, prolonged or
943	repeated rainfall events led to partial switching, reductions in hydrophobicity severity and
944	spatial extent, and enhancement of infiltration capacity. Hydrophobicity in
945	eucalyptuseucalyptestands is more resistant to break down, requiring longer and/or a greater

946	number of rainfall events. Because of this, infiltration capacity generally remained low in
947	woodland sandstone areas, and therefore prone to generate overland flow during transitions
948	from dry to wet conditions, as recorded on 15 <sup>th</sup> -October 2010 (Figure 9).

949	In-prolonged wet weather, hydrophobicity disappeared and infiltration capacity increased
950	even in woodland, but in urban limestone and agricultural areas. Increased soil moisture led
951	to reduced infiltration capacity, enhancing their potential to generate Hortonian overland
952	flow. After larger winter storm events, soil saturation or near saturation was identified at a
953	few agricultural-sandstone and urban-limestone sites and at one woodland-sandstone spot
954	(Figure 9), associated with a near-surface water table (on the valley floor) and shallow soils
955	of low water storage capacity (on hillslopes). Easton et al. (2007), in different land-uses
956	with permeable soil, also found higher runoff coefficients on shallow soils, and Buttle et al.
957	(2004) considered soil thickness to be the most important control on runoff delivery, and
958	stated that slopes with average soil thicknesses of <0.2 m consistently produced overland
959	flow once surface storage capacity was achieved. Nevertheless, in Ribeira dos Covões, even
960	under the wettest winter conditions, woodland areas showed relatively low soil moisture
961	and high infiltration capacities, indicating their potential to act as sinks in absorbing
962	overland flow from upslopeAny saturation overland flow produced on the valley floor,
963	however, would remain at the surface until evaporated or the water table falls.
964	During transitions from wettest to dry conditions, the spatial pattern of response to

	e		2	·		•		
965	rainstorms is reversed,	with decreasing	<del>5 susceptibi</del>	<del>lity to sa</del>	turation-	excess ov	<del>erland flov</del>	<del>v as</del>
966	soil moisture declined (	mainly associa	<del>ted with ag</del>	<del>ricultura</del> l	and urb	an-limest	<del>one areas)</del>	and
967	increasing vulnerability	to infiltration	excess ove	<del>rland fle</del>	<del>w, enha</del>	<del>need by h</del>	ydrophobi	i <del>city</del>
968	re-establishment (partie	ularly in woodl	and but also	<del>) on agric</del>	<del>ultural-l</del>	imestone)	Ŧ	

969	Spatial variability of soil properties within the same landscape unit, such as particle size
970	and hydrophobicity, provides heterogeneous infiltration capacities (Figure 9). Soil spots
971	with matrix infiltration capacity lower than rainfall intensity will lead to infiltration-excess
972	overland flow, which may be infiltrated in surrounding soil spots with greater infiltration
973	capacity. Only the few most permeable soil patches found in the landscape units could cope
974	with a rainfall intensity of 5.4 mm h <sup>-1</sup> , the mean hourly rainfall intensity of storm events
975	≥5mm recorded in the years 2010-2011. Not all the landscape units provided spots with
976	sufficient permeability throughout the year. Urban and agricultural landscape units showed
977	more sites of high permeability after dry periods, while even in wettest conditions,
978	woodland provided sites of high infiltration capacity. The generally higher permeability of
979	sandstone than limestone areas highlights the former's lower potential for infiltration-
980	excess overland flow generation. Nevertheless, even the most permeable soil patches could
981	not cope with the maximum rainfall intensity of 15.6 mm h <sup>+</sup> recorded in the rainstorm of
982	2 <sup>nd</sup> -November 2011. Thus infiltration-excess overland flow would be expected to occur
983	widely during particularly intense storms in all landscape units.
984	The potential for infiltration excess overland flow in urban and woodland soils was
985	confirmed by rainfall simulation experiments performed in the study area, but not on
986	agricultural soils. Hour long experiments simulating a 43 mm h <sup>4</sup> rainfall (a typical
987	maximum reached over several years) in a small-plot (0.25m <sup>2</sup> ) produced runoff coefficients
988	of 59 99% on wettable urban soils (slope: 6 30°), 20 83% in extremely hydrophobie
989	woodland (slope: 5-36°), but 0% on wettable agricultural land (slope 15-50°) (Ferreira et al.,
990	2012c). Under natural rainfall, however, runoff plots (16m <sup>2</sup> ) installed in woodland areas
991	showed that even under extremely hydrophobic conditions, overland flow did not exceed
992	<del>3% even for a 23mm rainfall event (Ferreira et al., 2012a). High water infiltration in a</del>

993	hydrophobic soil matrix may be explained by preferential flow via macropores provided by,
994	for example, roots, invertebrate activity and high concentrations of stones (e.g. Urbanek
995	and Shakesby, 2009; Hardie et al., 2011), and is viewed as an important mechanism in both
996	extremely hydrophobic soils (Doerr and Thomas, 2000), but also in dry loamy soils with
997	high clay and silt contents (Yang and Zhang, 2011; Bracken and Croke, 2007). Cracks in
998	elay soils were observed in dry conditions during fieldwork in the catchment study.

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## 1000 **5.23** Implications for catchment <u>runoff</u> delivery and land management

1001 The changing nature of overland flow sources and sinks within the catchment can be 1002 expected to affect flow connectivity over the hillslope and influence storm runoff delivery 1003 to the stream network. Under hydrophobic conditions, infiltration-excess overland flow 1004 generated in relatively extensive woodland on steep slopes and on small-shallow upstream 1005 agricultural-limestone soils, may reach the stream network directly or be delivered to the 1006 urban cores lying situated downslope (Figure 2b).

1007	Vegetation is widely considered as a key factor interrupting hydrological connectivity (e.g.
1008	Bracken and Croke, 2007; Appels et al., 2011). Greater vegetation interception provided by
1009	woodland and agricultural-limestone areas, compared with the other land-uses, tends to
1010	reduce overland flow, though the effect will be marginal in large storm events, when
1011	percentage interception is small. The more important effect of interception is in helping
1012	(together with transpiration) to reduce antecedent soil moisture levels prior to rainfall
1013	eventsHowever, greater vegetation interception provided by woodland and agricultural
1014	limestone areas, compared with the other land uses, reduces the amount of rainfall reaching
1015	the ground, and thus, the susceptibility to generate overland flow <u>, though the effect on</u>

1016 overland flow will be marginal in large storm events, when percentage interception is small. 1017 The more important effect is helping (together with transpiration) to reduce antecedent soil 1018 moisture levels prior to rainfall events. In central Portugal, Valente et al. (1997) reported 1019 interception losses of 11% in eucalypteucalypt stands and 17% in Pinus pinaster forest, and 1020 states stated the role influence of a larger canopy storage on greater rainfall interception, as 1021 well as and larger aerodynamic conductance on increased evaporation water-losses. In 1022 addition, greater litter density and frequency of root holes comparing compared with the 1023 other landscape units, may lead to enhanced water interception, and retention and 1024 infiltration, particularly in smaller storm events after dry spells. Despite enhancing water 1025 losses, vegetation is widely considered as a key factor interrupting hydrological 1026 connectivity (e.g. Bracken and Croke, 2007; Apples et al., 2011), beyond its positive impact on soil properties, such as reduced bulk density, which enhanced soil infiltration 1027 1028 capacity. Surface roughness also promotes water retention and reduces overland flow rates, 1029 and promotes discontinuities between overland flow source areas (Rodríguez-Caballero et al., 2012). Greater interception, coupled with tThese infiltration/retention processes 1030 1031 operating at larger scales, as well as preferential flow via root-holes and cracks, 1032 considerably reduce the risk that overland flow from low permeable soil sites might reach 1033 downslope contiguous urban areas and/or the stream network. Although the higher 1034 infiltration capacity of urban soils may provide overland flow sinks, the mainly 1035 impermeable tarmac and paved surfaces of urban areas would allow little infiltration, 1036 restricting the capacity to deal with rainfall and overland flow from upslope landscape 1037 units. Observations in *Ribeira dos Covões* over three<sup>3</sup> years suggest that only small 1038 amounts of overland flow were generated in woodland and agricultural limestone areas, mainly after dry conditions. Nevertheless, preferential flow via macropores can reach 1039

1040 streams relatively quickly, and thus contribute to the flood peak, as reported in
1041 Pennsylvania, USA (Yu et al., 2014).

1042 Although not recorded during this study, clear-felling in woodland would cause increased 1043 overland flow and water connectivity by providing bare, compacted areas and reducing 1044 interception, transpiration and surface roughness. Thus the size and location of clear-felled 1045 areas require planning to ensure that most overland flow is intercepted by downslope 1046 woodland area sinks in order to reduce flood hazard. Clear-felling should also be timed to 1047 avoid storms of early autumn rainy seasons, in view of the greater extent and location of 1048 hydrophobic areas at that time (Figure 6). In addition, if forestwoodland managers select 1049 tree species that release less hydrophobic substances, overland flow may be 1050 correspondingly reduced (e.g. Ferreira et al. 2012a).

1051 Under wet winter conditions, saturation excesssaturation overland flow becomes more 1052 likely in urban and agricultural land-uses, but saturated areas may be more influenced by 1053 topography and soil depth than by land-use (Figure 8). Overland flow generated in these 1054 landscape units would be delivered mostly to the stream network, but also to downslope 1055 woodland and urban cores in the case of upslope saturated shallow soils (Figures 2b and 8). 1056 Previous studies reported higher runoff coefficients in shallow soils affecting hillslope 1057 runoff connectivity (Kirkby et al., 2002; Easton et al., 2007; Hopp and McDonnell, 2009). 1058 In agricultural fieldsareas, however, overland flow paths would depend on land 1059 management. Land drains, ditches, wheel ruts and roads may enhance flow connectivity, 1060 particularly if they are aligned downslope, whereas terracing and stone boundary walls can 1061 form traps for water, enhancing infiltration and disrupting flow pathways. Overland flow 1062 transfer from agricultural and urban areas to downslope woodland soils when hydrophilic may be dissipated by enhanced infiltration and surface retention. Furthermore, although
much of the overland flow from impermeable urban surfaces located in upslope positions
(Figure 2b) is collected by the urban drainage system and delivered directly into the stream,
some flows into nearby soil.

1067 Because of the generally low infiltration capacity or saturated condition of downslope 1068 urban soil areas, saturation-excesssaturation overland flow reaching suchdownslope urban 1069 areas may be problematic, although this can be offset by spatial differences in modified and 1070 unmodified soil properties providing a mosaic of varying infiltration capacity. Even if 1071 urban soils surrounding impermeable surfaces (e.g. roofs and roads) cannot act as sinks, 1072 they may provide flow obstructions within them (together such as with buildings and walls) 1073 and so may delay overland flow transfer. This will depend on urbanization style, since 1074 extended impermeable surfaces will enhance landscape connectivity, whereas detached 1075 houses surrounded by gardens and walls can provide sinks and flow discontinuity.

1076 The susceptibility of urban core areas located in topographic lows (Figure 2b) to saturation-1077 excesssaturation overland flow and stream flooding may represent a real flood hazard for 1078 the inhabitants, particularly considering the recent scale of recent urban consolidation in the 1079 *Ribeiroa dos Covoões catchment.* This risk may be enhanced by 1) additional overland flow 1080 resulting from greater connectivity with upslope areas subject to soil moisture increase and 1081 water table rise, and 2) the rapid transfer of most overland flow from upslope impermeable 1082 surfaces directly into the stream via the urban drainage system. These may be particularly 1083 important in larger storm events, considering the generally low soil permeability across the 1084 catchment. Based on According to interviews with older citizens-interviews, fFlooding events hazards were already experienced by older citizens which have reported flood events 1085

1086 about 80, 50 and 10 years ago, when the urban area was considerably less extensive than

1087 currentlynow.

1088 Analyses of storm hydrographs of the outlet stream (results not shown) suggest that the 1089 actual landscape mosaic of Ribeira dos Covões catchment, comprising extensive woodland 1090 areas and large urban areas near the catchment outlet, together with numerous smaller 1091 urban areas mainly along ridges upslope with minor and dispersed agricultural fields 1092 (Figure 2b), may be sufficient to promote discontinuities to the infiltration-excess overland 1093 flow generated by soil hydrophobicity. Thus, in dry settings, rainstorms of 2.8 mm (average) and 14.4 mm (large), recorded on 6<sup>th</sup> August and 1<sup>st</sup> September 2011, promoted 1094 1095 runoff coefficients for the Ribeiroa dos Covoões stream of only 5% and 2% respectively and. These rainfall events resulted in peak streamflows of only 0.041 mm h<sup>-1</sup> and 0.036 mm 1096 h<sup>-1</sup>, compared<del>associated</del> with maximum 5-minute rainfall intensities of 2.4 mm h<sup>-1</sup> and 9.6 1097 1098 mm h<sup>-1</sup> respectively. Thus, hydrophobicity over the catchment does not translate into 1099 catchment-scale overland flow, presumably due to infiltration into sinks and interception 1100 downslope. In wet conditions, however, enhanced soil moisture levels seem to increase 1101 flow connectivity over the catchment. Thus rainstorms of 2.8 mm and 1415.04 mm registered on 11th February and 28th March 2011, led to 10% and 9% storm runoff 1102 coefficients and peak flows of 0.079 and 0.370 mm h<sup>-1</sup>, compared with maximum rainfall 1103 intensities of 9.6 mm h<sup>-1</sup> in both cases. Although lag times from peak rainfall to peak 1104 1105 streamflow are short, ranging between 25 and 35 minutes, and probably a direct result of 1106 urban surface runoff and the urban drainage system, the overriding feature is the small size 1107 of the storm runoff coefficients both in-during dry and wet times of the year, which shows 1108 how little of the rain falling on the peri-urban mosaic actually reaches the stream network. 1109 This may reflect in part of the ridge location of much of the urban expansion to date and in

1110 part a rather high proportion of infiltration into urban soil within the urban units and 1111 adjacent landscape units.

1112	The short lag times, between rainfall and streamflow peaks in urban areas, however, mean
1113	that future urban consolidation and the construction of new urban cores, already proposed,
1114	must be planned carefully in order to minimize urban flood hazardhowever, mean that
1115	future urban consolidation and the construction of new urban cores, already projected, must
1116	be planned carefully in order to minimize urban flood hazard. From the hydrological point
1117	of view, instead of extending the existing urban cores, it would be better to establish new
1118	dispersed urban cores far from the stream network. The maintenance of a patchy mosaic of
1119	dispersed landscape units would reduce overland flow and river flood peak responses.

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## 1121 <u>56</u> Conclusions

The peri-urban *Ribeira dos Covões* catchment is covered by soils of relatively low matrixinfiltration capacity, <u>but and</u> of greater permeability on sandstone than limestone, due to the <u>latter's marly nature of the latter</u>. <u>The d</u>Different landscape units, associated with different land-uses and lithologies, display varying responses of soil hydrological properties to season and to antecedent rainfall with complex consequences for spatial patterns of overland flow and its flow connectivity. The main findings are:

In dry conditions, severe hydrophobicity in <u>eucalyptuseucalypt and pine (but not</u>
 <u>oak)</u> woodland and limestone-agricultural areas (abandoned fields) considerably
 reduces soil matrix infiltration capacity. In contrast, <u>urban and agricultural</u>
 sandstone soils (mainly covered by olives, pasture and gardens) <u>and urban soils</u>

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remain mostly hydrophilic, and have relatively high infiltration capacities (median values of 3 mm  $h^{-1}$ ). Under wet conditions, hydrophobicity in woodland and agricultural-limestone areas breaks down and infiltration capacity increases, reaching 6 mm  $h^{-1}$ . In contrast, on urban and agricultural-sandstone sites, a rise in soil moisture rise-leads to a decline in infiltration capacity decline, with soil saturation occurring in areas of shallow soils and high water tables on hillslopes, in topographic lows and in valley bottoms.

1139 2) Temporal variability of soil hydrological properties indicates that, in dry conditions, 1140 hydrophobicity-related infiltration-excess overland flow may be generated in 1141 woodland and agricultural-limestone areas, while in wet conditions saturation-1142 excesssaturation is likely in some locations on urban and agricultural soils. 1143 Nevertheless, soil property heterogeneity and the distinct temporal pattern of infiltration capacity indicate that much overland flow must be infiltrating before 1144 1145 reaching the stream network in patches of unsaturated soil of relatively high permeability, either within the same landscape unit or on adjacent landscape units. 1146

3) Despite the generally low soil matrix infiltration capacity across the catchment, macropores, vegetation<u>a</u> and litter<u></u>, as well asand surface roughness; play important roles in surface water retention and facilitating infiltration. Nevertheless, these processes are influenced by the different landscape units, which provide different temporal overland flow sinks. Because of this, a patchy mosaic comprising fragmented and dispersed land-uses, and the tendency for much of recent urbanization to have occurred along ridges, have to date led to relatively low flow
connectivity over hillslopes, thereby attenuating river discharge peaks.

Understanding how the spatial and temporal variability in overland flow generation and infiltration affect flow connectivity in a catchment with varied land-use, geology and soils is vital for predicting flood hazards. Landscape managers and urban planners should employ a mosaic of different land-uses, where impermeable surfaces are joined hydrologically to infiltration-promoting "green" areas, in order to prevent or reduce water excess downstream flooding. There need to be informed decisions about the precise spatial arrangement of different land-uses.

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- 1387
- 1388 Figures








1397 use in 2009 and location of the study sites.



d)

1399

c)





Figure 3 – Soil properties in different landscape units: a) organic matter content at the
surface (0-5cm) and b) subsurface (5-10cm), c) bulk density (0-10cm), d) porosity (010cm), e) particle size distribution of surface (0-5cm), and f) subsurface soil (5-10cm) (W:
woodland, A: agricultural, U: urban, S: sandstone, L: limestone).



- 1411 Figure 4 Daily rainfall and mean daily temperature during the monitoring period
- 1412 September 2010 May 2011 with dates of field measurements.





1423 Figure 6 – Spatial variation of median soil hydrophobicity at the measurement dates, based

1424 on the Thiessen polygon method.



period (W: woodland, A: agricultural, U: urban, S: sandstone, L: limestone). Horizontal
dashed lines represent median soil moistures across the catchment, for the 9 measurement
dates.



1435 Figure 8 – Spatial distribution in median soil moisture content for each the measurement

1436 date, using the Thiessen polygon method.







- 1449 Figure 10 Spatial variation in median matrix soil infiltration capacity at each measurement

1450	dates, considering Thiessen Polygon method for data distribution.
1451	

## 1454 Tables

1455 Table 1 – Rainfall and mean temperature in the days prior to measurement dates.

-		Total rainfall	A	Antecedent	Mean temperature		
Measurement date		between measurements (mm)	2 days	5 days	10 days	30 days	during previous 5 days (°C)
-	30/09/2010	-	0.0	0.0	0.0	5.0	18.9
	15/10/2010	72.6	0.0	0.2	53.8	72.6	16.7
	02/11/2010	77.2	1.2	75.4	77.2	131.6	14.1
ĺ	23/11/2010	66 <u>.0</u>	0.4	9.6	49.0	141.8	11.4
	03/01/2011	161.5	0.5	26	30.2	131.5	12.3
	24/01/2011	82.8	0.7	2.6	12.3	112.5	6.9
ĺ	21/03/2011	97 <u>.0</u>	0.2	0.2	15.8	19.8	13.1
	09/05/2011	72. <u>3<del>28</del></u>	0.2	3.1	12.5	47.2	16.3
	13/06/2011	37 <u>.0</u>	0.0	0	0.0	37.0	18.1

1457	Table 2 –	Principal	Component	Analysis	results	considering	only	hydrophobicity	at
1458	different dep	oths and so	oil moisture v	ariables.					

Factors	FC 1
Underschabigity (Dam)	0.780
ryurophobierty (Jelli)	0.780
Hydrophobicity (2cm)	0.894
Hydrophobicity (5cm)	0.893
Soil moisture (0-5cm)	-0.595
Cumulative variance explained (%)	64.0

1460	Table 3 -	Principal	Component	Analysis results	including	hydropho	bicity, soil	moisture and
					U U	* 1		

1461 soil properties at different depths.

Factors	FC 1	FC 2	FC 3
Hydrophobicity (0cm)	-0.108	0.772	-0.230
Hydrophobicity (2cm)	-0.297	0.809	-0.214
Hydrophobicity (5cm)	-0.298	0.777	-0.314
Soil moisture (0-5cm)	0.378	-0.342	0.518
Organic matter content (0-5 cm)	0.044	0.622	0.627
Organic matter content (5-10 cm)	0.247	0.580	0.652

Coarse sand (0-5 cm)	-0.831	-0.163	-0.075
Coarse sand (5-10 cm)	-0.907	-0.150	0.169
Silt (0-5 cm)	0.870	0.183	0.006
Silt (5-10 cm)	0.906	0.170	-0.173
Clay (5-10 cm)	0.714	-0.100	-0.454
Cumulative variance explained (%)	36.3	61.9	76.0



Figure 1 – Average monthly rainfall and temperature at Coimbra (Bencanta weather station), calculated from data regarding to for the periods 1941–1970 and 1971-2000 (INMG, 1941-2000).

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Figure 2 - Ribeira dos Covões catchment: (a) topography, lithology and streams; (b) land-

use in 2009 and location of the study sites.



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Figure 3 – Soil properties in different landscape units: a) organic matter content at the surface (0-5cm) and b) subsurface (5-10cm), c) bulk density (0-10cm), d) porosity (0-10cm), e) particle size distribution of surface (0-5cm), and f) subsurface soil (5-10cm) (W: woodland, A: agricultural, U: urban, S: sandstone, L: limestone).



limestone, e) urban-sandstone, f) urban-limestone.



Figure 7 – Box-plots of soil moisture content for the different landscape units for the study period (W: woodland, A: agricultural, U: urban, S: sandstone, L: limestone). Horizontal dashed lines represent median soil moistures across the catchment, for the 9 measurement dates.



Figure 9 – Box plots of temporal variability of matrix soil infiltration capacity for each landscape unit. Dashed lines represent median temporal variability through the whole study perioda) woodland-sandstone, b) woodland-limestone, c) agricultural-sandstone, d) agricultural-limestone, e) urban-sandstone, f) urban-limestone.<sup>27</sup>

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Figure 10 - Spatial variation in median matrix soil infiltration capacity at each measurement

dates, using the Thiessen Polygon method.

## Table 1 – Rainfall and mean temperature in the days prior to measurement dates.

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	Total rainfall	A	Antecedent			
Measurement date	between measurements (mm)	2 days	5 days	10 days	30 days	Mean temperature during previous 5 days (°C)
30/09/2010	-	0.0	0.0	0.0	5.0	18.9
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09/05/2011	72. <u>328</u>	0.2	3.1	12.5	47.2	16.3
13/06/2011	37 <u>.0</u>	0.0	0 <u>.0</u>	0.0	37.0	18.1