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Life cycle analysis of hydrothermal carbonization of olive mill waste: Comparison with current management approaches

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| 3 | LIFE CYCLE ANALYSIS OF HYDROTHERMAL CARBONIZATION OF OLIVE |
| 4 | MILL WASTE: COMPARISON WITH CURRENT MANAGEMENT APPROACHES |
| 5 | |
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16 ABSTRACT

17 Significant efforts have been direct towards developing environmentally sustainable and 18 economically beneficial treatment of olive mill wastes. Recently, hydrothermal carbonization (HTC) has been shown to be a potentially beneficial approach for the treatment of olive mill 19 20 wastes. When considering the use of HTC to treat these wastes, however, it is critical that its 21 environmental implications be evaluated and subsequently compared to other commonly used 22 treatment approaches. In this study, the environmental impacts associated with using HTC to 23 treat olive mill wastes were evaluated and compared to aerobic composting, anaerobic digestion, and incineration using life cycle assessment. Results indicate that HTC coupled with subsequent 24 25 energy recovery from the combustion of the generated hydrochar results in net environmental 26 benefits and that the energy offsets derived from electricity production from hydrochar 27 combustion are critical to achieving these savings. In addition, results indicate that HTC process 28 water discharge significantly influences system environmental impacts, indicating that research 29 investigating treatment alternatives is needed. Changes in carbonization temperature and 30 hydrochar moisture content also influence system environmental impact, suggesting that both are 31 important when considering possible industrial applications. In comparison with current 32 management approaches, alternatives using HTC are more environmental advantageous than 33 composting and anaerobic digestion. However, the use of HTC is not as environmentally 34 advantageous as incineration with energy recovery because 45-35% of the energy contained in 35 the olive mill waste is lost during HTC. However, if the electricity recovery efficiency from 36 incineration increases to greater than 30%, the environmental impacts associated with HTC and 37 subsequent energy generation are equal to or better than direct TPOWM incineration with energy 38 recovery. It is recommended that future research efforts focus on the evaluation of appropriate

39 and environmentally beneficial HTC process water treatment approaches and methods to

40 improve the energetic retention efficiencies of the hydrochar.

- 41
- 42 Keywords: LCA, hydrothermal carbonization, energy, olive mill waste, disposal treatments,
- 43 EASETECH
- 44
- 45

46 **1. Introduction**

47 Current olive oil production processes involve a two-phase centrifugation system that results in 48 the generation of a large mass of a semisolid waste stream referred to as two-phase olive mill 49 waste (TPOMW). TPOMW consists of olive pulp, a considerable amount of water (> 65%), and 50 a variety of organic compounds (e.g., carbohydrates, proteins, lipids, aromatic compounds). This 51 waste stream has been reported to be phytotoxic and has been shown to adversely impact 52 microbial activity (Alburquerque et al., 2004), necessitating treatment prior to its discharge to the 53 environment (MME, 2000). Therefore, significant efforts have been direct towards developing 54 environmentally sustainable and economically beneficial TPOMW treatment/management 55 approaches (e.g., Vlyssides et al., 2004; Tortosa et al., 2012). 56 In Spain, the largest olive oil producing country, both biological (e.g., aerobic composting (AC), 57 58 anaerobic digestion (AD)) and thermal treatment processes (e.g., incineration) are used to 59 manage this waste, as illustrated in Figure 1. Each of these processes results in the generation of value-added products (e.g., biogas, heat, power, or pomace oil) that increase system 60 61 sustainability and/or economic viability. However, these processes are plagued with significant 62 operational challenges. Biological degradation of TPOMW by microorganisms is complicated by its acidic pH and high polyphenol concentrations (Siciliano et al., 2016), and thermal treatment 63 64 approaches are generally inefficient because of the high moisture content and low energy density of the waste (Van Loo and Koppejan, 2008). 65 66

An innovative wet thermal treatment approach that may alleviate many of these challenges is
hydrothermal carbonization (HTC). HTC occurs at relatively low temperatures and under

| 69 | autogenous pressures in closed systems (Libra et al., 2011). Because HTC requires moisture, |
|----|---------------------------------------------------------------------------------------------------|
| 70 | TPOMWs are better suited for conversion via HTC than other dry thermal conversion |
| 71 | techniques. Benavente et al. (2015) report that HTC of TPOMW requires significantly less |
| 72 | energy than that associated with dry thermal conversion approaches. In addition, HTC of |
| 73 | TPOMW results in the generation of energy-rich solids that have properties equivalent to |
| 74 | subbituminous/bituminous coals. Additional information associated with the HTC process and |
| 75 | the HTC of TPOMWs can be found elsewhere (Funke and Ziegler, 2010; Libra et al., 2011; |
| 76 | Benavente et al., 2015). |
| 77 | |
| 78 | When considering the use of HTC to treat TPOMW, it is critical that its environmental |
| 79 | implications be evaluated and subsequently compared to other commonly used treatment |
| 80 | approaches. Such information is currently unknown, but necessary to ensure environmentally |
| 81 | responsible treatment process selection. The purpose of this work is to use life cycle assessment |
| 82 | (LCA) to determine the environmental impacts associated with TPOMW treatment using HTC, |
| 83 | and to compare these impacts with those associated with currently used biological and thermal |
| 84 | treatment approaches. The specific objectives of this work are to: (1) evaluate the environmental |
| 85 | impacts of the current TPOMW management approaches, (2) evaluate the environmental impacts |
| 86 | associated with HTC of TPOMW combined with the subsequent combustion of the hydrochar |
| 87 | for energy production, (3) understand how key parameters associated with each treatment |
| 88 | approach (e.g., energy recovery efficiencies, hydrochar moisture content) influence system |
| 89 | environmental impacts, and (4) provide recommendations for process selection from an |
| 90 | environmental perspective. |
| | |

92 **2. Materials and methods**

93 2.1. Goal and scope definition

The goal of this study is to use results from an LCA to compare environmental impacts 94 95 associated with the HTC of TPOMW with those associated with AC, AD, and incineration of TPOMW. This study considers the consumption and/or production of materials and energy, as 96 97 well as pollutant emissions generated over the entire life cycle of each treatment approach. 98 Avoided production and combustion of primary fuels (coal and natural gas) due to energy 99 generation from TPOMW are also included. Upstream processes, such as waste collection and 100 transport, are not considered in this study because it is assumed that these values are the same for 101 all management alternatives. The functional unit of this study is defined as the treatment of 1 kg 102 of fresh TPOMW. The physico-chemical properties of the TPOMW modeled in this work taken 103 from Benavente et al. (2015) and are described in Table SI-1 in the supplementary information

104

(SI).

105

- 106 2.2. Life Cycle Assessment (LCA)
- 107 2.2.1. Modelling approach

LCA modeling was performed using the Environmental Assessment System for Environmental Technologies software (EASETECH, version 2.0.0), a mass-flow based LCA tool developed by researchers at the Technical University of Denmark to evaluate the environmental impact of waste management processes (Clavreul et al., 2014). EASETECH was chosen for use in this study because it has been used extensively for modeling waste-related processes, similar to those commonly used as TPOMW management approaches (e.g., incineration, AC, AD, HTC). All input waste material fractions are specified in terms of elemental composition (e.g., carbon,

| 115 | hydrogen, etc.) and fraction-specific properties (e.g., moisture and energy content, etc.), and are |
|-----|-----------------------------------------------------------------------------------------------------|
| 116 | tracked through the system. Additional details associated with EASTECH and its use in |
| 117 | modeling waste management systems can be found elsewhere (e.g., Clavreul et al., 2014). |
| 118 | |
| 119 | 2.2.2. Description of scenarios and data inventory |

120 Six TPOMW treatment approaches were modeled and evaluated, as illustrated in Figures 2 and 121 3. These scenarios encompass different biological and thermal treatment approaches currently 122 practiced in Spain, as well as a scenario in which HTC is used. Three different biological 123 scenarios were modeled (Figure 2), including: (1) co-composting of TPOMW with other 124 agricultural wastes (B.1), (2) anaerobic digestion of the TPOMW with subsequent aerobic 125 composting of the digestate (B.2), and (3) anaerobic digestion of TPOMW pre-treated using catalytic oxidation following the Fenton-like process to maximize anaerobic degradation, with 126 127 subsequent composting of the digestate (B.3). Specific details associated with each process, 128 including process material and energy needs and operational parameters, can be found in the supporting information (see section 1.1). 129

130

Three thermal TPOMW treatment scenarios were also modeled (Figure 3), including: (1)
TPOMW incineration with energy recovery (T.1), (2) extraction of pomace oil from the
TPOMW, followed waste incineration with energy recovery (T.2), and (3) HTC of TPOMW
with subsequent incineration of hydrochar with energy recovery (T.3). Specific details associated
with each process, including process material and energy needs and operational parameters, can
be found in the supporting information (see section 1.2).

| 138 | Inventory data associated with these scenarios were either calculated from experimental results |
|-----|-------------------------------------------------------------------------------------------------------|
| 139 | or collected from previously published data sources, including life cycle inventory studies, |
| 140 | scientific literature describing experimental studies, and/or Ecoinvent databases. Specific details |
| 141 | associated with each management strategy and relevant individual assumptions are described in |
| 142 | the supporting information (see discussion in section 1). |
| 143 | |
| 144 | 2.2.3. Impact categories |
| 145 | Nine of the International Reference Life Cycle Data System (ILCD)-recommended impact |
| 146 | categories were evaluated and compared in this work, as shown in Table 1. Each method is |
| 147 | described in Hauschild et al. (2012). These categories were chosen because they are |
| 148 | environmentally relevant and internationally accepted in accordance with ISO 14040:2006 (ISO |
| 149 | 2006). Normalization and weighting of the impacts were not performed in this study. |
| 150 | |
| 151 | 2.2.4. Sensitivity analysis and model simulations |
| 152 | Sensitivity analyses (SA) were conducted to ascertain how parameters associated with the HTC |
| 153 | of TPOMW that represent information that is either currently unknown or may change from |
| 154 | application to application influence overall system environmental impact. Tables 2 and 3 present |
| 155 | a summary of the model simulations conducted and the parameters modified in each simulation. |
| 156 | Several model simulations varying the hydrochar moisture content (%) (SA1) and the energy |
| 157 | yield (%) (SA2) of the hydrochar were performed to understand their influence on the |
| 158 | environmental impacts caused by scenarios in which HTC is practiced. To quantify results from |
| 159 | these scenarios, sensitivity ratios (SRs), defined as the percent change of the result divided by the |

160 percent change of the parameter, were subsequently calculated for each parameter varied. SRs

- were used to quantify how the uncertainty associated with those parameters may contribute toeach impact category.
- 163

164 Simulations were also conducted to understand how increases in energy recovery efficiencies may influence the LCA of the TPOMW thermal management treatments. Data associated with a 165 166 potential future biowaste incinerator reported by Jungbluth et al. (2007) were used to model and 167 simulate potential future scenarios (labeled as T.X.F). Table 4 summarizes the energy recovery 168 efficiencies and the electricity consumption of the biowaste incineration in the base case 169 (reference value) and the future perspective (new value). Since simulating the future perspective 170 involves the variation of several parameters, the results obtained were only compared with those 171 obtained in the base case, and no sensitivity ratios were determined. Additional model simulations were performed to illustrate how changing the heat and electricity production 172 173 efficiencies of the future biowaste incinerator (SA3 and SA4) may affect overall results to 174 understand the impact of each process. 175 176 3. Results and discussion 177 3.1. LCA analysis of currently used technologies 178 LCA results for each evaluated impact category associated with all modeled waste treatment 179 approaches are reported in Figures 4-6. A positive impact potential indicates a burden to the 180 environment (negative environmental effects), while a negative potential indicates environmental 181 emissions savings (positive environmental effects). 182

183 3.1.1. Biological treatment approaches

184 Results indicate that all biologically based treatment scenarios (B.1, B.2, and B.3) result in a net 185 cost to the environment for all evaluated impact categories, except for FEP (Figures 4-6). The net 186 187 100%) from benefits associated with using the compost as a fertilizer (Figure 5). Using 188 composted organics in this manner ultimately reduces the need for mineral fertilizer production, 189 and thus the need for mining virgin phosphorus, which also imparts a positive impact on the 190 GWP and POF categories (Figure 4 and 5). Conversely, using composted organics as a fertilizer 191 results in ammonia emissions to the atmosphere, negatively contributing to the AP, TEP and 192 MEP environmental impacts. Furthermore, heavy metal emissions (mainly zinc and copper, 193 which are present in the TPOMW, see Table SI-1 in the SI) resulting from land application of the 194 compost ultimately increase the HT-NC and ET environmental potentials. These results suggest 195 that when utilizing biological processes to treat TPOMW, additional treatment of the composted 196 digestates may be required before land application. Investigating such treatment appears 197 advantageous because of the high potential for environmental savings associated with reducing 198 the requirement for virgin mineral fertilizer.

199

Energy recovery from generated biogas also represents a significant environmental benefit
(scenarios B.2 and B.3, Figures 2 and 4-6). The energy recovered from biogas conversion in a
CHP engine offsets emissions associated with the need for non-renewable energy production.
When recovering energy in scenario B.2 (Figure 2b), reductions in HT-NC (94%), HT-C (93%),
ET (89%), MEP (58%), TEP (16%) and GWP (11%) impact potentials result when compared
with scenario B.1. These reductions resulting from energy recovery represent the greatest benefit
for all impact potentials, except for GWP and FEP. Using the composted digestate in place of

| 207 | mineral fertilizers results in the greatest reduction of the GWP and FEP impact potentials. Not |
|-----|----------------------------------------------------------------------------------------------------------|
| 208 | surprisingly, all energy-related benefits significantly increase when the TPOMW is catalytically |
| 209 | oxidized through the Fenton process (scenario B.3) to improve its biodegradability. This increase |
| 210 | in energy-related benefits is illustrated by greater reductions in the HT-C (95%), MEP (76%), |
| 211 | TEP (58%), AP (56%), POF (43%) and GWP (29%) impact potentials associated with scenario |
| 212 | B.3 when compared to scenario B.1. Scenario B.3 exhibits the lowest burden among the |
| 213 | biological treatments because of the higher electricity production from the biogas combustion, |
| 214 | although a net cost to the environment remains. Overall, these results indicate that when |
| 215 | selecting biological treatment approaches, it is critical that the biogas be collected and used for |
| 216 | energy to decrease system environmental burden. |
| 217 | |
| 218 | A significant cost to the environment associated with the anaerobic digestion of TPOMW and |
| 219 | subsequent aerobic composting of the digestate is related to process energy needs. Each process |
| 220 | requires the use of diesel and/or coal-based electricity, resulting in NOx and SOx emissions |
| 221 | which increase process environmental burden. The biostabilization stage during the composting |
| 222 | process is another source of emissions (e.g., ammonia, methane, and nitrous oxide) that |
| 223 | contributes to process environmental burden. NO_x and SO_x emissions contribute to the total POF |
| 224 | impact potential of scenarios B.2 and B.3. These NO _x emissions also contribute to the AP and |
| 225 | TEP impact potentials. Ammonia emissions are the main component of the TEP impact category, |
| 226 | and methane and nitrous oxide ultimately contribute to the environmental costs in the GWP and |
| 227 | POF categories. |

228

229 3.1.2 Thermal Treatment Approaches

230 When using traditional thermal treatment approaches (scenarios T.1 and T.2), drying of fresh 231 TPOMW in a rotary dryer and pomace oil extraction result in costs to the environment (Figures 232 4-6). TPOMW drying occurs in both scenarios and imparts an environmental cost to all impact 233 categories, with GWP most affected due to the large amount of energy required to dry the waste 234 stream. The environmental costs associated with pomace oil extraction (scenario T.2) also 235 largely result from energy consumption. In addition, hexane emissions as a result of the pomace 236 237 majority of this impact is due to actual hexane emissions, which result from residual hexane found in the exhausted TPOMW. The majority of the POF impact potential is caused by these 238 239 hexane emissions. Hexane manufacturing (e.g., heptane, hexane and aliphatic, alkane and cyclic 240 hydrocarbons) also contributes to the environmental impact of this process. Only 1% of the POF impact potential is associated with gas emissions resulting from hexane manufacturing. Hexane 241 242 manufacturing also contributes to the GWP, AP, ET and TEP impact potentials. The GWP 243 category is increased because of the energy required to manufacture hexane, while the process specific emissions associated with the manufacturing process (e.g., heptane, hexane, aliphatic, 244 245 alkane, and cyclic hydrocarbons) influence the AP, ET and TEP potentials.

246

A net environmental savings associated with all impact categories, except for FEP, results when incinerating TPOMW with energy recovery (scenario T.1). Environmental costs associated with incineration are mainly attributed to the pollutant emissions (e.g., non-methane volatile organic compounds, NMVOC), particulates, sulfur dioxide, and nitrogen oxides) and material requirements (e.g., natural resources and chemicals used in the gas cleaning). However, the environmental benefits associated with substituting coal-based electricity with energy recovered

| 253 | from the incineration of the TPOMW (Figures 4-6) outweigh these costs. The environmental |
|-----|---------------------------------------------------------------------------------------------------|
| 254 | costs associated with the FEP impact are related to the phosphorus content in the TPOMW, |
| 255 | which is emitted to the water compartment. Since the phosphorus content is not degraded during |
| 256 | waste drying or pomace oil extraction, the influence of phosphorus to FEP category is the same |
| 257 | in scenarios T.1 and T.2. |
| 258 | |
| 259 | It should be noted that although pomace oil extraction contributes to system environmental costs, |
| 260 | recovery of this value-added product represents a potential economic benefit that is not |
| 261 | accounted for in this LCA. In addition, it is important to note that the environmental costs |
| 262 | associated with pomace oil extraction are offset by the benefits associated with energy recovery. |
| 263 | Therefore, if pomace oil is extracted, it is critical that energy recovery occurs. |
| 264 | |
| 265 | 3.1.3. Comparison between currently used biological and thermal treatment approaches |
| 266 | Overall, thermal treatment of TPOMW results in reducing the climate change (GWP), ecosystem |
| 267 | quality (except for FEP), and human toxicity (Figures 4-6) impact potentials. Conversely, |
| 268 | biological treatment approaches result in environmental costs to these impact potentials, except |
| 269 | for FEP (Figures 4-6). Environmentally favorable results are achieved when using a thermal |
| 270 | treatment approach because of the significant environmental credits obtained from electricity |
| 271 | production and its subsequent replacement of coal-based electricity. These results suggest that |
| 272 | energy generation and subsequent recovery is critical to ensure environmental savings associated |
| 273 | with the climate change, the ecosystem toxicity and the human toxicity potentials of the |
| 274 | scenarios studied occur. |
| 275 | |

276 3.2. LCA analysis of Hydrothermal Carbonization

277 3.2.1. Environmental impact of hydrothermal carbonization and influence of carbonization278 reaction temperature

279 When using HTC to treat TPOMW, an overall environmental savings results, except for the ET 280 and FEP impact categories (Figures 4-6). Environmental costs associated with the HTC process 281 itself are a result of electricity requirements and liquid and gas-phase emissions. The HTC 282 process at all evaluated temperatures (200, 225, and 250°C) contributes most significantly to the 283 FEP and ET impact categories because of liquid-phase discharge to the environment (Figure 6). 284 Liquid-phase nutrient emissions to the surface water represent 100% of the FEP impact potential, 285 while liquid-phase metal emissions (zinc and copper, present in the TPOMW, see Table SI-1 in 286 the SI) represent the largest influence (99%) on the ET impact category. Released liquid-phase zinc also imparts a contribution to the HT-NC impact potential (24-33%). It should be noted that 287 288 although in these scenarios the HTC-liquid was not treated before its discharge, such treatment 289 will be required to meet regulatory discharge limits. Berge et al. (2015) reported that if 90% of 290 the liquid-phase contaminants are removed, the environmental impact associated with HTC can 291 be significantly reduced. The gas-phase emissions associated with HTC are mostly composed of 292 biogenic carbon dioxide (88-93%, vol.), and thus do not represent a significant environmental 293 impact. However, a more extensive evaluation of gas-phase composition associated with HTC is 294 needed.

295

The electricity required to run the HTC process represents only a small contribution to the overall environmental impacts, contributing to the GWP (8.3%), HT-C (2.1%), POF (3.0%), AP (4.4%) and TEP (2.0%) impact categories. It is important to note that the environmental impacts

| 299 | caused by the HTC process itself may change when scaling-up the HTC and mechanical |
|-----|---------------------------------------------------------------------------------------------------|
| 300 | dewatering processes due to changes in requirements for auxiliary equipment requiring diesel oil, |
| 301 | electricity, and/or chemicals, all of which were not considered in this study. |
| 302 | |
| 303 | As carbonization temperature increases, the environmental costs of the HTC process increases |
| 304 | (Figures 4-6). Changes in reaction temperature influence energy requirements for reactor heating |
| 305 | and volume of discharged HTC process water (see Table SI-2). When increasing the reaction |
| 306 | temperature from 200°C to 250°C, impact potentials associated with the HTC process increase, |
| 307 | although they remain insignificant on overall environmental impact. |
| 308 | |
| 309 | Hydrochar drying, which is represented in the rotary dryer category in Figures 4-6, following |
| 310 | carbonization represents a small environmental impact to each scenario and, importantly, |
| 311 | presents a significantly lower contribution to the impact categories than that of TPOMW drying |
| 312 | in scenarios T.1 and T.2. This reduction in impact results because the moisture content of the |
| 313 | solid (hydrochar) following HTC is lower than that associated with raw TPOMW (Tables SI-1 |
| 314 | and SI-2). As HTC reaction temperature increases, the contribution of hydrochar drying |
| 315 | decreases because hydrochar hydrophobicity increases and consequently less moisture is retained |
| 316 | in the solids. The environmental impact associated with hydrochar drying decreases by almost |
| 317 | 80% in all impact categories when increasing the HTC reaction temperature from 200°C to |
| 318 | 250°C. |
| 319 | |
| 320 | Similar to energy recovery in the biological and thermal treatment approaches discussed |
| 321 | previously, the energy recovered from hydrochar combustion represents an important and |

322 significant environmental savings; the GWP (-81.5%), HT-C (-96.0%), HT-NC (-76.6%), POF (-323 92.9%), AP (-91.0%), TEP (-96.3%), and MEP (-96.2%) impact categories (Figures 4-6) are 324 influenced. These savings are significant enough to overcome the aforementioned burdens 325 attributed to the HTC process (e.g., energy consumption and gas emissions, excluding liquid 326 emissions) and hydrochar drying, indicating that the energy offsets associated with electricity 327 production from hydrochar combustion play an important role in the environmental implications 328 of the HTC process. The percent of energy initially present in the TPOMW that is recovered in 329 the hydrochar, which is dependent on both hydrochar energy content and mass yield, reaches a 330 maximum at 225°C (Table 2 in the SI, Benavente et al., 2015) and, as a consequence, greater 331 332 electricity are produced from the hydrochar generated at 225°C than that at 200°C and 250°C, respectively. Therefore, scenario T.3.225 exhibits the lowest overall impact potentials in all 333 334 categories, except for ET. The large impact associated with the ET category remains because 335 liquid emissions remain significant.

336

3.2.2. Comparison between scenarios with HTC and other currently used treatment approaches 337 338 A ranked comparison of all evaluated scenarios for all evaluated impact categories is presented 339 in Table 5. Results from scenarios in which HTC is used to overcome the technical challenges 340 biological and thermal treatment approaches pose indicate that environmental savings in the 341 GWP impact potential occur; however, such savings are not as significant as those obtained with 342 traditional thermal treatment approaches (scenario T.1 and T.2). This finding results because the 343 energy content of the hydrochar is approximately 45-35% smaller than that associated with dried 344 TPOMW, which results in the recovery of less energy. It should be noted, however, that the HTC

345 of TPOMW and subsequent incineration of the hydrochar does result in a net environmental 346 benefit, and is shown to be more environmental advantageous than any of the biological 347 treatments analyzed from a climate change perspective. No significant differences are observed between HTC of TPOMW and subsequent incineration of the hydrochar (scenarios T.1 and T.2) 348 349 350 351 When comparing the ecosystem quality impact potentials, scenarios T.1 and T.2 remain more 352 environmentally advantageous (Figures 4 and 5) than the scenarios with HTC. Greater FEP, 353 MEP, and ET emissions savings result in scenarios T.1 and T.2 because of greater electricity 354 production. In addition, HTC of TPOMW represents a burden to the ecosystem quality due to the 355 HTC liquid-phase discharge to surface waters. When compared with biological treatment 356 357 determined for scenarios B.1, B.2, and B.3.

358

359 Most environmental costs associated with the integration of the HTC process within a TPOMW treatment scheme are related to the liquid phase discharge to the environment. As previously 360 361 stated, adequate treatment of the HTC liquid before its discharge may significantly decrease the 362 magnitude of these impact potentials. Wirth and Mumme (2013) showed that anaerobic digestion 363 was a suitable process to treat HTC wastewaters. The properties of the HTC process water 364 obtained from TPOMW carbonization are similar to the properties of olive mill wastewaters 365 (OMWW). Therefore, it is expected that anaerobic digestion may also be effective for the 366 treatment of wastewaters produced from TPOMW carbonization. Treatment processes that have been found to be useful in treating OMWW may also be used to treat this liquid stream (e.g., Aly 367

| 368 | et al., 2014; Sponza and Oztekin et al., 2014). It is important to note that emissions associated |
|-----|---------------------------------------------------------------------------------------------------|
| 369 | with HTC process water treatment will also contribute to the system environmental impact. |
| 370 | However, results from previous studies suggest that these processes may cause significantly less |
| 371 | environmental impacts than the direct discharge of HTC wastewaters (Hong et al., 2010). |
| 372 | \mathcal{R} |
| 373 | The reduction in energy contained in the solid during HTC is another other key factor affecting |
| 374 | the global energy efficiency, and consequently the environmental savings associated with |
| 375 | scenarios T.3.200-250. Although the HTC technology is more energetically advantageous and |
| 376 | ultimately alleviates some operational and economic challenges associated with current thermal |
| 377 | treatment processes, the amount of energy produced from the combustion of the hydrochar is |
| 378 | lower than that produced from the direct combustion of the TPOMW. Research focusing on |
| 379 | maximizing solids yields and hydrochar energy contents is needed to potentially reduce this |
| 380 | difference. |
| 381 | |
| 382 | Overall, these results suggest that thermal treatment approaches (traditional and with HTC) out- |
| 383 | perform biologically based approaches. It should be noted that several LCA simulations were |
| 384 | conducted to evaluate the importance of various key parameters associated with biological |
| 385 | treatment approaches, as described in the supplementary information (Section 2, Table SI-4, |
| 386 | Figures SI-1 and SI-4). However, even when values for these key parameters were chosen to |
| 387 | minimize system environmental impacts, scenarios B.1, B.2, and B.3 remain less favorable than |

3.3. Sensitivity analyses

thermal treatment approaches with and without HTC.

| 301 | 221 | Influence | ftha | anaray racayary | officianon | during | thormal | troatmon |
|-----|--------|--------------------|---------------|-----------------|------------|--------|---------|-----------|
| 371 | 5.5.1. | <i>injiuence</i> (| <i>ij ine</i> | energyrecovery | efficiency | uuring | mermui | ireaimeni |

392 Simulations were conducted to assess how changes in energy-related parameters associated with 393 incineration may influence system environmental impact. First, gross electric and thermal energy 394 efficiencies were modified according to values corresponding with a potential future biowaste 395 incinerator (Jungbluth et al., 2007). In these future scenarios, the thermal energy efficiency is 396 projected to greatly increase because some additional heat energy can be generated from the flue 397 gas by means of a heat pump and lowering of the flue gas temperature after condensation. 398 However, electric efficiencies are projected to only slightly increase. 399 400 The individual impact potentials resulting from this future scenario analysis are presented in 401 Figure 7, and indicate that changes in the efficiencies associated with electricity and heat 402 generation impart a significant influence on all impact categories associated with these thermal 403 management approaches. As the total energy efficiencies increase, the overall impact potentials

404 decrease because greater emission offsets are obtained from the larger electrical and thermal

405 energy production. The GWP impact potentials are reduced by 70-83% in all scenarios studied.
406 This change in energy efficiency also results in corresponding reductions in environmental
407 impact potentials related to human toxicity and ecosystem quality.

408

When comparing the results from the future scenarios (T.1.F, T.2.F, T.3.200.F, T.3.225.F,
T.3.250.F) with their corresponding base case scenarios (T.1, T.2, T.3.200, T.3.225, T.3.250),

411 interesting observations associated with electricity and heat production/requirements result

- 412 (Table 4). For each base case scenario, the heat produced is less than total heat consumption (as
- 413 reflected by negative net heat production values); therefore, an industrial furnace operated with

| 414 | natural gas is required. When HTC is implemented, less heat is required for TPOMW drying and |
|-----|----------------------------------------------------------------------------------------------------|
| 415 | as a consequence, heating energy savings results, even when the heat produced from the |
| 416 | hydrochar is lower than that produced from the TPOMW (as seen in Table 4). This ultimately |
| 417 | reduces the environmental impacts associated with the auxiliary natural gas-based heat supply. |
| 418 | When considering the future perspective, however, all scenarios result in net heat production that |
| 419 | can be used for beneficial purposes at/nearby the facilities; 28% and 10% of the heat produced |
| 420 | could be exported for other uses if practicing scenarios T.1.F and T.2.F, respectively, while 14%, |
| 421 | 21% and 29% of excess heat is produced in scenarios T.3.200, T.3.225 and T.3.250, respectively. |
| 422 | |
| 423 | In instances in which the excess heat produced cannot be used, it would be more beneficial to |
| 424 | produce larger amounts of electricity than heat. Two model simulations were conducted to |
| 425 | evaluate how decreasing heat production while increasing electricity production influence overall |
| 426 | impact potentials. In the first analysis (SA3), the heat recovery efficiency corresponding to the |
| 427 | future biowaste incinerator was decreased so that only the amount of heat required in each |
| 428 | scenario is generated, as illustrated in Tables 3 and 4. In the second analysis (SA4), the heat |
| 429 | recovery efficiency used in analysis SA3 was coupled with the electricity recovery efficiency |
| 430 | equivalent to the future biowaste incinerator, as shown in Table 3. Results from these analyses |
| 431 | are illustrated in Figures 7 and 8 and indicate that changes in heat and electricity recovery |
| 432 | efficiencies do not have the same effect on the environmental impact results. A reduction in the |
| 433 | heat recovery efficiency imparts a smaller influence on the environmental impact of the thermal |
| 434 | treatments than the same increase in the electricity recovery efficiency. Decreases in the heat |
| 435 | generation efficiency (SA3) lead to a slight reduction of all the environmental impacts, with |
| 436 | GWP being the most affected impact potential (Figures 7 and 8). However, when increasing the |

437 electricity recovery efficiency (SA4), the associated environmental impacts are greatly reduced, 438 indicating that these environmental savings are more significant. These results are not surprising, 439 as offsetting emissions associated with coal-based electricity are likely greater than the offsetting 440 emissions associated with natural gas and heat. In addition, it is also observed that the 441 environmental impacts associated with scenario T.1 and scenarios with HTC are equivalent when 442 the electricity production efficiency is increased, with the exception of the ET impact potential, 443 which is associated with the HTC-liquid emissions. This result is significant and suggests that 444 when electricity efficiencies are high, HTC is likely the preferred approach, noting that using 445 HTC to treat TPOMW alleviates some of the operational challenges associated with TPOMW 446 incineration.

447

448 3.3.2. Influence of hydrochar properties: moisture and energy content

449 There is a significant amount of uncertainty associated with hydrochar moisture content 450 451 energy required for hydrochar drying and can vary greatly depending on the mechanical 452 453 analysis was performed to understand the influence of hydrochar moisture content on the overall 454 environmental impacts of scenarios T.3.200, T.3.225 and T.3.250. Results from this analysis are 455 456 affect system environmental impacts for all impact categories that are influenced by liquid-phase 457 458 liquid-phase discharges, imparting a positive effect on the environmental impacts associated with 459 the ET, MEP, and HT-NC categories. Additionally, results from this analysis indicate that

system environmental impact is not very sensitive to changes in the electricity required for liquid
evaporation. These results are important when considering possible industrial applications, as the
dewatering process should consider both energy and environmental implications.

463

Another analysis was conducted to determine the percentage of energy initially present in the 464 465 TPOMW that needs to be recovered in the hydrochar to match the GWP impact potential results 466 obtained when incinerating the TPOMW. Results from this analysis indicate that the minimum 467 468 scenarios T.3.200 and T.3.225 and 86% for scenario T.3.250. These differences result because of 469 the improvement of the dewatering properties of the hydrochar with increasing temperature. If 470 achieving these energy recoveries during HTC is possible, HTC of TPOMW and subsequent 471 472 TPOMW, with the added advantage of overcoming the operational and economic limitations 473 associated with the currently used processes. These results suggest that research focusing on 474 improving hydrochar energy content and solids yields from HTC would be advantageous.

475

476 **4. Conclusions**

477 LCA was used to conduct a system level analysis to determine the environmental impacts 478 associated with currently used TPOMW management approaches and to compare them with the 479 impacts associated with the use of HTC to treat the TPOMW. Results indicate that the largest 480 environmental impacts arise from the biological treatment of TPOMW. The most 481 environmentally friendly TPOMW management option is scenario T.1, which includes waste 482 drying and incineration, followed by scenario T.2, which involves drying, pomace oil extraction,

and incineration. It is important to note, however, that despite having greater environmental

| 484 | benefits, these alternatives pose some operational and economic challenges caused by the high |
|-----|--------------------------------------------------------------------------------------------------|
| 485 | moisture content of the TPOMW. The benefit of using HTC to overcome these challenges is not |
| 486 | reflected in this LCA. Additional analyses in which benefits associated with these operational |
| 487 | and economic challenges are considered also need to be conducted. |
| 488 | |
| 489 | Results from this work also indicate that the hydrothermal carbonization of TPOMW followed |
| 490 | by hydrochar combustion with energy recovery results in net environmental savings for all |
| 491 | impact categories with the exception of FEP and ET, which are mainly attributed to the untreated |
| 492 | HTC process water discharge to the environment. Improving the fraction of energy initially |
| 493 | present in the TPOMW that remains in the hydrochar and treating the liquid emissions from the |
| 494 | HTC process will result in reducing system environmental impact, resulting in scenarios with |
| 495 | HTC being as environmentally beneficial as current thermal treatment approaches. Thus, it is |
| 496 | recommended that future research efforts focus on the evaluation of appropriate and |
| 497 | environmentally beneficial HTC process water treatment approaches and methods to improve the |
| 498 | energy retention efficiencies of the hydrochar. |
| 499 | |

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504

483

505 Appendix A. Supplementary data

| 507 | Abbreviation list |
|-----|----------------------------------------------|
| 508 | TPOMW – Two Phase Olive Mill Waste |
| 509 | AC – Aerobic Composting |
| 510 | AD – Anaerobic Composting |
| 511 | HTC – Hydrothermal carbonization |
| 512 | LCA – Life Cycle Analysis |
| 513 | B – Biological treatment |
| 514 | T – Thermal Treatment |
| 515 | GWP – Global Warming Potential |
| 516 | AP – Terrestrial Acidification |
| 517 | TEP – Terrestrial eutrophication |
| 518 | HT-C – Human Toxicity, Carcinogenic |
| 519 | HT-NC – Human Toxicity, Non-Carcinogenic |
| 520 | POF – Photochemical Oxidant Formation |
| 521 | MEP – Marine Eutrophication |
| 522 | FEP – Fresh water Eutrophication |
| 523 | ET – Ecotoxicity |
| 524 | SA – Sensitivity Analysis |
| 525 | SR – Sensitivity Ratio |
| 526 | CHP – Combine Heat and Power engine |
| 527 | COD – Chemical Oxygen Demand |
| 528 | TOC – Total Organic Carbon |
| | |

| 529 | OMWW – Olive Mill Waste Water |
|-----|--------------------------------------------------------------------------------------------------|
| 530 | NMVOC – Non-Methane Volatile Organic Compounds |
| 531 | |
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Table 1. Impact categories used in the impact assessment.

| Impact category | Method | Abbreviation | Unit/kg |
|------------------------------------------------|-------------------------------------------------------------------|--------------|------------------|
| Climate change | IPCC 2007 (Forster et al., 2007)) | GWP | kg CO2-eq. |
| Human toxicity, cancer effect ^a | USEtox (Rosembaum et al., 2008) | HT-C | CTU _h |
| Human toxicity, non-cancer effect ^a | USEtox (Rosembaum et al., 2008) | HT-NC | CTU _h |
| Photochemical ozone formation | ReCiPe midpoint (Van Zelm et al., 2008) | POF | kg NMVOC-eq. |
| Terrestrial acidification ^c | Accumulated exceedance (Seppala et al., 2006; Posch et al., 2008) | AP | AE |
| Terrestrial eutrophication | Accumulated exceedance (Seppala et al., 2006; Posch et al., 2008) | ТЕР | AE |
| Freshwater eutrophication | ReCiPe midpoint (Struijs et al., 2009) | FEP | kg P-eq. |
| Marine eutrophication | ReCiPe midpoint (Struijs et al., 2009) | MEP | kg N-eq. |
| Freshwater ecotoxicity | USEtox (Rosembaum et al., 2008) | ET | CTU _e |

^a CTU_h: comparative toxic unit for humans.

^b CTU_e: comparative toxic unit for ecosystem.

^c AE: accumulated exceedance (keq).

 Table 2. Summary of model simulations conducted in EASETECH.

| Scenario | Label | Description | | | |
|----------------------|---------------|---------------------------------------------|--|--|--|
| COMPOSTING | B.1 | Base case | | | |
| ANA EDODIC DICESTION | B.2 | Base case | | | |
| ANAEROBIC DIGESTION | B.3 | Base case with pretreatment of TPOMW | | | |
| | T.1 | Base case | | | |
| INCINERATION WITH | T.1.F | Future perspective | | | |
| ENERGY RECOVERY | T.1.F.SA3 | Lower heat production | | | |
| | T.1.F.SA4 | Larger electricity production | | | |
| SECOND EXTRACTION OF | T.2 | Base case | | | |
| POMACE OIL AND | T.2.F | Future perspective | | | |
| INCINERATION WITH | T.1.F.SA3 | Lower heat production | | | |
| ENERGY RECOVERY | T.1.F.SA4 | Larger electricity production | | | |
| | T.3.200 | Base case (HTC 200°C) | | | |
| | T.3.225 | Base case (HTC 225°C) | | | |
| | T.3.250 | Base case (HTC 250°C) | | | |
| | T.3.200.SA1 | +25% hydrochar moisture (HTC 200°C) | | | |
| | T.3.225.SA1 | +25% hydrochar moisture (HTC 225°C) | | | |
| | T.3.250.SA1 | +25% hydrochar moisture (HTC 250°C) | | | |
| | T.3.200.SA2 | Change in HTC-char energy yield (HTC 200°C) | | | |
| HTC FOR SUBSEQUENT | T.3.225.SA2 | Change in HTC-char energy yield (HTC 225°C) | | | |
| ENERGY FRODUCTION | T.3.250.SA2 | Change in HTC-char energy yield (HTC 250°C) | | | |
| | T.3.200.F | Future perspective (HTC 200°C) | | | |
| | T.3.225.F.SA3 | Change Heat eff. (HTC 225°C) | | | |
| | T.3.250.F.SA3 | Change Heat eff. (HTC 250°C) | | | |
| | T.3.200.F.SA4 | Change Electricity eff. (HTC 200°C) | | | |
| | T.3.225.F.SA4 | Change Electricity eff. (HTC 225°C) | | | |
| \land | T.3.250.F.SA4 | Change Electricity eff. (HTC 250°C) | | | |



Table 3. Parameters modified in the models simulations conducted.

| Label | Parameter | Reference Value | New Value | Percent change (%) | |
|-------|------------------------------------------------------|-----------------|-----------|--------------------|--|
| SA1 | HTC-char moisture at 200 °C (%) ^{*a} | 60 | 75 | +25 | |
| | HTC-char moisture at 225 $^{\circ}C(\%)^{*a}$ | 55 | 69 | +25 | |
| | HTC-char moisture at 250 °C (%) ^{*a} | 27 | 34 | +25 | |
| SA2 | HTC-char energy yield at 200 $^{\circ}C(\%)^{*b}$ | 65 | 91 | +40 | |
| | HTC-char energy yield at 225 $^{\circ}C(\%)^{*b}$ | 68 | 91 | +35 | |
| | HTC-char energy yield at 250 $^{\circ}C(\%)^{*b}$ | 55 | 86 | +55 | |
| F | Future perspective* ^c | | | , | |
| | Gross electric energy efficiency (%) | 13 | 17 | +31 | |
| | Gross thermal energy efficiency (%) | 26 | 56 | +115 | |
| | Gross total efficiency (%) | 39 | 73 | +87 | |
| | Electricity consumption (kWh/kg) | 0.144 | 0.100 | -31 | |
| SA3 | Heat recovery efficiency, Heat eff (%) ^{*d} | |) | | |
| | T.1.F (%) | 56 | 40 | -29 | |
| | T.2.F (%) | 56 | 50 | -11 | |
| | T.3.200.F (%) | 56 | 43 | -23 | |
| | T.3.225.F (%) | 56 | 40 | -29 | |
| | T.3.250.F (%) | 56 | 36 | -36 | |
| SA4 | Electricity recovery efficiency, Elect eff (%)*e | | | | |
| | T.1.F (%) | 17 | 33 | +94 | |
| | T.2.F (%) | 17 | 23 | +35 | |
| | T.3.200.F (%) | 17 | 30 | +76 | |
| | T.3.225.F (%) | 17 | 33 | +94 | |
| | T.3.250.F (%) | 17 | 37 | +118 | |

New values from: ^{a*} Arbitrary values. ^{b*} Energy recovery determined to make HTC as beneficial as the best case scenario. ^{c*} Jungbluth et al., 2007 ^{d*} Heat recovery efficiency determined to cover the heat requirements of the scenario, defined as *breakeven heat* requirement (%) (see Table 9).

^e*Electricity recovery efficiency determined: (Elect eff)_{Ref. value} (%) + (Δ Heat eff)_{SA5} (%).

Table 4. Summary of energy requirements and energy production in thermal treatment

671 approaches (with and without HTC).

| | | | | | <u></u> | |
|---------------------------------------------------|-------|-------|-----------|-----------|-----------|--|
| Current Perspective | T.1 | T.2 | T.3.200 | T.3.225 | T.3.250 | |
| Energy available from the combustion of the waste | | | 4.05 | | | |
| (MJ/kg OMWin) | | 6.31 | 4.85 | 5.03 | 4.12 | |
| Electricity production (kWh/kg OMWin) | 0.27 | 0.82 | 0.63 | 0.65 | 0.54 | |
| Total electricity consumption (kWh/kg OMWin) | 0.05 | 0.05 | 0.03 | 0.03 | 0.02 | |
| Net electricity production (kWh/kg OMWin) | 0.22 | 0.78 | 0.6 | 0.63 | 0.51 | |
| Heat production (MJ/kg OMWin) | 1.9 | 1.61 | 1.24 | 1.29 | 1.05 | |
| Total heat consumption (MJ/kg OMWin) | 3 | 3.17 | 2.1 | 2.01 | 1.47 | |
| Net heat production (MJ/kg OMWin) | -1.1 | -1.56 | -0.86 | -0.72 | -0.42 | |
| Breakeven heat requirement (%)* | 40 | 50 | 43 | 40 | 36 | |
| Future Perspective | T.1.F | T.2.F | T.3.200.F | T.3.225.F | T.3.250.F | |
| Energy available from the combustion of the waste | | | | | | |
| (MJ/kg OMWin) | 7.44 | 6.31 | 4.85 | 5.03 | 4.12 | |
| Electricity production (kWh/kg OMWin) | 0.35 | 1.07 | 1.1 | 1.14 | 0.93 | |
| Total electricity consumption (kWh/kg OMWin) | 0.03 | 0.04 | 0.02 | 0.02 | 0.02 | |
| Net electricity production (kWh/kg OMWin) | 0.31 | 1.04 | 1.08 | 1.12 | 0.92 | |
| Heat production (MJ/kg OMWin) | | 3.55 | 2.44 | 2.53 | 2.08 | |
| Total heat consumption (MJ/kg OMWin) | 3 | 3.17 | 2.1 | 2.01 | 1.47 | |
| Net heat production (MJ/kg OMWin) | 1.19 | 0.38 | 0.35 | 0.53 | 0.61 | |
| | | | | | | |

* Breakeven heat requirement (%) = (Total heat consumption/energy available from the combustion of the waste)*100

Table 5. Ranking of the alternatives.

| Order of Env. Impact | | GWP | HT-C | HT-NC | POF | AP | TEP | FEP | MEP | ET |
|-------------------------|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Best | 1 | T.1 (-) | B.2 (-) | T.1 (-) | T.1 (-) |
| | 2 | T.2 (-) | T.2 (-) | T.2 (-) | Т.3.225 (-) | T.2 (-) | T.2 (-) | B.3 (-) | T.2 (-) | T.2 (-) |
| | 3 | T.3.225 (-) | T.3.225 (-) | Т.3.225 (-) | T.3.200 (-) | T.3.225 (-) | T.3.225 (-) | B.1 (-) | T.3.225 (-) | T.3.200 (+) |
| | 4 | T.3.200 (-) | T.3.200 (-) | T.3.200 (-) | T.2.250 (-) | T.3.200 (-) | T.3.200 (-) | T.1 (-) | T.3.200 (-) | T.3.225 (+) |
| | 5 | T.2.250 (-) | T.2.250 (-) | T.2.250 (-) | T.2 (-) | T.2.250 (-) | T.2.250 (-) | T.2 (+) | T.2.250 (-) | T.3.250 (+) |
| | 6 | B.3 (+) | T.3.225 (+) | B.3 (+) | B.1 (+) |
| \downarrow | 7 | B.2 (+) | B.2 (+) | B.2 (+) | B.1 (+) | B.2 (+) | B.2 (+) | T.3.200 (+) | B.2 (+) | B.3 (+) |
| Worst | 8 | B.1 (+) | B.1 (+) | B.1 (+) | B.2 (+) | B.1 (+) | B.1 (+) | T.3.250 (+) | B.1 (+) | B.2 (+) |

The annotation in parentheses indicates whether the contribution associated with the scenario is positive or negative



Figure 1. Currently used approaches for management of TPOMW in Spain (adapted from MME,
2000), including the proposed use of HTC as a pretreatment technique.



Figure 2. Modeled biological alternatives and overview of system flows: (a) Scenario B.1: Co composting with other agricultural wastes; (b) Scenario B.2: Anaerobic digestion and
 composting of the digestate; and (c) Scenario B.3: Anaerobic digestion of TPOMW pre-treated
 using catalytic oxidation following the Fenton-like process to maximize anaerobic degradation,
 with subsequent composting of the digestate.



Figure 3. Modeled thermal alternatives and overview of the flows: (a) Scenario T.1: Incineration
of TPOMW with energy recovery; (b) Scenario T.2: Second extraction with solvents of TPOMW
and incineration with energy recovery; and (c) Scenario T.3: Hydrothermal carbonization of
TPOMW and incineration of the hydrochar with energy recovery.

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- 717









Figure 5. Ecosystem quality (FEP, MEP, ET) impact potentials associated with the TPOMW treatment approaches.



730Image: Use on LandImage: Heat and Power from BIOGAS731Figure 6. Human toxicity (POF, HT-C, HT-NC) impact potentials associated with the TPOMW732treatment approaches.







Figure 8. Sensitivity ratios associated with changing (a) % moisture of hydrochar (SA1), (b)

Highlight 1: Environmental impacts associated with TPOMW treatment is evaluated using LCA

Highlight 2: Thermal treatment of TPOMW is more environmentally advantageous than biological treatment

Highlight 3: Energy recovery is critical in reducing system environmental impact

Highlight 4: Incineration with energy recovery has a lower impact than HTC, but operational challenges remain

Highlight 5: Increase hydrochar energy recovery efficiency and treat liquid emissions to reduce impact