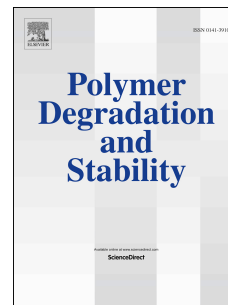


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1 **Characterization and disintegrability under composting conditions of PLA-based**
2 **nanocomposite films with thymol and silver nanoparticles**

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18

19 **ABSTRACT**

20 Active nanocomposite films based on poly(lactic acid) (PLA), thymol and silver
21 nanoparticles (Ag-NPs) were prepared and characterised. PLA films containing 6 and 8
22 wt% thymol and 1 wt% Ag-NPs were processed by extrusion to obtain binary and
23 ternary formulations. The addition of thymol and Ag-NPs modified the PLA thermal,
24 optical and barrier properties; in particular water vapour permeability (WVP),
25 maintaining oxygen transmission rate (OTR) values unchanged. Homogeneous surfaces
26 in all films were obtained as proved by FESEM micrographs. The presence of the active
27 additives enhanced the disintegration rate of PLA under composting conditions, which
28 was completed in 14 days. Results suggest that these nanocomposite films could be
29 considered promising degradable active packaging materials with low environmental
30 impact.

31

32 **KEYWORDS:** poly(lactic acid); thymol; silver nanoparticles; active packaging;
33 disintegration; characterization.

34

35 1. Introduction

36 The preservation of the environment and the atmospheric and soil pollution caused by
37 fossil fuel-derived plastics have focused on rising research interest towards the
38 development of bio-based and biodegradable materials in high-impact sectors, such as
39 food packaging [1]. These materials are under development by strictly following the
40 guidelines for the efficient use of natural and renewable resources, keeping the
41 properties of conventional thermoplastics to preserve food quality and consumer safety,
42 while reducing waste disposal and CO₂ footprint by offering new recycling and
43 recovery options [2]. Among them, poly(lactic acid) (PLA) has received attention
44 mostly due to its inherent renewable source, adequate optical and mechanical properties,
45 and high biodegradation/biocompatibility capabilities to be easily degraded into water
46 and CO₂ [3]. In addition, PLA is classified as “Generally Recognized as Safe” (GRAS)
47 for food packaging applications, fulfilling the requirements to be in direct contact with
48 aqueous, acidic and fatty foods [4].

49 Innovations in food packaging have focused on the development of active
50 nanocomposites, which are particularly useful in emerging technologies due to their
51 improved structural integrity and barrier properties obtained by the addition of
52 nanomaterials (either nanoclays or metal nanoparticles), and the increase in
53 antimicrobial/antioxidant properties in most cases by the action of active additives
54 and/or the own nanofiller. The use of nanofillers in innovative food packaging materials
55 has also resulted in improving some of their key properties, such as strength and
56 flexibility, barrier to gases, moisture stability and higher resistance to heat and cold [2,
57 5-7]. Nanocomposites with metal nanoparticles are gaining importance in active
58 packaging, since they could play a double role, as nanofillers (enhancing mechanical
59 and barrier properties) and active agents with antimicrobial performance [8-11]. In this

60 context, silver nanoparticles (Ag-NPs) have been studied by their strong antimicrobial
61 effect to a wide range of microorganisms in health, food packaging and textile industries
62 besides of a number of environmental applications. Ag-NPs have been already used in
63 some commercial products by their antimicrobial performance and they have been
64 approved by the US Food and Drug Administration (FDA), US Environmental
65 Protection Agency (EPA), Society of Industrial-Technology for Antimicrobial Articles
66 (SIAA) of Japan, Korea's Testing and Research Institute for Chemical Industry and
67 Functional Textile & Clothing Testing Institute (FITI) in Korea [12]. Ag-NPs have been
68 also used in polymer formulations by their stability at high temperatures and low
69 volatility to improve the antimicrobial resistance of polymers used in specific
70 applications, such as food packaging [13-16]. According to the Council Directive
71 94/36/EC (1994), silver is accepted as food additive with the code E174 if used as
72 "external coating of confectionary, decoration of chocolates, liqueurs". Nevertheless, in
73 food contact materials, Ag-NPs are not still allowed, but the presence of certain silver
74 zeolites is already authorized in plastic food containers and rubber seals [17]. Therefore,
75 toxicological issues should be taken into account in all new developed materials with
76 Ag-NPs in their composition. Lavorgna et al. synthesized active nanocomposites by
77 loading chitosan with Ag-MMT nanoparticles. The successful intercalation and the
78 interaction between chitosan and Ag-NPs led to the enhancement of the thermal
79 stability of the developed active nanocomposites [18].

80 The combination of additives from natural sources with antimicrobial and/or antioxidant
81 performance with nanofillers to improve polymer characteristics while having positive
82 impact on food shelf-life extension and safety has been also introduced in this novel
83 concept of active nanocomposites [19]. In particular, thymol has been extensively used
84 as a natural active antimicrobial and antioxidant agent. Different strategies for the

85 incorporation of this type of active agents to packaging materials have been proposed,
86 by the inherent volatility of these compounds, resulting in some drawbacks related to
87 their thermal stability and full control of the release kinetics [20-21]. Recent studies
88 have proposed the use of new methodologies to improve the permanence of active
89 agents during polymer processing. One interesting approach consists of controlling the
90 addition times of the nanocomposite components at the melting state. Other possibility
91 to protect volatile essential oils during processing is based on reinforcement with
92 nanofillers [20, 22-24] or encapsulation techniques [25-28]. Thymol is recognized by
93 the Food and Drug Administration (FDA) as a GRAS substance for its use in direct
94 contact with food [29]. It is used in active packaging by its high diffusion rate into most
95 of the polymer matrices and its ability to be released, minimizing the bacterial growth
96 and delaying the oxidation processes in food [21].

97 In a previous study, the influence of thymol and Ag-NPs on the degradation of PLA-
98 based nanocomposites under composting conditions in dog-bone tensile bars was
99 reported. These formulations were used for the analysis of thermal, morphological, and
100 mechanical properties of these PLA-based nanocomposites, which showed suitable
101 properties to be used as biodegradable active food packaging systems, with clear
102 improvement in the inherent biodegradable character of PLA after the addition of both
103 additives [23].

104 Nano- and thin-film technologies based on novel systems associating metal particles
105 and natural additives to polymer matrices open a broad range of new applications, such
106 as bio-films with antimicrobial effect for the food industry. In this context, the present
107 work aims to develop biodegradable thin nanocomposite films (around 40 μm thick)
108 based on PLA with thymol and Ag-NPs as active additives to extend their applicability

109 to packaging systems [30]. For this purpose, the evaluation of their thermal,
110 morphological, optical, barrier and disintegration properties is presented in this work.

111

112 **2. Materials and methods**

113 **2.1. Materials**

114 Thymol (99.5 % purity) was supplied by Sigma-Aldrich (Madrid, Spain). Commercial
115 silver nanoparticles (Ag-NPs), P203, with a size distribution range of 20-80 nm, were
116 purchased from Cima Nano-Tech (Saint Paul, MN, USA). Ag-NPs were thermally
117 treated at 700 °C for 1 h as reported elsewhere [31]. A commercial poly(lactic acid)
118 PLA-4060D ($T_g = 58$ °C, 11-13 wt% D-isomer) was supplied in pellets by NatureWorks
119 Co., (Minnetonka, MN, USA).

120

121 **2.2. Nanocomposite films preparation**

122 PLA-based nanocomposites were processed in a twin-screw microextruder (Dsm
123 Explore 5&15 CC Micro Compounder, Heerlen, The Netherlands). PLA pellets were
124 dried overnight at 45 °C before extrusion to prevent polymer hydrolysis during
125 processing. A 170-180-190 °C temperature profile and a screw speed of 150 rpm were
126 used in the extrusion process. Different binary and ternary PLA-based formulations
127 were obtained (Table 1): three binary systems, containing 6 and 8 wt% of thymol
128 (PLA/T6 and PLA/T8, respectively) or 1 wt% of Ag-NPs (PLA/Ag); and two ternary
129 systems, containing 6 wt% of thymol and 1 wt% of Ag-NPs (PLA/Ag/T6), and 8 wt%
130 of thymol and 1 wt% of Ag-NPs (PLA/Ag/T8). An additional sample without any
131 additive was also prepared as control (PLA).

132 For binary systems, a total mixing time of 6 min was used. Thymol was added in the
133 last 3 minutes and the screw speed was then reduced to 100 rpm to limit losses by

134 vaporization. For ternary systems (PLA/Ag/T6, PLA/Ag/T8), a masterbatch of PLA and
135 Ag-NPs was first processed in the extruder during 3 min and it was then combined with
136 6 or 8 wt% of thymol for 3 additional minutes. After mixing, PLA and PLA
137 nanocomposite films were obtained in a hot-press with a head force of 1500 N and a
138 maximum temperature of 195 °C (Table 1); Films thickness was determined to be
139 around 40 µm with a 293 MDC-Lite Digimatic Micrometer (Mitutoyo, Japan) at five
140 random positions.

141

142 **2.3. Characterization of nanocomposite films**

143 PLA-based nanocomposite films were characterized in terms of their thermal,
144 morphological, optical (colour, light transmission), and barrier (oxygen transmission
145 rate, water vapour permeability) properties.

146

147 **2.3.1. Thermal properties**

148 Thermogravimetric analysis (TGA) was carried out by using a TGA Seiko Exstar 6300
149 (USA) instrument. Samples (7 mg) were heated from 25 to 700 °C at 10 °C min⁻¹
150 heating rate under nitrogen atmosphere (flow rate 50 mL min⁻¹). Analyses were
151 performed in triplicate.

152 Differential scanning calorimetry (DSC) measurements were conducted, in triplicate, by
153 using a DSC Mettler Toledo 822/e (Schwerzenbach, Switzerland) under nitrogen
154 atmosphere (50 mL min⁻¹). Samples (3 mg) were introduced in aluminium pans (40 µL)
155 and were submitted to the following thermal program: -25 to 200 °C at 10 °C min⁻¹, with
156 two heating and one cooling scans. Glass transition temperature (T_g) was determined in
157 the second heating scan.

158

159 2.3.2. Field emission scanning electron microscopy (FESEM)

160 The surface profiles of neat PLA and PLA active nanocomposite films were evaluated
 161 by FESEM (Supra 25-Zeiss, Jena, Germany) to study their homogeneity and the
 162 influence of thymol and Ag-NPs on the polymer morphology. Samples were coated
 163 with a gold layer prior to analysis in order to increase their electrical conductivity by
 164 using a B7341 Agar automatic sputter coater (Agar Scientific Ltd, Stansted, United
 165 Kingdom).

166

167 2.3.3. Optical properties

168 The light transmission of PLA-based films was determined, in triplicate, by using a
 169 Perkin Elmer Lambda 35 UV–Vis spectrophotometer (Waltham, MA, USA). Tests were
 170 carried out at 500 nm in transmittance (%) mode to evaluate the transparency of all
 171 films in the visible region. Each film was cut in 2.5 x 2.5 cm² strips.

172 Modifications on the films colour caused by additives were determined with a Konica
 173 CM-3600d COLORFLEX-DIFF2 colorimeter (Reston, VA, USA) using the CIELab
 174 colour parameters. Changes in L^* (lightness), a^* (red-green coordinate) and b^* (yellow-
 175 blue coordinate) were determined from the results obtained with the colorimeter. The
 176 instrument was calibrated with a white standard tile. Measurements were taken at five
 177 different random locations over the film surface and average values were calculated.

178 Total colour differences (ΔE^*) were calculated by using Eq. (1), comparing with a neat
 179 PLA film (standard):

$$180 \Delta E^* = [(\Delta L^*)^2 + (\Delta a^*)^2 + (\Delta b^*)^2]^{1/2} \quad (1)$$

181 where $\Delta L^* = L^*_{\text{standard}} - L^*_{\text{sample}}$, $\Delta a^* = a^*_{\text{standard}} - a^*_{\text{sample}}$ and $\Delta b^* = b^*_{\text{standard}} - b^*_{\text{sample}}$.

182

183 2.3.4. Barrier properties

184 Oxygen transmission rate (OTR) is defined as the total amount of oxygen passing
185 through a plastic film per time unit. An oxygen permeation analyser (8500 model
186 Systech, Metrotec S.A, Spain) was used for OTR tests with pure oxygen (99.9 %). Film
187 samples were cut into 14-cm diameter circles and they were clamped in the diffusion
188 chamber at 25 °C before testing. Tests were performed in triplicate and average values
189 were expressed as oxygen transmission rate per film thickness (OTR*e).

190 Water vapour permeability (WVP) was determined gravimetrically by following the
191 ASTM E 96M-05 Standard test method. Films were cut in circles of 95 mm diameter
192 and mounted on stainless steel permeation cells containing anhydrous calcium chloride,
193 sealed with paraffin. These cells were placed in a climatic chamber (Dycometal,
194 Barcelona, Spain) at 23 °C and 50% relative humidity (RH). The amount of water
195 vapour transferred through the film and absorbed by the desiccant was determined from
196 the weight gain of the cell after 24 h. A minimum of seven determinations were taken to
197 plot the weight variation with time resulting in a linear characteristic graph. Water
198 vapour transmission (WVT) was calculated with Eq. (2).

$$199 \quad WVT = (G/t)/A \quad (\text{g} \cdot \text{h}^{-1} \cdot \text{m}^{-2}) \quad (2)$$

200 where A is the film area exposed (0.005 m²) and G/t is the slope obtained from plotting
201 the weight gained in the permeation cell (G, grams) versus time (t, hours).

202 The water vapour permeability (WVP) of films was determined, in triplicate, by using
203 Eq. (3).

$$204 \quad WVP (\text{kg} \cdot \text{m} \cdot \text{Pa}^{-1} \cdot \text{s}^{-1} \cdot \text{m}^{-2}) = WVT \times e / (S(R_1 - R_2)) \quad (3)$$

205 where *e* is the film thickness, S is the saturation vapour pressure at 23 °C, and (R₁-R₂) is
206 the difference in relative humidity between the exterior and interior of the permeation
207 cell (0.5).

208

209 **2.4. Disintegrability under composting conditions**

210 Disintegration tests under composting conditions were performed by following the ISO
211 20200 standard method. A commercial compost with fixed amounts of sawdust, rabbit
212 food, starch, sugar, oil and urea was used. Aerobic conditions were guaranteed by
213 mixing the compost softly and by the periodical addition of water according to the
214 standard requirements. Testing samples (20 x 20 mm² films), in triplicate, were
215 weighted and buried at 5 cm depth in perforated boxes containing the prepared mix and
216 were incubated at 58 °C.

217 Several disintegration times were selected to recover samples from burial: 0, 1, 2, 4, 7
218 and 14 days. Samples were washed immediately after collection with distilled water to
219 remove traces of compost extracted from the container and were further dried at 37 °C
220 for 24 h before gravimetical analysis. The disintegrability value for each material at
221 different times was obtained by normalizing the sample weight with the value obtained
222 at the initial time. Photographs of recovered samples were also taken for visual
223 evaluation.

224

225 **2.5. Statistical analysis**

226 Statistical analysis of results was performed with SPSS commercial software (Version
227 15.0, Chicago, IL). A one-way analysis of variance (ANOVA) was carried out.
228 Differences between means were assessed on the basis of confidence intervals using the
229 Tukey test at a $p < 0.05$ significance level.

230

231 **3. Results and discussion**

232 **3.1. Thermal properties**

233 The effect of the addition of thymol and Ag-NPs on the thermal properties of PLA-
234 based films was investigated by DSC and the main results are summarized in Table 2,
235 while the thermograms obtained for the second heating scan are shown in Fig. 1a. The
236 glass transition temperature (T_g) of PLA and all nanocomposites was clearly observed,
237 due to the amorphous character of the PLA used in this study, while no crystallization
238 or melting phenomena were detected (Fig. 1a).

239 The addition of Ag-NPs to PLA (PLA/Ag) did not reveal significant differences with
240 respect to neat PLA in terms of T_g ($p > 0.05$), in agreement with previous studies [16,
241 23]. However, thymol-based binary and ternary systems showed a significant decrease
242 ($p < 0.05$) in T_g values with differences higher than 10 °C (Table 2). This reduction in
243 T_g by the addition of thymol was related with the higher mobility of the polymer
244 macromolecules caused by the increase in the free volume of the matrix, promoting the
245 torsion oscillation of the carbon backbone due to a plasticizing effect of thymol. It is
246 well known that the addition of low molecular weight compounds decreases the PLA
247 rigidity and brittleness by reducing its glass transition temperature and increasing the
248 mobility of macromolecules [32-33]. A similar T_g shift to lower temperatures by the
249 incorporation of thymol to different polymer matrices producing a plasticization effect
250 was also reported in a previous work [20]. A significant decrease in T_g caused by the
251 incorporation of thymol to PLA-based films was also reported by other authors [22, 34].
252 A similar behaviour was reported for α -tocopherol, resveratrol, butylated
253 hydroxytoluene (BHT) and tert-butylhydroquinone added to PLA [33, 35-36]. In all
254 cases, an effective plasticizing effect was observed and it was related to the addition of
255 these compounds, with the consequent decrease in T_g .

256 The thermal stability of neat PLA and PLA active nanocomposite films was studied
257 with TGA under nitrogen atmosphere. Fig. 1b and Fig. 1c show the weight loss (TG)

258 and derivative curves (DTG) of the obtained PLA-based films. A main degradation peak
259 around 332-363 °C associated to PLA thermal degradation was observed in all
260 materials. A first degradation step starting at around 120 °C was also detected, and it
261 could be related to the thymol degradation. This fact confirms the permanence of a
262 detectable amount of thymol in the nanocomposites after processing at high
263 temperatures, as already reported in a previous work [23]. Moreover, the remaining
264 amount of the active additive in the polymer matrix after processing was estimated from
265 the obtained TG curves. For binary systems, PLA/T8 and PLA/T6, 5.63 ± 0.02 wt% and
266 4.2 ± 0.2 wt%, respectively, were obtained; and for ternary systems, PLA/Ag/T8 and
267 PLA/Ag/T6, the concentrations of remaining thymol after processing were 6.0 ± 0.2
268 wt% and 4.2 ± 0.2 wt%, respectively. These results revealed significant differences ($p <$
269 0.05) between binary and ternary systems with 8 wt% of thymol. The main TGA
270 parameters, i.e the initial degradation temperature (T_{ini}) determined at 5 % weight loss
271 and the maximum degradation temperature (T_{max}) for the main peak (associated to the
272 PLA thermal degradation), are shown in Table 2. The separate addition of thymol and
273 Ag-NPs into PLA matrices did not affect significantly the thermal behaviour of the
274 polymer matrix in terms of T_{max} and T_{ini} ($p > 0.05$). However, a significant reduction (p
275 < 0.05) was observed for PLA-based active nanocomposites with thymol and Ag-NPs,
276 suggesting some loss in the PLA thermal stability. This phenomenon could be related
277 with some degradation of these materials during processing caused by the presence of
278 metal nanoparticles, which enhanced the thermal conductivity of the nanocomposites,
279 speeding up the degradation process of the polymeric matrix [37].

280 **Table 2**

281 **Figure 1**

282

283 3.2. Morphological analysis

284 The surface morphology and microstructure of PLA and active nanocomposite films
285 were studied by FESEM in order to evaluate the influence of the incorporation of
286 thymol and Ag-NPs into the polymer matrix. Fig. 2 shows the FESEM surface
287 micrographs obtained for neat PLA and PLA nanocomposites after processing.
288 Homogeneous and smooth surface morphologies were observed for all materials, with
289 no apparent effect of the addition of thymol and Ag-NPs into the PLA matrix. Similar
290 morphologies were observed by other authors for PLA and other polymer matrices
291 blended with Ag-NPs or thymol [16, 38-40]. Rhim et al. reported also smooth surfaces
292 with evenly dispersed silver nanoparticles on the PLA film surface [40]. These results
293 demonstrate a positive combination between PLA, thymol and Ag-NPs to obtain
294 homogeneous surfaces after film processing.

295 Figure 2

296

297 3.3. Optical properties

298 All PLA-based films were visually homogeneous and transparent regardless of their
299 composition (Fig. 3). The colour distribution observed in all films suggests that
300 additives were uniformly distributed through the polymer matrix during processing.
301 However, nanocomposite films containing Ag-NPs showed some darkening in the
302 initially clear surface as well as some decrease in transparency, which is an important
303 physical property in food packaging films where clarity is desirable [41]. In fact, it has
304 been reported that the incorporation of some additives to PLA can lead to substantial
305 modifications and transparency losses, representing an important drawback for
306 consumer acceptance [42]. Rhim et al. suggested that surface plasmon phenomena

307 caused by silver nanoparticles and phenolic compounds, such as thymol, may modify
308 PLA colour during processing and storage leading to some darkening of films [40].

309 **Figure 3**

310

311 Results obtained for colour and transmittance at 500 nm of all films are shown in Table
312 3. The modifications in surface colour in the PLA binary and ternary films was
313 significant ($p < 0.05$) depending on the additive. While some decrease ($p < 0.05$) in film
314 lightness (L-value) was observed in PLA films containing Ag-NPs, it slightly increased
315 in those with thymol ($p < 0.05$) when compared to values obtained for the neat PLA
316 film. In addition, a^* and b^* parameters were modified by the presence of both additives
317 (Table 3). In particular, Ag-NPs-containing binary and ternary systems resulted in
318 significant shifts ($p < 0.05$) in a^* and b^* towards positive values, indicating an
319 increasing trend in redness and yellowness, respectively, of the active nanocomposite
320 films. Consequently, the total colour difference, ΔE^* , of those films with Ag-NPs
321 increased significantly ($p < 0.05$) compared to neat PLA. This behaviour can be
322 explained by the development of brown colour in nanocomposite films caused by the
323 plasmonic effect of Ag-NPs [43]. Regarding binary systems containing thymol, the
324 obtained results indicated that these films were not much different in colour compared
325 to neat PLA.

326 PLA is a transparent polymer with a transmittance close to 95% in the visible region
327 (Table 3), as already reported [41]. The evaluation of the light transmission of PLA-
328 based nanocomposites at 500 nm revealed that all the binary and ternary films were, in
329 general, highly transparent, showing transmittance values higher than 90 %. A slight
330 decrease ($p < 0.05$) in transmittance was observed in binary systems containing thymol,
331 which might be due to the colourless transparent appearance of this additive. The

332 inclusion of Ag-NPs into the PLA films also produced some significant reduction ($p <$
333 0.05) in transparency, which was related to the prevention of light transmission by the
334 nanoparticles homogeneously dispersed through the polymer matrix [14]. The obtained
335 results suggested that the amount of additives, thymol and Ag-NPs, used in these
336 formulations did not affect dramatically the colour and transparency of PLA films.
337 Therefore, their incorporation into the PLA matrix could be suitable for food packaging
338 applications without compromising, to an unacceptable degree, its optical properties.

339 **Table 3**

340

341 **3.4. Barrier properties**

342 The effect of the addition of thymol and Ag-NPs on the barrier properties (OTR and
343 WVP) of PLA-based films was studied and the main results are shown in Table 2. Films
344 with low oxygen permeability are desirable for food preservation, since oxygen can
345 accelerate food oxidative degradation and facilitate the growth of aerobic
346 microorganisms, thereby shortening the food shelf-life [11]. The $OTR \cdot e$ values obtained
347 in this study showed that the oxygen barrier offered by neat PLA was not significantly
348 modified ($p > 0.05$) in the presence of additives at the studied concentrations.

349 The evaluation of the barrier properties to water vapour of these PLA-based
350 nanocomposite films is important to assess their possibilities to be used as food
351 packaging materials since one of their main functions should be to decrease the
352 moisture transfer between food and the surrounding environment keeping quality and
353 increasing shelf-life [44]. Water vapour barrier in films could be considered as the
354 balance between the hydrophobic/hydrophilic characteristics of all their components.
355 The WVP of the neat PLA film (Table 2) was not significantly affected ($p > 0.05$) by
356 the incorporation of Ag-NPs (PLA/Ag). This behaviour may be due to the spherical

357 shape of silver particles and their high dispersion in the polymer matrix which may not
358 develop a tortuous pathway to limit water vapour diffusion [43].

359 It has been stated that high water vapour permeabilities of films intended for food
360 packaging could restrict considerably their use [45]. In this case, the addition of thymol
361 to PLA-based films resulted in a significant decrease ($p < 0.05$) in WVP values for
362 binary and ternary systems, up to 40 % compared to those values obtained for the neat
363 PLA film. These results could be explained by the repulsion to water molecules caused
364 by the addition of a highly hydrophobic component, such as thymol, at high
365 concentrations [46]. Therefore, these thymol-containing nanocomposites allowed an
366 important improvement in barrier properties to water vapour, which is a remarkable
367 feature in food packaging applications, especially at storage conditions with high RH.
368 Similar results were found by other authors under equivalent environmental conditions
369 ($23\text{ }^{\circ}\text{C}$, 45% RH), reporting a WVP value of $1.99 \times 10^{-14} \text{ kg m}^{-2} \text{ s}^{-1} \text{ Pa}^{-1}$ for neat PLA,
370 and a 25 % reduction in WVP for PLA films loaded with α -tocopherol (4 wt%) [33].
371 Meanwhile, the addition of 2 wt% marigold flower extract containing astaxanthin
372 resulted in the decrease in 21 % in WVP of PLA, which was attributed to the
373 hydrophobic nature of this extract [47]. Conversely, no significant differences were
374 observed for WVP of PLA/PCL-based films with thymol (3-12 wt %) compared to
375 PLA/PCL films, showing $2.54 \times 10^{-14} \text{ kg m}^{-2} \text{ s}^{-1} \text{ Pa}^{-1}$ as WVP value [22, 34].

376

377 **3.5. Disintegrability under composting conditions**

378 Biodegradability tests are necessary to evaluate the environmental impact of plastic
379 materials and to find solutions to avoid the disturbing accumulation of polymers after
380 their commercial shelf-life. The disintegrability of PLA and PLA active nanocomposite
381 films under composting conditions was studied to evaluate their degradation in natural

382 environments. The visual evaluation of all samples at different degradation times
383 showed considerable changes, with a clear whitening, loss of transparency and evident
384 deformation and size reduction after 2 days (Fig. 4). These results were indicative of the
385 beginning of the hydrolytic degradation as it was reported in a previous study [23]. The
386 hydrolytic degradation process in PLA nanocomposites and the increase in their opacity
387 can be attributed to various simultaneous phenomena, such as the formation of low
388 molar-mass degradation by-products during hydrolysis due to the water absorption and
389 the increase in PLA crystallinity [48]. After 4 days, neat PLA and binary and ternary
390 systems became brittle and just small pieces of films were recovered. The faster
391 degradation of these active nanocomposite films when compared to previous results
392 obtained with injection moulded samples [20] can be explained by the lower thickness
393 of films, which showed considerable modifications in colour and a general loss of
394 transparency after 7 days under composting conditions.

395 **Figure 4**

396

397 Fig. 5 shows the evolution of disintegrability values (%) of films with time. A
398 progressive degradation of samples with the burial time was obtained, which was
399 visually corroborated by the clear whitening and transparency loss and evident
400 deformation observed in samples (Fig. 4). A similar behaviour was reported by
401 Fortunati et al., who indicated that the PLA hydrolysis begins in the amorphous region
402 of the polymer structure producing an overall increase in polymer crystallinity [16].
403 This increase in crystallinity was expectable by the intrinsic amorphous character of the
404 PLA used in this work with a large content in D-LA enantiomer [49]. Furthermore,
405 results obtained before the beginning of the burial test (day 0) suggested that the
406 influence of thymol on PLA degradation profile is important, since significantly higher

407 disintegration values were obtained for PLA/T6, PLA/T8, PLA/Ag/T6 and PLA/Ag/T8
408 compared to PLA or PLA/Ag samples ($p < 0.05$). It was described that thymol hydroxyl
409 groups can contribute to PLA hydrolysis after absorbing water from the composting
410 medium, resulting in a noticeable increase in disintegrability values for thymol-
411 containing PLA nanocomposites [23].

412 After 4 days of treatment, no significant differences ($p > 0.05$) were observed for all
413 samples regardless of their composition and the burial time (Fig. 5), showing similar
414 weight loss and disintegrability ratio. It should be also highlighted that the testing
415 temperature ($58\text{ }^{\circ}\text{C}$) was higher than the T_g of the PLA-based films, previously reported
416 in the $40\text{--}45\text{ }^{\circ}\text{C}$ range, resulting in some induction of the crystallization process into the
417 amorphous zones in the polymer matrix and chain mobility, accelerating the hydrolytic
418 degradation process. This behaviour could also be related to the low thickness of the
419 tested samples [50].

420 It was observed that after 14 days of the burial test all materials reached complete
421 degradation with weight losses higher than 90% (as indicated in the ISO 20200 standard
422 for a biodegradable material). These results suggest that these active nanocomposite
423 films could be used as biodegradable materials requiring short disintegration times.

424 **Figure 5**

425

426 **4. Conclusions**

427 Degradable active films based on PLA, thymol and Ag-NPs were successfully obtained
428 by extrusion and further characterized in their main thermal, morphological, optical and
429 barrier properties. Disintegrability under composting conditions was also studied. It was
430 found that the presence of thymol and Ag-NPs through the PLA matrix influences the
431 thermal stability of the ternary systems. The addition of thymol to PLA-based films

432 resulted in a decrease in T_g of PLA, due to a slight plasticizing effect of this additive.
433 Optical properties suggest that the amount of additives, thymol and Ag-NPs, used in
434 these formulations did not affect dramatically the colour and transparency of PLA films.
435 FESEM micrographs showed a good incorporation of both additives and homogeneous
436 film surfaces. An enhancement in the barrier properties to water vapour was also
437 obtained by the incorporation of thymol, which provides improved protection to
438 packaged food. Additionally, the degradation study of active nanocomposite films under
439 composting conditions showed that the inherent degradable character of PLA remained
440 after the incorporation of these additives. In fact, the incorporation of 8 wt% of thymol
441 to PLA-based formulations increased the disintegration rate of the polymer matrix, due
442 to the presence of the reactive free hydroxyl groups in the thymol molecule. The
443 combination of thymol and Ag-NPs induced higher degradation rates, suggesting their
444 advantages in industrial applications where degradation could be an issue, such as in
445 food packaging.

446 Further work is currently on-going to evaluate the multifunctional applicability of the
447 proposed active nanocomposite films, such as antioxidant and antibacterial behaviour or
448 kinetics release from the polymer matrix, to ensure their ability to be used in food
449 packaging applications.

450

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455

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- 586
- 587

588 **Figure Captions**

589 **Fig. 1.** DSC thermogram from the second heating scan (a), TG (b) and DTG (c) curves of PLA-
590 based films.

591 **Fig. 2.** FESEM surface images of PLA and active nanocomposite films.

592 **Fig. 3.** Visual observation of neat PLA and binary and ternary nanocomposite films.

593 **Fig. 4.** Visual appearance of neat PLA and active nanocomposite films at different testing days
594 under composting conditions at 58 °C.

595 **Fig. 5.** Disintegrability (%) of neat PLA and nanocomposite films at different times under
596 composting conditions at 58 °C (mean \pm SD, n = 3). The line at 90 % represents the goal of
597 disintegrability test as required by the ISO 20200 standard. Different superscripts over different
598 samples at the same time indicate statistically significant different values ($p < 0.05$).

599

600 **Table 1.** PLA-based active nanocomposite films and thickness (mean \pm SD, n = 3).

Formulation	PLA (wt%)	Ag (wt%)	Thymol (wt%)	Thickness (μm)
PLA	100	-	-	35 \pm 4 ^a
PLA/Ag	99	1	-	39 \pm 4 ^a
PLA/T6	94	-	6	40 \pm 2 ^a
PLA/T8	92	-	8	41 \pm 5 ^a
PLA/Ag/T6	93	1	6	42 \pm 3 ^a
PLA/Ag/T8	91	1	8	39 \pm 6 ^a

Different superscripts within the same column indicate statistically significant different values ($p < 0.05$).

601

Table 2. Characterization of neat PLA and nanocomposite films (mean \pm SD, n = 3).

Formulation	T _g (°C)	T _{ini} (°C)	T _{max} (°C)	WVP*10 ⁻¹⁴ (kg m s ⁻¹ m ⁻² Pa ⁻¹)	Reduction in WVP (%)	OTR* _e (cm ³ mm m ⁻² day ⁻¹)
PLA	56.3 \pm 2.2 ^a	320 \pm 4 ^a	363 \pm 2 ^a	1.84 \pm 0.12 ^a	-	19.9 \pm 2.1 ^a
PLA/Ag	53.7 \pm 0.8 ^a	316 \pm 4 ^a	354 \pm 5 ^a	1.77 \pm 0.01 ^a	4	26.2 \pm 8.4 ^a
PLA/T6	43.3 \pm 0.2 ^b	321 \pm 3 ^a	351 \pm 3 ^a	1.33 \pm 0.11 ^b	27	18.5 \pm 1.6 ^a
PLA/T8	43.5 \pm 1.0 ^b	312 \pm 2 ^a	354 \pm 3 ^a	1.10 \pm 0.09 ^c	40	20.7 \pm 1.8 ^a
PLA/Ag/T6	42.6 \pm 0.8 ^b	281 \pm 3 ^b	332 \pm 5 ^b	1.12 \pm 0.05 ^{b,c}	39	18.3 \pm 1.1 ^a
PLA/Ag/T8	43.0 \pm 0.4 ^b	284 \pm 5 ^b	334 \pm 6 ^b	1.17 \pm 0.09 ^{b,c}	36	18.3 \pm 1.9 ^a

T_g: determined by DSC from the second heating scan at 10 °C min⁻¹.
T_{ini} and T_{max}: determined by TGA at 10 °C min⁻¹ in N₂ atmosphere. Corresponding to the 2nd degradation step.
Different superscripts within the same column indicate statistically significant different values (p < 0.05).

602

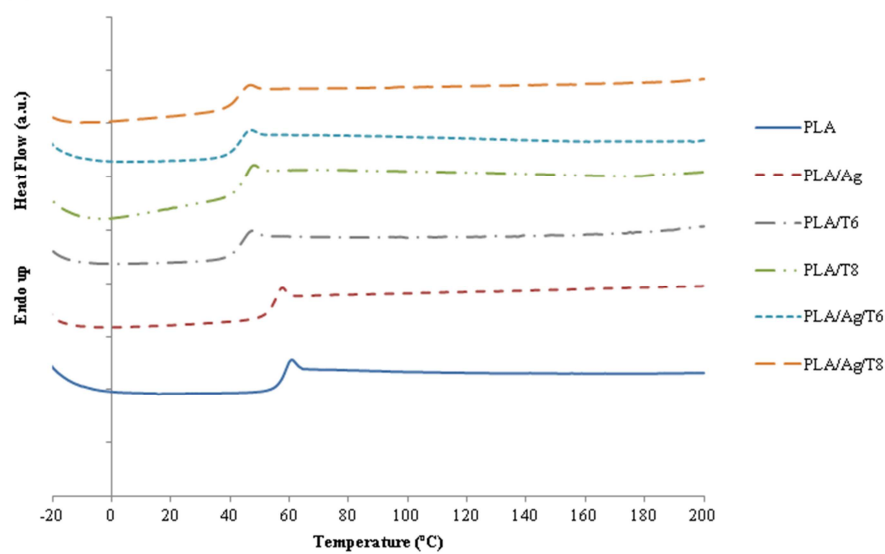
603 **Table 3.** Optical properties of neat PLA and nanocomposite films (mean \pm SD, n = 3).

Formulation	Colour parameters				Transparency
	L^*	a^*	b^*	ΔE^*	T_{500nm} (%)
PLA	47.36 \pm 0.09 ^a	-0.19 \pm 0.03 ^a	-0.12 \pm 0.02 ^{a,c}	-	94.77 \pm 0.01 ^a
PLA/Ag	46.67 \pm 0.29 ^b	1.53 \pm 0.03 ^b	8.04 \pm 0.07 ^b	8.37 \pm 0.09 ^a	91.31 \pm 0.01 ^b
PLA/T6	48.25 \pm 0.20 ^c	-0.15 \pm 0.02 ^a	-0.22 \pm 0.04 ^c	0.89 \pm 0.16 ^b	93.53 \pm 0.03 ^c
PLA/T8	48.33 \pm 0.31 ^c	-0.28 \pm 0.02 ^c	-0.06 \pm 0.02 ^a	0.97 \pm 0.34 ^b	94.41 \pm 0.03 ^d
PLA/Ag/T6	45.47 \pm 0.27 ^d	1.21 \pm 0.03 ^d	8.83 \pm 0.08 ^d	9.25 \pm 0.10 ^c	90.21 \pm 0.01 ^e
PLA/Ag/T8	46.38 \pm 0.22 ^b	1.04 \pm 0.02 ^e	9.57 \pm 0.06 ^e	9.81 \pm 0.06 ^d	90.80 \pm 0.02 ^f

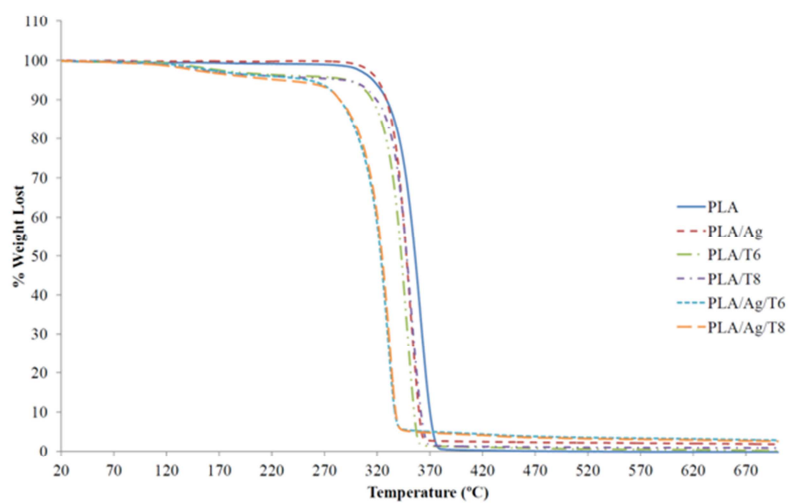
Different superscripts within the same column indicate statistically significant different values ($p < 0.05$).

604

(a)



(b)



(c)

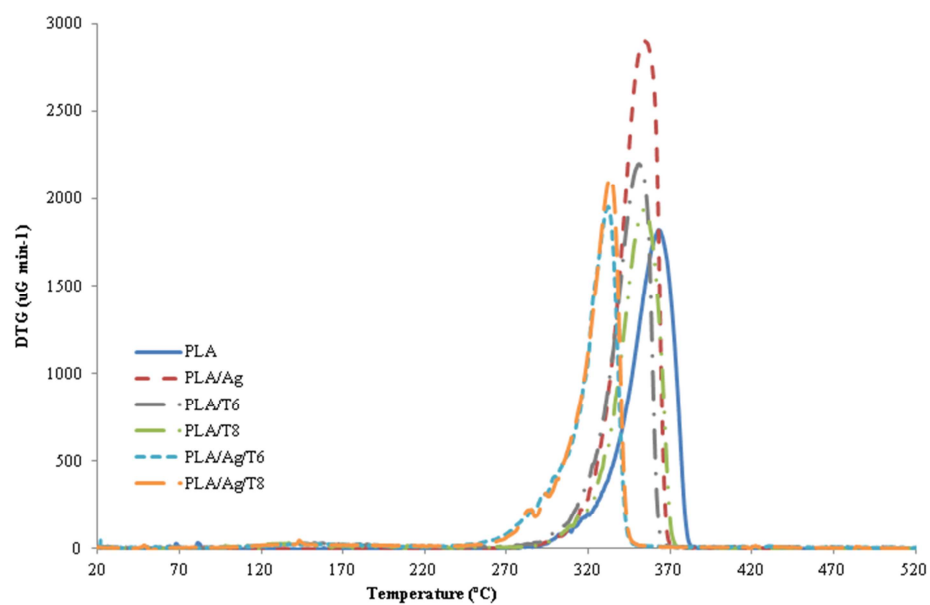
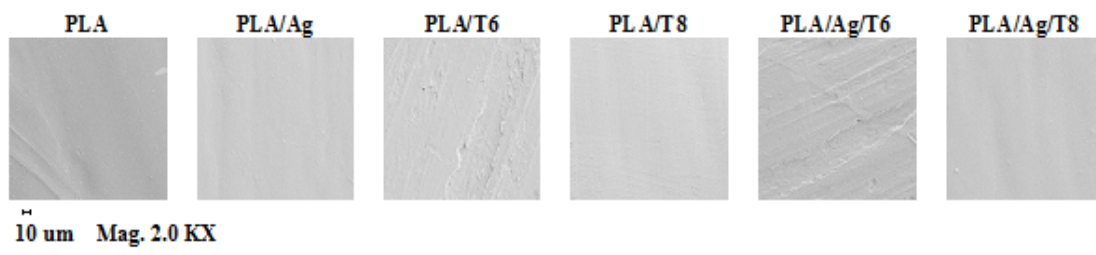


Figure 2

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Figure 3

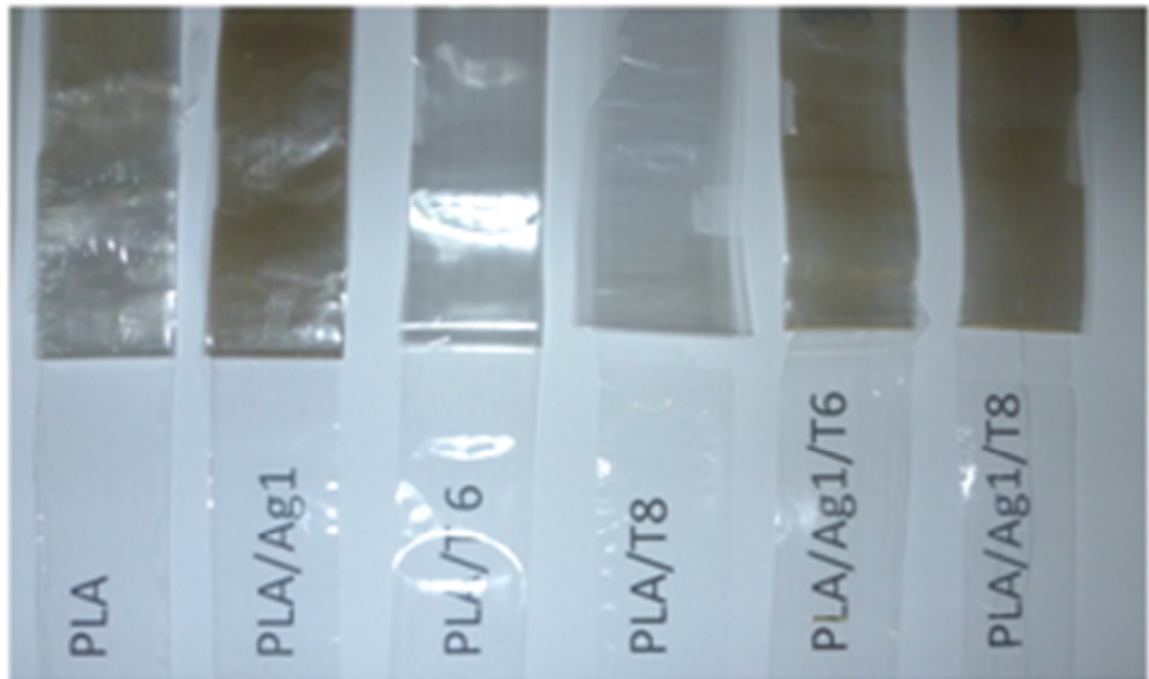


Figure 4

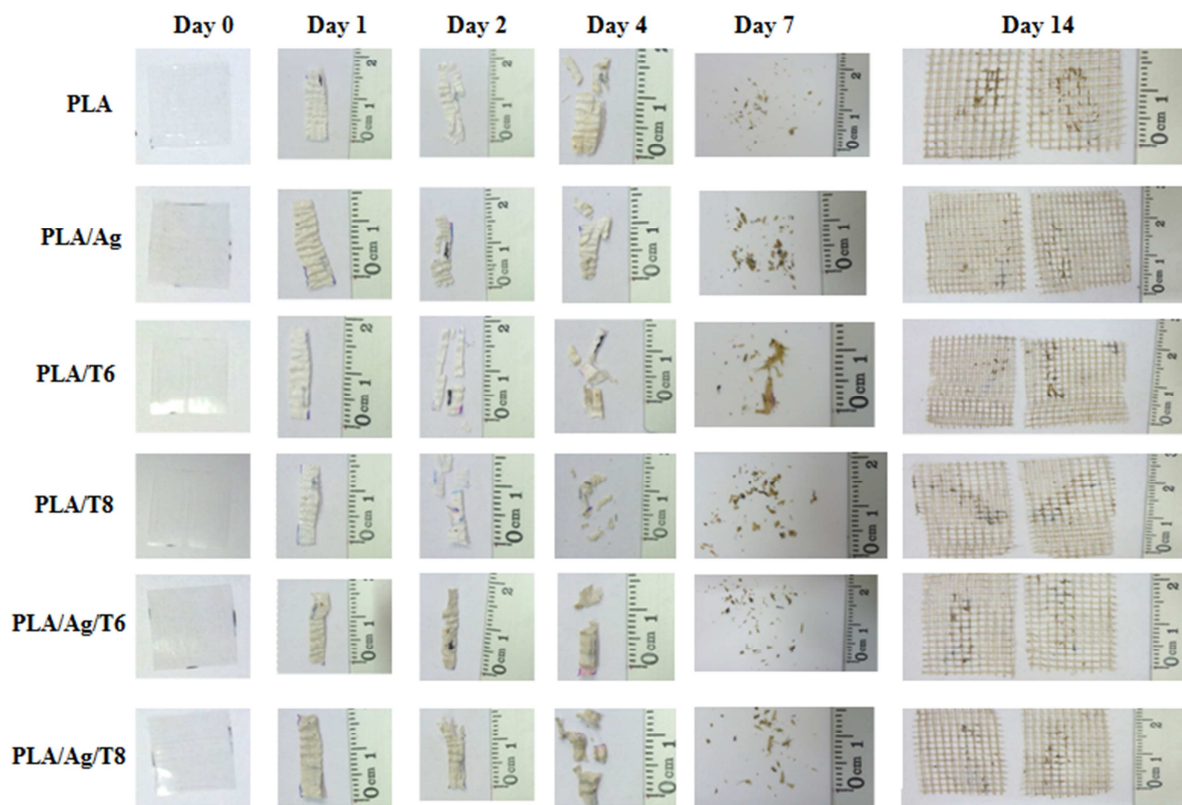


Figure 5

