

Departamento de Eletrónica, Telecomunicações e Informática

### Tiago Hipkin Meireles

Comunicações sem fios confiáveis para aplicações veiculares

Wireless protocols to support vehicular safety applications



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# Wireless protocols to support vehicular safety applications

Tese apresentada à Universidade de Aveiro para cumprimento dos requisitos necessários à obtenção do grau de Doutor em Programa Doutoral em Engenharia Electrotécnica, realizada sob a orientação científica do Doutor José Alberto Gouveia Fonseca, Professor Associado do Departamento de Eletrónica, Telecomunicações e Informática da Universidade de Aveiro e sob coorientação do Doutor Joaquim José de Castro Ferreira, Professor Adjunto da Escola Superior de Tecnologia e Gestão de Águeda.





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Dedico este trabalho à Cândida por ser suporte da minha vida e pela sua enorme paciência e dedicação.

o júri

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### palavras-chave

Comunicações sem fios, comunicações veiculares, I2V, R2V, Aplicações de segurança rodoviária, MAC, FTT, IEEE802.11p, WAVE, ITS-G5.

#### resumo

Nas últimas décadas tem-se assistido a um aumento do número de veículos a circular nas vias rodoviárias europeias, trazendo consigo um elevado número de acidentes e como consequência muitos feridos e vítimas mortais. Apesar da introdução de sistemas de segurança passivos, tais como cintos de segurança, airbags e de alguns sistemas de segurança activos, tais como o sistema electrónico de travagem (ABS) e o sistema electrónico de estabilidade (ESP), o número de acidentes continua a ser demasiado elevado. Aproximadamente oito por cento dos acidentes fatais na Europa ocorrem em auto-estradas, no caso Português, o número de vítimas mortais tem-se mantido constante ao longo da primeira década do século XXI.

A evolução das comunicações sem fios, acompanhada de políticas europeias e norte-americanas no sentido de reservar frequências próximas dos 5,9GHz para aplicações de segurança no ambiente veicular, levou à especificação de várias normas. A maior parte destas aplicações baseiam-se na possibilidade de usar um sistema confiável de comunicação sem fios para alertar os condutores e passageiros de veículos para eventos ocorridos nas estradas que possam colocar em risco a sua segurança. Exemplos de aplicações de segurança crítica são o aviso de travagem brusca, o aviso de veículo em contra mão e o aviso de acidente na estrada.

Este trabalho contribui para a definição de protocolos de comunicação capazes de garantir que a informação sobre eventos relacionados com situações de segurança crítica, que ocorram em cenários com um elevado número de veículos em zonas urbanas ou na vizinhança dos chamados "pontos negros" das auto-estradas, é disseminada com pontualidade por todos os veículos localizados na zona de interesse Por uma questão da integridade das comunicações e confiança dos condutores, o sistema proposto baseia-se na infra-estrutura do concessionário da auto-estrada, que validará os eventos reportados pelos veículos usando vários meios à sua disposição, como por exemplo sistemas de videovigilância e outros sensores.

O uso de uma infra-estrutura de comunicações, que dispõe de cobertura integral a partir de estações fixas, permite uma visão global da zona coberta, evitando os problemas associados a redes baseadas apenas na comunicação entre veículos, que são em geral totalmente ad-hoc. O uso da infra-estrutura permite, entre outras vantagens, controlar o acesso ao meio, evitando simultaneamente intrusões de estranhos ao sistema e o fenómeno conhecido como "chuva de alarmes" desencadeado quando todos os veículos querem aceder simultaneamente ao meio para avisar os restantes da existência dum evento de segurança crítica.

### resumo (cont.)

A tese apresentada neste documento defende que é possível garantir informação atempada sobre eventos que põem em risco a segurança dos veículos a partir de uma arquitectura de interligação entre as estações de comunicações fixas, coordenadas entre si, e unidades móveis (veículos) que se registam e se desligam dinamicamente do sistema.

Nesta tese faz-se um levantamento exaustivo e sistemático das aplicações de segurança abordando projectos de investigação relacionados, estudam-se as tecnologias de comunicação sem fios disponíveis e a sua possibilidade de suportar aplicações de segurança rodoviária. Desta análise, conclui-se que a norma norte americana WAVE/IEEE802.11p e a europeia ETSI-G5, criadas especificamente para o efeito são as que mais se adequam à finalidade desejada.

Considera-se que o cenário de utilização é evolutivo, podendo coexistirem veículos que não dispõem de sistemas de comunicação com outros que suportam a norma WAVE. Dado que o protocolo de acesso ao meio proposto pela norma WAVE não garante um acesso determinístico ao meio partilhado, propõe-se um novo protocolo, o *Vehicular Flexible Time-Triggered protocol* (V-FTT).

Faz-se a análise teórica da viabilidade do protocolo proposto para a norma WAVE e respectiva norma europeia (ETSI-G5). Quantifica-se o protocolo V-FTT para um cenário real: a auto-estrada A5 Lisboa-Cascais, uma das autoestradas portuguesas mais movimentadas. Conclui-se que o protocolo é viável e garante um atraso restringido temporalmente. Wireless communications, vehicular communications, safety vehicular applications, MAC protocol, Flexible Time Triggered protocol, FTT, I2V, R2V, IEEE802.11p, WAVE, ITS-G5.

abstract

keywords

In the last decades the number of vehicles travelling in European road has raised significantly. Unfortunately, this brought a very high number of road accidents and consequently various injuries and fatalities. Even after the introduction of passive safety systems, such as seat belts, airbags, and some active safety systems, such as electronic brake system (ABS) and electronic stabilization (ESP), the number of accidents is still too high. Approximately eight per cent of the fatal accidents occur in motorways, in the Portuguese case, the number of fatalities has remained constant in the first decade of the 21<sup>st</sup> century.

The evolution of wireless communications, along with the north-American and European policies that reserve spectrum near the 5,9GHz band for safety applications in the vehicular environment, has lead to the development of several standards. Many of these applications are based on the possibility of using a wireless communication system to warn drivers and passengers of events occurring on the road that can put at risk their own safety. Some examples of safety applications are the hard-brake warning, the wrong-way warning and the accident warning.

This work aims to contribute in defining a communication protocol that guarantees the timely dissemination of safety critical events, occurring in scenarios with a high number of vehicles or in the neighbourhood of so called motorway "blackspots", to all vehicles in the zone of interest.

To ensure information integrity and user trust, the proposed system is based on the motorway infrastructure, which will validate all events reported by the vehicles with the usage of several means, such as video surveillance or other sensors. The usage of motorway infrastructure that has full motorway coverage using fixed stations also known as road side units, allows to have a global vision of the interest zone, avoiding the problems associated to networks that depend solely on vehicle to vehicle communication, generally total ad-hoc networks. By using the infrastructure, it is possible to control medium access, avoiding possible badly intended intrusions and also avoiding the phenomenon known as alarm showers or broadcast storm that occur when all vehicles want to simultaneously access the medium to warn others of a safety event.

The thesis presented in this document is that it is possible to guarantee in time information about safety events, using an architecture where the road side units are coordinated among themselves, and communicate with on board units (in vehicles) that dynamically register and deregister from the system.

Abstract (cont.)

An exhaustive and systematic state of the art of safety applications and related research projects is done, followed by a study on the available wireless communications standards that are able to support them. The set of standards IEEE802.11p and ETSI-G5 was created for this purpose and is found to be the more adequate, but care is taken to define a scenario where WAVE enabled and non-enabled vehicles can coexist. The WAVE medium access control protocol suffers from collision problems that do not guarantee a bounded delay, therefore a new protocol (V-FTT) is proposed, based on the adaptation of the Flexible Time Triggered protocol to the vehicular field. A theoretical analysis of the V-FTT applied to WAVE and ETSI-G5 is done, including quantifying a real scenario based on the A5 motorway from Lisbon to Cascais, one of the busiest Portuguese motorways. We conclude the V-FTT protocol is feasible and guarantees a bounded delay.

## **Table of Contents**

1.	IN	NTRODUCTION	1
	1.1.	Motivation	1
	1.2.	EMERGENT WIRELESS COMMUNICATIONS STANDARDS CAN SUPPORT VEHICLE SAFETY	
	APPL	ICATIONS	3
	1.3.	THE THESIS	5
	1.4.	CONTRIBUTIONS	
	1.5.	CHAPTER ORGANIZATION	6
2.	R	OAD SAFETY MECHANISMS BASED ON WIRELESS COMMUNICATIONS	7
	2.1.	SAFETY APPLICATIONS DATA SOURCES: VEHICLES AND MOTORWAY	
	2.2.	NON-SAFETY APPLICATIONS IN VEHICULAR ENVIRONMENTS	. 11
	2.3.	SAFETY APPLICATIONS IN VEHICULAR ENVIRONMENTS	
	2.4.	SAFETY CRITICAL APPLICATIONS CHARACTERISTICS AND MESSAGE SETS	
	2.5.	WIRELESS COMMUNICATIONS STANDARDS TO SUPPORT SAFETY VEHICULAR COMMUNICATIONS.	
	2.6.	PROJECTS ABOUT ROAD SAFETY THAT USE VEHICULAR COMMUNICATIONS	
	2.7.	Conclusions	. 39
3.	E	NABLING TECHNOLOGIES FOR SAFETY VEHICULAR APPLICATIONS	. 41
	3.1.	IEEE 802.11p / WAVE SET OF STANDARDS	. 41
	3.2.	ETSI G-5 SET OF STANDARDS	. 55
	3.3.	MAC SOLUTIONS FOR SAFETY APPLICATIONS	. 61
	3.4.	CONCLUSIONS	. 69
4.	V	EHICULAR FLEXIBLE TIME TRIGGERED PROTOCOL (V-FTT)	. 71
	4.1.	INFRASTRUCTURE BASED VEHICLE COMMUNICATIONS FOR SAFETY APPLICATIONS	. 71
	4.2.	THE FLEXIBLE TIME TRIGGERED PROTOCOL (FTT)	. 74
	4.3.	PROPOSED ARCHITECTURE AND PROTOCOL	. 77
	4.4.	V-FTT PROTOCOL DETAILS	. 89
	4.5.	Conclusions	. 93
5.	S	UPPORTING V-FTT ON TOP OF VEHICULAR STANDARDS	. 95
	5.1.	V-FTT TECHNICAL SOLUTIONS USING IEEE 802.11P/WAVE AND ITS G-5	. 95
	5.2.	ANALYSIS OF IMPACT OF WORST CASE SCENARIO	
	5.3.	V-FTT PROTOCOL WORST CASE DELAY ANALYSIS	
	5.4.	APPLICATION SCENARIO: A5- AUTO-ESTRADA DA COSTA DO ESTORIL	
	5.5.	SCHEDULING V-FTT VEHICLE COMMUNICATIONS	-
	5.6.	Conclusions	126
6.	С	ONCLUSIONS AND FUTURE WORK 1	127
	6.1.	FUTURE RESEARCH TOPICS	129
BI	BLIC	<b>DGRAPHY</b> 1	131
Al	NNEX	X A – LIST OF PUBLICATIONS	139

## List of Figures

FIG. 1.1 - EVOLUTION OF ROAD ACCIDENTS, FATALITIES AND INJURED IN EU (ADAPTED FROM [1]) FIG. 1.2 – FATALITIES IN MOTORWAYS PER MILLION INHABITANTS IN THE EUROPEAN UNION (ADAPTED FR [1])	OM
FIG. 2.1- UMTS EVOLUTION TO LTE	28
FIG. 2.2 - CALM ARCHITECTURE (ADAPTED FROM [25])	31
FIG. 2.3 - LATENCY COMPARISON BETWEEN DIFFERENT WIRELESS COMMUNICATION STANDARDS	33
FIG. 2.4 - PROJECTS AND ORGANIZATIONS RELATED TO VEHICULAR TECHNOLOGY IN 2008 [16]	
FIG. 3.1 - DSRC ALLOCATED SPECTRUM IN THE UNITED STATES (ADAPTED FROM [49])	
FIG. 3.2- WAVE LAYER ARCHITECTURE IN THE U.S. (ADAPTED FROM [49])	
FIG. 3.3 - 802.11 DISTRIBUTION SYSTEM AND ACCESS POINTS (ADAPTED FROM [52])	
FIG. 3.4 - CSMA/CA USED IN 802.11 [52]	47
FIG. 3.5 - BACKOFF PROCEDURE FOR IEEE802.11 DCF [52]	
FIG. 3.6 - WAVE MAC MULTI-CHANNEL CAPABILITY (ADAPTED FROM [51])	
FIG. 3.7 - WAVE CHANNEL ACCESS OPTIONS: (A) CONTINUOUS, (B) ALTERNATING, (C) IMMEDIATE, (D)	
EXTENDED (ADAPTED FROM [51])	51
FIG. 3.8 - WAVE SHORT MESSAGE (WSM) FIELDS (ADAPTED FROM [53])	
FIG. 3.9 - SPECTRUM ALLOCATION FOR ETSI-G5 (ADAPTED FROM [57]).	
FIG. 3.10 - ETSI ITS STATION PROTOCOL STACK (ADAPTED FROM [57]).	
FIG. 3.11 - RSU POLLS VEHICLE FOR DATA DURING THE COLLISION FREE PHASE (CFP) (ADAPTED FROM [6	51])
FIG. 3.12 - ADAPTABLE RATIO BETWEEN COLLISION FREE PHASE AND CONTENTION PERIOD (ADAPTED FR [61])	OM
FIG. 3.13 - CONTROL CHANNEL AND SERVICE CHANNEL DURING I <sup>TH</sup> CYCLE (ADAPTED FROM [63])	
FIG. 3.14 - RT-WIFI TDMA LAYER (ADAPTED FROM [65])	
FIG. 4.1 - FTT ELEMENTARY CYCLE STRUCTURE (ADAPTED FROM [9])	
FIG. 4.2 - DEFINITION OF SAFETY ZONE ( $S_z$ )	
FIG. 4.3 - RSU DISTRIBUTION ALONG THE MOTORWAY	
FIG. 4.4 - ROUND ROBIN SCHEME FOR RSU TRANSMISSION SLOT IN THE INFRASTRUCTURE WINDOW (SIW=	
FIG. 4.5 – VEHICLE INFORMATION FLOW DIAGRAM	/
FIG. 4.6 - PROPOSED VEHICULAR FTT (V-FTT) PROTOCOL	
FIG. 4.7 - TRIGGER MESSAGE FRAME	
FIG. 4.8 – RSU WARNING MESSAGES (WM)	
FIG. 4.9 – SYNCHRONOUS OBU WINDOW FRAME	
FIG. 4.10 - NUMBER OF VEHICLES PER LANE PER KM FOR AN AVERAGE VEHICLE LENGTH OF 4,58M	
FIG. 5.1 –IEEE 802.11P/WAVE SYNCHRONIZATION INTERVAL (ADAPTED FROM [93])	
FIG. 5.2 - V-FTT PROTOCOL ON TOP OF IEEE802.11P/WAVE (NORMAL MODE)	
FIG. 5.3 - RSU COVERAGE	
FIG. 5.4 - SKETCH OF A MOTORWAY CURVE AND RSUS COVERAGE AREAS (25% OVERLAP)	
FIG. 5.5 - SOW LENGTH PER LANE (MS)	
FIG. 5.6 - MAXIMUM SOW LENGTH FOR NORMAL TRAFFIC (NLANES=4)	
FIG. 5.7 - TRIGGER MESSAGE FRAME.	
FIG. 5.8 - RATIO OF DENIED TRANMISSIONS DUE TO CCH INTERVAL EXPIRY FOR EEBL APPLICATION	
FIG. 5.9 - RATIO OF DENIED TRANSMISSIONS DUE TO CCH INTERVAL EXPIRY FOR POST-CRASH WARNING APPLICATION	
FIG. 5.10 - WORST CASE OBU TRANSMISSION INSTANT (T <sub>V2I</sub> )	
FIG. 5.11 – UPLINK TIME ( $T_{V2I}$ ) WORST CASE FOR NORMAL TRAFFIC SCENARIO (FP=0%, C <sub>R</sub> =750M)	
FIG. 5.12 - UPLINK TIME ( $T_{V2I}$ ) WORST CASE FOR NORMAL TRAFFIC SCENARIO ( $FP=0\%$ , $C_R=750M$ ) FIG. 5.12 - UPLINK TIME ( $T_{V2I}$ ) WORST CASE FOR TRAFFIC JAM SCENARIO ( $FP=0\%$ , $C_R=750M$ )	
FIG. 5.13 - WORST CASE OF $T_{12V}$	
FIG. 5.13 - WORST CASE OF PLOY	
FIG. 5.15 - WORST CASE OF EVENT WARNING TIME PER NUMBER OF LANES (NORMAL TRAFFIC)	
FIG. 5.16 – A5 MOTORWAY BLACKSPOTS (ADAPTED FROM [104])	
FIG. 5.17 – SAFETY ZONES SUGGESTION FOR A5 MOTORWAY (ADAPTED FROM [104])	
FIG. 5.18- ACCIDENT RISK IS PROPORTIONAL TO VEHICLE SPEED DIFFERENCES (ADAPTED FROM [109])	
	125

## **List of Tables**

TABLE 2.1 – SAFETY CRITICAL APPLICATIONS CHARACTERISTICS (ADAPTED FROM [13][14])	
TABLE 2.2 – TRAFFIC SIGNAL VIOLATION WARNING DATA MESSAGE SET (ADAPTED FROM [13])	
TABLE 2.3 – CURVE SPEED WARNING DATA MESSAGE SET (ADAPTED FROM [13])	
TABLE 2.4 – EMERGENCY ELECTRONIC BRAKE LIGHT DATA MESSAGE SET (ADAPTED FROM [13])	
TABLE 2.5 – COLLISION MITIGATION DATA MESSAGE SET (ADAPTED FROM [13])	
TABLE 2.6 – COOPERATIVE FORWARD COLLISION WARNING MESSAGE SET (ADAPTED FROM [13])	
TABLE $2.7 - Example$ of an OBU table of nearby vehicles (adapted from [13])	
TABLE 2.8 - LANE CHANGE WARNING MESSAGE SET (ADAPTED FROM [13])	
TABLE 2.9 – WIRELESS COMMUNICATIONS STANDARDS MAIN CHARACTERISTICS	
TABLE 2.10 – PROJECTS ABOUT VEHICULAR SAFETY USING WIRELESS COMMUNICATIONS	
TABLE 2.11 – PROJECTS ABOUT VEHICULAR SAFETY USING WIRELESS COMMUNICATIONS (DETAILS)	37
TABLE 3.1 - OFDM MODULATION PARAMETERS (ADAPTED FROM [52])	44
TABLE 3.2 - FCC DEVICE CLASSIFICATION (ADAPTED FROM [6] AND [49])	
TABLE 3.3 - USER PRIORITY (UP) TO ACCESS CATEGORY (AC) MAPPING (ADAPTED FROM [52])	49
TABLE 3.4 - DEFAULT EDCA PARAMETERS (ADAPTED FROM [6])	
TABLE 3.5 - EDCA PARAMETERS WHEN USING WAVE MODE (OCB) (ADAPTED FROM [6])	49
TABLE 3.6 - EUROPEAN ITS CHANNEL ALLOCATION (ADAPTED FROM [8])	
TABLE 3.7 - ITS G-5 DATA RATES AND CHANNEL SPACING (ADAPTED FROM [8])	57
TABLE 3.8 - CAM GENERIC STRUCTURE	59
TABLE 3.9 - DENM TRIGGERING AND TERMINATION CONDITIONS (ADAPTED FROM [59])	60
TABLE 3.10 - MAC PROTOCOLS FOR VEHICULAR SAFETY APPLICATIONS	
TABLE 4.1 – OBU SLOT PAYLOAD – BASIC SAFETY MESSAGE (BSM)	
TABLE 4.2 - OBU SAFETY EVENTS FLAG TABLE	
TABLE 5.1 – $N_{VRSU}$ - Maximum number of vehicles covered by Each RSU ( $C_R$ = 750m)	
TABLE 5.2 – TRANSMISSION DURATION OF A BSM IN AN OFDM 10 MHZ CHANNEL	100
TABLE 5.3 – MAXIMUM SIZE OF SOW <sub>slots</sub> for a RSU coverage of 750m with 25% of overlapping ran	
ST012	
TABLE 5.4 - MAXIMUM NUMBER OF SOW <sub>slots</sub> PER CCH INTERVAL (UPPER BOUND)	
TABLE 5.5 – UPPER BOUND SIZE OF A TRIGGER MESSAGE (TM) IN BITS	
TABLE 5.6 – UPPER BOUND TRANSMISSION DURATION OF A TM IN AN OFDM 10 MHZ CHANNEL	
TABLE 5.7 - TRANSMISSION DURATION OF WARNING MESSAGES IN AN OFDM 10 MHZ CHANNEL	
TABLE 5.8 – UPPER BOUND TRANSMISSION DURATION OF A RSU SLOT USING WAVE ( $S_{IW}=2$ )	
TABLE 5.9 – UPPER BOUND TRANSMISSION DURATION OF IW USING WAVE.	
TABLE 5.10 – TRANSMISSION DURATION OF A REGULAR WAVE SERVICE ANNOUNCEMENT	
TABLE 5.11 – TRANSMISSION DURATION OF A TM IN AN OFDM 10 MHz CHANNEL	
TABLE 5.12 – TRANSMISSION DURATION OF IW USING WAVE.	106
TABLE 5.13 – TIME LEFT FOR SOW TRANSMISSION IN AN OFDM 10 MHz CHANNEL	
TABLE 5.14 - NUMBER OF SOW SLOTS PER CCH INTERVAL	
TABLE 5.15 - MAXIMUM NUMBER OF OBUS IN THE SAME COVERAGE AREA THAN $M_{OBU}$ (S <sub>IW</sub> =2, C <sub>P</sub> =750M).	111
TABLE 5.16 – WORST CASE VALUE OF VALIDATION, SCHEDULE AND DOWNLINK TIME ( $S_{IW}=2$ , $C_{R}=750$ m)	
TABLE 5.17 - WORST CASE WARNING TIME FOR NORMAL TRAFFIC (NO FP)	
TABLE 5.17       WORST CASE WARNING TIME FOR TRAFFIC JAM (NO FP)         TABLE 5.18 - WORST CASE WARNING TIME FOR TRAFFIC JAM (NO FP)	
TABLE 5.19 - A5 MOTORWAY CHARACTERISTICS (ADAPTED FROM [104] AND [103])	
TABLE 5.19       TAB MOTORWAY CHARGE FIRISTICS (ADAPTED FROM [104])         TABLE 5.20 – A5 MOTORWAY BLACKSPOTS (ADAPTED FROM [104])	
TABLE 5.20       AS MOTORWAT BLACKSTOTS (ADAT TED TROM [10-]).         TABLE 5.21 – AVERAGE VEHICLE DIMENSIONS (ADAPTED FROM [100]).	
TABLE 5.22 – MAXIMUM SIMULTANEOUS NUMBER OF VEHICLES IN EACH SAFETY ZONE	
TABLE 5.22 – MAAMVOM SIMULTANEOUS NOMBER OF VEHICLES IN EACH SATELY 20NE TABLE 5.23 - NUMBER OF RSUS TO PLACE IN A5 MOTORWAY ( $C_R$ =750M, $S_R$ = 1312,5M)	
TABLE 5.23 - NUMBER OF RSUS TO FLACE IN AS MOTORWAT ( $C_R$ – 750M, $S_R$ – 1912, 5M) TABLE 5.24 - T <sub>WORST</sub> VALUE FOR A5 MOTORWAY SCENARIO WITH TRAFFIC JAM (THEORETICAL)	
TABLE 5.24 - Tworst VALUE FOR AS MOTORWAY SCENARIO WITH TRAFFIC JAM (THEORETICAL)         TABLE 5.25 – MATLAB V-FTT PARAMETERS	
TABLE 5.25 – MATLAD V-FTT PARAMETERS	
TABLE 5.20 – MINIMUM AVAILABLE EC LENGTH MATLAB RESULTS FOR SAFETY ZONE 1 (3100M), $S_{IW}=2$ TABLE 5.27 – MINIMUM AVAILABLE EC LENGTH MATLAB RESULTS FOR SAFETY ZONE 1 (3100M), $S_{IW}=3$	
TABLE 5.28 - $T_{WORST}$ VALUE FOR A5 MOTORWAY SCENARIO WITH TRAFFIC JAM, $S_{IW}$ =2 TABLE 5.29 - EXAMPLE OF SCHEDULING TABLE ORDERED BY TIME TO TARGET	
1 ADLE J.27 - EXAMPLE OF SCHEDULING TABLE OKDEKED BY TIME TO TAKGET	123

## List of Acronyms

ABS	Antilock Brake System
AC	Access Category
ACI	Adjacent Channel Interference
ADSL	Asymmetric Digital Subscriber Line
AIFS	Arbitration Interframe space
AIS	Automatic Identification System
AP	Access Point
BSM	Basic Safety Message
BSS	Basic Service Set
CA	Certificate Authority
CALM	Communication Access for Land Mobiles
CAM	Cooperative Awareness Messages
CAN	Controller Area Network
CBF	Contention-based phase
CBP	Contention Based Period
ССН	Control Channel
CFCW	Cooperative Forward Collision Warning
CFP	Contention Free Period or Collision Free Phase
СР	Contention Period
$C_{\rm r}$	Coverage range of an RSU (m)
CSMA/CA	Carrier Sense Multiple Access/Collision Avoidance
CSR	Connection Setup Request
CTS	Clear To Send
CW	Contention Window
DAB	Digital Audio Broadcasting
DCC	Distributed Congested Control
DCF	Distribution Coordination Function
DENM	Decentralized Environmental Notification Messages
DIFS	DCF interframe space
DMB	Digital Multimedia Broadcasting
DS	Distribution System
DSRC	Dedicated Short Range Communications
DSSS	Direct Sequence Spread Spectrum
DTIM	Delivery Traffic Indication Message
DVB	Digital Video Broadcasting

DVB-H	Digital Video Broadcasting Handheld
DVB-T	Digital Video Broadcasting Terrestrial
DVD	Digital Versatile Disc
Е	Elementary Cycle duration
EC	Elementary Cycle
EDCA	Enhanced Distributed Channel Access
EDF	Earliest Deadline First
EEBL	Emergency Electronic Brake Light
EIFS	Extended InterFrame Space
ESP	Electronic Stabilization Program
ETSI	European Telecommunications Standards Institute
EU	European Union
FCC	Federals Communication Commission
FCR	Force Collision Resolution
FCW	Forward Collision Warning
FDMA	Frequency Division Multiple Access
FI	Frame Information
FNTP	Fast Network and Transport Protocol
FP	Free Period
FTT	Flexible Time Triggered
GGSN	Gateway GPRS Support Node
GI	Guard Interval
GPRS	General Packet Radio Service
GPS	Global Positioning System
GSM	Global System for Mobile communications
GW	Gateway
HMI	Human Machine Interface
HSPA	High Speed Packet Access
ICSI	Intelligent Cooperative Sensing for Improved traffic efficiency
IFS	Inter Frame Space
IP	Internet Protocol
ISM	Industrial, Scientific and Medical
ISO	International Organization for Standardization
ITS	Intelligent Transportation System
IW	Infrastructure Window
LAW	Length of Asynchronous Window
LCD	Liquid Cristal Display

LLC	Logical Link Control
LOS	Line Of Sight
l <sub>sow</sub>	length of synchronous OBU window
LSW	Lenght of Synchronous Window
$l_{Sz}$	length of Safety Zone (m)
LTE	Long Term Evolution
LTE-A	LTE Advanced
MAC	Medium Access Control
MAN	Metropolitan Area Network
MBWA	Mobile Broadband Wireless Access
MCCA	Mesh Coordinated Channel Access
MCS	Modulation Coding Scheme
MFR	Most Forward within Range
MLME	MAC sublayer Management Entity
MME	Mobile Management Entity
M <sub>OBU</sub>	maximum number of OBUs present in the same coverage area than the emitter OBU (OBU sending an event warning)
NAV	Network Allocation Vector
NB	NodeB
NHTSA	National Highway Traffic Safety Administration
n <sub>lanes</sub>	number of lanes for each travel path in motorway [1,n]
NLOS	Non Line Of Sight
N <sub>max</sub>	absolute maximum number of vehicles that can travel simultaneously in the Safety Zone
n <sub>r</sub>	number of RSUs in the safety Zone
n <sub>Sz</sub>	number of vehicles in the safety zone, it can vary depending on traffic conditions
n <sub>v</sub>	number of OBUs in S <sub>IW</sub> RSU coverage
N <sub>Vint</sub>	union of all sets of vehicles that can listen simultaneously to more than one RSU in a set of adjacent RSUs.
N <sub>VRSU</sub>	maximum number of vehicles served by an RSU
N <sub>VTM</sub>	number of vehicles slots in the Trigger Message
OBD-II	On-board diagnostics
OBU	On Board Unit
OCB	Outside the context of a BSS
OFDM	Orthogonal Frequency Division Multiplexing
0 <sub>r</sub>	Overlapping range of RSUs (m)
PCF	Point Coordination Function

PIFS	PCF interframe space
PLCP	Physical Layer Convergence Procedure
PLME	Physical Layer Management Entity
PMD	Physical Medium Dependent
PPDU	PHY Protocol Data Unit
PSID	Provider Service Identifier
QoS	Quality of Service
R2V	Roadside to Vehicle communications
RDS	Radio Digital System
RHW	Road Hazard Warning
RNC	Radio Network Controller
RPM	Revolution per Minute
RSU	Road Side Unit
RSU <sub>ID</sub>	RSU unique identifier (8 bit)
RSU <sub>slot</sub>	RSU slot transmission slot
RT	Real-time
RTS	Request To Send
Rz	total number of RSUs in the Safety Zone
SAE	Society of Automotive Engineers
SAM	Service Announcement Message
SAP	Service Access Point
SCH	Service Channel
SIFS	Short interframe space
S <sub>IW</sub>	maximum number of adjacent RSUs which transmissions can be heard simultaneously by an OBU.
SGSN	Serving GPRS Support Node
SM	size of synchronous OBU message
SOW	Synchronous OBU Window, period of time where authorized OBUs send their data to
5011	RSUs
$SOW_{slots}$	maximum number of transmission slots allocated for the next Synchronous OBU
	window
Sr	spacing between RSUs (m)
STDMA	Self organizing TDMA
Sz	Safety Zone (area covered by one or more Road Side Units)
ТА	Traffic Announcement
ТСР	Transmission Control Protocol
TDMA	Time Division Multiple Access

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	Vspacing	average spacing between two consecutive vehicles (m)
New number of Elementary cycles on OBU must wait before its SOW where it will transmit	WAVE	Wireless Access for Vehicular Environments
wec number of Elementary cycles an ODO must wait before its SOW where it will transmit.	WEC	number of Elementary cycles an OBU must wait before its SOW where it will transmit.
WIMAX Worldwide Interoperability for Microwave Access	WIMAX	Worldwide Interoperability for Microwave Access
WIPAN Wireless Personal Area Network	WIPAN	Wireless Personal Area Network
WLAN Wireless Local Area Network	WLAN	Wireless Local Area Network

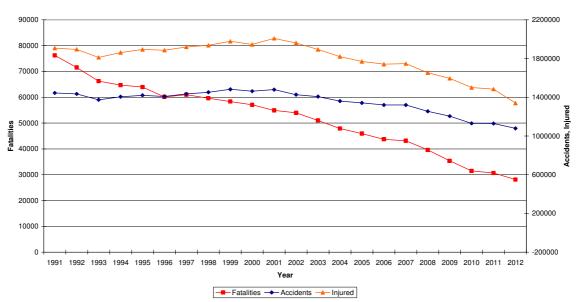
WM	Warning Message
WME	WAVE Management Entity
WSA	WAVE Service Announcement
WSM	WAVE Short Message
WSMP	WAVE Short Message Protocol

## 1. Introduction

### 1.1. Motivation

The number of existing vehicles has largely increased in the last decades. High-speed road networks are now common in most European countries allowing to travel larger distances in less time. Unfortunately, the growth of the number of vehicles has increased the number of road accidents and consequently the number of fatalities or injuries. This has lead to the increase of safety mechanisms in vehicles, either by developing various passive safety devices, such as airbags or pre-tension seat belts, or electronic active systems, such as ABS or ESP, that aim to aid the driver in difficult situations, such as braking hard or a sudden change of direction. Vehicle's construction also evolved remarkably such that modern vehicle chassis absorb the maximum energy of an impact in order to protect passengers.

While it is true that the aforementioned improvements in vehicles have lead to a decrease in road accidents fatalities, the number is still excessive: approximately 30,000 people died in the European Union (EU) from road accidents in 2010 (Fig. 1.1). There is a great margin to improve these numbers, particularly the number of accidents. In the EU nearly 8% of road accident fatalities occur in motorways [1][2]. Adding to this, in Portugal the fatalities per million inhabitants in motorways have not decreased in the last decade (refer to Fig. 1.2), which means additional safety measures are needed.



Road Safety Evolution in EU

Fig. 1.1 - Evolution of road accidents, fatalities and injured in EU (adapted from [1])

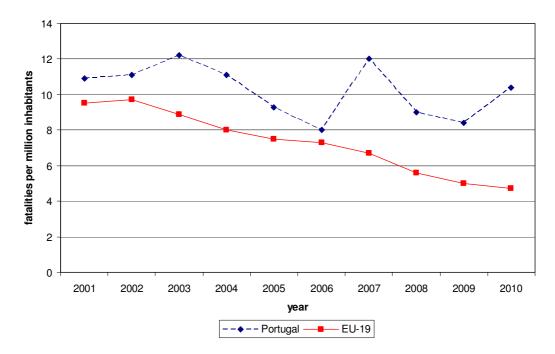


Fig. 1.2 - Fatalities in motorways per million inhabitants in the European Union (adapted from [1])

Several types of events can occur in a motorway, having different degrees of importance in what concerns the distance to the event and driver reaction time. It is different for a driver to know an accident has occurred two kilometres ahead, than knowing that a vehicle is braking hard right ahead of him. Therefore a way of warning drivers about something that can cause danger would most likely be effective on reducing the number of deaths since this approach could in fact reduce the number of accidents. Most motorways have visual warnings methods (e.g. electronic variable sign panels) to inform drivers but usually these signs are too scattered along the motorway to have the needed effect. This is due to difficulties in the placement of these signs, since not all areas are suitable due to geographical constraints or visibility issues; even if possible, it would be too costly to place electronic signs every 100 meter for example. In addition, it is important to validate any information and select the areas where it will be disseminated in order to avoid false alarms or overload of useless information to the drivers. Suburban motorways are accident-prone scenarios since they usually combine high speed with high volume of traffic. As an example, the busiest Portuguese motorway (A5) has an average daily traffic of 120.000 vehicles and more than 200 traffic accidents per year. The IC19, a suburban motorway that leads to the A5 motorway, was considered the most dangerous road in Portugal in 2013 [2].

## 1.2. Emergent wireless communications standards can support vehicle safety applications

Safety in motorways would benefit from a system that is able to detect events that could cause some danger and then warn drivers of these dangerous events. Several safety applications for vehicles that were considered science-fiction some years ago, are now becoming a reality. In 2008, the EU has enforced laws in order to reserve spectrum for safety vehicular communications, particularly in the 5.9GHz frequency band: "*Today's Commission Decision provides a single EU-wide frequency band that can be used for immediate and reliable communication between cars, and between cars and roadside infrastructure. It is 30 MHz of spectrum in the 5.9 Gigahertz (GHz) band which will be allocated within the next six months by national authorities across Europe to road safety applications" [3]. Wireless communications were already used in motorways, mainly for tolling purposes [4], but the purpose of allocating more 30MHz of spectrum for vehicular communications was to push the development of safety and infotainment applications for drivers and vehicles passengers.* 

Recent news from the U.S. National Highway Traffic Safety Administration (NHTSA) claim that U.S. regulators will "require all new vehicles to be able to "talk" to one another using wireless technology". This new rule is expected to be approved in early 2017 [5]. The same article refers that NHTSA claims that "this technology allows cars on the road to trade basic safety data, such as speed and position, at a rate of ten times per second. This exchange of information might help avoid or reduce the severity of 80% of crashes that occur when the driver is not impaired".

In the field of vehicular communications, Vehicular Ad-Hoc Network (VANET) is a particular network where the nodes are vehicles. Due to the rapid movement of the nodes and the quick variability of their position and number, the topology of these networks varies very rapidly over time. Also, there are no access points or base stations, i.e., communications are only made between moving vehicles which makes easy to understand the ad-hoc nature of such a network. When communications are made directly between vehicles, this is called vehicle to vehicle communications (V2V).

A long transitory period of time is expected before all vehicles are equipped with on-board units with wireless communications capabilities and before V2V protocols are mature enough to be a reality. V2V communications are impaired by the ad-hoc nature of such networks which does not favour safety and security. Other types of communications are involved in vehicular networks besides V2V. It might be useful for vehicles to communicate with some kind of fixed infrastructure, such as a toll or gas station or other road infrastructure. Whenever this happens it is called vehicle to infrastructure communications (V2I) or infrastructure to vehicle communications (I2V). Some authors also use roadside to vehicle (R2V) with the same meaning.

A vehicle can have more than one unit capable of communications, therefore it is common to refer each communication unit as an on-board unit (OBU). OBUs can also have the capacity of connecting to the vehicle on-board computer and vehicle sensors. Other communication units are placed in roadside infrastructures and are not mobile. These are named road side units (RSU) in order to differentiate them from OBUs.

Not all wireless communication technologies are able to cope with vehicle high speeds and rapid variations of network topology. On top of that, vehicular safety applications pose additional time constraints. The two main communication parameters that affect the performance of active traffic safety applications are reliability and delay. Reliability means packets should be received at destination correctly without error and it depends on error probability of the packets. In active safety applications most of the communication between vehicles happens by broadcasting, therefore it is a hard task to predict the reliability of these broadcast messages due to the absence of acknowledgment. Another important communication parameter in active traffic safety applications is predictable delay. This means data needs to be delivered to the destination before a certain deadline, which is very common in active traffic safety applications.

New standards from different organizations, for wireless vehicular applications were recently defined. The physical and MAC layers are identical in these standards and are based in IEEE 802.11 Amendment 9 [6], also known as IEEE 802.11p. In the United States the Wireless Access in Vehicular Environment (WAVE) set of standards includes the IEEE 1609.1-4 [7] standards, while in Europe vehicular communications were standardized by the ETSI ITS-G5 set of standards [8]. One measure taken by these standards was to eliminate the registering process with an access point (AP). Another was to define new network and transport layers, namely the WAVE Short Message Protocol (WSMP) and Fast Network and Transport Protocol (FNTP) to avoid the use of IPv6 in order to reduce communication overhead for safety applications. However, none of these standards is able to offer a guaranteed maximum delay for medium access by the OBU safety applications. The MAC protocols proposed in the aforementioned standards can suffer from collisions and other problems that do not allow determinism in terms of bounded delay. This is particularly true for dense traffic scenarios with a high number of nodes travelling at high speeds.

### 1.3. The Thesis

Our thesis is that it is possible to guarantee that information about events that can put at risk driver safety is transmitted in due time, and, for this to happen, we propose an infrastructure based approach where RSUs are coordinated among themselves and where vehicles OBUs' dynamically register and deregister from the system. Any vehicle that needs to report a safety event must have access to the communication medium with predictable delay. We base our approach on the Flexible Time Triggered (FTT) protocol [9] that was originally devised for wired communications in order to obtain determinism in communications, i.e., predictable delay. We inherit all the properties of the original FTT protocol and we propose the Vehicular Flexible Time Triggered (V-FTT) protocol, applicable to vehicular communications, which shall be followed by all registered OBUs that want to be warned of safety events. The protocol shall be compatible with WAVE and ETSI-G5 standards.

### **1.4.** Contributions

The main contributions from this work are:

- A systematic and detailed state of the art of vehicular safety applications, their timing and communication requirements, and an extensive survey on related projects in Europe and other continents, for future memory.
- Definition of a new protocol (V-FTT) involving the creation of Safety Zones in motorways. The Safety Zones will be managed by RSUs controlled by the motorway operator. These RSUs will be interconnected and determine the communication channel access of all compliant OBUs. For that purpose OBUs register themselves with the RSUs in order to be warned of safety events. The RSUs will be responsible for warning all OBUs (compliant or non compliant) of any occurrence of safety events.
- Definition of a coordination scheme for Road Side Units so that RSU communications do not overlap.
- Definition of a new protocol (V-FTT) that guarantees a time bounded delay in medium access by adapting the Flexible Time Triggered Protocol to the vehicular field and its recent wireless standards (IEEE 802.11p amendment to IEEE802.11 and ITS-G5). This protocol allows coexistence of compliant and non-compliant OBUs.
- Definition of a Basic Safety Message (BSM) based on the BSM defined in the WAVE standard, but including additional information about safety events.
- Several worst-case analysis scenarios of the V-FTT protocol on top of IEEE802.11/WAVE by quantification of the maximum time delay between the occurrence of an event and the correspondent warning of an OBU.
- Inclusion of the V-FTT protocol in the Intelligent Cooperative Sensing for Improved traffic efficiency (ICSI) project (European Commission FP7) [10].

### 1.5. Chapter organization

The rest of this work shall be organized the following way:

- In chapter 2 we discuss how to obtain and disseminate safety data in vehicular environments. We present several current and future applications for vehicles, relying on wireless communications, with focus on safety applications. We determine their communication requirements in terms of bandwidth, transmission packet sizes and maximum latency. We specify possible message sets for the more common safety applications. We discuss what wireless communication technologies are able to support vehicular communications and particularly if they are able to support the time constraints of vehicular safety applications. For a better perspective on the subject, we present several projects related with vehicular safety and wireless communications.
- In chapter 3 we analyse the most recent standards, both American and European, for wireless vehicular communications. We demonstrate why these standards do not guarantee a bounded delay in terms of access to the medium of communication and we discuss what current MAC protocol proposals exist to overcome that problem.
- In chapter 4 we discuss the advantages and disadvantages of the two main types of vehicular communication (V2V and I2V) and how they apply to some particular scenarios. We conclude that for suburban motorways (high vehicle density and speed) it is best to use an infrastructured approach. For that purpose we propose an infrastructured based protocol, which is based on the Flexible Time Triggered Protocol (FTT), tailored for vehicular communications, therefore entitled V-FTT protocol.
- In chapter 5 the V-FTT protocol application to IEEE802.11/WAVE is analysed, particularly the adaptation of the Elementary Cycle to the Control Channel (CCH) Interval. Several worst-case scenarios are specified and its respective quantifications are made in order to analyse the protocol performance in terms of delay. A realistic application scenario is also devised based on the A5 Lisbon motorway, which is one the busiest and most dangerous motorways in Portugal.
- Finally, chapter 6 presents the main conclusions and future work directions.

# 2. Road Safety Mechanisms based on wireless communications

The number of vehicles has largely increased in the last few decades and it was followed by an increasing concern about occupant's safety and health, leading to the development of various passive safety devices (such as airbags or pre-tension seat belts) as well as active systems (ABS, ESP, etc.). All these safety devices are meant to minimize the effect of accidents, or at least, offer crash-avoidance technology relying on the driver ability to react soon enough. For example, ABS improves braking distance but the driver still needs to start braking early enough to avoid an accident.

A way of warning drivers about something that can cause danger would most likely be more effective on reducing the number of accidents. Most motorways have visual warnings methods (e.g. variable message signs) to inform drivers but, due to costs and/or geographical constraints, these signs are usually too scattered along the motorway to have the needed effect. A system that detects events that could cause some danger and then warn drivers of these dangerous events could in fact improve safety in motorways.

Developments on wireless communications have lead to many new ideas about vehicle safety, involving communication between vehicles (V2V) or between vehicles and some kind of road infrastructure (Road side unit – RSU). In order to detect these events several data is needed. It is needed to evaluate road conditions, to identify traffic jams, to detect slow moving vehicles, obstacles on the road, animals or persons walking, etc. Currently some vehicles can detect and warn the driver if some vehicle malfunction occurs, such as a low pressure on a tyre or excessive engine heating. Over the years, several vehicular applications relying on wireless communications have been designed.

The rest of this chapter is organized as follows: in section 2.1 we will present several options that can be used to extract information from a vehicle and from the road, as well as the user interfaces that can be used to convey safety information to the driver or vehicle passengers. Over the years, several vehicular applications relying on wireless communications have been designed. Non-safety applications are discussed in section 2.2, followed by a presentation of some of the more important safety applications in the vehicular field in 2.3. In section 2.4 several safety applications characteristics will be detailed, with particular emphasis on the communication type, communication range and maximum allowable latency, including the specification of a possible message set for each one. We then describe in section 2.5 several wireless communications standards and their applicability to V2V or V2I communications, having in mind the safety applications constraints. To obtain a better insight of vehicular communications evolution and historical context we present in section 2.6 several projects about road safety in Europe, USA and Japan. Some of these projects collect data from radar or infrared sensors, while others effectively use different wireless vehicular communication standards.

### 2.1. Safety applications data sources: Vehicles and motorway

In order to extract information from a vehicle, several options can be considered. Since 1996, all vehicles are equipped with an on-board diagnostics interface (OBD-II), which allows connecting via Controller Area Network (CAN) or similar standard with the vehicle on-board computer, in order to obtain vehicle real-time data. Outside sensors can also be applied to the vehicle in order to obtain information from the surroundings. It is also quite common to obtain location information from a navigation system such as GPS (Global Positioning System). Another source of data can be the motorway infrastructure that can provide information about the road condition, traffic or other events. Also worth to notice are the available user interfaces in vehicles: the vehicle dashboard, and more recently, small LCD displaying information from the on-board computer (e.g. fuel consumption) or LCD monitors showing maps with the vehicle route. We discuss these subjects in the following sub-sections.

### 2.1.1 Data obtained by vehicles

Vehicle manufacturers have been developing all kind of equipment to give passengers and drivers comfort and safety, and electronic equipment is very common today; in-vehicle sensors allow the driver to know various different types of information about the vehicle: current speed, engine temperature, level of fuel in the fuel tank, average fuel consumption, vehicle status data (airbag, direction turn, malfunctions, etc.). The on-board diagnostics (OBD-II) is a system that allows mechanical workshops to obtain a quick diagnostic by simply connecting to the vehicle on-board computer and obtain data from oxygen sensors, diagnostic trouble codes and perform some tests on the vehicle. It is also capable of detecting malfunctions and storing the information on the vehicle's on-board computer. The OBD-II interface also allows to obtain vehicle data in real-time, such as fuel pressure, air flow rate, throttle position, vehicle speed, engine temperature, oxygen sensors and fuel parameters, etc. Every vehicle manufactured after 1996 is expected to be OBD-II enabled.

Besides the internal vehicle parameters mentioned in the last paragraph, a vehicle can obtain information from outside the vehicle itself. For example, since the addition of GPS devices in vehicles, drivers are able to know their precise location and can have navigation aid with the help of interactive maps. Location based services are growing very fast and location information can be an added value for any vehicular application. The more common GPS vehicular applications are related to traffic route but location information can be useful for any safety or infotainment service. As an example, some vehicle safety applications (e.g. lane change assistance) need at least 1-1.5m resolution to properly associate vehicle with lanes. Common GPS resolution might not be sufficient but Differential GPS can be used or even other methods [11] can be used to improve positioning resolution. Vehicles can also be equipped with sensors and cameras. It is now common to see some vehicles equipped with parking sensors and cameras to aid parking, but radar (long-range) or infra-red (short range sensors) can also be used in motorways to detect obstacles (e.g. other vehicles) in the motorway.

For a safety warning system to work, vehicles must be equipped with a small computing device that can read all the obtained data and detect some dangerous event. Then it must build

a message with the vehicle status (common data such as speed, location, acceleration, etc.) and possible detected dangerous events (obstacle ahead, this vehicle is braking hard, etc.) so that the warning message may be sent to other vehicles. This small computing device is also known as On-board Unit (OBU) and is capable of receiving and sending messages to other devices (other OBUs or RSUs).

### 2.1.2 Data obtained by motorway infrastructures

Along with vehicles, motorways have also suffered some evolution and it is common to see electronic signs, electronic toll payment booths and other equipments. Motorways usually have cameras in motorways to detect dense traffic situations or even dangerous events, such as stopped vehicle or obstacles on the motorway. Magnetic sensors are used near toll payments in order to identify the vehicles class or category. Sensors can also be used to measure pavement temperature and humidity, or presence of dangerous gases in tunnels, for example.

By combining various sources of information, it is possible to detect dangerous events. For example, ice or snow gathering can be detected using humidity and temperature sensors along with a camera. A stopped vehicle can be sensed by a camera or a magnetic sensor. A motorway safety warning system should have various computing devices scattered through the motorway and these devices should be capable of detecting dangerous events and then inform affected vehicles trough some kind of central system, so these events can be validated before dissemination. For that purpose, several wireless communication standards will be discussed in section 2.5.

### 2.1.3 Vehicle User Interfaces

It is important to notice that a driver has a variable response time to information given. Studies show that a driver has a typical reaction time of 0,75s but we must add to this the perception/decision time. In [12] it was shown that 85% of the drivers take less than 2,5 seconds to respond to an abnormal driving situation. This means that any safety application must warn the driver with sufficient margin of time for her to react. One of the most important parameters for UI decision is to minimize the downtime, i.e., the time that the driver is distracted by the UI and is not looking at the road. This means that a safety user interface should require none or few interactions from the driver.

Vehicle user interfaces (UI) have not changed much in the last decades. The common dash board shows vehicle mileage, vehicle speed, RPM, oil temperature and fuel tank. Since the introduction of on-board computers and introduction of digital radio (RDS) and audio systems, it is also common to have a small Liquid Crystal Display (LCD) showing some on-board data such as average fuel consumption or audio information. Regarding a possible user interface for safety vehicle applications, the main options are:

 Visual interface: it has the advantage of being already available in vehicles. Besides the small LCD dashboard already mentioned, it is also common to find in some vehicles a LCD monitor showing maps with the vehicle position and route updated by the GPS system. Another advantage is that information about a possible dangerous event can easily include the location of the event.

- Audio interface. An audible message could work well, as long as the system could override all other in-vehicle audio, but the message has to be short and clear, otherwise it can take too much time to warn the driver. The system would need to be setup for each driver and/or environment, since the noise from outside the vehicle can suffer large variations (several decibels)
- Tactile interface (vibration): A tactile interface (e.g. vibration in steering wheel) does not distract the driver from the road, although it is insufficient in what concerns giving details about the event. It would require some learning phase from the driver, in order to recognize safety warnings. It could be used for dangerous events that need a fast reaction from the driver, such as vehicles braking hard ahead, but it would be more effective combined with one of the other solutions.

### 2.2. Non-Safety Applications in Vehicular Environments

Based on [13][14][15] we discuss some non-safety important applications can be thought of for comfort of vehicle passengers and/or drivers. We will not make an exhaustive list, but instead give some examples of non-safety applications that use wireless communications. These applications are described next, divided into application fields, such as traffic management, tolling, location based services, global internet services, etc. Although these applications are not directly related to our work, they can be used by motorway concessionaries or other operators to add value to the service they offer, since they can prove to be quite useful for vehicle passengers and drivers.

### **Traffic Management**

- *Intelligent On-Ramp Metering* this application uses vehicle-to-infrastructure (V2I) communication to measure real-time traffic density on the highway and dynamically alters on-ramp metering signal phasing, allowing a more fluid traffic flow.
- *Intelligent Traffic Flow Control* this application uses vehicle-to-infrastructure communication in order to control a traffic light signal phasing based on real-time traffic flow.

### Tolling

- *Free-Flow Tolling* - this infrastructure application works on toll roads and uses communications for toll collection without the need for toll plazas along the roadway.

### **Location Based Services**

- *Point of Interest Notification* a roadside unit will periodically broadcast information to passing vehicles.
- *ITS local electronic commerce* ITS stands for Intelligent Transportation System. This application provides electronic payment in cases like fast food drive through, gas stations, parking fees or toll fees.

### **Information from Other Vehicles**

- *Instant Messaging* – this V2V application enables a vehicle to send an instant message to another vehicle.

### **Improve navigation**

- *Enhanced Route Guidance and Navigation* up-to-date and localized navigation information is sent to vehicles via roadside units.
- *Map Downloads and Updates* Maps can be downloaded to a vehicle and vehicle's existing maps can be updated by a RSU.
- *GPS Correction* the RSU is pre-programmed with its precise location, and it gives this information to passing vehicles.

- *Cooperative positioning improvement* based on map-data, error measurements from other cars, etc., vehicles can try to reduce GPS positioning errors.
- *Parking Spot Locator* application should deliver information about unoccupied parking lots to vehicles. Vehicles send or request parking information from a RSU.

### Improve vehicle-related services

- Fleet management Logistic companies can:
  - send driver advices and information;
  - o support location tracking and scheduling;
  - optimize routing;
  - download mission and instructions;
- Area access control access control is implemented by installing RSUs at the entry and exit points of restricted areas, such as shipping yards, warehouses, airports, transitonly ramps and other areas. The RSU receives authorized identity codes or access codes from approaching OBU equipped vehicles and transmits a message to proceed or that entry is not allowed. The message could be displayed in the vehicle via in-vehicle signage. Some examples of access control to:
  - parking gates;
  - o commercial vehicle electronic clearance;
  - border crossings.
- *Rental car processing* the rental car processing application allows a vehicle to exit the rental car parking area after being rented and re-enter the parking area where the rental fee is automatically deducted from the driver's charge account or other monetary account. Other RSU are installed so that the rental agency can identify the location of the rental vehicle in the rental lot.

*Hazardous material cargo tracking* - tracking of vehicles containing hazardous cargo is implemented by installing RSUs at the entry and exit points of shipping areas, such as shipping yards, warehouses, airports, and other areas. The RSUs collect an identity code and, if desired, a cargo list from approaching or leaving OBU equipped vehicles and send that information to a tracking program. Tracking information can also be obtained from the RSU data of weigh station clearance points and border crossings.

### 2.3. Safety Applications in Vehicular Environments

Safety applications are intended to decrease the number of accidents and consequently the number of injuries and deaths. In this section, based on several sources ([13] to [15]), various different safety applications are presented according to their context, for example intersection collision avoidance, sign extension, vehicle diagnostics and others.

Please note that, in general, the safety applications presented here will not control the vehicle directly but will instead present a warning to the driver.

### Intersection Collision Avoidance

Intersection collisions represent a large percentage of urban and suburban accidents; therefore some applications have been devised in order to avoid this kind of events.

- *Traffic Signal Violation Warning* this application uses infrastructure-to-vehicle (I2V) communication to warn the driver to stop at the legally prescribed location if the traffic signal (e.g. red light, stop sign) indicates a stop and it is predicted that the driver will be in violation and/or requires a high level of braking for a complete stop.
- *Left Turn Assistant* the Left Turn Assistant application provides information to drivers about oncoming traffic to help them make a left turn at a signalized intersection without traffic lights.
- Stop Sign Movement Assistance this application provides a warning to a vehicle that is about to cross through an intersection after having stopped at a stop sign. The warning is provided in order to avoid a collision with traffic approaching the intersection. Information is obtained from the infrastructure system, which uses sensors or vehicle to infrastructure (V2I) communications to detect vehicles moving through an intersection. When the infrastructure or the in-vehicle application determines that proceeding through the intersection is unsafe, it provides a warning to the driver.
- Intersection Collision Warning this application warns drivers when a collision at an intersection is probable. Infrastructure sensors and/or V2I communications can be used to detect all vehicles, their position, velocity, acceleration, and turning status while approaching an intersection. Also weather status and the road shape/surface type can be variables for calculating the likelihood of a collision. The infrastructure unit or the in-vehicle unit determines when a collision is imminent and issues a warning to either a specific vehicle or all drivers in the vicinity, depending on the warning strategy. Particular care must be taken in order to avoid false alarm situations.
- Blind Merge Warning this application warns a vehicle if it is attempting to merge from a location with limited visibility (either for itself or for the oncoming traffic) and another vehicle is approaching and predicted to occupy the merging space. The RSU is in view of the primary road and the merging vehicle. It warns both the merging traffic and the right-of-way traffic of potential collisions. Vehicles notify the infrastructure unit of their velocity, acceleration, heading and location. The roadside unit calculates whether a collision is imminent, based on the information sent from the vehicles and

knowledge of the road. The roadside unit will notify all surrounding vehicles if a collision is likely. It will also provide an all-clear signal when there is no approaching traffic.

- *Pedestrian Crossing Information at Designated Intersections* - this application provides an alert to vehicles if there is danger of a collision with a pedestrian or a child that is on a designated crossing.

### Public safety

Services related to emergency vehicles or emergency situations are presented in this subsection.

- *Approaching Emergency Vehicle Warning* this application provides the driver a warning to yield the right of way to an approaching emergency vehicle. The emergency vehicle broadcast message shall include information regarding its position, lane information, speed and intended path. The in-vehicle application will use this information to alert the driver.
- *Emergency Vehicle Signal Pre-emption* this application allows an emergency vehicle to request right of way from traffic signals in its direction of travel. Emergency vehicle signal pre-emption allows the emergency vehicle to override intersection signal controls. The intersection roadside unit verifies that the request has been made by an authorized source and alters the traffic signal and timing to provide right of way to the emergency vehicle. This application may need to be integrated with the Approaching Emergency Vehicle Warning application.
- *SOS Services* this in-vehicle application will send SOS messages after airbags are deployed, a rollover is sensed, or the OBU otherwise senses a life-threatening emergency.

### Sign Extension

It is not unusual for drivers to miss signs, for various reasons, either for distraction or because the signs may not be visible due to vegetation or other obstacles (e.g. other vehicles). Here some possible applications are presented that provide a sign extension inside the vehicle. However care must be taken to effectively warn the driver without causing too many distractions.

- *In-Vehicle Signage* the in-vehicle signage application provides the driver with information that is typically conveyed by traffic signs.
- *Low Parking Structure Warning* this application provides drivers with information concerning the clearance height of a parking structure.
- *Wrong Way Driver Warning* this application warns drivers that a vehicle is driving or about to drive against the flow of traffic.
- *Low Bridge Warning / Low Tunnel Warning* Low bridge (or low tunnel) warning is used to provide warning messages especially to commercial vehicles when they are approaching a bridge or tunnel of low height.

- *Work Zone Warning* Work zone safety warning refers to the detection of a vehicle in an active work zone area and the indication of a warning to its driver.
- *Limited access warning and detour notification* In case of road works a warning that is sent to vehicles along with detour notification.

# Vehicle Diagnostics and Maintenance

In case of a vehicle problem, detected either by the OBU or an RSU, a warning is provided.

- *Safety Recall Notice* This application allows the distribution of safety recalls through I2V communications sent directly to vehicles via roadside units.
- *Just-In-Time Repair Notification* This application communicates in-vehicle diagnostics to the infrastructure and advises the driver of nearby available services.

# Assist driver in dangerous traffic situations

Many dangerous traffic situations can occur in everyday's drive. The applications presented in this sub-section are meant to help the driver to avoid possible collisions.

- *Cooperative Forward Collision Warning* Cooperative forward collision warning system is designed to aid the driver in avoiding or mitigating collisions with the rear-end of vehicles in the forward path of travel through driver notification or warning of the impending collision. The system does not attempt to control the host vehicle in order to avoid an impending collision.
- *Emergency Electronic Brake Light* When a vehicle brakes hard, the Emergency Electronic Brake Light application sends a message to other vehicles following behind.
- *Lane Change Warning/Blind Spot Warning* This application provides a warning to the driver if an intended lane change may cause a crash with a nearby vehicle, either due to an approaching vehicle in the intended lane or due to the blind spot of the driver being already occupied by a vehicle.
- *Cooperative Collision Warning* Cooperative collision warning collects surrounding vehicle locations and dynamics and warns the driver when a collision is likely.
- *Pre-Crash Sensing* pre-crash sensing can be used to prepare for imminent, unavoidable collisions.
- Post-crash Warning this in-vehicle application warns approaching traffic of a disabled vehicle (disabled due to an accident or mechanical breakdown) that is stuck in or near traffic lanes, as determined using map information and GPS. Other similar warnings can follow the same pattern, like object/animal on road.

# Assist driver on special road/weather conditions

Weather and road conditions variations may present some risk for unaware drivers, so this sub-section presents some applications designed to aid the driver in these situations.

- *Vehicle-Based Road Condition Warning* This in-vehicle application will detect marginal road conditions using on-board systems and sensors (e.g. stability control, ABS), and transmit a road condition warning, if required, to other vehicles via broadcast.
- *Infrastructure based Road Condition Warning* Road condition warning is used to provide warning messages to nearby vehicles when the road surface is icy, or when traction is otherwise reduced.
- *Curve Speed Warning* Curve speed warning aids the driver in approaching curves at appropriate speeds. This application will use information communicated from roadside beacons located ahead of approaching curves. The communicated information from roadside beacons would include curve location, curve speed limits, curvature and road surface condition. The in-vehicle system would then determine, using other onboard vehicle information, such as speed and acceleration, whether the driver needs to be alerted.

# Assist driver on normal traffic

- *Highway Merge Assistant* This application warns a vehicle on a highway entrance if another vehicle is in its merge path (and possibly in its blind spot).
- *Visibility Enhancer* This application senses poor visibility situations (fog, glare, heavy rain, white-out, night, and quick light-to-dark transitions) either automatically or via user command.
- *Cooperative Vehicle-Highway Automation System (Platoon)* This application provides both positional and velocity control of vehicles in order to operate safely as a platoon on a highway. This is far from being a reality since it demands a highly reliable control of the vehicle as well as full penetration of vehicles capable of communicating with each others.
- *Cooperative Adaptive Cruise Control* Cooperative adaptive cruise control will use vehicle-to-vehicle communication to obtain lead vehicle dynamics and enhance the performance of current adaptive cruise control.
- *Cooperative glare reduction / headlamp aiming* This application allows a vehicle to automatically switch from high-beams to low-beams when trailing another vehicle. Each vehicle broadcasts its position and heading in low-light situations. If one vehicle calculates that another vehicle in front of it is within a specified range, it will warn the driver to switch from high-beams to low-beams.

# 2.4. Safety Critical Applications characteristics and message sets

After presenting several safety applications in the previous sub-section, we will now identify and discuss the main characteristics of some safety applications. Two I2V applications and four V2V applications were selected. One is related to an urban scenario, one to a non-urban scenario, the other four are applicable anywhere. This evaluation is based on information from [13][14] and uses the following parameters:

- *Communication type* Infrastructure-to-vehicle (I2V), Vehicle-to-infrastructure (I2V) or vehicle-to-vehicle (V2V).
- Point-to-point (P2P) or point-to-multipoint communication.
- One way or two-way (2-WAY) communication.
- Transmission mode describes whether the transmission is triggered by an event (event-driven / event-triggered) or whether it is sent automatically at regular intervals (periodic / time-triggered). In case of a periodic transmission, the minimum update rate (in Hz) or period (in seconds).
- Allowable latency (in msec) the allowable latency is the maximum duration of the time interval defined between the instant when information is available to be transmitted and the instant is it received. If the information arrives after this value then it will not be useful, it might be too late (similar to the deadline in real-time-systems).
- *Maximum required range of communication (in meters)* the communication distance between two units that is needed to effectively support a particular application.

Table 2.1 summarizes these parameters.

Application	type	2-WAY	P2P	Latency (ms)	Max. range (m)	Transmission Mode
Traffic Signal Violation	I2V	No	No	100	250	Periodic (10 Hz)
Warning						
Curve speed warning	I2V	No	No	1000	200	Periodic (1Hz)
Emergency Electronic Brake	V2V	No	No	100	300	Periodic (10Hz)
Light						
Pre-crash sensing	V2V	Yes	Yes	20	50	Event-driven (50Hz)
Cooperative Forward Collision	V2V	No	No	100	150	Periodic (10Hz)
Warning						
Lane Change/Blind Spot	V2V	No	No	100	150	Periodic (10Hz)
Warning						

 Table 2.1 – Safety Critical applications characteristics (adapted from [13][14])

The data message set requirements of safety critical applications are presented next. Please note that these message sets represent applications data payload only, they do not include any header that should be provided by the communication standard to be used. The idea is to specify typical message sets that have to be successfully transmitted in due time for the safety application to be supported. In the next chapter we will evaluate several wireless communication standard and if they are able or not to support these safety applications and their communication requirements.

#### Traffic Signal Violation Warning

As summarized in Table 2.1, the traffic signal system has a transmit-only radio requirement. The vehicle system in this application scenario only has the requirement to receive the radio signal. More specifically, the transmissions originating from the traffic signal would consist of one packet sent every 100 milliseconds. Each packet would contain at least the following information derived from the instantaneous status of the traffic signal in the appropriate approach direction.

Description	Number of bits
Message type	8
Traffic signal status information	
Current phase	8
Date and time of current phase	56
Next phase	8
Time remaining until next phase	24
Road shape information	
Data per node	32
Data per link to node	72
Road condition/surface	8
Intersection information	
Data per link	120
Location (lat/long/elevation)	96
Stopping location (offset)	32
Directionality	16
Traffic signal identification	48
TOTAL PAYLOAD SIZE	528

Table 2.2 – Traffic Signal Violation Warning data message set (adapted from [13])

#### **Curve Speed Warning**

Excessive vehicle speed in curves often leads to lane departure, collision, loss of vehicle control, and/or road departure, any of which may result in some combination of vehicle or property damage or loss, injury, and even death. Currently, reduced speed limits are regularly posted on the most troublesome curves, but their safe negotiation is often influenced by more factors than just road geometry. The driver attempts to take all available factors into account, sometimes unsuccessfully, when deciding on an appropriate speed in a curve. If the vehicle was to assess its dynamics, prior knowledge of curve geometry, road surface parameters, and estimated road surface conditions well in advance of a curve and notify the driver when speed should be reduced, the driver would be better equipped to negotiate the curve and less likely to cause an accident.

This application uses information communicated from roadside beacons in view of the approaching traffic to a curve. Information from the roadside beacon may include curve start and end locations, road geometry (describing road and lane widths, curvature, bank, and grade), wet/dry road surface static and sliding coefficients of friction, road shoulder/boundary conditions, maximum posted speed limit, and road surface condition.

The in-vehicle system combines information from the roadside beacon with vehicle parameters and on-board sensor data to determine if the driver should be warned to reduce speed in order to safely negotiate the curve.

Communications from the roadside beacon to approaching vehicles should be periodic, one-way broadcasts. The broadcast message should repeat at regular intervals 24 hours a day, regardless of the presence of vehicles. Message content should change only with respect to road surface condition updates and curve geometry changes. Vehicles must be able to receive roadside messages, process the information, and provide timely warning to the driver if current speed exceeds the computed vehicle safe speed for the curve.

A maximum communication range of 200m for the roadside beacon was also arbitrarily set, but could vary based on local constraints.

Description	Number of bits
Message type	8
Roadside Beacon ID (or RSU ID)	48
Maximum posted speed	7
Curve header (# of curve points)	8
Curve point counter	8
Each curve point (lat, long and curvature)	112
Each curve point bank angle (+-30°)	6
Each curve point road width	8
Each curve point lane width	6
Each curve point shoulder width	5
Each curve point road boundary condition	3
Each curve point road surface condition	8
Weather conditions	8
TOTAL PAYLOAD SIZE	235

Table 2.3 – Curve Speed Warning data message set (adapted from [13])

Note that total message length will vary depending on the number of curve points used to describe the total curve. For example, if the curve was relatively short and simple it might only require 4 curve points. The total basic message length would then be approximately 80 bytes. If the curve included roadside sensor data and were long and complex, it might require 20 curve points. This curve would then require a message length of approximately 381 bytes.

# Emergency Electronic Brake Light (EEBL)

Emergency Electronic Brake Light is a pure V2V application. This application "enhances" the driver visibility by giving an early notification of a vehicle braking hard even when the driver's visibility is limited (e.g. heavy fog, rain, snow, other large vehicle in between).

A normal brake lamp goes on when the driver applies the brake. The Emergency Electronic Brake Light application might not only enhance the range of a "hard" braking message but also might provide important information such as acceleration/deceleration rate. At present, brake lamps do not differentiate level of deceleration and are only useful as far rearward as direct line of sight allows.

It is assumed that the vehicle in an emergency braking situation would be equipped with a wireless communication unit. It is also assumed that the message from the vehicle would be

sent to the following vehicles, including the ones that are behind a much larger vehicle (e.g. a big truck) or another obstacle (e.g. fog).

The message sender needs to have an algorithm to decide if an "emergency braking" message delivery is necessary (For example: deceleration greater than 0.6g). If a vehicle determines that it is braking hard then it could use the OBU to share that information with others. In order to determine if an "emergency braking" message is relevant to the receiving vehicle, the OBU needs to know the relative location from which the message was originated (e.g. front, rear, left, right). This can be done based on its GPS information and the GPS information of the braking vehicle. For example, an "emergency braking" message from a vehicle in lane 3 may not necessarily apply to a vehicle travelling in lane 1.

Table 2.4 – Emergency Electronic Brake Light data message set (adapted from [13])

Description	Number of bits
GPS coordinates	96
Time stamp	64
Vehicle speed	16
Vehicle acceleration/deceleration	16
Vehicle heading	16
Vehicle size (length, width, height)	48
GPS antenna offset (relative XYZ)	32
TOTAL PAYLOAD SIZE	288

#### Pre-Crash Sensing for Collision Mitigation

The main objective of a pre-crash sensing system is to collect relevant information regarding an impending collision and communicate this information to the vehicle's occupant protection system. The information set may include parameters such as crash type (side/frontal/rear), impact time, impact speed, struck and striking vehicle size and mass, etc. Examples of collision counter measures enabled by pre-crash sensing include enhanced air bags, seat-belt pre-tensioning, occupant repositioning, truck/car crash compatibility counter measures and emergency brake assist among others. In contrast to collision warning technology, whose primary goal is to help the driver avoid the crash, collision mitigation based on pre-crash sensing is aimed at reducing injuries once the crash is deemed unavoidable. Given the short timeframe available to deploy such counter measures, the main technical challenge for any wireless communication technology is whether it can fully support the high update rate thought to be necessary for these type of applications (between 50 and 100 Hz).

A generic implementation of pre-crash sensing for collision mitigation is presented in [13]. It uses radar for vehicle detection so the wireless communication will only be used when an obstacle is detected. The total suggested payload message size is 435 bits and the contents are presented on Table 2.5. The communication range expected is around 25 meters for most pre-crash sensing applications. Some long-term applications, such as mitigation by braking based on pre- crash information, may require up to 50 meters in the worst case scenarios (head-on collisions). The standard vehicle message is expected to be in a broadcast mode only.

For a cooperative pre-crash sensing, a two-way communication between the affected vehicles may be required once the radar sensor predicts the eventuality of a collision. In that case, a two-way communication message is requested from the wireless communication units. This message would contain the same data mentioned earlier in the standard message. The update rate however is expected to be around 50 Hz. This should be enough in the case where the wireless communication ranging information is used only to confirm the type of target that the radar has detected. The stringent two-way communication requirement and fast update rate is unique to this application. However, it is only activated in the eventuality of a crash and does not last more than a second or two. The message size could potentially be reduced since most of the static vehicle data can be transmitted just once for proper system functionality.

1	Description	Number of bits
Static Vehicle Data	Message type	8
	Vehicle ID / Communication	48
	Address	
	Vehicle Type/Class	4
	Vehicle Size and Mass (length,	64
	width, height, mass)	
	Position Antenna Offset (relative	48
	X,Y,Z)	
Dynamic Vehicle Data	TimeStamp – GPS Milliseconds in	32
	week	
	Time Stamp – GPS week number	16
	Vehicle Speed	16
	Vehicle Acceleration-longitudinal	16
	Vehicle Acceleration-lateral	16
	Vehicle Acceleration-vertical	16
	Vehicle Heading	8
	Vehicle Yaw rate	16
	Vehicle Position – Longitude	32
	Vehicle Position – Latitude	32
	Vehicle Position – Elevation	32
	Turn Signal Status- Right	1
	Turn Signal Status- Left	1
	Brake Position	1
	Throttle position	8
	Steering Wheel angle	16
	System Health	4
TOTAL	L PAYLOAD SIZE	435

 Table 2.5 – Collision mitigation data message set (adapted from [13])

#### Cooperative Forward Collision Warning (CFCW) System

A rear-end collision is defined as an on-road, two vehicle collision in which both vehicles are moving forward in the same direction prior to the collision or a collision in which the vehicle in the forward path has stopped. The objective of a forward collision warning system is to increase driver awareness and subsequently reduce deaths, injuries and economic losses resulting from vehicular rear-end collisions. A forward collision warning system is designed to aid the driver in avoiding or mitigating collisions with rear-end of vehicles in the forward path of travel. This is performed through driver notification or warning of the impending collision. The system does not attempt to control the host vehicle in order to avoid an impending collision.

A forward collision warning (FCW) system will typically use a forward-looking sensor mounted at the front of the host vehicle that detects targets (other vehicles or objects) ahead of the host vehicle and in its field of view. An accurate prediction of the forward lane geometry ahead of the host vehicle (up to 150 meters) is necessary in order to properly classify the targets as in-path or out-of-path, and thereby identify potential threats of rear-end collision. For the regular FCW, incorrect classification of in-path and out-of-path targets leads to false alarms and missed detections in the system, which may limit deployment and user acceptance. To predict the forward road geometry ahead of the host vehicle, the system may also use a GPS receiver for vehicle position measurement, a map database, a vision system that detects lane markers, a vehicle speed sensor, and a yaw-rate sensor. However, each of these approaches has limitations.

A cooperative forward collision warning system would use information communicated from neighbouring vehicles via vehicle-to-vehicle communication in addition to forward looking sensor data to address these shortcomings.

Description	Number of bits
Message type	8
Vehicle ID / Communication Address	48
Vehicle Type / Class	4
Vehicle Size (length, width, height)	48
Position Antenna Offset (relative X,Y,Z)	48
Time Stamp – GPS milliseconds in week	32
Time Stamp – GPS week number	16
Vehicle Speed	16
Vehicle Acceleration-longitudinal	16
Vehicle Acceleration-lateral	16
Vehicle Acceleration-vertical	16
Vehicle Heading	8
Vehicle Yaw rate	16
Vehicle Position – Longitude	32
Vehicle Position – Latitude	32
Vehicle Position – Elevation	32
Turn Signal Status- Right	1
Turn Signal Status- Left	1
Brake Position	1
Throttle position	8
Steering Wheel angle	16
System Health	4
TOTAL PAYLOAD SIZE	419

Table 2.6 - Cooperative Forward Collision Warning message set (adapted from [13])

It is expected that vehicles periodically broadcast the standard message set to neighbouring vehicles within a certain desired range. Current automotive radars used in FCW systems are capable of track updates at an update rate of 100 ms and have a 150m range of coverage. Hence, the update rate for vehicle-to-vehicle communication is expected to be at least 100 ms, and the communication range is expected to be at least 150 m.

# Lane Change Warning

This application provides a warning to the driver if an intended lane change may cause a collision with a nearby vehicle. In [13] it is suggested that the application receives periodic updates of the position, heading and speed of surrounding vehicles via vehicle-to-vehicle communication. When the driver signals a lane change intention, the application uses this communication to predict whether or not there is an adequate gap for a safe lane change, based on the position of vehicles in the adjacent lane. If the gap between vehicles in the adjacent lane is not sufficient, the application determines that a safe lane change is not possible and will provide a warning to the driver. The suggestion in [13] is that each OBU maintains and updates a nearby vehicle Table such as the one shown below.

Vehicle	Velocity (km/h)	Accel (m/s <sup>2</sup> )	Projected position	Time stamp	Distance (m)	Time expire (count)	to	Relative azimuth angle (deg)
В	60	1	Xx:xx:xx; Xx:xx:xx	Hh:mm:ss.ss	3	2		45
С	70	0	Yy:yy:yy; yy:yy:yy	Hh:mm:ss.ss	2,5	2		95
D	75	0	Zz:zz:zz; zz:zz:zz	Hh:mm:ss.ss	4	1		180
Ε	65	0,5	Xy:xy:xy; Xy:xy:xy	Hh:mm:ss.ss	8	2		5
•••				•••		•••		

# Table 2.7 – Example of an OBU table of nearby vehicles (adapted from [13])

Instead of using a V2V communication, since this application relies on high penetration of a wireless communication vehicle system, we suggest a solution using radar sensors or cameras and I2V communication. The biggest challenge for this application is in designing a system that can determine the exact location of a vehicle in tightly-packed traffic, so that the system doesn't provide false warnings to the driver. The lane change warning needs a very accurate position determination. The use of additional sensors such as radar or cameras could make the application more accurate.

The table for the message set of the proposed V2V solution in [13] is presented next:

Table 2.8 - Lane	Change Warning	o message set (ad	anted from	[13])
Table 2.0 - Lane	Change warming	z message set (au	apicu nom	[13])

Description	Number of bits
GPS Coordinates	96
Time stamp	64
Vehicle speed	16
Vehicle acceleration	16
Vehicle heading	16
Vehicle size (length, width, height)	48
GPS antenna offset (relative X, Y, Z)	32
TOTAL PAYLOAD SIZE	288

# 2.5. Wireless communications standards to support safety vehicular communications.

In order to make cooperative vehicular applications possible, an adequate wireless communications solution is needed so that vehicles can easily communicate with each other and/or with the motorway infrastructure. There are several wireless access standards that could be used as a base for vehicular communication. We discuss them next and analyse their applicability to vehicular communications.

We will categorize the most important physical layer parameters, such as frequency band, communication channels, output power, data rate, range of communication and latency. It is worth to note that some of these parameters are closely inter-related (e.g. more power is usually equivalent to a wider communication range).

The data rate mentioned here is the transmitted data rate (in bits per second) and has nothing to do with the quality of received information, which depends on packet error rates and other issues. The transmitted data rate is primarily related to the type of coding and modulation scheme used. Often lower data rates provide higher reliability.

Communication range is related to the received data quality. The values we present are the values stated in each communication standard, which normally assume output power and data rates directly related to the requirements imposed by the intended application (e.g. voice or data).

Latency was already defined in the previous chapter and is a very important parameter for safety vehicular applications. We will use it here as the communication delay between the start of packet transmission to the start of the packet reception at the end station (peer to peer or via an access point or base station). This definition of latency is independent of communication parameters such as throughput or packet size, but depends on the distance between transmitter and receiver, so when latency is stated it usually depends on the intended application. We are particularly interested in the maximum latency value, since this is the worst-case scenario.

The maximum latency value is not only related to the PHY layer, in fact it depends more often on the Medium Access Control layer (MAC) so we also analyse the MAC protocols used in each communication standard. Besides the latency we will verify if the MAC has a centralized or distributed control. Centralized MACs are usually predictable, i.e., the channel access is guaranteed with a certain maximum delay, thus supporting real-time traffic. We also analyze the behaviour of the MAC as traffic density increases, which is somehow a measure of scalability. Finally, it is important that a MAC has different priorities for different types of traffic, which is another way of mentioning quality of service support (QoS).

The following sub-sections discuss several wireless communication standards, using data from several sources, with the same approach than the used in the European project COMeSafety [16].

#### Digital Broadcast (DAB, DMB, DVB-T, DVB-H)

DAB (Digital Audio Broadcasting) is also known as digital radio. Digital Multimedia Broadcasting (DMB) is based on the DAB standard and has some similarities with the main competing mobile TV standard: DVB-H. It is a digital radio transmission system for sending multimedia (radio, TV, and datacasting) to mobile devices such as mobile phones. As the name already hints, it is a one way communication protocol, where only downlink communication (broadcast) is used. It might be used for Infrastructure to Vehicle communications in order to send safety warnings to vehicles, but it cannot be used for V2I or V2V communications, which is very limiting.

DMB is an ETSI standard (TS 102 427 and TS 102 428) and uses Band III (174–240 MHz) and L-Band (1452–1492 MHz). It is unavailable in the USA, but is used in Europe, Canada, China, India and Australia. The data rate is 2.4Mbps and provides a wide range of communication: 35km. The setup connection time is 2s and the latency is smaller than 100ms. It has two channels: the main service channel and a fast information channel. The main service channel can be divided into several audio and data sub-channels.

Digital Video Broadcasting (DVB) started as DVB-Terrestrial (DVB-T) but has been developed into DVB-H (for personal stations) with added features in order to meet requirements of personal stations and battery powered receivers, to distinguish them from the traditional TV receiver. The standard is the ETSI EN 302 304. It uses the same band as DAB plus part of UHF IV and V (470-862MHz). The main difference between DAB and DVB is that the latter provides larger data rates (6 to 31 Mbps) since it is tailored for video broadcast. The range varies from 16 to 67km and the latency can reach 2 to 4s [17]. In order to support multimedia interactive TV a set of return channels were standardized to allow bi-directional communication (bandwidth up to 2Mbps) DVB-RCT (Return Channel Terrestrial) is the standard specified by ETSI 301958. This return channel is usually wired or at least does not allow large node mobility.

We conclude that DAB and DVB cannot be used for V2I safety communications, with the exception of broadcasting safety warnings.

# InfraRed

Infrared communications are good for very short range direct communications. They can be used for V2V communications or I2V communications but need line of sight, which usually limits I2V communications to the lane closest to the roadside.

The standard used is ISO21214. Four independent infrared channels can be used. The typical range is 7m but can vary from 1 to 100m. The data rate is 1Mbps (2Mbps in CALM IR, where CALM stands for Communication Access in Land Mobiles and will be detailed later on). The connection setup time is less than 20ms [18]. A huge drawback is that it can suffer interferences from weather conditions (light, rain, snow), since it uses high frequencies. It will need two transmitters for bidirectional communication. It allows peer to peer, broadcast and multicast communication.

A reduced protocol stack is available in order to support low delay communications. The MAC layer uses TDMA for synchronized communications between multiple peers. One of the peers must be selected as a temporary master in order to organize the TDMA slots. This means deterministic channel access is guaranteed, however the process of selecting the master is not bounded. The known latency is then 10ms. Scalability is not an issue, since the range of communication is small, meaning the number of nodes will not be too large. Finally, CALM-IR supports 8 different priorities, so QoS is supported.

Infrared communications can suffer interference from sunlight, rain or snow, therefore they are not reliable enough to be used on its own for vehicular safety applications, although they can complement other technologies.

# WiFi (IEEE 802.11a)

WiFi is a widely used radio system, based on IEEE 802.11. It has a low cost per transceiver and operates in the ISM 2.4GHz band and 5GHz (IEEE802.11a). If offers high data rates, up to 54Mbps, with ranges of communication varying from 35 m indoor to 5km outdoor. It needs coverage from Access Points (AP), which increases the minimum delay, since all communications take place via the infrastructure. A connection setup and registering phase is needed so the AP recognizes new vehicle nodes. It allows bidirectional and broadcast communications. It offers 12 non-overlapping channels and has a maximum output power of 30dBm EIRP. The network load must be controlled since the MAC layer uses Carrier Sense Multiple Access (CSMA), which suffers from unbounded delay due to multiple collisions. This means real-time communications are not possible using the original IEEE 802.11a, which was an amendment to IEEE802.11 and was not designed for high mobility, therefore was not suitable for a vehicular environment, since it does not support fast handoffs that can occur for vehicles moving at high speeds. However, other amendments provided QoS support and high mobility and will be discussed below.

# Cellular Technologies: GSM/GPRS/UMTS

There are several cellular technologies; two of the most known are GSM (Global System for Mobile Communications), that uses the 900/1800MHz frequency band, and UMTS (Universal Mobile Telecommunications System), that uses the 2GHz frequency band. The main advantages of cellular technology are:

- being a licensed spectrum (no interference from other devices);
- broad coverage;
- reliability;
- being a mature standard.

GSM was designed for voice applications and is circuit switched. GPRS (General packet radio service) was added to GSM in order to support data communications but it offers low data rates (<100kbps) and voice has a priority over data in GSM networks. The frequency band is 900MHz and 1800MHz, with 25 channels and time division multiplexing allowing 8

users per channel. It has a wide range of communication (<35km) and all communication must take place via the base station. A long initial connection setup time occurs: 10s, fortunately it provides handover between base stations and this setup time will not be repeated. The latency varies from 500 to 700ms, which is unacceptable for some safety vehicular applications. Data is best effort since GSM was designed for voice, in other words, there is no QoS support.

UMTS is a packet based network with support for different QoS classes. It offers better data rates than GSM. It works in GSM frequencies and 2.1GHz with 5MHz channels. Original data rates were 384kbps but after HSPA (High Speed Packet Access) improvement downlink can go up to 14400kbps and uplink 5760kbps. The maximum range of a base station is 2km and the initial connection setup time is much smaller than GSM: 2,12s. Again, handover is supported, meaning connection setup time will not be repeated. Since it is a cellular technology, all communication must go through the base station, implying an increase in the minimum delay. The latency is much smaller than GSM (200 to 300ms or 100ms if HSPA is used).

The MAC uses CDMA (Code Division Multiple Access) which can cause scalability problems in dense scenarios, causing the well-known cell-breathing problem, where cell areas decrease or increase depending on the number of users so that performance does not suffer too much. It provides priority and QoS support and offers bidirectional communication.

UMTS has several downsides for vehicular communications. Since it operates at licensed spectrum, vehicle equipment must be licensed by an operator. Since it is not exclusively deployed for vehicle communications, the cellular network must be shared with several users from a non-vehicular environment, which can cause issues for safety applications, even with QoS support, unless telecom operators are willing to change their cellular planning and application priorities near vehicular environments, which is costly.

Finally, pure V2V communication would not be possible, because all communications nodes need to be connected to a Base Station. Latency values are on the boundary of some safety vehicular applications.

# Long Term Evolution (LTE)

Long Term Evolution (LTE) is a standard for wireless communications of high data rates for mobile terminals. It is based on GSM and UMTS, which explains its name (evolution). It adds capacity and higher data rates to the previous cellular technologies, and has become very popular, being defined as "4G", meaning fourth generation of mobile communications. It is being deployed worldwide (although with different frequencies) and its main advantage against other technologies (such as WiMAX or MBWA) is that LTE is compatible with previous cellular technologies (GSM, UMTS).

Its frequency band ranges from 700 to 2960MHz (in order to include GSM frequencies as well) and it can provide several different channels width (1.4, 3, 5, 10, 15 or 20MHz). Data rates can go up to 300Mb/s and ranges up to 30km. The standard claims it supports speed terminals up to 350km/h. Tests have shown a bit rate of 100Mbps while travelling at more than 100km/h using a 20MHz bandwidth [19]. LTE might be a good candidate for vehicular communications. It supports QoS and although it has high costs (licensing, deployment), it

should soon be available worldwide since most telecommunication operators are (or already have) deploying LTE.

Fig. 2.1 depicts the evolution from UMTS to LTE. In UMTS all communications were centralized and needed to go through the GGSN (Gateway GPRS Support Node) and SGSN (Serving GPRS Support Node). In LTE one less level of physical hierarchy exists, UMTS NodeB (NB) existed separately from Radio Network Controllers (RNC), while in LTE an eNodeB (eNB) combines both, reducing control communications and reducing latency (smaller than 100ms). This allows V2I communications. V2V communications depend however of an initial registration with the Mobile Management Entity (MME) and its gateway (GW).

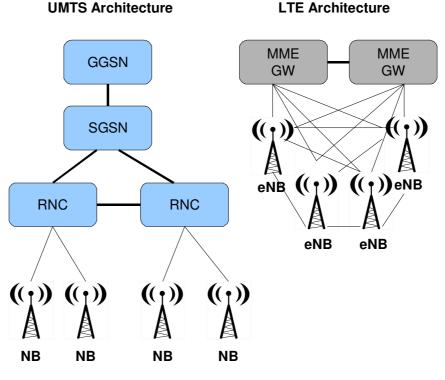


Fig. 2.1- UMTS evolution to LTE

More recently, LTE-Advanced (LTE-A) is being standardized and promises channels width up to 100MHz and bit rates up to 1Gps. It may allow V2V communications which could greatly reduce latency.

In [20] the authors analyse the delivery of Cooperative Awareness Message (CAM) and Decentralized Environmental Notification Message (DENM) [21] in LTE. They point out that ETSI and ISO are investigating LTE's ability to support vehicular cooperative applications. The authors defend that LTE Advanced (LTE-A) might be applicable to vehicular networks, as long as several factors are taken care of. One of them is to avoid broadcasting messages to an entire cell and instead only inform vehicles in a particular area (relevance area), also known as geocasting. For that to be possible, the core network infrastructure and the back-end server should intercept uplink traffic before redistributing to other vehicles. This is in fact a way of transforming a V2V communication into V2I2V, i.e., it is not a pure V2V communication, which might add some delay. In order to guarantee a minimum delay the core network infrastructure and back-end server should be carefully designed. The back-end server must know the list of geographical areas, their coordinates, the cars in any area at all times and their IP address and position. Therefore each time a vehicle moves from one geographical area to another, it is informed by the server of its new network location. This adds some complexity and affects the signalling overhead. This extra signalling might increase the latency so the granularity of the geographical areas and the location of the server must be well studied.

Another issue to solve is that a LTE device cannot operate in idle mode in order to avoid the connection setup time necessary to switch to connected mode. This means that LTE devices that equip vehicles must operate always in connected mode, which might imply a specific firmware for LTE vehicle devices.

In conclusion, LTE-A seems a promising technology for vehicular communications as long as telecommunication operators are willing to invest in the back-end server and core network infrastructure to allow safety cooperative applications. This fact, combined with the low maturity of the standard at the present time, is a disadvantage.

# Wireless Personal Area Networks (802.15) - Bluetooth, ZigBee, UWB

Wireless Personal Area Networks (WPAN) offer a small range of communication. The most common are: Bluetooth (802.15.1), Ultra Wide Band (802.15.3a and 802.15.4a), Zigbee and other protocols on top of 802.15.4b.

Bluetooth is the most deployed of the three standards mentioned above. It works at 2.4GHz frequency (ISM band) with 1MHz of channel bandwidth. Its maximum range of communication is 100m and was originally designed to have a data rate of 723kbps although there are versions that can go up to 2,1Mbps (or even more on hybrid Bluetooth-WLAN approaches). The latency is 100ms and it provides 79 channels of communication. Since it is a well-known technology, its low cost could be an advantage. Bluetooth suffers from interference from other communication technologies that operate in the same spectrum nearby. Fortunately Bluetooth specification version 1.2 addresses this problem by defining an adaptive frequency hopping channel, where bluetooth devices can mark channels that suffer from interference in order to avoid them [22]. The small range of communications means that Bluetooth is not an option for vehicular communications, except for in-vehicle communications.

ZigBee is the most well-known higher layer protocols on top of the 802.15.4 standard. This last standard can operate at 2.4GHz or at the unlicensed band of 868/915MHz, with data rates up to 250kb/s. Although it was not intended for vehicular communications it has some useful characteristics such as fast wake-up and association, bidirectional communication, low complexity and low cost. It has very low latency and uses Direct Sequence Spread Spectrum (DSSS) in the physical layer. The main drawback is having a small theoretical range: from 10 to 100 meters. Outdoor tests showed that in certain conditions (clear line of sight) the range can go up to 1000m. It provides sixteen 5MHz channels at 2.4GHz. It uses Carrier Sense Multiple Access with Collision Avoidance (CSMA/CA) in the MAC layer combined with Frequency

Division Multiple Access (FDMA) or Time Division Multiple Access (TDMA). The data rate can be a disadvantage in some situations.

Ultra Wide Band (UWB) is a generic denomination and does not relate to any specific technology. It is considered UWB if the occupied spectrum is greater than 20 percent of the centre frequency. UWB has several advantages:

- large channel capacity;
- ability to work with low signal to noise ratio (SNR);
- higher resistance to jamming;
- higher performance in multipath channels;
- Simple transceiver architecture.

The downsides are:

- the need for a high-frequency synchronization, meaning very fast analog to digital converters (ADCs) are required;
- low transmission power limits its coverage;
- Multiple access interference can occur.

UWB was studied in IEEE 802.15.3a for short range high data-rate applications (110Mbps at a distance of 10m) but other solutions exist, such as IEEE 802.15.4a for applications that require long battery life but need a moderate data throughput. IEEE802.15.3a uses 3.1 to 4.8GHz frequency while IEEE805.15.4a uses 5.9 to 19.6GHz. Channels are 1.368GHz and 2.736GHz and 528MHz for IEEE802.15.3a or 500MHz for IEEE802.15.4. Due to its short range, however, UWB does not seem to fit well for vehicular applications.

# WiMAX (IEEE 802.16)

WiMAX is not a single technology but rather a family of interoperable technologies. The original specification, IEEE 802.16 from 2001, was intended primarily for metropolitan area networks (MANs) and "last mile" connections using spectrum in the 10 to 66 GHz range. In 2004 the extension 802.16-2004 added additional physical layer specifications (including Orthogonal Frequency Division Multiplex (OFDM-256 and OFDMA) for the 2 to 11 GHz range and in 2005 mobile WiMAX (802.16e) was introduced, including handovers between base stations and roaming between operators at vehicular speeds of up to 120 km/h [23].

IEEE 802.16 offers broadband wireless access (uplink and downlink) with data rates of up to 70Mbit/s at close range and low speed. The maximum range is 50km but at low data rates. WiMAX can compete with high speed mobile networks (e.g. UMTS) and wired networks (e.g. Asymmetric Digital Subscriber Line ADSL). True mobility is only supported by IEEE 802.16e: 15 Mbit/s in 5MHz channels at a maximum range of 5km (typically 1.5km). WiMAX is a cellular system so all communication must go through an access point which might increase the minimum delay. Access Points support handover meaning no connection setup phase is needed when vehicle leaves AP coverage area. Scalability is not an issue, as long as there is

enough hardware to provide access, but of course this increases the solution cost. WiMAX vendors claim to provide "extremely low latencies", but no values could be found.

In conclusion, WiMAX could compete with other cellular technologies for infotainment and comfort services but suffers from the same drawbacks for safety applications, since it is access point based. Another strong disadvantage is that a WiMAX solution is costly, so most telecommunication operators have opted for traditional cellular technologies such as UMTS or LTE, since they are compatible with GSM.

# Communications Access for Land Mobiles (CALM)

CALM is the ISO approved framework for heterogeneous packet-switched communication in mobile environments. CALM also refers to the set of international standards being developed to support this framework.

An interesting effort is being made in combining different wireless access technologies into CALM. The idea is to support user transparent communications across various interfaces and communication media. This interface primarily uses IEEE 802.11p but incorporates a set of additional standards, such as 802.11, 802.15, 802.16e, 802.20, 2G/3G/4G, infrared communications and wireless systems in 60GHz band. The aim is to increase flexibility and redundancy by combining all these different standards. However the addition of different standards can increase the cost of units. All layers and entities are interconnected via interfaces, which usually are Service Access Points (SAPs) as defined in [24].

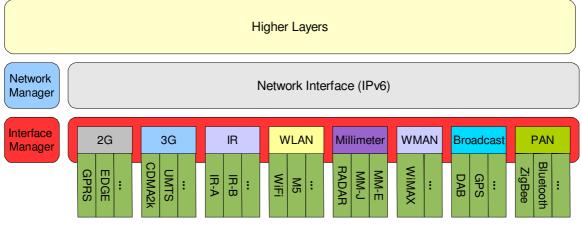


Fig. 2.2 - CALM Architecture (adapted from [25])

CALM standards are being developed by ISO TC204/WG16 – Wide Area Communications.

The CALM M5 standard is based on the PHY and MAC layer of IEEE802.11p with the addition of the MAC layer created by the CAR-2-CAR consortium. CALM M5 supports omnidirectional communication between moving objects with a minimum data rate of 6 Mbps up to 300 meters radius, which is particularly useful for vehicle-to-vehicle and low-directive vehicle-roadside communication. CALM IR complements this by providing highly directive beams with a typical performance of 2 Mbps up to 100 m range. CALM MM allows for much higher data rates (on the order of Gbps) in the range of several hundred meters. Directional

communication is useful since the communication range can be confined to a specific object of set of mobile objects.

# Dedicated Short Range Communications (DSRC 5.8GHz)

DSRC is a radio system with focus on short range communication. It is intended for electronic tolling systems and thus a roadside station is needed which acts as a master and the vehicle and personal stations as slaves. It has been successfully implemented in several countries in Europe, collecting information from passing vehicles or informing passing vehicles about local conditions around the roadside station. The roadside station may be further connected to a server or to the internet. The European standards in use are: EN12253-2004 (DSRC L1), EN12795 (DSRC L2), EN12834 (DSRC Application Support), EN13372 (DSRC Profiles).

It uses 5.8GHz frequency band with four 5MHz channels or two 10MHz channels. Data rates can vary from 250kpbs to 1Mbps, depending on the power used. The communication range is very short (3 to 15m) and it offers very low latencies (around 10ms) and short connection setup time (12ms). The MAC uses TDMA, where the roadside station acts as master and sends a beacon that vehicles (slaves) use to randomly pick communication slots. The number of slots is determined by the roadside station. The random choice of slots may cause collisions meaning that there are no real-time guarantees.

In conclusion, DSRC 5.8GHz is not intended for V2V communications and offers short range and small data rates, so it is not suited for safety vehicular applications, although it can be used for roadside message dissemination.

# WAVE /ETSI-G5

WAVE stands for Wireless Access in Vehicular Environments and is a radio system based on the WLAN standard (IEEE 802.11p amendment) with focus on low delay ad-hoc data communication between vehicle stations and between vehicle and roadside stations, i.e., no access points are needed. The frequency band is licensed and is 5.9GHz in USA and Europe and 5.8GHz in Japan.

The data rate is the same as IEEE802.11a when using OFDM and 10MHz channels, with some adaptations to support high vehicular speeds. This means data rates can vary from 3 to 27Mbps. The maximum range of communication is 1000m. Ad-Hoc mode can be used which means there is no connection setup time, which is very important in vehicular environments, where vehicles may travel at very high speeds and the period of time they are inside the communication range of a road side unit is small.

ETSI-G5 is the European version of this standard, sharing the same physical and medium access layer. The number of channels varies (five in Europe for ETSI-G5, seven in the USA for WAVE) but generally they are 10MHz, although they can be combined into channels of 20MHz in some cases. A dedicated control channel was created for transmission of time critical messages, including safety warnings and service announcements. The other channels are

named service channels and are usually used for non safety data transmission. WAVE was designed to support low delay data communications, providing very low latency (<100ms). WAVE's drawback seems to be CSMA/CA since collisions may occur and no bounded delay can be guaranteed [26]. The MAC layer uses Enhanced Distributed Channel Access (EDCA) for QoS support (just as IEEE802.11e) and adds multi-channel (IEEE 1609.4). This means QoS is supported using four different service classes. ETSI-G5 MAC layer suffers from the same problems.

The main advantage of both these technologies is that no communication infrastructure is required, any station can broadcast information, which means Road Side Units can easily reach vehicles and vice-versa in low latency communications, granted that the MAC issues of no bounded delay guarantees can be solved.

This will be the scope of our thesis, to guarantee a bounded delay for safety communications using WAVE's MAC. Since both WAVE and ETSI-G5 are the most adequate wireless technologies for vehicular communications, they will be described in a separate chapter (3).

#### 2.5.1 Wireless standards comparison

After presenting several wireless communication standards main characteristics and their applicability to vehicular safety communications, we gather the information in Table 2.9.

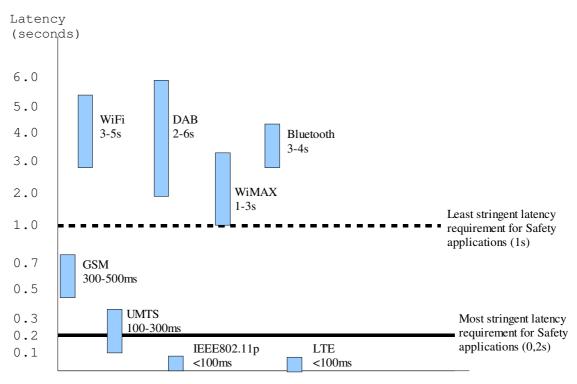


Fig. 2.3 - Latency comparison between different wireless communication standards

In Fig. 2.3 we compare the different latency values of the wireless technologies we presented in this sub-section. It is easy to conclude that only IEEE802.11p and LTE can be

offer maximum latency values that can support safety applications in vehicular environments. Table 2.9 resumes all the characteristics of the wireless communications standards presented earlier, particularly the range of communication, if they support QoS and real-time (RT) communications, and if high speed mobility is allowed. Again, we conclude that only IEEE 802.11 / WAVE and LTE are able to support vehicular real-time safety applications.

Standard	Frequency	Range (m)	Data rates (Mbps)	Latency (ms)	QoS and RT support	Comm. type	High speed support
Digital Broadcast	Licensed	16- 67km	2.4-39	<100	No	I2V	Yes
Infrared	Unlicensed	1-100	1-2	10	Yes	I2V and V2V	Yes
WLAN	Unlicensed	100	54	3-5sec	Yes	V2I via access point	No
GSM /GPRS	Licensed	<35km	0.08	500-700	No	V2I via base station	Yes
UMTS /HSPA	Licensed	2km	14	100-300	Yes	V2I via base station	Yes
LTE	Licensed	<30km	100	100	Yes	V2I via base station	Yes
LTE-A	Licensed	<30km	1000	<100	Yes	V2I via base station	Yes
Bluetooth	Unlicensed	100	2,1	3-4sec	No	Ad Hoc	No
ZigBee	Unlicensed	1000	0,25	<100	No	Ad Hoc	No
UWB	Licensed	30	300				No
WiMAX	Licensed	50km	70	1-3sec	Yes	V2I via base station	Yes
DSRC	Licensed	3-15	0,5	<5	No	V2I	Yes
IEEE802.11p / WAVE	Licensed	1000	27	<100	Yes	V2I and V2V	Yes

# 2.6. Projects about road safety that use vehicular communications

In this section we present some projects and consortiums related to road safety and try to specify the type of communication involved (V2V, V2I, etc.) as well as the wireless communication(s) standard(s) used. Whenever possible, we will also analyse the proposed solutions about their support of time critical safety application. We start with European projects, followed by U.S. initiatives and a Japanese project.

Due to the high number of fatalities occurred in European motorways, since 2007 the European Commission has funded several projects related to road safety, with the goal to increase active traffic safety both for vehicle users as well as other pedestrian users using cooperative systems. Some of these projects integrate a global one called COMeSafety whose goal is the coordination and consolidation of projects results to perform standardization of all V2V and V2I technologies, spectrum support for Intelligent Transportation Systems (ITS) applications and dissemination of involved technologies. Fig. 2.4 shows some of these projects in the beginning of this European funding program (2008). The COMeSafety initiative was born from the eSafety Forum, while the Car 2 Car Consortium was created in order to develop V2V communications in close relationship with vehicle manufacturers and stakeholders.

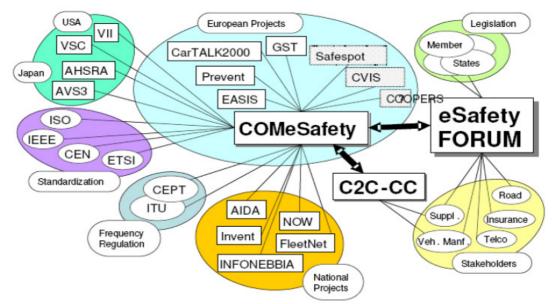


Fig. 2.4 - Projects and organizations related to vehicular technology in 2008 [16]

An important initiative is not depicted above: the eCall initiative started in 2002 and aims to develop an automated vehicle communication system that calls emergency services (112) in case of a crash. In some countries the initiative is very close to deployment, after several successful tests.

Since this subject is a worldwide problem we will also include some non-European projects in Table 2.10 and Table 2.11, which present a summary of information from projects using vehicle communications related to safety applications, based on [27] to [48].

Acronym	Name	Project timeline	REGION
AKTIV	Adaptive and Cooperative Technologies for the Intelligent	2006-2010	Europe
ASHRA	Traffic Advanced Cruise-Assist Highway System Research Association	2002-2005	Japan
CAMP/VSC-2	Crash Avoidance Metrics Partnership / Vehicle Safety Communications	2005-2009	USA
CAR2CAR	Car 2 Car Communication Consortium	2008-2012	Europe
CICAS	Cooperative Intersection Collision Avoidance Systems	2004-2009	USA
COM2REACT	Realizing Enhanced Safety and Efficiency in European Road Transport	2004-2007	Europe
COMeSafety	Communications for eSafety	2007-2013	Europe
COOPERS	CO-Operative SystEms for Intelligent Road Safety	2006-2010	Europe
CVIS	Cooperative Vehicle-Infrastructure Systems	2006-2010	Europe
DRIVE C2X	Connecting Vehicles for safe comfortable and green driving on European Roads	2010-2013	Europe
EVITA	E-safety Vehicle InTrusion protected Applications	2008-2011	Europe
GOOD ROUTE	Dangerous Goods Transportation Routing, Monitoring and Enforcement	2006-2009	Europe
HEADWAY	Highway Environment Advanced Warning sYstem	2008-2013	Europe
ICSI	Intelligent Cooperative Sensing for Improved traffic efficiency	2012-2015	Europe
INSTANT MOBILITY	Multimodality for people and goods in urban areas	2011-2015	Europe
MORYNE	Enhancement of public transport efficiency trough the use of mobile sensor networks	2006-2008	Europe
NOW	Network on Wheels	2004-2008	Europe
PReVENT	Preventive and Active Safety Applications	2004-2008	Europe
RISING	Road Information System for Next-Generation Cars	2005-2008	Europe
SAFESPOT	Cooperative vehicles and road infrastructure for road safety	2008-2010	Europe
SAFESPOT	Cooperative Systems for Road Safety	2007-2010	Europe
SKY project	Start ITS from Kanagawa, Yokohama	2004-2011	Japan
VII/IntelliDrive	Vehicle Infrastructure Integration	2005-2008	USA
VSC	Vehicle Safety Communications	2006-2009	USA
Watch-over	Watch over cooperative vulnerable road users	2006-2008	Europe

Table 2.10 – Projects about vehicular safety using wireless communications

Acronym	Communication Technology	sensors	V2V	V2I	RT	Project Focus
AKTIV	UMTS/GPRS	Х	Х	Х		Design, development and evaluation of driver assistance systems and efficient traffic management.
ASHRA	N/A		Х	Х		Accident reduction
CAMP/VSC-2	DSRC / WAVE	Х	Х	Х	Х	Definition of several safety applications characteristics
CAR2CAR	IEEE802.11a		Х	Х		Create V2V communication standard and develop active safety applications
CICAS	DSRC			Х		Intersection Collision avoidance
COM2REACT	WLAN/GPRS	Х	Х	Х		Large scale Traffic Management
COMeSAFETY	IEEE802.11p (5,9GHz)		Х	Х		Cooperative systems to improve road safety and traffic efficiency
COOPERS	UMTS/GPRS, DAB, CALM	Х		Х		Develop telematic applications that allow cooperative traffic management between vehicle and infrastructure.
CVIS – COMM	WAVE, CALM , DSRC, 2G/3G		Х	Х		Create a unified technical solution that allows all vehicles and infrastructure elements to communicate
DRIVE C2X	IEEE802.11p and UMTS		Х	Х		Assessment of cooperative systems through various field operational test
EVITA	N/A	V	V	V		Secure intravehicular communication; architecture to protect sensitive vehicle data
Good Route	GSM/GPRS, GPS, DSRC	Х	X	X		Monitoring and routing dangerous goods transportation.
Headway	IEEE802.11p		Х	X		Highway Warning System
ICSI	ITS-G5 UMTS	Х	X X	X X	Х	Enable cooperative sensing in ITS. Enable advanced traffic and travel management strategies, based on reliable and real-time input data specify and test a service that allows a
Instant Mobility	OMIS		Λ	Λ		traveller to receive personalised and real- time solutions to support the journey
MORYNE	TETRAPOL, WiFi, WiMAX, GPRS, UMTS			Х		Public transport traffic management
NOW	N/A		Х	Х		Protocols and data security algorithms for V2V/V2I communications, V2I electronic payment; Design of protocols tailored to the different inter-vehicle specific applications
PReVENT	IEEE802.11a	Х	Х			Develop and demonstrate preventive safety applications and technologies
RISING	IEEE802.11a			Х		increase road safety by providing localized and real-time Traffic and Travel Information (TTI) to vehicle drivers
SAFESPOT	IEEE 802.11p draft		Х	Х		Prevent road accidents
SKY project	DSRC	Х		Х		Reduce traffic accidents and congestion
VIIC Work Task 3	DSRC-802.11p (WAVE)		Х	Х		develop an information infrastructure to exchange real-time information between the roadside and vehicles improving safety and mobility
VSC	DSRC		Х	Х		identify and specify vehicle safety applications enabled or enhanced by wireless communications
Watch-over	IEEE802.15.4.a, UWB, GPS	Х				Avoid accidents with vulnerable users (pedestrians, cyclists)

Table 2.11 – Projects about vehicular safety using wireless communications (details)

Several projects were created before the definition of a wireless communication standard that is specific to support vehicle safety applications, therefore their main contribution was the definition of the safety applications constraints and the communications architecture needed to support them (e.g. PReVENT, RISING, Watch over). Several consortiums from vehicle manufacturers and several projects were created in order to standardize interfaces and protocols and we believe this was very constructive and helped to push the IEEE802.11p and ETSI-G5 standards. This is the case of the CAR 2 CAR consortium and COMeSafety project. Most of the projects are related to road safety (vehicle or pedestrians) in several environments (mostly urban). Traffic congestion was also a common problem addressed by the projects (e.g. Moryne, Good route). The projects' demonstration and proof of concepts were done either by simulations or most of the cases consisted of trials using wireless technologies that are not tailored for vehicle communications, such as IEEE802.11a or UMTS. Some projects used the legacy DSRC, which is still in use for tolling purposes in some countries, to demonstrate the viability of their proposals. This was the case of Good Route, VSC and SKY project, among others. Few projects, such as COOPERS and CVIS, tried to follow a holistic approach from the communication standard point of view, meaning they defined an architecture that can fit into any wireless communication standard, similar to the CALM standard that was presented earlier in this document. COOPERS in fact used in its trials technologies (DAB) that provided unacceptable results for some safety applications. Some projects managed to use the IEEE802.11p standard, although in its initial draft phase. This was the case of SAFESPOT, Headway, COMeSafety2 and DRIVEC2X. As an example, since the author of this document was involved in the Headway project, we present a brief description of this project: a prototype was built in order to test three motorway safety applications: Hard-braking warning, Crash warning and Tolling services. The draft versions of IEEE802.11p/WAVE were used and RSUs and OBUs were successfully built using FPGAs, transceivers, power amplifiers developed for the 5,9GHz band and appropriate antennas. A vehicle user interface was also created using a mini-PC and a touch-screen device. This mini-PC used the OBD-II interface to obtain real-time data from the vehicle in order to detect sudden deceleration. This would lead to the generation of the hard-braking message that was broadcast to other OBUs.

In summary, there were innumerous projects related to road safety and traffic congestion, we presented a selection of projects that intend to show that the wireless communication technologies are not mature yet for the support of safety applications and their communication requirements, although a big effort was made in the last few years to create standards (IEEE 802.11p and ETSI ITS G5) that can effectively support such applications. However, we believe that there aren't results yet to consider those standards mature enough for a large scale deployment of equipped or enabled vehicles that can transparently support the safety applications we presented earlier. In the next chapter we present those standards and focus on the Medium Access Layer problems that can occur in densely populated scenarios where several vehicles travelling at high speeds might want to access the medium simultaneously. For that purpose, we believe that there is still work needed to address some of these standards issues. Some of that work is done in the ICSI project, where the protocol presented in chapter 4 was included, with some minor modifications to allow the existence of simultaneous V2I and V2V communications.

# 2.7. Conclusions

This chapter discussed how to extract information from a vehicle and from the road, in order to use that information for safety purposes, by adequately informing vehicle drivers, which can be made by different vehicle interfaces. Wireless communications make possible the concept of cooperative vehicle applications, which were presented, with focus on the ones that aim to increase road safety. In order to correctly choose a wireless communication system that can support the delivery of safety application messages in a vehicular environment, some of the more relevant safety applications message sets were specified, namely Traffic Signal Violation Warning, Curve Speed Warning, Emergency Electronic Brake Light, Pre-Crash Sensing for Collision Mitigation, Cooperative Forward Collision Warning and Lane Change Warning.

Several wireless communications systems were analysed to check if they could support V2V or V2I communications and maximum latency constraints of the more demanding safety applications. We concluded that WAVE/IEEE 802.11p and ETSI-G5 standards were the only standards that can support safety applications in vehicular environments. LTE-Advanced was also interesting but due to lack of information about the standard at the time of writing combined with the high costs of licensed spectrum lead to not considering LTE-A for vehicle communications.

We also presented several projects about road safety in Europe, USA and Japan. Some of these projects collect data from radar or infrared sensors, while others effectively use different wireless communication standards. This allowed a better understanding of the vehicular communications evolution and historical context.

# 3. Enabling technologies for Safety Vehicular Applications

After presenting several safety applications in vehicular environments and discussing what wireless communication standards are more suitable to support them, we focus on the IEEE802.11p / WAVE set of standards and the ITS-G5 set of standards in Europe, which seem to be the more appropriate current technologies to support safety vehicular applications. However, these standards have some limitations in what concerns the MAC layer, since when a large number of vehicles tries to communicate, medium collisions may occur which causes an unbounded delay. We discuss the state of the art of MAC/PHY layer solutions for this problem, focusing on the ones that support safety applications using IEEE 802.11p based on a roadside infrastructure (V2I, I2V).

# 3.1. IEEE 802.11p / WAVE set of standards

In this section we present in more detail the set of standards 802.11p/WAVE (Wireless Access in Vehicular Environments), in use in North America. We discuss the European version of this set of standards, ITS-G5, in section 3.2. Both standards are tailored for vehicle communications and share a common basis for the physical and MAC layer, but ITS-G5 enforces the use of two radio channels and also defines new protocols and messages in the upper layers.

# **3.1.1 General Architecture**

In section 2.5 we already presented some WAVE characteristics that suit the vehicular environment:

- Very low latency (to support safety real-time applications);
- High data rates available: 3, 6 and 12Mbps (mandatory) but can go up to 27Mbps (for more demanding applications);
- High speeds (up to 200km/h) support. This is due to several factors: Orthogonal Frequency Division Multiplex (OFDM) is used to improve immunity to out of channel interference; the channels are 10MHz which allows receivers to better suit the characteristics of the radio channel in high speed environments. Finally, there is no need to establish a Basic Service Set (BSS) as was used in 802.11a, which is particularly important in an environment where communication links might exist only for a small amount of time.

Prior to WAVE, in North America, the Federal Communications Commission (FCC) allocated 75MHz of bandwidth at 5.9GHz for the so-called Dedicated Short Range Communications (DSRC). The goal was to use the band for public safety vehicle alerts but also license the band for applications not related to safety.

	Ch. 175	(20MHz)		Ch. 181	(20MHz)	
Ch.172 SCH	Ch.174 SCH	Ch.176 SCH	Ch . 178 CCH	Ch.180 SCH	Ch.182 SCH	Ch.184 SCH
					5.905 GHZ 5 015 GHz	.925 GH

Fig. 3.1 - DSRC allocated spectrum in the United States (adapted from [49])

In the United States, the allocated spectrum for Dedicated Short Range Communications (DSRC), where WAVE operates, is from 5.8GHz to 5.925GHz, divided into seven 10MHz channels with a 5MHz guard at the low end (refer to Fig. 3.1). Some 10MHz channels can be combined into 20MHz channels in order to increase capacity. The ASTM E2213-03 standard [50] divides the 75MHz into seven 10MHz channels, including a dedicated control channel (CCH) reserved to safety relevant applications, system control and management with high priorities, and other six channels that are used as service channels (SCHs), to support non-safety relevant applications. Channel 172 was designated exclusively for V2V communications.

IEEE then created a set of standards for the purpose of vehicular communications, based on the well known IEEE 802.11 standard. An amendment was created: IEEE802.11p – Wireless Access in Vehicular Environments (WAVE), published in 2010 [6], along with a group of standards (IEEE 1609.0 to IEEE 1609.12). The IEEE 802.11p PHY layer is based on 802.11a specifications, using Orthogonal Frequency Division Multiplexing (OFDM) with 10MHz channels.

The WAVE protocol relies on a basic MAC and an extension MAC [51]. The first uses the 802.11 Distributed Coordination Function (DCF) based in Carrier Sense Multiple Access with Collision Avoidance (CSMA/CA) and uses Request-To-Send/Clear-To-Send (RTS/CTS) and Network Allocation Vector (NAV).

The extension MAC layer uses the Enhanced Distributed Channel Access (EDCA) mechanism originally provided by 802.11e [52], but modified to work in the WAVE multichannel environment, implementing two separate EDCA functions, one for the CCH and one for the SCH.

WAVE supports both the IPv6 protocol stack and a bandwidth efficient, non-IP protocol, the WAVE short message protocol (WSMP) for single-hop high-priority and time sensitive safety or road messages [53]. This means WAVE devices do not need to join a Basic Service Set (BSS) in order to transmit Wave Short Messages (WSMs - special short messages designed for vehicular environments), contrarily to traditional 802.11 where a device must scan, associate and then authenticate, joining a BSS in order to start transmitting, which would not be suitable for vehicular environments.

Non-safety data packets transmission is allowed within a BSS. A station that initiates a BSS is called provider, a station that joins a BSS is called user. To establish a BSS, the provider has to periodically broadcast WAVE Service Announcements (WSAs) on the CCH. WSAs contain all the information identifying the WAVE services it offers and the network parameters necessary to join a BSS (BSS ID, the SCH this BSS will use, timing information for synchronization purposes, etc.).

A station should monitor all WSAs on the CCH to learn about the existence and the operational parameters of available BSSs. After that, the station may join the BSS by simply switching to the SCH used by this BSS, on the subsequent SCH interval. This procedure will be explained later on.

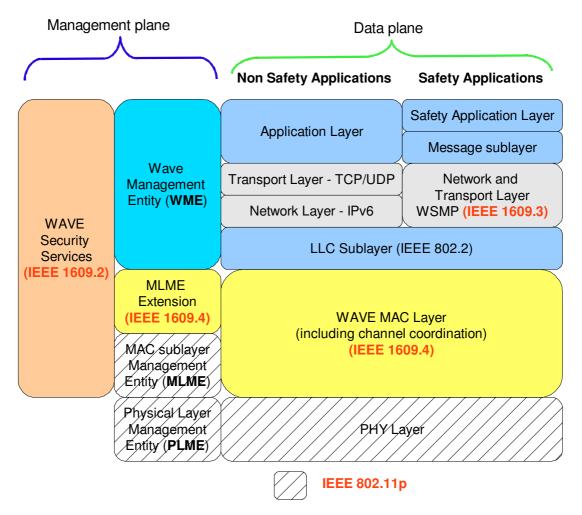


Fig. 3.2- WAVE layer Architecture in the U.S. (adapted from [49])

WAVE specifies security services and parameters in 1609.2 [54], including encryption and authentication measures in order to secure communications from unwanted listeners.

In IEEE 1609.0 the Architecture for Wireless Access in Vehicular Environments (WAVE) is described. The idea of this standard is to provide an overview of the entire WAVE system, its components and how it operates. It provides a context for the remaining standards: IEEE

1609.2, IEEE 1609.3, IEEE 1609.4, IEEE 1609.11, IEEE 1609.12 and IEEE 802.11p. Several concepts present in 1609.0 are explained in 1609 standards.

At the time of the writing, the final version of the 1609.0 standard was not yet finished (the last active draft was released in June 2013 [56]) and it will probably be the last one to be released, so that it can serve as a presentation of the WAVE standards.

In the following sub-sections we will further explain the relevant details for our thesis of the IEEE802.11p/WAVE set of standards, starting with the lower layers.

# 3.1.2 PHY layer

The PHY layer is divided into two sub-layers, the Physical Medium Dependent (PMD) sublayer and the Physical Layer Convergence Procedure (PLCP) sublayer. The first one is the interface with the wireless medium, using the well-known Orthogonal Frequency Division Multiplexing (OFDM) technique. The PLCP serves as an interface between the MAC layer and the PHY layer. OFDM is defined in regular 802.11 for three channel widths, 5, 10 and 20 MHz. In WAVE the most common option is to use 10MHz channels, as was referred earlier.

Every 802.11p device must support transmission and reception at 3, 6 and 12 Mbps. Other bit rates are optional.

Modulation	Coding Rate (R)	Coded bits per subcarrier $(N_{BPSC})$	Coded bits per OFDM Symbol (N <sub>CBPS</sub> )	Data bits per OFDM Symbol (N <sub>DBPS</sub> )	Data rate (Mb/s) (20 MHz channel spacing)	Data rate (Mb/s) (10 MHz channel spacing)	Data rate (Mb/s) (5 MHz channel spacing)
BPSK	1/2	1	48	24	6	3	1.5
BPSK	3/4	1	48	36	9	4.5	2.25
QPSK	1/2	2	96	48	12	6	3
QPSK	3/4	2	96	72	18	9	4.5
16-QAM	1/2	4	192	96	24	12	6
16-QAM	3/4	4	192	144	36	18	9
64-QAM	2/3	6	288	192	48	24	12
64-QAM	3/4	6	288	216	54	27	13.5

 Table 3.1 - OFDM Modulation parameters (adapted from [52])

Some concerns exist about adjacent channel interference (ACI), when adjacent channels operate simultaneously. One possibility is to reduce power or even prohibit transmissions on channel 174, in order to protect safety transmissions in channel 172 (V2V) (refer to Fig. 3.1 on page 42). Other solutions delay transmissions on the adjacent SCH in order to protect safety messages transmission on CCH. In summary, usage restrictions must apply for adjacent service channels [57].

FCC defined four classes of device, each associated with a maximum allowed transmit power and desired range. Please refer to Table 3.2.

Table 5.2 - FCC Device classification (adapted from [0] and [49])								
Device Class	Maximum Output Power (mW)	Maximum permitted EIRP (dBm)	Communication zone (meters)					
А	1	23	15					
В	10	23	100					
С	100	33	400					
D	760	33	1000					

Table 3.2	- FCC	Device	classification	n (adapteo	l from [6	[] and [49])

The other PHY sublayer is the PLCP, whose function in a transmitter is to process the bytes in a MAC frame so they can be transformed into OFDM symbols for transmission over the air. PLCP adds PHY layer overhead to the MAC frame in order to create the PHY Protocol Data Unit (PPDU). The MAC sublayer passes three parameters to the PLCP along with the MAC frame:

- Length of MAC frame;
- Data rate of transmission; \_
- -Transmit power.

In the receiver the PLCP does the opposite: it extracts the MAC frame from the PPDU. The PPDU format is exactly the same as the regular 802.11 standard, having suffered no change by the 802.11p amendment, so it will not be discussed here.

# 3.1.3 MAC layer (IEEE 1609.4)

As the name suggests, the Medium Access Control (MAC) layer defines the rules that each station must follow in order to access the medium in a shared and efficient way among a set of stations. The IEEE 802.11 rules are divided into two categories: session based rules and frame by frame rules.

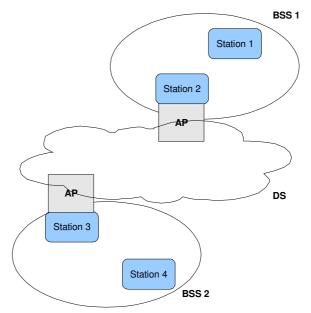


Fig. 3.3 - 802.11 Distribution System and Access Points (adapted from [52])

For the case of session based rules, IEEE 802.11 defines a basic service set (BSS) as a set of stations that agree to exchange information.

There are two types of BSS. The most common is the infrastructure BSS with an Access Point (AP) that announces the BSS and established parameters and constraints for every station using the BSS. This AP usually serves as a gateway providing access via a Distribution System (DS) to additional networks beyond the WLAN, e.g. Internet. Before any station can transmit data to the AP, it must hear the BSS announcement (in a beacon frame or response frame), then join, authenticate and associate with the BSS. The other type of BSS is the independent BSS that has no AP. In this BSS stations communicate directly as peers. The BSS are announced through beacon frames (that include communication parameters). Listening stations must synchronize with the announcing station before communicating.

In both cases, all data frames are sent between stations that belong to the same BSS. In a highly mobile vehicular environment, the MAC sublayer setup process of joining, authentication and association is quite limiting. For this reason, WAVE defines a new type of communication "Outside the Context of a BSS" (OCB). This means that there is no need to belong to a BSS to transmit data frames. This eliminates the MAC sublayer setup process. In OCB unicast and broadcast messages are allowed. The main advantages of OCB are:

- No use of beacon frame; since a BSS is not used, there is no need to use a beacon frame whose main goal is to announce the existence of a BSS and its communication parameters. Some beacons contain information such as data rates or QoS parameters which are relevant but even those can be transmitted via higher layers communications (e.g. WSM or WSA in IEEE 1609.3)
- No prior synchronization is needed before communicating; usually 802.11 uses synchronization between stations to facilitate power management (a station may alternate between awake and sleep mode). Vehicle devices usually have no power problems and may wish to monitor a channel continuously (e.g. for safety purposes). Vehicles are assumed to have access to GPS positioning or other source of synchronization such as the Timing Advertisement (TA) frame which is a new frame introduced by IEEE 802.11p to announce information about the sender's time source.
- Similarly no authentication or association is needed before communicating; authentication is done in higher layers and is provided by the IEEE 1609.2 standard. Association is usually used to help the AP bridge frames between a non-AP station within the BSS and a node on other network. In vehicular networks, most of the messages reach their destination in a single hop. If multi-hop forwarding is needed it can be achieved by layer 3 routing.

For the case of frame by frame rules IEEE802.11p uses exactly the same rules as IEEE802.11. All frames (within or outside a BSS) must follow the Carrier Sense Multiple Access with Collision Avoidance (CMSA/CA) scheme. The Enhanced Distribution Channel Access (EDCA) Qos mechanism is also used in 802.11p providing different access priorities through selection of the idle time and backoff range parameters.

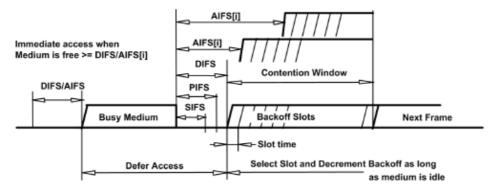


Fig. 3.4 - CSMA/CA used in 802.11 [52]

When a station detects the medium is idle and is able to transmit, it shall wait a time interval which is called the Inter Frame Space (IFS). Five different IFSs are defined to provide priority levels for access to the wireless media. Fig. 3.4 shows some of these relationships:

- SIFS short interframe space. SIFS is the shortest of the IFSs. SIFS shall be used when stations have seized the medium and need to keep it for the duration of the frame exchange sequence to be performed. Using the smallest gap between transmissions within the frame exchange sequence prevents other stations, which are required to wait for the medium to be idle for a longer gap, from attempting to use the medium, thus giving priority to completion of the frame exchange sequence in progress.
- PIFS PCF interframe space. The PIFS shall be used only by stations operating under the Point Coordination Function (PCF) to gain priority access to the medium.
- DIFS DCF interframe space. The DIFS shall be used by STAs operating under the Distribution Coordination Function (DCF) to transmit data frames and management frames.
- AIFS arbitration interframe space (used by the QoS facility).
- EIFS extended interframe space.

The different IFSs are independent of the bit rate used. The IFS timings are defined as time gaps on the medium, and the IFS timings (except AIFS) are fixed for each physical layer (even in multirate-capable physical layers).

A station that has a frame to transmit senses the wireless medium:

- If the medium is idle the station begins transmission of its frame.
- If the medium is busy, the station shall defer until the medium is determined to be idle without interruption for a period of time equal to DIFS when the last frame detected on the medium was received correctly, or after the medium is determined to be idle without interruption for a period of time equal to EIFS when the last frame detected on the medium was not received correctly. After this DIFS or EIFS medium idle time, the station shall then generate a random backoff period for an additional deferral time before transmitting, unless the backoff timer already contains a nonzero value, in which case the selection of a random number is not needed and not performed. This backoff

period is measured in time slots to wait before transmission. The countdown begins when the medium becomes idle and is interrupted during other station transmissions, resuming when the medium is idle again. The number of slots to wait is drawn randomly from a uniform distribution over the interval [0,CW], where CW stands for Contention Window. CW is an integer within the range of values aCWmin and aCWmax. The slot time, aCWmin and aCWmax are parameters dependent on the physical layer.

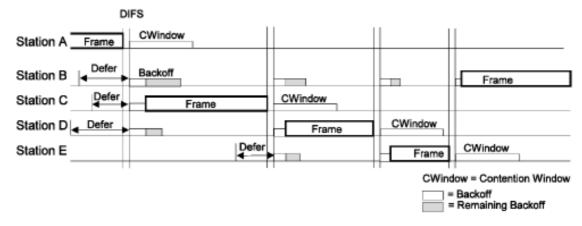


Fig. 3.5 - Backoff procedure for IEEE802.11 DCF [52]

The backoff procedure is exemplified in Fig. 3.5 for the Distributed Coordinated Function of IEEE802.11. Station A has just finished transmitting its frame, and stations B, C, D want to transmit a frame. They all detect the medium is busy and defer their transmission until the end of station A transmission, while loading their backoff timer with a random value chosen from a Contention Window. After the Interframe space (DIFS) each station starts decrementing its backoff timer. Station C reaches 0 and starts transmitting a frame, so stations B and D stop decrementing their backoff timer. As soon as station C stops transmitting its frame, they resume their backoff timer countdown. During station C transmission, station E decided to transmit but detected the medium is busy so it loaded its backoff timer too. This means that after station C transmission is finished stations B, D and E contend for the medium. But station's D backoff timer is the one who finishes countdown first, thus station D wins contention for the medium.

The backoff procedure for EDCA is quite similar to the one presented in Fig. 3.5, the main difference is that different Access Categories (AC) exist and for that purpose each access category will wait a different IFS (AIFS) before sensing the medium. Each AC will have different lengths for the Contention Window, such that a higher priority AC will have smaller contention windows than lower priority ACs.

The AIFS is in fact equal to the number of backoff slots determined by AIFSN added to the minimum interframe space (SIFS), as can be seen in equation (1), adapted from [52], where aSlotTime and aSIFSTime are physical parameters dependent on the chosen modulation scheme.

$$AIFS[AC] = AIFSN[AC] \times aSlotTime + aSIFSTime$$
<sup>(1)</sup>

Priority	User Priority (UP)	802.1D designation	AC	Designation (informative)
Lowest	1	BK	AC_BK	Background
1	2		AC_BK	Background
	0	BE	AC_BE	Best Effort
	3	EE	AC_BE	Best Effort
	4	CL	AC_VI	Video
	5	VI	AC_VI	Video
★	6	VO	AC_VO	Voice
*** 1	7	NC	AC_VO	Voice
Highest				

IEEE 802.11 defines 8 different user priorities and maps them into four access categories: background, best effort, video and voice (Table 3.3).

Table 3.3 - User Priority (UP) to Access Category (AC) mapping (adapted from [52])

In 802.11p the default EDCA parameters (Table 3.4) were changed to better suit the vehicular environment (Table 3.5).

Table 3.4 - Default EDCA Parameters (adapted from [6])						
Access Category (AC)	CWmin	CWmax	AIFSN			
AC_BK - Background	aCWmin	aCWmax	7			
AC_BE - Best Effort	aCWmin	aCWmax	3			
AC_VI – Video	(aCWmin+1)/2-1	aCWmax	2			
AC_VO – Voice	(aCWmin+1)/4-1	(aCWmin+1)/2-1	2			

 Table 3.4 - Default EDCA Parameters (adapted from [6])

Table 3.5 - EDCA Parameters when using WAVE MODE (OCB) (adapted from [6])

Access Category (AC)	CWmin	CWmax	AIFSN
AC_BK - Background	aCWmin	aCWmax	9
AC_BE - Best Effort	aCWmin	aCWmax	6
AC_VI – Video	(aCWmin+1)/2-1	aCWmin	3
AC_VO – Voice	(aCWmin+1)/4-1	(aCWmin+1)/2-1	2

After explaining how the IEEE 802.11 MAC works, we continue with the description of the IEEE 1609.4, which is designed for a multi-channel environment. This means that separate logical instances of IEEE 802.11p MAC are maintained, including buffers and state variables for each channel it operates. Fig. 3.6, taken from the IEEE1609.4 standard [51] shows a two-channel MAC, one for CCH and other for SCH, each with different buffers for each Access Category (AC).

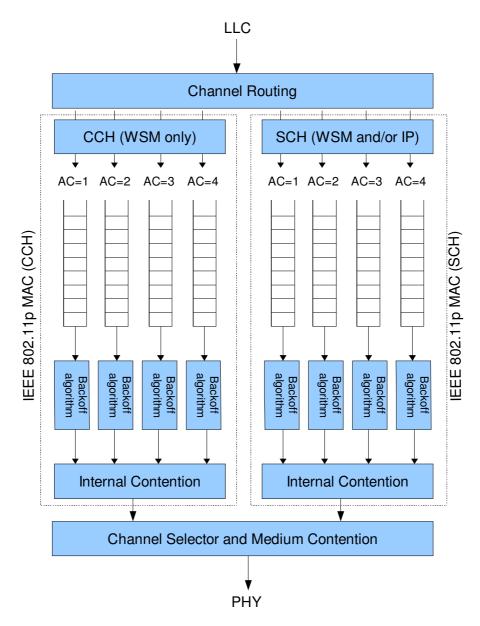


Fig. 3.6 - WAVE MAC multi-channel capability (adapted from [51])

In order to assure that all devices can find each other, IEEE1609.4 defines that every device should tune to the same channel from time to time. This channel is the control channel (CCH) (channel 178). For single radio devices, the channel time is divided into fixed length synchronization intervals, consisting of CCH and SCH intervals. In order to properly synchronize, all devices are assumed to have access to Universal Coordinated Time (UTC), either from a GPS source or other. During a CCH interval devices wanting to find each other or receive safety information, tune to the CCH. During this period besides safety messages there are Wave Service Announcements (WSA) announcing the availability of services offered in the close-by area. The WSA provides information about one or more services and in which SCH they are offered. The next figure illustrates this time division concept. By default the synchronization interval is 100ms and the default division is 50ms for each channel (CCH and SCH).

If a device determines via a WSA that it is interested in accessing a specific service it can switch to the relevant SCH at the end of the CCH interval and returns to the CCH at the beginning of the next CCH interval (normal alternating mode –Fig. 3.7 (b)). There are other options such as:

- Immediate access, where a device switches to the SCH as soon as it receives the WSA Fig. 3.7 (c).
- Extended access, where a device can remain on the SCH through one or more synchronization intervals until service delivery is completed Fig. 3.7 (d).
- Continuous access, where a device might also remain on the CCH during the SCH interval if there are no WSAs or services advertised are not currently of interest Fig. 3.7 (a).

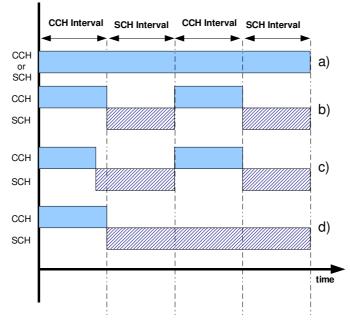


Fig. 3.7 - WAVE channel access options: (a) continuous, (b) alternating, (c) immediate, (d) extended (adapted from [51])

John Kenney [49] refers an important problem with WAVE MAC: synchronized frame collisions. As we explained earlier every device chooses a backoff time when it senses the medium is busy. The synchronized collisions occur when any two devices choose the same backoff slot. This is a concern particularly if safety messages are constrained to be sent during the CCH interval in the CCH, since there could be hundreds of devices in a given area.

The problem can be solved by higher layers. Kenney [49] notices that a consensus is rising in the industry to send Basic Safety Messages on SCH 172 with no time division. This implies that vehicles are equipped with two radios, one for safety applications and another for non-safety applications. It is important to refer that Europe has done exactly the same approach in Intelligent Transportation System (ITS), by assuming two radios for each vehicle [8]. FCC has designated channel 172 exclusively for V2V safety communications, which means that future standards shall address the issue of balancing channel 172 congestion.

# 3.1.4 Network layer (IEEE 1609.3)

IEEE 1609.3 defines the network layer of WAVE. It is able to use IPv6 and UDP/TCP protocols, but these internet protocols have a packet overhead with a minimum of 52 bytes for a UDP/IPv6 packet [49], which is not suitable for short safety messages to be delivered in one single hop transmission. For this purpose IEEE1609.3 defines a new, non-IP, protocol: the Wave Short Message Protocol (WSMP). Packets that use the WMSP send Wave Short Messages (WSMs), with an overhead that varies from 5 to 20 bytes. Since the CCH is used primarily for service advertisement and safety messages, the use of IPv6 is forbidden on this channel, only WSMs are allowed along with Wave Service Advertisements (WSA). This allows decreasing channel congestion, particularly for delivery of safety messages [53].

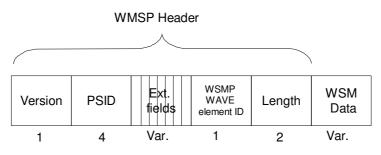


Fig. 3.8 - Wave Short Message (WSM) fields (adapted from [53])

The WSM Header has the following mandatory fields:

- WSMP version: This one byte field contains the current version of WSMP. A receiver will discard a WSM with a version number higher than it was designed to support.
- The Provider Service Identifier (PSID) identifies the service that the WSM payload is associated with. A device creates a list of PSIDs that have active receive processes at higher layers. When a WSM arrives, if the PSID matches one of those in the list, the WSM is forwarded to that process. Several PSID are being standardized, the IEEE1609.12 includes some of them [54].
- WSMP Wave Element ID marks the end of the extension fields (which are variable) and indicates the format of the WSM Data.
- Length: this field indicates the length of the WSM Data field (0-4095 bytes).

The Extension fields are variable and consist of the following three fields with 3 bytes each:

- Channel Number: represents the channel to be used for transmission (refer to Fig. 3.1 on page 42).
- Data Rate: represents the IEEE 802.11 Data Rate used for transmission.
- Transmit Power Used: A signed integer with resolution of 1 dBm.

Wave Service Advertisements are sent on the CCH during the CCH interval. They announce services that are offered on SCHs, and can be supported by IPv6 or WSMP. WSAs also inform which SCH frequency stations must tune in order to access the advertised service. Since WSAs are broadcasted by service providers without any feedback on their successful reception, each provider can send multiple copies of WSAs for reliability purposes. For efficiency up to 32 services can be announced in a single WSA.

We will not detail IEEE1609.3 further, since it is out of the scope of our work.

# 3.1.5 IEEE 1609.2 –WAVE Security Services for Applications and Management Messages

IEEE 1609.2 specifies mechanisms that allow message authentication and privacy of communications, by providing authentication and encryption of transmissions, so that confidentiality, authenticity and integrity can be assured, while keeping the processing and bandwidth overhead to a minimum, in order to cause no harm for safety critical applications. This standard had a draft trial use version in 2006 and had its final version published in June 2013 [54].

The 1609.2 standard provides authentication methods, by adding a digital signature to a message, which can be used to identify the sender and verify the message integrity. In order to sign a message, a sending device must have a private signing key and a certificate containing the public key associated with that private key. The receiver will use the public key to verify the signature. A vehicle must use a given certificate for a limited period of time, in order to increase privacy, so that the vehicle trajectory can not be determined by its safety broadcasts. For this to be possible, a certificate authority (CA) must exist, either centralized or distributed among multiple authorities. When a vehicle changes certificate, it will change other identifiers in its safety messages, namely the source MAC address and temporary ID.

Since the topic is out of scope of our work, we will not detail the encryption methods used. For our purpose, it is important to quantify the overhead that securing a message may cause to a safety message. The security will at maximum add 222 bytes but several options were considered in this standard: one of them is to use a certificate digest (8byte) interleaved with the full certificate (hundreds of bytes) so small latencies can be achieved [49].

# 3.1.6 Message formats (application layer)

The application layer includes application processes and protocols that provide support to applications. A very important example is the SAE J2735 Message Set Dictionary standard [58], which defines several messages. We are particularly interested in the Basic Safety Message (BSM). In chapter 2 we discussed and presented several safety vehicular applications. One conclusion is that there is a significant overlap in the information that each application needs. This was the reasoning behind the choice of dividing BSM in two parts. Part I includes critical state information that must be sent in every BSM, while part II is an optional area where additional data elements and frames can be included.

Other messaging standards are in development. SAE J2945.1 is still in progress but will include, among other things, the definition of BSM Sending Rate, which is an important parameter. If BSM are sent too frequently, they might overload the channel, if they are sent too infrequently safety information might be lost.

# 3.2. ETSI G-5 set of standards

The European Telecommunications Standards Institute (ETSI) developed a standard for vehicular communications, with some similarities to IEEE 802.11p/WAVE. We describe its main characteristics in this sub-section, focusing on the main differences between ETSI–G5 and WAVE. The frequency band is similar: 5.9GHz, but in Europe only five 10MHz channels were allocated: one control channel (CH 180) and 4 service channels. The spectrum is divided the following way (refer to Fig. 3.9):

- ITS-G5A band: 30MHz reserved for road safety services from 5,875 GHz to 5,905 GHz.
- ITS-G5B band: 20MHz reserved for general-purpose ITS services (e.g. traffic routing, service announcements, multi-hopping)- from 5,855 GHz to 5,875 GHz. Please note that this band might not be allocated in all European countries.
- ITS-G5C band: this is a legacy band kept in use mainly for tolling purposes and other ITS applications, since no V2V communication is allowed in this band, only infrastructure to vehicle communications: 5,470 GHz to 5,725 GHz (not shown in Fig. 3.9).

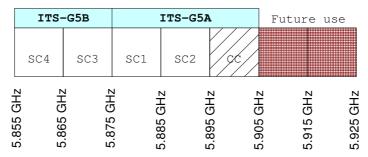


Fig. 3.9 - Spectrum allocation for ETSI-G5 (adapted from [57]).

# 3.2.1 PHY layer

An ETSI ITS station can use more than one communication method as can be seen in the protocol stack depicted in Fig. 3.10, taken from [57]. ETSI followed the approach of the CALM standard, where several different PHY layers coexist.

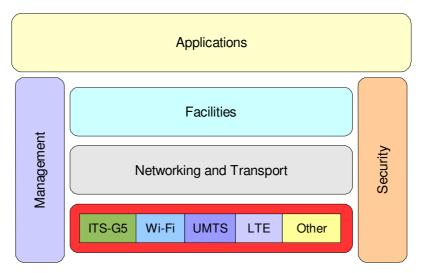


Fig. 3.10 - ETSI ITS Station Protocol Stack (adapted from [57]).

We will focus on ITS-G5, since it shares several characteristics with IEEE802.11. It uses OFDM and 10MHz channels with 6 and 12Mbps data rates, although different data rates can be used, similarly to regular IEEE802.11. The following table summarizes channel allocation for Europe.

Table 3.6 - European ITS channel allocation (adapted from [8])						
Channel type	Centre	IEEE	Channel	Default data	TX power	
	frequency	channel	spacing	rate	limit	
		number				
G5CC	5 900 MHz	180	10 MHz	6 Mbit/s	33 dBm EIRP	
G5SC2	5 890 MHz	178	10 MHz	12 Mbit/s	23 dBm EIRP	
G5SC1	5 880 MHz	176	10 MHz	6 Mbit/s	33 dBm EIRP	
G5SC3	5 870 MHz	174	10 MHz	6 Mbit/s	23 dBm EIRP	
G5SC4	5 860 MHz	172	10 MHz	6 Mbit/s	0 dBm EIRP	
G5SC5	5 470 MHz to		several	Dependent on	33 dBm EIRP	
	5 725 MHz			channel	(DFS master)	
				spacing	23 dBm EIRP	
					(DFS slave)	

G5CC is the control channel, G5SC1 to G5SC4 are four fixed service channels and G5SC5 is a variable service channel. The usage of the channels is similar to WAVE:

- G5CC is used for road safety and traffic efficiency applications and may be used for ITS \_ service announcements of services operated on the service channels.
- G5SC1 and G5SC2 are used for ITS road safety and traffic efficiency applications. \_
- Other ITS user applications use G5SC3, G5SC4 and G5SC5. -

All ITS G5 stations shall be able to always receive on the G5CC (when not transmitting), except for stations that do not support safety applications. All ITS G5 stations shall be capable of transmitting on the G5CC. This implies that ITS G5 stations must be dual radio devices so they are able to simultaneously receive on G5CC and one of G5SC.

Several Modulation Schemes (MCSs) can be used in order to obtain data rates varying from 3 to 27Mbps in 10MHz channels or 12 to 108Mbps in a case a 40MHz channel is used. By default the control channel (G5CC) uses MCS 2 (6Mbps) and the Service Channels use MCS 4 (12Mbps).

Table 5.7 - 115 G-5 data rates and channel spacing (adapted from [6])								
Modulation coding scheme (MCS)	0	1	2	3	4	5	6	7
Data rate in Mbit/s 40 MHz channel	12	18	24	36	48	72	96	108
Data rate in Mbit/s 20 MHz channel	6	9	12	18	24	36	48	54
Data rate in Mbit/s 10 MHz channel	3	4,5	6	9	12	18	24	27
Modulation scheme	BPSK	BPSK	QPSK	QPSK	16-QAM	16-QAM	64-QAM	64-QAM
Coding Rate R	1/2	3⁄4	1⁄2	3⁄4	1⁄2	3⁄4	<sup>2</sup> / <sub>3</sub>	3⁄4

Table 3.7 - ITS G-5 data rates and channel spacing (adapted from [8])

# 3.2.2 MAC and network layers

ETSI G5 follows a similar approach to 1609.4 and 1609.3. Single-radio operations are handled by the MAC layer using a Distributed Congestion Control (DCC) scheme, where CSMA is used in the MAC layer and Transmit Power Control and Transmit Rate Control in the network layers. DCC is in fact distributed among several layers, facilities (layer 5), transport (layer 3) and access layer (layer2).

The DCC access has a channel probing scheme in order to collect statistics on the communication channel. It provides means of adapting the behaviour of the ITS station to the actual channel load. Similarly to WAVE, the transmit power and data rate can be set on a permessage basis, which is a means of adapting the transmission parameters according to the channel load.

In case a high channel load is detected, the following measures can be adopted:

- Transmit Power Control transmission power is decreased;
- Transmit Rate Control the minimum time between packets is increased;
- Transmit Data rate control a higher modulation scheme is selected.

Such as in WAVE, where WSAs are transmitted on the control channel CCH, ETSI defines Service Announcement Messages (SAM) transmitted on the G5CC, but in case of congestion indication by the DCC scheme, SAMs can not be transmitted on the G5CC and are transmitted elsewhere (G5SC).

The multi-channel scheme used in ETSI is the following:

- T1 always tuned to the CCH.
- T2 always tuned to the CCH and optionally tuned to SCH. In case of congestion SAMs are transmitted in SCH1.

- T3 – always tuned to the CCH and optionally tuned to SCH. In case of congestion SAMs are transmitted in SCH3.

Every single-radio safety station operates in T1, and every dual radio has one transceiver operating in configuration T1. This guarantees that every station is tuned to G5CC where safety messages are broadcast.

#### 3.2.3 Upper layers

In the WAVE standard, IEEE defined a set of safety messages to be used in vehicular communications. ETSI defines two sets of messages for the same purpose: Cooperative Awareness Message (CAM) and Decentralized Environmental Notification Message (DENM). CAM are periodic while DENM are event-based messages [21].

CAM messages include several possible data elements (e.g., CrashStatus, Dimension, Heading, Latitude, Longitude, Elevation, Longitudinal Acceleration, Speed). Most of the parameters are compatible with the SAE J2735 standard [58]. The relevant difference between BSM and CAM is that CAM messages are transmitted periodically and have strict timing requirements. CAMs are generated by the CAM Management and passed to lower layers according the following rules [21]:

- Maximum time interval between CAM generations: 1s.
- Minimum time interval between CAM generations: 0,1s.
- Generate CAM when absolute difference between current heading and last CAM heading is bigger than 4<sup>o</sup>.
- Generate CAM when distance between current position and last CAM position is bigger than 4 meter.
- Generate CAM when absolute difference between current speed and last CAM speed is bigger than 0.5m/s.

These rules are checked every 100ms.

Other timing requirements specify that the processing time of CAM construction does not exceed 50ms and the system transmission time between message construction and message being sent does not exceed 50ms (if no other channel load is present).

An example of a generic CAM structure is shown in Table 3.8 . Therefore, the minimum CAM size will be 42 bytes long where the maximum CAM size is 218 bytes.

Table 5.8 - CAM generic structure				
Data item name, Element/Frame and length	Description			
Header	Protocol version, message id and vehicle id.			
6 byte				
Basic container 18 byte	Consists of position of the object received from a global navigation satellite system such as GPS, what king of object (car, motorcycle, bus,			
10 0yte	truck, pedestrian, etc,) and timestamp from GPS receiver			
Basic vehicle container (High- frequency HF) 14 byte	This field is included in every CAM (high frequency – HF) and contains information about heading, speed, curvature, driving direction and the role of the vehicle if applicable (e.g. public transport, special transport, dangerous good, SOS services, road work etc,)			
Basic vehicle container (Low- frequency LF) Max. 176 byte	This field is not included in every CAM (low frequency-LF) and it contains more static data about the vehicle itself such as size, status if exterior lights, path history (similar to BSM). Most of the time path history will include 2 to 10 points. This field is at maximum transmitted every 500ms.			
Special container 1 to 4 bytes	This field is included if the role of the vehicle contained in the basic vehicle container (HF) has indicated if it a special kind of vehicle, where these additional bytes (1 to 4) are used to better describe the vehicle.			

 Table 3.8 - CAM generic structure

As for DENM messages, they are event triggered messages that were created to be used by the cooperative Road Hazard Warning (RHW) application in order to alert road users of the detected events. According to [59] the general processing procedure of a RHW use case is as follows:

- Upon detection of an event that corresponds to a RHW use case, the ITS station immediately broadcasts a DENM to other ITS stations located inside a geographical area and which are concerned by the event.
- The transmission of a DENM is repeated with a certain frequency.
- This DENM broadcasting persists as long as the event is present. According to the type of the detected event, the DENM broadcasting can be realized by the same ITS station, temporarily realized by one or several ITS station(s), or relayed by one or several ITS station(s).
- The termination of the DENM broadcasting is either automatically achieved once the event disappears after a predefined expiry time, or by an ITS station that generates a special DENM to inform that the event has disappeared.
- ITS stations, which receive the DENMs, process the information and decide to present appropriate warnings or information to users, as long as the information in the DENM is relevant for the ITS station.

The DENM size varies from 59 bytes to 233 bytes.

Table 3.9, taken from [59] provides examples of the triggering and termination conditions of sending DENM.

In some situations an ITS station can decide not to trigger a DENM even if an event is detected. This might happen if the ITS station has already received DENM concerning the same event from other stations.

Use case	Triggering condition	Terminating condition	
Emergency electronic brake light	Hard braking of a vehicle	Automatic after the expiry time	
Wrong way driving warning	Detection of a wrong way driving by the vehicle being in wrong driving direction	Vehicle being in the wrong way has left the road section	
Stationary vehicle – accident	e-Call triggering	Vehicle involved in the accident is removed from the road	
Stationary vehicle – vehicle problem	Detection of a vehicle breakdown or stationary vehicle with activated warnings	Vehicle is removed from or has left the road	
Traffic condition warning	Traffic jam detection	End of traffic jam	
Signal violation warning	Detection of a vehicle disrespecting signal	Signal violation corrected by the vehicle	
Road work warning	Signalled by fix or moving roadside ITS station	End of the roadwork	
Collision risk warning	Detection of a turning/crossing/merging collision risk by a roadside ITS station	Elimination of collision risk	
Hazardous location	Detection of a hazardous location	Automatic after the expiry time	
Precipitation	Detection of heavy rain or snow by a vehicle	Detection of end of the heavy rain or snow situation	
Road adhesion	Detection of a slippery road condition (ESP activation)	Detection of the end of the slippery road condition	
Visibility	Detection of a low visibility condition (lights activation or antifog)	Detection of the end of the low visibility situation	
Wind	Detection of a strong wind condition	Detection of the end of the strong wind condition	

Table 3.9 - DENM triggering and termination conditions (adapted from [59])

# 3.3. MAC solutions for safety applications

In this section we present, the main proposals found in the literature to overcome the medium access control (MAC) issues of IEEE802.11p and ETSI-G5, in what concerns real-time communications guarantees. We focus on infrastructure based solutions, but since few infrastructure based solutions were found, we also mention V2V solutions that are relevant to our work.

Two crucial communication parameters that affect the performance of active traffic safety applications are reliability and delay.

**Reliability** means packets should be received at destination correctly without error and it depends on error probability of the packets. In active safety applications most of the communication between vehicles happens by broadcasting. Predicting the reliability of these broadcast messages is a hard task due to the absence of acknowledgment. Furthermore, vehicular networks have characteristics of low antenna heights and high relative speed between vehicles and RSUs, which makes achieving higher data reliability a difficult job. It is really important to design a proper MAC scheme, which can help to reduce the interference by carefully scheduling the channel access and their power levels.

Another important communication parameter in active traffic safety applications is **predictable delay**. This means data needs to be delivered to the destination in a predefined time window. This time window dependent communications is termed as real-time communications. Mostly all the active traffic safety applications have strict real-time needs.

Another parameter found in infotainment applications is throughput which has less significance in real-time communications. The real-time communications of packets do not require high data rate or a low delay, but need a predictable delay which means that the packets should be received before the time limit with the required probability of error. A missed deadline may affect the system severely depending upon the application or it may degrade the performance temporarily. In case of real-time communication, a deadline miss ratio is a central performance parameter, which should be zero for the case of hard real-time systems.

In wireless broadcast communication systems, a missed time limit may be caused by two factors when looking from the MAC layer perspective. The two factors are the packet was never granted channel access or the packet was not received correctly. The deadline miss ratio is the probability that a packet does not reach the intended destination before the deadline, even though the packet is received correctly by the MAC layer from the layer above. Therefore, the missed time limit is closely related to the channel access delay, i.e., the total time it takes from channel access request to actual channel access at the MAC layer. In case of the maximum channel access delay, it should not exceed the message deadline. One of the other reasons for not receiving the packet successfully is because of interference in the physical channel or in wireless system.

We explained in section 3.2 that in ETSI G-5, most of the active traffic safety applications rely on a message that is periodically broadcasted by every vehicle in a period of time:

Cooperative Awareness Message (CAM). CAM messages are a really important part in active traffic safety applications because these messages are broadcast messages and don't receive acknowledgements.

A CAM message is dropped whenever the channel access request for a message transmission does not result in actual channel access before new CAM messages become available. This happens because more recent information is available, i.e., it means that the time to live is exceeded so the CAM message is dropped. There will be temporary reduction in the performance efficiency of the application, if a periodic message misses a time limit. If the channel access is denied for consecutive packets of same vehicle and is forced to drop them, this can become a critical problem.

The MAC scheme should be designed in such a way that it provides a fair channel access to all vehicles, so that the packet drops should be evenly distributed among all OBUs. Therefore, fairness and scalability are important parameters in vehicular networks and, consequently, repeated time limit misses (packet drops) from same OBUs should be taken into account. Even though packets are successful in granting channel access, they may still not be received properly because of the unreliable physical communication channel due, e.g., to electromagnetic interference. Given the broadcast nature of both time-triggered and eventtriggered and messages, the performance measurement parameters like deadline miss ratio should be redefined if we are taking into account the receiver side. In active traffic safety applications, the packets throughput depends on the density of vehicles in the interest range. Furthermore, the interest range and communication range are not necessarily the same; hence some applications have a larger interest range than the communication range. Multi-hop communication schemes are used for solving these problems.

As explained in section 3.1, the 802.11p uses CSMA/CA as channel access; this mechanism can lead to unbounded channel access delays because of the potential random backoff procedure that makes it an unpredictable protocol. Moreover, the carrier sensing mechanism preceding each message transmission implies that there is a race for network resources, resulting in issues like scalability and fairness, e.g., some stations may have to drop several consecutive messages, because many stations simultaneously try to access the channel. Due to that, some stations may never get access to the channel before the deadline, whereas other stations drop zero or a few number of messages. This problem becomes a concern in high density networks. When the channel is occupied or busy, the vehicles in CSMA must perform a backoff procedure and during high density periods this mechanism can cause several vehicles to transmit simultaneously within radio range of each other due to the limited discrete random numbers in the backoff procedure, impacting on scalability.

It is widely known that, due to very high speed mobility, V2V and V2I communication links have a very short life time. Moreover, one of the ways of propagating traffic related messages toward a location close to interest range is through some form of (controlled) broadcast communication. One strategy of increasing duration of communication links in vehicular networks is by increasing the transmission range in sparse traffic conditions, where only a few vehicles may be present on the road. However, increasing the transmission range may generate high levels of disruptive interference and high-network overhead in dense traffic conditions. It follows that dynamic adaptation of transmission power in response to changing traffic density is a critical requirement.

Another possible strategy is assigning different priority levels to various traffic-related messages according to their urgency or delay requirements. For example, messages related to an incident on the motorway should be propagated to the intended area on time and in an accurate manner, in order to avoid congestion and potential secondary accidents.

We will next review infrastructure based vehicle communications proposed solutions that attempt to deal with some of the problems mentioned above.

#### 3.3.1 Infrastructured based collision free MAC protocols

Annette Böhm et al. [60] describe five different real vehicle traffic scenarios covering both urban and rural settings at varying vehicle speeds and under varying line-of-sight (LOS) conditions, discussing the connectivity that could be achieved between the two test vehicles. The major conclusion from those tests is that connectivity is almost immediately lost with the loss of LOS. This limitation is a serious drawback for safety-critical applications usage. This suggests the need for studies regarding the deterioration of signal propagation. The use of infrastructure to mitigate non-line-of-sight (NLOS) link failures is one solution to address the situations observed in these tests.

As explained earlier, the IEEE 802.11p MAC method is based on 802.11e Enhanced Distributed Channel Access (EDCA) with QoS support, where four different access classes are provided. In IEEE 802.11e, time is divided into superframes, each consisting of a contention-based phase (CBF) and a collision-free phase (CFP). Unlike other 802.11 WLAN standards, **the** 802.11p standard does not provide an additional, optional collision-free phase, controlled centrally by an access point through polling.

Several authors [61] [62] propose a deterministic Medium Access Control (MAC) scheme for V2I communication by extending the 802.11p standard with a collision-free communication phase controlled by an access point as provided in other 802.11 WLAN standards. Collision-free MAC protocols are considered deterministic as data collisions do not occur and a worst-case delay from packet generation to channel access can be calculated. The collision-free phase needs support from a "coordinator", in this case a Road Side Unit (RSU) or a dedicated centralized vehicle, which takes responsibility for scheduling the traffic and polling the mobile nodes for data. In this way the channel is assigned for a specific period of time to each vehicle equipped with an OBU without competition and safety-critical, real-time data traffic is scheduled in a collision-free manner by the RSU.

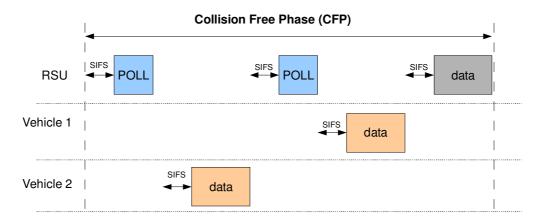


Fig. 3.11 - RSU polls vehicle for data during the Collision Free Phase (CFP) (adapted from [61])

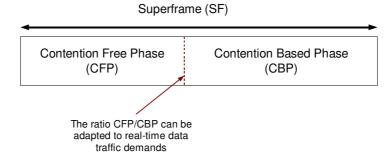


Fig. 3.12 - Adaptable ratio between Collision Free Phase and Contention Period (adapted from [61])

Böhm and Jonsson [61] assign each vehicle an individual priority based on its geographical position, its proximity to potential hazards and the overall road traffic density. This is done by introducing a real-time layer on top of the normal IEEE 802.11p. A superframe is created in order to obtain a Collision Free Phase (CFP) and a Contention Based Period (CBP). In the CFP the RSUs assume the responsibility for scheduling the data traffic and polls mobile nodes for data. Vehicles then send their heartbeats with position information and additional data (such as speed, intentions, etc.). A heartbeat message consists of periodic information sent by a vehicle. Whenever this information is not heard for a number of consecutive periods, the vehicle is assumed not to be in that area anymore. The RSU sends a beacon to mark the beginning of a superframe, stating the duration of the CFP, so that each vehicle knows when the polling phase ends and when to switch to the regular CSMA/CA from IEEE 802.11p, which is used in the CBP, along with the random backoff mechanism which is similar to IEEE 802.11e.

The length of CFP and CBP is variable. Real-time schedulability analysis is applied to determine the minimum length of CFP such that all deadlines are guaranteed. The remaining bandwidth is used for best-effort services and V2V communications.

In order for RSUs to start scheduling vehicle transmission, vehicles must register themselves by sending out connection setup requests (CSR) as soon as they can hear the RSU. This is done in the CBP, so a minimum risk exists of vehicles failing to register. They can however receive information from RSUs and communicate using the CBP. Böhm refers that

vehicles might want to increase the number of heartbeats sent during lane change or in certain risk areas, but how this is achieved is not clearly explained. Another interesting issue is that a proactive handover process is defined, based on the knowledge of road path and RSUs locations. Nothing is mentioned about RSU coordination and how it is done.

Bohm's protocol has many similarities with Tony Mak et al. [63], who proposed a variant to 802.11 Point Coordination Function (PCF) mode so it could be applied to vehicular networks. A control channel is proposed to exist where time is partitioned into periodic regulated intervals (repetition period). Each period is divided into a contention free period, also named CFP by the author (with the same meaning as Collision Free Phase used by Böhm), and an unregulated contention period (CP).

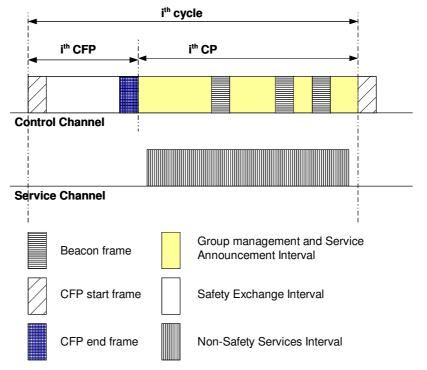


Fig. 3.13 - Control Channel and Service Channel during ith cycle (adapted from [63])

The scheme is shown in Fig. 3.13 and is similar to Böhm's, where each vehicle is polled by an RSU or Access Point (AP) during the CFP, similarly to the PCF of regular 802.11 [52].

Vehicles need to register and deregister so the polling list is kept. For this purpose a group management interval is created so that vehicles entering and leaving the region can notify the RSU.

RSU send a beacon in <sup>(i-1)</sup>th cycle so a CFP is created in the <sup>ith</sup> cycle. However this beacon is sent in the CP and contends with other communications. The authors propose that the beacon is repeated to decrease probability of reception failure of the beacon.

No schedulability analysis is made in [63] but the authors claim that the time between consecutive polls for vehicles in the RSU coverage area is bounded by T+ deltamax where deltamax is the maximum CFP duration.

# 3.3.2 RT-WiFi - TDMA layer

RT-WiFi [64] is a MAC protocol that aims to support real-time communications in IEEE802.11 networks in industrial environments. It allows a dynamic association of stations while supporting interference from non real-time devices. Real-Time (RT) stations are interconnected by a central coordinator (for the case of vehicular communications it could be an RSU), which has a global vision of all the network traffic. All stations use EDCA, but RT stations use the Force Collision Resolution (FCR) mechanism, which aims to favour RT stations when collisions occur between RT and non-RT stations. This is done by simply deactivating the backoff mechanisms of RT stations. This means that whenever a collision occurs between one RT station and one or more non-RT stations, there is a high chance that the RT station messages will be transmitted before the remaining messages. However, FCR does not solve the collision between RT stations.

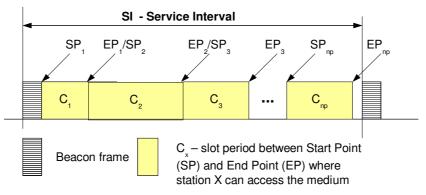


Fig. 3.14 - RT-WiFi TDMA Layer (adapted from [65])

For that purpose, a TDMA layer is added so that RT stations can coexist in the same communication environment. Each RT station will have a slot size for medium transmission and will only be able to transmit one message per Service Interval (SI). In order to support interferences from other devices operating in the same frequency and coverage area, the slot size from the TDMA layer can be dimensioned to have the size of the maximum number of retransmissions that we want to allow a station to do. This increases the probability of message delivery. The order and size of the slots can be variable, in order to optimize the usage of the medium also giving some flexibility to the system. Another claimed advantage is that RT-WiFi is capable of supporting real-time communication service by controlling only a small group of stations (RT stations), without the need to update all devices that operate in the same frequency.

No reference is made about the applicability of RT-WiFi on vehicular communications. It seems quite complex to implement due to the variable slot size. Besides that, the TDMA cycle grows linearly with the number of RT stations, which can be tricky if we think of a large number of vehicles.

#### 3.3.3 Vehicular Deterministic Access (VDA)

Rezgui and Cherkaoui proposed in [66] an adaptation of the Mesh Coordinated Channel Access (MCCA) standard (used in IEEE802.11s) for IEEE802.11p and named it VDA – Vehicular Deterministic Access. VDA aims at high-density scenarios and safety messages delivery within a two-hop range. The mechanism extends the typical 802.11p medium reservation procedure using schedule VDA opportunities (VDAops) within a two-hop neighbourhood. These VDAops are negotiated between neighbouring vehicles and then performed in multiples of time-slot units during the delivery traffic indication message (DTIM). Similarly to Böhm, the authors propose that the ratio of Contention Free Period and Contention Period can be adjusted dynamically.

VDA is V2V based and provides better results than regular IEEE802.11p and offers a bounded delay. In order to integrate non-enabled vehicles, the authors suggest an extended VDA protocol.

#### 3.3.4 Self-organizing TDMA (STDMA)

Although this protocol was not designed for I2V communications, we include it here since its approach solves some of IEEE802.11p MAC issues. In time slotted MAC approaches, the available time is divided into fixed length time slots and further grouped into frames. STDMA is in commercial use in a collision avoidance system for ships. It is a self-organizing MAC method, using a non-blocking time slotted MAC scheme. In most non-blocking time slotted approaches a random access channel is used for slot allocation, where part of the frame is used for slot allocation but STDMA uses another method: nodes listen to the frame and determine the current slot allocation, based on what is perceived as free and occupied slots in the frame.

STDMA follows a distributed approach, where ships send their position message in the automatic identification system (AIS). The AIS frame length is 1 minute and has 2250 slots. The update rate of the position messages depends on the speed of the ship (the higher the speed, the higher the update rate).

STDMA always grants channel access for all packets before a predetermined time, regardless of the number of competing nodes. It is scalable and the channel access delay is upper bounded.

When no slots are available, simultaneous transmissions are allowed based on position information, a node that is forced to select an occupied slot will transmit at the same time as another node situated furthest away from itself.

Studies [67] have shown that STDMA can be well adapted to the vehicular environment for V2V communications, although it requires tight synchronization through GPS or other global navigation system. Simulations were made using a frame length of 1 second and the possibility to change the number of slots from frame to frame. Obtained results show a lower probability of packet drop when using STDMA instead of CSMA/CA.

# 3.3.5 MS-Aloha

Ms-Aloha is another slotted MAC protocol specifically designed for VANET, intended for V2V communications. Similar to STDMA, all nodes must synchronize using GPS and they share a common periodic frame structure divided into slots. The number of slots is variable. There is a Frame Information Field (FI) containing information on how each node perceives each slot (free, busy, collision). The FI is meant to propagate network information over three hops. Each node infers the state of each slot both by direct sensing and by the correlation among the received FIs. Based on them, each node generates its own FI using the following mechanism:

- If node A receives a FI announcing slot J engaged by X, then A forwards it. If it receives two FI announcing the reservation by different nodes of the same slot J, A announces a collision in J.
- A node tries to reserve a slot simply by picking a free one, based on its direct channel sensing and on the FIs received.
- The reservation state of a slot is not forwarded more than two-hop far from the transmitter, in order to enable slot re-use.

The drawback of MS-Aloha is the overhead introduced by FI, which can be minimized by reducing the node identifier size to 8 bit and using a "label swapping" algorithm in order to reuse the identifier geographically.

# 3.4. Conclusions

In this chapter we described the IEEE802.11p / WAVE set of standards and the ITS-G5 set of standards in Europe, which are currently the more appropriate technologies to support safety vehicular applications, to the best of our knowledge. These standards share a common PHY and MAC layer, having their main differences in the upper layers. We showed that the main limitation of these standards for safety applications occurs in the MAC layer due to the CSMA/CA protocol, since when a large number of vehicles tries to communicate, medium collisions may occur causing an unbounded delay.

Protocol	V2V/I2V	Pros	Cons
Real-time I2V (Böhm)	V2I	-Guaranteed upper bound delay -Location based priority zones -Ratio between contention free phase and contention based phase is adaptable to circumstances	-RSU uses polling mechanism -not clear how vehicles change their warning message rate -RSU coordination is not defined
Multi-channel VANET	V2I/V2V	-no real-time analysis -basis for multi-channel WAVE proposal	-RSU uses polling mechanism -RSU Beacon must contend with other messages
RT-WiFi	N/A	-centralized mechanism -allows coexistence of RT stations and non-RT stations	<ul> <li>-no reference to vehicular environments</li> <li>-the RT cycle grows with the number of RT stations</li> <li>- no study yet on maximum number of RT stations it can allow</li> </ul>
Vehicle Deterministic Access (VDA)	V2V	-High density scenarios -Ratio between contention free phase and contention based phase is adaptable to circumstances -provides bounded delay	-Two-hop range
Self-Organizing TDMA	V2V	-Delay is upper bounded -Simulations proved lower probability of packet drop than regular CSMA/CA	-Requires GPS for tight node synchronization
MS-Aloha	V2V	-Scalable with upper bounded delay	<ul> <li>-Requires GPS for tight node synchronization</li> <li>Needs short identifier for each node to reduce overhead and a "label swapping" algorithm</li> </ul>

 Table 3.10 - MAC protocols for vehicular safety applications

We discussed a state of the art of MAC/PHY layer solutions for this problem, focusing on the ones that support infrastructured based safety applications using IEEE 802.11 Table 3.10 resumes the MAC protocols presented in this chapter. Most of the proposals are based on V2V communications, which offer some drawbacks, when compared to V2I communications.

It is our belief that users place more trust in a safety system that is offered by the motorway concessionary; a large transitory period is expected before all vehicles are equipped with fully compatible V2V communications; Finally, V2V protocols are quite complex to manage in a distributed way. Chapter 4.1 presents a detailed analysis on the advantages and disadvantages of V2V and V2I communications. In Table 3.10 there is only one proposal that is based on V2I communications and offers a guaranteed upper bound delay, which is the proposal from Annete Böhm, but the RSU coordination is not defined, and the fact that RSUs use a polling mechanism in order to attribute communication slots to vehicles, which does not work well when a number of vehicles need to report a safety event. For this reason and all the others presented above, we believe an alternative protocol based on V2I communications is needed to solve the MAC issues in IEEE802.11 and ITS-G5, particularly for dense scenarios. In chapter 4 we propose the V-FTT protocol that will be followed by all compliant OBUs in order to ensure an upper bound delay in safety communications.

# 4. Vehicular Flexible Time Triggered Protocol (V-FTT)

In this chapter we present our proposal to guarantee timely information about events that present a risk to driver safety. We start by discussing the advantages and disadvantages of using an infrastructure based approach for deployment of safety critical communications. We then present the original flexible time triggered protocol (FTT) that will serve as a basis for our proposed system architecture, an infrastructure based approach where the Road Side Units (RSUs) coordinate all safety events communications and On Board Units (OBUs) that dynamically register and deregister from the system. We end this chapter with a detailed description of the V-FTT MAC protocol.

# 4.1. Infrastructure based vehicle communications for safety applications

We've seen in the previous chapters that several vehicular safety applications have been devised in order to increase safety in road environments; some of these applications are based on vehicle-to-vehicle communications (V2V), others on vehicle to infrastructure communications (V2I), or both. In this subsection, we analyse the advantages and disadvantages of using V2V communications or V2I communications for the deployment of safety applications. Please note that we use V2I or I2V with the same meaning, since safety communication between infrastructure and vehicle is usually bidirectional.

Some advantages of deploying safety applications relying on V2V communications are:

- An infrastructure is not needed, which means it is cheaper and easier to deploy.
- In principle V2V offers lower latency than an infrastructure-based solution since the communication is directly from source to destination [68].
- V2V based networks are attractive for rural areas and developing countries, as it does not require roadside units and can be easily implemented.
- No specific protocol is required to coordinate different units.

However V2V communications present some strong disadvantages in what concerns safety applications:

- Proper work of V2V communications requires a certain market penetration before any effects or improvements can be shown. It was estimated that in order to make the network usable, at least penetration of 10% is needed. According to [69] and H. Krishnan, from General Motors it will take a few years (at least five) before we reach that value of penetration. [70] to [72].
- A V2V system may be vulnerable to a badly intended user that can broadcast some false information about safety events that cannot be validated by the infrastructure (possibly using data from other sensors).

- OBUs will have a processing overhead in some applications. For example, Cooperative Collision Warning receives information about position, velocity, heading and more from several surrounding vehicles [13]. An OBU must then compute these values with the current data from the vehicle, in order to decide if there is a collision risk or not.
- When using V2V communications alarm showers, also known as broadcast storm, can occur, overloading the medium, unless some protocol is enforced to avoid that situation [73].
- Because V2V communications are ad-hoc and totally distributed, there is no global vision of any zone.
- Protocols to enforce determinism in V2V communications, such as cluster membership and cluster leader election, are heavy in terms of the required communication rounds.
- Connectivity disruptions can occur due to quick topology network changes, vehicle speed, when the vehicle density is low or totally disconnected scenarios occur. As a consequence, vehicles are not always able to communicate to each other [74].
- Hopping might be needed in order to relay a message, increasing the end-to-end delay.
- V2V communications have privacy and security issues. In a pure V2V architecture, authentication and key management becomes extremely difficult to manage, as it requires a prior knowledge of each vehicle public key in order to verify users' identity. However, having a fixed identity can in turn raise a lot of privacy concerns [70].

In summary, V2V communications might be a solution in rural or low to medium dense areas where the road side unit has a higher cost per user. In urban or suburban areas, where traffic density and velocities are high and accidents are more probable to occur, it is better to deploy and maintain RSUs and use I2V communications, which can prevent the V2V issues already mentioned:

- Security is very important, in V2I communications the RSUs can behave as a broker, analyzing and editing the received vehicle data, validating safety events by cross-examining with other sources of information such as cameras, induction loops, or other available data, therefore minimizing the vulnerability problem.
- Using an infrastructure based approach solves the connectivity disruption problem and RSUs can also be used to improve positioning information as their position is well-known [75].
- Some vehicle manufacturers are developing proprietary solutions which do not favour communication capabilities among vehicles. I2V communications can solve this by having RSUs that can function as gateways between different vehicle communication systems.
- The processing overhead can stay on the RSU, meaning OBU equipment can be simple and inexpensive. This is in fact a benefit for generalization of vehicle equipment.
- The RSU can control the medium access in order to avoid the broadcast storm problem.

- RSUs (or an entity that coordinates RSUs) can have a global vision of the communication zone and therefore make better decisions.
- To solve privacy and security issues a centralized key distribution agent can assign disposable temporary identities to vehicles OBUs. This centralized agency can (via RSUs) verify the identities of the OBUs. Even in the case of a hybrid approach, where V2V and V2I communications co-exist, the need for a V2I infrastructure is critical [70].

Adding to all of the above, it is our strong belief that a long period of time is expected before all the circulating vehicles are factory equipped with IEEE802.11p/WAVE or other wireless communication system that allows inter-vehicle communication for the purpose of safety applications. In this transitory period RSUs will play a major role in implementing safety wireless applications, particularly if vehicles can be fitted with on-board units (OBUs) that are as inexpensive (or funded) as the current vehicle equipments used for electronic tolling. We also believe that users will place more trust on a safety system managed by the road infrastructure than a total ad-hoc V2V system. Therefore we are going to base our application scenario in an infrastructure-based approach and will describe it in the next sub-sections.

# 4.2. The Flexible Time Triggered Protocol (FTT)

In this sub-section we describe the Flexible Time Triggered Protocol (FTT), which is the basis of our vehicle protocol proposal in the next sub-section. FTT was initially developed to support both time and event triggered traffic in a Controller Area Network (CAN), in an efficient, flexible, and timely way, of delivering real-time communication services [76]. Temporal isolation of both types of traffic is enforced by allocating bandwidth exclusively to each type of traffic. The bus time becomes, then, an alternate sequence of time-triggered and event-triggered phases. The maximum duration of each phase can be tailored to suit the needs of a particular application.

Moreover, the FTT protocol supports dynamic communication requirements by using online scheduling with on-line admission control. On-line scheduling allows the communication system to respond to communication requirements changes during run-time. The on-line admission control assesses, before commitment, if those changes can jeopardize the traffic timeliness. In such case they are rejected, therefore the system timeliness is always maintained.

The FTT paradigm uses an asymmetric architecture, comprising one master and several slave nodes. The master node is responsible for the management and coordination of the communication activities and it may also execute application software. The slave nodes execute the application software as well as the network protocol. The master node implements the centralized scheduling concept, in which the communication requirements, message scheduling policy, QoS management and on-line admission control are localized in one single node, offering a complete knowledge of the instantaneous system requirements as well as the possibility to make atomic changes over them. Although such a centralized architecture is considered by many as inadequate to applications with safety and availability requirements, due to the single point of failure formed by the master node, the use of redundant backup masters with appropriate election and synchronization mechanisms allows to overcome this situation.

The scheduling decisions taken in the master are broadcast to the network using a special periodic control message called trigger message (TM). Slaves decode the TM and transmit their messages, if instructed to, in a master-slave fashion. The typical overhead of master-slave communication is substantially reduced by using one single TM to trigger the transmission of several slave messages, possibly from distinct slaves. This scheme is referred as a master/multi-slave transmission control.

By using centralized scheduling and consistent interfaces between the scheduler, dispatcher, QoS manager and admission control, together with the distribution of the schedule decisions by means of the trigger message, the system gets a high degree of flexibility since:

 Changes on the message set properties, resulting for instance from the admission or removal of message streams, are performed internally on the master node and distributed by the network nodes via the trigger message, thus the synchronization of the update among the network is intrinsically guaranteed.

- The master holds enough information to know the demands of real-time traffic and how much leeway the system has, therefore can safely allocate bus bandwidth to other kinds of traffic without risking the timeliness of real-time traffic.
- The station nodes do not need to be aware of the particular scheduling policy in use, since they strictly follow the schedule conveyed in the trigger message.

In the FTT paradigm the bus time is slotted in consecutive fixed duration (**E**) time-slots, called Elementary Cycles (ECs). The EC starts with the reception of the TM, and all nodes are synchronized by the reception of this message. Within each EC are defined two consecutive windows, synchronous and asynchronous, that correspond to two separate phases (refer to Fig. 4.1).

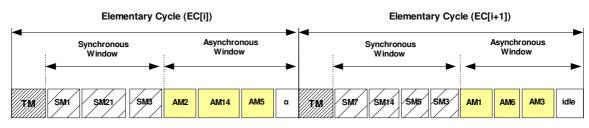


Fig. 4.1 - FTT Elementary Cycle structure (adapted from [9])

The synchronous window conveys the time-triggered traffic, specified by the trigger message. The reason why the protocol is named *flexible* is due to the possibility of allowing the length of the synchronous window (lsw[i]) to vary from EC to EC, according to the number and size of messages scheduled for each particular EC. It is however possible to impose a limit to the maximum size of the synchronous window (LSW), and thus grant to the asynchronous window a minimum guaranteed bandwidth share. The time-triggered traffic is subject to admission control and thus all messages accepted by the system have its timeliness guaranteed (dynamic planning-based scheduling).

The asynchronous window has a duration (law[i]) equal to the remaining time between the EC trigger message and the synchronous window. It is used to convey event-triggered traffic, herein called asynchronous because the respective transmission requests can be issued at any instant, by the application software. Unlike the synchronous traffic, the arbitration within the asynchronous window is not resolved by the master node. The only information supplied in the trigger message (either implicitly or explicitly, depending on the particular implementation) is the duration of the asynchronous window. The use of deterministic medium-access policies combined with the possibility to define a minimum guaranteed bandwidth to the asynchronous traffic allows, when required by the application, to preanalyze its requirements and compute whether a given set of real-time asynchronous messages can meet its deadlines in worst-case conditions.

In order to maintain the temporal properties of the time-triggered traffic, such as composability with respect to the temporal behaviour, the synchronous window must be protected from the interference of asynchronous requests. A strict temporal isolation between both phases is enforced by preventing the start of transmissions that could not complete within the respective window. Since the message lengths are not correlated neither with the EC duration neither with the synchronous and asynchronous window durations, a short amount of idle-time ( $\alpha$ ) may appear at the end of the asynchronous window.

Any Scheduling policy can be easily implemented for the synchronous messages, e.g., Rate-Monotonic, Deadline-Monotonic, Earliest Deadline First, etc.

A summary of all FTT properties and the description of its subsystems, Synchronous Messaging System (SMS) and Asynchronous Messaging System (AMS) can be found in [11] as well as QoS management in [77].

Although the FTT protocol was initially conceived for use with CAN, it was successfully adapted to wireless communications protocols giving origin to W-FTT [78]. In the next subsections we will present our proposed architecture and proposal for successfully adapting the FTT paradigm to the vehicular environment.

# 4.3. Proposed Architecture and Protocol

In section 4.1 it was decided to use an infrastructure-based approach to support safety applications. In terms of V2I communications, low traffic density scenarios have no MAC issues to solve, since all vehicles have the chance to communicate with the infrastructure. High traffic scenarios at low travelling speeds can cause some issues for non-safety communications due to delays, but at these speeds, time critical safety events (in terms of maximum latency) have a lower probability of occurring.

The particular scenario that can cause some problems for safety events dissemination occurs when a high number of vehicles travelling at high speeds need to communicate. This is the case for urban scenarios and motorways near urban areas. Urban scenarios will not be considered in this work since speeds are considerably lower than in motorways, and several studies have already been done in urban fields [13][79][80]. Furthermore, it can be assumed that the mechanisms adopted for urban motorways can be applied to urban scenarios.

We will consider the scenario of motorways near urban areas, since it is common for this type of motorways to have peak hours of large traffic with vehicles travelling at high speeds. This combination of high speed and high traffic means we have high probability of event occurrence and a large number of vehicles that need to be informed and/or to communicate an event. We start by presenting a possible model for RSU deployment in the following paragraphs.

#### 4.3.1 Model for RSU deployment in motorways

In order to guarantee timely information about events that present a risk to driver safety, we define a model for RSU deployment. We expect the deployment of RSUs to be expensive, assuming they are connected among each other by some kind of wired backbone network, therefore it is important to carefully choose their placement. In [81] an optimal strategy was devised, based on vehicle density, average speed and accident probability. A more pragmatic approach is to start RSU deployment in dense traffic areas (e.g. motorways near urban areas) and accident-prone zones such as dangerous curves or specific road sections such as tunnels or bridges. The road locations that have a record of a large number of crashes are also known as blackspots and we will use this term from this point on [82][2]. In order to be effective, each blackspot zone must have total RSU coverage.

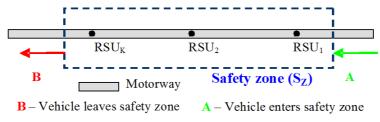


Fig. 4.2 - Definition of Safety Zone (Sz)

In our work, we define specific and limited areas covered by RSUs which will be entitled Safety Zones (S<sub>z</sub>).

Whenever a vehicle enters the  $S_z$  (A), it must register itself in the infrastructure. So the RSUs know exactly how many vehicles exist in the Safety Zone. In this process of registration each vehicle's OBU will be assigned a temporary identifier ( $t_{ID}$ ). The registration process in the Safety Zone can rely on a simple protocol: whenever an unregistered OBU receives the broadcast message from RSUs (containing their ID) it will ask the RSU for its temporary ID in the S<sub>z</sub>. A possible solution is to install RSUs in all entries and exits of the motorway, to keep track of all vehicles [83]. Vehicles travel at low speeds in the motorway access ramps which should allow the use of the regular MAC protocol with contention. Each time a vehicle exits the Safety Zone, its  $t_{ID}$  can be reused. The OBU identifier management is out of the scope of our work so it will not be further detailed. Each OBU will be assigned to an RSU during its travel in the S<sub>z</sub>, i.e., each RSU shall be responsible for scheduling the communications of the set of vehicles circulating in its coverage area.

We can consider this scheme as a distributed master/multi-slave approach, where RSUs can behave as a single entity having all the knowledge about the safety zone. All safety communications in the Safety Zone will therefore be controlled by the RSUs, which will process all the information received from the vehicles and if needed, will cross-check it with their own information obtained from other sources (e.g. sensors, cameras). Whenever a vehicle leaves the  $S_z$  it will be deregistered from the system (B).

For example, consider an accident occurs in a motorway. A critical warning will be sent to all the vehicles travelling behind the accident and simple information sent to the vehicles in the opposite direction. Vehicles that have already passed through the accident site should not be warned. For this to happen either RSUs send unicast or multicast warnings or they broadcast warnings and the OBUs take care of selecting if the information is relevant or not.

#### 4.3.2 RSU Infrastructure Window (IW) and RSU Coordination Scheme

In order to control the traffic from OBUs, RSUs will transmit special messages that contain the information required to instruct OBUs to issue their messages in specific instants in time so that they don't conflict. These special messages are called Trigger Messages (TM) and they inherit from the original Flexible Time-Triggered protocol definition ([9][77]) and further related research. RSUs shall schedule OBUs communications and therefore they must be able to coordinate their own transmissions. We assume that RSUs are fully interconnected by a communication link (e.g. fibre optics backbone) that enables coordination among them. We also assume that RSUs should be able to listen to vehicles circulating in both directions and their communication radius is considered to be circular.

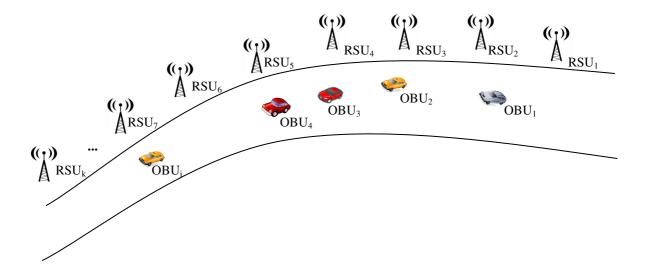


Fig. 4.3 - RSU distribution along the motorway

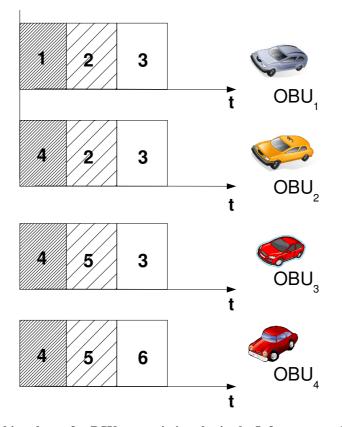


Fig. 4.4 - Round Robin scheme for RSU transmission slot in the Infrastructure Window (S $_{\rm IW}\!=\!\!3)$ 

RSUs use the same channel to transmit Trigger Messages, so there is the need to guarantee that RSUs transmissions do not interfere with each other. If the spacing between RSUs is enough to avoid interference no coordination is needed but in the other hand this will most likely create "shadow" zones where OBUs can not listen to RSU transmissions. Besides, an RSU coverage range can vary due to physical constraints or due to power control issues, which could create overlap in the coverage range and possible frame collisions.

Our RSU coordination proposal assumes that RSUs will more likely be distributed across the motorway, in such a way that in most cases an OBU can hear 2 or 3 RSUs transmissions. RSUs will use the same channel and transmit in a reserved window that we call Infrastructure Window (IW). Within this window we use a slotted approach with time slots reserved for each RSU. We assume that RSUs are interconnected using fibre optics or any other wired mean of communications, which can be used for RSU synchronization in order to respect each RSU slot boundaries. The method of synchronization is out of the scope of our work and further we will define a mechanism to schedule RSU transmissions taking into consideration overlaps in the transmission range of such units. This approach avoids RSU frame collisions and enforces redundancy, since several TMs for the same OBU can be transmitted (one per RSU).

Therefore, consider that:

- R<sub>z</sub> is the total number of RSUs in the Safety Zone (S<sub>z</sub>).
- S<sub>IW</sub> is the number of slots to be used in the RSU Infrastructure Window (one slot per RSU), corresponding to the maximum number of simultaneous RSU transmissions that an OBU can listen to.

If RSUs are numbered according to their geographical position,  $RSU_i$  with i=1 to  $R_z$  then  $RSU_i$  will transmit in a slot that can be calculated by (2):

$$Slot(RSU_i) = ((i-1)\%(S_{IW})) + 1$$
 (2)

As an example, if  $S_{IW}$  is 3 this means  $RSU_1$  will transmit in slot 1,  $RSU_2$  in slot 2,  $RSU_3$  in slot3,  $RSU_4$  will reuse slot 1 as  $RSU_4$  is not in the transmission range of  $RSU_1$  (refer to Fig. 4.4).

Refer to Fig. 4.3: assuming this coordination scheme, OBU<sub>2</sub>, for example, listens to RSU<sub>2</sub>, RSU<sub>3</sub> and RSU<sub>4</sub>, while OBU<sub>3</sub> listens to RSU<sub>3</sub>, RSU<sub>4</sub> and RSU<sub>5</sub>.

In summary, we use a round-robin scheme to reuse RSU transmission slots, which is perfectly suitable for the case of fixed stations such as RSUs, where we know their exact location and are not expecting new RSUs to be deployed. Other schemes could possibly be used, such as Slotted Aloha [84], but at this point it would add unnecessary complexity, since RSU location and coverage ranges are well determined.

Each RSU will transmit its Trigger Message in its transmission slot. The Infrastructure Window (IW) consists in the set of various Trigger and Warning Messages sent by adjacent RSUs, which transmissions can be heard simultaneously by an OBU, in a safety zone. The length of IW is shown in (3), meaning that it is directly proportional to  $S_{IW}$ .

 $IW = S_{IW*}(IFS+RSU_{slot})$ 

The length of IW varies from (IFS+RSUslot) to (SIW \* (IFS+RSUslot)), where IFS represents an inter-frame space and SIW is the maximum number of adjacent RSUs which transmissions can be heard simultaneously by an OBU.

All OBUs receive one or more TM from the RSUs and shall search each TM for its temporary identifier  $(t_{1D})$  in order to know if and when to transmit the OBU safety message.

# 4.3.3 Vehicular Flexible Time Triggered (V-FTT) Protocol Presentation

To ensure safety we must guarantee that, in a worst-case scenario, all vehicles in the Safety Zone have the opportunity of transmitting information in useful time, either there are events to report or not.

Our thesis is that it is possible to guarantee that information about events that can put at risk driver safety is transmitted in due time, and, for this to happen, we propose an infrastructure based approach where RSUs are coordinated among themselves and where vehicles OBUs' dynamically register and deregister from the system.

As explained earlier in the model for RSU deployment, we assume that all OBUs register themselves in the Safety Zone. This means that every registered OBU will transmit its information (speed, position, any safety event) only in the instants determined by the RSUs.

The RSUs are responsible for two main operations:

- To schedule the transmission instants of the vehicle OBUs in what concerns the safety frames they have to broadcast during the stay in the Safety Zone. Each OBU will have only one opportunity of transmission per transmission period, but if an OBU is in the coverage range of more than one RSU, it will receive information about its transmission slot from several RSUs. This increases probability of successful reception by the OBUs.
- To receive information from the OBUs, edit that information and publish the edited safety information in the adequate places and instants (might be a broadcast or might be a communications to selected vehicles(s)).

From the communications point of view, the OBUs must:

- Listen to the RSU transmissions (at least one RSU should be heard) and retrieve the safety information and dispatching information.
- Always transmit its specific safety frame in the time window defined by the RSUs.

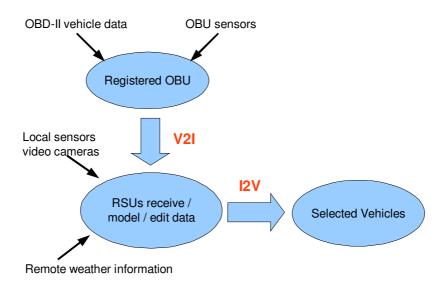


Fig. 4.5 – Vehicle information flow diagram

Each time an RSU receives any OBU safety event or information, it shall cross-validate it with its own sources of information, as shown in Fig. 4.5 (e.g. cameras, induction loops, infrared sensors, other vehicles). The information broadcast by the RSU must be trustworthy. This is needed in order to avoid possible intrusions where a badly intended user can try to cause a false alarm situation. RSUs must be very careful in validating OBU events and editing the information that is broadcast to the vehicles in the Safety Zone. Consider, for example, that a hacker tries to send an Emergency Electronic Brake Light (EEBL) message. If no editing was made, several vehicles would receive a false alarm which could lead to dangerous situations or even accidents. This edition operation must obviously be performed in bounded time so that the results can be transmitted to the OBUs in real-time. Due to the possibility to install high performance devices at the infrastructure as well as high throughput communication links with real-time operation capabilities, this operation, although complex, seems possible and with a controlled cost when compared with the construction and maintenance costs of the motorway. So, we consider it a viable proposal. However this is out of the scope of this work as it is focussed on communication protocols.

Going back to the RSU to OBUs communications, please note that non-registered vehicle OBUs (or non V-FTT compliant OBUs) will also receive safety information from RSUs. They will, however, not be able to transmit information according to the proposed protocol, although they can still contend for transmission without any guarantees in the appropriate window.

The V-FTT protocol will have an elementary cycle (EC), divided into several windows:

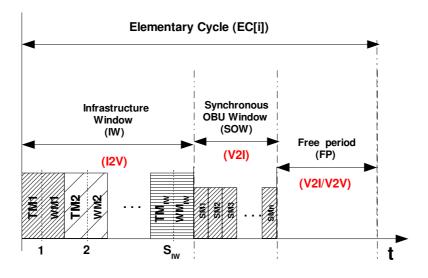


Fig. 4.6 - Proposed Vehicular FTT (V-FTT) protocol

- Infrastructure Window (IW) based on the information received from the OBUs and some cross-validation with its own sources, the RSUs will construct a schedule for OBU transmissions. For that purpose each RSU periodically broadcasts a Trigger Message (TM) containing all identifiers (t<sub>ID</sub>) of the OBUs allowed to transmit safety messages in the next period of OBU transmission, named Synchronous OBU Window. Based on OBU information and cross-validation, RSUs identify safety events and send warnings to OBUs belonging to vehicles affected by those specific safety events (protocol enabled and others). The warning messages (WM) have variable duration, depending on the number of occurred events. Each RSU therefore transmits its TM and WM in its respective RSU transmission slot. The number of RSU slots is defined in the network configuration, i.e., how many simultaneous RSU transmissions can be received. Since each RSU slot will have a fixed size, it is important to fairly distribute slot time to TM and WM. There will be no medium contention during the IW.
- Synchronous OBU Window (SOW) this is where OBUs have the opportunity to transmit information to RSUs (V2I) without medium contention. Each OBU will have a fixed size slot (SM) to transmit vehicle information (speed, acceleration, heading, etc.) and/or a safety event (e.g. EEBL). The Synchronous OBU Window duration is variable according the needs of communication, thus the name *flexible* in the protocol. Each OBU will have a maximum of one slot per SOW, in order to ensure a fair access to the medium by all OBUs.
- Free Period (FP) In the free period a contention period is ensured, where nonenabled OBUs are able to transmit safety messages and RSUs and OBUs are able to transmit non-safety short messages. Enabled OBUs may also transmit safety messages but without any guarantees since they have to contend for the medium. A minimum size for the FP must be guaranteed in order to reserve a contention period in the Elementary Cycle. The Free period can also be used for V2V communications if needed.

# 4.3.4 Trigger Message (TM)

We now detail the contents of a trigger message (TM). Similarly to the original FTT protocol, a trigger message is a frame broadcast by each RSU that contains information about the OBUs that are allowed to transmit safety messages in the next period of OBU transmission (Synchronous OBU Window). This TM may be transmitted by more than one RSU in order to increase redundancy. Other measures can be taken in order to avoid the situation where one OBU fails to hear the TM, but these are out of the scope of our work.

Previously, we have decided that the coordination scheme between RSUs for transmission in the infrastructure window would be a round-robin scheme. This implies fixed size transmission slots for each RSU, to ensure that each RSU knows the exact position of its transmission slot. The RSU slot size will limit the maximum TM length, therefore the choice of the maximum TM length is crucial, since, if we choose a large enough length to accommodate all vehicles travelling inside a RSU coverage, bandwidth might be wasted when the number of vehicles served by an RSU is smaller than the TM number of slots. On the other hand, if we choose a small maximum length for TM it might not be enough to schedule all vehicles served by the RSU. We will discuss the TM length later when we discuss the Synchronous OBU window.

The figure below depicts an example of a trigger message frame, which starts with a field that identifies the RSU ( $RSU_{ID}$ ), followed by an indication of when the Synchronous OBU window should start ( $t_{SOW}$ ), and then by all temporary identifiers ( $t_{ID}$ ) of the OBUs served by this RSU that are allowed to transmit in the next OBU Window. The transmission slot number ( $tr_s$ ) in the OBU window is shown together with each  $t_{ID}$ , so that each OBU knows when to transmit.

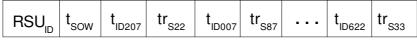


Fig. 4.7 - Trigger Message frame

- t<sub>sow</sub> period of time between the beginning of this Trigger Message frame and the beginning of the Synchronous OBU window, measured in μs.
- **RSU**<sub>ID</sub> Unique identifier for a Road Side Unit (RSU).
- $t_{ID}$  [1 to  $N_{max}$ ] temporary OBU Identifier, from 1 to  $N_{max}$ , which is the absolute maximum number of vehicles that can be served simultaneously in the Safety Zone.
- $\mathbf{tr}_{s}$  [1 to SOW<sub>slots</sub>] OBU transmission slot, from 1 to SOW<sub>slots</sub>, which is the maximum number of transmission slots allocated for the next Synchronous OBU window.

In Fig. 4.7 an example is shown where OBUs with ID 207, 007 and 622 are shown to be allowed to transmit in slots 22, 87 and 33.

As an example, for SIW=3, an OBU may receive up to 3 trigger messages but RSUs coordinate themselves in order to ensure that the OBU transmission slot in the Synchronous OBU Window (SOW) is the same in all TMs, since each OBU will only transmit once per SOW.

# 4.3.5 Warning Message (WM)

Warning Messages are used by the infrastructure to warn vehicles (I2V) about events that can be possibly dangerous. Based on OBU information and cross-validation, RSUs identify safety events and send warnings to OBUs belonging to vehicles affected by safety events (protocol enabled and others).

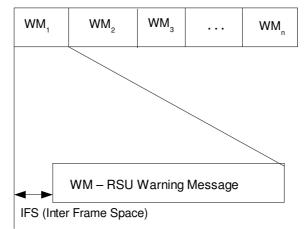


Fig. 4.8 – RSU Warning Messages (WM)

A possible safety message has to include the following fields [85]:

- eventID.
- sourceID.
- transmitterID.
- location.
- additional info.

An OBU receiving the safety message shall use the location data to find out if the event is "in front" or "behind" its travel path. This is done by comparing the event location with the current and also recent vehicle locations.

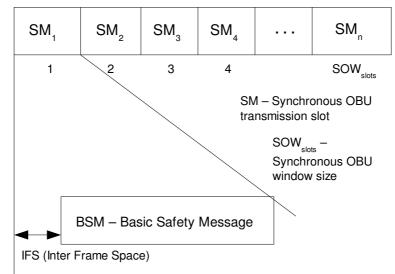
Most of the safety applications will only need the first four fields, while others (such as curve speed warning) need to send additional data. This means Warning messages (WM) have a variable size, contrarily to the Synchronous Messages.

We discuss next the Synchronous OBU window contents, where OBUs have the opportunity of transmitting their safety information.

#### 4.3.6 Synchronous OBU window (SOW)

The OBU window consists in the synchronous V2I window, divided into fixed size OBU slots (SM) where authorized OBUs must transmit their basic heartbeat information. "A heartbeat message is a message sent from an originator to a destination that enables the destination to identify if and when the originator fails or is no longer available" [86]. The

information transmitted includes for example vehicle speed, vehicle acceleration, vehicle position, along with any set of events detected by the vehicle (hard braking, malfunction). We shall define this OBU payload as a Basic Safety Message (BSM).



Please refer to the next figure, where the Synchronous OBU window is detailed.

Fig. 4.9 – Synchronous OBU window frame

The number of transmission slots in the Synchronous OBU window size (SOW<sub>slots</sub>) is shown in (4):

**SOW**<sub>slots</sub>: 0 to 
$$[(S_{IW} * N_{VRSU}) - ((S_{IW} - 1)* N_{Vint})]$$
 (4)

where:

- $S_{IW}$  is the maximum number of adjacent RSUs which transmissions can be heard simultaneously by an OBU.
- **N**<sub>VRSU</sub> is the maximum number of vehicles served by an RSU.
- **N**<sub>Vint</sub> is the union of all sets of vehicles that can listen simultaneously to more than one RSU in a set of adjacent RSUs.

The number of transmission slots in the Synchronous OBU window (SOW<sub>slots</sub>) is variable, in order not to waste bandwidth. It could even be zero in case there are no vehicles in the area covered by the RSUs. Its maximum size will be the number of vehicles covered by the RSUS, which will not reach  $S_{IW} * N_{VRSU}$  because OBUs will exist that can listen simultaneously to two or more RSUs, and each OBU will only have one opportunity to transmit per OBU window. This means RSUs must synchronize in case they serve common OBUs in order to assign them in the same slot in the OBU window. We remind again that the RSU coordination scheme is out of the scope of our work.

Ideally each vehicle should have the opportunity to transmit its heartbeat data (speed, position and events) once per Elementary Cycle (EC), but in dense scenarios this might have to be re-evaluated. In that case a scheduling mechanism will be needed in order to allow fair transmission opportunities for all vehicles, and time restrictions are likely to exist due to the low latency needs of safety applications. The allocation of OBU slots must take in account the available bandwidth.

We now present the contents of each Synchronous Message (SM), where each OBU must transmit important data and possible safety occurrences. We base our OBU payload in the standard messaging set for DSRC [58], and use the same denomination: Basic Safety Message (BSM), but with some modifications, based on the dynamic data of the Cooperative Forward Collision Warning defined in chapter 2 [13] and the Vehicle Safety Extension Data Frame referred by [49].

Field description	Number of bits
MessageID	8
MsgCount	8
Temporary ID (t <sub>ID</sub> )	16
TimeStamp – GPS Milliseconds in week	32
Time Stamp – GPS week number	16
Vehicle Acceleration Set: longitudinal, lateral, vertical acceleration and yaw rate	56
Vehicle Heading	16
Vehicle Position – Longitude	32
Vehicle Position – Latitude	32
Vehicle Position – Elevation	16
Positional Accuracy	32
Vehicle Transmission and Speed	16
Brake System Status	32
Turn Signal Status- Right	1
Turn Signal Status- Left	1
Throttle position	8
Steering Wheel angle	8
System Health	4
Vehicle Size	24
EventFlags	32

# Table 4.1 - OBU slot payload - Basic Safety Message (BSM)

This OBU payload of 390 bits provides enough information about the vehicle to the RSU in the Safety zone, including the possibility of a safety event warning. An example of the event flags field is shown in Table 4.2.

Table 4.2 - OBU Safety Events Flag Table	
Field description	Bit
Crash detection	0
Airbag deployment	1
Rollover occurrence	2
Vehicle malfunction	3
Road condition warning	4
Hard brake	32

 Table 4.2 - OBU Safety Events Flag Table

# 4.4. V-FTT Protocol Details

After giving a V-FTT protocol overview we will now formalize and develop some concepts presented in the previous sub-section.

#### 4.4.1 Trigger Message size

In the registration process, each OBU receives a temporary unique identifier  $(t_{ID})$  to be used during its travel through the Safety Zone (S<sub>Z</sub>). OBU MAC addresses could be used for the same purpose but a shorter sized ID is more bandwidth efficient.

Number of bits of  $t_{ID}$  8 to n

The number of bits of  $t_{ID}$  will depend on the absolute maximum number of vehicles that can travel simultaneously in the Safety Zone,  $N_{max}$ , which depends on the Safety Zone characteristics (safety zone distance, number of lanes, etc.).

t<sub>ID</sub> is then related to N<sub>max</sub>:

Number of bits of 
$$t_{ID} = \left\lceil \log_2(N_{\text{max}}) \right\rceil$$
 (5)

Number of bits of  $t_{ID} = \lceil \log_2(N_{\max}) \rceil$ 

In order to calculate  $N_{max}$  we need to know the safety zone characteristics:

- $l_{Sz}$  0 to x (m) length of Safety Zone (m).
- n<sub>lanes</sub> [1, y] number of lanes for each travel path in motorway.
- V<sub>length</sub> average vehicle length (m).
- Tr<sub>length</sub> average Truck length (m).
- v<sub>spacing</sub> average spacing between two consecutive vehicles (m). The spacing between vehicles is a function of vehicle speed and traffic density.
- tr<sub>perct</sub> [0, 1] percentage of trucks among the total number of vehicles.
- n<sub>Sz</sub> [0, Nmax] number of vehicles in the safety zone, this number can vary depending on traffic conditions and presence of trucks.

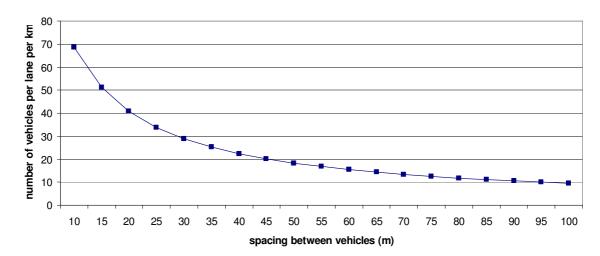
The number of vehicles in the safety zone is then shown in (6).

$$n_{S_{Z}} = \frac{l_{S_{Z}}}{\left(\left(V_{length} * \left(1 - tr_{perct}\right) + Tr_{length} * \left(tr_{perct}\right)\right) + v_{spacing}\right)} \times n_{lanes}$$
(6)

 $N_{max}$  occurs when no trucks are present (tr<sub>perct</sub>=0) and v<sub>spacing</sub> is minimum which simplifies the previous equation into (7):

$$n_{S_{Z}} = \frac{l_{S_{Z}}}{\left(V_{length} + v_{spacing}\right)} \times n_{lanes}$$
(7)

In the next figure a graphical representation is shown where the number of vehicles per lane per km can be seen in function of the average spacing between vehicles.



#### average vehicle length=4,58m

Fig. 4.10 - Number of vehicles per lane per km for an average vehicle length of 4,58m

N<sub>max</sub> is the maximum possible number of vehicles in the safety zone shown in (8):

$$N_{\max} = \max(n_{S_z}) \tag{8}$$

In order to determine the size of a Trigger Message, we must determine the following:

- Number of bits of  $t_{SOW} = \lceil \log_2(IW) \rceil$ ; where the maximum length of the Infrastructure Window is (SIW \* (IFS + RSU<sub>slot</sub>)).

- Number of bits of  $RSU_{ID} = \lceil \log_2(n_r) \rceil$ , where n<sub>r</sub> is the total number of RSUs deployed in the Safety Zone.

The number of RSUs depends on the length of the Safety Zone  $(l_{Sz})$  and the coverage range of each RSU,  $C_r$ . This is shown in (9):

$$n_r = \left\lceil \frac{ls_z}{C_r} \right\rceil \tag{9}$$

- Number of bits of  $t_{ID} = \left[ \log_2(N_{\text{max}}) \right]$
- Number of bits of  $t_{rs} = \lceil \log_2(SOW) \rceil$ , where SOW represents the maximum length of the Synchronous OBU Window.

Our approach is to use a worst-case scenario where the maximum number of OBUs that can appear in a TM is majored by the maximum number of vehicles served by an RSU,  $N_{VRSU}$ . In other words, ideally we would like an RSU to be able to include all vehicles in its coverage area in its Trigger Message. Bandwidth limitations will most likely pose a limit for the number of OBU slots in the OBU window and consequently limit the length of the TM.

If we name the maximum number of OBUs that can appear in a TM as  $N_{VTM}$  we get in (10):

$$TM = \lceil \log_2(IW) \rceil + \lceil \log_2(n_r) \rceil + N_{VTM} \times (\lceil \log_2(N_{max}) \rceil + \lceil \log_2(SOW) \rceil)$$
(10)

## 4.4.2 Synchronous OBU Window length (Isow)

After determining the size of TM we can also determine the length of the Synchronous OBU window ( $l_{sow}$ ). We start by rewriting SOW<sub>slots</sub> in function of N<sub>VTM</sub> in (11):

SOW<sub>slots</sub> 0 to 
$$[(S_{IW} * N_{VTM}) - ((S_{IW} - 1)* N_{Vint})]$$
 (11)

 $N_{Vint}$  (shown in (12))is the union of all sets of vehicles that can listen simultaneously to more than one RSU in a set of adjacent RSUs.

$$N_{V \text{int}} = \bigcup_{i=1}^{(S_{ST}-1)} S_{RSU_i} \cap S_{RSU_{i+1}}$$
(12)

We conclude that the determination of  $N_{VTM}$  is crucial for this protocol, since this will influence the value of SOW<sub>slots</sub> and consequently the length of synchronous window,  $l_{sow}$ , shown in (13):

$$l_{sow} = SOW_{slots} \times (IFS + BSM) \tag{13}$$

We recall that each elementary cycle (EC) is divided into an Infrastructure Window (IW), a Synchronous OBU Window (SOW) and an asynchronous free period (FP), in (14):

$$E = IW + SOW + FP \tag{14}$$

The Free Period (FP) corresponds to the remaining time in the Elementary Cycle after the Infrastructure Window and the OBU window. We must ensure that FP has a minimum guaranteed size in order to allow non V-FTT communications to happen. FP<sub>min</sub> is shown in (15):

$$FP_{\min} = \sigma \times E \text{ where } \sigma \in ]0,1[$$
(15)

# 4.5. Conclusions

In this chapter we presented our protocol proposal, the Vehicular Flexible Time Triggered Protocol (V-FTT), which is an adaptation of the FTT protocol to wireless vehicular communications. Our approach is infrastructure based in order to guarantee road safety, data privacy and safety events timeliness delivery in high vehicle density scenarios. We presented a model where RSUs are deployed near motorway blackspots and are responsible for scheduling OBU communications as well as broadcasting safety events. The initial OBU registration process in the Safety Zone relies on the motorways geography: all motorways have access ramps, therefore RSUs must be present in all entries and exits of the motorway, to keep track of all vehicles [83]. Vehicles could use any non V-FTT MAC protocol to register themselves in the Safety Zone using the Free Period. The Free Period can also be used for any V2V communication if needed. We then detailed and formalized the V-FTT protocol, including the definition of the Basic Safety Message (BSM) that every OBU must periodically send to the RSUs.

Our definition of the V-FTT protocol has some issues that must be dealt with. For instance, since we are using a wireless medium, there might be hidden terminal and exposed terminal problems. Another important issue is how to ensure that OBU information is credible. Security is very important, so RSUs must have a mechanism to check OBUs identity and cross-validate the received data with other means (cameras, induction loops, even information from other OBUs or RSUs). Data privacy is also very important, so all communications should be encrypted, in order to protect information. OBU certificates management in order to guarantee identity is out of the scope of our work but various works can be referenced on this subject ([87] to [90]). Note that security is important to the V-FTT protocol since cryptographic operations need to be time bounded.

In the case an RSU does not have enough OBUs in its coverage area to fill the Trigger Message, the space can be used by the RSUs to broadcast safety warnings (WM), so that the medium keeps busy to OBUs that are non-compliant to the protocol. On the other hand, we defined the maximum size of the SOW transmission window by considering a worst case scenario where all OBUs in the area covered by the RSUs have the chance of transmitting in one Elementary Cycle.

If an RSU is responsible for all OBUs in its coverage area, a handover process must be thought, in order for an RSU to pass away information and responsibility of an OBU to the following RSU in the motorway. The fact that vehicles follow a known path (motorway) and that the RSUs know the speed and positions of their (under control) OBUs can be very useful for the handover process [91].

To determine the RSU coverage area, a compromise must be made between coverage area and terminal (vehicle) capacity. More power can augment the area but will most likely increase channel congestion, while lower transmission power implies fading and loss of packets, which is not acceptable for safety critical applications. In the next chapter we will propose an adaptation of the protocol to the IEEE802.11p/WAVE standard and its European equivalent (ITS-G5). For that purpose we will consider worst-case scenario definitions in order to see if the V-FTT protocol provides bounded delay in communications.

# 5. Supporting V-FTT on top of vehicular standards

After proposing the V-FTT protocol in the previous chapter we will now study how V-FTT can be supported by the IEEE 802.11p/WAVE standard for vehicular communications, as well as the ETSI-G5 standard. We will start by quantifying some of the protocol characteristics, such as the RSU coverage area and overlapping range between RSUs. That will allow us finding out the maximum number of vehicles that can be present in each RSU coverage. We define a worst-case scenario where we attribute slots for all OBUs travelling in the zone covered by  $S_{IW}$  RSUs, where  $S_{IW}$  is the maximum number of adjacent RSUs which transmissions can be heard simultaneously by an OBU. Based on this worst case scenario we determine an upper limit to the Synchronous OBU Window length. We also quantify value for the Infrastructure Window length and discuss the importance of guaranteeing a minimum Free Period length in order to allow non-enable vehicles and/or V2V communications to take place.

We then study the impact of this worst case scenario on the packet loss probability due to the expiry of transmission chance before the maximum tolerable delay for an application. A temporal analysis of the protocol follows, where we determine the worst case delay time that can occur between an event detection and the instant of time a vehicle in the Safety Zone is effectively warned. We look into a real application scenario: the A5 motorway, one of the most busiest and dangerous motorways in Portugal. We conclude that V-FTT is feasible in this realistic scenario. We end the chapter by suggesting a possible scheduling mechanism based on the creation of an accident risk table, which depends on the relative speed of a vehicle to the average traffic speed.

# 5.1. V-FTT technical solutions using IEEE 802.11p/WAVE and ITS G-5

In this subsection we will try to quantify some of the protocol characteristics presented in chapter 5. In the IEEE802.11p/WAVE standard all vehicles must tune the Control Channel (CCH) during all Sync Intervals, so this is the appropriate place for all short safety messages to be sent. The size of the CCH interval is 50ms by default but it can have a maximum of 100ms, if we consider that we are working in continuous mode [51]. In the European standard ITS G-5 [92] all vehicles shall have two radio devices which mean they will preferably work in continuous mode.

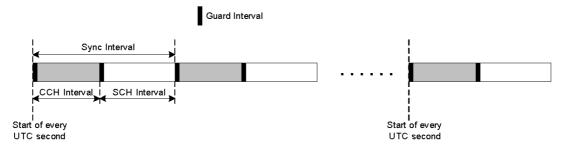


Fig. 5.1 -IEEE 802.11p/WAVE synchronization interval (adapted from [93])

The CCH interval will then be the equivalent to our elementary cycle (EC):

- During the Infrastructure Window (IW), RSUs broadcast the scheduling table along with the safety messages in the beginning of the CCH interval, immediately after a Guard Interval (GI). To avoid contention with other devices, no IFS will be used in this case.
- OBUs have the opportunity to transmit important data to the RSUs during the Synchronous OBU Window (SOW).

Our approach assumes that:

- The IW and SOW are protected against any other type of communications. To ensure that there is no contention during those windows, RSUs and enabled OBUs will violate the minimum IFS of the standard. Vehicles that are not able to register themselves in the Safety Zone will only be able to transmit in the Free Period.
- All OBUs can hear the RSUs transmissions (no hidden node problem).
- The remaining CCH interval (after IW) for OBU transmission of safety messages should not be fully used, since the CCH can also be used by other entities for Wave Service Announcements (WSAs). A "free period" must be preserved so that OBU and/or RSUs can freely transmit WSAs in the CCH using the regular 802.11p MAC. Moreover, in certain cases of dense traffic, one CCH interval may not be enough to guarantee that every OBU has the opportunity to transmit its data. This means that the maximum size of IW and SOW must be carefully chosen. A scheduling mechanism may also be introduced in order to guarantee delivering of high-priority OBU safety communications.
- The next figure shows how the V-FTT protocol adapts to the WAVE Sync interval.

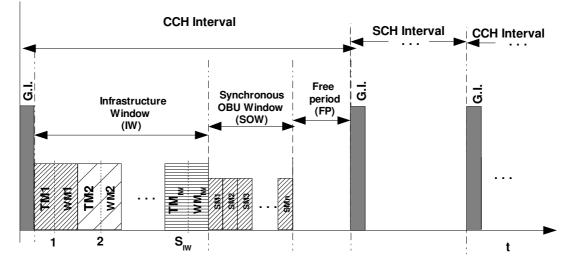


Fig. 5.2 - V-FTT protocol on top of IEEE802.11p/WAVE (normal mode)

A relevant assumption is that V-FTT enabled OBUs will share the medium with non V-FTT enabled OBUs. This implies that a non-compliant OBU could interfere with V-FTT TDMA

scheduling, possibly compromising its timeliness, if V-FTT' protection mechanisms are not put in place. Providing such protection mechanisms is an essential aspect to a V-FTT successful implementation. V-FTT' protection mechanisms should enforce the periodicity of the trigger message transmission with low jitter, i.e., OBUs must not transmit when RSUs are close to begin the trigger message transmission. They should also guarantee that non V-FTT compliant OBU could only transmit during the Free Period. For that purpose, any non-compliant OBU must see the medium as busy in order not to contend for the medium.

As was seen in chapter 3, the carrier sense mechanism of IEEE 802.11p evaluates if the medium is free before starting a transmission. If the medium is not free, the message transmission is postponed for a later time according to the backoff algorithm. Otherwise, the message is transmitted immediately. A drastic approach can be used in order to gain access to the medium. A modified station having the ability to transmit a long enough noise sequence (black-burst), without performing the carrier sense procedure, will eventually force the remaining stations to evaluate the channel as occupied. Therefore, if the modified station is able to transmit immediately after the end of the noise sequence, violating the Inter-Frame Space (IFS), it gains access to the shared medium. This technique, called bandjacking [94], is a medium access control scheme that provides determinism, even in the presence of other contention-based technologies, as long as the channel capture is performed during the shortest IFS. In this sense, bandjacking enables a station to "forcefully gain access" to a communication channel. There are two types of bandjacking:

- **Destructive bandjacking**: Transmit the black-burst, ignoring all the information that exists in the medium, with a length equal to the longest message available. This possibility would invalidate any message being transmitted at that time and wastes bandwidth, since during the black-burst no useful information can be transmitted.
- Protective bandjacking: a V-FTT enabled station can eavesdrop the medium and start transmitting (valid messages) as it becomes free to ensure that at the predefined instant the medium access is granted. This option is more conservative since it does to not invalidate on going transmissions. However, it is necessary to guarantee that the hardware commutation time between Receiver to Transmitter mode is less than the smallest inter-frame space (IFS).

#### 5.1.1 RSU coverage area

Since some characteristics of the protocol depend on others, we will start by defining the coverage radius of a RSU ( $C_r$ ), which influences the maximum number of vehicles served by an RSU ( $N_{VRSU}$ ), which in turn influences the maximum sizes of the Infrastructure Window and the Synchronous OBU window.

In order to define each RSU coverage area a compromise must be made between coverage area and terminal (vehicle) capacity. More power can augment the area which can lead to but will most likely increase co-channel interference, while lower transmission power implies fading and loss of packets, which is not acceptable for safety critical applications. It was shown in chapter 3 that a WAVE device was designed for maximum coverage range of 1000m ([95] and [42]), but tests proved that 750m is a more realistic range [96]. We will therefore assume  $C_r$  to have a value of 750m:

 $C_r = 750m$ 

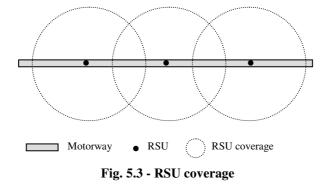
Several studies ([97] to [99]) defend that in WLANs the overlap of coverage area between Access Points should be between 15% and 25% in order to ease the handover process. Since vehicular networks deal with high speed travelling mobile stations (vehicles) we will assume that RSU coverage will have 25% of overlapping. This means that the overlapping range  $O_r$  is:

 $O_r = C_r \ge 0,25 = 187,5m$ 

The spacing between RSUs ( $S_r$ ) will then be equal to (16):

$$S_r = (2 \times C_r) - O_r \tag{16}$$

$$S_r = (2 \times 750) - 187,5 = 1312,5m$$



If we take in account that motorways usually do not have curves with angles larger than 90°, considering an overlapping range of RSU of 25% and assuming a linear distribution of RSUs, we conclude that an OBU can only hear a maximum of 2 RSU transmissions simultaneously. This means that in our case  $S_{IW} = 2$  (refer to Fig. 5.4).

In chapter 5 a  $t_{ID}$  size of 16 bit was defined. This allows the identification of 65536 distinct vehicles. Using that value in equation (5) from the previous chapter and assuming that  $t_{ID}$  can be reused whenever a vehicle exits the Safety Zone, we find that this  $t_{ID}$  size allows to define a Safety Zone such as:

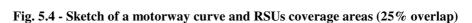
- a motorway with a maximum of 95km with 5 lanes per travel path.
- a motorway with a maximum of 119km with 4 lanes per travel path.

We can re-use equation (7) from chapter 5 to calculate N<sub>VRSU</sub> in (17):

$$N_{VRSU} = \frac{2 \times C_r}{\left(V_{length} + v_{spacing}\right)} \times n_{lanes}$$
(17)
  
RSU,

RSU

RSU



Considering an average vehicle length of 4,58m [100] we obtain in Table 5.1 several values for N<sub>VRSU</sub>, where v<sub>spacing</sub> is 10 m for traffic jam and 30 m for normal traffic [101].

N <sub>VRSU</sub>	NORMAL TRAFFIC	TRAFFIC JAM
1 lane	44	103
2 lanes	87	206
3 lanes	130	309
4 lanes	174	412
5 lanes	217	507

Table 5.1 – N<sub>VRSU</sub>- Maximum number of vehicles covered by each RSU (C<sub>r</sub>= 750m)

In the following sub-sections we will determine the maximum sizes for SOW and IW.

#### 5.1.2 Synchronous OBU Window length

In this sub-section we will determine the length of the Synchronous OBU Window (SOW) for use with the IEEE802.11p/WAVE standard.

In the previous chapter, we assumed a worst case scenario of attributing slots for all OBUs travelling in the zone covered by  $S_{IW}$  RSUs. We defined that the length of SOW is

 $l_{sow} = SOW_{slots} \times (IFS + BSM)$ 

We also determined in chapter 5 that the Basic Safety Message (BSM) has a size of 390 bits.

The IFS value depends on the communication standard used. Since WAVE is based on the 802.11 standard, the minimum inter frame space is the Short Inter Frame Space (SIFS), with a

value of  $32\mu s$  for a 10MHz channel [52]. Therefore the time needed to transmit a BSM of 390 bit is shown in the next table, according to the bit rate used.

BIT RATE	BSM	BSM+SIFS
3Mbps	288µs	320µs
6Mbps	164µs	196µs
12Mbps	106µs	138µs

Table 5.2 - Transmission duration of a BSM in an OFDM 10 MHz channel

It is important to find out the number of slots available for OBU transmission in the Synchronous OBU Window (SOW<sub>slots</sub>). In chapter 5 we saw that SOW<sub>slots</sub> varies from 0 to [( $S_{IW} * N_{VRSU}$ ) – (( $S_{IW} -1$ )\*  $N_{Vint}$ )]. We already determined the values of  $N_{VRSU}$  and  $S_{IW}$ , we need to determine the value of  $N_{Vint}$ , which was presented in chapter 5 as:

$$N_{V \text{ int}} = \bigcup_{i=1}^{(S_{IW}-1)} S_{RSU_i} \cap S_{RSU_{i+1}}$$

In other words  $N_{Vint}$  is the number of vehicles that can fit in the overlapping range  $O_r$ . In the Table 5.3 the maximum values of SOW<sub>slots</sub> are shown:

Table 5.3 – Maximum size of SOW<sub>slots</sub> for a RSU coverage of 750m with 25% of overlapping range

<b>SOW</b> <sub>slots</sub>	NORMAL TRAFFIC	TRAFFIC JAM
1 lane	76	180
2 lanes	152	360
3 lanes	228	540
4 lanes	304	720
5 lanes	380	900

We can now compute the time needed for transmission of a maximum size SOW, by multiplying the values from Table 5.3 with Table 5.2. The results are shown in Fig. 5.5 and Fig. 5.6.

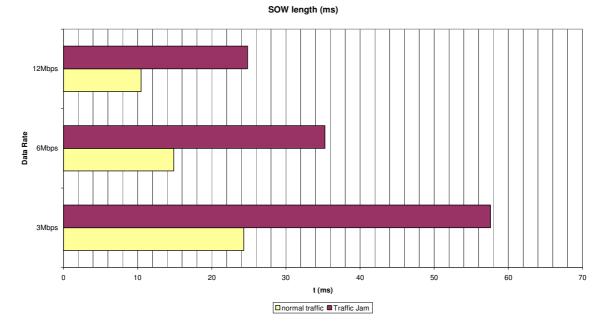


Fig. 5.5 - SOW length per lane (ms)

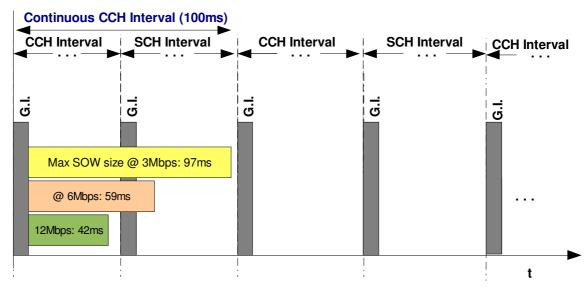


Fig. 5.6 - Maximum SOW length for normal traffic (nlanes=4)

Since the size of a CCH interval for the WAVE protocol varies from 50ms to 100ms, for the worst case scenario it is not possible to allow all OBUs to update their status in every EC for the case of a large motorway and a traffic jam scenario. The CCH interval has a size that will not be larger than 100ms (it is 50ms by default) so it is easy to roughly determine the maximum number of vehicles served per CCH interval. The maximum available transmission time for the SOW window in each CCH interval will be 100ms for the continuous mode or 50ms subtracted by the Guard Interval (4ms) for the normal mode:

Maximum length of SOW = 100ms (continuous mode) or 50ms– GI = 46ms (normal mode)

The SOW length will in fact be smaller than that, since we must also guarantee transmission time for the IW and reserve a free period for non-enabled OBUs. For now, we will simply accept the above values as a maximum reference value for the length of SOW obtaining the following upper bound for the number of  $SOW_{slots}$  (dividing by the values in Table 5.2):

51013		
BIT RATE	CONTINUOUS	NORMAL
	MODE	MODE
3Mbps	312	143
6Mbps	510	234
12Mbps	724	333

Table 5.4 - Maximum number of SOW<sub>slots</sub> per CCH interval (upper bound)

By comparing Table 5.4 with Table 5.3, we conclude that the usual bit rates used for safety services, 6 and 12Mbps [92], are not enough to serve all vehicles in one full Elementary Cycle, which means that some sort of scheduling mechanism will be needed. We will refine the SOW length later on.

#### 5.1.3 Infrastructure Window length

After determining the SOW length we will now quantify the Infrastructure Window (IW) length. The IW is used by each RSU to send the trigger message (TM) along with possible warning messages (WM). Those messages will be included in each RSU transmission slot. We recall that this RSU slot has a fixed size. We also concluded above that  $S_{IW}$  is equal to 2, thus meaning that IW will have a duration equal to (18):

$$IW = S_{IW} \times (RSU_{slot} + IFS) \tag{18}$$

In order to compute the size of RSU<sub>slot</sub> we will analyse the length of a TM and a WM.

We recall that a Trigger Message (TM) starts with an RSU<sub>ID</sub>, followed by a parameter ( $t_{SOW}$ ) that indicates how many  $\mu$ s separate the beginning of this TM from the beginning of the SOW, and then a series of temporary OBU identifiers ( $t_{ID}$ ) and the respective transmission slot ( $tr_s$ ).

$$\mathsf{RSU}_{\mathsf{ID}} \quad \mathsf{t}_{\mathsf{SOW}} \quad \mathsf{t}_{\mathsf{ID207}} \quad \mathsf{tr}_{\mathsf{S22}} \quad \mathsf{t}_{\mathsf{ID007}} \quad \mathsf{tr}_{\mathsf{S87}} \quad \ldots \quad \mathsf{t}_{\mathsf{ID622}} \quad \mathsf{tr}_{\mathsf{S33}}$$

Fig. 5.7 - Trigger Message frame.

First we need to determine how many bits we need for RSU identification. We will consider 8 bit as a starting value for  $RSU_{ID}$ , which is enough to identify 256 distinct RSUs, and allows to cover 168km of motorway for both travel sides, considering our  $C_r$  determined earlier.

In order to define the size of the Trigger Message frame, it is important to quantify the possible maximum value for  $t_{sow}$ . The minimum value occurs in the last  $RSU_{slot}$  and corresponds to the duration of the  $RSU_{slot}$ . The maximum value occurs in the first  $RSU_{slot}$  and corresponds to

Maximum value for t<sub>sow</sub> = IW-IFS

We have a circular reference because it seems the TM size depends on the TM itself, but it is possible to work around this if we consider the absurd case where the IW occupies the maximum possible available length in a CCH interval in WAVE, i.e., 100ms. Since  $t_{sow}$  is expressed in  $\mu s$  it means we need 17 bits to properly define  $t_{sow}$ . We will later refine this value.

In the previous chapter we defined that  $t_{1D}$  would have 16 bit. As for the number of bits we need to define the OBU transmission slot, we recall that in the previous sub-section we determined the maximum number of OBU transmission slots in the SOW (SOW<sub>slots</sub>) (refer to Table 5.4 and Table 5.3). The worst-case scenario is when we need to code 725 different OBU transmission slots. This means we need at least 10 bit for tr<sub>s</sub>.

In resume, we determined that:

- RSU<sub>ID</sub> has a length of 8 bits;
- t<sub>sow</sub> has a maximum length of 17 bits (to be refined later);
- each  $t_{ID}$  has a length of 16 bits;
- each t<sub>rs</sub> has a length of 10 bits.

In the worst case scenario of a traffic jam, if we need to allow transmission slots for all OBUs, a TM would occupy:

This is the case for the higher bit rate. For 3Mbps, 6Mbps and 12Mbps we determined (Table 5.4) that the number of  $SOW_{slots}$  will never exceed 312 and 510 vehicles, respectively. The TM may have different sizes according to the transmission rate, as is shown in Table 5.5.

BIT RATE	<b>CONTINUOUS MODE</b>	NORMAL MODE
3Mbps	8137bit	3743bit
6Mbps	13285bit	6109bit
12Mbps	19573bit	8683bit

Table 5.5 – Upper bound size of a Trigger Message (TM) in bits

In Table 5.6 we show the time it takes to transmit a maximum size TM using WAVE, for both traffic jam and normal traffic cases. In WAVE we can use bit rates ranging from 3Mbps to 12Mbps. The time needed to transmit a TM is shown in the next table, based on the IEEE 802.11p/WAVE MAC standard, where we add the header and frame check sequence to the message size, and then calculate the padding bits necessary according to the bit rate used.

BIT RATE	CONTINUOUS MODE	NORMAL MODE
3Mbps	2,86ms	1,40ms
6Mbps	2,32ms	1,12ms
12Mbps	1,64ms	0,79ms

Table 5.6 - Upper bound transmission duration of a TM in an OFDM 10 MHz channel

We shall now determine the average length of a WM. In chapter 2 we found out that several type of safety events can occur. For example, the Curve Speed Warning event needs a 235 bit payload. A more common safety message was defined in chapter 5, including the following fields:

- eventID.
- sourceID.
- transmitterID.
- location.
- additional info.

16 bits are enough for the eventID field, sourceID and transmitterID are RSUs, so 8 bits for each of them will suffice. For the location we will need 112 bits for the GPS coordinates. This means the minimum size of a WM is 144 bits. According to this, Table 5.7 shows the time needed to transmit a minimum WM and a curve speed warning message using IEEE802.11p/WAVE.

BIT RATE	BASIC WARNING MESSAGE	CURVE SPEED WARNING MESSAGE
3Mbps	200µs	232µs
6Mbps	124µs	140µs
12Mbps	82µs	90µs

In order to quantify the size of an RSU slot, we need to find out how many Warning Messages we need to transmit per EC or CCH interval. This is not the same as asking how many simultaneous safety events can occur in a RSU coverage, since RSUs might want to broadcast events that occur outside its coverage area, e.g., an accident that occurs ahead in the path of travel. We will impose a limit of 10 WMs per RSU Slot. Further studies may revise this number.

If we consider the worst-case scenario of having 10 WMs to be broadcast in each RSU slot, then each RSU slot needs to have a maximum size of TM+10\*WM, which is summarized in Table 5.8:

BIT RATE	CONTINUOUS MODE	NORMAL MODE
3Mbps	5,18ms	3,72ms
6Mbps	3,72ms	2,52ms
12Mbps	2,54ms	1,69ms

Table 5.8 – Upper bound transmission duration of a RSU slot using WAVE (S<sub>IW</sub>=2)

Based on equation (18) we can determine the worst-case maximum size of IW (Table 5.9).

.9 -	59 – Opper bound transmission duration of tw usi		
	BIT RATE	CONTINUOUS	NORMAL
		MODE	MODE
	3Mbps	10,42ms	7,50ms
	6Mbps	7,50ms	5,10ms
	12Mbps	5,14ms	3,44ms

Table 5.9 - Upper bound transmission duration of IW using WAVE

In the previous sub-section we concluded that the SOW could not have the size we determined (refer to Fig. 5.6) since it exceeds the CCH interval. We determined a limit for the SOW maximum size based on the full length of CCH interval, and consequently a new upper bound for the IW (since the SOW size influences the TM size and the RSU slot).

In the beginning of sub-section 5.1.3 we found we would need 17 bits for  $t_{sow}$  and left this value to be later refined. After determining a more realistic upper bound value for the Infrastructure Window we can safely reduce the size of  $t_{sow}$  from 17 to 14 bits.

This means that TM will have its upper bound size reduced by 3 bits. However, after using these new values we found out that these 3 bits do not make any difference in the transmission duration of a TM due to the usage of pad bits in OFDM. We will however update the TM equation so we can use it when further calculations are needed:

 $8 + 14 + SOW_{slots} * (17+10)$ 

#### 5.1.4 Free period (FP) length

In this sub-section we will discuss the length of the free period. This length will be variable, since it depends on the number of vehicles that are present in the area covered by the RSUs. There is the need of defining a minimum free period length, in order to guarantee transmission opportunities for non-enabled vehicles and for Wave Service Announcements or non-safety applications in (19):

$$FP_{\min} = (\sigma) \times (CCHInterval), \text{ where } 0 < \sigma < 1$$
(19)

If we consider  $\sigma$  equal to 10%, it means we will reserve 5 to 10ms to Wave Service Announcements or other communications. Taking into account the example of a WSA given in [102] we calculated the duration of a transmission of a regular WSA in the following table. This allows for 16 to 32 WSAs to be transmitted in one CCH interval, which is acceptable for nonurban scenarios.

BIT RATE	NORMAL
	TRAFFIC
3Mbps	304 µs
6Mbps	172 µs
12Mbps	106 µs

 Table 5.10 – Transmission duration of a regular Wave Service Announcement

In some particular cases, the FP length can be reduced to zero, if emergency communications need to use the whole Elementary Cycle.

## 5.1.5 SOW length adjustments

Considering  $FP_{min}$  to have a value of 10% the CCH interval we will recalculate the SOW maximum size and TM sizes, shown in (20):

$$SOW = E-GI-IW-FP (GI=0 \text{ in continuous mode})$$
(20)

Because of the relationship between TM and  $SOW_{slots}$  we start by recalculating the length of SOW and its respective SOW slots assuming the initial IW length. Since the number of  $SOW_{slots}$  is slightly reduced so does the TM length and the IW length. By reintroducing this new IW length we obtain a more approximate SOW length and repeat the whole process until the values are close enough to the previous iteration. In the end, we obtain the following tables for TM length, IW and SOW length.

Table 5.11 - Transmission duration of a TM in an OFDM 10 MHz channel

BIT RATE	FP <sub>min</sub> =10% of C	CH interval	NO FREE PERIOD		
	CONTINUOUS MODE	NORMAL MODE	CONTINUOUS MODE	NORMAL MODE	
3Mbps	2,34ms	1,08ms	2,60ms	1,21ms	
6Mbps	1,94ms	0,91ms	2,16ms	1,01ms	
12Mbps	1,41ms	0,67ms	1,56ms	0,75ms	

Table 5.12 – Transmission duration of IW using WAVE

BIT RATE	FP <sub>min</sub> =10% of CC	H interval	NO FREE P	NO FREE PERIOD		
	CONTINUOUS MODE	NORMAL MODE	CONTINUOUS MODE	NORMAL MODE		
3Mbps	9,39ms	6,86ms	9,90ms	7,12ms		
6Mbps	6,74ms	4,68ms	7,18ms	4,89ms		
12Mbps	4,68ms	3,20ms	4,99ms	3,36ms		

 Table 5.13 – Time left for SOW transmission in an OFDM 10 MHz channel

BIT RATE	$FP_{min}=10\%$ of C	CH interval	NO FREE P	ERIOD
	CONTINUOUS	NORMAL	CONTINUOUS	NORMAL
	MODE	MODE	MODE	MODE
3Mbps	80,60ms	34,13ms	90,10ms	38,88ms
6Mbps	83,26ms	36,32ms	92,82ms	41,11ms
12Mbps	85,32ms	37,80ms	95,01ms	42,64ms

]	Table 5.14 - Numbe	er of SOW <sub>slots</sub>	per CCH interval	
BIT RATE	$FP_{min}=10\%$ of C	NO FREE P.	ERIOD	
	CONTINUOUS MODE	NORMAL MODE	CONTINUOUS MODE	NORMAL MODE
3Mbps	251	106	281	121
6Mbps	424	185	473	209
12Mbps	618	273	688	309

By comparing the results of Table 5.1 and Table 5.14 we can see that in some exceptional cases it might be worth using the whole CCH interval for the V-FTT protocol, not allowing the existence of a free period (for a short amount of time) in order to accommodate more vehicles in the SOW. For larger motorways we reinforce the need of a scheduling mechanism to fairly allocate OBUs to SOW slots and also to allocate RSU slot time between trigger messages and warning messages.

## 5.2. Analysis of impact of worst case scenario

Using a similar method to [26] we will now study the impact of the V-FTT protocol, particularly what happens due to the expiry of transmission chance before the maximum tolerable delay for an application. For this analysis, we are excluding packet loss probability derived from transmission losses or other factor such as packet collisions.

Consider that the number of OBUs in  $S_{IW}$  RSUs coverage is  $n_{\nu}$  , where:

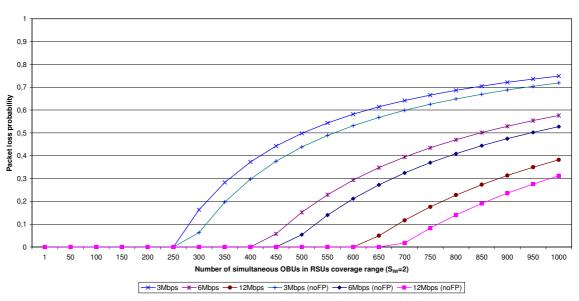
 $n_v = 1$  to N

The ratio of denied transmissions  $(t_{dn})$  due the expiry of CCH interval can then be determined by equation (21):

$$\begin{cases} t_{dn} = 0 & \text{if } n_v \le \text{SOW}_{\text{slots}} \\ t_{dn} = \left(1 - \frac{SOW_{\text{slots}}}{N}\right) & \text{if } n_v > \text{SOW}_{\text{slots}} \end{cases}$$
(21)

Whenever the number of vehicles fits in the existing Synchronous OBU Window there will be no denied transmissions since all OBUs can transmit within a CCH interval. If the number of vehicles exceeds the number of slots in SOW then the probability of not having a transmission opportunity in the current CCH interval will be higher.

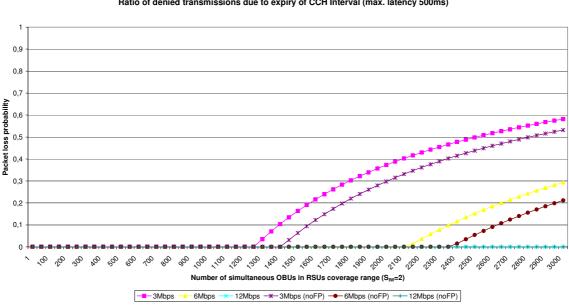
Based on Table 5.14 and the previous equation we can derive the results for two typical vehicular safety applications (refer to chapter 2): the Emergency Electronic Brake Light (EEBL)(refer to Fig. 5.8) with a maximum latency of 100ms and the Post crash warning (refer to Fig. 5.9)with a maximum latency of 500ms.



Ratio of denied transmissions due to expiry of CCH Interval (max.latency 100ms)

Fig. 5.8 - Ratio of denied tranmissions due to CCH Interval expiry for EEBL application

Results show that the ratio of denied tranmissions due to the expiry of transmission chance is acceptable when using the higher transmission bit rate for the safety applications with tighter latency constraints. An obvious conclusion is that if we choose not to use the Free Period for non-enabled vehicles this ratio decreases since we are able to accommodate more OBUs in the SOW. For the safety applications with higher latency the V-FTT protocol is perfectly suitable even with lower bit rates. In the next sub-sections we will investigate the worst-case delay scenario for the V-FTT protocol applied to WAVE.



Ratio of denied transmissions due to expiry of CCH Interval (max. latency 500ms)

Fig. 5.9 - Ratio of denied transmissions due to CCH Interval expiry for Post-Crash Warning application

## 5.3. V-FTT Protocol worst case delay analysis

We will now analyse our proposed protocol in terms of the time that passes between the instant of occurrence of an event and the instant a vehicle is warned of the event, i.e., the end-to-end delay.

Consider that within the set of vehicles travelling in the safety zone, a vehicle detects a safety event (e.g. accident, problem with vehicle). We will determine the worst case in terms of time occurred between an event detection and the instant of time the last vehicle in the Safety Zone is warned by the RSUs. We start by analysing the times involved:

 $t_{V2I}$  – period of time that occurs since the detection of an event by an OBU until the event transmission to an RSU.

 $t_{valid}$  – period of time that occurs since the RSU is effectively warned until the RSU considers the event is valid.

 $t_{schedule}$  – period of time that occurs since the RSU validates an event and schedules the TM and WM according to the event.

 $t_{I2V}$  – period of time that occurs since a TM and/or WM is scheduled by an RSU until the transmission of a warning message by the RSUs.

To simplify our reasoning we'll consider for now that transmissions of WM are always received successfully by all OBUs in the coverage area.

## 5.3.1 Uplink time (t<sub>V2I</sub>)

The worst-case for  $t_{V21}$  occurs when an OBU detects the event just after it transmitted its Basic Safety Message (BSM). This means the OBU will have to wait for its next allocation slot to transmit. We shall call this OBU the emitter OBU just for reasoning purposes. Consider the simplest fair scheduling scheme where all OBUs have one transmission opportunity and will have the second transmission opportunity after all the others had their first. Then the worst case scenario occurs when the emitter OBU is only allowed to transmit after all the remaining OBUs in the same coverage area of the Safety Zone have had their chance to transmit. How many OBUs are involved? The worst-case is when the Safety Zone is completely filled with vehicles. Those numbers were presented in Table 5.3 (page 100). The maximum number of OBUs travelling in the Safety Zone depends on the motorway topology, i.e., on the number of existing lanes per travel path. This means the maximum waiting time for the emitter OBU will be variable. Consider that the maximum number of OBUs present in the same coverage area than the emitter OBU is named M<sub>OBU</sub>. The value of M<sub>OBU</sub> is in fact the value of Table 5.3 subtracted by one, which is the emitting OBU. Those numbers are shown in Table 5.15.

	Maximum number of vehicles (S <sub>IW</sub> =2)	NORMAL TRAFFIC	TRAFFIC JAM
-	1 lane	75	179
	2 lanes	151	359
	3 lanes	227	539
	4 lanes	303	719
	5 lanes	379	899

 Table 5.15 - Maximum number of OBUs in the same coverage area than M<sub>OBU</sub> (S<sub>IW</sub>=2, C<sub>r</sub>=750m)

 Maximum number of I NOPMAL TRAFFIC

 TRAFFIC IAM

Since for each Elementary Cycle there is a limit of maximum  $SOW_{slots}$  available, the emitter OBU will have to wait for some ECs until it has the chance to transmit. We shall call it  $w_{EC}$  (number of waiting Elementary cycles).  $w_{EC}$  is shown in (22), and is equal to the floor of the division of  $M_{OBU}$  by the maximum number of SOWslots available (refer to Table 5.14).



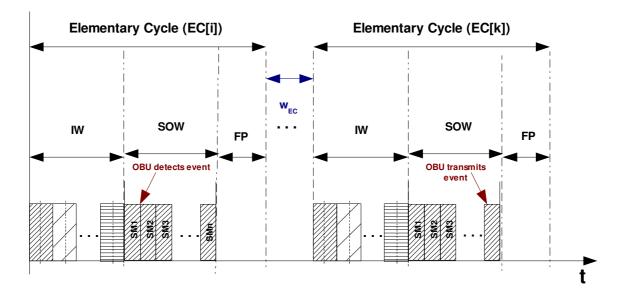
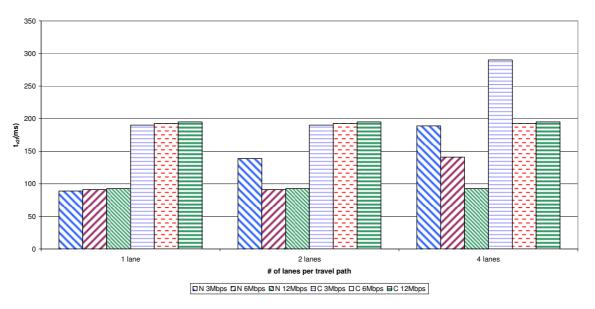


Fig. 5.10 - Worst case OBU transmission instant (t<sub>V2I</sub>)

If scheduling is made per elementary cycle, the only guarantee the emitter OBU will have is that it will be scheduled in the SOW after  $w_{EC}$ . The worst case happens when it is scheduled in the last slot and is shown in (23):

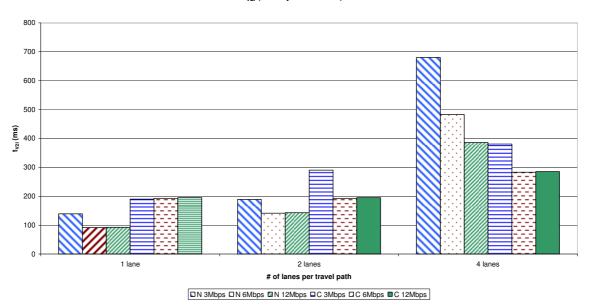
$$t_{V2I} = SOW + (w_{EC} + 1) \times E \tag{23}$$

Fig. 5.11 and Fig. 5.12 show the results of our calculations for two scenarios, normal traffic and traffic jam, considering that the free period has no minimum length, since we found that this is the worst-case scenario. The EC can have a duration of 50ms (N-normal mode) or 100ms (C-continuous mode).



t<sub>v2l</sub> (normal traffic scenario)

Fig. 5.11 – Uplink time (t<sub>V21</sub>) worst case for normal traffic scenario (FP=0%, C<sub>r</sub>=750m)



t<sub>v2l</sub> (traffic jam scenario)

Fig. 5.12 - Uplink time ( $t_{V2I}$ ) worst case for traffic jam scenario (FP=0%, C<sub>r</sub>=750m)

As the number of lanes increases, so does the maximum possible number of vehicles, which leads to an increase of uplink time. It is interesting to find out that the continuous mode

of operation leads to higher uplink time for the case of smaller motorways (two lanes per travel path or less). This is due to the fact that all vehicles transmissions can be accommodated in one SOW, and OBUs have to wait a full EC to transmit. It can also be seen that 3Mbps is insufficient for large motorways and dense scenarios, hence the ITS-G5 determination of using 6Mbps and 12Mbps for safety applications [92]. These results also reinforce the fact that a scheduling mechanism is needed, since straightforward fair slot allocation can lead to intolerable values for some safety applications.

#### 5.3.2 Validation time (t<sub>valid</sub>) and Scheduling time (t<sub>schedule</sub>)

The validation time is the period of time that occurs since the RSU has received the event warning, until it considers the event is valid. The validation time depends on several factors, since the RSU must compare the information received from several sources in order to validate the event. The sources were already mentioned in chapter 5: induction sensors, cameras, radar or even other OBU messages.

The scheduling time is the period of time that occurs since the RSU validates an event and schedules the TM and WM according to the event.

Both times are usually combined. The worst case happens when the RSU receives the information in the last slot of SOW. For the case the RSU has the first RSU slot, it means that the RSU must perform the validation, schedule and build its TM and WM during the Guard Interval, i.e., in less than 4ms. We will consider that the RSUs have sufficient computation power to achieve this goal.

# 5.3.3 Downlink time (t<sub>I2V</sub>)

The worst case downlink time happens when the RSU receives the information from OBUs in the first SOW slot and it will have to wait until the next Elementary Cycle (EC) for the chance to transmit (Fig. 5.13).

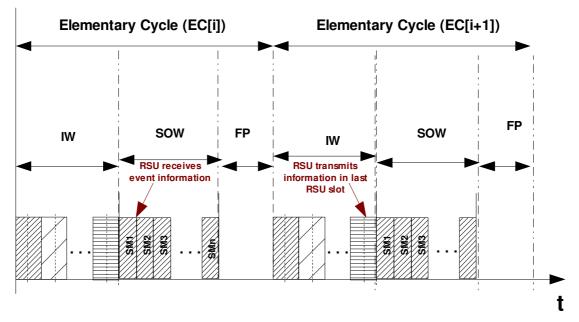


Fig. 5.13 - Worst case of t<sub>I2V</sub>

In conclusion, the validation time and scheduling time is included in  $t_{I2V}$ .

We saw earlier that the SOW duration is variable and has a maximum value whenever FP=0. This means the worst-case of  $t_{I2V}$  is in fact equivalent of a full duration of an Elementary Cycle (E) subtracted by the duration of a TM (refer to (24)).

$$t_{I2V} = (E - TM) \tag{24}$$

The results are summarized in Table 5.16.

Table 5.16 – <u>Worst case value of validation</u>, schedule and downlink time ( $S_{IW}$ =2,  $C_r$ =750m)

BIT RATE	NORMAL MODE	<b>CONTINUOUS MODE</b>
3Mbps	48,79ms	97,40ms
6Mbps	48,99ms	97,84ms
12Mbps	49,25ms	98,44ms

## 5.3.4 Worst case time between event detection and OBU warning (tworst)

After determining all the times involved, we can now determine the worst case in terms of time occurred between an event detection and the instant of time the last vehicle in the Safety Zone is warned by the RSUs. We shall refer it as  $t_{worst}$  and is determined by (25):

$$t_{worst} = (t_{V2I} + t_{I2V}) = SOW + (w_{EC} + 1) \times E + E - TM = SOW - TM + (w_{EC} + 2) \times E$$
(25)

There is a strong correlation between the duration of the Elementary Cycle (E) and the value of  $t_{worst}$ . At a first glance we could think that reducing E we would reduce  $t_{worst}$  but we must keep in mind that  $w_{EC}$  depends on the number of maximum SOW<sub>slots</sub> per EC, which in turn depends on E, so reducing E would also reduce SOW<sub>slots</sub> and increase  $w_{EC}$ . The results are summarized in Table 5.17 and Table 5.18.

Table 5.17 - Worst case warning time for normal traffic (no FP)

NORMAL TRAFFIC	1 LAN	VE	2 LA	ANES	4 LA	NES
BIT RATE	CONTINUOUS MODE	NORMAL MODE	CONTINUOUS MODE	NORMAL MODE	CONTINUOUS MODE	NORMAL MODE
3Mbps	287,50ms	137,67ms	287,50ms	187,67ms	387,50ms	237,67ms
6Mbps	290,66ms	140,10ms	290,66ms	140,10ms	290,66ms	190,10ms
12Mbps	293,45ms	141,89ms	293,45ms	141,89ms	293,45ms	141,89ms

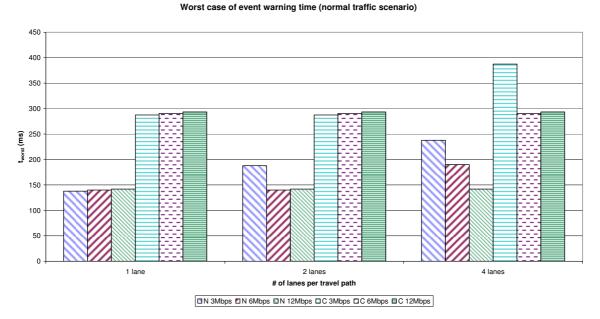
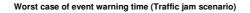


Fig. 5.14 - Worst case of event warning time per number of lanes (normal traffic)

TRAFFIC JAM	1 LANE     2 LANES     4 LANES					
BIT RATE	CONTINUOUS MODE	NORMAL MODE	CONTINUOUS MODE	NORMAL MODE	CONTINUOUS MODE	NORMAL MODE
3Mbps	287,50ms	187,67ms	387,50ms	237,67ms	478,00ms	729,39ms
6Mbps	290,66ms	140,10ms	290,66ms	190,10ms	381,10ms	532,25ms
12Mbps	293,45ms	141,89ms	293,45ms	191,89ms	383,76ms	434,57ms

Table 5.18 - Worst case warning time for traffic jam (no FP)



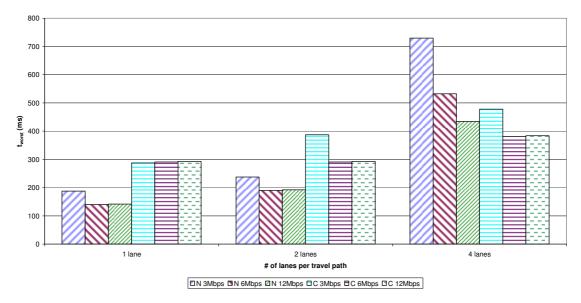


Fig. 5.15 - Worst case of event warning time per number of lanes (traffic jam)

Analysing the results, it is obvious that the worst-case results are not tolerable for the most stringent delay safety applications. However, some of those maximum latency delays (e.g. Emergency Electronic Brake Light) were computed for a particular high speed scenario (more than 100km/h). For the traffic jam scenario, we are not expecting vehicle to travel at such high speeds. Nevertheless, the results reinforce the need a of using a scheduling mechanism that allows to serve highest priority OBUs first. Another interesting conclusion can be made: worst-case results are correlated with the duration of the Elementary Cycle, which means smaller EC can have better results for the cases where the number of OBUs fits inside one SOW, not exceeding one EC. However, if using WAVE, the EC must be fixed and equal to the CCH interval. For other standards, the effect of having a smaller EC in the normal situation latency would have to be studied.

## 5.4. Application Scenario: A5- Auto-estrada da Costa do Estoril

In this section we will present our application scenario: A5 – Auto-estrada da Costa do Estoril, which is one of the busiest motorways in Portugal. We analyse the V-FTT protocol applied to A5 motorway using theoretical worst-case calculations and MATLAB simulations.

## 5.4.1 A5 motorway general description

This motorway connects Lisbon to Cascais and is 25km long. The average daily traffic load, based on monthly values in 2009 and first three months of 2010, is close to 74000 vehicles, although in some sections of the A5 it can reach up to 134000 vehicles [103]. The A5 motorway concessionary, BRISA SA, kindly provided data from peak hour traffic in October 2013. The number of lanes varies throughout its course, as can be seen in Table 5.19.

A5 subsection	Distance	Number of lanes	ADT (average daily traffic)	Number of accidents (2003-2006)	Highest monthly peak hour Traffic
Viaduto Duarte Pacheco to	4,0km	4	>120.000	177	18728
Miraflores					
Miraflores to Linda-a-	1,5km	3	>120.000	253	7398
Velha					
Linda-a-Velha to Estádio	2,7km	3	>120.000	216	6862
Nacional					
Estádio Nacional to Oeiras	5,4km	3	>120.000	32	6956
Oeiras-Estoril	9,0km	3	>67.000	42	6738
Estoril to Cascais	5,3 km	2	>38.000	N/A	N/A

Table 5.19 – A5 motorway characteristics (adapted from [104] and [103])

The motorway locations where serious accidents occur or where accidents occur more frequently are named *blackspots*. From 1996 to 2006, several blackspots were identified in the A5 motorway [104]. The author decided to join contiguous blackspots reaching a final number of 22 blackspots (see Fig. 5.16). The kilometre numbering is the same used in A5, where 0km corresponds to Lisbon and 27,4km to Cascais. Refer to Table 5.20 for more details.

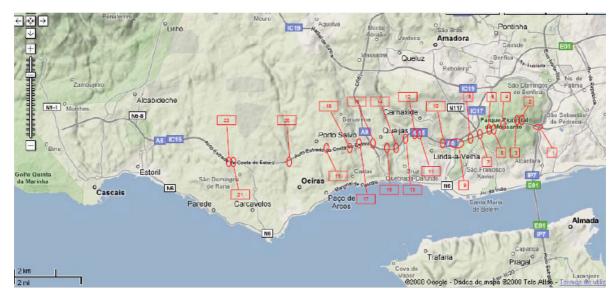


Fig. 5.16 - A5 Motorway blackspots (adapted from [104])

Blackspot	km	Blackspot	km
1	0,1 to 0,6	12	6,0 to 6,1
2	0,8 to 0,9	13	6,3 to 6,4
3	1,0 to 1,1	14	6,8 to 7,2
4	1,5 to 1,6	15	7,3 to 7,6
5	1,8 to 1,9	16	7,8 to 8,1
6	2,0 to 2,2	17	8,5 to 8,6
7	2,4 to 2,6	18	8,8 to 9,1
8	2,8 to 3,1	19	10,0 to 10,1
9	3,8 to 4,5	20	11,8 to 11,9
10	4,7 to 5,0	21	14,3 to 14,4
11	5,8 to 5,9	22	14,5 to 14,6

Table 5.20 – A5 Motorway blackspots (adapted	from	[104])
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Considering that overlapping of RSU coverage will exist, the 22 blackspots presented in Table 5.20 can be converted in the following three Safety Zones:

- Safety Zone 1 would cover km 0 to km 3,1.
- Safety Zone 2 from km 3,8 to km 5.
- Safety Zone 3 would cover black spot 11 (km 5,8 and 5,9).

In Fig. 5.17 the three Safety Zones are drawn upon the A5 motorway.

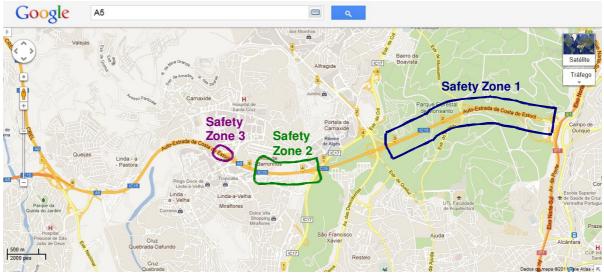


Fig. 5.17 - Safety Zones suggestion for A5 motorway (adapted from [105])

In order to better understand the A5 motorway environment we provide the following information about the Portuguese law:

The maximum allowed speed in Portuguese roads is 120km/h.

The maximum vehicle dimensions are [106]:

- Maximum width: 2,6m;
- Maximum height: 4m;
- Maximum length (passenger vehicle): 12m;
- Maximum length (truck): 18m;

We are interested in average vehicle dimensions, as they can prove to be useful for further calculations.

Vehicle type	Average width	Average height	Average length
Passenger light vehicle	1,75m	2,06m	4,58m
Bus	2,50m	3,45m	11,8m
Truck	2,45m	4m	9m
Lorry with trailer	2,55m	4m	15,60m

 Table 5.21 – Average vehicle dimensions (adapted from [100])

In this sub-section we presented a possible application scenario for the V-FTT protocol. In the next sub-sections we will analyse the V-FTT feasibility in the A5 motorway.

#### 5.4.2 V-FTT feasibility using the A5 motorway

We will now quantify some of the variables presented in chapter 5 in what refers to its application on the A5 motorway scenario. We start by re-using equation (6) from chapter 5:

$$n_{S_{Z}} = \frac{l_{S_{Z}}}{\left(\left(V_{length} * \left(1 - tr_{perct}\right) + Tr_{length} * \left(tr_{perct}\right)\right) + v_{spacing}\right)} \times n_{lanes}$$

We get, for the case of Safety Zone 1,  $l_{Sz}=3100$ m,  $n_{lanes} = 4$ ,  $V_{length} = 4,58$ m,  $Tr_{length} = 9$ m,  $v_{spacing}$  varies between 10m (traffic jam) and 30 m (normal traffic) [101] and  $Tr_{perct} = 0\%$ , since the worst-case scenario occurs when more vehicles are inside the Safety Zone. We find that 359 vehicles can fit in Safety Zone 1 in normal traffic conditions rising to 850 in case of traffic jam.

Considering that in the future one might extend the Safety Zone to the whole A5 motorway, re-using equation (6) from chapter 5 with  $l_{Sz}$ =27400m we obtain a maximum of 7518 vehicles per travel path. Table 5.22 summarizes the results for the three Safety Zones in A5.

SAFETY ZONE	NORMAL TRAFFIC	TRAFFIC JAM
Safety Zone 1 (3100m)	359	850
Safety Zone 2 (1200m)	139	329
Safety Zone 3 (100m)	12	28
Whole A5 Motorway	3170	7518

Table 5.22 – Maximum simultaneous number of vehicles in each Safety Zone

The spacing between RSUs was determined in equation (16) and is equal to 1312,5m. This means we can determine the number of RSUs placed in each Safety Zone:

-	•
SAFETY ZONE	NUMBER OF RSUS PER
	TRAVEL PATH
Safety Zone 1 (3100m)	4
Safety Zone 2 (1200m)	2
Safety Zone 3 (100m)	1
Whole A5 Motorway	22

Table 5.23 - Number of RSUs to place in A5 motorway (C<sub>r</sub>=750m, S<sub>r</sub>= 1312,5m)

#### Worst-case calculations for A5 Safety Zone1

Now we will analyse Safety Zone 1, which has a length of 3100m. In Table 5.22 we find we have a maximum of 850 simultaneous vehicles. Since we have at least 4 RSUs it means we will be below the worst-case scenario defined in Table 5.3 for each RSU coverage. If we divide the 850 vehicles equally throughout the entire Safety Zone (since this is a traffic jam scenario) we

find out slightly more than 411 vehicles per RSU coverage, but since RSUs coverage overlap we will have approximately 360 vehicles per RSU. Repeating the same reasoning and calculations from section 5.3 we obtain the results shown in Table 5.24.

BIT RATE	NORMAL	CONTINUOUS MODE
	MODE	
3Mbps	429ms	378ms
6Mbps	332ms	281ms
12Mbps	334ms	283ms

 Table 5.24 - tworst value for A5 motorway scenario with traffic jam (theoretical)

The main conclusion is that worst-case values are smaller for the A5 motorway scenario and are applicable for the Cooperative Awareness Messages (CAM) defined in ETSI-G5, since the maximum time interval between CAM generations is 1 second (1000ms). CAM are used for the same purpose as our Basic Safety Message. Still, the worst-case values are above the maximum latency of some of the safety critical applications we presented in chapter 2. V-FTT guarantees a bounded delay but some scheduling mechanism is needed in order to achieve more reasonable latency values.

## MATLAB scenario for A5 Safety Zone 1

In order to evaluate the V-FTT protocol in the A5 motorway, we used MATLAB together with an event generator [107] with the parameters shown in Table 5.25:

PARAMETER	VALUES
Lane width	3m
Number of lanes	4
Vehicle length	4,58m
Vehicle spacing average	10m / 30m
RSU coverage range	750m
Safety Zone length	3100
Elementary Cycle	100ms
Modulation	BPSK <sup>1</sup> / <sub>2</sub> (3 Mbps) / QPSK <sup>1</sup> / <sub>2</sub> (6Mbps) / 16-QAM (12Mbps)
$\mathbf{S}_{\mathbf{IW}}$	2/3
Vehicle speed	Randomly selected between
	50km/h and 120km/h (constant afterwards)

Table 5.25 – MATLAB V-FTT parameters

The MATLAB results show the percentage of the Elementary Cycle that is available after the SOW and IW. We chose the minimum value of that percentage and multiplied by the elementary cycle to obtain the results in Table 5.26 ( $S_{IW}$ =2) and Table 5.27 ( $S_{IW}$ =3)

BIT RATE	TRAFFIC	NORMAL TRAFFIC
	JAM	
3Mbps	66,96ms	66,92ms
6Mbps	76,16ms	76,12ms
12Mbps	89,14ms	89,04ms

Table 5.26 – Minimum available EC length MATLAB results for Safety Zone 1 (3100m), S<sub>IW</sub>=2

Table 5.27 – Minimum available EC length MATLAB results for Safety Zone 1 (3100m), S<sub>IW</sub>=3

TRAFFIC	NORMAL TRAFFIC
JAM	
73,26ms	72,28ms
80,05ms	79,42ms
82,78ms	82,80ms
	<i>JAM</i> 73,26ms 80,05ms

Analysing the results in the previous table we conclude that in all cases all of the OBUs travelling in the Safety Zone are scheduled within one Elementary Cycle. If we apply the worst-case reasoning used in 5.3.4 we obtain the results shown in Table 5.28.

worst		· · · · · · · · · · · · · · · · · · ·
BIT RATE	EC=50ms	EC=100ms
3Mbps	116,52ms	233,04ms
6Mbps	111,92ms	223,84ms
12Mbps	105,43ms	210,86ms

Table 5.28 -  $t_{worst}$  value for A5 motorway scenario with traffic jam,  $S_{IW}$ =2

The interesting conclusion is that, for a scenario where all OBUs are scheduled in one Elementary Cycle (EC), the value of EC has a very large influence on the  $t_{worst}$  value. We reinforce that the values of  $t_{worst}$  are the possible worst case scenario and that happens in rare situations.

#### WAVE MAC vs V-FTT results

In order to further validate our results, we decided to compare them with some WAVE MAC evaluations found in the literature.

In [108] the delay achieved for more than 200 nodes competing for medium access was larger than 400ms even using the highest Access Category (AC) in WAVE's MAC. The worst-case results for the V-FTT protocol using 276 simultaneous vehicles in the coverage range are below 233ms for the lowest bit rate (refer to Table 5.28).

## 5.5. Scheduling V-FTT vehicle communications

We concluded earlier that a scheduling mechanism is needed, since the vehicle density and the available bandwidth suffer strong variations and there will be cases where the RSUs can not serve all OBUs in one Elementary Cycle (EC).

Instead of using a simple fair scheme, where all OBUs are allocated a time slot more or less sequentially, we propose a scheduling mechanism to sort out OBU communications.

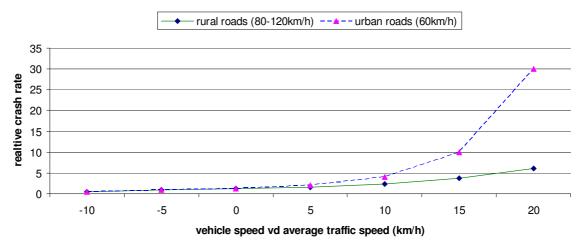
A pragmatic approach is to prioritize OBU transmissions of vehicles that have a higher risk of being involved in an accident. One element that obviously affects that risk is vehicle speed, since at higher speeds drivers have less time to react and avoid accidents. Adding to this, 40 to 50% of the drivers travel faster than the speed limit [109]. According to Nilsson [110], *an increase of average speed of 1 km/h will result in an increase of accidents of 2%* (120 km/h road) *or 4%* (50 km/h road). Nilsson also devised the formula shown in (26) ([110]).

$$Ar_{2} = Ar_{1}\left(\frac{v(t_{2})}{v(t_{1})}\right)^{2}, \text{ where } t_{2} > t_{1}$$

$$(26)$$

The higher the speed, the steeper is the increase in accident risk [109].

Another factor that is frequent in motorways is that vehicles may travel at very different speeds, and it is known that large speed differences also increase the accident probability, as is shown on the following graphic taken from [109].



Crash rate due to difference of vehicle speed to average traffic speed

Fig. 5.18- Accident risk is proportional to vehicle speed differences (adapted from [109]).

In [83] a position based scheduling policy using the Earliest Deadline First (EDF) scheme is proposed, where RSUs take responsibility of polling mobile nodes for data and schedule data traffic. Different priorities are defined according to geographical zones: for example, the closer a vehicle is to a highway entrance, a temporary road works or a black spot the shorter the period and deadline it will have, i.e., a higher priority in updating its position, speed and other important information.

With all this in mind, we propose the following scheduling mechanism:

 Prioritize OBU transmissions for vehicles that are closer to a risk situation than others. Based on vehicles positions and velocities, RSUs shall create a "risk table" where priority will be given to vehicles that will take less time to approach the vehicle or a group of vehicles that are closer to it. For the cases where the value of the time to target is the same, priority shall be given to vehicles travelling at higher speed.

RSUs are expected to have enough computing power to determine the scheduling table in time for the next Infrastructure Window.

For each vehicle several time to targets will be calculated dynamically and the smallest time will be chosen in order to determine its seed in the priority table. The pair of vehicles with smallest time to target will have higher priority, both the approaching vehicle and the approached vehicle, since both need to update their data more frequently due to being in higher risk than other pairs of vehicles. The vehicle with the highest speed in the pair will have the highest priority.

Consider a set of vehicles

S<sub>v</sub> {a,b,c,...,n-1, n} where n is any positive integer.

For each vehicle in the set, we shall determine the "time to target" (refer to (27)) for each pair of vehicles in the set. Time to target is easily calculated by dividing the module of the relative position of the pair by the relative speed of the pair of vehicles.

$$t_{target}(a,b) = \frac{\left|\overrightarrow{p_b} - \overrightarrow{p_a}\right|}{\left(v_a - v_b\right)}$$
(27)

The pair with the lowest  $t_{target}$  will be the chosen one, in case of tie between one or more pair of vehicles, the one with smaller relative position will be chosen, as demonstrated in (28):

$$t_{target}(a) = \min(t_{target}(a,b), t_{target}(a,c), ..., t_{target}(a,n-1), t_{target}(a,n))$$
(28)

Table 5.29 - Example of scheduling table ordered by time to target				
Vehicle t <sub>ID</sub>	Target vehicle t <sub>ID</sub>	Relative speed (m/s)	Relative position (m)	Time to target (s)
100	19112	15,0	50	3,3
5665	34564	12,5	80	6,4
4024	1023	7,5	150	21,4

The smaller the time to target, the higher is the priority, as can be shown in the following example:

Some drawbacks immediately arise from this approach: vehicles that have no surrounding vehicles near by or travel at very low speeds might not get the chance to update their status in some scenarios. A minimum period of updating their information via I2V message to the RSU must be enforced.

Future work involves the validation of this scheduling proposal and subsequent improvements.

## 5.6. Conclusions

In this chapter we studied how the V-FTT protocol can be applied to the IEEE 802.11p/WAVE standard for safety applications in vehicular environments. We determined that the coverage range of an RSU should be 750m and that to ease the handover RSUs should have at least 25% of overlapping range, meaning that spacing between RSUs is 1312,5m. We then quantified several parameters of the V-FTT protocol using a worst case scenario approach, and found the length of Trigger Messages, Infrastructure Window and a maximum value for the Synchronous OBU Window. The process was done by matching the Elementary Cycle (EC) to IEEE802.11p/WAVE CCH interval and doing calculations made for WAVE normal mode (CCH interval=50ms) and WAVE continuous mode (CCH interval =100ms) for a worst case where all OBUs need to be served in one EC for two different scenarios: traffic jam and normal traffic. We concluded that in emergency situations, it might be worth to reduce the Free Period duration to zero for a small amount of time in order to serve more vehicles.

We studied the impact of using a worst case scenario on the ratio of denied transmissions due to the expiry of transmission chance before the maximum tolerable delay for an application. We concluded that the V-FTT protocol works well below 450 OBUs in the RSUs coverage area and also concluded that the lower data rate offered by WAVE (3Mbps) is insufficient for high dense scenarios, which reinforces the option of ITS-G5 of using 6Mbps and 12Mbps for safety communications

We concluded that the V-FTT has a maximum bounded delay and then analysed the worstcase delay for transmission of an event (using a fair scheduling mechanism) and concluded that there is the need an appropriate scheduling mechanism, because results show that for the worst case the delay is above 300ms, which is not acceptable for the most demanding safety applications.

We presented a real application scenario, which is the A5 motorway (from Lisbon to Cascais) and a possible model for RSU deployment in this motorway. We discussed how the V-FTT protocol can be used in the A5 motorway, concluding that for peak hour traffic V-FTT still guarantees a bounded delay.

We ended by proposing a scheduling mechanism based on the risk of accident probability, where vehicles with higher probability of accident should have higher priority in accessing the medium.

# 6. Conclusions and Future Work

A systematic and exhaustive state of the art of vehicular safety applications, their timing and communication requirements, related projects in Europe and other continents was made. We analysed the recent developments in vehicular safety, particularly the creation of active safety applications based on wireless communications. For that purpose we studied their communication requirements, focusing on latency since some safety applications have strict timing requirements. We discussed what wireless communication standards could be used for that purpose and found out that the IEEE802.11p/WAVE and ETSI-G5 standards were the most promising candidates, at the time this work was done. LTE-Advanced could also be analysed but no sufficient information was available in time to be included here.

After finding out which wireless communication methods are capable of supporting vehicle safety communications we realized that the proposed MAC methods in IEEE802.11p/WAVE and ETSI-G5 do not offer bounded delay guarantees, which is fundamental for motorway safety. It is our belief that there will exist a long transitory period before vehicle to vehicle communications are totally functional. We also believe that users place more trust in a safety system that is offered by the motorway concessionary; we also discussed on how vehicle to vehicle protocols are quite complex to manage in a distributed way. Therefore our proposal is based on infrastructure to vehicle communications. We analyzed other proposals to solve the problem with the MAC methods of the standards referred above and only one is based on wireless communications between a motorway infrastructure and vehicles on-board units.. Since it might be too costly to cover an entire motorway we propose to create Safety Zones in the motorway areas where accidents occur more frequently, also referred to as blackspots. The Safety Zones are managed by road side units controlled by the motorway concessionary. These road side units are interconnected and determine the communication channel access of all compliant vehicle on-board units. For that purpose, vehicles register themselves whenever entering the motorway, so that road side units can manage vehicle communications. The road side units are responsible for warning all vehicle on-board units (compliant or non compliant) of any occurrence of safety events.

We defined a coordination scheme for road side units so that their communications do no overlap, also allowing them to emit their safety warnings without collisions.

Adapting the Flexible Time Triggered Protocol to the vehicular field, we proposed the Vehicular Flexible Time Triggered protocol aiming to guarantee a bounded delay in vehicle communications. Following the original Flexible Time Triggered proposal (devised for cabled communications) we propose the use of an Elementary Cycle, where a protected communication period exists where only registered stations (road side units or on-board units) can communicate. Along with safety warnings, the Road Side units send trigger messages (TM) with information for on-board units to know the time instant when they are able to transmit the vehicle information (position, speed, acceleration, etc.) and any safety event. The motorway infrastructure validates the events using other means (such as cameras or induction sensors) and edits the information before broadcasting the safety warning. Since other types of communication besides safety warnings can exist, and also to allow non

registered vehicles to communicate, we reserve a free period before the end of the Elementary Cycle. The cycle then repeats, with the possibility of changing its periodicity if needed. The protocol allows coexistence of compliant and non-compliant vehicle on-board units and also allows the use if vehicle to vehicle communications that can occur in the Free Period if needed.

Besides the V-FTT protocol general definition, we proposed an adaptation to the IEEE802.11p/WAVE standard. We believe this is the most promising standard due to its adoption first in the USA and Japan and, after, in the EU which had to handle a complex process of releasing reserved bandwidth to accommodate spectrum in the 5,9GHz band. We proposed to adapt the Basic Safety Message (BSM) defined in the original WAVE standard to include additional information about safety events. CAM messages and DENM messages from ITS-G5 could easily be used in V-FTT as well.

We defined several worst-case analysis scenarios of the V-FTT protocol on top of IEEE802.11p/WAVE by quantification of the maximum time delay between the occurrence of an event and the correspondent warning of a vehicle on-board unit. We validated this adaptation using a fair scheduling system and a worst-case theoretical analysis for transmission delay and found we could in fact achieve a bounded delay. However, we found out that for the lower data rate (3Mbps), our results are not tolerable for some safety applications, particularly those with lower latencies such as Emergency Electronic Brake Light. This is in line with the ETSI recommendation of using a minimum bit rate of 6Mbps for its ETSI G5 standard. We ended by demonstrating the V-FTT protocol applicability to a real scenario, the A5 Portuguese motorway, where high speeds are combined with high traffic volumes. This was done by theoretical worst case analysis and using MATLAB to compute a realistic scenario: the A5 motorway, which is the portuguese busiest motorway.

The V-FTT protocol was also included in the Intelligent Cooperative Sensing for Improved traffic efficiency (ICSI) project (European Commission FP7), allowing several inputs and discussion from various industry and academic partners.

In the next chapter we will discuss future research directions that are worth investigating.

## 6.1. Future Research Topics

### 6.1.1 Handover and vehicle on-board unit registration

Since a road side unit is responsible for all on-board units in its coverage area, a handover process must be thought, in order for a road side unit to pass away information and responsibility of an on-board unit to the following road side unit in the motorway. The fact that vehicles follow a known path (motorway) and that the road side unit has the knowledge of the speed and positions of their (under control) on-board units can be very useful for the handover process. This is in the line of research done in Halmstad, Sweden. An analysis of the signalling overhead generated by the handover process could be done in order to verify if it has any influence on the V-FTT protocol results. We do believe that the infrastructure of a motorway should have the installed capacity to deal with that kind of problem.

The initial vehicle on-board unit registration process in the Safety Zone was based on a solution that needs to install road side units in all entries and exits of the motorway, to keep track of the vehicles movements. Vehicles can use any non-V-FTT MAC protocol to register themselves in the Safety Zone using the Free Period. Further analysis is needed in order to verify if this approach is sufficiently robust or if additional measures could be enforced.

## 6.1.2 Impact of the bandjacking technique in the V-FTT protocol

It was referred in chapter 6, that in certain situations, to avoid non-enabled stations to communicate during the protected window, road side units might need to seize the medium using a technique called bandjacking. Two types of bandjacking were referred. Protective bandjacking occurs when a V-FTT enabled station starts transmitting as soon as the medium is idle and before the smallest inter-frame space defined in the communication standard in order to seize the medium. This was the one used in out analysis.

The other type of bandjacking is called destructive bandjacking because it involves transmitting a long enough sequence of high power noise (black-burst) to force remaining stations to evaluate the channel as occupied. The length of the black-burst is equal to the longest message available. This situation was not studied and it might be worth analysing its impact in the results of the V-FTT protocol, particularly the increase in latency or the increase in the probability of an on-board unit having to drop a transmission packet by not having the opportunity of transmitting during the WAVE standard Control Channel Interval.

### 6.1.3 Validation of the V-FTT protocol using LTE-Advanced

The applicability of the V-FTT protocol to IEEE802.11p/WAVE was validated in chapter 6, and since ITS-G5 is very similar to WAVE, in terms of physical layer and MAC layer, we could easily extend this analysis to ITS-G5. A bigger challenge is to test the applicability of V-FTT in LTE Advanced. The cell range and the number of base stations have to be studied. One might think that, by providing cell range with similar coverage than the WAVE road side units, we

could obtain the same results, but several other factors must be analysed, since a high number of vehicles can cause different problems than in WAVE, such as power management and interference. Finally, even if we could mimic the application scenario defined in chapter 6 for WAVE, the cost of deploying a LTE network with the same distribution would be much higher than a regular IEEE802.11p/WAVE based network, therefore this approach would make no sense. Further studies are needed taking in account an actual LTE network distribution and its applicability to vehicular networks, perhaps with some minor changes to improve motorway coverage.

## 6.1.4 Impact of the V-FTT protocol in coexisting V2V communications

In the ICSI project [10] a proposal was done to allow the coexistence of I2V communications and the V-FTT protocol with vehicle to vehicle communications during the free period of the V-FTT protocol. For that purpose a Cluster Head (CH) selection algorithm and a Cluster Head frame were defined. In most traffic situations the coexistence of both types of communications should function well, however further analysis is needed for high traffic situations in order to determine if a minimum free period is needed to guarantee that minimum V2V communications (cluster head selection and cluster head frame) can occur.

## 6.1.5 Scheduling mechanism for vehicle communications using V-FTT

In our work we concluded earlier that an appropriate scheduling mechanism is needed, since the vehicle density and the available bandwidth suffer strong variations and there will be cases where the road side units can not serve all on-board units in one Elementary Cycle (EC). We proposed a scheduling mechanism to sort out on-board unit communications, giving priority to vehicles that have a higher risk of being involved in an accident. This risk is calculated based on the vehicles' relative speed and relative positions. We defined a "time to target" parameter, which is the time a vehicle takes to reach the closest vehicle and created a priority table where the vehicles with smaller "time to target" have higher priority in communicating their Basic Safety Message.

Some drawbacks were identified with this approach: vehicles that have no surrounding vehicles near by or travel at very low speeds might not get the chance to update their status in some scenarios. A minimum period of updating their information via a vehicle to infrastructure message must be enforced. Future work involves the validation of this scheduling proposal and subsequent improvements.

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# Annex A – List of publications

Year: 2009

Title: WAVE Based Architecture for Safety Services Deployment in Vehicular Networks

Authors: Nuno Ferreira, Tiago Meireles, José Fonseca, João Nuno Matos, Jorge Sales Gomes.

**Conference**: 8th IFAC International Conference on Fieldbuses and Networks in Industrial and Embedded Systems (2009), Hanyang University, Republic of Korea.

**Abstract**: The use of communication technologies to increase road safety is rising within the automobile world. Vehicle manufacturers, highway concessionaries, governments and academic research cooperate to find the best solution to add safety services relying on vehicle to vehicle communication systems (V2V) and among vehicles and the infrastructure (V2I) located on the roadside. Safety services, such as collision or sudden hard braking warning have delay-critical requirements. This paper proposes a WAVE (Wireless Access for Vehicular Environment) based architecture and a MAC protocol to disseminate time-critical messages for safety services in highways where the Road Side Units (RSUs) are not present in the instant a safety event is generated and, consequently, the message dissemination is done only through vehicles.

Year: 2009

Title: An RSU coordination scheme for WAVE safety services support

Authors: Nuno Ferreira, Tiago Meireles, José A. Fonseca

**Conference**: ETFA'09 Proceedings of the 14th IEEE international conference on Emerging technologies & factory automation, ETFA 2009, Mallorca, Spain.

**Abstract**: The use of wireless communication technologies to increase road safety is rising within the automobile world. Vehicle to Vehicle (V2V) communications is a very promising field but the slow vehicle renewal rate combined with the current world economic crisis turns V2V into a distant scenario. A more viable solution relies on Infrastructure to Vehicle Communications (I2V) and the use of the Wireless Access for Vehicular Environment (WAVE) standard, specifically tailored for delivering safety and multimedia messages in a highly dynamic communication environment. This work-in-progress paper addresses an open issue in a previous presented infrastructure based solution: the beacon coordination between adjacent Road Side Units (RSUs) and also a safety message retransmission mechanism performed by such RSUs.

#### Year: 2009

Title: Development of Vehicular Communications based on WAVE (802.11p)

Authors: Tiago Meireles, Nuno Ferreira, José A. Fonseca, João N. Matos

**Conference**: 8th International Conference and Workshop Ambient Intelligence and Embedded Systems, 23 - 25 September, 2009, Funchal, Portugal

**Abstract**: The use of communication technology is rising within the automobile world. Vehicle manufacturers, highway concessionaries, governments, academic researchers and Industry cooperate in order to develop vehicle communication systems, offering safety services that can reduce the number of road accidents, as well as providing multimedia entertainment services to vehicle passengers. Such communication systems, when embedded on vehicles, will still take many years before having a significant impact on everyday driver habits, due to the current vehicle renewal rate, which presents a large obstacle to the deployment of safety services with pure vehicle to vehicle communications (V2V) networks. We propose a Wireless Access for Vehicular Environments (WAVE) based mixed V2V and Vehicle to Infrastructure (V2I) communications solution for the transitional period, using a simple add-on system to the vehicle. Safety services, such as collision or hard-braking warning, have delay critical requirements. This paper describes two proposals for a WAVE based architecture and medium access protocol (MAC) to disseminate time-critical messages for safety services in highways, as well as a prototype for a WAVE compliant communication system that is being currently developed.

#### Year: 2010

#### Title: A 802.11p prototype implementation

**Authors**: Duarte Carona, António Serrador, Pedro Mar, Ricardo Abreu, Nuno Ferreira, Tiago Meireles, João Nuno Matos, Jorge Lopes

**Conference**: Intelligent Vehicles Symposium (IV), 2010 IEEE, 21-24 June 2010, Denver, USA

**Abstract**: This paper presents an IEEE 802.11p full-stack prototype implementation to data exchange among vehicles and between vehicles and the roadway infrastructures. The prototype architecture is based on FPGAs for Intermediate Frequency (IF) and base band purposes, using 802.11a based transceivers for RF interfaces. Power amplifiers were also addressed, by using commercial and in-house solutions. This implementation aims to provide technical solutions for Intelligent Transportation Systems (ITS) field, namely for tolling and traffic management related services, in order to promote safety, mobility and driving comfort through the dynamic and real-time cooperation among vehicles and/or between vehicles and infrastructures. The performance of the proposed scheme is tested under realistic urban and suburban driving conditions. Preliminary results are promising, since they comply with most of the 802.11p standard requirements.

#### Year: 2010

#### Title: Emergent Vehicular Communications: Applications, Standards and Implementation

Authors: João Nuno Matos, Arnaldo Oliveira, Tiago Meireles, Nuno Ferreira, Pedro Mar, José Fonseca, Duarte Carona, António Serrador, Jorge Lopes

**Conference**: 4.º Congresso do Comité Português da URSI "Comunicações rádio pessoais: redes de curto alcance e RFID", Setembro de 2010, Lisboa, Portugal

**Abstract**: Nowadays Dedicated Short Range Communications (DSRC) systems are used to charge vehicles in highways and other infrastructures. Nevertheless wireless communications are getting pervasive within the automobile world, leading to a vehicular communications environment where information is exchanged between vehicles and the road infrastructure. Such network may be supported by the recent Wireless Access for Vehicular Environment (WAVE) standard, specifically tailored for safety and infotainment information exchange within the highly dynamic vehicular communication environment. This paper describes the motivation, the typical application scenarios, the underlying standards and a prototype implementation for the WAVE based next generation DSRC systems that will support safety critical and infotainment applications in vehicular environments.

Year: 2011

**Title**: Safety Services in Infrastructure Based Vehicular Communications

Authors: Tiago Meireles, José Fonseca

**Conference**: IEEE Conference on Emerging Technologies & Factory Automation, 2011. ETFA 2011, Toulouse, France

**Abstract**: The use of communication technologies to increase road safety is rising within the automobile world. Many entities cooperate to find the best solution to add safety services relying on vehicle to vehicle communication systems (V2V) and among vehicles and the infrastructure (V2I) located on the roadside. However due the relatively low vehicle renewal rate and economy restrictions a large transitory period is expected to happen. Safety services, such as collision or emergency electronic brake lights have delay-critical requirements. This work-in-progress paper (WiP) proposes a WAVE (Wireless Access for Vehicular Environment) based architecture and a MAC protocol where Road Side Units (RSUs) play a central role in scheduling vehicles safety message transmission with guaranteed bounded delay. Year: 2015

**Title**: The Case for Wireless Vehicular Communications Supported by Roadside Infrasctructure"

Authors: Tiago Meireles, José Fonseca, Joaquim Ferreira

**Book**: *Intelligent Transportation Systems Technologies and Applications*, Asier Perallos, Unai Hernandez-Jayo and Enrique Onieva (ed)

**ISBN:** 9781118894781

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