

1 **Production of lipases by solid state fermentation using vegetable oil-refining wastes**

2

3 Angelica Santis-Navarro, Teresa Gea, Raquel Barrena, Antoni Sánchez*

4 Composting Research Group, Department of Chemical Engineering, Escola d'Enginyeria,

5 Universitat Autònoma de Barcelona, Bellaterra, Cerdanyola del Vallès, 08193 Barcelona,

6 Spain

7

8 *Corresponding author: Tel.: +34 935811019; Fax: +34 935812013.

9 E-mail address: antoni.sanchez@uab.cat

10

11 **Abstract**

12 Lipases were produced by a microbial consortium derived from a mixture of
13 wastewater sludge and solid industrial wastes rich in fats at thermophilic conditions
14 (temperature higher than 45°C for 20 days) in 4.5-L reactors and extracted from the solid
15 medium using an extraction buffer (Tris-HCl 100 mM, pH 8.0) and a cationic surfactant agent
16 (cetyltrimethylammonium chloride). Different doses of surfactant and buffer were tested
17 according to a full factorial experimental design. The extracted lipases were most active at 61-
18 65°C and at pH 7.7 to 9. For the solid samples, the lipolytic activity reached up to 120,000
19 UA/g of dry matter. These values are considerably higher than those previously reported in
20 literature for solid-state fermentation and highlight the possibility to work with the solid
21 wastes as effective biocatalysts.

22

23 *Keywords*

24 Solid-state fermentation; Lipase; Thermostability; Organic wastes; Sewage sludge.

25

26 **1. Introduction**

27 Solid-state fermentation (SSF) is defined as the fermentation process on moist solid
28 substrate in the absence or near absence of free water (Pandey, 2003). SSF can be used for the
29 production of enzymes utilizing various substrates including solid wastes. Lipase production
30 by SSF under different process conditions, with different microorganisms and substrates has
31 been reported (Godoy et al., 2009; Hernández-Rodríguez et al., 2009; Sun et al., 2009).
32 However, most studies were carried out using a few grams of substrate, mesophilic
33 temperatures and pure cultures of known microorganisms. Only a few studies have been
34 carried out at pilot or industrial scales (Kumar et al., 2009; Edwinoliver et al., 2010). SSF
35 encounters problems related to mass and heat transfer phenomena associated with solid
36 substrates (Pandey et al., 2008), and the use of natural solid substrates can hinder downstream
37 processes (Rodríguez-Couto and Sanromán, 2006), especially when extracting lipophilic
38 enzymes such as lipases (Mala et al., 2007). Fermented solids have been used as naturally
39 immobilized biocatalysts for synthesis reactions in lyophilized (Hernández-Rodríguez et al.,
40 2009) or dried form (Hellner et al., 2010). This approach can lead to lower costs of enzyme
41 preparations since no extraction and purification steps are carried out.

42 The main objective of the current study was to develop a scalable SSF process for
43 lipase production simulating real adiabatic conditions in full-scale processes, to optimize the
44 extraction procedure of the lipases and to evaluate the use of fermented solids as biocatalysts.
45 Waste derived from the vegetable oils refining industry was used as substrate and sewage
46 sludge served as source of microorganisms. The thermostability of the enzyme extract was
47 proved to be effective in commercial tests used for determining the hydrolysis activity and the
48 optimal conditions for extraction were obtained using a full factorial experimental design.
49 Moist and air-dried fermented solids were tested as biocatalysts for the hydrolysis of olive oil,
50 selected as standard hydrolysis reaction.

51

52 **2. Material and methods**

53 *2.1. SSF materials*

54 A mixture of winterization residue (WR) and raw sludge was used as solid matrix for
55 SSF experiments. The main characteristics of the materials are summarized in Table 1. WR
56 was provided by the LIPSA (Lípidos Santiga S.A, Barcelona) oil-refining facility. WR is
57 obtained by submitting vegetable oil to rapid cooling to 5°C over 24 h and removal of waxes
58 by filtering with diatomaceous earth. This waste was selected as a source of fats because of its
59 stable and homogeneous composition according to the manufacturer's information.

60 Raw sludge (RS) was added to WR as inoculum and co-substrate to provide moisture
61 and nutrients (Gea et al., 2007). RS was collected after centrifugation from the Metrofang
62 wastewater treatment plant of Besòs (Barcelona, Spain), a very big facility treating
63 wastewater of 1.5 millions inhabitants. The RS/WR mixture had 20% of total fats (dry basis)
64 (Gea et al., 2007). Wood chips were added as a bulking agent to the mixture of RS and WR at
65 a ratio of 1:1 (v:v) to provide proper porosity to maintain aerobic conditions. The water
66 content of the mixture was adjusted to 50% by adding tap water before SSF (Table 1).

68 *2.2. SSF experiments*

69 Experiments were undertaken in 4.5 L Dewar® vessels (Sayara et al., 2010)
70 containing 2.5 kg of mixture. The vessels set-up allowed a continuous air supply, temperature
71 monitoring, separate leachate collection and oxygen monitoring to ensure aerobic conditions
72 (oxygen content around 10-12%). Due to the thermal isolation of the vessels, these reactors
73 work under adiabatic conditions, to simulate real SSF processes where a non-constant
74 temperature evolution is produced due to the limitations of heat transfer in organic matrices
75 (Barrena et al., 2006). The SSF experiments were undertaken for 35 days, and samples were
76 collected after 6, 14, 27 and 35 days. The two replicate reactors were opened and the content
77 was mixed well to obtain homogenous and representative samples.

78 2.3. Enzyme extraction optimization

79 The entire mass of the SSF reactor was homogenized and 150 g were sampled for
80 extraction experiments. Two grams of this sample were used for each condition proposed in
81 the experimental design. The sample was transferred to an Erlenmeyer flask and
82 supplemented with buffer Tris-HCl (100 mM, pH 8.0) and a surfactant agent. Different doses
83 of surfactant and buffer were tested according to Section 2.4. Cetyltrimethylammonium
84 chloride solution (Aldrich) was selected as surfactant after screening and comparison with
85 other different anionic, cationic and non ionic surfactants (data not shown).

86 The extraction was carried out at 37°C on an orbital shaker (100 rpm, 30 min). After
87 30 minutes the whole content of Erlenmeyer flask was centrifuged at 10000 rpm for 5 min
88 (4°C) and the supernatant was filtered and used as the enzyme source for the estimation of
89 lipase activity. Prior to the extraction, sample was washed with Tris-HCl buffer (15 mL/g)
90 without surfactant agent to remove soluble compounds (no lipolytic activity was detected).

91 For the determination of the best conditions for lipase extraction a full factorial
92 experimental design consisting of 15 experiments (12 experiments and three replications at
93 central point for statistical validation) was carried out with the four samples obtained from the
94 SSF process. The selected doses of buffer to wet solid substrate ratio were 15, 90, 200 and
95 500 mL/g and the surfactant percentages in aqueous extract were 2%, 6% and 10% (v/v). The
96 fraction of lipolytic activity obtained in the extract over the total extractable activity was
97 selected as the objective function. Total extractable activity was estimated by successive
98 extractions from a solid sample until no activity was detected.

99 The optimization of the proposed polynomial function to obtain the corresponding
100 optimal conditions for extraction was solved by using the Excel solver tool. Statistical testing
101 of model was done by the Fisher's statistical test for analysis of variance (Anova).

102

103 2.4. Lipolytic activity

104 Lipase activity in solid samples (wet and air-dried) was determined as described by
105 Hernández-Rodríguez et al. (2009), whereas the activity in liquid extracts was measured using
106 a lipase colorimetric assay (kit 1821792, Roche diagnostics, Basel, Switzerland) (Resina et
107 al., 2004). For both methods, one lipolytic activity unit (AU) was defined as the amount of
108 lipase necessary to hydrolyze 1 μmol of ester bond per minute under assay conditions
109 (temperature 30°C, pH 8) and it was referred to the amount of solid substrate used for
110 obtaining the extract sample, both wet (AU/g) or dry (AU/g DM).

111

112 *2.5. Effect of pH and temperature on lipolytic activity*

113 The effects of pH and temperature (T) on lipolytic activity were analyzed by a full
114 factorial experimental design consisting of 15 experiments (12 experiments and three
115 replications at central point for statistical validation). The temperatures were fixed at 30, 45,
116 60 and 75 °C and the pH at 5.0, 7.0 and 9.0. Residual lipolytic activity (RA, referred to the
117 initial activity of the extracts) after one hour of incubation was selected as the objective
118 function and as a measure of lipase stability. Buffers used for the incubation at the selected
119 pH were: Tris-HCl 1M, pH 9.0; Tris-HCl 1M, pH 7.0; acetic acid-sodium acetate 1M, pH 5.0.

120

121 *2.6. Analytical methods*

122 Moisture content and organic matter were determined according the standard
123 procedures (Gea et al., 2007). The fat content was measured using a standard Soxhlet method
124 with n-heptane as organic solvent (The U.S. Environmental Protection Agency, Method
125 9071B).

126

127 **3. Results and discussion**

128 *3.1. SSF experiments*

129 The fermentation process was under thermophilic conditions for 20 days, whereas the
 130 evolution of fat content is presented in Table 2. An important reduction in fat content was
 131 observed in the first 15 days but no significant fat degradation occurred after that moment,
 132 resulting in a final fat content around 5%, which may correspond to fats difficult to
 133 biodegrade (Gea et al., 2007).

134

135 3.2. Enzyme extraction and lipolytic activity in extracts

136 Extraction results obtained with the different conditions specified in the experimental
 137 design were fitted to different mathematical models. A lineal polynomial expression was used
 138 to start and quadratic and interaction terms were added by observing the evolution of the
 139 regression coefficient to reach a value where the differences in the goodness of fit were
 140 minimal (López et al., 2004). For the four samples analyzed, the best fitting obtained from the
 141 experimental design was a full second-order polynomial function according to equations 1-4:

$$142 Y_6 = 0.0217 + 0.0181x_1 - 0.0063x_2 - 0.0004x_1^2 - 0.0016x_2^2 - 0.0059x_1x_2 \quad (1)$$

$$143 Y_{14} = 0.7398 + 0.6284x_1 - 0.2027x_2 - 0.0340x_1^2 - 0.0522x_2^2 - 0.1808x_1x_2 \quad (2)$$

$$144 Y_{27} = 0.0317 + 0.0293x_1 - 0.0090x_2 + 0.0007x_1^2 - 0.0016x_2^2 - 0.0085x_1x_2 \quad (3)$$

$$145 Y_{35} = 0.0172 + 0.0159x_1 - 0.0047x_2 + 0.0004x_1^2 - 0.0010x_2^2 - 0.0043x_1x_2 \quad (4)$$

146 where: Y represents the fraction of lipolytic activity obtained over total extractable activity
 147 (objective function); x_1 is the buffer dose (mg/L) and x_2 is the percentage of surfactant added
 148 (%). Both x_1 and x_2 were normalized values.

149 Fig. 1 shows the response surface obtained from the above equations for the four
 150 samples considered. From Fig. 1 and the coefficient values found in equations 1-4 it can be
 151 concluded that the buffer dose has a positive effect on the yield of enzyme extraction, whereas
 152 the opposite effect was observed for the surfactant fraction (sign of x_1 and x_2 polynomial
 153 coefficients). The effect of buffer dose on extraction yield was more important than surfactant

154 dose, as indicated by the value of x_1 coefficient, approximately three times higher than that of
155 x_2 . The optimal values for extraction were 500 mL/g of buffer dose and 2% of surfactant.

156 As shown in Table 2, only small percentages of activity were recovered even at
157 optimized conditions. However, it was decided not to extend the range of study because doses
158 higher than 500 mL/g would not be economically viable at industrial scale. Other strategies
159 such as a multistep extraction procedure (Mala et al., 2007) should be considered in the future
160 for the scale-up of the extraction process. Values of extracted activity were around 50 AU/g at
161 optimized conditions, and thus in the lower range of values reported in the literature
162 (Hernández-Rodríguez et al., 2009); however, total extractable lipolytic production was in the
163 upper range and the value for the sample from day 14 was higher than previously reported
164 values. The lipolytic activity showed a maximum at day 14, coinciding with the period of
165 maximum fat degradation (Table 2). After 13 days the activity considerably decreased (day
166 27), which can be attributed to the complete consumption of biodegradable fats (Gea et al.,
167 2007).

168

169 3.3. Lipolytic activity in fermented solids

170 In view of the low extractable activity, the activity of wet and air-dried solid samples
171 was determined (Table 2). A statistically significant difference in the lipolytic activity
172 observed in wet and air-dried samples was observed except for the sample of day 27. Wet
173 samples obtained at the beginning of the process showed higher activity than wet samples
174 taken at later time points, whereas the opposite was noted for the dry samples.

175 The activity in the solid samples was higher than that of aqueous extracts. The lipase
176 activity in the solids was very high, and it would be of interest to identify the different
177 enzymes that contributed to this high level of activity. A possible explanation for this
178 difference may be related to the low water activity that most lipases require (Hasan et al.,
179 2009).

180 The levels of lipolytic activity obtained from fermented solids are the highest reported
 181 on SSF to the authors' knowledge, two orders of magnitude over any value published.
 182 Although lipase activity units reported on literature are obtained with very diverse methods,
 183 and often they are not directly comparable, the findings reported here highlight an
 184 extraordinary potential for the use of fermented solids as biocatalyst. Additionally, the
 185 comparison with other published works should consider that often the enzyme activity is
 186 obtained with a pure strain, while in this work the microbial consortia used probably produced
 187 a mixture of different lipases with different catalysis potential. The identification of these
 188 enzymes could be the subject of future work.

189

190 3.4. Characteristics of lipases

191 The effect of temperature (T) and pH on stability of the extracted enzymes was studied
 192 by means of a factorial experimental design. The best fitting for experimental residual activity
 193 (RA, referred to the initial activity of each extract, Table 2) that was selected as objective
 194 function was obtained for a second-order polynomial model for the four samples analyzed.

195 The equations obtained in this case were:

$$196 \quad RA_6 = 0.9940 + 0.2700T + 0.0735pH - 0.2357T^2 - 0.0695pH^2 - 0.0339TpH \quad (5)$$

$$197 \quad RA_{14} = 1.0015 + 0.3408T + 0.0965pH - 0.3299T^2 - 0.0942pH^2 - 0.0554TpH \quad (6)$$

$$198 \quad RA_{27} = 0.9070 + 0.3063T + 0.0925pH - 0.2709T^2 - 0.0189pH^2 - 0.0518TpH \quad (7)$$

$$199 \quad RA_{35} = 0.9579 + 0.2078T + 0.0968pH - 0.2657T^2 - 0.0838pH^2 + 0.0192TpH \quad (8)$$

200 For these equations the regression coefficients (R^2) of RA_6 , RA_{14} , RA_{27} , and RA_{35} were 0.87,
 201 0.80, 0.86 and 0.77, respectively. In general, it was observed that there was a good
 202 correlation, with no statistically significant differences between the estimated and the actual
 203 value according to the F-test of the experimental design. Fig. 2 shows the response surface
 204 obtained for the equations 5-8. Lipase activity was more sensitive to temperature (T) than pH
 205 (the values of coefficients for T are higher than those of pH). The coefficients indicated that

206 high values of both T and pH have a positive effect on residual activity. Lipolytic activity
207 (Fig. 2) was markedly stimulated by temperature in the thermophilic range that indicated a
208 lipase reactivation. There is no clear trend regarding the pH influence on lipase activity, but if
209 this parameter is associated with the temperature, it changes the residual lipolytic activity at
210 alkaline pH with a maximum at pH 9.0. For the four samples analyzed, optimal stability was
211 observed at temperatures in the thermophilic range (61-65°C) and alkaline pH (7.7-9.0).

212

213 **4. Conclusions**

214 The study on scalable SSF with vegetable oil refining industry waste has shown that
215 this waste is a good source for lipolytic enzymes production with promising properties. The
216 use of fermented solids as biocatalysts is also promising in terms of low-cost production
217 process with high yield potential. Further research should explore the application of the
218 obtained lipases in novel synthetic routes and their identification. Another point that needs
219 attention is the reproducibility of the source of microorganism used since sludge and, in
220 general, organic solid wastes are inherently variable in chemical composition and in the
221 characterization of the existing microbial communities.

222

223 **Acknowledgements**

224 Authors thank the financial support provided by the Spanish Ministerio de Ciencia e
225 Innovación (Project CTM2009-14073-C02-01) and LIPSA for its collaboration in providing
226 the materials for this study.

227

228 **References**

- 229 Barrena, R., Cánovas, C., Sánchez, A., 2006. Prediction of temperature and thermal inertia
230 effect in the maturation stage and stockpiling of a large composting mass. *Waste Manage.*
231 26, 953-959.
- 232 Edwinoliver, N.G., Thirunavukarasu, K., Naidu, R.B., Gowthaman, M.K., Nakajima Kambe,
233 T., Kamini, N.R., 2010. Scale up of a novel tri-substrate fermentation for enhanced
234 production of *Aspergillus niger* lipase for tallow hydrolysis. *Bioresource Technol.* 101,
235 6791-6796.
- 236 Gea, T., Ferrer, P., Alvaro, G., Valero, F., Artola, A., Sánchez, A., 2007. Co-composting of
237 sewage sludge:fats mixtures and characteristics of the lipases involved. *Biochem. Eng. J.*
238 33, 275-283.
- 239 Godoy, M.G., Gutarra M.L.E, Maciel, F.M., Felix, S.P, Bevilaqua, J.V., Machado, O.L.T.,
240 Freire, D.M.G., 2009. Use of a low-cost methodology for biodegradation of castor bean
241 waste and lipase production. *Enzyme. Microb. Technol.* 44, 317-322.
- 242 Hasan, F., Ali Shah, A.A., Hameed, A., 2009. Methods for detection and characterization of
243 lipases: A comprehensive review. *Biotechnol. Adv.* 27, 782-798.
- 244 Hellner, G., Toke, E.R., Nagy, V., Szakács, G., Poppe, L., 2010. Integrated enzymatic
245 production of specific structured lipid and phytosterol ester compositions. *Process*
246 *Biochem.* 45, 1245-1250.
- 247 Hernández-Rodríguez, B., Córdova, J., Bárzana, E., Favela-Torres, E., 2009. Effects of
248 organic solvents on activity and stability of lipases produced by thermotolerant fungi in
249 solid-state fermentation. *J. Mol. Catal. B: Enzym.* 61, 136-142.
- 250 Kumar, S., Shrivastava, N., Sengupta, B., Gomes, J., 2009. Scale-up of a solid-state
251 bioconversion process for Lovastatin production in a 1200 liter reactor. In: *Book of*
252 *Abstracts III International Conference on Environmental, Industrial and Applied*
253 *Microbiology, BioMicroWorld2009. Lisbon (Portugal).*

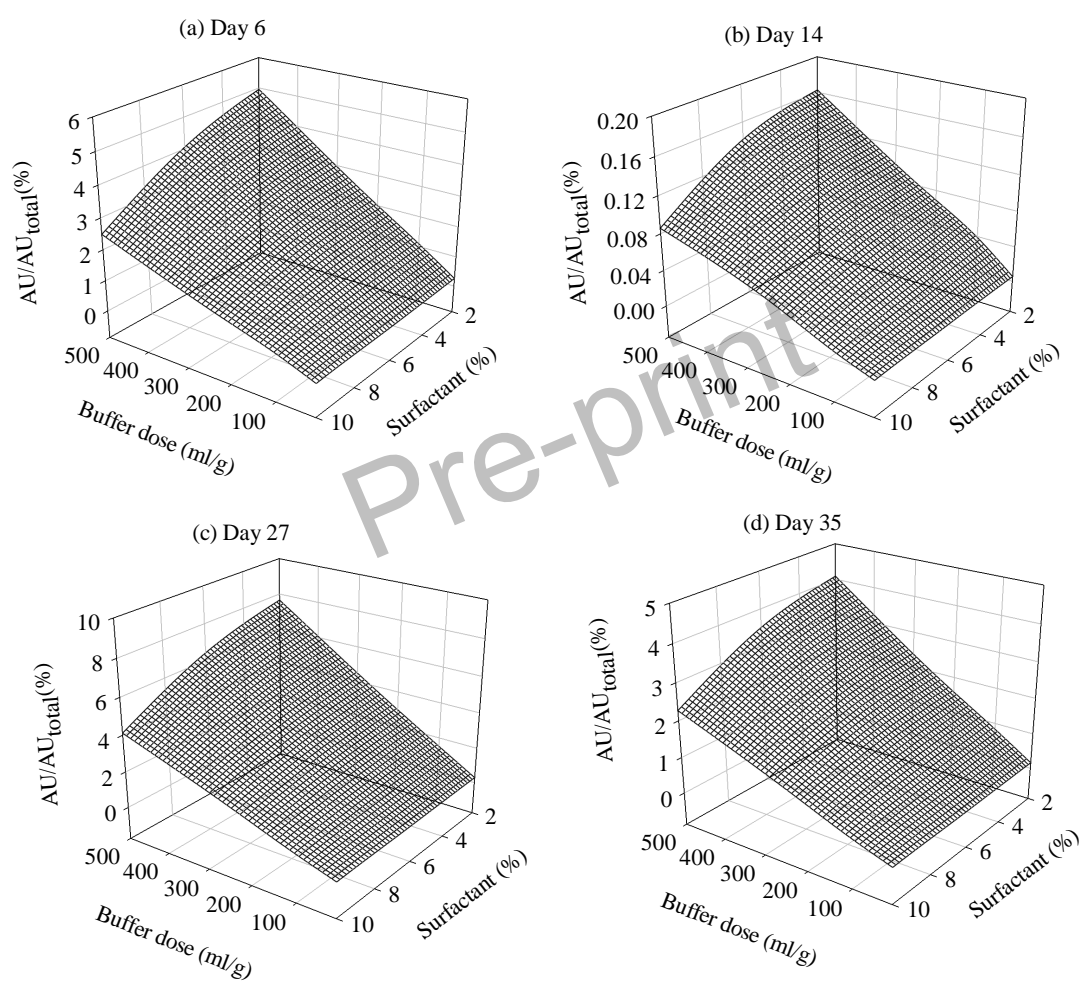
- 254 López, N., Pernas, M.A., Pastrana, L.M., Sánchez, A., Valero, F., Rúa, M.L., 2004. Reactivity
255 of pure *Candida rugosa* lipase isoenzymes (Lip1, Lip2 and Lip3) in aqueous and organic
256 media. Influence of the isoenzymatic profile on the lipase performance in organic media.
257 *Biotechnol. Prog.* 20, 65-73.
- 258 Mala, J.G., Edwinoliver, N.G., Kamini, N.R., Puvanakrishnan, R., 2007. Mixed substrate
259 solid state fermentation for production and extraction of lipase from *Aspergillus niger*
260 MTCC 2594. *J. Gen. Appl. Microbiol.* 53, 247-253.
- 261 Pandey, A., 2003. Solid-state fermentation. *Biochem. Eng. J.* 13, 81-84.
- 262 Pandey, A., Soccol, C.R., Larroche, C., 2008. Current developments in solid-state
263 fermentation. Springer, Asiatech Publishers, INC. New Delhi (India).
- 264 Resina, D., Serrano, A., Valero, F., Ferrer, P., 2004. Expression of a *Rhizopus oryzae* lipase in
265 *Pichia pastoris* under control of the nitrogen source-regulated formaldehyde
266 dehydrogenase promoter. *J. Biotechnol.* 109, 103-113.
- 267 Rodríguez-Couto, S., Sanromán, M.A., 2006. Application of solid-state fermentation to food
268 industry. A review. *J. Food Eng.* 76, 291-302.
- 269 Sayara, M. Sarrà, M., Sánchez, A., 2010. Effects of compost stability and contaminant
270 concentration on the bioremediation of PAHs contaminated soil through composting. *J.*
271 *Hazar. Mat.* 179, 999-1006.
- 272 Sun, S.Y., Xu, Y., Wang, D., 2009. Novel minor lipase from *Rhizopus chinensis* during solid-
273 state fermentation: Biochemical characterization and its esterification potential for ester
274 synthesis. *Bioresource Technol.* 100, 2607-2612.
- 275
- 276

277 **Figure captions**

278

279 **Fig. 1.** Surface response corresponding to lipolytic activity extracted fraction for different
280 doses of buffer and surfactant agent for samples obtained in a) day 6; b) day 14; c) day 27; d)
281 day 35.

282



283

284

285

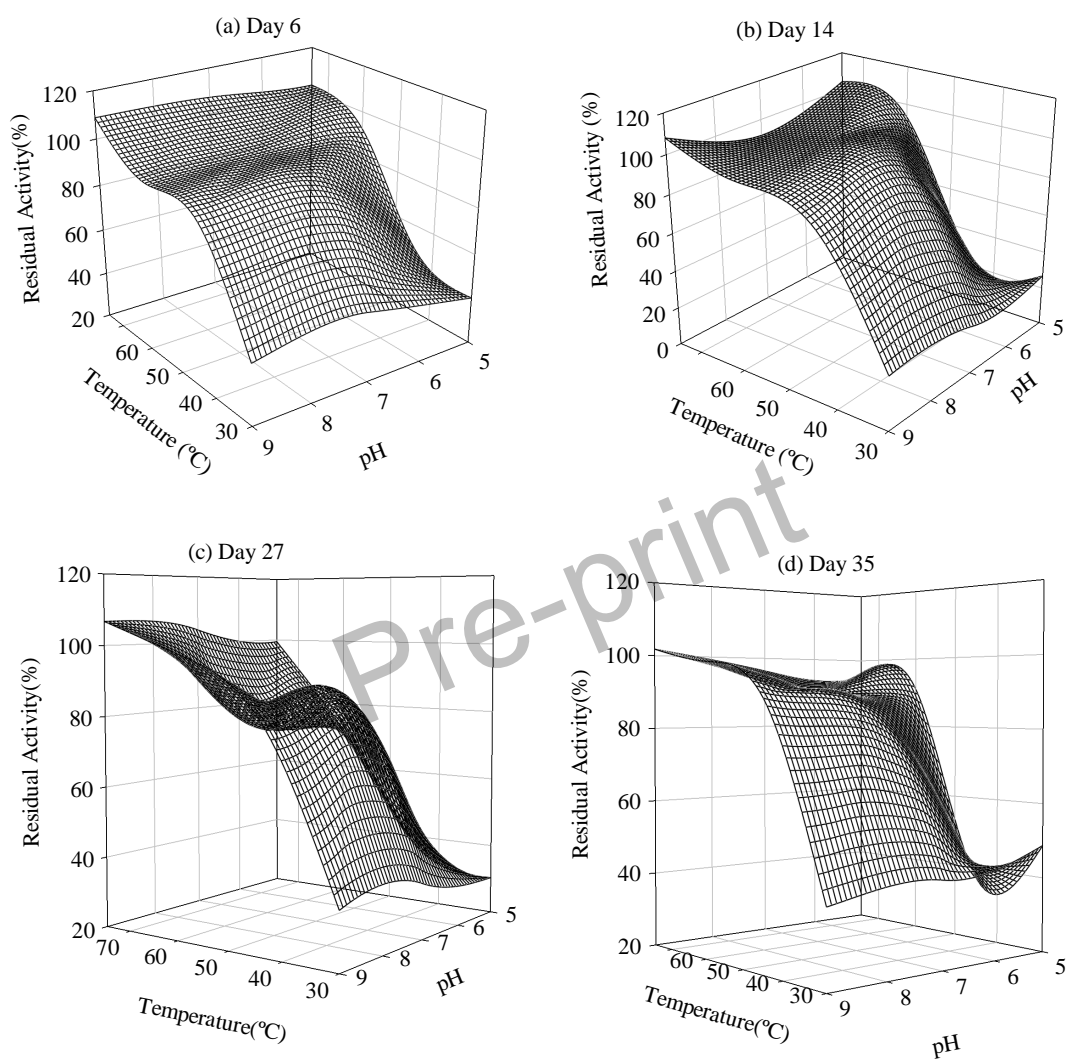
286

287

288 **Fig. 2.** Surface response corresponding to lipase stability for different pH and temperatures
289 for samples obtained in a) day 6; b) day 14; c) day 27; d) day 35.

290

291



292

293 **Tables**

294

295 **Table 1.** Main characteristics of the SSF materials and mixture.

296

Parameter	Raw sludge	Winterization residue	Wood chips	Fermentation mixture
Moisture (%)	66.9	-	9.1	49.5
Dry matter (%)	33.1	100	90.9	50.1
Organic matter (% , dry basis)	83.5	74.9	-	79.6
Fat content (% , dry basis)	15.9	53.1	-	19.7

297

298

299

300

301

302 **Table 2.** Lipase activity measured in extracts and fermented solids and total extractable

303 activity.

304

Sample	Total fat content	Total extractable activity		Enzymatic activity in extract at optimized conditions		Enzymatic activity in solid samples	
	(% , dry basis)	UA _{total} /g	UA _{total} /g DM	UA/g	UA/UA _{total} (%)	Wet samples (UA/g DM)	Air-dried samples (UA/g DM)
Day 6	16	1051	1752	52	5.0	106517	13938
Day 14	5	31550	49113	51.3	0.2	120731	20925
Day 27	6	698	1371	53.4	7.7	87906*	88000*
Day 35	5	1259	2478	51.5	4.1	44928	85251

305

306 * Samples with enzymatic activity that is not statistically different.