

# BMJ Open Effectiveness of multicomponent interventions in primary healthcare settings to promote continuous smoking cessation in adults: a systematic review

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## ABSTRACT

**Objective:** The objective of the present review is to evaluate multicomponent/complex primary care (PC) interventions for their effectiveness in continuous smoking abstinence by adult smokers.

**Design:** A systematic review of randomised and non-randomised controlled trials was undertaken.

**Eligibility criteria for included studies:** Selected studies met the following criteria: evaluated effects of a multicomponent/complex intervention (with 2 or more intervention components) in achieving at least 6-month abstinence in adult smokers who visited a PC, biochemical confirmation of abstinence, intention-to-treat analysis and results published in English/Spanish.

**Methods:** We followed PRISMA statement to report the review. We searched the following data sources: MEDLINE, Web of Science, Scopus (from inception to February 2014), 3 key journals and a tobacco research bulletin. The Scottish Intercollegiate Guidelines Network checklists were used to evaluate methodological quality. Data selection, evaluation and extraction were done independently, using a paired review approach. Owing to the heterogeneity of interventions in the studies included, a meta-analysis was not conducted.

**Results:** Of 1147 references identified, 9 studies were selected (10 204 participants, up to 48 months of follow-up, acceptable methodological quality). Methodologies used were mainly individual or group sessions, telephone conversations, brochures or quit-smoking kits, medications and economic incentives for doctors and no-cost medications for smokers. Complex interventions achieved long-term continuous abstinence ranging from 7% to 40%. Behavioural interventions were effective and had a dose–response effect. Both nicotine replacement and bupropion therapy were safe and effective, with no observed differences.

**Conclusions:** Multicomponent/complex interventions in PC are effective and safe, appearing to achieve greater long-term continuous smoking cessation than usual care and counselling alone. Selected studies were heterogeneous and some had significant losses to follow-up. Our results show that smoking

## Strengths and limitations of this study

- Our systematic review shows that multicomponent primary care interventions yield feasible, effective, safe smoking cessation.
- Multiple component primary care interventions best achieve long-term continuous abstinence.
- Multiple component strategies should include setting a ‘D-day’ and drug therapies.
- Despite the rather strict inclusion criteria of the present review, we found quite high heterogeneity in the results.
- Most essential components of the interventions studied are not clearly established.

interventions should include more than one component and a strong follow-up of the patient to maximise results.

## BACKGROUND

Tobacco use continues to be the primary cause of premature and overall mortality worldwide,<sup>1</sup> and treating the smoker is considered the ‘gold standard’ for the prevention of chronic diseases.<sup>2–3</sup> Healthcare interventions are low cost in relation to the gains achieved in quality and years of life. From the public health point of view, the results obtained may constitute the most cost-effective means of improving the health of a population.<sup>3–6</sup> Smoking cessation interventions carried out by health professionals have been shown to increase abstinence rates.<sup>7–10</sup> A minimum of smoking cessation counselling achieves an average of 5% cessation in the general population per year;<sup>11</sup> if combined with intensive follow-up, this rate can exceed 20%.<sup>12–13</sup> When health professionals offer assistance and support to break the

habit, the likelihood of smoking cessation increases; furthermore, this effect increases with the frequency and duration of the interventions. In general, the proportion of abstinence increases with the intensity of the intervention, the time spent, and the number and diversity of contacts, including follow-up visits.<sup>12</sup> On the other hand, various systematic reviews and meta-analyses have shown the utility of applying treatments with demonstrated efficacy.<sup>5 14 15</sup>

Interventions undertaken to promote smoking cessation, as well as other healthy behaviours, imply a wide spectrum of complexity, due to numerous factors: (1) the number and difficulty of the behaviours required of participants, (2) the diverse types of professionals who apply the intervention, (3) the existence of diverse, separate components that can act independently of and interact with each other, (4) numerous variables with diverse degrees of difficulty in their measurement, (5) number and variability of outcomes, (6) changeable and dynamic environments, and (7) the need for flexibility and adjustment of the interventions. This complexity exerts a deep influence on the design of these interventions, and the methodology, the measurements, the analysis and the results of these initiatives.<sup>16–18</sup> In addition to dissemination in scientific journals, these interventions should be adapted to different settings and feasible to implement.<sup>19</sup> According to Grandes *et al*<sup>20</sup>, multicomponent interventions are becoming more frequent, and offer a promising new alternative in improving lifestyle behaviours such as smoking cessation. Systematic reviews that assemble scientific analysis on the efficiency of the simple interventions are abundant; in contrast, the syntheses of the results of more complex interventions are scarce, although lately they have been attracting great interest.<sup>16 21 22</sup>

A 'complex intervention' is quite hard to define precisely and can sometimes be difficult to distinguish from a simple one; moreover, we have not found a clear definition for this term.<sup>16 23</sup> Complexity can be explained for several different factors.<sup>18</sup> We understand the term 'complex intervention' as those interventions with at least two interacting components, such as procedures (email tracking, for instance), behaviours (individual or groupal interventions, for instance) or products (medicines).<sup>16 21</sup>

The purpose of the present paper is to undertake a systematic review focused on multicomponent interventions, with the aim to offer a tool that could be useful both to researchers and general practitioners in the primary care setting. The specific objective of this study is to determine the effectiveness of these interventions in achieving continuous tobacco abstinence in smokers aged 18 or older in the primary care setting. In order to make the findings more practical and applicable, our search strategy was focused on primary care setting. Primary care is the most adequate and important setting to implement interventions, to help smokers quit, because most smokers visit their primary care

professionals at least once a year<sup>5 24 25</sup> and is the health-care level that can systematically integrate the methodologies for health promotion and disease promotion.

## METHODS

The Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement was used as a guide for reporting this review.<sup>26</sup>

### Eligibility criteria

Articles included in this review met the Population, Intervention, Comparison, Outcome (PICO) criteria contained in WHO guidelines. Selected studies met the following criteria: evaluated the effects of interventions with at least two interacting components in individuals older than 18 years who smoke any quantity of tobacco and receive primary care. Smoking cessation was considered by achieving at least 6 months of continuous smoking abstinence, verified biochemically. The definition of continuous smoking abstinence was based on that proposed by Hughes *et al*<sup>27</sup> in 2003: a period of total abstinence between a quit date and a follow-up evaluation. We selected randomised controlled trials (RCT) or non-RCTs, published in English or Spanish, which included interventions with at least two components, designed to help patients stop smoking and took an intention-to-treat (ITT) approach. Studies were excluded that were carried out only in adolescents (<18 years old), did not report continuous abstinence ( $\geq 6$  months), were not carried out in the primary care setting or did not analyse the data by ITT. Data from individuals with certain diseases (eg, cardiovascular disease or diabetes) and specific sectors of the population (eg, pregnant women) were included if the study had been carried out in the primary care setting.

### Information sources

Searches were conducted in three databases, MEDLINE (via PubMed), Web of Science and SCOPUS, and supplemented with a manual search of the archives of three key journals: *Addiction*, *Tobacco Control*, and *Nicotine and Tobacco Research*. In addition, the periodic alerts from Smoking and Tobacco Abstracts and News (STAN Bulletin)<sup>28</sup> were searched, followed by a reverse search based on references cited in the key articles recovered using the initial search strategy. The search period extended from the inception of the database or journal through the end of February 2014. The entire search process was supported by bibliographic specialists of the School of Medicine, Universitat Autònoma de Barcelona.

### Search

The search strategy combined a controlled vocabulary and free-text terms; the full strategy used for MEDLINE (via PubMed) is described in online supplementary annex 1.

### Study selection and data collection process

All references identified in the different databases were imported into Reference Manager (V.12), and duplicate bibliographic records were eliminated.

Ten reviewers participated in the selection process. Each article identified was randomly assigned to two reviewers, who independently reviewed the title and abstract to identify articles meeting the inclusion criteria for full-text review. Each reviewer received the basic citation data, the abstract and an Excel database containing the fields to be considered (study population, primary care setting, type of intervention and results obtained). Any disputed selections were resolved by a third reviewer.

Two different reviewers evaluated the full text of the selected articles to confirm their eligibility according to the inclusion criteria. In case of any disagreement, a third reviewer made the final decision. An ad hoc form was designed to extract data from the full text of the selected articles.

### Risk of bias in studies

To assess the methodological quality of the selected studies, the SIGN (Scottish Intercollegiate Guidelines Network) checklist for RCTs was used, along with a version of the SIGN guidelines adapted for non-randomised studies (see online supplementary annex 2). The quality review included 10 general items and overall evaluation item that classified each study as high quality, acceptable or low quality. A study was considered high quality if it met the majority of the criteria, showed little or no risk of bias, and the results seemed unlikely to change due to additional research. Quality was considered acceptable if most criteria were met but there were some flaws and an associated risk of bias, and further study could change the reported conclusions. Studies that failed to meet the majority of the criteria, had major flaws, and whose conclusions were likely to change in light of further studies were considered low quality and excluded from analysis.

### Synthesis of results

The present review is narrative because of the great heterogeneity of the studies included. Differences in the age of participants, populations studied, diversity in statistics and outcome measures made unfeasible to report a global effect size. Moreover, the type and duration of the interventions and follow-up varied widely among the studies, and consequently a formal meta-analysis to combine study results was not possible. The results of the selected studies were summarised in tables that show the years of the study, the population, type of study, type of intervention, major results, author conclusions and methodological quality of the study.

## RESULTS

### Study selection

A total of 1651 records were collected, of which 504 (30.5%) were identified as duplicates. Once the

independent paired review of the 1147 records was completed, 1026 (89.5%) were excluded from further analysis. Of the 121 publications selected, 4 were rejected after a second paired review of the corresponding abstract. Full-text review was completed for 117 (96.7%) articles. After paired review, 10 publications (9 studies) were finally included in the present narrative review. The reasons for discarding articles at the first and second levels of paired review are shown in [figure 1](#).

### Study characteristics

The primary characteristics of the nine studies included in the present review, as well as the major results and conclusions are detailed in [table 1](#) (panels A and B). Eight studies are RCTs and one is a non-RCT.<sup>29</sup> Two publications refer to different aspects of the same RCT.<sup>30 31</sup> Inclusion periods ranged from the mid-1990s to the first decade of the 21st century. Five of the studies were carried out in the Spanish population and the remainder in the German population,<sup>32</sup> the USA,<sup>33</sup> China<sup>34</sup> and Holland.<sup>35</sup> Of the nine studies included, eight were carried out in the general population and one in patients with chronic obstructive pulmonary disease.<sup>34</sup> The number of smokers participating ranged from 89 in Spain<sup>36</sup> to 3562 in China.<sup>34</sup> The selected studies included a total of 10 204 participants and the follow-up varied from 6 to 48 months. Some studies included smokers of any quantity of cigarettes, while Twardella and Brenner included only those who smoked more than 10 cigarettes daily and Daughton *et al*<sup>33</sup> required 20 cigarettes daily for inclusion. Six studies had a control group receiving usual care, and all nine compared at least two groups. The measurement of exhaled carbon monoxide (CO) was the main biochemical means of confirming abstinence; only two studies<sup>35</sup> measured cotinine, in urine and saliva, respectively.

In some trials, the intervention occurred with smokers at the preparation phase,<sup>29 34 35 37</sup> which generally, but not always, increased the number who quit smoking and decreased the number who abandoned. The trial by Cabezas *et al*<sup>30</sup> and Puente *et al*<sup>31</sup> included smokers at all stages of the change process. In the study by Torrecilla *et al*,<sup>38</sup> despite focusing on a population at all stages of change, patients in the preparation phase were accepted as referrals from other practices.

### Risk of bias within studies

All of the studies obtained an 'acceptable' quality score ([table 2](#)). The major quality problems were the lack of blind interventions and the heterogeneity of losses to follow-up, ranging from 1.2% to 76%; in fact, three of the studies<sup>30 31 35 37</sup> reported more than 40% loss to follow-up and a lack of blinding in the interventions. All of the studies applied ITT analysis (loses to follow-up were considered as smokers). All of the selected studies, as expected because it was a criterion for inclusion, confirmed tobacco abstinence by some biochemical test.

**Table 1** Major characteristics of the articles included in the review of complex interventions to promote smoking cessation

<b>Panel A</b>				
<b>Reference (year of publication)</b>	<b>Type of study</b>	<b>Study population (inclusion criteria, study location)</b>	<b>Key elements of intervention</b>	<b>Type of intervention</b>
Daughton <i>et al</i> (1998) <sup>33</sup>	RCT	369 smokers of 20 or more cigarettes/day, aged 19–65 years recruited in Nebraska (USA). Period NS	Presential visits Professional training Medication	Control group: two primary care visits devoted to smoking cessation and 10 weeks of wearing a placebo patch Intervention group: two primary care visits devoted to smoking cessation and 10 weeks of wearing a nicotine patch Set a D-day. Contacted participants for follow-up visits at 3, 6 and 12 months after D-day (4 visits). Training was provided, although the authors do not indicate its duration. Intervention model not described
Grandes <i>et al</i> (2000) <sup>29</sup>	Non-RCT	1768 smokers in the preparation phase of change; primary care patients in the Basque Country (Spain) in 1995–1996	Presential visits Telephone calls Medication	Control group: usual care Intervention group: intervention based on clinical practice recommendations and based on identifying smokers, advise them about smoking cessation, help them through motivational interview to stop smoking, encourage the use of NRT to stop smoking and schedule follow-up visits Training (20 h) provided for all participants. Intervention group participates in 3 office visits. The time the doctor spends on each visit was measured in a sample of 50 visits: 23 s were spent identifying the smoker; 3 min, 28 s on counselling, motivating and offering a treatment plan. Patients who declared a desire to quit smoking followed a treatment plan monitored by the doctor during 3 in-person appointments and 2 telephone calls, and received a stop-smoking guide. A D-day was established
Torrecilla <i>et al</i> (2001) <sup>38</sup>	RCT	304 smokers older than 18 years recruited in Salamanca (Spain) in 1997	Presential visits Written support materials Medication	Patients with low nicotine dependence were randomised to two types of intervention (doctor's counselling alone or within a 'minimal intervention', conducted systematically). Patients with moderate-high nicotine dependence received minimal intervention and NRT (nicotine patch). Systematic minimal intervention (systematic antismoking counselling at any opportunity during the office visit, provision of written support materials that follow SEPAR recommendations, psychological support and scheduled follow-up visits to monitor the patient's process of breaking the habit. In the minimal intervention group, follow-up visits were scheduled at 15 days and 1, 2, 3, 6 and 12 months (6 visits). Transtheoretical model of change was used. D-day was set. A third group within the MI group served as a control group
Twardella and Brenner (2007) <sup>32</sup>	Clustered RCT	577 smokers aged 36–75 years in Germany in 2002–2003	Presential visits Professional training Financial remuneration Medication	Control group: usual care Intervention group 1 (training+incentive, T1): 2 h of training for doctors on promoting smoking cessation (counselling, transtheoretical model of change, medications) and financial remuneration of the doctor for every patient who remains a non-smoker at 12-month follow-up

Continued

Table 1 Continued

Panel A				
Reference (year of publication)	Type of study	Study population (inclusion criteria, study location)	Key elements of intervention	Type of intervention
Secades-Villa <i>et al</i> (2009) <sup>36</sup>	RCT	89 smokers aged 19–65 years in Asturias (Spain). Period NS	Presential visits Telephone calls Written support materials	<p>Intervention group 2 (training+medication, TM): 2 h of training for doctors on promoting smoking cessation (counselling, transtheoretical model of change, medications) and free medications (nicotine and/or bupropion) for their patients who want to quit.</p> <p>Intervention group 3: This group received TI+TM TI and TM group doctors received a free 2 h group training session, using a transtheoretical model of change. Groups of doctors were randomised to 3 study arms. No information about length of intervention or number of visits. No indication of D-day</p> <p><i>Brief counselling:</i> in about a 7 min session, the doctor provides brief counselling, informing about the negative effects of tobacco and the advantages of smoking cessation. The following recommendations are made: set a D-day, reduce cigarette consumption by one-third the week before D-day, carry out activities different from smoking a cigarette (physical exercise, handicrafts, etc). Hand out a brochure on the '6 reasons and 6 steps to stop smoking'</p> <p><i>Self-help manual with telephone follow-up:</i> carried out by the doctor and two primary care nurses. The intervention began with brief counselling (same as above) and distribution of 25-page multicomponent self-help manual covering the phases of preparation, cessation and maintenance (included the essential motivation messages, emphasising the advantages of smoking cessation, a weekly reduction in the number of cigarettes smoked, controlling the symptoms of withdrawal, identifying risk situations and preparing for D-day). Four follow-up telephone calls were made, in weeks 1, 4, 8 and 12. In each call, the smoker verified his or her cessation status, whether he or she was practising the manual's recommendations, and was offered brief counselling</p> <p><i>Intensive behavioural therapy:</i> carried out by a clinical psychologist and based on the 5-week Becoña smoking cessation programme of a 20 min session each week. The programme includes information about the effects of tobacco, a contract in which the patient makes a commitment to stop smoking and to attend the weekly sessions, self-reporting and graphic representation of the cigarettes smoked, gradual reduction in the number of cigarettes smoked, social support for smoking abstinence, controlling the symptoms of withdrawal, biochemical feedback on cigarettes smoked (measurement of exhaled CO) and strategies to avoid relapse</p> <p>Control group: MI. Health professionals received basic preparation and training</p>
Ramos <i>et al</i> (2010) <sup>37</sup>	RCT		Professional training	

Continued

Table 1 Continued

Panel A				
Reference (year of publication)	Type of study	Study population (inclusion criteria, study location)	Key elements of intervention	Type of intervention
		287 smokers older than 18 years with the intention to quit smoking, in Mallorca (Spain) in 2005–2006	Presential visits Group interventions Medication	Intervention group I: III. Health professionals received prior training. Individual intervention consisted of 6 standardised weekly visits. The protocol recommended that the first visit last 20 min and the remainder 10 min, although more time was actually spent Intervention group II: IGI. Health professionals received prior training. The IGI consisted of 6 standardised weekly visits lasting 60 min each, although in practice more time was spent After the intervention, visits were scheduled after 1, 2, 3, 6, 9 and 12 months. The Prochaska model was used. NRT and bupropion were used at the doctor's discretion. A D-day was set Control group: usual care
Cabezas <i>et al</i> (2011) <sup>30</sup> Puente <i>et al</i> (2011) <sup>31</sup>	Clustered RCT	2827 smokers aged 14–85 years, primary care patients in Spain in 2003–2005	Professional training Presential visits Medication	Intervention group: implementation of clinical practice guidelines recommendations during 6 months, including motivational interviews with smokers at the precontemplation-contemplation stage of change, a brief intervention with smokers in the preparation-action stage who do not want additional help, intensive intervention with pharmacological support for smokers in the preparation-action stage who want help, and reinforcement of the intervention with patients in the maintenance stage. The Prochaska model was used. A D-day was set. Medications were used at the doctor's discretion. A motivational intervention was used, and 6 office visits (intensive intervention). A 20 h training activity was provided before the trial
Lou <i>et al</i> (2013) <sup>34</sup>	Clustered RCT	3562 smokers with COPD in 14 primary care centres in the rural city of Xuzhou, China in 2008	Presential visit Written materials	All participants had an appointment with their doctor and the care team in the healthcare centre. Those who want to stop smoking receive a brochure indicating the toxic components in cigarettes, diseases related to smoking, benefits of smoking cessation, ways to stop smoking. Control group: usual care Intervention group: behavioural intervention and motivational interview Two-year intervention. No pharmacological treatment provided during the study
Smit <i>et al</i> (2013) <sup>35</sup>	RCT	414 smokers older than 18 years in the Netherlands, motivated to stop smoking within 6 months and with internet access in 2009–2010	Presential visits Feedback letters Telephone calls	Intervention group 1 (multiple tailoring): after setting a D-day to stop smoking, participants received 4 feedback letters: at the starting point, 2 days after D-day, after 6 weeks, and after 6 months. This feedback was personalised according to the characteristics of the participant. The feedback letters were 4–5 pages long and included 7 components: (1) introduction to the intention to stop smoking, (2) feedback related to the patient's attitude, (3) feedback related to the social influences surrounding tobacco, (4) feedback about how to

Continued

**Table 1** Continued

<b>Panel A</b>				
Reference (year of publication)	Type of study	Study population (inclusion criteria, study location)	Key elements of intervention	Type of intervention
				<p>handle situations that made him or her want to smoke, (5) feedback about actions that patients want to take to stop smoking, (6) feedback on how to handle situations where not smoking is difficult and (7) closing. Participants had access to the letters after they had completed an online questionnaire. It was also possible to send additional feedback letters by email</p> <p>Intervention group 2 (multiple tailoring+counselling group): after receiving the first personalised letter, participants were prompted to schedule a visit with the nurse within the following 6–8 weeks for a personalised counselling session with a set protocol (instead of a third personalised letter). After 6 months, the nurse telephoned the patients to gather information about how they were progressing with smoking abstinence</p> <p>Control group: usual care and recommendations</p>
<b>Panel B</b>				
Reference (year of publication)	Smoking abstinence	Main results	Author conclusions	Quality of the study*
Daughton <i>et al</i> (1998) <sup>33</sup>	3, 6 and 12 months after D-day; confirmed by urine cotinine	Compared with placebo control group, intervention group participants showed greater abstinence at 3 months (23.4% vs 11.4%; p 0.01) and 6 months (18.5% vs 10.3%; p 0.05). Abstinence at 1 year was 14.7% in the intervention group and 8.7% in the control group (p=0.07)	Use of the nicotine patch together with a brief intervention in primary care increased smoking cessation at 6 months. The duration of counselling, age of the patient, and the level of nicotine dependency may be important factors related to successful tobacco abstinence using nicotine patches for treatment	Acceptable
Grandes <i>et al</i> (2000) <sup>29</sup>	6 and 12 months; confirmed by exhaled CO	The programme results were 5 percentage points higher in sustained abstinence at 1 year, with 7.1%	Programmes that combine counselling on how to stop smoking with the offer of support, follow-up, and the prescription of nicotine patches to those smokers who want to stop smoking are feasible and effective in daily clinical practice	Acceptable
Torrecilla <i>et al</i> (2001) <sup>38</sup>	Continuous abstinence at 6 and 12 months; confirmed by exhaled CO	Point prevalence abstinence achieved at 1 year after the MI was 39% (29.4–49.3%) and continuous abstinence was 30.9% (29.4–49.3%) compared with 11% (5.6–18.8%) point prevalence abstinence in the counselling-only group (p<0.0001). In the NRT group, point prevalence abstinence was	Primary care is an ideal framework for antismoking interventions, using any of the established types of intervention: medical counselling only, systematic MI or specialised drug treatments using NRT; therefore, these types of intervention should	Acceptable

Continued

Table 1 Continued

Panel B				
Reference (year of publication)	Smoking abstinence	Main results	Author conclusions	Quality of the study*
Twardella and Brenner (2007) <sup>32</sup>	Continuous abstinence at 12 months	35.3% (24.1–47.8%) and continuous abstinence was 30.8% Smoking abstinence at 12 months was 3% in the control group, 3% in the intervention with an economic incentive for doctors, 12% when smokers were provided medications free of charge and 15% when both interventions were combined. The intervention with free medication had an OR to stop smoking was 4.77 (95% CI 2.03 to 11.22)	form part of routine daily activities in the primary care practice Providing medications free of charge, along with short courses or specific training for healthcare professionals who care for those patients could lead to success in smoking cessation	Acceptable
Secades-Villa et al (2009) <sup>36</sup>	12 months; confirmed by exhaled CO	At 12-month follow-up, intensive behavioural therapy (42.8% abstinence) was more effective than a self-help programme (27.5%) and the latter was superior to counselling (12.9%). This pattern was also observed in continuous abstinence. Treatment adherence was higher in the intensive behavioural therapy group (82.8% of all participants attended all therapy sessions) than in the self-help group (61.8% completed the full programme)	Results confirm a dose–response effect in the treatment of smokers and indicate high acceptability of intensive behavioural programmes in primary care	Acceptable
Ramos et al (2010) <sup>37</sup>	Continuous abstinence at 12 months; confirmed by exhaled CO	Continuous abstinence at 12 months was 1% in the control group, 7.4% in the III and 5.4% in the IGI. No statistical differences between individual and group interventions were observed. No differences were found between the intensive interventions and control group	Intensive interventions were less effective than expected	Acceptable
Cabezas et al (2011) <sup>30</sup> Puente et al (2011) <sup>31</sup>	12 and 24 months; confirmed by exhaled CO	Continuous abstinence was 8.1% in the intervention group and 5.8% in the control group at 1 year (p=0.014). The odds of smoking cessation in the intervention group compared with controls were 1.50 (95% CI 1.05 to 2.14)	An intervention based on the transtheoretical model of change significantly increased abstinence at 2-year follow-up	Acceptable
Lou et al (2013) <sup>34</sup>	24, 30 and 48 months postintervention; confirmed by exhaled CO	Continuous abstinence was greater in members of the intervention group than control group between months 24 and 30	Behavioural intervention doubled the smoking cessation results in patients with COPD	Acceptable

Continued



Table 1 Continued

Reference (year of publication)	Smoking abstinence	Main results	Author conclusions	Quality of the study*
Smit <i>et al</i> (2013) <sup>35</sup>	Prolonged abstinence at 6 and 12 months postintervention. Confirmed at 12 months by cotinine measurement in saliva in 65% of those who claimed abstinence	(46.4% vs 3.4%, p<0.001), months 24 and 36 (45.8% vs 4.0%), and months 24 and 48 (44.3% vs 5.1%) Major results mainly refer to the cost-effectiveness of the intervention One of the tables shows no statistical differences between the 3 study groups at 12 months follow-up	Reference is made to the cost-effectiveness and cost-benefit analyses. Mention is made that the two proposed interventions have an effect similar to that of usual care	Acceptable

\*Based on SIGN guidelines.  
BMI, body mass index; CBT, cognitive behavioural therapy; CO, carbon monoxide; COPD, chronic obstructive pulmonary disease; IIG, intensive individual intervention; IGI, intensive group intervention; MI, minimum intervention; NRT, nicotine replacement therapy; NS, not specified; RCT, randomised controlled trial; SIGN, Scottish Intercollegiate Guidelines Network.

## Components of the interventions

The studies based the multicomponent interventions for smoking cessation on the following components: raising awareness of the problem, education, motivation, behavioural change and medications. The methodologies used in the interventions were mainly individual or group sessions, telephone conversations, brochures or quit-smoking kits, and medications. Other approaches included economic incentives for doctors and no-cost medications for smokers,<sup>32</sup> 'homework' assignments, and multifactor, community-based care.

In most studies, the control groups received usual primary care attention, including brief advice to stop smoking or an informational brochure about the harmful effects of tobacco on health. Only Daughton *et al*<sup>33</sup> provided a placebo patch, worn for 10 weeks, and two primary care visits dealing with smoking cessation: their objective was to assess the effectiveness of the nicotine patch and they sought to ensure that the control group had the same characteristics. The study by Secades-Villa *et al*<sup>36</sup> took a different approach, delivering some type of intervention in all three study arms.

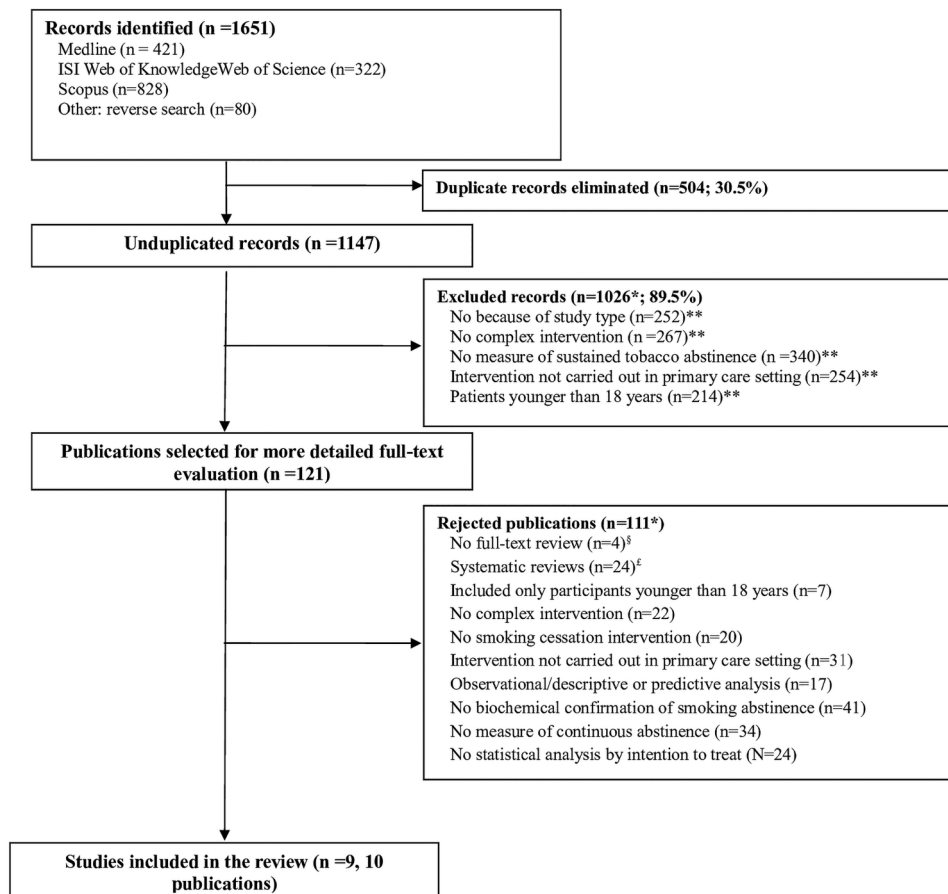
Behavioural interventions were mainly mixed models based on clinical practice guidelines: behavioural therapy, the motivational interview proposed by Miller and Rollnick,<sup>39</sup> and the transtheoretical model of change.<sup>40</sup> Most of the Spanish trials reviewed<sup>30 31 37 38</sup> stand out for the following common characteristics: use of the model developed by Prochaska and Diclemente, advance training for participating health professionals, selection of a D-day to stop smoking and the use of medication at the discretion of the prescribing physician. In the study by Cabezas *et al* and Puente *et al*, a patient recruitment model adjusted to 'real-life' conditions and usual clinical practice was used, attracting patients from the general population who visited their health professionals spontaneously.

As can be seen in table 1 (specifically panel B) the majority of the included studies (seven out of nine) set a D-day for the patients to stop smoking.

## Effectiveness of multicomponent interventions

### Behavioural interventions

Scaled interventions based on the transtheoretical model of change that included a motivational interview with smokers who visited a family doctor or nurse were associated with increased continuous tobacco abstinence at 1<sup>38</sup> and 2 years of follow-up;<sup>30 31</sup> gender was not a predictor of successful cessation. For instance, three studies using intensive interventions reported significant positive effects at 1 year: six visits with a healthcare professional achieved 8.1% continuous abstinence, compared with 5.8% in a control group;<sup>30</sup> a comparison of an individual intervention, group intervention and control group observed abstinence rates of 7.4%, 5.4% and 1%, respectively;<sup>37</sup> and a complex intervention achieved 30.8% continuous abstinence compared with 11% in the control group.<sup>38</sup> Twardella and Brenner did not obtain



**Figure 1** Flow chart of article selection for the study.

better cessation rates than controls (3%) when doctors provided an intervention based on counselling and the transtheoretical model of change, but did see improvement (12%) when free prescription medications were provided for patients.<sup>32</sup> The intervention proposed by Smit *et al*<sup>35</sup> combined two methodologies: Internet feedback messages and meetings with nurses based on the I-Change Model (ICM) supported by Prochaska's theories did not produce significant results.

The 2-year behavioural intervention proposed by Lou *et al* in patients with chronic obstructive pulmonary disease who smoke is based on a motivational interview. Their study obtained an abstinence rate of 46.4% (control group: 3.4%) at 24 and 30 months postintervention.<sup>34</sup>

Intensive behavioural therapy based on a 5-week smoking cessation programme consisting of 20 min weekly sessions obtained continuous abstinence of 42.8% at 12 months, compared with 12.9% for brief counselling.<sup>41</sup> This programme included information about the effects of smoking, a contract in which the patient makes a commitment to stop smoking and attend the weekly sessions, self-recording and graphic representation of cigarettes smoked, gradual reduction in the number of cigarettes smoked, social support for abstinence, controlling the symptoms of tobacco withdrawal, biochemical feedback on cigarettes smoked

(measurement of exhaled CO) and strategies to prevent relapse.<sup>36</sup>

In the studies that analysed treatment efficacy based on intensity or complexity, the observation was that the more components the intervention had, the more follow-ups the professional provided, and the more individualised these were, the better were the results in terms of continuous abstinence from smoking. In the study by Secades-Villa *et al*,<sup>36</sup> the least intensive intervention, a self-help manual with a follow-up telephone call, obtained lower rates of continuous abstinence at 12 months than the most intensive intervention arm, but more than twice the rates achieved by patients who received only brief counselling (27.5%, 42.8% and 12.9%, respectively). Although lower than expected, Ramos *et al* observed non-significantly higher rates of continuous abstinence at 12 months from intensive interventions (7.4% in the individual and 5.4% in the group sessions), compared with the control group (1%). The authors point out that this effect could be explained by the initial participant characteristics: they more strictly selected smokers for the intensive interventions in primary care, and the health professionals provided few prescriptions for drug therapies.<sup>37</sup>

The study by Twardella and Brenner<sup>32</sup> demonstrated greater effectiveness in smoking cessation in the

**Table 2** Quality of the randomised clinical trials included in the review, based on SIGN guidelines (continued on following page)

Ítem	Daughton <i>et al</i> (1998)	Grandes <i>et al</i> (2000)	Torrecilla <i>et al</i> (2001)	Twardella <i>et al</i> (2007)	Secades-Villa <i>et al</i> (2009)
1. The study addresses an appropriate and clearly focused question?	Yes	Yes	Yes	Yes	Yes
2. The assignment of participants to treatment groups is randomised?	Yes	No	Yes	Yes	Yes
3. An adequate concealment method is used	Yes	Yes	Can't say	Can't say	Can't say
4. Participants and investigators are kept 'blind' about treatment allocation	Yes	No	Can't say	No	Can't say
5. The treatment and control groups are similar at the start of the trial	Yes	Yes	Yes	Yes	No
6. The only difference between groups is the treatment under investigation	Yes	Yes	Yes	Yes	Yes
7. All relevant outcomes are measured in a standard, valid and reliable way	Yes	Yes	Yes	Yes	Yes
8. What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?	1.1% at 3 months, 1.6 at 6 months and 2.2% at 1 year	Control group: 1.8% Intervention group: 4.6% Yes	CG: 6% Isolated advice :3% NRT: 7.7% Yes	CG:19% The interventions 14–17%	1.2%
9. All the participants are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis)	Yes	Yes	Yes	Yes	Yes
10. Where the study is carried out at more than one site, results are comparable for all sites	Yes	Yes	Does not apply	Yes	Does not apply
11. How well was the study done to minimise bias?	Acceptable	Acceptable	Acceptable	Acceptable	Acceptable
12. Taking into account clinical considerations, your evaluation of the methodology used, and the statistical power of the study, are you certain that the overall effect is due to the study intervention?	Yes	Yes	Yes	Yes	Yes

Ítem	Ramos <i>et al</i> (2010)	Cabezas <i>et al</i> and Puente <i>et al</i> (2011)	Lou <i>et al</i> (2013)	Smit <i>et al</i> (2013)
1. The study addresses an appropriate and clearly focused question?	Yes	Yes	Yes	Yes
2. The assignment of participants to treatment groups is randomised?	Yes	Yes	Yes	Not clear
3. An adequate concealment method is used	Yes	Yes	No	Yes
4. Participants and investigators are kept 'blind' about treatment allocation	Yes	No	No	No
5. The treatment and control groups are similar at the start of the trial	Yes	Yes	Yes	Yes
6. The only difference between groups is the treatment under investigation	Yes	Yes	Yes	Can't say

Continued



Table 2 Continued

Item	Ramos <i>et al</i> (2010)	Cabezas <i>et al</i> and Puente <i>et al</i> (2011)	Lou <i>et al</i> (2013)	Smit <i>et al</i> (2013)
7. All relevant outcomes are measured in a standard, valid and reliable way	Yes	Yes	Yes	Yes
8. What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?	CG: 76% IG1: 69% IG2: 72%	CG: 44.8% IG: 43.3%	CG: 28.9% IG: 23.2%	44.2% in general, no available information by group
9. All the participants are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis)	Yes	Yes	Yes, see item 12	Yes
10. Where the study is carried out at more than one site, results are comparable for all sites	Does not apply	Can't say	Yes	Can't say
11. How well was the study done to minimise bias?	Acceptable	Acceptable	Acceptable	Acceptable
12. Taking into account clinical considerations, your evaluation of the methodology used, and the statistical power of the study, are you certain that the overall effect is due to the study intervention?	Yes	Yes	Only on those individuals followed at least 1 month	Not clear, since a higher percentage of younger participants were lost

CG, control group; IG, intervention group; NRT, nicotine replacement treatment; SIGN, Scottish Intercollegiate Guidelines Network.

presence of symptomatology or previous diseases related to smoking and older age, but an economic incentive for health professionals was not effective. On the other hand, Lou *et al*<sup>34</sup> found a relationship between effectiveness and a non-smoking family environment and a family doctor and nurse who were not smokers.

According to some studies, specific training for health-care professionals who care for smokers who want to stop smoking may increase their probability of success.<sup>29 32</sup> Twardella and Brenner<sup>32</sup> point out that other studies had previously shown training programmes to have beneficial effects on the number of smokers identified and offered advice and support for quitting. However, the effect of the increased efforts on smoking cessation could not be proved.

Finally, in primary care—as has occurred in other settings—a dose–response effect has been observed in the interventions, with greater abstinence as more interventions are provided. In addition, intensive interventions typically have high rates of acceptance in the primary care setting.<sup>36</sup>

### Pharmacological interventions

The pharmacological interventions identified include two classes of drugs that are effective in smoking cessation: nicotine replacement therapy (NRT) and bupropion. This review did not include any studies of complex interventions that included varenicline use in primary care.

The use of nicotine patches along with a brief intervention, compared with a group that received placebo patches, increased continuous tobacco abstinence at 6 months (18.5% vs 10.3%) and 12 months (14.7% vs 8.7%).<sup>33</sup> In another study, continuous abstinence in patients with moderate-high nicotine dependence who used NRT was 30.8% at 1 year, compared with 11% in the group that received only the doctor's advice.<sup>38</sup>

Although we excluded some studies from the review for their lack of biochemical confirmation,<sup>42–44</sup> they offer several contributions to this discussion: One study showed that the length of the counselling,<sup>44</sup> in addition to the age of the patient and nicotine dependency,<sup>33</sup> are important factors related to the success of smoking abstinence, and providing treatment to break the smoking habit free of charge can increase the probability of success.<sup>32</sup> Therefore, programmes delivered in routine daily practice in the primary care setting that combine counselling, offers of assistance, follow-up and prescriptions for treatments that specifically help to break the smoking habit are feasible, effective<sup>29</sup> and safe<sup>43</sup>; furthermore, these complex interventions are more effective than minimal counselling.<sup>42 43</sup> The combined use in primary care of bupropion, NRT, cognitive behavioural therapy and minimal intervention is feasible, safe and achieves results ranging from 20% to 29.6% of continuous abstinence at 12 months, with no differences between them but an advantage over counselling alone; the only obstacle is adherence to treatment.<sup>43</sup>

In the absence of a standard drug therapy in all studies and of any comparison of different medications and doses, it was impossible to analyse a specific effect for each drug prescribed at the discretion of the attending physician as a complement to some of the interventions reviewed. We assumed that medications were prescribed in accordance with current guidelines but were unable to analyse their effects on patient outcomes.

### Follow-up

One of the main inclusion criteria for publications to be reviewed was at least 6 months of postintervention follow-up. This was because shorter time intervals carry a very high probability of relapse and abstinence is not considered definitive until at least 6 months have passed without smoking. Therefore, the included interventions had a follow-up of 6 months or more. Most of the publications reported follow-up of 6 or 12 months. Cabezas *et al*<sup>30</sup> and Puente *et al*<sup>31</sup> also conducted a 2-year follow-up, and Lou *et al*<sup>34</sup> reported on the longest follow-up, reaching a maximum of 5 years.

### DISCUSSION

The objective of the present review was to summarise on the effectiveness of multicomponent interventions with respect to continuous abstinence from tobacco. We included both randomised and non-randomised clinical trials involving smokers older than 18 years and attended in the primary care setting that assess continuous abstinence (at least 6 months) and include biochemical confirmation. Nine studies, eight RCTs and one non-RCT with these characteristics were identified.

In the present review, we found that the multicomponent interventions included increased abstinence in the long term, achieving continuous abstinence after 1 year from about 7% to just over 40% in comparison with control groups (usual care). This wide range of percentages can be attributed to differences in patient selection criteria. Owing to the heterogeneity and differences in intensity of the interventions included, it can be complicated to determine the duration and type of intervention that is most effective in achieving better rates of continued abstinences. In general, we observed that with more components, more follow-ups by the health professional and more intense interventions, better smoking abstinence results were achieved. In addition, multicomponent strategies were more effective when medications were used and a 'D-day' was set.

Biochemical confirmation, measuring either exhaled CO or cotinine in urine or saliva, provides researchers with a far more rigorous assessment than a patient's self-report of continuous smoking cessation, as does long-term follow-up. Unfortunately, four studies reported more than 20% loss to follow-up.<sup>30 31 34 35 37</sup>

The published literature has observed that brief counselling in the healthcare setting increases the frequency of efforts to stop smoking. The systematic review by

Aveyard *et al*<sup>15</sup> found a risk ratio (RR) of 1.24 (95% CI 1.16 to 1.33) for brief counselling, less than that of behavioural support (RR=2.17; 95% CI 1.52 to 3.11) or NRT (RR=1.68; 95% CI 1.48 to 1.89). The effect of brief counselling on the rates of smoking cessation seems to be small; in a 2008 systematic review, Stead and colleagues found that brief counselling increased these rates only 1–3% compared with attempts made without medical assistance. More intensive interventions also contributed a benefit, although scant. A doctor's advice helps smokers to stop smoking, even if it is brief counselling. It appears that the intensity and follow-up can achieve higher rates of cessation.<sup>13</sup> Individual counselling is frequently used to help patients stop smoking, but there is insufficient evidence to establish whether higher intensity counselling is more effective.<sup>46</sup> The effectiveness of drug therapies is more clear: a review by Wu *et al*<sup>47</sup> found that NRT, bupropion and varenicline are effective complementary methods of smoking cessation.

Our data concur in large part with an earlier review,<sup>14</sup> which reported that the effectiveness of multiple/complex interventions in achieving sustained smoking cessation in adult primary care patients had an OR of 2.2 (95% CI 1.7 to 2.8), compared with a control group. The same authors found differences between the effect of brief counselling and intensive counselling with a multicomponent intervention (OR 1.37, 95% CI 1.20 to 1.56) and also when medications were used to help the smoker (OR 3.45, 95% CI 2.8 to 4.2).

With respect to intervention models, various trials analysed for this review used the transtheoretical model of change with positive results; however, reviews of literature have not arrived at a clear conclusion about the effectiveness of this model.<sup>48–50</sup> Although the objective of the present review was not to specifically evaluate this model, the clinical trials indicate that it is well accepted by health professionals and the study populations.

On the other hand, the Cochrane review by Stead and Lancaster<sup>15</sup> points out that, without any doubt, the combination of behavioural strategies with drug therapies has been shown to be clearly useful, which is in accordance with the data reported in this review.

Therefore, usual clinical practice should include interventions with more than one component, adapting the characteristics of the intervention to the type of service offered, and time and training of healthcare personnel is necessary to achieve a useful strategy. With respect to the research, more studies must be designed to clarify the combination of behavioural strategies and use of medications that is most effective in usual practice in specific population groups, and the cost-effectiveness of those combinations.

### Limitations

One of the major limitations of the present review, and of all reviews in general, is the publication bias that in this case we attempted to control by searching for data



in the STAN Bulletin, which publishes all types of news items and other information. Furthermore, it was not possible to include references from presentations at conferences and meetings, although we believe that the work with the greatest potential importance has been published in journals with an impact factor. We have only included those studies published in English or Spanish. Another limitation of this study, despite the rather strict inclusion criteria, is that the age of the participants, the populations studied, and the type and duration of the interventions and follow-up contributed to the heterogeneity of the results. In clinical trials, the most frequently recommended measurement of continuous smoking cessation is 'continuous abstinence', defined as no use of any amount of tobacco from the day the individual stops smoking until the day data are analysed. This definition has also been called 'sustained abstinence' and 'prolonged abstinence'.<sup>27</sup> The Cochrane Tobacco Addiction Group also references other types of abstinence: 'point prevalence abstinence' for short periods without smoking and 'prolonged abstinence', which is similar to 'continuous abstinence' and analyses abstinence after a grace period of about 2 weeks.<sup>51</sup> In order to strengthen the reliability of the results, we decided to follow the recommendations by West *et al*.<sup>52</sup> and of the Society for Research on Nicotine and Tobacco Europe<sup>53</sup> where they propose to undertake a biochemical verification to confirm smoking cessation. However, we may have incurred into some selection bias due to the fact that we have only included studies with biochemical verification of smoking cessation.

Another possible bias in this review is the loss to follow-up; three of the studies reported more than 40% loss to follow-up (one of them reported a 76% loss). In order to limit this bias and to ensure a certain level of quality, we included only trials that used an ITT approach. In addition, we must take into account the increased motivation to stop smoking that is normally present in smokers who agree to participate in clinical trials. This review likely underestimated the effectiveness of some of the multicomponent interventions: In many RCTs, the control group is offered brief counselling by healthcare professionals, which in and of itself increases smoking cessation. Another limitation of the included studies is the lack of blinded interventions, but it is important to remember that the very nature of the behavioural interventions in many cases impede efforts to achieve a blind intervention.

The search ended in February 2014 and it might be quite old, the analysis and subsequent steps took us longer than expected. However, we believe that the number of publications will have not changed and results will have not changed significantly.

Our search strategy included the term 'complex intervention'; consequently, we reviewed those articles that mentioned the terms 'complex' or 'multiple' in their title or abstract. This search strategy brought us to select nine trials, mainly conducted in Spain and in somewhat

low impact factor journals. Maybe the research question is a topic of concern in the Spanish primary care community. Besides, there is not a clear consensus on what it is a 'complex intervention'. Consequently, we may have omitted the analysis of some of the components that define an intervention as a complex one, such as the participation of some disciplines, changes in the environment or the effect of different professionals. All these aspects could be clarified in future reviews, but most studies do not offer this information on their publications.

### Study implications

Future studies of complex interventions to achieve smoking cessation should use standardised methods to evaluate outcomes, as proposed by Hughes *et al*.<sup>27</sup> On the other hand, as complex interventions are involved, interventions based on clinical practice guidelines must be standardised to incorporate the key elements of those guidelines, basically focused on behavioural and pharmacological elements. We therefore, believe that clinical practice guideline should recommend interventions with two or more components. Another recommendation is that the studies should analyse cost-effectiveness.

On the other hand, the majority of the interventions were carried out in European populations (mostly in Spain), two studies in the USA population<sup>33</sup> and one in China.<sup>34</sup> Consequently, it is not clear whether the findings are applicable to other populations, and we believe it is necessary to develop this type of interventions and evaluate their effectiveness in other populations.

### CONCLUSIONS

Very few studies have evaluated complex/multicomponent interventions in the primary care setting that are designed to promote smoking cessation, even though this approach is useful, effective, safe, and achieves greater long-term continuous cessation (between 7% and 40%) than usual care and counselling alone (which also is effective). Behavioural interventions are effective and have a dose-response effect. In fact, the more support the smoker receives—more components, more follow-up by the health professional, longer duration—and the more personalised is the intervention, the greater the continuous smoking cessation achieved. Nonetheless, no differences were observed between the different types of intervention. The multicomponent strategies are more effective when the smoker sets a 'D-day' and medications are prescribed. The drugs used in the studies analysed (NRT and bupropion) are useful, safe and effective, with no differences observed between them. The use of other strategies such as providing patients with free medication can increase the success of these treatments. Therefore, a complex intervention to help smokers quit should include: several monitoring visits, longer periods to follow-up patients, behavioural

components and possibility to set a ‘D-day’ and prescribe medication. Despite the evidence that multicomponent interventions are effective, it is not clear which of the components of the different interventions are key. Further research is needed to determine which combinations are most efficient.

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# Effectiveness of multicomponent interventions in primary healthcare settings to promote continuous smoking cessation in adults: a systematic review

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