

1 **Contrasting impacts of continuous moderate drought and episodic severe**
2 **droughts on the aboveground-biomass increment and litterfall of three coexisting**
3 **Mediterranean woody species**

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26 **Abstract**

27 Climate change is predicted to increase the aridity in the Mediterranean Basin and
28 severely affect forest productivity and composition. The responses of forests to
29 different timescales of drought, however, are still poorly understood because extreme
30 and persistent moderate droughts can produce nonlinear responses in plants. We
31 conducted a rainfall-manipulation experiment in a Mediterranean forest dominated by
32 *Quercus ilex*, *Phillyrea latifolia* and *Arbutus unedo* in the Prades Mountains in
33 southern Catalonia from 1999 to 2014. The experimental drought significantly
34 decreased forest aboveground-biomass increment (ABI), tended to increase the
35 litterfall and decreased aboveground net primary production throughout the 15 years
36 of the study. The responses to the experimental drought were highly species-specific.
37 *A. unedo* suffered a significant reduction in ABI, *Q. ilex* experienced a decrease
38 during the early experiment (1999-2003) and in the extreme droughts of 2005-2006
39 and 2011-2012 and *P. latifolia* was unaffected by the treatment. The drought
40 treatment significantly increased branch litterfall, especially in the extremely dry year
41 of 2011, and also increased overall leaf litterfall. The drought treatment reduced the
42 fruit production of *Q. ilex*, which affected seedling recruitment. The ABIs of all
43 species were highly correlated with SPEI in early spring, whereas the branch litterfalls
44 were better correlated with summer SPEIs and the leaf and fruit litterfalls were better
45 correlated with autumn SPEIs. These species-specific responses indicated that the
46 dominant species (*Q. ilex*) could be partially replaced by the drought-resistant species
47 (*P. latifolia*). However, the results of this long-term study also suggest that the effect
48 of drought treatment has been dampened over time, probably due to a combination of
49 demographic compensation, morphological and physiological acclimation and
50 epigenetic changes. However, the structure of community (e.g. species composition,

51 dominance and stand density) may be reordered when a certain drought threshold is
52 reached.

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76 **Introduction**

77 The climate changes resulting from anthropogenic activities have already influenced
78 global water cycle, involving changes in precipitation mounts, timing and variability
79 and reductions in water availability since the middle of last century (Beier *et al.*, 2012;
80 Dai, 2012). Water availability is a crucial factor determining global plant distribution
81 and the diversity of terrestrial ecosystems (Lloret *et al.*, 2004; Carnicer *et al.*, 2011;
82 Wu *et al.*, 2011; Vicente-Serrano *et al.*, 2013). Climate change in recent decades
83 produced by the continued emission of carbon has included temperature increases,
84 precipitation decreases and more frequent extreme droughts in the Mediterranean
85 Basin (Dai, 2012; Ji *et al.*, 2014; IPCC, 2014). Mediterranean ecosystems have thus
86 already been disturbed by the frequencies and intensities of droughts (Ogaya &
87 Peñuelas, 2007a; Misson *et al.*, 2011; Doblas-Miranda *et al.*, 2015). The magnitude of
88 the effects have largely depended on the initial species composition (Lloret *et al.*,
89 2004; Ruiz-Labourdette *et al.*, 2012; Peñuelas *et al.*, 2013a; Doblas-Miranda *et al.*,
90 2015) and successional trajectories of the ecosystems (Breshears *et al.*, 2005; Mueller
91 *et al.*, 2005; Peñuelas *et al.*, 2013b; Carnicer *et al.*, 2014). Droughts can lead to
92 reductions in primary productivity (Ciais *et al.*, 2005; Boisvenue & Running, 2006;
93 Peñuelas *et al.*, 2007; Wu *et al.*, 2011; Ivits *et al.*, 2014) and the ability of forests to
94 sequester carbon and can thus alter regional carbon budgets (Ogaya & Peñuelas,
95 2007a; Zhao & Running, 2010; Carnicer *et al.*, 2011; van der Molen *et al.*, 2011;
96 Peñuelas *et al.*, 2013a). Widespread forest declines, die-offs, species shifts and
97 phenological changes (Peñuelas *et al.*, 2004a, 2013a; Carnicer *et al.*, 2011; Misson *et*

98 *al.*, 2011; Ivits *et al.*, 2014) have also been observed in more extreme cases (Lloret *et*
99 *al.*, 2012; Reyer *et al.*, 2013).

100 Aboveground net primary production (ANPP) is generally assessed as the amount
101 of carbon incorporated into new organic matter produced aboveground over a
102 specified interval (Clark & Brown, 2001; Chapin *et al.*, 2006; Malhi *et al.*, 2011;
103 Clark *et al.*, 2013; Talbot *et al.*, 2014). ANPP consists of several components:
104 aboveground-biomass increment (ABI), fine litterfall, emissions of biogenic volatile
105 organic compounds (BVOCs) and the loss due to consumers. BVOCs and the loss due
106 to consumers are difficult to measure and are of secondary importance, but the
107 assessment of the main components of ANPP, ABI and litterfall (branch, leaf and fruit
108 litterfall), has received considerable attention for many forest ecosystems (Clark &
109 Brown, 2001; Malhi *et al.*, 2011; Talbot *et al.*, 2014). The cumulative evidence
110 suggests that increasing droughts would have negative effects on forest ANPP,
111 reducing stem growth and increasing defoliation (Ogaya & Peñuelas, 2006; Carnicer
112 *et al.*, 2011; Limousin *et al.*, 2012; Reyer *et al.*, 2013). Information for the magnitude
113 of these effects on ANPP, however, is still scarce, because their quantification requires
114 more field data not usually available due to methodological limitations (Boisvenue &
115 Running, 2006; Beier *et al.*, 2012).

116 Field manipulation experiments can impose continuous and quantitative
117 disturbance in natural ecosystems and shed light on forest changes induced by
118 reductions in water availability (Peñuelas *et al.*, 2004b, 2007; Wu *et al.*, 2011; Beier *et*
119 *al.*, 2012; Ivits *et al.*, 2014). Compared to observational studies that depend on the

120 occurrence of natural extreme weather events to disturb the forest ecosystem and
121 carbon budget, experiments that manipulate precipitation can simulate rapid and
122 strong drought perturbations and can thus offer an opportunity to study forest
123 ecosystems under water deficits (Leuzinger *et al.*, 2011; Reyer *et al.*, 2013). Many
124 precipitation-exclusion experiments have been conducted in natural or semi-natural
125 ecosystems around the world and have illustrated the effects of altered precipitation
126 regimes on ecosystem functioning (Wu *et al.*, 2011; Beier *et al.*, 2012). Numerous
127 experiments suggest that the variation of effect sizes is unstable, which is a dynamic
128 process followed by periods of potential stability (Leuzinger *et al.*, 2011). The
129 magnitude and stability of the responses for long-lived species to drought, though,
130 may vary at long timescales (Leuzinger *et al.*, 2011; Beier *et al.*, 2012; Barbeta *et al.*,
131 2013). Recent studies have reported dampening effects on the response to drought
132 over time (Leuzinger *et al.*, 2011; Barbeta *et al.*, 2013). These dampening effects
133 include epigenetic changes (Rico *et al.*, 2014), physiological changes (Martin-Stpaul
134 *et al.*, 2013; Ogaya *et al.*, 2014), morphological adjustments (Misson *et al.*, 2011),
135 functional changes in roots (Barbeta *et al.*, 2015) and reductions in stand density
136 (Lloret *et al.*, 2004; Grimm *et al.*, 2013). These adjustments to drought, however, may
137 not be sufficient to cope with future droughts that may ultimately produce widespread
138 tree mortality and vegetational shifts favoring the most drought-resistant species.

139 Holm oak (*Quercus ilex* L.) is an intermediate drought-tolerant species widely
140 distributed in the western Mediterranean Basin. This species is usually accompanied
141 by other Mediterranean woody species with more (*Phillyrea latifolia* L.) or less

142 (*Arbutus unedo* L.) drought tolerance (Ogaya & Peñuelas, 2003, 2006, 2007a, 2007b,
143 2007c; Barbeta *et al.*, 2012, 2013, 2015; Ogaya *et al.*, 2014). The varying
144 ecophysiological responses to drought of these tree species have been reported in
145 previous studies (Ogaya & Peñuelas, 2003, 2007a; Ogaya *et al.*, 2014). For example,
146 drought decreased the photosynthetic rate in *Q. ilex* but not in *P. latifolia* (Ogaya &
147 Peñuelas, 2003) and greatly reduced the increase in biomass in *Q. ilex* and *A. unedo*
148 but not in *P. latifolia* after five years of experimental drought in the same site (Ogaya
149 & Peñuelas, 2007a). Barbeta *et al.* (2013) reported a temporal dampening of the
150 treatment effect on stem growth and mortality during the first 13 years of an
151 experimental drought. Some of these studies have focused on long-term (more than 10
152 years) growth and mortality rates, but there is still a lack of information on the
153 long-term evolution of aboveground biomass, litterfall and net primary production for
154 forests subjected to moderate but persistent drought and to episodic severe droughts as
155 projected for the Mediterranean regions in the coming decades (IPCC, 2014).

156 Our general objective was to study the ecological effects of the increasing
157 intensity, frequency and duration of droughts predicted for the coming decades in a
158 Mediterranean holm oak forest. Our initial hypotheses were that forest ANPP could
159 significantly decrease under the moderate and persistent experimental drought and
160 that extreme droughts would exacerbate this effect. We also hypothesized
161 species-specific responses to drought, and different responses of ABI than branches,
162 leaves and fruit litterfall, decreasing and increasing respectively in response to
163 drought, and especially to severe episodes. We also monitored the responses of

164 individuals and the entire community to the drier environmental conditions of the
165 long-term (15 years) experimental drought to identify the detailed hierarchical
166 mechanisms underlying transitions in the ecosystem state and to gain knowledge
167 about the responses of the species and the community when tolerance thresholds (or
168 tipping points) are exceeded by severe droughts. We were thus interested in
169 determining whether long-term experimental and extreme natural droughts would
170 accelerate acclimation and resilience of the Mediterranean forest ecosystem or would
171 increase vegetation shifts toward reverse succession (forest to shrubland).

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186 **Materials and methods**

187 Experimental site

188 The experiment was conducted on a south-facing slope (25%) in the Prades Holm oak
189 forest in southern Catalonia (northeastern Iberian Peninsula) (41°21'N, 1°2'E; 930 m
190 a.s.l.). This forest is widely distributed at 400-1200 m a.s.l., with closed canopies 3-10
191 m in height, depending on the site microclimate and topography. The vegetation is
192 largely dominated by a dense overstory of the evergreen species *Q. ilex* (5258 stems
193 ha⁻¹ and 93 Mg ha⁻¹), *P. latifolia* (7675 stems ha⁻¹ and 17 Mg ha⁻¹) and *A. unedo* (1100
194 stems ha⁻¹ and 11 Mg ha⁻¹), accompanied by other Mediterranean woody species that
195 usually do not reach the upper canopy (*Erica arborea* L., *Juniperus oxycedrus* L. and
196 *Cistus albidus* L.) and occasional individuals of deciduous species (*Acer*
197 *monspessulanum* L. and *Quercus × cerrioides* Wilk. & Costa). The understory
198 vegetation is very sparse, with abundant litter accumulation. The forest structure
199 consists of a high density of small stems, mostly stump resprouts after a selective
200 thinning, but the forest has not been disturbed for the last 70 years.

201 This study site has a Mediterranean climate, with 80% of the rain falling in spring
202 (March, April and May) and autumn (September, October and November). Summer
203 drought is common, usually lasting 3-5 months (Dai, 2012; Lopez-Bustins *et al.*,
204 2013). The annual amount of summer (June, July and August) precipitation averaged
205 59.2 mm for 1999-2013, less than 10% of the mean annual precipitation (616.1 mm).
206 Summer precipitation was irregular during this period, ranging from 22.0 mm in 2011
207 to 112.0 mm in 2000. The average summer temperature was 20.5 °C, which was ca.

208 8.0 °C higher than the mean annual temperature of 12.2 °C. Parent material of the
209 Prades mountain is Jurassic limestone bedrock, which is overlaid by Paleozoic schist
210 and Dystric Cambisol soil and ranges in depth from 30 to 110 cm. The soil is fully
211 covered by litterfall. The climatic, edaphic and physiographic characteristics of this
212 site are archetypical of forests of the Mediterranean Basin, which mostly occur in
213 mountainous areas with shallow soils that exacerbate water deficits in plants.

214 Experimental design

215 Eight 15×10 m plots were established in January 1999 at the same altitude (930 m
216 a.s.l.) along the south face of the mountain where the experiment is located. Four
217 randomly selected plots received a drought treatment, and the other four served as
218 control plots. The drought treatment partially excluded throughfall by PVC strips
219 (0.5×18 m²/strip), which are suspended at a height of 0.5-0.8 m above the ground, and
220 cover approximately 30% of the plot surface. A ditch 0.8-1.0 m deep was excavated
221 across the upper margin of the treatment plot to intercept runoff water. The water
222 intercepted by the strips and ditches was drained outside of the plots, below their
223 lower margins. The strips were installed under the canopy and did not affect the
224 amount of incident light reaching the leaves. Any litter falling on the PVC strips was
225 regularly (approximately every month) placed below the strips to avoid differences in
226 litter decomposition between the treatment and control plots due to factors other than
227 the availability of water. The forest structure and species composition for all plots was
228 undifferentiated at the start of the experiment.

229 Measurement of meteorological data and environmental indexes

230 An automated meteorological station (Campbell Scientific Inc., Logan, USA) was
231 installed at a central point within the experimental system. Air temperature, relative
232 humidity and precipitation were recorded every 30 minutes. Monthly precipitation
233 and temperature data was available from 1975 at a nearby meteorological station
234 (Poblet Monastery). Soil-water content was measured each season throughout the
235 experiment by time-domain reflectometry (Model 1502C, Tektronix, Inc., Beaverton,
236 USA) (Zegelin *et al.*, 1989; Whalley, 1993). Three stainless steel cylindrical rods (25
237 cm long) were permanently installed in the soil at four randomly selected locations in
238 each plot. The time-domain reflectometer was manually connected to the ends of the
239 rods for determining the soil-water content. The Standardized
240 Precipitation-Evapotranspiration Index (SPEI) based on continuous monthly
241 differences between precipitation and potential evapotranspiration (P-PET; D) was
242 used to evaluate drought severity throughout the study period (Vicente-Serrano *et al.*,
243 2010; 2013). We built a database of the D values (1975-2013) and used the SPEI
244 package (version 1.6) to create different timescales (from 1-month to 36-month) of
245 SPEI. We selected SPEIs at different timescales to best fit the values to forest growth
246 and community dynamics, as described in detail by Barbeta *et al.* (2013). We
247 calculated the SPEI for the drought treatment to evaluate the actual drought conditions
248 in the plots, adjusting for a 13% decrease in precipitation in the drought plots (see
249 Results).

250 ABI, litterfall and ANPP

251 All living tree stems with diameters >2 cm at a height of 50 cm (D_{50}) were marked

252 with tags and identified to species in January 1999, and their stem diameters were
253 measured using a metric tape. Stem diameter ($D_{50}>2\text{cm}$) for all trees in the plot was
254 re-measured each year. Aboveground biomass (AB) at the plot level was estimated by
255 allometric relationships from the stem diameters (Ogaya & Peñuelas, 2007a). ABI
256 was calculated annually as the difference in AB between years. Trees that died were
257 not measured or further considered for ABI calculations. New stems were added when
258 their diameters reached the threshold ($D_{50}\geq 2\text{cm}$). The ABs of the three dominant
259 species were estimated from the allometric relationships between stem diameter and
260 AB (Ogaya & Peñuelas, 2007a).

261 Aboveground litter production (litterfall) was collected seasonally from January
262 1999 to December 2013 with twenty traps (27 cm in diameter with 1.5-mm mesh)
263 randomly distributed in each plot. We estimated the annual mass of litterfall as the
264 sum of the litters collected every three months during the study period. Litter was
265 separated in the laboratory into leaves, branches and fruits. Leaves and fruits were
266 characterized to species (*A. unedo*, *Q. ilex* and *P. latifolia*). The dry weights of the
267 samples were then obtained by oven-drying the litter to a constant weight at 70 °C.

268 ANPP was calculated each year during the study period as the sum of the ABIs
269 (no dead stems) and litterfalls for all species in the plot (Clark & Brown, 2001). The
270 litterfall data for 2009 were lost, so we could not calculate the ANPP for that year. A
271 severe drought in 2011 produced an atypically large litterfall, so this litterfall were not
272 included in the ANPP calculation. Losses to herbivores and the emission of volatile
273 organic compounds (VOCS) were not quantified and were considered negligible

274 relative to the ABI and litterfall.

275 Statistical analysis

276 Differences in ABI and litterfall (expressed as a percentage of AB) among the species
277 and the entire forest community were analyzed using repeated-measures analyses of
278 variance (ANOVAs), with treatment as the independent factor and year as the repeated
279 measure. These analyses were performed using Statistica 10.0 (StatSoft Inc., Tulsa,
280 USA). Branch litterfall could not be separated by species, so we calculated the total
281 branch litterfall in each plot. The fruit litterfalls for *A. unedo* and *P. latifolia* were also
282 excluded because of their low abundance. Depending on drought treatments,
283 allometric relationships between SPEI and ABI, litterfall and ANPP were tested by
284 standardized major-axis regression. To compare fitted bivariate slopes of the
285 allometric relationships between treatments, we tested the homogeneity of the slopes
286 and intercepts with the smatr R package (version 3.4-3, Warton) described by Warton,
287 *et al* (2012). The relationship was determined with one regression if the control and
288 treatments did not differ.

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296 **Results**

297 Environmental conditions

298 The mean annual temperature during this 15-year experiment period (12.2 °C)
299 was slightly higher than the average for 1975-2013 (11.8 °C) (Fig. 1). In the study
300 period, there were two hottest years in 2006 (13.0°C) and 2011 (13.1°C). The mean
301 annual precipitation for this period (616.1 mm) was lower than the average for
302 1975-2013 (662.4 mm), which varied greatly from 379.8 mm in 2006 to 926.7 mm in
303 2010 (Fig. 1). Soil moisture fluctuated with the evaporative demand and precipitation
304 periodicity (Fig. 1). Mean soil moisture throughout the study period was decreased by
305 13.0% in drought when compared with control plots. However, both control and
306 drought plots reached the lowest soil-moisture contents in summer ($14.9\pm 1.2\%$ and
307 $13.0\pm 1.1\%$, respectively). In this study period, the amount of soil moisture decreased
308 substantially in the summers of 2006 and 2011. May SPEI-6 demonstrated that the
309 periods of 2000-2001, 2005-2006 and 2011-2012 were the driest records for the
310 growing seasons of the study period.

311 ABI

312 The ABI of the three dominant tree species was correlated with the inter-annual
313 fluctuations of precipitation, but the species differed in their sensitivity to the
314 variation (Fig. 2). *A. unedo* was the most sensitive to water shortages, with a
315 significantly lower ABI in the drought than the control plots for the entire study
316 period (control= 0.4 ± 0.1 and drought= 0.1 ± 0.1 Mg ha⁻¹, $p<0.001$). *Q. ilex* had a
317 marginally lower ABI in the drought than the control plots (control= 0.5 ± 0.2 and

318 drought= $0.2 \pm 0.3 \text{ Mg ha}^{-1}$, $p < 0.1$); the ABIs differed more during the first five years
319 and the extreme episodes of 2005-2006 and 2011-2012. *P. latifolia* ABIs were not
320 affected by the drought treatment during the study period.

321 ABI was positively correlated with the SPEI drought index in these species (Fig.
322 3). *A. unedo* ABI was correlated with April SPEI-1 ($R^2=0.16$), *Q. ilex* ABI with May
323 SPEI-6 ($R^2=0.39$, $p < 0.05$ and $R^2=0.61$, $p < 0.001$ for the control and drought plots,
324 respectively) and *P. latifolia* ABI with May SPEI-3 ($R^2=0.62$). *Q. ilex* was the most
325 sensitive species to natural drought (Fig. 3).

326 Litterfall

327 The experimental drought significantly increased branch litterfall throughout the
328 study period ($p < 0.01$) (Fig. 4a), especially in the severely dry year of 2011 ($p < 0.001$).
329 The relative branch litterfall was also negatively correlated with SPEI; the best fit was
330 with September SPEI-3 ($R^2=0.28$, $p < 0.05$ and $R^2=0.32$, $p < 0.05$ for the control and
331 drought plots, respectively). Branch litterfall was most sensitive to water availability
332 in the dry summer months (July, August and September). The drought treatment
333 significantly increased this sensitivity (SMATR, common slope= -1.96 , $p < 0.05$) (Fig.
334 4b).

335 The interannual fluctuations of the relative leaf litterfall in these species indicated
336 the different sensitivities to drought (Fig. 5). Leaf litterfall was higher in the drought
337 than the control plots for *A. unedo*, *Q. ilex* ($p < 0.05$) and *P. latifolia* ($p < 0.05$) during
338 the experimental period. Defoliation rates were significantly higher in the drought
339 than the control plots in 2007 ($p < 0.001$) and 2011 ($p < 0.001$) for *Q. ilex* and in 2004

340 ($p<0.05$) and 2007 ($p<0.001$), but not 2011, for *P. latifolia*. The relative leaf litterfall
341 for these species was negatively correlated with SPEI; *A. unedo* and *Q. ilex* depended
342 on October SPEI-3 ($R^2=0.19$ and 0.25 , respectively), whereas *P. latifolia* was most
343 dependent on November SPEI-3 ($R^2=0.41$) (Fig. 5). Relative leaf litterfall did not
344 differ between the control and drought plots for these species (Fig. 6).

345 The drought treatment significantly decreased the fruit litterfall for *Q. ilex*
346 ($p<0.05$), especially in 2000 ($p<0.05$) and 2003 ($p<0.01$). The relative fruit litterfall
347 decreased in both the control and drought plots during extreme droughts, indicating
348 less fruit maturation (Fig. 7a). December SPEI-6 was positively correlated with the
349 relative fruit litterfall ($R^2=0.27$).

350 ANPP

351 ABI of the entire forest community was lower in the drought than the control plots
352 throughout the study period (control= 1.1 ± 0.3 and drought= 0.4 ± 0.3 Mg ha⁻¹,
353 $p<0.01$) (Fig. 8). Minimum ABIs occurred during the extreme droughts in 2000-2001,
354 2005-2006 and 2011-2012. The drought treatment increased forest litterfall
355 (control= 2.4 ± 0.3 and drought= 2.6 ± 0.4 Mg ha⁻¹, $p<0.1$), and the extreme drought
356 of 2011 triggered a heavy litterfall (control= 5.5 ± 0.5 and drought= 7.2 ± 0.7 Mg ha⁻¹,
357 $p<0.001$). ANPP estimated from the sum of ABI and litterfall consequently only
358 tended to be higher in the control plots (control= 3.8 ± 0.3 and drought= 3.4 ± 0.3 Mg
359 ha⁻¹, $p<0.1$), despite a clearly higher ABI than in the drought plots. ANPP was even
360 minimal in the dry years of 2001, 2005, 2010 and 2012. Our 15-year drought
361 treatment demonstrated a dampening effect, and ANPP decreased by 10% throughout

362 the experiment.

363 The best fits with the SPEI indices were with May SPEI-6 ($R^2=0.52$) for ABI, with
364 September SPEI-1 ($R^2=0.24$) for litterfall and with May SPEI-3 ($R^2=0.55$) for ANPP.
365 The drought treatment slightly increased these trends toward lower ABIs and ANPPs
366 and higher litterfalls in the years with lower SPEIs (Fig. 9). ABIs were positive only
367 when May SPEI-6 was >0 . ABI reached its maximum of 2.6 Mg ha^{-1} when May
368 SPEI-6 was >1 and its minimum of -2.0 Mg ha^{-1} when May SPEI-6 was <-1 . Litterfall
369 reached its maximum of 7.0 Mg ha^{-1} when September SPEI-1 reached its extreme
370 value of -2 . ANPP reached its maximum of ca. 5.8 Mg ha^{-1} at a May SPEI-3 of 2.

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384 **Discussion**

385 *Effects of experimental and extreme natural droughts on ABI, litterfall and ANPP*

386 Both experimental and extreme natural droughts reduced the forest ABI.
387 Sensitivity to the drought conditions, however, varied among the three dominant
388 species. *A. unedo* was the most sensitive species to the drought treatment, with a
389 significantly lower ABI in the drought than the control plots, but *Q. ilex* was the most
390 sensitive species to extreme natural droughts, with severe reductions in ABI in the
391 three extreme episodes, especially in the drought plots (Fig. 2). The species-specific
392 drought vulnerability is consistent with that of previous studies conducted in the same
393 Mediterranean forest (Ogaya & Peñuelas, 2003, 2007a; Barbeta *et al.*, 2013) and
394 demonstrates that a reduction in soil moisture produces great disparity in the
395 responses depending on species-specific hydraulic conductivity in stems (Barbeta, *et*
396 *al.*, 2012) or leaf physiological traits (Ogaya & Peñuelas, 2003; Ogaya *et al.*, 2014). *Q.*
397 *ilex* is more vulnerable to water shortage than *P. latifolia* due to more sensitive foliar
398 photosynthesis (Ogaya & Peñuelas, 2003; Ogaya *et al.*, 2014), aboveground growth
399 (Ogaya & Peñuelas, 2007a; Barbeta *et al.*, 2013) and stem hydraulic conductivity
400 (Martínez-Vilalta *et al.*, 2002; Barbeta *et al.*, 2012). Therefore, this holm oak forest
401 may experience a vegetation shift involving reverse succession (forest to shrubland)
402 under future drier and warmer scenarios, including more frequent extreme droughts.

403 Litterfall was a 10% higher in the drought treatment plots than in control ones
404 throughout the study period and increased up to 32% more than control following the
405 extreme drought of 2011 (Fig. 4a), a likely consequence of drought-induced xylem

406 cavitation accelerating branch and leaf senescence, which may eventually lead to tree
407 mortality (Ogaya & Peñuelas, 2006; Misson *et al.*, 2011; Choat *et al.*, 2012).
408 Drought-induced litterfall may also result from the reduction in leaf area as a
409 conservative strategy to reduce transpiration and maintain hydraulic conductance
410 under water stress, a response that has been observed under extreme drought
411 conditions (Limousin *et al.*, 2009; Barbeta *et al.*, 2015). Leaf litterfall in all three
412 species was higher in the drought than in the control plots. *P. latifolia*, however, was
413 less affected than the other species, which could be attributed to its hydraulic
414 architecture (the conduit diameter of roots and stems) (Martínez-Vilalta *et al.*, 2003)
415 and its capacity to maintain higher hydraulic conductivity during dry periods (Barbeta
416 *et al.*, 2012). Our results were also consistent with the higher crown defoliation and
417 stem mortality in *Q. ilex* than in *P. latifolia* under severe drought (Ogaya & Peñuelas,
418 2006, 2007b; Barbeta *et al.*, 2013, 2015)

419 The drought treatment decreased the long-term relative production of fruit in *Q.*
420 *ilex*, in agreement with shorter term observations at an earlier stage of the same
421 experiment (Ogaya & Peñuelas, 2007c). Some studies have reported negative effects
422 of drought on flower and fruit development (Pérez-Ramos *et al.*, 2010;
423 Sanchez-Humanes & Espelta, 2011), on the phenology of reproduction (Peñuelas *et*
424 *al.*, 2004a) and on the sex ratio (Misson *et al.*, 2011). It has been reported that *Q. ilex*
425 can adapt to the limited conditions by adjusting carbon allocation to growth and
426 reproduction (Pulido *et al.*, 2014). Nonetheless, in our study, both the ABI and the
427 fruit production were lower under dry condition, which was also found by previous

428 studies conducted in the same type of forest (Pérez-Ramos *et al.*, 2010; Limousin *et*
429 *al.*, 2012).

430 The responses of forest productivity to water limitation are highly dependent on
431 the vegetation types and species interactions (Peñuelas *et al.*, 2007; Kreyling *et al.*,
432 2008; McDowell *et al.*, 2008; Wu *et al.*, 2011). Most studies indicate that
433 experimental or natural droughts cause lower productivity (Ciais *et al.*, 2005;
434 Peñuelas *et al.*, 2007; Luysaert *et al.*, 2010; Wu *et al.*, 2011; Tilman *et al.*, 2012;
435 Reyer *et al.*, 2013), but other studies have reported no significant changes (Kreyling *et*
436 *al.*, 2008; Jentsch *et al.*, 2011). A review of 34 precipitation experiments ranging in
437 duration from 1 to 11 years reported that decreased precipitation significantly reduced
438 ANPP by an average of 37% (Wu *et al.*, 2011). In our study, forest ANPP decreased
439 by an average of only 10%, substantially lower ($p < 0.1$) than expected and a likely
440 consequence of a dampening of the effect of the treatment as the duration of the
441 experiment increased (Barbeta *et al.*, 2013).

442 Litterfall should not be ignored when estimating ANPP. A large increase in
443 litterfall coincided with water shortage in our study in the dry year of 2011 (Fig. 8).
444 The forest ANPP estimated by the sum of ABI and litterfall would consequently not
445 represent the response of plant production when the water deficit exceeded a
446 determinate threshold. The estimation of ANPP thus remains methodologically
447 difficult in Mediterranean evergreen forests, and caution is recommended when using
448 litterfall to calculate ANPP, because litterfall does not necessarily correspond to
449 annual production.

450 *The duration of natural droughts impacts the increase in forest biomass*

451 The ABIs of the three species were highly correlated with SPEI in spring (April and
452 May) (Fig. 3), indicating that the water balance in spring was particularly important
453 for growth. The relationships with SPEI, however, were species-specific. The ABI of
454 *Q. ilex* was the most sensitive to natural drought (May SPEI-6), and *Q. ilex* was also
455 the only species with different relationships in the control and drought treatments (Fig.
456 3). The drought treatment thus increased the dependence of *Q. ilex* ABI on water
457 availability. The ABI of *Q. ilex* was best correlated with a longer timescale than the
458 other two species (May SPEI-6), suggesting that water reserves stored during wet and
459 cold seasons may be used later during the growing season (Barbeta et al., 2013; 2015).
460 SPEI is a good predictor of radial tree growth and ANPP for forests across all biomes,
461 and longer SPEI timescales correlate better in seasonally dry environments
462 (Vicente-Serrano et al., 2013). As with *Q. ilex* in our study, accumulated precipitation
463 from the previous autumn and the current spring may be particularly beneficial for
464 tree-ring growth and the features of wood anatomy (Martin-Benito et al., 2012; Drew
465 et al., 2013). Forests in Mediterranean ecosystems may thus undergo significant
466 decreases in ABI if lower precipitation and higher evapotranspiration reduce the
467 autumn, winter and spring water recharge.

468 This Mediterranean forest suffered frequent natural droughts in summer, which
469 increased branch withering and defoliation (Fig. 4a). Relative branch litterfall was
470 negatively correlated with September SPEI-3 and thus depended on the summer water
471 balance (July, August and September). High intensities of summer droughts could

472 promote hydraulic failure at the branch level and increase branch withering. A
473 previous study at this site emphasized that *Q. ilex* and *P. latifolia* stem mortality
474 depended on the water balance over longer periods (Barbeta *et al.*, 2013). Branch
475 withering would thus represent a response to shorter droughts that do not induce
476 widespread stem mortality. Defoliation may be another short-term adjustment to
477 drought. Indeed, plants adjust to drought by reducing the lifespan of leaves and by
478 increasing turnover rate (Bussotti *et al.*, 2003; Ogaya & Peñuelas, 2006; Limousin *et*
479 *al.*, 2009, 2012). The accumulated effect of drought in the long-term would
480 substantially reduce crown condition and transpiration, eventually modifying the
481 carbon and water cycles and the ecosystem services.

482 Fruit production and December SPEI-6 were positively correlated in *Q. ilex* in
483 our 15-year moderate experimental drought, indicating higher fruit production with
484 higher water availability in summer and autumn (Fig. 7b), consistent with a study at
485 this site reporting a strong correlation between fruit production and precipitation in *Q.*
486 *ilex* 10 months prior to fruit maturation (Ogaya & Peñuelas, 2007c). Drier summer
487 and autumn in the future would thus decrease fruit production. These results also
488 support SPEI as an effective tool to assess the impacts of drought on agricultural and
489 ecological production (Potop, 2011; Vicente-Serrano *et al.*, 2013).

490 The water balance in March, April and May may be critical for the ANPP of this
491 forest (Fig. 8). The response of ANPP to drought varies among forest types and
492 drought severity and duration, but dry forests, shrublands and steppes with low
493 ANPPs have the highest correlations with the SPEI (Vicente-Serrano *et al.*, 2013).

494 The response to drought also depends on the characteristic drought timescale; arid and
495 humid biomes respond to water deficits of shorter duration than do semiarid and
496 subhumid biomes (Vicente-Serrano *et al.*, 2012; 2013). Robust observational studies
497 have highlighted that drought is a main driver of reduced forest ANPP and that
498 drought can be amplified by warmer temperatures (Breshears *et al.*, 2005; Mueller *et*
499 *al.*, 2005; Adams *et al.*, 2009; Wu *et al.*, 2011; Anderegg *et al.*, 2012).

500 *Long-term experimental drought is driving Mediterranean forests to acclimate to*
501 *extreme conditions*

502 The forest in this study experienced three extreme dry periods during this
503 15-year study, in 2000-2001, 2005-2006 and 2011-2012. Both the experimental and
504 natural droughts decreased the ABI of the forest. Leaf litterfall was highly variable
505 inter-annually, with higher values in the drought than the control plots throughout the
506 study period and a peak of defoliation in the extreme drought in 2011. Extreme
507 droughts provoked higher tree mortality but also activated adaptive responses linked
508 to forest structure and species composition (Mueller *et al.*, 2005; Ruiz-Labourdette *et*
509 *al.*, 2012; Grimm *et al.*, 2013). The effects of drought on forest community were
510 gradually attenuated at our experimental site. ABI and ANPP presented a dampening
511 trend that is likely a result of (i) demographic compensation enhancing recruitment or
512 adult survival due to low competition in the drought plots (Jentsch *et al.*, 2011; Lloret
513 *et al.*, 2012; Barbeta *et al.*, 2013; Peñuelas *et al.*, 2013a), (ii) changes in morphology
514 and function at the individual level (Martin-Stpaul *et al.*, 2013; Barbeta *et al.*, 2015)
515 and (iii) epigenetic modifications (Rico *et al.*, 2014). The results of this study thus

516 show that a partial and gradual acclimation to a drier environment over the long-term
517 is possible if the responses to drought fluctuate below safe thresholds and/or tipping
518 points.

519 The Mediterranean region is predicted to suffer more rapid and intense climate
520 changes in the coming decades that could severely alter the structure of forests and
521 their capacity to assimilate carbon (IPCC, 2014). Mediterranean forests display
522 tolerance, plasticity and resilience in response to drought, but the progressive increase
523 in aridity combined with extreme droughts will challenge the survival of these forests
524 in their current form. Our 15-year experimental-drought study demonstrated a partial
525 acclimation of a Mediterranean forest to a 13% decrease in soil moisture, but if the
526 effects of chronic and extreme droughts increase beyond a critical threshold, this
527 stabilizing process could be disrupted and produce a shift in the vegetation (a
528 progressive replacement of *Q. ilex* by *P. latifolia*). Future studies should address the
529 complex dynamics (demographical processes and structure and composition changes)
530 underlying the response of ecosystems to extreme droughts and chronically drier
531 conditions.

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760 **Figure Legends**

761

762 Fig. 1 Mean annual temperature, inter-annual precipitation and May SPEI-6 variables
763 during the study period (1999-2013). The error bars in represent the standard errors of
764 the means (n=4 plots).

765

766 Fig. 2 Aboveground biomass increment (ABI) of the three dominant species in the
767 controls and drought treatments. The bars represent the best-fitted SPEI timescale for
768 *Arbutus unedo* (April SPEI-1), *Quercus ilex* (May SPEI-6) and *Phillyrea latifolia*
769 (May SPEI-3). Significant differences are marked with asterisks (*, $p<0.05$; **,
770 $p<0.01$; ns, not significant). The vertical bars represent the standard errors of the
771 means (n=4 plots).

772

773 Fig. 3 Multiple-slope comparisons between the controls and drought treatments of the
774 above-ground biomass increment (ABI) for *Arbutus unedo*, *Quercus ilex* and
775 *Phillyrea latifolia* during 1999-2013. The error bars represent the standard errors of
776 the means (n=4 plots).

777

778 Fig. 4 (a) Relative branch litterfall of all species in the controls and drought
779 treatments (n=4 plots). Significant differences are marked with asterisks (***,

780 $p < 0.001$). (b) The standardized major axis regression between relative branch litterfall
781 and September SPEI-3 in the controls and drought treatments.

782

783 Fig. 5 Relative leaf litterfall to biomass of *Arbutus unedo*, *Quercus ilex* and *Phillyrea*
784 *latifolia* throughout the study period (1999-2013). Significant differences are marked
785 with asterisks (*, $p < 0.05$; **, $p < 0.01$; ***, $p < 0.001$). The error bars represent the
786 standard errors of the means ($n = 4$ plots).

787

788 Fig. 6 Allometric relationships between the relative leaf litterfall and October SPEI-3
789 for *Arbutus unedo*, October SPEI-3 for *Quercus ilex* and November SPEI-3 for
790 *Phillyrea latifolia*. The error bars represent the standard errors of the means ($n = 4$
791 plots).

792

793 Fig. 7 (a) Relative fruit litterfall to biomass in the control and drought treatments for
794 *Quercus ilex*. The error bars represent the standard errors of the means ($n = 4$ plots). (b)
795 Relationship between the relative fruit litterfall and December SPEI-6 for *Q. ilex*.

796

797 Fig. 8 Mean aboveground biomass increment (ABI) for May SPEI-6, total litterfall for
798 September SPEI-1 and aboveground net primary production (ANPP) for May SPEI-3
799 of the forest community in the control and drought treatments. Significant differences
800 are marked with asterisks (***, $p < 0.001$). The error bars represent the standard errors
801 of the means ($n = 4$ plots). The vertical bars represent the best-fitted SPEI time-scale.

802

803 Fig. 9 Relationships between aboveground biomass increment (ABI) and May SPEI-6,
804 total litterfall and September SPEI-1 and aboveground net primary production (ANPP)
805 and May SPEI-3 for the entire forest community. The error bars represent the standard
806 errors of the means (n=4 plots).

807

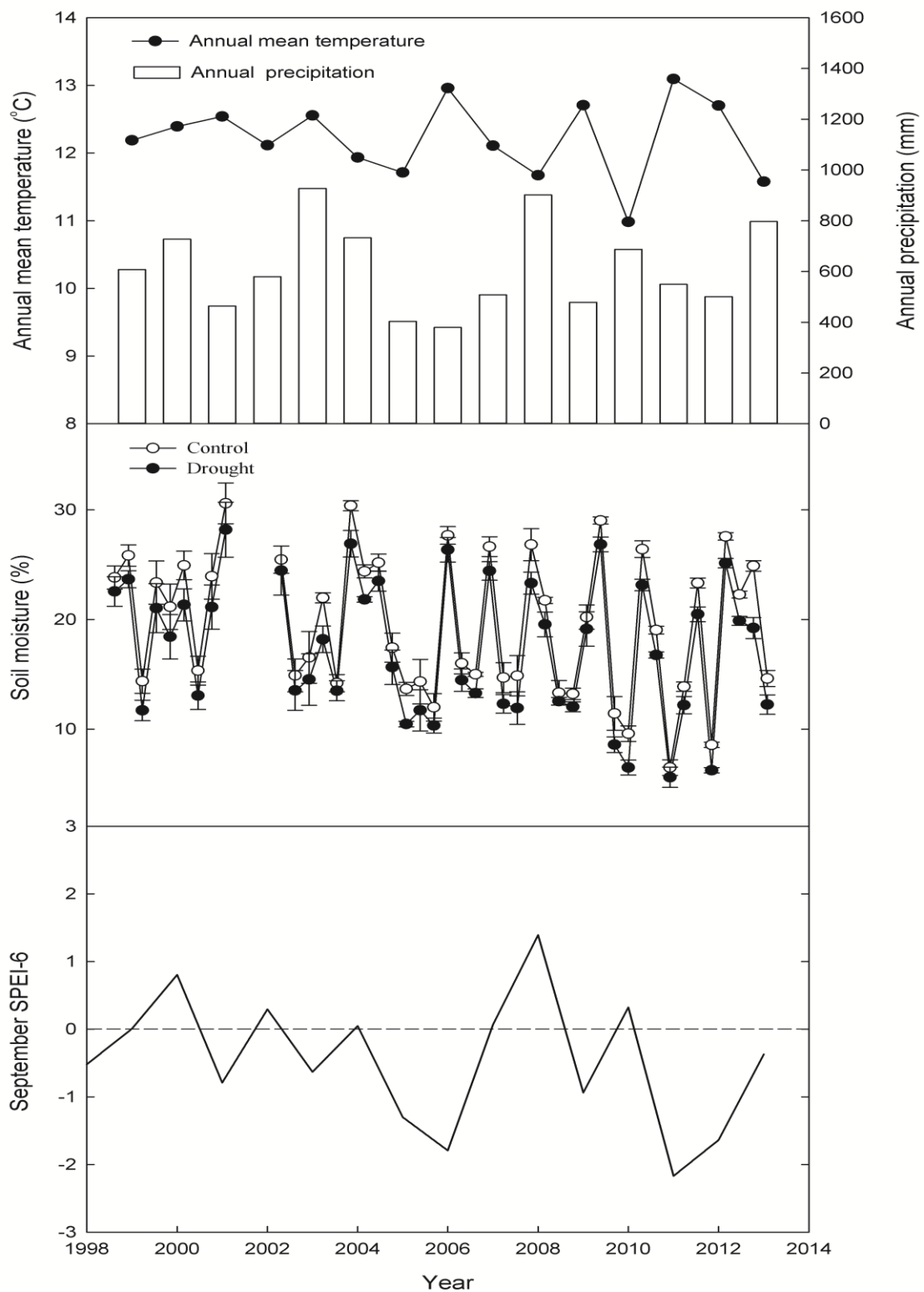
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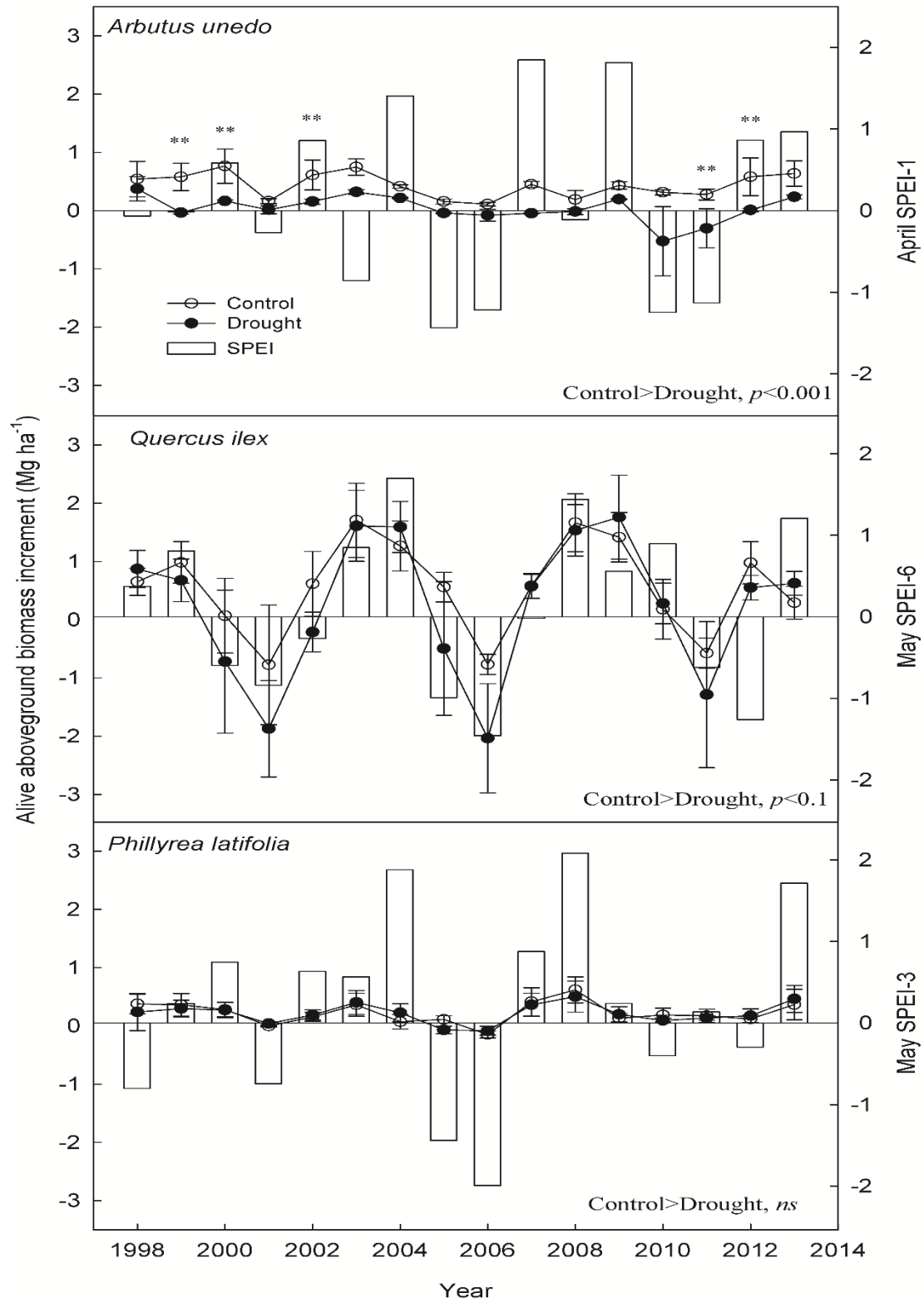
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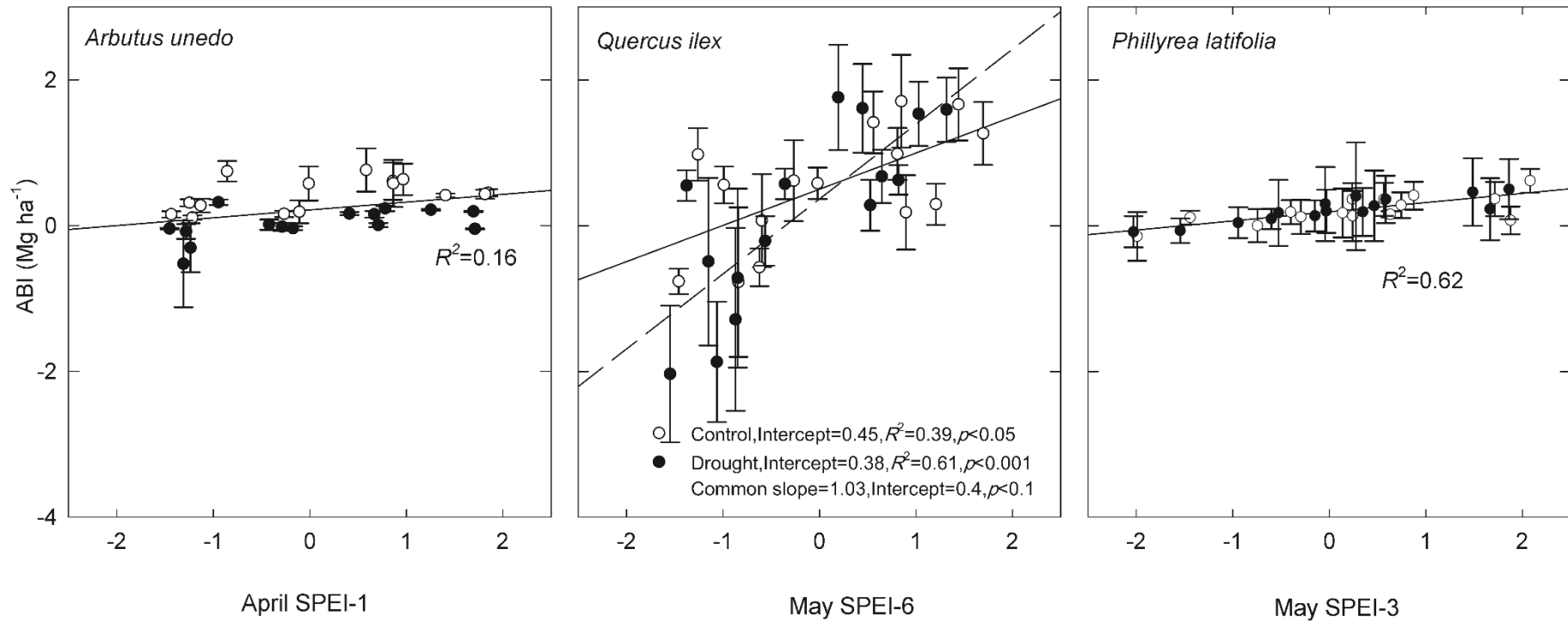
814 Fig. 1

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817 Fig. 2

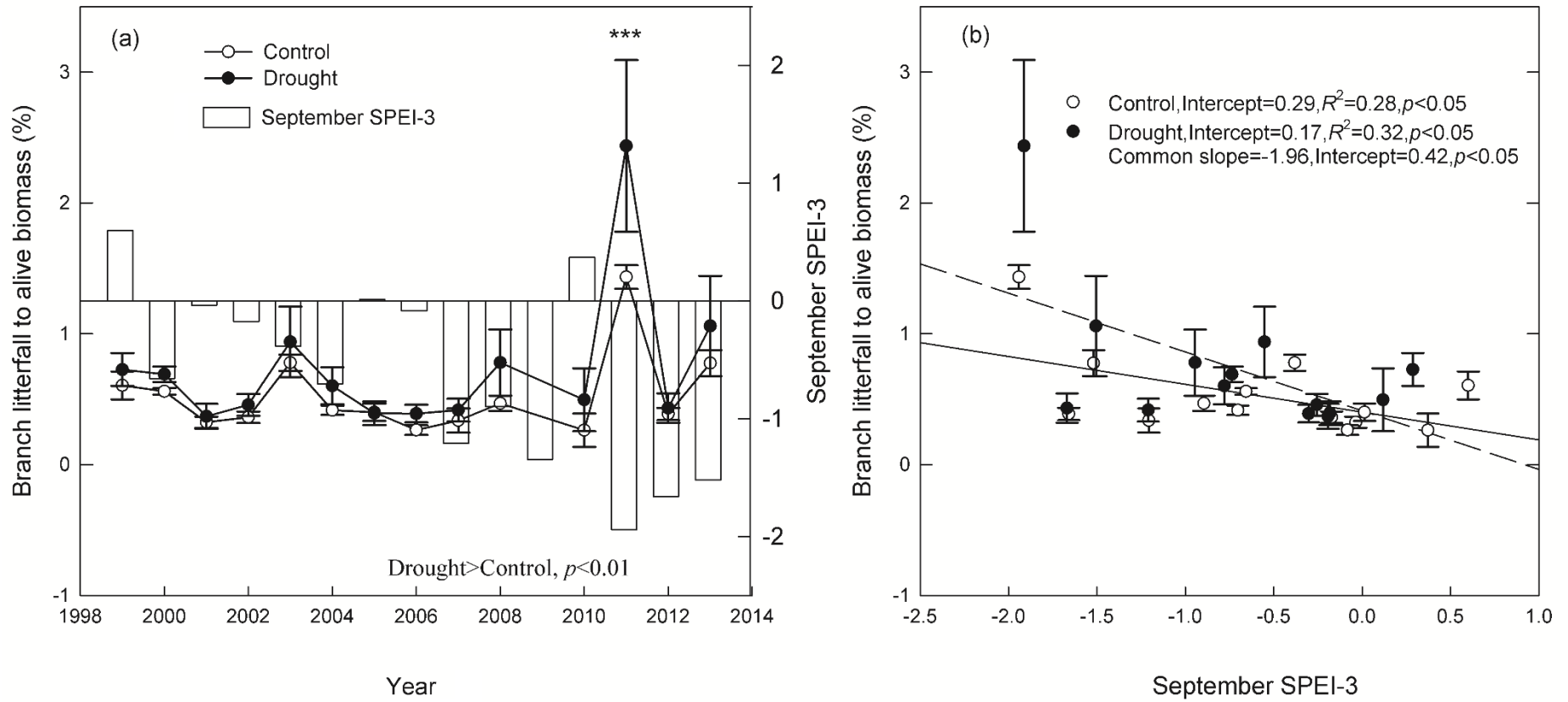


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820 Fig. 3

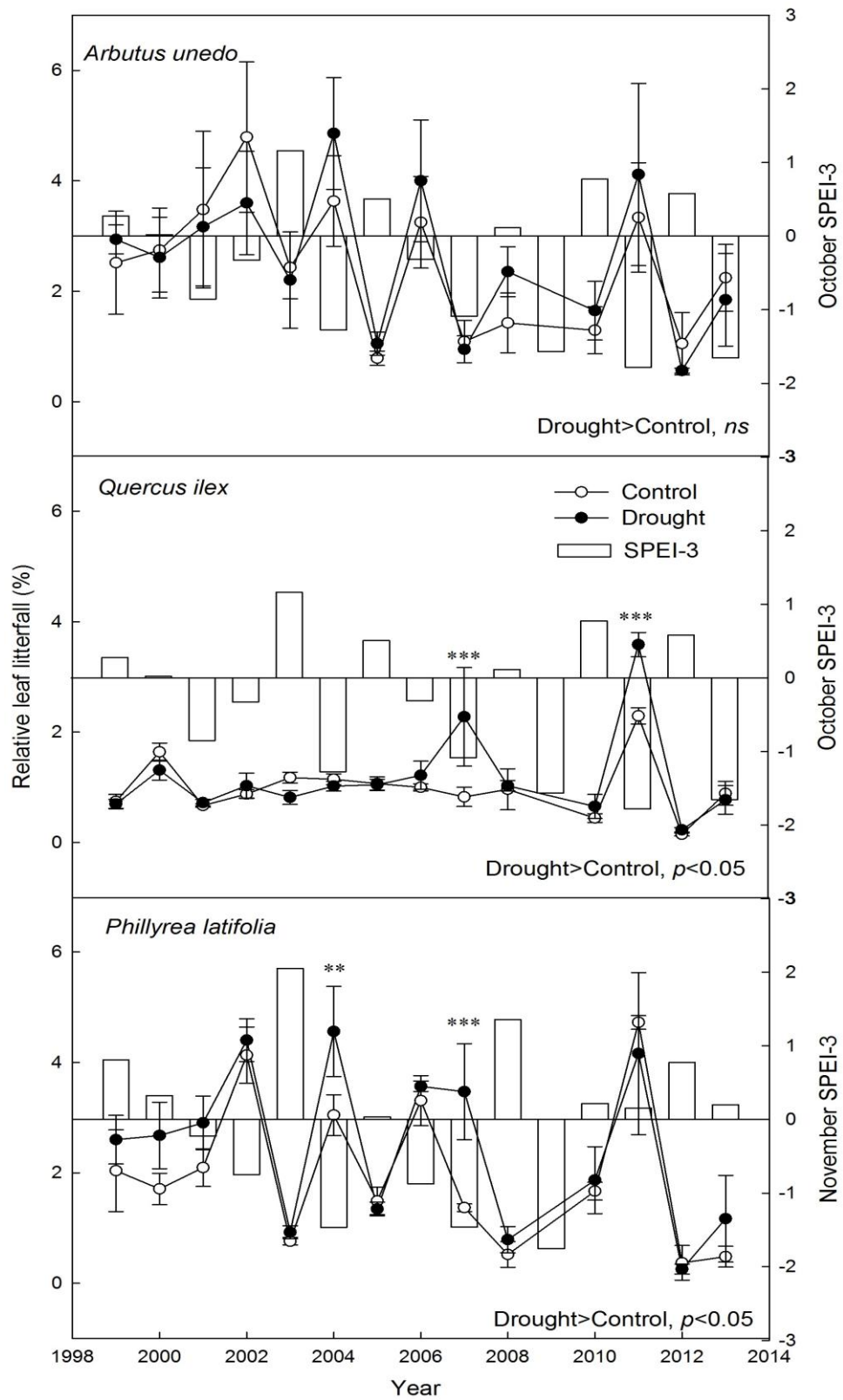
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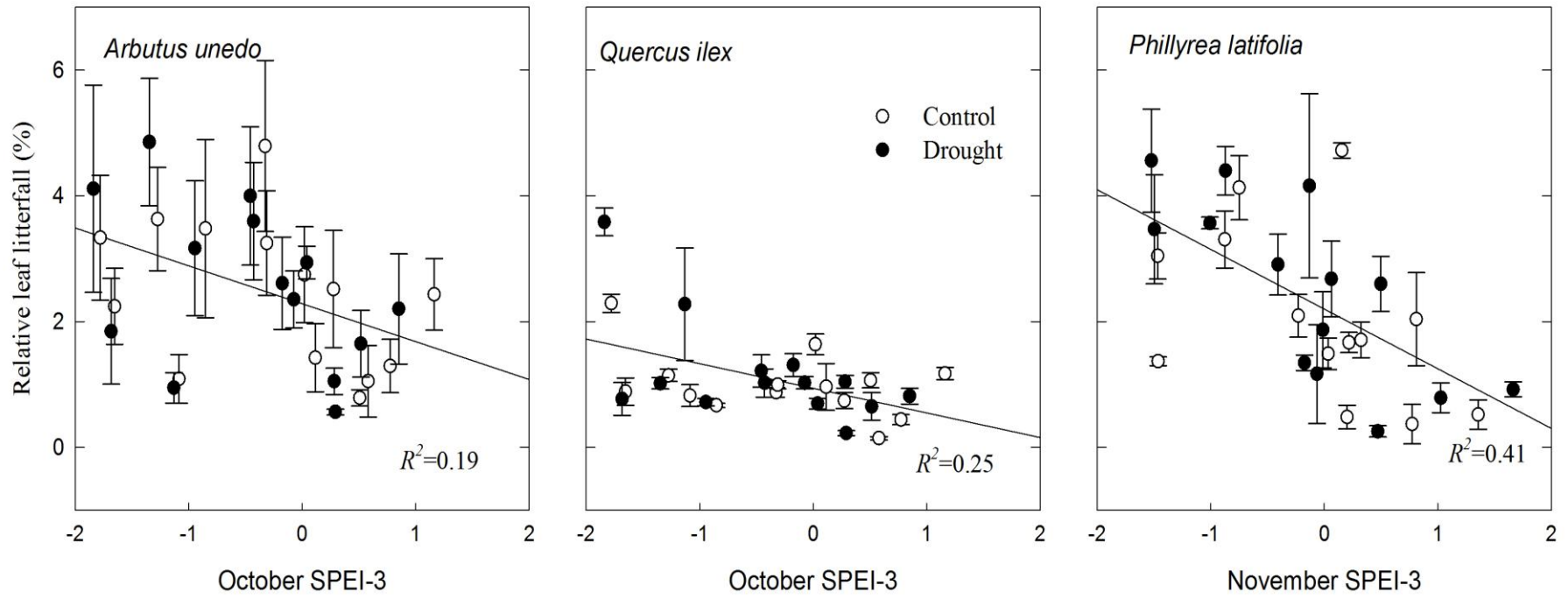
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824 Fig. 4



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826 Fig. 5



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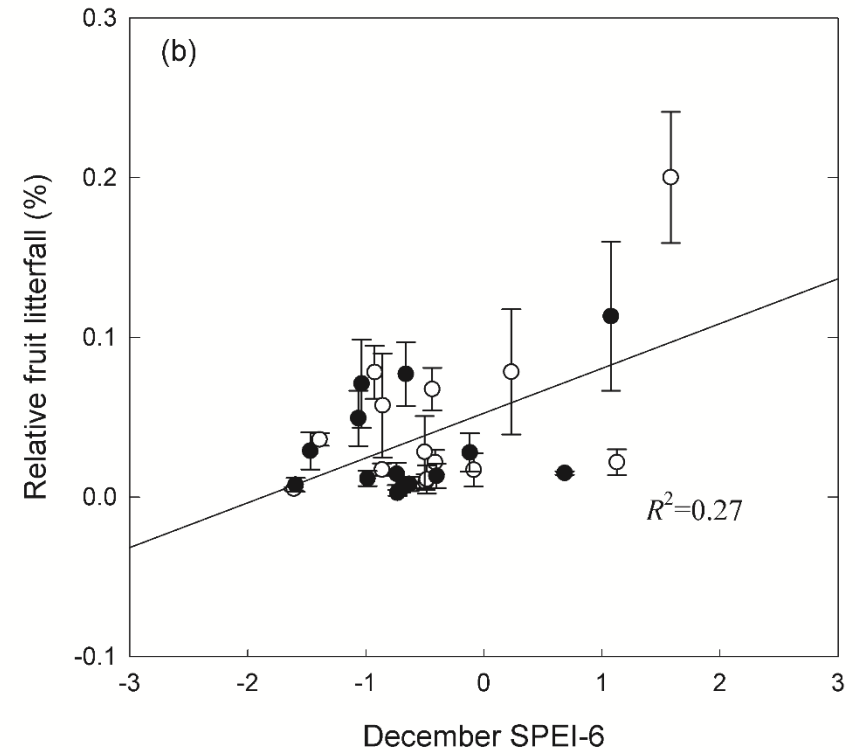
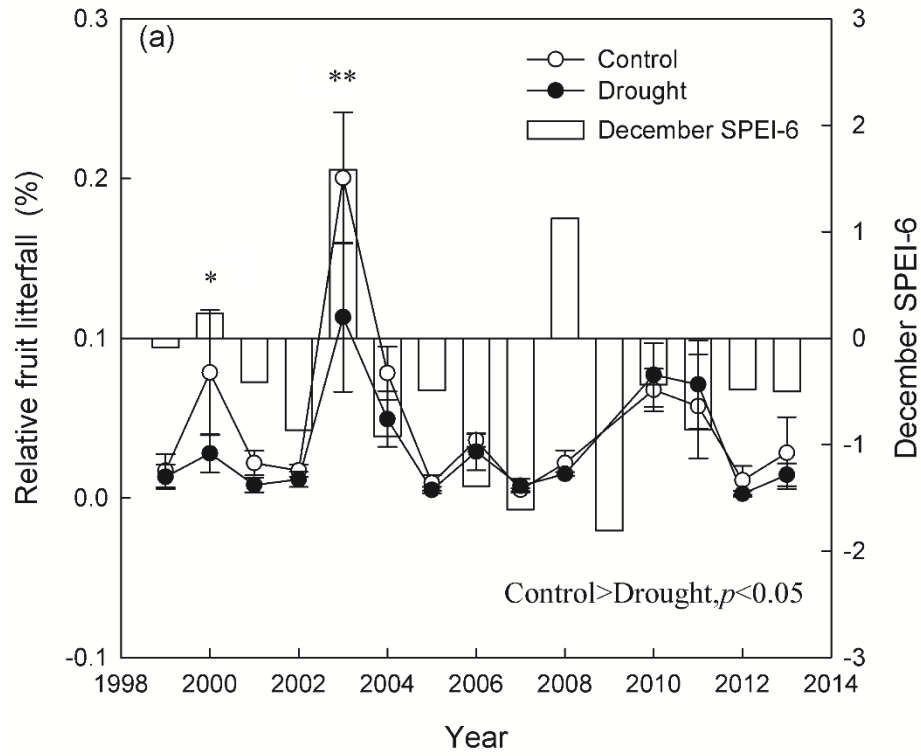
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830 Fig. 6

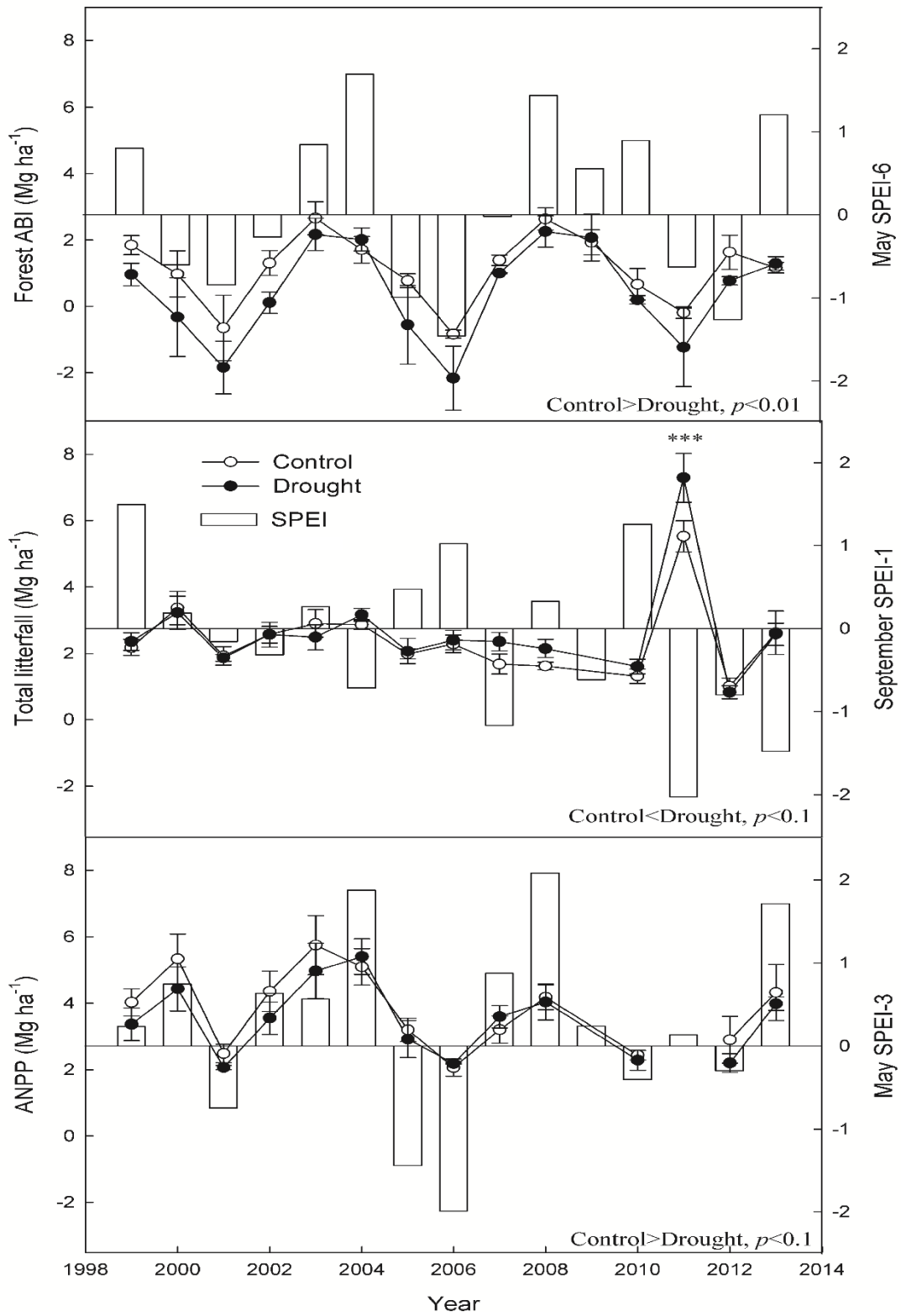
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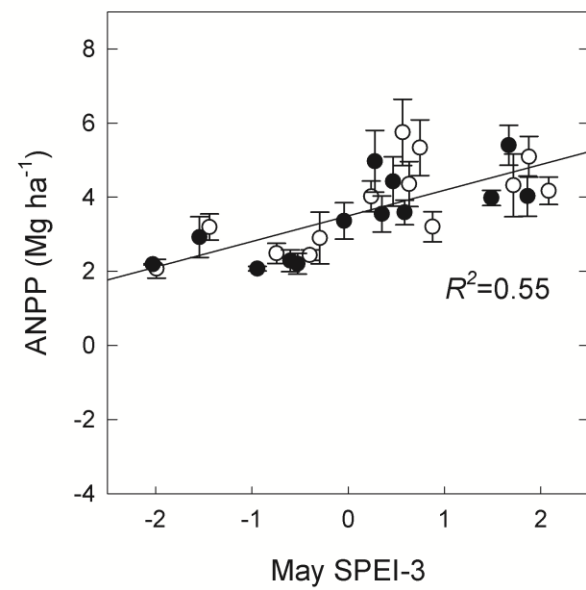
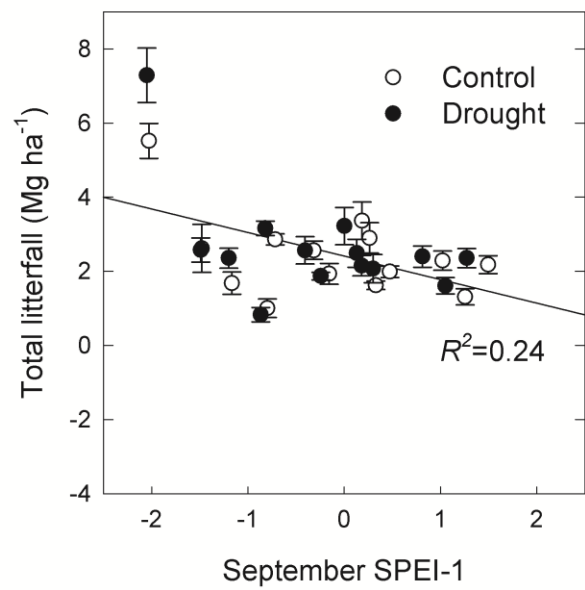
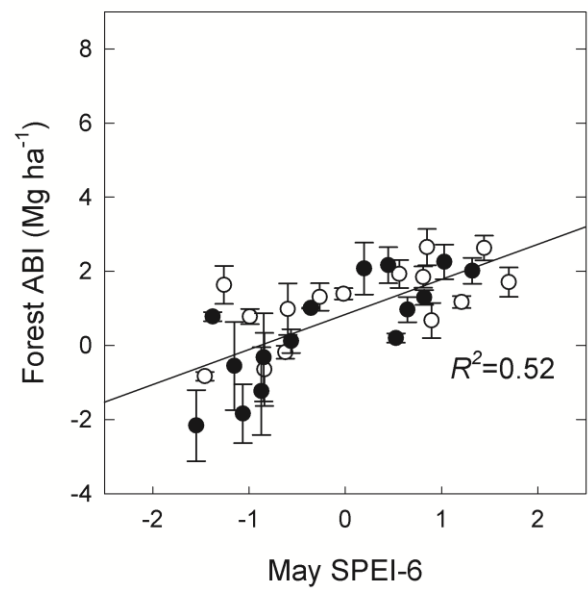
834 Fig. 7



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837 Fig. 8

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841 Fig. 9