

1 Vegetation, climate and lake changes over the last 7,000 years at the 2 boreal treeline in north-central Siberia

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13 **Abstract**

14 Palaeoecological investigations in the larch forest-tundra ecotone in northern Siberia have the potential
15 to reveal Holocene environmental variations, which likely have consequences for global climate
16 change because of the strong high-latitude feedback mechanisms. A sediment core, collected from a
17 small lake (radius~100 m), was used to reconstruct the development of the lake and its catchment as
18 well as vegetation and summer temperatures over the last 7,100 calibrated years. A multi-proxy
19 approach was taken including pollen and sedimentological analyses. Our data indicate a gradual
20 replacement of open larch forests by tundra with scattered single trees as found today in the vicinity of
21 the lake. An overall trend of cooling summer temperature from a ~2 °C warmer-than-present mid-
22 Holocene summer temperatures until the establishment of modern conditions around 3,000 years ago
23 is reconstructed based on a regional pollen-climate transfer function. The inference of regional
24 vegetation changes was compared to local changes in the lake's catchment. An initial small water
25 depression occurred from 7,100 to 6,500 cal. years BP. Afterwards, a small lake formed and deepened,

26 probably due to thermokarst processes. Although the general trends of local and regional
27 environmental change match, the lake catchment changes show higher variability. Furthermore,
28 changes in the lake catchment slightly precede those in the regional vegetation. Both proxies highlight
29 that marked environmental changes occurred in the Siberian forest-tundra ecotone over the course of
30 the Holocene.

31 **Keywords**

32 tundra-taiga ecotone; *Larix gmelinii*; palynology; sediment geochemistry; mean July temperature;
33 ordination; WA-PLS; Procrustes rotation

34 **1. Introduction**

35 The globally occurring warming trend is especially pronounced in the arctic region as a consequence
36 of polar amplification (Serreze et al., 2009; Bekryaev et al., 2010; Hinzman et al., 2013) and is
37 expected to accelerated in the future in northernmost Siberia, particularly around the Taymyr
38 Peninsula (IPCC, 2013). To substantiate this prediction it is useful to interpret reconstructions from the
39 past with similar spatial patterns, but few quantitative climate reconstructions are available from
40 northern Siberia.

41 Reconstruction of past climate requires an understanding of how the climate proxy is temporally and
42 spatially related to climate change. From the ongoing environmental changes we already know that the
43 timing and strength of the various components of the Arctic environmental systems to climate forcing
44 are extremely variable (Lenton, 2012; Hinzman et al., 2013; Pearson et al., 2013). For example,
45 hydrological changes of permafrost lakes may be abrupt but the direction of change varies locally, e.g.
46 rising lake level at one site and increased outflow at a nearby site (Brouchkov et al., 2004; Smith et al.,
47 2005; van Huissteden et al., 2011; Morgenstern et al., 2011; Kanevskiy et al., 2014; Turner et al.,
48 2014). Accordingly, proxies of hydrological changes in thermokarst lakes may respond immediately
49 but change is not linearly related to climate. On the other hand, the vegetation change in response to
50 climate may be uniform, i.e. northward species migration and a boreal forest expansion in times of
51 warming (Naurzbaev and Vaganov; 2000; Elmendorf et al., 2012a, b; Berner et al., 2013; IPCC,

52 2013). This response to climate variation might be consistent over larger areas but its reaction can be
53 masked regionally (Sidorova et al., 2009; Giesecke et al., 2011; Tchebakova and Parfenova, 2012;
54 Kharuk et al., 2013). At the Siberian treeline, the most reasonable scenarios are leading-edge
55 vegetation-climate disequilibrium at times of climate warming due to restricted larch migration rates
56 and trailing-edge disequilibrium because of persistent forest despite a cold climate. This indicates that
57 a reasonable ensemble of environmental variables needs to be collected to control for the uncertainties
58 originating from the various scales on which processes operate.

59 Continuous records of millennial-scale environmental changes in northern Siberia are best obtained
60 from lake sediments that can be explored for various parameters. Here, we present results of
61 palynological and sedimentological analyses of a lake sediment core from the southern Taymyr
62 Peninsula (northern Siberia) covering ~7,100 cal. years BP to present. Because pollen is still one of the
63 most reliable climate proxies available for the region, we provide a pollen-based climate
64 reconstruction and assess the obtained results in connection with local hydrological changes as
65 inferred from sedimentological and geochemical parameters.

66 **2. Regional setting**

67 The Khatanga River Region forms part of the Northern Siberian Lowlands and is located between the
68 Taymyr Peninsula to the North and the Putorana Plateau to the South, politically belonging to the
69 Krasnoyarsk Krai of Russia. The studied lake's catchment is underlain by thick terrigenous and
70 volcanic sediments that are rich in smectite originating from Siberian Trap basalts of the Putorana
71 Plateau (Wahsner et al., 1999; Petrov, 2008; Vernikovskiy et al., 2013). Overlying Quaternary
72 periglacial and, to some extent, lacustrine-alluvial deposits are predominately of Putoran origin and
73 therefore basaltic (Peregovich et al., 1999; Shahgedanova et al., 2002). Loadings in the Khatanga
74 River have been reported to comprise up to 80% of the montmorillonit clay mineral smectite (Rachold
75 et al., 1997; Dethleff et al., 2000). The lowland's landscape is homogeneous with low relief. The
76 region was probably not or only locally glaciated during the Last Glacial Maximum but was situated
77 between the glaciers of the Taymyr and Putoran Mountains, hence, periglacial conditions prevailed
78 (Svendsen et al., 2004; Ehlers and Gibbard, 2007). The region is controlled by continuous, very deep

79 permafrost with medium ground-ice content up to 20% by volume (Schirrmeister et al., 2013; Brown
80 et al., 2014) and numerous lakes are found there (Ananjeva and Ponomarjeva, 2001).

81 The regional climate is dominated by the polar front, which is located close to the coast of the Arctic
82 Ocean during winter. In summer, the region lies within the arctic front. Prevailing winds are from the
83 north-west and south-east (Treshnikov, 1985; MacDonald et al., 2000b; Pospelova et al., 2004). The
84 subarctic climate of the region is continental, having short and mild summers with a mean July
85 temperature around 12.5°C and severe winters with a mean January temperature ~ -31.5°C. Annual
86 precipitation is low, around 250 mm with the most rain falling during the summer month between June
87 and September. Snow cover lasts between 180 and 260 days with up to 80 cm height (Grigoriev and
88 Sokolov, 1994; climate station, established in Khatanga town in 1934,
89 <http://www.pogodaiklimat.ru/climate/20891.htm>).

90 The vegetation of the region represents the southern fringe of shrub tundra and is composed of a
91 mosaic of vegetation types (Stone and Schlesinger, 1993; Yurtsev, 1994; CAVM, 2003) with
92 continuous vegetation cover, but locally, for example on drier hilltops, bare soil may be found
93 (Chernov and Matveyeva, 1997). The moss layer is extensive and at least 10 cm thick. The most
94 abundant genera are *Sphagnum*, *Hylocomium*, *Aulacomnium*, *Dicranum*, and *Polytrichum*. The
95 herbaceous and dwarf-shrub layer grows up to fifty centimetres high. Dominating are sedges, such as
96 species of *Eriophorum* and *Carex*, and shrubs, especially *Ledum palustre*, *Vaccinium* species, *Betula*
97 *nana*, and *Alnus viridis* subsp. *fruticosa*. This shrub tundra is dotted by stands of *Larix gmelinii*
98 (Abaimov, 2010). In this area, the northernmost “forest islands”, with the regional name *Ary-Mas*,
99 grow as far north as 72°56'N (Bliss, 1981; Tishkov, 2002). The main human impact in the Khatanga
100 River region is commercial reindeer herding, which intensified from the 1960s (Pavlov et al., 1996).
101 The study site is located at 72.40°N and 102.29°E; 60 m a.s.l. The small lake—given the technical
102 name CH-12—is elliptic in shape with a surface area of around 2.4 hectares and a mean radius of
103 100 m (Fig. 1). Its maximum depth is 14.3 m. The lake is located in a confined depression on a low-
104 lying plateau in the northern lowlands. It has no inflow streams but drains the surrounding ridges. One
105 small outflow is present on its western side draining into the Novaya River, which is one of the main
106 tributaries of the Khatanga River. Our vegetation surveys within the catchment revealed that the low-

107 growing shrub tundra is dominated by Ericaceae dwarf-shrubs (*Cassiope tetragona*, *Vaccinium vitis-*
108 *idaea* and *V. uliginosum*) while *Betula nana* and *Alnus fruticosa* are more rare and only obtain low
109 growth heights (< 20 cm). *Salix* spp. grow predominantly along the river and lake shorelines.
110 Cyperaceae and Poaceae, as well as herbs such as *Dryas octopetala* ssp. *punctata*, are abundant.
111 Scattered patches of *Larix gmelinii* trees up to 5 m in height occur in the area.

112 [figure 1]

113 **3. Material and Methods**

114 **3.1. Material collection**

115 Fieldwork was undertaken as part of a joint Russian-German Expedition to the Khatanga region in
116 2011. Sampling took place at a central lake position at 14.3 m depth, where a 131.5 cm-long core with
117 a UWITEC gravity corer extended with a hammer action was deployed. The core was subsampled in
118 Germany at the laboratory of the Alfred Wegener Institute (AWI). To allow for a precise estimation of
119 the sedimentation rate of the investigated lake, a parallel short core of 32 cm was obtained and sliced
120 into 0.5 cm thin samples in the field.

121 **3.2. Age determination**

122 The uppermost 10 cm of the short-core were freeze-dried and sent for radiometric dating of lead and
123 caesium at the *Environmental Radioactivity Research Centre* of the University of Liverpool, UK
124 (Appleby et al., 1991 and 2001). Furthermore, material (moss, wood or leaf remains or bulk sediment)
125 from fifteen samples were freeze-dried and sent to the *Poznan Radiocarbon Laboratory*, Poland, for
126 radiocarbon dating. The age-depth model was established using the Bacon package (Blaauw and
127 Christen, 2011 in the R environment version 3.02 (R Core Team, 2013) , in which the calibrated ages
128 before present (cal. years BP) are based on IntCal13 (Reimer et al., 2013).

129 **3.3. Pollen analysis**

130 For pollen analysis, 65 fossil sediment samples of 1.5 ml were retrieved using plastic syringes and
131 prepared following standard procedure (Fægri and Iversen, 1989, HCl, KOH, HF cooking for 2h,
132 acetolysis). Final samples were mounted in water-free glycerine and examined at 400X magnification.

133 Pollen taxonomic determination was based on a regional reference collection and standard literature
134 (Moore et al., 1991; Reille, 1998; Blackmore et al., 2003; Beug, 2004; Savelieva et al., 2013). Pollen
135 types are given in the text in CAPITAL letters to facilitate the differentiation between POLLEN TAXA
136 and plant taxa (Joosten and de Klerk, 2002). At least 500 terrestrial pollen grains were counted for
137 each sample. Non-pollen palynomorphs, such as coniferous stomata (Hansen, 1995), were counted
138 alongside the pollen grains.

139 ***3.4. Sedimentological (geochemical and granulometric) analyses***

140 There were no signs of hiatuses in the record. At 109–111 cm the sediment was offset, possibly due to
141 the coring process, but no loss of material was indicated in the field or in the laboratory examination.
142 The core description follows initial analyses and picture scan results. The sediment core was opened in
143 the laboratory at AWI Potsdam, and one half was directly transported to the laboratory AWI
144 Bremerhaven to perform line-scanning using the Avaatech XRF scanner using a Rh X-Ray tube at
145 1 mA and a 10 s count time at 10 kV without a filter, and at 30 kV for heavier elements, with a “PD
146 thick” filter. The resolution of logging was set to 5 mm. This study presents the geochemical results of
147 the aluminium, titanium, silicon, rubidium, strontium, bromine, iron, and manganese counts (252
148 observations). For statistical analysis we used the log-ratios of the elements (Weltje and Tjallingii,
149 2008). The relatively heavy element titanium, showed stable count results with low X^2 errors (mean
150 $X^2 = 0.97$). It had the highest correlation to biogenic components, with a Pearson correlation
151 coefficient of 0.72 for total organic carbon (TOC) and 0.69 for total nitrogen (TN). Consequently,
152 titanium could be used to normalise the other elements and counteract the dilution effect of high
153 organic material content to some extent (Löwemark et al., 2011; Shala et al., 2014). Prior to the
154 analysis extreme outliers were excluded, e.g. those from the edges of the core or those around
155 inclusions and at the offset at 109 cm. To allow numerical correlation with other sedimentological
156 proxies the running means of 2 cm window-size of the scanning data were calculated.

157 The gravimetric water content (WT) was measured for 66 samples of the sediment core to infer the
158 compaction of the sediment calculated as the difference between wet and dry weight of the material. A
159 Vario EL III carbon-nitrogen-sulphur analyser was used to measure total carbon and TN content; and a

160 Vario MAXC analyser was employed for TOC measurements. Total inorganic carbon (TIC) was
161 calculated as difference between the total carbon and TOC. The elemental ratio of the weight
162 percentages of TOC and TN was calculated to check for possible variation in the sedimentary origin of
163 the organic matter (Meyers and Lallier-Vergés, 1999), hereafter referred to as C/N ratio.

164 Sediment particle sizes of 65 samples were measured. A minimum of 2.5 g sediment was first treated
165 with 35% hydrogen peroxide for four weeks to remove the organic components. Second, 10% acetic
166 acid was used to remove calcium carbonate within the remaining sample. Last, the volume percentage
167 of 86 particle size classes between 0.3 and 1000 μm particle diameter were measured with a
168 COULTER LS 200 Laser Diffraction Particle Analyser. The reported volume percentages were
169 calculated from the particle diameter classes: 0.0625–1 mm, 2–62.5 μm , and 0.3–2 μm .

170 **3.5. Data analysis**

171 Pollen percentage calculation was based on the total terrestrial pollen count and pollen concentrations
172 were calculated using *Lycopodium* marker spores (Stockmarr, 1971). Ordination analyses of the pollen
173 data were based only on those 31 taxa that occurred in at least five samples of the core. The
174 stratigraphically constrained cluster analysis (CONISS) was based on the Bray-Curtis dissimilarity
175 matrix (Grimm, 1987), and to assess the significance of the obtained clusters the broken-stick model
176 was used (Bennett, 1996). Principle component analysis (PCA) was based on square-root transformed
177 pollen data. To reconstruct past climate variation, a previously established pollen-climate transfer
178 function for mean July temperature (T_{July}) based on pollen spectra exclusively from lake surface-
179 sediments from northern Siberia (Klemm et al., 2013) was applied to the fossil pollen spectra from
180 CH-12. Fifteen modern surface samples from the Khatanga expedition 2011 were added following the
181 same protocol so that the calibration set consisted of 111 modern spectra in total. The included modern
182 T_{July} data ranges between 7.5 and 18.5°C, this data was retrieved from MODIS satellite imagery from
183 the years between 2007 and 2010. The inclusion of these surface samples into the modern pollen
184 dataset slightly improved the performance of the weighted-average partial least squares model, for
185 which one component was employed, resulting in a root mean square error of prediction of 1.66°C and
186 maximum bias of 4.1°C for T_{July} . The significance of the final reconstructed T_{July} was tested against

187 possible reconstructions derived from random environmental data (using 1000 reconstructions; Telford
188 and Birks, 2011). The complete modern and fossil datasets are available from: *PANGAEA link (follows*
189 *upon publication)*.

190 The grain size data was analysed with the end-member modelling algorithm using a W-transformation
191 described in Dietze et al. (2012, accessible through the EMMAGEo R-package). With this approach,
192 the contribution of robust end-members (EM) to all the different size classes as well as the quantitative
193 EM contribution throughout the sediment core can be identified (Weltje, 1997; Weltje and Prins,
194 2007). The selection of the minimal potential number of end-members was based on a minimal
195 cumulative explained variance of at least 0.9% of the total dataset variance. The value of the mean
196 coefficient of determination (r^2) was used to determine the maximum number of EMs. The robustness
197 of the EMs was tested and the final robust EM and the residual member were calculated. Furthermore,
198 the elementary ratios and the grain size data were jointly analysed to retrieve patterns in the sediment
199 signal of the lacustrine archive via cluster and ordination analyses. The constrained cluster analysis
200 and final ordination followed the same approach as described for the pollen data analysis but
201 employed a Euclidean distance matrix to standardised and $\log(x+1)$ transformed data of every second
202 centimetre (Legendre and Gallagher, 2001).

203 To test whether the sediment signal and the pollen signal followed similar trends over the core, the
204 ordination results of both PCAs, using the first two axes scores, were compared with a Procrustes
205 rotation and associated PROTEST with 1,000 permutations (Jackson, 1995; Wischniewski et al., 2011).
206 The Procrustean superimposition approach scales and rotates the ordination results to check for a
207 maximal fit of a superimposition between ordination results (Gower, 1971; Peres-Neto and Jackson,
208 2001).

209 All statistical data analyses were performed in the R environment version 3.02 (R Core Team, 2013)
210 using the analogue (Simpson and Oksanen, 2014), rioja (Juggins, 2014), palaeoSig (Telford, 2015) and
211 vegan (Oksanen et al., 2015) packages.

212 4. Results

213 4.1. Age-depth model

214 The 131.5 cm-long lake sediment core covers the time from 7,100 cal. years BP to the present-day
215 (Fig. 2 and Table 1). $^{210}\text{Pb}/^{137}\text{Cs}$ results indicate a relatively stable, recent sedimentation rate of about
216 0.03 cm/a (Table 2). The age-depth model based on radiocarbon dates shows a similar and stable
217 accumulation rate over nearly the whole core of around 0.025 cm/a. However, between the depths of
218 87 and 61 cm, corresponding to a time between 5,400 and 2,600 cal. years BP, a lower accumulation
219 rate of ~0.01 cm/a is inferred. The comparison of radiocarbon dates based on terrestrial wood and
220 moss samples with nearby bulk samples does not reveal any offset. However, the bulk sediment date
221 of the top part of the sediment, at 5.5 cm, dates to about 1,280 ^{14}C years, whereas radiometric dates of
222 lead and caesium for the uppermost samples show that these sediments are clearly of more recent
223 origin given that the timing of nuclear weapon testing in the 1950s and early 1960s is captured within
224 the core's uppermost three centimetres, the 'true' radiocarbon ages of those samples are most likely
225 affected by nuclear activities (Manning et al., 1990). In the final age-depth model, the radiocarbon
226 result of this upper sample is disregarded.

227 [figure 2, table 1 and 2]

228 4.2. Pollen data

229 All pollen spectra are dominated by shrub pollen of *BETULA NANA* type and *ALNUS VIRIDIS* type,
230 and *POACEAE* and *CYPERACEAE* contributions are also high throughout the core spectra (Fig. 3).
231 *LARIX* is present only at low percentages ranging between 0.3 and 9.9% showing a decreasing trend
232 throughout the record. The depth-constrained cluster analyses reveals two significant pollen zones,
233 which were further subdivided on visual inspection. The lower zone (PZ I: 131-53 cm, 7.1-
234 2,200 cal. years BP) is characterised by high *LARIX*, *BETULA NANA* type and *ALNUS VIRIDIS* type,
235 while the upper zone (PZ II 52-0 cm, the last 2,200 years) is rich in *POACEAE* and *CYPERACEAE*.
236 The first PCA-axis (Sup. Fig 1A) explains 70% of the total variance; high 1st axis scores are correlated
237 with high *LARIX* and *ALNUS VIRIDIS* type percentages, whereas negative scores are correlated with

238 POACEAE, CYPERACEAE and PINUS percentages. The second axis explains only 7% of the variance
239 within the dataset and is positively correlated to BETULA NANA type and negatively to ERICACEAE
240 and some herb taxa, such as CHENOPODIACEAE and BRASSICACEAE.

241 A transfer function-based estimate of July temperature for the upper sample yields 14.5°C, which is in
242 close agreement with the modern satellite-based temperature inference of 14.2°C for the Khatanga
243 region (mean over n=15). The test of the significance of the transfer-function indicated that the pollen-
244 inferred T_{July} reconstruction was statistically significant ($p=0.037$). The pollen-based climate
245 reconstruction of T_{July} revealed a cooling trend over the last ~7,100 cal. years with an absolute change
246 of about 2 °C. Relative to the overall Holocene cooling trend, periods of variable summer temperature
247 occurred between 1,500 and 1,000 cal. years BP (4 samples) as well as between 900 and
248 700 cal. years BP (3 samples).

249 [figure 3, Sup. Fig 1A]

250 **4.3. Sedimentological data**

251 Total organic carbon (TOC) varied between 0.9 and 17.8 wt% and total nitrogen (TN) ranged between
252 0.1 and 1.5 wt% (Fig. 4). Both element curves show generally similar variations, still C/N varied
253 between 1 and 16. Bromine counts correlated well with the organic components (Pearson correlation
254 index: 0.6–0.65). Over the whole core, the water content varied between 15 and 85 wt%. In the bottom
255 ten centimetres, high values are measured followed by a drop around 120 cm depth and then by a
256 steady gradual increase of the water content towards the surface sediments. The geochemical
257 components expressed as the ratios Al/Ti, Si/Ti, Rb/Sr, and Fe/Mn show relatively small variations
258 throughout the core, with the highest variability in the lower 45 cm (7,100–5,500 cal. years BP, Fig.
259 4). Iron and manganese show similar trends throughout the core, however Fe shows more variation,
260 particularly since 2,700 cal. years BP.

261 The minerogenic sediment component mainly consists of fine to medium silts with occasional sections
262 of fine sands with a mean grain size of ~11 µm and maximum sample means of 75 µm. The chosen
263 EM model explains a mean of 79% of the total variance over the sediment core. The model error is

264 largest in the lowermost section of the core. EM1 has its main maximum in the medium-to-fine sand
265 fraction. EM2 displays its maximum at the silt-to-clay transition (Sup. Fig 2A).

266 Depth-constrained cluster analysis of the various sedimentological datasets reveals a significant split at
267 115 cm depth (~6,600 cal. years BP). Based on the clustering and visual inspection, the upper zone
268 was further divided into six subzones (Fig. 4). The first and second PCA axes explain 50% and 15% of
269 the variance, respectively (Sup. Fig 3A). The first axis was positively correlated to EM1 and Rb/Sr
270 and negatively correlated to EM2 values and Al/Ti. The second axis separated TOC and C/N, which
271 spanned the positive side, from Fe/Mn on the negative side.

272 [figure 4, Sup. Figure 2A and 3A]

273 ***4.4. Numerical comparison of pollen and sedimentological data***

274 Generally, the sedimentological parameters show higher variability than the pollen data, however the
275 overall trends of the two datasets are significantly correlated as revealed by Procrustes rotation
276 ($r=0.49$, $p<0.001$). The goodness of fit between the ordinations is shown in figure 5 with periods of
277 higher agreement having lower residuals. However, a simple inspection of the two cluster analyses
278 shows that the respective clusters of each dataset do not completely overlap. First, the main division of
279 the sediment dataset, which separates the bottom section from the remaining core (the last 6,500
280 years), is not indicated in the pollen zonation at all. This section has high concentrations of stomata
281 and *MENYANTHES TRIFOLIATA*. Second, periods of major change in the sedimentological data
282 during the last 6,500 cal. years BP always slightly preceded periods of major change in the
283 palynological data (Fig. 5). For example, major change in the sedimentological data between 2,500
284 and 2,300 cal. years BP finds a counterpart in the pollen data around 2,200 cal. years BP. Likewise, a
285 sedimentological regime shift recorded for the period between 1,500 and 1,000 cal. years BP may
286 correspond to an abrupt change in the pollen data around 700 cal. years BP.

287 [figure 5]

288 **5. Discussion**

289 *5.1. Assessment of investigated parameters as proxies for regional vegetation and climate, and lake* 290 *catchment development*

291 With the selection of the study site we aimed at capturing a regional-scale pollen signal. Because CH-
292 12 lacks any inflowing streams, the portion of fluvial pollen input should be minimal; also only a
293 minor proportion of pollen may be introduced to the small lake via slopewash (Crowder and Cuddy,
294 1973; Fall, 1992). Consequently, most of the deposited pollen grains are of aerial origin. As a function
295 of the lake size, the relevant source area of pollen (RSAP; Sugita, 1994) is expected to encompass an
296 area with a radius of hundreds of metres to a few kilometres. An estimation of its actual size depends
297 not only on lake size but also on surrounding vegetation, namely its composition, spatial structure and
298 openness (Sugita et al., 1999; Bunting et al., 2004, Poska et al., 2011). Today the lake is surrounded by
299 tundra with a high portion of arctic herbs characterised by low pollen productivity. The background
300 pollen loading is high and the spatial scale of vegetation reflected in the pollen source is quite large
301 (Pitkänen et al., 2002; Broström et al., 2005; von Stedingk et al., 2008). The RPSA is possibly above
302 ten to twenty kilometres in radius as suggested by the high value of 25 km published for the modern
303 vegetation in the Khatanga River region (Niemeyer et al. 2015). The RSAP was probably much
304 smaller in times of denser forests during the mid-Holocene compared with today. This theoretical
305 consideration is supported by the observation that PINUS values vary contrarily to LARIX. We regard
306 pine pollen as an indicator of landscape openness, because no modern or fossil presence of pine trees
307 in the regional vegetation is documented. Reported modern and fossil occurrences of *Pinus* are at least
308 200 km away, east and south of the study site (Hultén and Fries, 1986; Kremenetski et al., 2000).
309 PINUS grains are well known for their long-distance transport particularly in open landscapes (Birks
310 and Birks, 2003; Hicks, 2006, Ertl et al., 2012). Awareness of such changes in landscape openness and
311 RSAP is needed when pollen signals are compared with other environmental variables.

312 It is well-known that LARIX is underrepresented in the pollen spectra compared to its abundance in the
313 vegetation, because it is a medium-to-low pollen producer and has a low pollen dispersion (Clayden et

314 al., 1996; Binney et al., 2011; Klemm et al., 2013). Being a deciduous tree, its foliage production is
315 high and, therefore the interpretation of pollen records with respect to treeline changes can be aided by
316 *Larix stomata* concentrations in the sediment (Ammann et al., 2014; Birks, 2014). Still the estimation
317 of larch cover remains a challenge, and LARIX percentages of around as little as 0.5% may indicate its
318 local presence in the vegetation (Lisitsyna et al., 2011). Modern sediment studies from northern
319 Siberia indicate that northern larch forests are typically reflected by 2% LARIX in the pollen spectra
320 (Klemm et al., 2013).

321 The pollen-based quantitative mean July temperature reconstruction is highly correlated to PCA1 and
322 the reconstructed changes are larger than the error ranges. The significance of the T_{July} reconstruction
323 for this core also supports that T_{July} may be the driving force of pollen changes. Therefore, the trend
324 and the absolute temperature offset between the middle and late Holocene can be considered reliable.
325 The absolute values, however, may be rather biased towards the mean of the trainings set (see e.g.
326 ‘edge-effect’ as discussed by Birks et al., 2012). The absolute values are slightly higher than the
327 Khatanga climate station measurements of 12.5°C, because the transfer function is built upon MODIS
328 satellite images deriving from the relatively warm summers between 2007 and 2010 (Klemm et al.,
329 2013).

330 Lake CH-12’s catchment is without fluvial inflows and well-confined within a few hundred metres of
331 the lake’s edge; consequently the scale captured by sedimentological proxies is relatively local. C/N is
332 indicative of the relative contributions of aquatic and terrestrial organic matter to the lacustrine
333 sediment. The obtained C/N ratios mostly range between 10 and 15 suggesting a mixture of both
334 sources (Meyers and Teranes, 2001). We assume that high C/N values, for example at the bottom of
335 the core, relate to low water levels which cause high amounts of terrestrial material to reach the coring
336 position at the centre of the lake. Based on the C/N ratios we assume that relative TOC content at this
337 lake likewise mirrors the relative changes in organic and minerogenic material supplies but is also
338 affected by the within-lake productivity (Briner et al., 2006). The Fe/Mn ratio is assumed to represent
339 the level of lake-water mixing at the water-sediment interface (e.g. Haberzettl et al., 2007; Och et al.,
340 2012; Naeher et al., 2013; see supplementary material for details).

341 According to our field observations the sediments within the small catchment are rather homogeneous.
342 Changes in the grain-size composition and selected elemental ratios of the minerogenic component
343 therefore predominately represent variations in the transportation and sedimentation processes in the
344 direct vicinity of the coring position rather than changes in the material source (Dearing and Jones,
345 2003). The grain-size data of this lake core indicate the occurrence of two main sedimentation regimes
346 within the last 7,100 years. Sections of clay-to-silt sediments, and higher Rb/Sr values, can be
347 assumed to represent times of deep lake conditions, because a large distance between the coring
348 position and the lake shore causes the sedimentation of a rather fine fraction. In contrast, sections of
349 higher grain size variability and high sand contributions represent unstable lake conditions and an
350 influx of less sorted sediment from near-by lake shores. These grain size signals correspond well to
351 changes in elemental ratios, among them Al/Ti that likewise reflects the transport of coarser
352 minerogenic material to the lake centre. (A detailed discussion of the applicability of these ratios is
353 provided in the supplementary material).

354 ***5.2. Vegetation and climate change in Arctic Siberia over the last ~7,000 years***

355 Our palynological investigation reveals a general larch forest decline during the last ~7,100 years. The
356 mid-Holocene vegetation was characterised by open *Larix* taiga with *Alnus* shrubs in the understorey.
357 Modern vegetation conditions, i.e. shrub tundra, dominated by sedges and grasses with only sparse
358 *Larix* stands, became established at approximately 2,200 cal. years BP. This observed general
359 Holocene vegetation trend confirms earlier investigations from north-eastern Siberia using pollen
360 and/or macrofossils analyses (e.g. Prentice and Webb, 1998; Hahne and Melles, 1997; Tarasov et al.,
361 1998, 2007; MacDonald et al., 2000a, 2008; Andreev et al., 2011 and references therein) or modelling
362 approaches (Monserud et al., 1998, Kleinen et al., 2011). Our record reveals that the strong turnover
363 occurred between 3,000 and 2,000 years ago; a similar timing of strong change has also been reported
364 from other sites in the Taymyr region (fig. 6) and or throughout most circumarctic environments
365 (Kaufman et al., 2004; Salonen et al., 2011; Luoto et al., 2014).

366 [figure 6]

367 **5.3. Catchment and lake development**

368 The initial lake development started from a small water-hole in a boggy environment. High terrestrial
369 organic input together with the presence of large macrofossils supports a conclusion of very local
370 sedimentation of plant material into a small wet depression. Additionally, the presence of pollen from
371 the semi-aquatic *Menyanthes trifoliata* is typical for a shallow water-logged environment. Initial
372 lacustrine sedimentation started around 7,000 cal. years BP during the late phase of the regional
373 climate optimum that occurred from 9,000 to 6,800 cal. years BP (Andreev et al., 2011). Thermokarst
374 processes are assumed to be more active in times of warming and accordingly strong thermokarst
375 activity has been reported for Siberia during the early and mid-Holocene (Romanovskii et al., 2004;
376 Grosse et al., 2006). During that time, high temperatures and high humidity together with poor
377 drainage may have promoted the formation of a small water-filled depression at the study site lasting
378 for around 500 years.

379 The following subsidence of the initial depression may have been rapid due to internal feedback
380 mechanisms (Czudek and Demek, 1970; Murton, 2001). In modern Yakutia, fast subsidence rates of
381 5–10 cm/a (Brouchkov et al., 2004) and 17–24 cm/a (Fedorov and Konstantinov, 2003) are reported.
382 Our sedimentological data from the period following the initial lake formation show high variability
383 from 6,500 until around 5,200 cal. years BP, indicating processes of a deepening water body and relief
384 formation. Thaw slumps and instable lake margins might have led to a mix of fine and coarse material
385 accumulating in a shallow, well-ventilated lake. Our reconstruction suggests that lake sedimentation
386 stabilised, probably because of the formation of a deeper lake after about 5,200 cal. years BP. Over the
387 last 5,200 years the lake experienced two short-term changes in the sedimentological regime, at about
388 2,500 cal. years BP and about 1,500 cal. years BP, where strong inputs of unsorted material to the lake
389 basin occurred. Such inputs may indicate either a change in the hydrologic regime of the lake's
390 catchment leading to an increased water inflow from the surrounding slopes or represent the input due
391 to slumps from instable margins.

392 **5.4. Assessment of the reconstruction**

393 The pollen-based climate reconstruction of our study yields a summer temperature change of about
394 2 °C over the last 7,100 years. This magnitude of Holocene temperature change is in general
395 agreement with other studies from the Taymyr region and throughout northern Siberia (Miller et al.,
396 2010; Andreev et al., 2011) and has been attributed to a decrease in solar radiation in summer over the
397 high-northern latitudes (Berger and Loutre, 1991) and related high-latitude feedback mechanisms
398 (Kerwin et al., 1999; Wanner et al., 2008; Marcott et al., 2013). Some distinct short-scale variations
399 are obvious within the last 2,000 years of the reconstruction (fig. 6). A warm phase around 1,500–
400 1,000 cal. years BP may reflect the Medieval Climate Anomaly (MCA, defined after Mann et al., 2009
401 between 1,050–750 years ago in northern Europe). A possible MCA is also indicated by tree-ring
402 chronologies from the nearby Khatanga region (Briffa et. al., 2008; McKay and Kaufman, 2014). Also
403 regional lacustrine summer temperature reconstructions based on pollen and diatoms indicate a warm
404 MCA (e.g. Lama Lake: Andreev et al., 2004; Kumke et al., 2004). This warm interval was followed by
405 a rapid cool period in the Northern Hemisphere known as the Little Ice Age (Overpeck et al., 1997;
406 Briffa and Osborn 1999; Briffa, 2000; MacDonald et al., 2008). At Lake CH-12, a cooling is indicated
407 around 900 cal. years BP, as is also found in the 100 km-distant Labaz Lake region (Andreev et al.,
408 2002).

409 The general similarity in the proxies for local lake and catchment changes and regional vegetation
410 change probably originates from a joint driver, which most likely is climate variation. Earlier studies
411 found that, compared to vegetation changes, changes in the within-lake sedimentation or catchment
412 erosion are captured in sediments mostly with short time-lags (Dearing and Jones, 2003). Other
413 possible factors that would result in similar changes in the proxies are disturbances through, for
414 example, fire, insects, or humans. In this pristine setting human disturbance can be considered
415 minimal, as can major effects from insects (Hauck et al., 2008; Dulamsuren et al., 2010). However,
416 fire is a frequent feature in the forest-tundra ecotone (Berner et al., 2012) and may have affected the
417 study site to some extent. A charcoal analysis, however, was not included in this approach.

418 This comparison of the environmental development at two spatial scales yielded that the local changes
419 within the lake and its catchment possibly preceded the regional vegetation changes by several

420 decades. However, more detailed inferences about vegetation lag-times are not possible because of the
421 limited temporal resolution of the reconstruction results. Accordingly, only the general trends of
422 pollen-based reconstructed climate, i.e. variations on millennial time-scales are reliable while short-
423 term changes may be biased by lagged responses. Still, we assume that pollen is the most reliable
424 proxy for climate reconstruction because all limnological proxies potentially respond non-linearly to
425 climate change.

426 **6. Conclusions**

427 An overall cooling of summer temperature by about 2 °C since 7,000 cal. years BP was reconstructed
428 by the application of a pollen-based transfer function to a sediment record from a lake located at the
429 present-day northern larch limit on the southern Taymyr Peninsula. This trend is significant and adds
430 to information to the Taymyr region especially due to the good resolution of the lacustrine core for the
431 last 2,000 years. The temperature decrease mainly reflects the density decrease of larch forests
432 supporting the high sensitivity of this ecosystem to climate variations.. Regional vegetation change
433 generally matches the lake system development and is probably driven by climate-related thermokarst
434 processes. However, the sub-millennial scale changes and variability differ for each proxy dataset, i.e.
435 we inferred a lagged vegetation response and a non-linear lake system response to climate. This
436 studies approach combining the regional vegetation signal and the more local lake catchment signal
437 helps to understand the resolution of both reconstructed signals and highlights that a careful
438 consideration of the scale of the reconstruction has to be made.

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