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4 **Temperature and growth strategies as the essential factors influencing the**
5 **occurrence of *Stephanodiscus minutulus* (Kützing) Cleve & Möller and *Palatinus***
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16 *Stephanodiscus minutulus* (Kützing) Cleve & Möller and *Palatinus apiculatus* (Ehrenberg)

17 Craveiro, Calado, Daugbjerg & Moestrup

18
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27
28 Abstract

29 The life cycle of diatoms and dinoflagellates enables them to produce interannually varying

30 dominances. This variability results from life-cycle interactions, physical conditions, and

31 inter-species competition for nutrients and light. In particular, the overall dominant species of

32 the year can be linked to the abundances of resting stages and temperature in spring.

33 The aim of this study was to investigate the effects of temperature and life cycle as biotic
34 factors on spring phytoplankton blooms caused by *Stephanodiscus minutulus* (Kützing) Cleve
35 & Möller and *Palatinus apiculatus* (Ehrenberg) Craveiro, Calado, Daugbjerg & Moestrup, in
36 a Hungarian shallow backwater. The composition of plankton communities exhibited a
37 marked interannual heterogeneity. Our results suggested that a slight decrease in temperature
38 in spring favours a spring bloom of vegetative dinoflagellates before encystment begins.
39 However, a rapid increase in temperature results in high abundances of dinoflagellate cysts,
40 which, in turn, leads to the dominance of diatoms. In this case, encystment starts before a
41 pronounced dinoflagellate spring bloom is established.

42

43 Keywords: life cycle, *Stephanodiscus minutulus*, *Palatinus apiculatus*, spring bloom,
44 excystment, encystment

45

46 Introduction

47

48 In temperate standing waters, phytoplankton species generally show seasonal dynamics with
49 spring blooms yielding a major part of the annual production (Reynolds 1987a, 1987b). For
50 most water types, temperature is one of the main controlling factors for the occurrence and
51 dominance of phytoplankton species. However, its importance has been underestimated.
52 Phytoplankton spring blooms in temperate waters have been generally dominated by one of
53 two main groups: dinoflagellates or diatoms (Sommer et al. 1986, Grigorszky et al. 2000,
54 Gligora et al. 2015). Dinoflagellates and diatoms are key groups of the freshwater
55 phytoplankton, and, as primary producers, are particularly relevant for biogeochemical cycles
56 and the food web.

57 While spring blooms are generally dominated by dinoflagellates, dominance has been
58 gradually shifting from dinoflagellates to diatoms (Broekhuizen 1999, Jewson 1992). The
59 reasons for the shift in dominance are not completely understood. The phenomenon of this
60 “spring bloom type” is characteristic to Hungarian standing and running waters (Grigorszky et
61 al. 1993, 1997, 2000, 2003), while another “type” (dominance of only centric diatoms)
62 frequently occurred in the Danube River and its side-arms (Kiss and Genkal 1993, 1997).
63 The dominances of both phytoplankton groups and their relative close abundances may have
64 specific consequences for the nutrient cycling, although the physiological circumstances and
65 life cycles of dinoflagellates and diatoms have been proposed to play an important role in
66 governing the phytoplankton composition (Anderson 1984, Bravo et al. 2010, Drebes 1966,
67 Durbin 1978). For example, dinoflagellates can be considered as inferior competitors to
68 diatoms, as they have a lower photosynthetic rate (Furnas 1990, 1983). Another important fact
69 is that besides environmental factors, changes in the timing and size of the inoculum (the cells
70 that initialize the bloom) have been proposed as relevant factors that bring changes in the
71 phytoplankton species composition (D’Alelio et al. 2010, Klais et al. 2011, Kremp et al. 2008).
72 The factors regulating diatom and dinoflagellate life-cycle transitions are poorly understood.
73 The effects of nitrate and phosphate concentration on various diatom and dinoflagellate
74 species are more or less well known and recognised as important factors in determining the
75 abundance of species, but the effects of temperature on encystment are hardly known
76 (Anderson 1980). Most papers focus on variables that affect the encystment, rather than the
77 encystment process (Olli and Anderson 2002, Heikkilä et al. 2016, Kremp et al. 2009).
78 Nevertheless, there is a lack of knowledge of the factors regulating the cyst to vegetative cell
79 transitions due to the difficulties of understanding their occurrence. Therefore, the objective
80 of this study was to investigate temperature as the main variable controlling the alternation of
81 the dominance of *Stephanodiscus minutulus* (Kützing) Cleve & Möller and *Palatinus*

82 *apiculatus* (Ehrenberg) Craveiro, Calado, Daugbjerg & Moestrup during spring blooms on
83 interannual time scales.

84

85 Materials and methods

86

87 The studied backwater is situated in the Körös area, Békés county, SE Hungary (Latitude N
88 $46^{\circ} 57' 14.96''$, Longitude E $20^{\circ} 49' 18.63''$). It has an area of five hectares ($A_0 = 5$ ha), a
89 maximum depth of 3.5 m ($d_{\max} = 3.5$ m) and an average depth of 2 m ($d_{\text{avg}} = 2.0$ m). Water
90 samples were collected monthly from January to June in 2013 and 2014. For chemical
91 analyses and algal counts, the samples were collected with a weighted plastic tube at the
92 deepest part of the backwater. The physical and chemical parameters were measured
93 according to the internationally accepted analytical methods of the Hungarian water quality
94 monitoring service (Hungarian National Standards, MSZ 12749:1993). The sediment for the
95 cyst and spore count was collected by a Hargrave-type sediment sampler. A sub-sample was
96 immediately fixed on the field with Lugol's iodine for subsequent algal counts with the
97 Uterhmöhl inverted microscope technique. The microscopic investigation was performed with
98 an Olympus-IX73 inverted and an Olympus-BX53 microscope by using phase-contrast and
99 Nomarski-contrast technologies. The linear model was fitted to the correlation of coexistent
100 diatom and dinoflagellate vegetative cells, diatom spores and dinoflagellate cyst. PAST
101 (Paleontological Statistical Software Package) version 1.78 was used for the statistical
102 analysis (Hammer et al. 2001).

103

104 Results

105

106 In both 2013 and 2014, the conductivity was relatively low, with the annual minimum values
107 (89 and 87 $\mu\text{S cm}^{-1}$) measured in February (Fig.1.a). In both years, the conductivity began to
108 increase in March and continued to rise throughout the months of April, May and June. The
109 maximum conductivity (422 and 418 $\mu\text{S cm}^{-1}$) was observed in July in both years. The
110 concentration of total phosphorus was at its maximum level in January in both years (12.6 and
111 11.8 $\mu\text{g L}^{-1}$, respectively), which then was strongly reduced in February (5.8 and 4.8 $\mu\text{g L}^{-1}$),
112 and continued to decrease slightly throughout March and April (Fig.1.b). The concentration of
113 total phosphorus did not change significantly in May and June. For 2013 and 2014, the
114 concentration of nitrate-ion was nearly the same. The maximum nitrate-ion concentration was
115 measured in January in both 2013 and 2014 (4.1 and 4.7 $\mu\text{gN L}^{-1}$, respectively), which
116 slightly decreased in February (Fig.1.c). A strong reduction in the nitrate-ion concentration
117 was measured in both years in April (1.9 and 1.8 $\mu\text{gN L}^{-1}$), while the concentration strongly
118 increased again in May (3.9 and 4.1 $\mu\text{gN L}^{-1}$). Finally, a significant drop occurred in June
119 when we measured the minimum concentration of nitrate-ion (0.2 and 0.18 $\mu\text{gN L}^{-1}$). The
120 concentration of nitrite-ion was low in January in both years, and dropped to the minimum
121 level (0.1 and 0.15 $\mu\text{gN L}^{-1}$) in February (Fig.1.d). In March, the concentration of nitrite-ion
122 started to increase, and continued to rise in April. In May, a slight decrease was observed in
123 the concentration of nitrite-ion in both years, but greatly increased in June to the maximum
124 concentration of nitrite-ion (1.8 and 1.9 $\mu\text{gN L}^{-1}$). No significant differences were found in
125 any kind of variables between the two investigated years (Table 1, Fig.1.a-d).

126 *Fig.1.a-d., Table 1.*

127 In February 2013, the cysts began to excyst from which resulted the first bloom of
128 dinoflagellates (Fig. 2.a). However, the growth in March was limited by a relatively low
129 temperature (4 °C); the abundance of dinoflagellates decreased. With a higher temperature in
130 April (9 °C), the concentration of vegetative dinoflagellates rapidly increased, resulting in a

131 second bloom of dinoflagellates at the end of April. In May, the encystment started (due to
132 increasing temperature) and caused a decrease in the dinoflagellate bloom and an increase in
133 cyst abundance. The cysts sank into the sediment at the bottom of the water column, where
134 they matured until the next year. The abundance of vegetative diatoms (860-3.210 ind. L⁻¹)
135 and spores (980-1.300 spores m⁻²) was very low during the whole year of 2013 (Fig.2.b).
136 In contrast, diatoms dominated in the year 2014. The year began with higher abundances of *P.*
137 *apiculatus* cysts than *S. minutulus* spores. In January, the cysts of *P. apiculatus* excysted,
138 which caused a small dinoflagellate bloom in February (Fig. 2.c). With a rapid temperature
139 increase from March to May, the concentration of vegetative dinoflagellates decreased from
140 11.240 ind. L⁻¹ to 2.320 ind. L⁻¹, but the encystment also started due to a further increasing
141 temperature. This caused a decrease in dinoflagellate cyst numbers (from 69.840 cyst m⁻² to
142 740 cyst m⁻²), before a pronounced bloom could be established. From January to March, the
143 temperature growth conditions were not favourable for diatoms (Fig. 2.d). Therefore, the
144 spores did not transform into vegetative diatoms. In April and May, temperature became
145 favourable and the mean growth rate of diatoms reached the highest value, and spores started
146 to transform into vegetative diatoms. The number of vegetative diatoms grew and they formed
147 a bloom in late May (Fig.2.). The concentrations were significantly higher compared with
148 dinoflagellates that had already started to encyst. In early June, the growth rate decreased due
149 to depleted nutrient conditions. Hence, the transition was reversed, and the vegetative diatoms
150 started to transform into spores.

151

152 *Fig.2.a-d.*

153

154 In 2013, *P. apiculatus* was dominant during the spring months when no other taxon occurred
155 in significant numbers. In 2014, a diatom-dominated spring bloom occurred in April and May
156 when *S. minutulus* was the dominant taxon.

157 The total number of individuals was the lowest in January 2013, followed by a slight increase
158 in February, which was mainly caused by the *P. apiculatus* taxon. In March, the numbers fell
159 back closer to the lowest level experienced in January (Fig.3.a.). At that time, *S. minutulus*
160 also appeared, but only in small numbers (1.320 ind. L⁻¹). In April, the number of individuals
161 of *P. apiculatus* reached their maximum (62.430 ind. L⁻¹), while *S. minutulus* continued to
162 decrease (860 ind. L⁻¹). In May, the total number of individuals, including the abundance of *P.*
163 *apiculatus*, strongly decreased. The Chlorophyta organisms first appeared in April, and
164 occurred in a significant proportion in May and June. The Euglenophyta appeared in May and
165 comprised a significant portion of the total number of individuals in June. Together with
166 Cryptophyta, the Chlorophyta and Euglenophyta taxa became the dominant elements of the
167 total algae numbers in June.

168 In 2014, we found the least number of individuals in January (Fig. 3.b), similarly to the
169 previous year. The total number of individuals slightly increased through February and in
170 March due to *P. apiculatus* (11.240 ind. L⁻¹). *S. minutulus* also appeared, but with a negligible
171 number of individuals (4.680 ind. L⁻¹). In April, the total number of individuals increased,
172 which was caused by the increased number of *S. minutulus* (19.800 ind. L⁻¹), while the
173 number of individuals of *P. apiculatus* strongly decreased (2.320 ind. L⁻¹). In May, the total
174 density increased significantly, mainly due to the increase in the number of individuals of
175 Bacillariophyceae taxon (69.200 ind. L⁻¹). In May and June, *Parvodinium umbonatum*
176 replaced *P. apiculatus* as the dominant Dinophyta species. We measured the maximum total
177 number of individuals in June. At this time, species from the Chlorophyta, Euglenophyta,
178 Bacillariophyta and the Dinophyta groups were dominant.

179

180 *Fig. 3.a.b.*

181

182 The fitted linear model indicated that there is a negative correlation between abundances of
183 the two species ($r=-0.54$; $p=0.07$), and also a negative correlation between the resting spores
184 of *S. minutulus* and *P. apiculatus* ($r=-0.51$; $p=0.08$) at the 10% level of significance (Fig.4).

185

186 *Fig.4.*

187

188 Discussion

189

190 All continents in the temperate region are characterised by the presence of shallow lakes
191 associated with a high algal density at various times during the year (Borics et al. 2013, 2014,
192 Grigorszky et al. 2000, Sommer et al. 1986, Padisák et al. 2003). Algal blooms have garnered
193 major global interest during the last two decades (Hense 2010, Hense and Beckmann 2000,
194 Hense and Burkhard 2010). Phytoplankton has 3400–4100 microalgae species of which 300
195 species can produce blooms (Smayda 1997). Despite the ecological importance of algal
196 blooms, studies focusing on the period of initiation and development of the spring
197 phytoplankton blooms, which determine the structure of the phytoplankton community, are
198 scarce (Lewis et al. 1999, Peperzak 2006). In addition, the importance of phytoplankton
199 species composition in small shallow lakes is almost unexplored. Only a few studies have
200 focused on differentiating algal blooms associated with specific species from one another
201 (Kremp et al. 2008). An improved understanding of the factors regulating bloom development
202 can be best achieved through an analysis of inter-annual variations in bloom dynamics (Iriarte
203 and Purdie 2004).

204 Generally, phytoplankton spring blooms were mainly dominated by cold-water diatoms and
205 dinoflagellates in temperate regions. Sometimes in the same standing water, interannually
206 varying dominances were particularly surprising, given that the maximum growth rate was
207 considered to be significantly lower for dinoflagellates than for diatoms.

208 Our studied diatom, *S. minutulus*, is a cosmopolitan species (Round 2007); it has been
209 recorded as frequent and abundant in rivers and shallow lakes with a different trophic status.
210 It is a characteristic species in the River Danube, mostly during the cold period from
211 November to April (Kiss et al. 2012).

212 Although cells of *P. apiculatus* are relatively conspicuous and readily recognisable among
213 dinoflagellates, the biology of this species has not been studied until now. The main
214 identification books (Huitfeld-Kaas 1900, West 1909, Lindemann 1919, 1928, Lefevre 1932,
215 Popovsky and Pfiester 1990) have only mentioned that this species can be frequently found in
216 various water types and can occur in the cooler regions in Europe and temperate regions. *P.*
217 *apiculatus* belongs to the group of algal species for which we have only few ecological data
218 (Grigorszky et al. 1997), although in some running and standing waters, it can be found in
219 large numbers (Grigorszky et al. 2003).

220 We have considered the fact that the cold-water dinoflagellate *P. apiculatus* and the diatom,
221 *S. minutulus* occur in high abundance in spring in order to study the relationship between life
222 cycle transitions and temperature. On interannual time scales, our results show varying
223 dominances of the investigated dinoflagellate and diatom. In January of 2013, the dormancy
224 period of the *P. apiculatus* cysts was completed and the particular 6 °C temperature was
225 favourable for excystment, thus, the cysts transformed into germinating cells. Several studies
226 have identified temperature as the main regulating factor for germination (Pfiester and
227 Anderson 1987, Bravo and Anderson 1994, Kremp and Anderson 2000). Therefore, we
228 considered the importance of temperature for the transition from cysts into germinating cells.

229 The germinating cells rise up from the bottom to the upper layers of the water column, where
230 they transform into vegetative cells. The excystment process results in an initial peak into the
231 number of the cells of *P. apiculatus* in February. The relatively cold temperature limits the
232 growth rate in February and March. When the temperature rises in April (up to 9 °C), the
233 abundance of vegetative cells rapidly increases. This increase in the cell number results in a
234 second peak at the beginning of April. Certain characteristics, such as adaptation to low-light
235 conditions, mixotrophy, as well as lack of grazers, might explain the sudden appearance of *P.*
236 *palatinus*. The decrease in the number of vegetative cells at the end of May was partly caused
237 by the beginning of the encystment process when it was transformed into the resting cyst
238 stage. The cysts sink to the sediment at the bottom, where they mature until the next year,
239 when the cycle begins anew.

240 The growth of vegetative dinoflagellates starts at a lower temperature (8 °C) than their
241 encystment (14 °C). By a rapid temperature increase, encystment starts relatively early before
242 the vegetative dinoflagellates are able to build up a pronounced spring bloom. Hence, there is
243 only a short period for *P. palatinus* growth leading to a diatom-dominated spring bloom. In
244 contrast, a slow increase in temperature during spring allows vegetative growth, but delays
245 encystment. The vegetative dinoflagellates build up the pronounced bloom in spring before
246 encystments starts, leading to a dinoflagellate dominated year. Hence, the temperature
247 gradient during spring is the driving factor.

248 Some models with a complete life cycle have been developed for mainly cyanobacteria
249 (Hense and Beckmann 2006, Hellweger et al. 2008). They have been successfully applied to
250 lakes (Hellweger et al. 2008, Jöhnk et al. 2011) and coastal regions (Hense and Burchard
251 2010, Lancelot et al. 2005), demonstrating the need to include life-cycle processes in
252 ecosystem models.

253 So far, few model studies address the life-cycle processes of diatoms and dinoflagellates and
254 their interannually varying dominances (Hasle and Syvertsen 1997, Horner and Shrader 1982,
255 Kremp et al. 2008, Mann 1988). Yamamoto et al. (2002) focus on the life cycle of
256 dinoflagellates, while with regard to diatoms, they only consider their sinking dynamics. The
257 authors study seasonal dynamics, but do not address varying dominances. While Eilertsen and
258 Wyatt (2000) propose a life cycle model for both diatoms and dinoflagellates, the life cycle
259 transitions and interspecific dynamics are assumed to depend on external processes that are
260 fixed in time and quantity. Our results show interannually varying dominances of
261 dinoflagellates and diatoms, although the maximum occurrence of diatoms is three times
262 higher than dinoflagellates. We interpreted the results as the interannual variability results
263 from life-cycle interactions, temperature, and inter-species competition. The overall dominant
264 species was linked to the temperature in spring. The slowly increasing temperature favours a
265 spring bloom of vegetative *P. apiculatus* before encystment begins. Otherwise, if temperature
266 rapidly increases, it leads to the dominance of *S. minutulus* and, in this case, encystment starts
267 before the pronounced spring bloom is established.

268 The fundamental objective of community ecology is to explore the organizational patterns and
269 rules of spatially and temporally coexisting species, also known as associations. Early
270 ecological studies emphasised the importance of intra-community competition (Diamond
271 1975, Huston 1979). However, it has been proven in recent years that the dynamics of the
272 community structure cannot be explained solely on the basis of interspecies competition.

273 The only time the old model can be successfully applied is when one of the variables – for
274 example, a specific form of nutrient – is extremely scarce or extremely abundant.

275 Furthermore, it is particularly difficult to recognise the underlying causes of distribution
276 patterns if the species of interest are nearly the same in their ecological function, if they have
277 broad tolerances for a number of variables, and if the environmental conditions of their habitat

278 are nearly constant on a year-to-year basis. Due to studies on terrestrial organisms, more and
279 more researchers have started to recognise that community organisation patterns are strongly
280 dependent on the biological characteristics of the organisms. For example, in the case of
281 algae, these biological characteristics can include their specific encystment or excystment
282 processes.

283

284 Summary

285 We investigated here two species whose occurrences were unaffected by the seasonal
286 differences in nutrient availability. That the two species responded differently to spring
287 temperature conditions, however, was based on their different life cycles. We would also like
288 to emphasise that future studies need to focus more on the biological characteristics of
289 organisms, including phytoplankton species. These characteristics developed through lengthy
290 evolutionary processes so that the biotic potential of a given species – the highest possible
291 vital index of a species when the species has its highest birth rate and lowest mortality rate –
292 could be realised. In our view, it is paramount to consider the biological characteristics of a
293 given species, especially species with broad tolerances, in order to better understand their
294 occurrences.

295

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299

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457 Tables and figures captions

458 Table 1. The main physical and chemical variables in 2013 and 2014.

459 Fig.1.a-d. The main investigated variables in 2013 and 2014. Fig. 1.a. Conductivity. Fig. 1.b.

460 Total phosphorus. Fig. 1.c. Nitrate. Fig.1.d. Nitrite.

461 Fig.2.a-d.Fig.2.a. Seasonal distribution of water temperature, vegetative cells and cysts of

462 *Palatinus apiculatus* in 2013.Fig.2.b. Seasonal distribution of water temperature, vegetative

463 cells and spores of *Stephanodiscus minutulus* in 2013.Fig.2.c.Seasonal distribution of water

464 temperature, vegetative cells and cysts of *Palatinus apiculatus* in 2014.Fig.2.d. Seasonal

465 distribution of water temperature, vegetative cells and spores of *Stephanodiscus minutulus* in

466 2014.

467 Fig.3.a.b. Fig.3.a. Seasonal changes of the main algal taxonomic groups in 2013. Fig.3.b.

468 Seasonal changes of the main algal taxonomic groups in 2014.

469 Fig.4. The linear model fitted to the correlation of coexistent vegetative cells of the

470 investigated two species (A) and their resting cysts and spores (B). Data are in logarithmic

471 values.

472

2013	Mean	Range	Standard deviation	2014		
				Mean	Range	Standard deviation
		2013			2014	
Temperature (°C)	12.7	4.8-21.1	4.8	13.2	4.6-22.4	5.1
pH	7.4	7.0-7.8	0.30	7.5	7.1-7.9	0.34
Conductivity ($\mu\text{S cm}^{-1}$)	213.0	89.0-422.0	29	229.0	87.0-418.0	27
Secchi depth (m)	1.8	1.5-3.2	0.3	1.9	1.4-3.4	0.3
Oxygen (mg L^{-1})	9.1	7.14-10.8	1.8	8.9	6.85-11.4	1.9
Calcium (mg L^{-1})	6.2	6.0-6.4	0.2	6.3	6.0-6.5	0.2
Magnesium (mg L^{-1})	1.6	0.93-2.24	0.42	1.8	0.90-2.52	0.43
Sodium (mg L^{-1})	3.4	3.0-4.3	0.22	3.6	3.1-4.6	0.25
Potassium (mg L^{-1})	0.42	0.39-0.45	0.03	0.43	0.40-0.47	0.03
Sulphate (mg L^{-1})	1.56	0.98-2.28	0.71	1.63	0.94-2.20	0.68
Total P ($\mu\text{gP L}^{-1}$)	5.6	3.2-12.7	2.7	5.4	3.7-11.8	2.5
Nitrate ($\mu\text{gN L}^{-1}$)	3.7	0.20-4.1	0.7	3.8	0.18-4.7	0.8
Nitrite ($\mu\text{gN L}^{-1}$)	0.8	0.1-1.8	0.28	0.7	0.15-1.9	0.25

473

474 Table 1.