

NOETHER BOUND FOR INVARIANTS IN RELATIVELY FREE ALGEBRAS

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ABSTRACT. Let \mathfrak{A} be a weakly noetherian variety of unitary associative algebras (over a field K of characteristic 0), i.e., every finitely generated algebra from \mathfrak{A} satisfies the ascending chain condition for two-sided ideals. For a finite group G and a d -dimensional G -module V denote by $F(\mathfrak{A}, V)$ the relatively free algebra in \mathfrak{A} of rank d freely generated by the vector space V . It is proved that the subalgebra $F(\mathfrak{A}, V)^G$ of G -invariants is generated by elements of degree at most $b(\mathfrak{A}, G)$ for some explicitly given number $b(\mathfrak{A}, G)$ depending only on the variety \mathfrak{A} and the group G (but not on V). This generalizes the classical result of Emmy Noether stating that the algebra of commutative polynomial invariants $K[V]^G$ is generated by invariants of degree at most $|G|$.

1. INTRODUCTION

We fix a base field K of characteristic 0. Throughout the paper $V = V_d$ denotes a K -vector space of dimension $d \geq 2$ with basis $X_d = \{x_1, \dots, x_d\}$. We consider the polynomial algebra $K[V]$ and the free unitary associative algebra $K\langle V \rangle = K\langle x_1, \dots, x_d \rangle$ freely generated by V over K . The canonical action of the general linear group $GL(V)$ on V is extended diagonally on $K[V]$ and $K\langle V \rangle$ by the rule

$$g(x_{j_1} \cdots x_{j_n}) = g(x_{j_1}) \cdots g(x_{j_n}),$$

where $g \in GL(V)$ and the monomials $x_{j_1} \cdots x_{j_n}$ belong to $K[V]$ or to $K\langle V \rangle$. So $K\langle V \rangle$ is the tensor algebra of V , whereas $K[V]$ is the symmetric tensor algebra of V . Note that in commutative invariant theory $K[V]$ is usually identified with the algebra of polynomial functions on the dual space of V . One of the ways to develop noncommutative invariant theory is to study invariants of subgroups of $GL(V)$ acting on factor algebras $K\langle V \rangle/I$, where the ideal I of $K\langle V \rangle$ is stable under the action of $GL(V)$. The most attractive ideals for this purpose are the T-ideals, i.e., the ideals invariant under all endomorphisms of $K\langle V \rangle$. Every T-ideal coincides with the ideal $\text{Id}(R, V)$ of the polynomial identities in d variables of a unitary algebra R . Then $K\langle V \rangle/\text{Id}(R, V)$ is the relatively free algebra $F(\mathfrak{A}, V)$ of rank d in the variety $\mathfrak{A} = \text{var}(R)$ of unitary algebras generated by R . Note that $\text{Id}(R, V)$ is necessarily contained in the commutator ideal of $K\langle V \rangle$, hence we have the natural surjections

$$(1) \quad K\langle V \rangle \twoheadrightarrow F(\mathfrak{A}, V) \twoheadrightarrow K[V].$$

2010 *Mathematics Subject Classification.* 16R10; 13A50; 15A72; 16W22.

Key words and phrases. relatively free associative algebras, invariant theory of finite groups, noncommutative invariant theory, Noether bound.

This project was carried out in the framework of the exchange program between the Hungarian and Bulgarian Academies of Sciences. It was partially supported by Grant K101515 of the Hungarian National Foundation for Scientific Research (OTKA) and by Grant I02/18 of the Bulgarian National Science Fund.

In the sequel we assume that R is a PI-algebra, i.e., $\text{Id}(R, V) \neq 0$. For a background on PI-algebras and varieties of algebras, see e.g., [12] or [16], and on non-commutative invariant theory the surveys [15] and [11]. Recall that a polynomial $f(V) = f(X_d) \in K\langle V \rangle$ is a polynomial identity for the algebra R if $f(r_1, \dots, r_d) = 0$ for all $r_1, \dots, r_d \in R$. The class \mathfrak{R} of all algebras satisfying a given system of polynomial identities is called a *variety*. The *variety \mathfrak{R} is generated by R* if \mathfrak{R} has the same polynomial identities as R . Then we write $\text{Id}(\mathfrak{R}, V) = \text{Id}(R, V)$. The action of the group $GL(V)$ on $K\langle V \rangle$ induces an action on the relatively free algebra $F(\mathfrak{R}, V)$, and the surjections (1) are $GL(V)$ -equivariant.

Now let G be a finite group. We say that V is a G -module if we are given a representation (i.e., a group homomorphism) $\rho : G \rightarrow GL(V)$. We shall suppress ρ from the notation, and write $gv := (\rho(g))(v)$ for $g \in G$, $v \in V$, and similarly $gf := (\rho(g))(f)$ for $f \in F(\mathfrak{R}, V)$. Moreover, we shall study the *algebra of invariants*

$$F(\mathfrak{R}, V)^G = \{f \in F(\mathfrak{R}, V) \mid gf = f \text{ for all } g \in G\}.$$

Since the characteristic of K is assumed to be zero, the group G acts completely reducibly on $K\langle V \rangle$, hence the G -equivariant K -algebra surjections in (1) restrict to K -algebra surjections

$$(2) \quad K\langle V \rangle^G \twoheadrightarrow F(\mathfrak{R}, V)^G \twoheadrightarrow K[V]^G.$$

Our starting point is the following classical fact:

Theorem 1.1 (Emmy Noether [27]). *Let G be a finite group and V a G -module. Then the following holds:*

- (i) *The algebra $K[V]^G$ is finitely generated.*
- (ii) *The algebra $K[V]^G$ is generated by its elements of degree at most $|G|$.*

Of course, (ii) implies (i). We mention that (i) holds also in the modular case (i.e., when the characteristic of the base field divides the group order), whereas (ii) does not. In view of (ii) it makes sense to introduce the numbers

$$\beta(G, V) = \min\{m \mid K[V]^G \text{ is generated by invariants of degree } \leq m\}$$

and

$$\beta(G) = \max_V \{\beta(G, V) \mid V \text{ is a } G\text{-module}\}.$$

The latter is called the *Noether number of G* , and Theorem 1.1 (ii) says that $\beta(G) \leq |G|$. The exact value of $\beta(G)$ is known in few cases only. Barbara Schmid [29] showed that $\beta(G) = \beta(G, V_{\text{reg}})$, where V_{reg} is the regular $|G|$ -dimensional G -module. It is known that $\beta(G) = |G|$ for G cyclic. Domokos and Hegedűs [8] proved that if G is not cyclic then $\beta(G) \leq (3/4)|G|$ and this bound is exact because it is reached for the Klein four-group and for the quaternion group of order 8. Csiszter and Domokos [4, 5] showed that the only noncyclic groups G with $\beta(G) \geq (1/2)|G|$ are the groups with a cyclic subgroup of index 2 and four more sporadic exceptions – $\mathbb{Z}_3 \times \mathbb{Z}_3$, $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$, the alternating group A_4 , and the binary tetrahedral group \tilde{A}_4 . In particular, they proved that $\beta(G) - (1/2)|G| = 1$ or 2 for groups G with cyclic subgroup of index 2. See also [2] and [6] for further information on $\beta(G)$.

Note that in the special case when \mathfrak{R} is the variety of commutative algebras, we have $F(\mathfrak{R}, V) = K[V]$ and hence $F(\mathfrak{R}, V)^G = K[V]^G$. Taking the point of view of universal algebra, we may fix a variety \mathfrak{R} (larger than the variety of commutative algebras), and look for possible analogues of Theorem 1.1 for the variety \mathfrak{R} .

Kharchenko [20] characterized the varieties \mathfrak{R} for which $F(\mathfrak{R}, V)^G$ is finitely generated for all finite groups G and G -modules V . More precisely, he showed that if $F(\mathfrak{R}, V_2)^G$ is finitely generated for all finite subgroups G of $GL(V_2)$, then the variety \mathfrak{R} is *weakly noetherian* (i.e., every finitely generated algebra from \mathfrak{R} satisfies the ascending chain condition for two-sided ideals), and conversely, if \mathfrak{R} is weakly noetherian, then $F(\mathfrak{R}, V)^G$ is finitely generated for all finite G and G -module V . By analogy with the definition of $\beta(G, V)$ and $\beta(G)$, given a weakly noetherian variety \mathfrak{R} we introduce the numbers

$$\beta(G, \mathfrak{R}, V) = \min\{m \mid F(\mathfrak{R}, V)^G \text{ is generated by invariants of degree } \leq m\},$$

$$\beta(G, \mathfrak{R}) = \sup_V \{\beta(G, \mathfrak{R}, V) \mid V \text{ is a } G\text{-module}\}.$$

The following natural question arises:

Question 1.2. *Let \mathfrak{R} be a weakly noetherian variety of unitary associative K -algebras.*

- (1) *Is $\beta(G, \mathfrak{R})$ finite for all finite groups G ?*
- (2) *If the answer to (1) is yes, find an upper bound for $\beta(G, \mathfrak{R})$ in terms of $|G|$ and some numerical invariants of \mathfrak{R} .*

The main result of the present paper is a positive answer to the above questions: in Theorem 3.3 we give an explicit bound for $\beta(G, \mathfrak{R})$ in terms of $|G|$ and some quantities associated to \mathfrak{R} .

The paper is organized as follows. In Section 2 we present necessary facts from the theory of polynomial identities and invariant theory. First we collect several characterizations of weakly noetherian varieties in Theorem 2.1. Next we recall the theorem of Latyshev [23] that if a finitely generated PI-algebra R satisfies a nonmatrix polynomial identity, then the commutator ideal $C(R) = R[R, R]R$ of R is nilpotent. We shall also need the Nagata-Higman theorem [26, 17] that (nonunitary) nil algebras of bounded nil index are nilpotent. We continue with some lemmas about graded modules and commutative invariant theory and deduce consequences for the noncommutative case. Section 3 contains our main results, throughout this section we fix a weakly noetherian variety \mathfrak{R} . In Theorem 3.2 we provide an upper bound for $\beta(G, \mathfrak{R}, V)$ in terms of $\beta(G)$, the degree of an identity of the form (3) (see Theorem 2.1 (viii)) satisfied by \mathfrak{R} , and the index of nilpotency of the commutator ideal of $F(\mathfrak{R}, V)$. In particular, this gives a new and effective proof of the result of Kharchenko [20] that the weak noetherianity of $F(\mathfrak{R}, V)$ implies the finite generation of the algebra of G -invariants $F(\mathfrak{R}, V)^G$, i.e., for the implication $\{(iii) \text{ and } (viii)\} \Rightarrow (i)$ in Theorem 2.1. However, this result does not yet answer Question 1.2 (i), since no noncommutative analogues of the result $\beta(G) = \beta(G, V_{\text{reg}})$ is available, and if \mathfrak{R} cannot be generated by a finitely generated algebra R , then the class of nilpotency of the commutator ideal of $F(\mathfrak{R}, V)$ depends on the dimension of the vector space V (and consequently the bound in Theorem 3.2 tends to infinity as the dimension of V grows). Using a different strategy we prove an upper bound on $\beta(\mathfrak{R}, G)$ in Theorem 3.3, which depends only on $|G|$, $\beta(G)$, the degree of an identity of the form (3) satisfied by \mathfrak{R} and on the class of nilpotency of nil algebras of index ℓ , where ℓ is the class of nilpotency of the commutator ideal of one relatively free algebra, namely $F(\mathfrak{R}, V_{|G|})$. Theorem 3.3 is independent from Theorem 3.2. Although this settles Question 1.2, for low dimensional G -modules V the bound for $\beta(G, \mathfrak{R}, V)$ provided in Theorem 3.2 has smaller value than the

general bound on $\beta(G, \mathfrak{A})$ from Theorem 3.3. Finally, in Theorem 3.4 we improve the bound on $\beta(G, \mathfrak{A})$ for an abelian group G , and give one which depends only on the degree of the polynomial identity (3) satisfied by \mathfrak{A} and the order of G , but does not depend on the class of nilpotency of the commutator ideal of any of the relatively free algebras in \mathfrak{A} .

2. AUXILIARIES

Although $F(\mathfrak{A}, V)$ shares many properties of polynomial algebras, it has turned out that the finite generation of $F(\mathfrak{A}, V)^G$ for all finite G forces very strong restrictions on \mathfrak{A} . Below we summarize the known results, see the survey articles [11, 21]. Recall that for an associative algebra R

$$[u, v] = u(\text{adv}) = uv - vu, \quad u, v \in R,$$

is the commutator of u and v . Our commutators are left normed, i.e.,

$$[u_1, \dots, u_{n-1}, u_n] = [[u_1, \dots, u_{n-1}], u_n], \quad u_1, \dots, u_{n-1}, u_n \in R, \quad n \geq 3.$$

First we define a sequence of PI-algebras R_k , $k = 1, 2, \dots$. Let $D_k = K[t]/(t^k)$ and let

$$R_k = \begin{pmatrix} D_k & tD_k \\ tD_k & D_k \end{pmatrix} \subset M_2(D_k),$$

where $M_2(D_k)$ is the 2×2 matrix algebra with entries from D_k . These algebras appear in [9] and “almost” describe the T-ideals containing strictly the T-ideal $\text{Id}(M_2(K), V)$. (Another description of those T-ideals was given by Kemer [19].)

Theorem 2.1. *Let \mathfrak{A} be a variety of algebras. The following conditions on \mathfrak{A} are equivalent. If some of them is satisfied for some vector space V_{d_0} of dimension $d_0 \geq 2$, then all of them hold for all d -dimensional vector spaces V , $d \geq 2$:*

(i) *The algebra $F(\mathfrak{A}, V)^G$ is finitely generated for every finite subgroup G of $GL(V)$.*

(ii) *The algebra $F(\mathfrak{A}, V)^{\langle g \rangle}$ is finitely generated, where $g \in GL(V)$ is a matrix of finite multiplicative order with at least two eigenvalues (or characteristic roots) of different order.*

(iii) *The algebra $F(\mathfrak{A}, V)$ is **weakly noetherian**, i.e., satisfies the ascending chain condition for two-sided ideals.*

(iv) *Let S be an algebra satisfying all the polynomial identities of \mathfrak{A} (i.e., $S \in \mathfrak{A}$) and generated by d elements s_1, \dots, s_d . Then S is **finitely presented** as a homomorphic image of $F(\mathfrak{A}, V)$, i.e., the kernel of the canonical homomorphism $F(\mathfrak{A}, V) \rightarrow S$ defined by $x_i \rightarrow s_i$, $i = 1, \dots, d$, is a finitely generated ideal of $F(\mathfrak{A}, V)$.*

(v) *If S is a finitely generated algebra from \mathfrak{A} , then S is **residually finite**, i.e., for every nonzero element $s \in S$ there exist a finite dimensional algebra D and a homomorphism $\varphi: S \rightarrow D$ such that $\varphi(s) \neq 0$.*

(vi) *If S is a finitely generated algebra from \mathfrak{A} , then S is **representable by matrices**, i.e., there exist an extension L of the base field K and an integer k such that S is isomorphic to a subalgebra of the K -algebra $M_k(L)$ of all $k \times k$ matrices with entries from L .*

(vii) *If the base field K is countable, then the set of pairwise non-isomorphic homomorphic images of $F(\mathfrak{A}, V)$ is countable.*

(viii) For some $n \geq 2$ the variety \mathfrak{R} satisfies a polynomial identity of the form

$$(3) \quad \begin{aligned} f(x_1, x_2, x_3) &= x_2 x_1^n x_3 + \gamma x_3 x_1^n x_2 + \sum_{i+j>0} \alpha_{ij} x_1^i x_2 x_1^{n-i-j} x_3 x_1^j \\ &+ \sum_{i+j>0} \beta_{ij} x_1^i x_3 x_1^{n-i-j} x_2 x_1^j = 0, \quad \alpha_{ij}, \beta_{ij}, \gamma \in K. \end{aligned}$$

(ix) For some $n \geq 2$ the variety \mathfrak{R} satisfies a polynomial identity of the form

$$x_2 x_1^n x_2 + \sum_{i+j>0} \alpha_{ij} x_1^i x_2 x_1^{n-i-j} x_2 x_1^j = 0, \quad \alpha_{ij} \in K.$$

(x) The variety \mathfrak{R} satisfies the polynomial identity

$$[x_1, x_2, \dots, x_2] x_3^n [x_4, x_5, \dots, x_5] = 0$$

for sufficiently long commutators and n large enough.

(xi) The variety \mathfrak{R} satisfies the polynomial identity

$$[x_1, \dots, x_n] x_{n+1} \dots x_{2n} [x_{2n+1}, \dots, x_{3n}] = 0$$

for some positive integer n .

(xii) The variety \mathfrak{R} satisfies a polynomial identity which does not follow from the polynomial identities

$$[x_1, x_2][x_3, x_4][x_5, x_6] = 0, \quad [[x_1, x_2][x_3, x_4], x_5] = 0, \quad s_4(x_1, x_2, x_3, x_4) = 0.$$

(xiii) The T -ideal $\text{Id}(\mathfrak{R}, V)$ is not contained in the T -ideal $\text{Id}(R_3, V)$ of the algebra R_3 defined above.

The equivalence of (i) and (iii) was established by Kharchenko [20], of (iii), (viii), and (ix) by L'vov [24], of (iii), (v), (vi), (x) and (xi) (for finitely generated algebras $R \in \mathfrak{R}$) by Anan'in [1], of (ix) and (xii) by Tonov [30], of (ii), (viii) and (xiii) by Drensky [10]. The equivalence of (iii), (iv) and (vii) is obvious. The general case of the implication (v) \Rightarrow (ix) is due to Kemer [18] who showed that associative algebras satisfying the Engel identity are Lie nilpotent. (The theorem that Lie algebras with the Engel identity are nilpotent was proved by Zelmanov [31].) We want to mention that the study of representable algebras begins with the paper by Malcev [25]. The condition (ii) is a generalization of the following result of Fisher and Montgomery [14]. If $\text{Id}(\mathfrak{R}, V) \subseteq \text{Id}(M_2(K), V)$, $g \in GL(V)$, $g^n = 1$, and g has at least two characteristic roots of different multiplicative order, then the algebra of invariants $F(\mathfrak{R}, V)^{(g)}$ is not finitely generated. The condition (ii) gives a simple criterion to check the equivalent conditions of Theorem 2.1. It is sufficient to choose $d = 2$ and

$$g = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}.$$

If $F(\mathfrak{R}, V_2)^{(g)}$ is finitely generated for the 2-dimensional vector space V_2 , then all the assertions (i) – (xiii) hold for $F(\mathfrak{R}, V)$ and $\text{Id}(\mathfrak{R}, V)$ for any d -dimensional vector space V , $d \geq 2$.

Several parts of the proof of Theorem 2.1 depend on two important results in the theory of PI-algebras, which play crucial role also in the proofs of our results.

Theorem 2.2 (Latyshev [23]). *Let R be a finitely generated algebra which satisfies a nonmatrix polynomial identity, i.e., a polynomial identity which does not hold for the 2×2 matrix algebra $M_2(K)$. Then R satisfies the identity*

$$[x_1, x_2] \cdots [x_{2k+1}, x_{2k+2}] = 0$$

for some k . Equivalently, the commutator ideal $C(R) = R[R, R]R$ of R is nilpotent of class $k + 1$.

The next result we need is the Nagata-Higman theorem [26, 17] which is one of the milestones of PI-theory. (More precisely, it should be called the Dubnov-Ivanov-Nagata-Higman theorem, established first by Dubnov and Ivanov [13] in 1943, and then independently by Nagata [26] in 1953. The proof of Higman [17] from 1956 covers also the case of nil algebras over fields of positive characteristic $p > n$.) Since we shall consider nil and nilpotent algebras which are without unit, in the next lines we work with nonunitary algebras and, in particular, with the free nonunitary algebra $K\langle V_\infty \rangle_+$ on the vector space V_∞ of countable dimension.

Theorem 2.3. *Let R be an associative algebra without unit which is nil of bounded index, i.e., R satisfies a polynomial identity $x^n = 0$. Then R is nilpotent, i.e., it satisfies the identity $x_1 \cdots x_N = 0$ for some N .*

Let $\nu(n)$ be the minimal positive integer such that the polynomial $x_1 \cdots x_{\nu(n)}$ belongs to the T-ideal generated by x^n . We call $\nu(n)$ the *Nagata-Higman number* for nil algebras of index n . The upper bound $\nu(n) \leq 2^n - 1$ is given in the proof of Higman [17]. The best known bounds for $\nu(n)$

$$\frac{n(n+1)}{2} \leq \nu(n) \leq n^2$$

are due respectively to Kuz'min [22] (see also [12, Theorem 6.2.7, page 85]) and Razmyslov [28]. Kuz'min [22] conjectured that

$$\nu(n) = \frac{n(n+1)}{2},$$

and this is confirmed for $n \leq 4$, with partial results for $n = 5$, see the comments in [12, page 79].

The Nagata-Higman theorem has a precision which is contained in the proof of Higman [17], see also [12, Theorem 6.1.2 (ii), pages 75-77].

Proposition 2.4. *The T-ideal generated by x^n in the free nonunitary algebra $K\langle V_\infty \rangle_+$ coincides with the vector space spanned by all n -th powers. In particular, for $m \geq \nu(n)$ the monomial $x_1 \cdots x_m$ has the form*

$$x_1 \cdots x_m = \sum \alpha_u u^n$$

for some $\alpha_u \in K$ and $u \in K\langle V_m \rangle_+$.

We continue with some facts about graded modules. Suppose that $R = \bigoplus_{d=0}^{\infty} R_d$ is a graded K -algebra with $R_0 = K$, on which the finite group G acts via graded K -algebra automorphisms. Let $M = \bigoplus_{d=0}^{\infty} M_d$ be a finitely generated graded R -module (left module), where each homogeneous component M_d is a G -module. Suppose that the R -module structure of M is compatible with the G -action on R and M , i.e., for $g \in G$, $r \in R$, and $m \in M$ we have $g(rm) = (gr)(gm)$. Write $\beta(R)$ for the minimal n such that R is generated as a K -algebra by homogeneous elements of degree at most n , and denote by $\gamma(M, R)$ the minimal n such that the R -module M is generated by homogeneous elements of degree at most n . A set of

homogeneous elements generates R as a K -algebra if and only if they generate R_+ (the sum of the positive degree homogeneous components of R) as an R -bimodule (i.e., as an ideal). If S is a finitely generated K -subalgebra of R , and R is a finitely generated S -module, then M is a finitely generated S -module, and we have the obvious inequality

$$(4) \quad \gamma(M, S) \leq \gamma(M, R) + \gamma(R, S).$$

Moreover, we have the inequality

$$(5) \quad \gamma(M^G, R^G) \leq \gamma(M, R^G).$$

Indeed, the Reynolds operator $\rho : M \rightarrow M^G$, $m \mapsto \frac{1}{|G|} \sum_{g \in G} gm$ is a surjective

R^G -module homomorphism, and therefore ρ maps a homogeneous R^G -module generating system of M to an R^G -module generating system of M^G . Since ρ preserves the degrees, (5) follows. Next we reformulate a result from [3]:

Lemma 2.5. *We have the inequality $\gamma(K[V], K[V]^G) \leq \beta(G) - 1$.*

Proof. Lemma 3.1 of [3] gives that there exists an irreducible G -module U such that

$$\beta(G, V \oplus U) \geq \gamma(K[V], K[V]^G) + 1.$$

Since $\beta(G, V \oplus U)$ is trivially bounded by $\beta(G)$, we obtain the desired inequality. \square

Corollary 2.6. *Let M be a finitely generated graded R -module as above, where $R = K[V]$ for some G -module V . Then M and M^G are finitely generated R^G -modules, and we have the inequalities*

$$\gamma(M^G, R^G) \leq \gamma(M, R^G) \leq \gamma(M, R) + \beta(G) - 1.$$

Proof. By (5) and (4) we have $\gamma(M^G, R^G) \leq \gamma(M, R^G) \leq \gamma(M, R) + \gamma(R, R^G)$. By Lemma 2.5 we have $\gamma(R, R^G) \leq \beta(G) - 1$. \square

Lemma 2.7. *Let V and W be isomorphic as G -modules. Identifying $K[V] \otimes K[W]$ and $K[V \oplus W]$, we have*

$$\gamma(K[V \oplus W]^G, K[V]^G \otimes K[W]^G) \leq 2(\beta(G) - 1).$$

Proof. By Lemma 2.5 the $K[V]^G$ -module $K[V]$ is generated by homogeneous polynomials $w_1(V), \dots, w_s(V)$ of degree $\leq \beta(G) - 1$. The products $\{w_a(V)w_b(W) \mid a, b = 1, \dots, s\}$ generate $K[V \oplus W]$ as an $R = K[V]^G \otimes K[W]^G$ -module, thus $\gamma(K[V \oplus W], R) \leq 2(\beta(G) - 1)$. Now apply (5) for $M = K[V \oplus W]$ viewed as an R -module (where G acts trivially on R , i.e., $R = R^G$). \square

Lemma 2.8. *Let V be a G -module and let $\pi : K[V] \rightarrow R$ be a surjective K -algebra homomorphism of $K[V]$ onto R such that $\ker(\pi) \subset K[V]_+$ and $G(\ker(\pi)) = \ker(\pi)$. Then $R_+^{\beta(G)}$ is contained in the ideal RR_+^G of R generated by the subalgebra R_+^G consisting of the elements fixed by the induced action of G on $R_+ = \pi(K[V]_+)$.*

Proof. First we shall establish the lemma for $R = K[V]$. By the graded Nakayama lemma (see for example Lemma 3.5.1 in [7]) $\gamma(K[V], K[V]^G)$ coincides with the top degree of the factor space $K[V]/K[V]K[V]_+^G$ (inheriting a grading from $K[V]$). Therefore $K[V]_+^{\beta(G)} \subseteq K[V]K[V]_+^G$ holds by Lemma 2.5. Applying π to this inclusion we get $R_+^{\beta(G)} = \pi(K[V]_+)^{\beta(G)} = \pi(K[V]_+^{\beta(G)}) \subseteq \pi(K[V]K[V]_+^G) = RR_+^G$. \square

Corollary 2.9. *Let V be a G -module and let $\pi : K\langle V \rangle \rightarrow R$ be a surjective K -algebra homomorphism of $K\langle V \rangle$ onto R such that $\ker(\pi) \subset K\langle V \rangle_+$ and $G(\ker(\pi)) = \ker(\pi)$ (so the action of G on $K\langle V \rangle$ induces a G -action on R via K -algebra automorphisms). If the commutator ideal of R is nilpotent of class $\ell+1$, then $R_+^{2\beta(G)(\ell+1)}$ is contained in the ideal $R(R_+^G)^2R$ of R generated by the square of the subalgebra R_+^G of G -fixed elements of $R_+ = \pi(K\langle V \rangle_+)$. In particular, if $u \in R_+$, then*

$$u^{2\beta(G)(\ell+1)} \in R(R_+^G)^2R.$$

Proof. Let $\bar{R} = R/C$, where C is the commutator ideal of R . Since C is stable under the action of G , there is an induced G -action on R/C via K -algebra automorphisms. Complete reducibility of the G -action on R implies that $R^G/C^G = (R/C)^G$. Then, by Lemma 2.8, $(\bar{R}_+)^{\beta(G)} \subseteq \bar{R}\bar{R}_+^G$ and hence $(\bar{R}_+)^{2\beta(G)} \subseteq \bar{R}(\bar{R}_+^G)^2$. Therefore $R_+^{2\beta(G)} \subset R(R_+^G)^2 + C$. Since $C^{\ell+1} = (0)$, we have that $(R(R_+^G)^2 + C)^{\ell+1} \subseteq R(R_+^G)^2R$, implying $R_+^{2\beta(G)(\ell+1)} \subseteq R(R_+^G)^2R$. \square

Lemma 2.10. *Let G be a finite abelian group, and denote by G^* the group of the characters (i.e., homomorphisms $G \rightarrow K^\times$) of G . Suppose that G acts on V as a group of diagonal matrices, i.e., the action on the basis X_d of V is given by*

$$g(x_i) = \chi_i(g)x_i, \quad \chi_i \in G^*, \quad i = 1, \dots, d.$$

Then for any $|G|$ words $u_1, \dots, u_{|G|} \in K\langle V \rangle$,

$$u_i = x_{ij_1} \cdots x_{ij_{s_i}}, \quad x_{ij} \in X_d, \quad i = 1, \dots, |G|,$$

the product $u_1 \cdots u_{|G|}$ contains a G -invariant subword of the form $u_{i+1} \cdots u_j$, $1 \leq i < j \leq |G|$.

Proof. The group G acts on u_i and on $u_1 \cdots u_i$ by the rule

$$g(u_i) = g(x_{ij_1}) \cdots g(x_{ij_{s_i}}) = \chi_{ij_1}(g) \cdots \chi_{ij_{s_i}}(g)x_{ij_1} \cdots x_{ij_{s_i}} = \chi^{(i)}(g)u_i,$$

$$g(u_1 \cdots u_i) = \chi^{(1)}(g) \cdots \chi^{(i)}(g)u_1 \cdots u_i.$$

Consider the $|G| + 1$ products of characters

$$\chi^{(0)} = \chi_{\text{id}}, \quad \chi^{(i)} = \chi_1 \cdots \chi_i, \quad i = 1, \dots, |G|.$$

Since $|G^*| \leq |G|$ (with equality if K is algebraically closed), by the Pigeonhole Principle, there exist two characters $\chi^{(i)} = \chi_1 \cdots \chi_i$ and $\chi^{(j)} = \chi_1 \cdots \chi_j$, $0 \leq i < j \leq |G|$ which are equal. Hence $\chi_{i+1} \cdots \chi_j = \chi_{\text{id}}$. This means that the product $u_{i+1} \cdots u_j$ is G -invariant. \square

3. THE MAIN RESULTS

Till the end of the paper we fix a variety of unitary algebras \mathfrak{R} satisfying the polynomial identity (3) from Theorem 2.1 (viii). Replacing x_3 by x_1x_3 in $f(x_1, x_2, x_3) = 0$ from (3) we obtain a multihomogeneous consequence of total degree $n + 3$ of the form

$$(6) \quad h(x_1, x_2, x_3) = x_2x_1^{n+1}x_3 + x_1h_1(x_1, x_2, x_3) + h_2(x_1, x_2, x_3)x_1 = 0$$

where h_1, h_2 are multihomogeneous of total degree $n+2$. In (6) we replace x_1, x_2, x_3 by $u \in K\langle V_\infty \rangle_+$, y , and z , respectively, and obtain

$$(7) \quad h(u, y, z) = yu^{n+1}z + uh_1(u, y, z) + h_2(u, y, z)u = 0,$$

i.e., $yu^{n+1}z$ can be expressed as a linear combination of polynomials starting or finishing with u . Applying Proposition 2.4 we obtain a consequence of (3) of the form

$$(8) \quad \begin{aligned} h'(x_1, \dots, x_\nu, y, z) &= yx_1 \cdots x_\nu z + \sum_{i=1}^{\nu} (x_i v'_i(x_1, \dots, \widehat{x}_i, \dots, x_\nu, y, z) \\ &+ v''_i(x_1, \dots, \widehat{x}_i, \dots, x_\nu, y, z) x_i) = 0, \quad \nu = \nu(n+1). \end{aligned}$$

We fix the notation

$$F = F(\mathfrak{R}, V) \text{ and } C = C(\mathfrak{R}, V) = F[F, F]F$$

for the relatively free algebra on V and its commutator ideal, respectively. It is well known that C^p modulo C^{p+1} is spanned on the products

$$(9) \quad w = X_d^{a^{(0)}} [x_{i_1}, x_{j_1}] X_d^{a^{(1)}} [x_{i_2}, x_{j_2}] \cdots X_d^{a^{(p-1)}} [x_{i_p}, x_{j_p}] X_d^{a^{(p)}},$$

where $X_d^a = x_1^{a_1} \cdots x_d^{a_d}$. Note that C^p/C^{p+1} is a naturally a $K[V]$ -bimodule. Equivalently, we consider C^p/C^{p+1} as a module over $K[V \oplus W] \cong K[V] \otimes K[W]$, where the G -module W is isomorphic to V and has a basis $Y_d = \{y_1, \dots, y_d\}$. The action of $X_d^b Y_d^c = X_d^b \otimes Y_d^c \in K[V] \otimes K[W]$ is defined by

$$X_d^b Y_d^c(w) = X_d^{a^{(0)}+b} [x_{i_1}, x_{j_1}] X_d^{a^{(1)}} [x_{i_2}, x_{j_2}] \cdots X_d^{a^{(p-1)}} [x_{i_p}, x_{j_p}] X_d^{a^{(p)}+c}.$$

Lemma 3.1. *Let $\nu = \nu(n+1)$ be the Nagata-Higman number for the nil algebras of index $n+1$. Then for $p \geq 1$ the vector space C^p/C^{p+1} is spanned by the products (9) such that $0 \leq a_i^{(q)} \leq n$ and $a_1^{(q)} + \cdots + a_d^{(q)} \leq \nu - 1$ for each $a^{(q)} = (a_1^{(q)}, \dots, a_d^{(q)})$, $q = 1, \dots, p-1$.*

Proof. Take w as in (9). By induction on $\sum_{j=1}^{p-1} \sum_{i=1}^d a_i^{(j)}$ we shall show that $w + C^{p+1}$ belongs to the subspace of C^p/C^{p+1} spanned by the special elements in the statement. If the above sum is zero, then all $a_i^{(j)} = 0$ for $j = 1, \dots, p-1$ and $i = 1, \dots, d$, so the induction can start. If $a_i^{(j)} \geq n+1$ for some $i \in \{1, \dots, d\}$ and some $j \in \{1, \dots, p-1\}$ then we have $w = X_d^{a^{(0)}} yu^{n+1} z X_d^{a^{(p)}}$ where

$$u := x, \quad y := [x_{i_1}, x_{j_1}] X_d^{a^{(1)}} \cdots x_{i_{j-1}}^{a_{i_{j-1}}^{(j)}} x_i^{a_i^{(j)}-n-1}, \quad z := x_{i_{j+1}}^{a_{i_{j+1}}^{(j)}} \cdots X_d^{a^{(p-1)}} [x_{i_p}, x_{j_p}].$$

Applying the identity (7) we express $yu^{n+1}z$ (and hence w) as a linear combination of elements of the form (9) with $\sum_{j=1}^{p-1} \sum_{i=1}^d a_i^{(j)}$ one less than for w . If $a_1^{(q)} + \cdots + a_d^{(q)} \leq \nu - 1$ for some $q \in \{1, \dots, p-1\}$, then we can use the polynomial identity (8) in a similar vein. \square

Now we are ready to prove the main results of our paper.

Theorem 3.2. *Let V be a d -dimensional G -module ($d \geq 2$) and \mathfrak{R} a weakly noetherian variety of associative algebras properly containing the variety of commutative algebras (so $C \neq 0$). Then*

$$\beta(G, \mathfrak{R}, V) \leq c(\mathfrak{R}, d) + 3(\beta(G) - 1)$$

where

$$c(\mathfrak{R}, d) = 2(\ell(\mathfrak{R}, d) - 1) + (\ell(\mathfrak{R}, d) - 2) \cdot \min\{\nu(n(\mathfrak{R})) - 1, (n(\mathfrak{R}) - 1)d\};$$

here $\ell(\mathfrak{A}, d)$ is the nilpotence class of the commutator ideal C of F , $n(\mathfrak{A})$ is the minimal positive integer $n + 1$ such that \mathfrak{A} satisfies a polynomial identity of the form (6) of degree $n + 3$, whereas $\beta(G)$ is the Noether number for G and $\nu(n(\mathfrak{A}))$ is the Nagata-Higman number for nil algebras of index $n(\mathfrak{A})$.

Proof. The commutator ideal C of F is stable under the action of $GL(V)$, and hence under the action of its finite subgroup G . Therefore we have an induced action of G on C^p/C^{p+1} , and the G -invariants in C^p/C^{p+1} can be lifted to G -invariants in $C^p \subset F$. Note that $F/C \cong K[V]$ and hence $(F/C)^G \cong K[V]^G$. Moreover, $(C^p/C^{p+1})^G$ is a $K[V]^G \otimes K[W]^G$ -submodule of C^p/C^{p+1} (whose $K[V \oplus W]$ -module structure was introduced in the paragraph preceding Lemma 3.1). Every homogeneous system of generators $\bar{u}_{p1}, \dots, \bar{u}_{pr_p}$ of the $K[V]^G \otimes K[W]^G$ -module $(C^p/C^{p+1})^G$ can be lifted to sets of homogeneous G -invariants $u_{p1}, \dots, u_{pr_p} \in C^p$ with $\deg(u_{pj}) = \deg(\bar{u}_{pj})$, and it is straightforward that the elements u_{pq} , $p = 0, 1, \dots, \ell(\mathfrak{A}, d) - 1$, $q = 1, \dots, r_p$, generate F^G as a K -algebra (indeed, by induction on k one shows that the images of the elements u_{pj} generate the subalgebra of G -invariants in the factor algebra F/C^k). Therefore it is sufficient to show that

$$(10) \quad \gamma((C^p/C^{p+1})^G, K[V]^G \otimes K[W]^G) \leq c(\mathfrak{A}, d) + 3(\beta(G) - 1)$$

for $p = 0, 1, \dots, \ell(\mathfrak{A}, d) - 1$. By Lemma 3.1 we have

$$\gamma(C^p/C^{p+1}, K[V \oplus W]) \leq 2p + (p - 1) \min(\nu(n(\mathfrak{A})) - 1, (n(\mathfrak{A}) - 1)d) \leq c(\mathfrak{A}, d)$$

(the latter inequality is immediate from $p \leq \ell(\mathfrak{A}, d) - 1$). It follows by Corollary 2.6 that

$$\gamma((C^p/C^{p+1})^G, K[V \oplus W]^G) \leq c(\mathfrak{A}, d) + (\beta(G) - 1).$$

Applying (4) with $M = (C^p/C^{p+1})^G$, $R = K[V \oplus W]^G$, $S = K[V]^G \otimes K[W]^G$, and using that $\gamma(R, S) \leq 2(\beta(G) - 1)$ by Lemma 2.7, we conclude that

$$\gamma((C^p/C^{p+1})^G, K[V]^G \otimes K[W]^G) \leq \gamma((C^p/C^{p+1})^G, K[V \oplus W]^G) + 2(\beta(G) - 1).$$

Combining the above two inequalities we obtain the desired inequality (10) which completes the proof of the theorem. \square

Theorem 3.3. *Let \mathfrak{A} be a weakly noetherian variety of associative algebras properly containing the variety of commutative algebras and G a finite group. Then*

$$\beta(G, \mathfrak{A}) \leq (\nu(n(\mathfrak{A})) - 1) \cdot \nu(2\beta(G)\ell(\mathfrak{A}, |G|)) - 1$$

where $n(\mathfrak{A})$ and $\ell(\mathfrak{A}, |G|)$ are the same as in Theorem 3.2.

Proof. Take a G -module V and consider $F = F(\mathfrak{A}, V)$. Let $u \in F_+$ and let W be the vector subspace of F_+ spanned by $\{g(u) \mid g \in G\}$. Clearly W is a G -submodule of F . Hence the (unitary) subalgebra R of F generated by W is also a G -submodule of F and $R_+^G \subseteq F_+^G$. Since R is generated by at most $|G|$ elements, it is a homomorphic image of the relatively free algebra $F(\mathfrak{A}, V_{|G|})$ of rank $|G|$ in \mathfrak{A} . Hence the commutator ideal $C(R)$ of R satisfies $C(R)^{\ell(\mathfrak{A}, |G|)} = 0$. On the other hand, the identity map $W \rightarrow W$ extends to a G -equivariant K -algebra surjection $\pi : K\langle W \rangle \rightarrow R$. By Corollary 2.9,

$$u^{2\beta(G)\ell(\mathfrak{A}, |G|)} \in R(R_+^G)^2 R \subseteq F(F_+^G)^2 F.$$

Hence the factor algebra $F_+/F(F_+^G)^2F$ is nil of index $2\beta(G)\ell(\mathfrak{A}, |G|)$. Let $\xi = \nu(2\beta(G)\ell(\mathfrak{A}, |G|))$. By Proposition 2.4, for every $u_1, \dots, u_\xi \in F_+$, the product of their images \bar{u}_i in $F_+/F(F_+^G)^2F$ satisfies $\bar{u}_1 \cdots \bar{u}_\xi = \bar{0}$, i.e.,

$$u_1 \cdots u_\xi \in F(F_+^G)^2F.$$

Hence F_+^ξ is spanned by products $u'v'v''u''$, where $v', v'' \in F_+^G$ and $u', u'' \in F$. Consequently, setting $\nu = \nu(n(\mathfrak{A})) - 1$, we have that $F_+^{\xi\nu}$ is spanned by products

$$\begin{aligned} w &= (u'_1 v'_1 v''_1 u''_1) \cdots (u'_\nu v'_\nu v''_\nu u''_\nu) \\ &= u'_1(v'_1)(v''_1 u''_1 u'_2 v'_2)(v''_2 u''_2 u'_3 v'_3) \cdots (v''_{\nu-1} u''_{\nu-1} u'_\nu v'_\nu)(v''_\nu) u''_\nu \end{aligned}$$

(note that by assumption $C \neq 0$, hence $n(\mathfrak{A}) \geq 2$ and so $\nu = \nu(n(\mathfrak{A})) - 1 \geq 2$). Applying the identity (8) for

$$y := u'_1, \quad z := u''_\nu, \quad x_1 := v'_1, \quad x_{\nu+1} := v''_\nu$$

and

$$x_{i+1} := v''_i u''_i u'_{i+1} v'_{i+1} \quad \text{for } i = 1, \dots, \nu - 1,$$

we obtain that w can be expressed as a linear combination of products of the form st where s or t belongs to $\{v'_1, v''_1, \dots, v'_\nu, v''_\nu\}$ and both s and t belong to F_+ . Therefore we have that $F_+^{\xi\nu} \subseteq F_+^G F_+ + F_+ F_+^G$, implying that $\gamma(F_+, F_+^G \otimes (F_+^G)^{\text{op}}) \leq \xi\nu - 1$ (recall that the F_+^G -bimodule F_+ can be thought of as a left $F_+^G \otimes (F_+^G)^{\text{op}}$ -module, where $(F_+^G)^{\text{op}}$ stands for the opposite ring of F_+^G). It follows by (5) that $\gamma(F_+^G, F_+^G \otimes (F_+^G)^{\text{op}}) \leq \xi\nu - 1$, implying in turn the desired inequality $\beta(F_+^G) \leq \xi\nu - 1$. \square

Theorem 3.4. *Let G be a finite abelian group and let \mathfrak{A} be a weakly noetherian variety of associative algebras properly containing the variety of commutative algebras. Then*

$$\beta(G, \mathfrak{A}) \leq (\nu(n(\mathfrak{A})) - 1)|G|(|G| + 1) - 1$$

where $n(\mathfrak{A})$ is the same as in Theorem 3.2.

Proof. Let L be an extension of the base field K . Embedding the free algebra $K\langle V_\infty \rangle$ in $L \otimes_K K\langle V_\infty \rangle \cong L\langle L \otimes_K V_\infty \rangle$, we may consider the variety \mathfrak{A}_L of L -algebras defined by the same polynomial identities as the variety of K -algebras \mathfrak{A} . Since the base field K is of characteristic 0, it is well known that $L \otimes_K F(\mathfrak{A}, V) \cong F(\mathfrak{A}_L, L \otimes_K V)$ is the relatively free algebra over the L -vector space $L \otimes_K V$ in the variety \mathfrak{A}_L . Similarly, embedding $GL(V)$ in $GL(L \otimes_K V)$, we obtain that

$$F(\mathfrak{A}_L, L \otimes_K V)^G = L \otimes_K F(\mathfrak{A}, V)^G.$$

Hence it is sufficient to prove the theorem for K algebraically closed. Then the finite abelian group $G \subset GL(V)$ is isomorphic to a group of diagonal matrices and we may assume that G acts on the basis of V as

$$g(x_i) = \chi_i(g)x_i, \quad \chi_i \in G^*, \quad i = 1, \dots, d.$$

It follows that F^G is spanned by monomials. Moreover, if $x_{i_1} \cdots x_{i_m} \in F^G$, then $x_{i_{\sigma(1)}} \cdots x_{i_{\sigma(m)}} \in F^G$ for any permutation $\sigma \in S_m$.

It is sufficient to show that any G -invariant monomial w of degree at least $(\nu - 1)|G|(|G| + 1)$, $\nu = \nu(n(\mathfrak{A}))$, can be expressed as a linear combination of products of invariant monomials of lower degree (as mentioned in the proof of Theorem 3.3, the assumption that \mathfrak{A} properly contains the variety of commutative algebras implies $\nu \geq 2$). We claim that any monomial z with $\deg(z) \geq |G|(|G| + 1)$

belongs to $F(F_+^G)^2F$ (i.e., z contains two consecutive non-trivial G -invariant subwords). Indeed, since by Lemma 2.10 any monomial of degree at least $|G|$ contains a nontrivial G -invariant submonomial, we have

$$z = (u'_1 v_1 u''_1)(u'_2 v_2 u''_2) \cdots (u'_{|G|+1} v_{|G|+1} u''_{|G|+1})$$

where $v_i \in F_+^G$ are non-trivial G -invariant monomials and $u'_i, u''_i \in F$ are arbitrary monomials. Apply Lemma 2.10 for the words

$$u_1 = v_1 u''_1 u'_2, \quad u_2 = v_2 u''_2 u'_3, \quad \dots \quad u_{|G|} = v_{|G|} u''_{|G|} u'_{|G|+1}.$$

We conclude that there exist $1 \leq i \leq j \leq |G|$ such that the monomial $u_i u_{i+1} \cdots u_j \in F_+^G$, hence z contains the subword $u_i \cdots u_j v_{j+1} \in (F_+^G)^2$, implying $z \in F(F_+^G)^2F$, so the claim is proved.

Now take a G -invariant monomial $w \in F(\mathfrak{X}, V)^G$ of degree at least $(\nu-1)|G|(|G|+1)$. Write w as a product

$$w = w_1 \cdots w_{\nu-1}$$

of monomials w_i where $\deg(w_i) \geq |G|(|G|+1)$, hence $w_i \in F(F_+^G)^2F$ for $i = 1, \dots, \nu-1$. So we have

$$w = s_1 t'_1 t''_1 s_2 t'_2 t''_2 s_3 \cdots t'_{\nu-1} t''_{\nu-1} s_\nu$$

where $t'_i, t''_i \in F_+^G$ and $s_j \in F$. Apply the identity (8) for

$$y := s_1, \quad x_1 := t'_1, \quad x_2 := t'_1 s_2 t'_2, \quad \dots \quad x_{\nu-1} := t''_{\nu-2} s'_{\nu-1} t'_{\nu-1}, \quad x_\nu := t''_{\nu-1}, \quad z := s_\nu$$

and present w as a linear combination of G -invariant monomials \tilde{w} starting or ending by a non-trivial G -invariant submonomial t'_i or t''_i . Note that if $\tilde{w} = tu$ or ut , where $t \in \{t'_i, t''_i \mid i = 1, \dots, \nu-1\}$, then u is necessarily a non-trivial G -invariant monomial, so $\tilde{w} \in (F_+^G)^2$, implying in turn that $w \in (F_+^G)^2$. \square

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