

1           **Ultrasonographic identification of fibromuscular bands associated with**  
2           **neurogenic thoracic outlet syndrome: the ‘wedge-sickle’ sign**

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1 **ABSTRACT**

2

3 Thoracic outlet syndrome (TOS) is a disorder characterized by the compression of the lower  
4 trunk of the brachial plexus most often in association with anomalous congenital fibromuscular bands  
5 in the scalenic region. Early diagnosis is important, because the neurological deficit associated with  
6 TOS may be irreversible. Using high resolution ultrasound, we investigated 20 consecutive patients  
7 with clinical signs suggestive of TOS (all females, average age:  $40.4 \pm 14.9$  years), and 25 control  
8 subjects. In 19 patients, a hyperechoic fibromuscular structure at the medial edge of the middle scalene  
9 muscle was identified, which indented the lower trunk of the brachial plexus (*'wedge-sickle sign'*). It  
10 was associated with the significant enlargement ( $P < 0.0001$ ) and hypoechogenicity of the lower trunk.  
11 This novel and distinctive ultrasonographic sign allows the presurgical identification of anomalous  
12 fibromuscular bands causing TOS. It is especially useful in patients without neurological deficit,  
13 where the diagnosis may not be as straightforward.

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15 **Key words:** thoracic outlet syndrome, high resolution ultrasound, fibromuscular bands, wedge-sickle  
16 sign

17

## 1 INTRODUCTION

2           The term thoracic outlet syndrome (TOS) was coined for a group of disorders characterized by  
3 the compression of the brachial plexus or the subclavian vessels at any point in the thoracic outlet  
4 region (Peete et al. 1956). According to the classification presently in use, it comprises five distinct  
5 clinical syndromes: arterial vascular TOS, venous vascular TOS, traumatic neurovascular TOS, true  
6 neurologic (neurogenic) TOS, and nonspecific TOS (Wilbourn 1999; Ferrante 2012). In neurogenic  
7 TOS, the brachial plexus is typically compressed in the scalenic triangle at the level of the lower trunk  
8 or the distal portion of its constituents, the C8 and Th1 anterior primary rami ('roots'). This gives rise  
9 to a characteristic clinical syndrome with the selective wasting of the thenar and the first dorsal  
10 interosseous muscle (Gilliatt et al. 1970), and sensory disturbance on the medial aspect of the forearm,  
11 with or without pain in the affected arm. The electrophysiological hallmark of neurogenic TOS is the  
12 demonstration of postganglionic sensorimotor C8-Th1 axon loss, with Th1 being affected more and  
13 earlier (Tsao et al. 2014). The category 'nonspecific TOS', also called 'disputed TOS' (Wilbourn  
14 1999), is a controversial category with a lack of consensus on its aetiology, pathomechanism and  
15 treatment. It is characterized by subjective symptoms such as pain and paraesthesia in the arm, and the  
16 feeling of fatigue of the arm, especially when lifted overhead, with no clinical deficit.

17           Congenital anomalies or anatomic variations of the thoracic outlet region, particularly the  
18 supernumerary cervical rib attached to the 7<sup>th</sup> cervical vertebra, have been historically implicated in  
19 TOS (Roos 1976). However, given that the estimated prevalence of cervical ribs in the general  
20 population is 0.5-2% (Ferrante 2012; Viertel et al. 2012) and that of neurogenic TOS is 1 per million  
21 (Gilliatt et al. 1970), statistically the presence of a cervical rib is in itself not diagnostic for neurogenic  
22 TOS (Ferrante 2012; Weber and Criado 2014). Its relevance appears to be higher for arterial vascular  
23 TOS (Weber and Criado 2014). Roos, with an extensive surgical experience in TOS, was the first to  
24 focus attention on anomalous fibromuscular bands with or without a cervical rib in the thoracic outlet  
25 region as the real culprit for neurogenic TOS (Roos 1976; Roos 1980; Brantigan and Roos 2004). He  
26 described 10 types of these bands affecting the lower trunk and 7 affecting the upper or the middle  
27 trunks of the brachial plexus (Roos 1976; Brantigan and Roos 2004). These 'Roos ligaments' were

1 originally identified based on surgical and cadaveric studies, but nowadays modern imaging  
2 techniques such as magnetic resonance imaging (MRI) and high resolution ultrasound (HRUS) are  
3 available for their possible presurgical detection and the facilitation of diagnosis. Some MRI data are  
4 already available (Aralasmak et al. 2012; Luigetti et al. 2012; Matur et al. 2013; Yildizgören et al.  
5 2014; Singh et al. 2014; Baumer et al. 2014; Magill et al. 2015; Poretti et al. 2015). However,  
6 literature data regarding ultrasound is limited to a single case report (Simon et al. 2013), despite the  
7 ease and accessibility, and the recent advent of HRUS in the diagnosis of peripheral nerve disorders  
8 (Hobson-Webb et al. 2012). We present here a consecutive case series of patients with neurogenic and  
9 non-specific TOS assessed by HRUS.

10

## 11 **PATIENTS AND METHODS**

12 An approval for the retrospective analysis of patient data was obtained from both Institutional  
13 Ethics Committees. Twenty consecutive patients, assessed at two tertiary referral centres for  
14 neuromuscular disorders between 2014 and 2016, were included in the analysis (*Table 1*). Inclusion  
15 criteria of patients in the study were the clinical symptoms and signs suggestive of TOS and the  
16 exclusion of other disorders, such as carpal tunnel syndrome, ulnar nerve lesion, and C8-Th1  
17 radiculopathy. All patients gave informed consent to the examinations, and retrospective analysis was  
18 performed using anonymized patient data. Healthy controls were examined prospectively with  
19 informed consent.

20 All patients underwent clinical, electrophysiological, and ultrasound assessments, and  
21 radiographic examination of the cervical spine to look for a cervical rib or elongated transverse  
22 process of the 7<sup>th</sup> cervical vertebra. Additional examinations (e.g. MRI of the cervical spine) were also  
23 carried out if deemed necessary for differential diagnosis. ‘Neurogenic TOS’ was diagnosed if  
24 unequivocal clinical *and* electrophysiological signs of postganglionic sensorimotor C8-Th1 axon loss  
25 were demonstrated, unexplained by any other cause. ‘Non-specific TOS’ was diagnosed when  
26 subjective complaints suggesting TOS were present without neurological deficit (clinical signs of C8-  
27 Th1 lesion), with or without electrophysiological alterations typical for TOS. Subjective complaints

1 suggesting TOS included pain and paraesthesia in the arm, especially when lifted overhead, the feeling  
2 of fatigability of the arm, and Tinel sign at the supraclavicular fossa. The paraesthesia typically  
3 involves the medial side of the forearm and hand, but some patients may not be able to localize it and  
4 complain of paraesthesia of the whole arm. Provocative manoeuvres, such as the Roos-test (elevated  
5 arm stress test), were not used as a diagnostic element, as they were deemed unreliable (Plewa and  
6 Delinger, 1998).

7 Eight patients underwent surgery for TOS.

### 8 **Electrophysiology**

9 For the demonstration of postganglionic sensorimotor C8-Th1 axon loss, all patients  
10 underwent motor and sensory nerve conduction studies and F waves of the median and ulnar nerves,  
11 and nerve conduction study of the medial antebrachii cutaneous nerve (MABC), all with side  
12 comparison. Additional examinations, such as needle electromyography of C8-Th1 innervated small  
13 hand and forearm muscles were carried out on individual basis. A Viking EMG device manufactured  
14 by CareFusion (San Diego, CA, USA) was used for electrophysiological examination.

### 15 **Ultrasonography**

16 The scanning was performed by three of the authors, Z.A., J. B. and T. S., all of whom are  
17 neurologists and clinical neurophysiologists, and have 4, 10 and 8 years of experience, respectively, in  
18 nerve sonography. A Philips HD15 XE Pure Wave device with a 12-5 MHz 50 mm linear array  
19 transducer and a Philips Epiq 5 device with a 18-5 MHz linear array transducer, manufactured by  
20 Philips (Amsterdam, The Netherlands), and a Siemens Acuson Antaris 5.0 device with a 13 MHz  
21 linear array transducer, manufactured by Siemens (Munich, Germany) were used. Settings were  
22 optimized for nerve imaging, including the use of compound imaging mode. In all patients, the whole  
23 supraclavicular portion of the brachial plexus was scanned, according to standard methods and  
24 landmarks (Martinoli et al. 2002; Gruber et al. 2007). Axial scanning was started at the supraclavicular  
25 fossa, where the lower trunk of the brachial plexus was identified adjacent to the subclavian artery.  
26 Scanning was continued cranially up to the C5 root level. Colour Doppler was used to identify blood  
27 vessels in the region. Special attention was paid to the lower trunk of the brachial plexus, and any

1 structures in its vicinity. The cross-sectional area (CSA) of the lower trunk was measured by outlining  
2 its outer border, using the continuous trace function of the ultrasound device, at the site of  
3 abnormality. More proximally (cranially) the lower trunk breaks up into its constituents, the C8 and  
4 the Th1 nerve roots, which were not measured due to their deep position and unreliable identification.  
5 The shape of the lower trunk was examined and noted whether it deviated from the normal round  
6 shape. Its echogenicity-fascicular structure was also visually assessed as compared to the other  
7 elements of the brachial plexus (i.e. upper and middle trunks) in the same patient. No quantification of  
8 echogenicity was performed. Sonographic Tinel sign was tested by pressing with the transducer on the  
9 region of abnormality. The unaffected side was also examined to check for the presence of any  
10 abnormality and sonographic Tinel sign, but CSA measurements were not made.

11 A control group was also examined to obtain normal values for the CSA of the lower trunk  
12 and to check for the occurrence of any abnormality and sonographic Tinel sign in the supraclavicular  
13 region. None of the subjects had subjective or objective symptoms and signs suggestive of TOS.  
14 Control subjects did not undergo electrophysiological assessment. In all subjects, the measurement  
15 was performed on the right side.

## 16 **Statistics**

17 Descriptive statistics (mean, standard deviation, and range) were applied to describe the age of  
18 patients and control subjects, the age of onset of TOS symptoms in patients, and the CSA values of the  
19 lower trunk in the affected arms of patients and in control subjects. Two-tailed unpaired t-test was  
20 used to test the difference between the age and the CSA values of the control and patient groups. Two-  
21 tailed Fisher's exact test was used to test for association between the clinical symptoms and signs  
22 suggestive of TOS (including both neurogenic and non-specific TOS) and the presence of the wedge-  
23 sickle sign, and between the sonographic Tinel sign and the presence of the wedge-sickle sign. With  
24 respect to the clinical symptoms suggestive of TOS, the sensitivity and the positive predictive value of  
25 the presence of the wedge-sickle sign and the sonographic Tinel sign were also calculated. For the  
26 tests evaluating the wedge-sickle sign and the sonographic Tinel sign, the control group and the

1 unaffected arms of the patient group were pooled. Statistical significance was set at  $p < 0.05$ . GraphPad  
2 software (GraphPad Software, San Diego, CA, USA) was used for statistical calculation.

3

#### 4 **RESULTS**

5         The patient group included 20 females with a mean age of  $40.4 \pm 14.9$  years (range: 19-  
6 74 years). The control group included 25 females with a mean age of  $38.9 \pm 9.8$  years (range: 17-  
7 51 years). No significant difference was found between the age of the two groups ( $p = 0.6917$ ). Thus,  
8 the composition of the patient and the control groups with respect to age and sex was homogeneous.  
9 *Table 1* shows the summary of demographic, clinical, electrophysiological and radiographic data for  
10 all patients, including the individual CSA measurements of the lower trunk. The mean age at the onset  
11 of symptoms in the patient group was  $34.9 \pm 13.5$  years (range: 14-69 years). All patients were right-  
12 handed and all patients had unilateral symptoms. In 17 patients, symptoms were on the right side.  
13 Fifteen patients were diagnosed with 'neurogenic TOS', with clinical and electrophysiological signs of  
14 postganglionic sensorimotor C8-Th1 axon loss. C8 involvement was usually less severe than Th1.  
15 *Fig. 1* shows the typical electrophysiological findings in a patient with neurogenic TOS. Five patients  
16 without clinical neurological deficit were diagnosed as 'non-specific TOS'. In 2 of these patients,  
17 subclinical C8-Th1 axon loss was detected by electrophysiological assessment.

#### 18 **Ultrasonography**

19         In one patient (Patient 20) a large bony cervical rib articulating with the first rib was found on  
20 the affected, right side. The anterior, articulating end of the cervical rib bulging in the supraclavicular  
21 fossa compressed the subclavian artery from the lateral direction and elevated and compressed the  
22 lower trunk of the brachial plexus from underneath (*Fig. 2*). The lower trunk was enlarged and  
23 hypoechoic. This patient also experienced Raynaud phenomenon in the right arm. On the contralateral  
24 side, a smaller, non-articulating cervical rib was present, without any signs of brachial plexus  
25 abnormality or compression.

26         In the remaining 19 patients, in the supraclavicular fossa, slightly cranial to the attachment of  
27 the scalene muscles on the 1<sup>st</sup> rib, the lower trunk of the brachial plexus was indented (compressed

1 from the lateral direction) by a wedge-shaped, hyperechoic fibromuscular structure at the medial edge  
2 of the middle scalene muscle, resulting in a sickle-shaped lower trunk (*Figs. 3-4*). Furthermore, at the  
3 site of indentation the lower trunk was markedly hypoechoic, associated with complete loss of  
4 fascicular structure, as visually compared to the other trunks of the brachial plexus in the same patient,  
5 and also enlarged, as statistically compared to the control group. The mean CSA of the lower trunk,  
6 measured at the site of compression, including the whole sickle-shaped structure (i.e. the flattened  
7 indented site and the enlarged superficial and deep parts) was  $32.6 \pm 8.7 \text{ mm}^2$  (range: 20-50  $\text{mm}^2$ ) in  
8 the patient group, and  $16.7 \pm 3.9 \text{ mm}^2$  (range: 9-23  $\text{mm}^2$ ) in the control group. The difference between  
9 the two groups was statistically significant ( $p < 0.0001$ ). In 4 patients, a similar, but less conspicuous  
10 wedge-sickle sign was seen also on the unaffected side, and in one patient, the anomalous attachment  
11 of the anterior scalene muscle was seen between the subclavian artery and the brachial plexus on the  
12 unaffected side. However, in none of the control subjects was a wedge-sickle sign or other anomaly  
13 detected. The association between the clinical symptoms and signs suggestive of TOS (including both  
14 neurogenic and non-specific TOS) and the presence of the wedge-sickle sign was statistically highly  
15 significant ( $p < 0.0001$ ). With respect to the clinical signs and symptoms suggestive of TOS (including  
16 both neurogenic and non-specific TOS), the presence of the wedge-sickle sign had a sensitivity of 95%  
17 (95% CI: 75.13% to 99.87%) and a positive predictive value of 82.6% (95% CI: 61.22% to 95.05%) in  
18 our cohort. In addition to the wedge-sickle sign, in Patient 10 the anomalous insertion of the anterior  
19 scalene muscle between the subclavian artery and the brachial plexus was also seen (*Fig. 4B*).

20 In 2 patients (Patients 1 and 5), the fibromuscular structure with the hyperechoic tip indented  
21 the subclavian artery as well, caudal to the level of the compression of the lower trunk (*Fig. 5*,  
22 *Supplementary video*). No vascular symptoms were present in these patients. In the patient with the  
23 bony articulating cervical rib, the subclavian artery was compressed by the cervical rib. In this patient,  
24 Raynaud symptoms were also present indicating vascular involvement.

25 In 5 patients, the cranial end of the hyperechoic fibromuscular structure was traced to a bony  
26 structure with posterior acoustic shadowing (*Supplementary video*). All of these patients had either a  
27 cervical rib or an elongated C7 transverse process on the radiography of the cervical spine. In the



1 remaining patients, cranially the hyperechoic fibromuscular structure gradually melted into the middle  
2 scalene muscle.

3 The attachment of the middle scalene muscle on the first rib is normally found lateral-posterior  
4 to the brachial plexus, being the lateral border of the interscalenic space (*Fig. 3A*). In 6 patients, the  
5 attachment was more medial-anterior, intruding between the first rib, and the subclavian artery-  
6 brachial plexus, and thus elevating the artery and the plexus (*Fig. 6, Supplementary video*). This  
7 anatomical situation has a space restricting effect in the caudal aspect of the interscalenic space.

8 Supraclavicular sonographic Tinel sign was observed in 10 patients with the wedge-sickle sign  
9 on the affected side, and in the one patient with the articulating cervical rib. In these patients, pressing  
10 on the wedge-sickle sign / articulating rib with the transducer provoked strong radiating, electric-like  
11 pain and paraesthesia in the arm or the shoulder region. This never occurred in the control subjects,  
12 nor in the unaffected arms in the patient group, including those four patients where the wedge-sickle  
13 sign was observed in the unaffected arm as well. The association between the presence of the  
14 sonographic Tinel sign and the presence of the wedge-sickle sign was statistically highly significant  
15 ( $p < 0.0001$ ). With respect to the clinical symptoms of neurogenic or non-specific TOS, the presence of  
16 a supraclavicular Tinel sign had a sensitivity of 55% (95% CI: 31.53% to 76.94%) and a positive  
17 predictive value of 100% (95% CI: 71.51% to 100.00%) in our cohort.

## 18 **Surgical findings**

19 Eight patients underwent surgery (*Table 1*). The remaining patients either refused surgery or  
20 surgery has not been scheduled yet. In Patient 3, the whole middle scalene muscle was found hard and  
21 fibrotic and scalenotomy was performed. In Patients 11-14 and 17, at the medial edge of the middle  
22 scalene muscle a hard, fibrotic ligament, indenting the lower trunk of the brachial plexus was found.  
23 The ligament was resected (*Fig. 7*). The hourglass-like enlargement of the trunk was also observed. In  
24 Patient 18, the ligament at the medial edge of the middle scalene muscle was found attached to the  
25 elongated transverse process of the 7<sup>th</sup> cervical vertebra. The ligament was resected. In Patient 24, the  
26 ligament at the medial edge of the middle scalene muscle was attached to a cervical rib, but only the

1 rib was removed. In all patients, pain and paraesthesia in the arm decreased markedly after surgery, as  
2 reported by the patients. Long-term follow-up is pending.

3

#### 4 **DISCUSSION**

5 Our cohort of 20 consecutive patients with TOS shows the clear preponderance of female sex,  
6 the early onset of symptoms in youth or middle age, and the preferential involvement of the right  
7 (dominant) arm. Fifteen patients were diagnosed with ‘neurogenic TOS’ indicated by clinical signs of  
8 the damage of the lower trunk of the brachial plexus, and 5 fell into the category of ‘non-specific  
9 TOS’, with only subjective symptoms with or without subclinical electrophysiological changes. In one  
10 patient with non-specific TOS, a large bony cervical rib articulating with the first rib compressed the  
11 brachial plexus (*Fig. 2*). In the remaining 19 patients, a distinctive ultrasonographic sign was  
12 observed, which we termed as the ‘*wedge-sickle sign*’ (*Figs 3-4, 8*). The ‘wedge’ corresponds to a  
13 fibromuscular structure with a pointed, hyperechoic (fibrotic) tip along the caudal medial edge of the  
14 middle scalene muscle, indenting (compressing) the lower trunk from the lateral direction in the  
15 supraclavicular fossa, where it is lodged between the middle scalene muscle and the subclavian artery.  
16 The ‘sickle’ is the shape assumed by the lower trunk in cross-section due to the indentation. The  
17 hypoechogenicity, the complete loss of fascicular structure and the significant enlargement of the  
18 lower trunk were associated features in all patients, which are characteristic ultrasonographic signs of  
19 nerve compression in general (Hobson-Webb et al., 2012). The wedge-sickle sign was also seen in the  
20 unaffected arm in four patients, but in none of the control subjects, possibly indicating a genetic  
21 predisposition to bilateral occurrence. With respect to the clinical symptoms of neurogenic or non-  
22 specific TOS, the wedge-sickle sign had a sensitivity of 95% and a positive predictive value of 82.6%  
23 in our cohort. Supraclavicular sonographic Tinel sign was also an important feature, with a lower  
24 sensitivity (55%), but with a 100% positive predictive value. The fibromuscular structure may also  
25 indent the subclavian artery in the same fashion (*Fig. 5, Supplementary video*), possibly leading to  
26 vascular symptoms as well. Moreover, vascular TOS may also cause neurological symptoms  
27 secondary to blood vessel involvement, such as pain and numbness of the arm, resembling symptoms

1 of non-specific TOS. However, in the two patients with the wedge-sickle sign and indentation of the  
2 subclavian artery, symptoms were clearly neurological (with marked C8-Th1 axon loss), without  
3 associated vascular symptoms. On the other hand, in the one patient with non-specific TOS symptoms  
4 where the compression of both the brachial plexus and the subclavian artery was caused by a bony  
5 cervical rib, vascular symptoms (Raynaud phenomenon) were also present. It has been shown that the  
6 bony cervical rib has a higher relevance for arterial vascular TOS (Weber and Criado, 2014). In this  
7 patient, the difference between symptoms of brachial plexus and of arterial origin is not so clearly  
8 delineated.

9         The observed fibromuscular structure located between the lower trunk and the middle scalene  
10 muscle in the supraclavicular fossa may correspond to several of the 10 different types of bands  
11 causing compression of the Th1 root or the lower trunk described by Roos (1980). In type 1, a tight  
12 fibrous band connects the rudimentary cervical rib to the mid portion of the first rib, posterior to the  
13 scalene tubercle. In type 2, the band originates on an elongated C7 transverse process. In 5 of our  
14 patients with the wedge-sickle sign, the cranial end of the fibromuscular structure could be traced to a  
15 bony structure with posterior acoustic shadowing (*Supplementary video*). As all of these patients had a  
16 cervical rib or an elongated C7 transverse process, the bony structure appearing in the interscalenic  
17 region cranial to the site of compression most likely corresponds to the anterior tip of the cervical rib  
18 or the elongated C7 transverse process. Thus, type 1 or 2 bands are probably the cause of the  
19 compression in this subset of patients. In the remaining patients with the fibromuscular abnormality,  
20 the wedge shaped fibromuscular structure became less distinct cranially and melted into the middle  
21 scalene muscle. In these cases, the other types of Roos ligaments (types 3-10) are considered, but they  
22 cannot be reliably differentiated from each other on ultrasound. Type 3 (a fibromuscular band arising  
23 at the neck of the first rib and attaching to the inner part of the first rib, posterior to the scalene  
24 tubercle) is the most common type according to Roos (1976), and type 4 (fibrous, sharp medial edge  
25 of the middle scalene muscle, and medial attachment of the muscle) is also noteworthy. In the latter,  
26 the more medial (anterior) attachment of the middle scalene muscle leads to a common tendinous  
27 insertion of the anterior and middle scalene muscles, forming a V-shaped sling underneath the  
28 subclavian artery and the lower trunk (*Fig. 6*). This anatomical situation elevates the lower trunk from

1 the first rib and may result in a space occupying effect and compression of the lower trunk, especially  
2 if the middle scalene muscle has a sharp, fibrous medial edge. However, we observed this anomalous  
3 attachment in patients with type 1 or 2 bands as well, where it may be considered as an additional  
4 factor contributing to the compression. Furthermore, in Patient 10 the anomalous insertion of the  
5 anterior scalene muscle between the subclavian artery and the brachial plexus was seen, thus in this  
6 patient the lower trunk became compressed between the middle and the anterior scalene muscles  
7 (*Fig. 4B*).

8         The presurgical identification of the fibromuscular structure as the cause of compression of the  
9 lower trunk is especially important in the controversial ‘non-specific TOS’ category. In our cohort, the  
10 ‘*wedge-sickle sign*’ associated with sonographic Tinel sign could also be demonstrated in 4 patients  
11 with only pain and paraesthesia in the arm without neurological deficit (*Fig. 4*). Likewise, in a surgical  
12 series of 14 patients, it was shown that anomalous fibromuscular bands compressed the lower trunk in  
13 patients with only pain, sensory symptoms and supraclavicular Tinel sign (Liu et al. 1995).  
14 Furthermore, in a recent study, the compression of the lower trunk was identified by MRI in three  
15 cases of ‘non-specific TOS’ (Baumer et al. 2014). Thus, it may be necessary to reconsider the validity  
16 of the category of ‘non-specific TOS’. Patients with only the typical subjective symptoms of TOS,  
17 associated with imaging proof of lower trunk compression, should be classified as ‘neurogenic TOS’,  
18 as they just represent an early stage of the disease. This has clinical relevance, as in patients with  
19 already marked C8-Th1 axon loss, surgery mainly only stops progression; proximodistal axonal  
20 regrowth is unlikely due to the long distance (Ferrante 2012). In a retrospective analysis of the surgical  
21 outcome of TOS patients with atrophy, only minimal recovery was observed in close to 50% of the  
22 patients (Marty et al. 2012). In view of this, the early identification of TOS patients should be the goal,  
23 where imaging modalities such as ultrasound and MRI (Aralasmak et al. 2012; Luigetti et al. 2012;  
24 Matur et al. 2013; Yildizgören et al. 2014; Singh et al. 2014; Baumer et al. 2014; Magill et al. 2015;  
25 Poretti et al. 2015) may play an important role. Ultrasound is a more easily accessible modality,  
26 however MRI may be the appropriate choice in patients with an unfavourable body habitus.

27         Limitations of our study include the retrospective nature of the analysis and the lack of  
28 surgical confirmation of the fibromuscular anomaly in all patients. A further limitation may be that the

1 examinations were carried out by different ultrasonographers on different ultrasound devices.  
2 However, inter-rater and inter-equipment reliability in nerve ultrasound has been tested previously,  
3 confirming examiner, and equipment-independent reproducibility (Kluge et al. 2010; Böhm et al.  
4 2014).

5

## 6 **SUMMARY**

7         Our study provides ultrasonographic confirmation of Roos' observation that anomalous  
8 fibromuscular structures in the scalenic triangle are the major causes of neurogenic TOS. We report a  
9 novel and distinctive ultrasonographic sign, the '*wedge-sickle sign*', which allows the easy presurgical  
10 identification of these bands causing TOS. This is especially useful in patients without neurological  
11 deficit, where the diagnosis is not always as straightforward. On the other hand, early diagnosis is  
12 important, because the neurological deficit associated with TOS may be irreversible.

13

14 **Acknowledgements:** Zsuzsanna Arányi and Anita Csillik were supported by the National Brain  
15 Research Program (NAP B) of the Hungarian government (KTIA\_NAP\_13-2-2014-0012). The  
16 funding source had no direct role in the design of the study, the interpretation of the data or the  
17 preparation / submission of the manuscript.

18

19 **Financial disclosure / conflicts of interest:** None to declare.

20

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8

1 **Figure legends**

2 **Figure 1**

3 **Typical electrophysiological findings in neurogenic TOS**

4 Motor and sensory nerve conduction studies in a patient with neurogenic TOS on the left side (Patient  
5 6). Note the low amplitude motor and sensory responses in C8-Th1 distribution, the innervation area  
6 of the lower trunk of the brachial plexus on the left side, as compared with the unaffected right side.  
7 Note also that the amplitude reduction in Th1 supplied areas (thenar muscle-median nerve motor  
8 response, MABC sensory response) is greater than that in C8 supplied areas (ulnar nerve motor and  
9 sensory responses). Amplitude reduction indicates axon loss. All side comparisons are shown with the  
10 same gain and sweep settings.

11 NCS: nerve conduction studies; R: right; L: left; MABC: medial antebrachii cutaneous nerve; NR: no response

12

13 **Figure 2**

14 **Cervical rib compressing the brachial plexus**

15 Axial image of the supraclavicular brachial plexus of Patient 20, showing the bony anterior end of a  
16 large cervical rib articulating with the first rib and bulging into the supraclavicular fossa (arrow). Note  
17 how it elevates and compresses the lower trunk, the medial part of the brachial plexus (dotted line) and  
18 compresses the subclavian artery (dashed line) from the lateral direction. The lower trunk is  
19 hypoechoic.

20 Med: medial; Lat: lateral; AS: anterior scalene muscle; Art: subclavian artery;

21

22 **Figure 3**

23 **Spectrum of the 'wedge-sickle' sign**

24 Axial images show the lower trunk (dotted line) in the supraclavicular fossa (**A**: Normal control, **B**:  
25 Patient 4, **C**: Patient 5, **D**: Patient 1, **E**: Patient 6, **F**: Patient 12). Note the hyperechoic pointed  
26 fibromuscular structure at the caudal medial aspect of the middle scalene muscle indenting the lower  
27 trunk adjacent to the subclavian artery.

1 Med: medial; Lat: lateral; AS: anterior scalene muscle; MS: middle scalene muscle; Art: subclavian artery; asterisk (\*):  
2 hyperechoic tip of the fibromuscular structure

3

#### 4 **Figure 4**

##### 5 **The ‘wedge-sickle’ sign in patients without neurological deficit**

6 **A** and **B** show the ‘wedge-sickle’ sign in patients without neurological deficit (Patients 9 and 10,  
7 respectively). The dotted line outlines the lower trunk. Note also the anomalous insertion of the  
8 anterior scalene muscle in **B**.

9 Med: medial; Lat: lateral; AS: anterior scalene muscle; MS: middle scalene muscle; Art: subclavian artery; asterisk (\*):  
10 hyperechoic tip of the fibromuscular structure

11

#### 12 **Figure 5**

##### 13 **Indentation of the subclavian artery**

14 **A** and **B** show the fibromuscular structure indenting the subclavian artery (Patient 5, caudal to the  
15 image in Fig. 3C) with and without colour Doppler, respectively. The lower trunk is round at this level  
16 (dotted line).

17 Med: medial; Lat: lateral; AS: anterior scalene muscle; MS: middle scalene muscle; Art: subclavian artery; asterisk (\*):  
18 hyperechoic tip of the fibromuscular structure

19

#### 20 **Figure 6**

##### 21 **Anomalous attachment of the middle scalene muscle**

22 Axial images in the most caudal aspect of the supraclavicular fossa of Patient 1 (**A**) and 5 (**B**). Note  
23 the unusually medial (anterior) attachment of the middle scalene muscle (outlined by dotted line),  
24 elevating the subclavian artery and the brachial plexus from the 1<sup>st</sup> rib.

25 Med: medial; Lat: lateral; AS: anterior scalene muscle; MS: middle scalene muscle; Art: subclavian artery; asterisk (\*):  
26 hyperechoic tip of the fibromuscular structure

27

1 **Figure 7**

2 **Intraoperative confirmation of the ‘wedge-sickle sign’**

3 **A.** Axial ultrasonographic image of Patient 17, showing the ‘wedge-sickle sign’ (the lower trunk  
4 outlined by dotted line). **B-D** show successive intraoperative steps. Note the swollen lower trunk and  
5 the indentation on the trunk, visible after resection of the ligament (**D**).

6 Med: medial; Lat: lateral; AS: anterior scalene muscle; MS: middle scalene muscle; Art: subclavian artery; asterisk (\*):  
7 hyperechoic tip of the fibromuscular structure

8

9 **Figure 8**

10 **Schematic representation of the ‘wedge-sickle’ sign**

11 LT: lower trunk; Art: subclavian artery; asterisk (\*): hyperechoic tip of the fibromuscular structure

12

1 **Table 1. Summary of patient characteristics and findings**

2

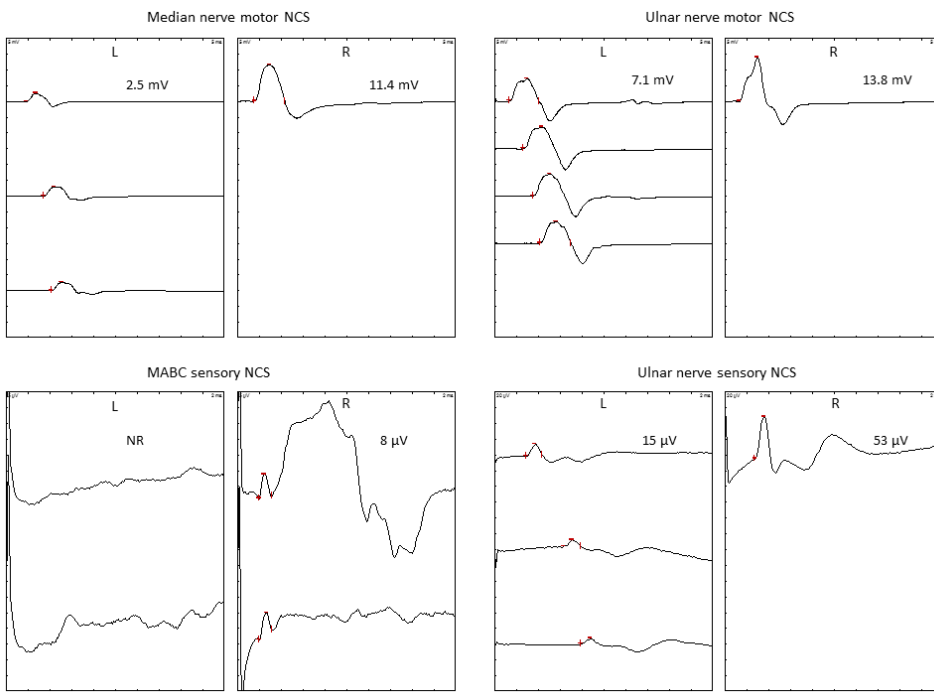
Case No.	Age (year)	Duration (year)	Side (L/R)	Neurological deficit	Pain	EDX (C8-Th1 axon loss)	CSA of the lower trunk (mm <sup>2</sup> )	Radiography (cervical rib / elongated C7)	Surgery
1	64	16	R	Th1 > C8	-	Th1 > C8	29	C7	-
2	27	1	L	Th1 > C8	-	Th1 > C8	47	-	-
3	38	1	L	Th1 > C8	+	Th1 > C8	40	Rib	+
4	36	<1	R	Th1 > C8	+	Th1 > C8	40	-	-
5	37	3	R	Th1 > C8	-	Th1 > C8	20	Rib	-
6	28	5	L	Th1 > C8	-	Th1 > C8	50	Rib	-
7	27	3	R	-	+	Th1 (sens)	45	-	-
8	46	10	R	-	+	-	20	Rib	-
9	40	2	R	-	+	C8-Th1 (sens)	25	C7	-
10	19	2	R	-	+	-	22	-	-
11	74	5	R	Th1 > C8	-	Th1 > C8	29	-	+
12	43	2	R	Th1 > C8	+	Th1 > C8	34	-	+
13	54	5	R	Th1 > C8	+	Th1 > C8	30	Rib	+
14	49	15	R	Th1 > C8	+	Th1 > C8	36	C7	+
15	53	3	R	Th1 - C8	+	C8-Th1	34	-	-
16	43	2	R	Th1 > C8	+	Th1 > C8	22	-	-
17	57	13	R	C8-Th1	-	C8-Th1	30	C7	+
18	21	2	R	C8-Th1	-	C8-Th1	37	C7	+
19	24	2	R	C8-Th1	+	C8-Th1	32	Rib	+
20	28	14	R	-	+	-	30	Rib	-

3 L: left; R: right; CSA: cross-sectional area; sens: only sensory; EDX: electrophysiological examination

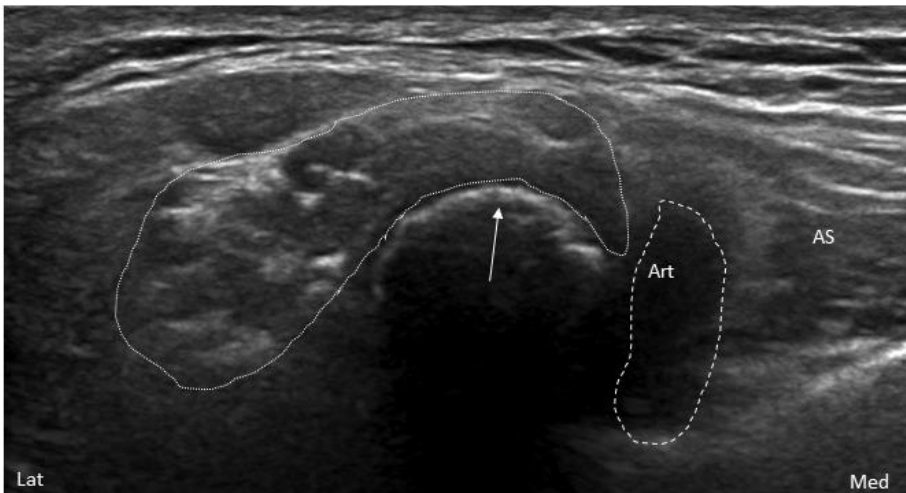
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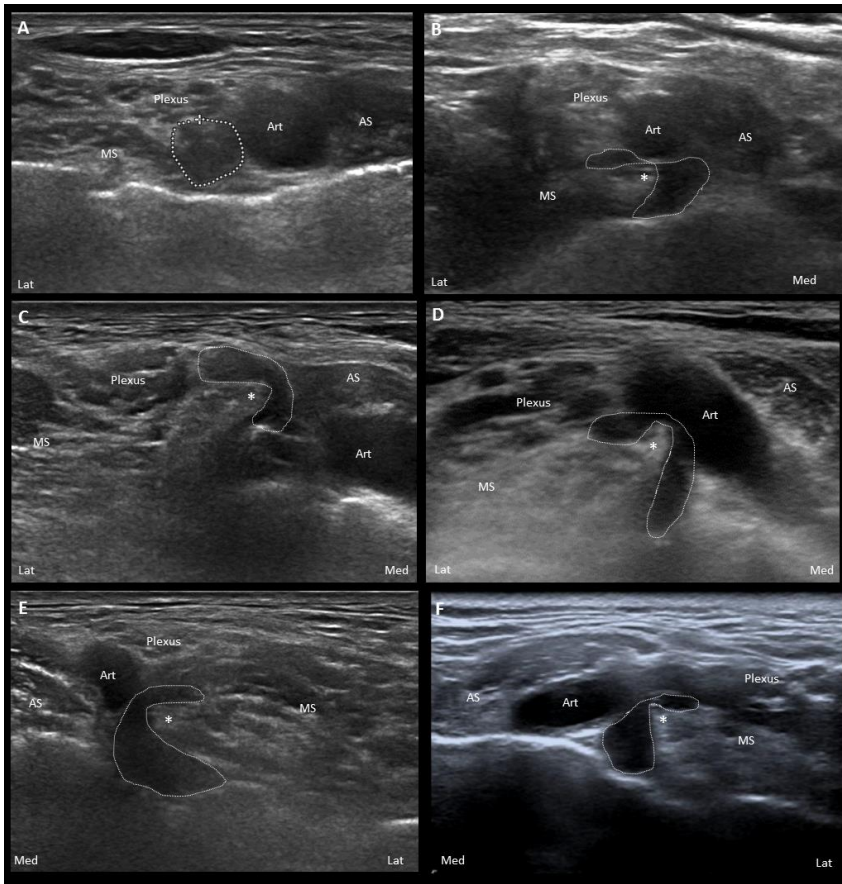
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Fig. 1

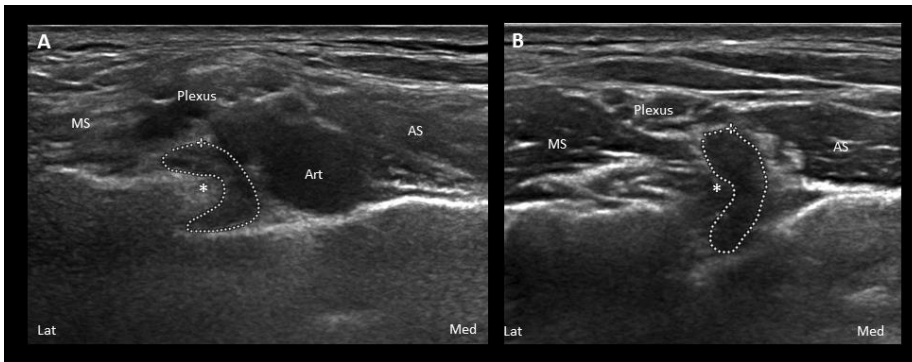


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Fig. 2



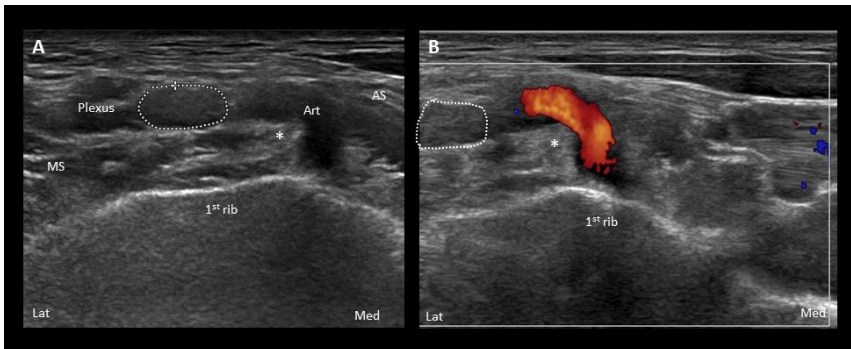
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Fig. 3



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Fig. 4



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Fig. 5

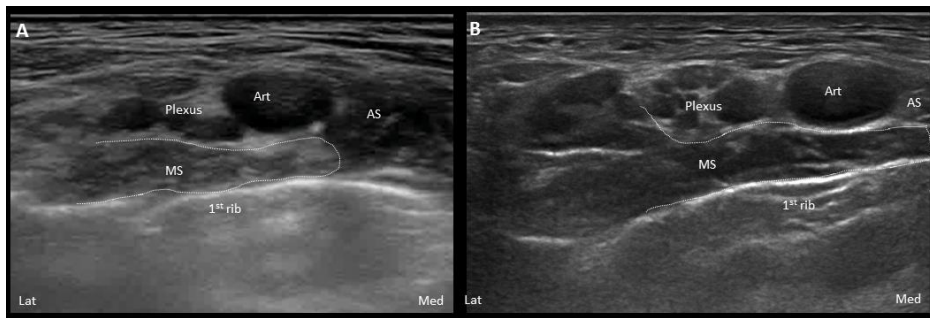


Fig. 6

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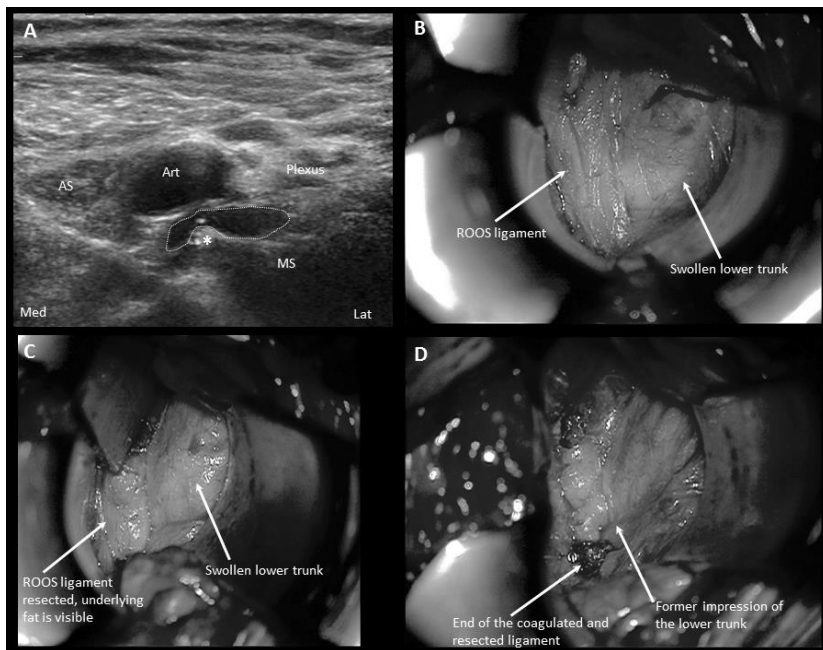


Fig. 7

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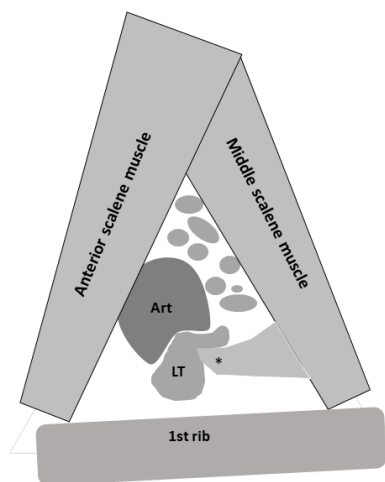


Fig. 8

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